

CONNECTICUT DEPARTMENT OF CORRECTION REPORT TO THE CRIMINAL JUSTICE POLICY AND PLANNING DIVISION



2023



REPORT TO THE CRIMINAL JUSTICE POLICY AND PLANNING DIVISION

Pursuant to Connecticut General Statute No. 18-96b:

Section A: Facility Population

Section B: Administrative Directive 9.5, Code of Penal Discipline

Section C: Administrative Directive 9.4, Special Management

- 1. Administrative Detention, Chronic Discipline, Punitive Segregation, Special Needs, and Transfer Detention**
- 2. Administrative Segregation**

Section D: Administrative Directive 6.14, Security Risk Group

- 3. Security Risk Group**

Section E: Inmate Grievances

Section F: Administrative Segregation

- 1. Suicide, attempted suicide, self-harm, assault on staff, assaults and fights between inmates**
- 2. Use of force incident: Chemical agent, full stationary restraints, deadly physical force, in-cell restraints, less than lethal munitions, lethal munitions, physical force, therapeutic restraints/medical restraints, cell extraction, and canines**

Section G: Inmate Programs

Section H: Inmate Work Assignments

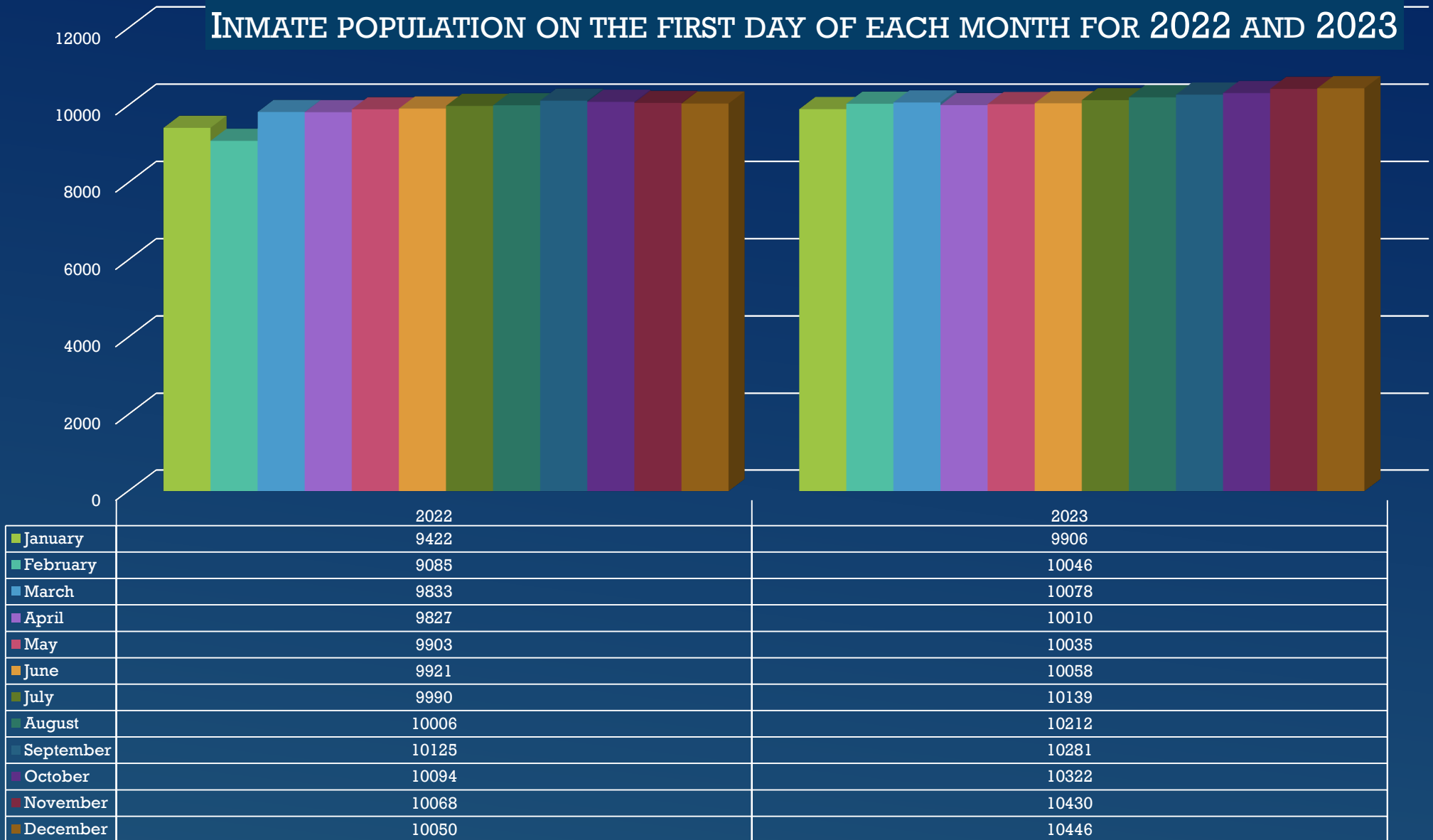
Section I : Inmate Outside Work Assignments

FACILITY POPULATION

Section A: Facility Population

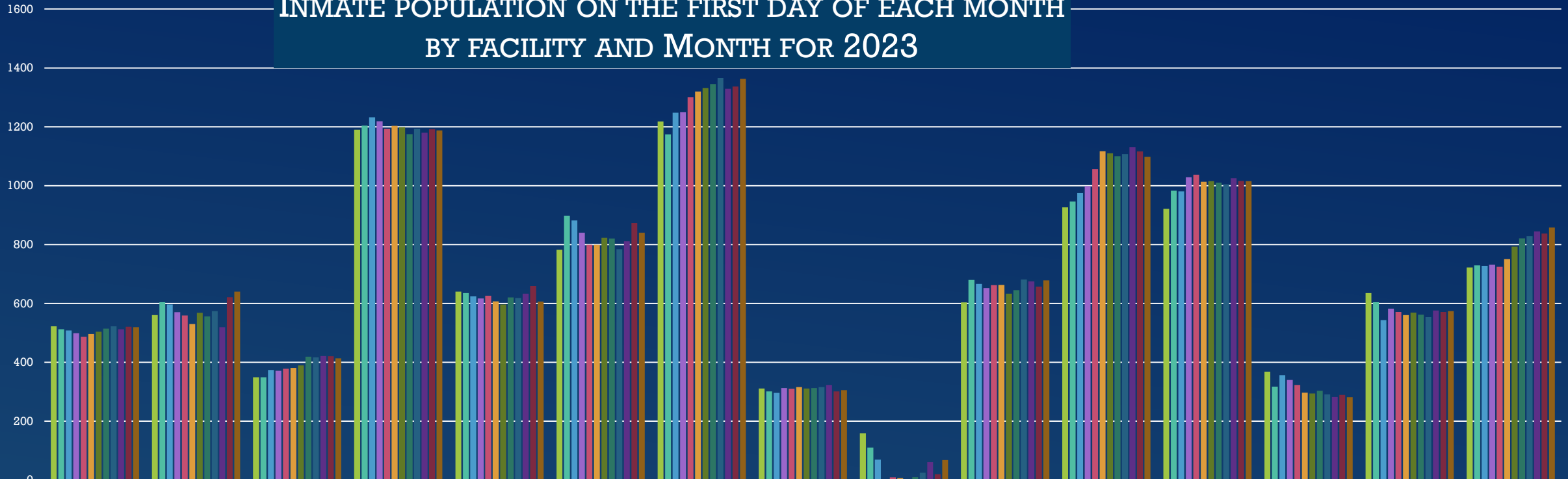
- **Facility Population Snapshot of the first of the month in the Department of Correction facilities for 2022 and 2023**

FACILITY POPULATION



FACILITY POPULATION

INMATE POPULATION ON THE FIRST DAY OF EACH MONTH BY FACILITY AND MONTH FOR 2023



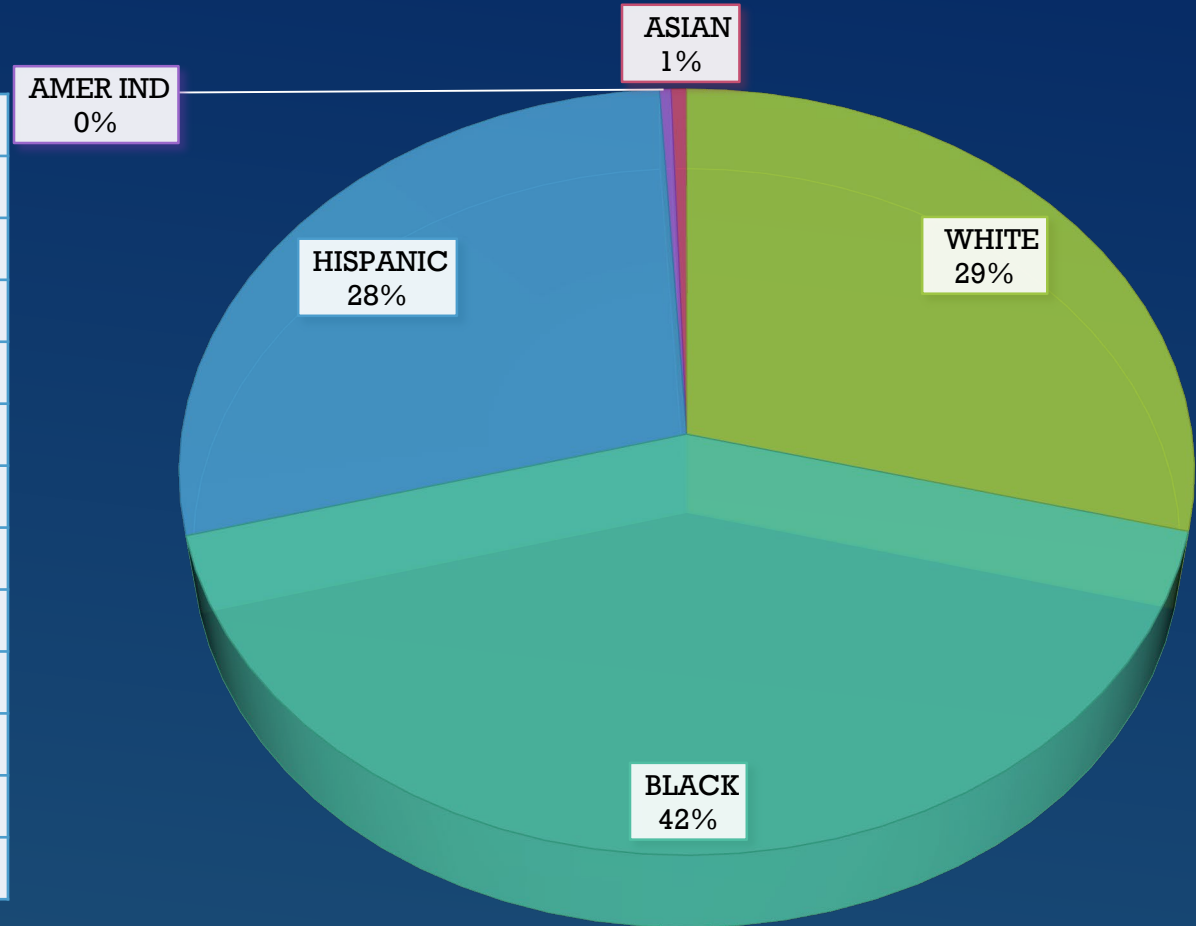
	GARNER CI	BRIDGEPORT CC	BROOKLYN CI	CHESHIRE CI	CORRIGAN CI	HARTFORD CC	MACDOUGALL CI	MANSON YI	MWCI MED ISOLATI	NEW HAVEN CC	OSBORN CI	ROBINSON CI	WALKER RC	WILLARD-CYBULSKI	YORK CI
	2023														
January	522	560	349	1190	640	782	1218	311	159	603	926	921	368	635	722
February	512	604	349	1204	635	898	1174	301	110	680	946	983	317	604	729
March	508	596	374	1232	624	882	1248	296	69	666	975	981	356	543	728
April	499	570	371	1219	617	840	1250	312	0	652	998	1029	340	582	731
May	487	559	378	1193	626	799	1301	310	9	662	1056	1037	323	571	724
June	496	530	381	1203	607	799	1320	316	6	663	1117	1013	297	560	750
July	504	568	389	1199	596	823	1332	311	3	633	1110	1015	294	569	793
August	514	556	419	1175	620	820	1345	312	10	645	1100	1010	303	562	821
September	522	574	417	1193	618	784	1366	316	25	681	1107	1005	291	553	829
October	512	519	421	1180	633	811	1329	323	61	675	1131	1025	282	576	844
November	521	621	420	1192	659	873	1337	301	20	657	1116	1016	289	571	837
December	519	640	413	1188	606	840	1363	305	68	678	1098	1015	281	574	858

FACILITY POPULATION

TOTAL RACIAL DEMOGRAPHIC ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023

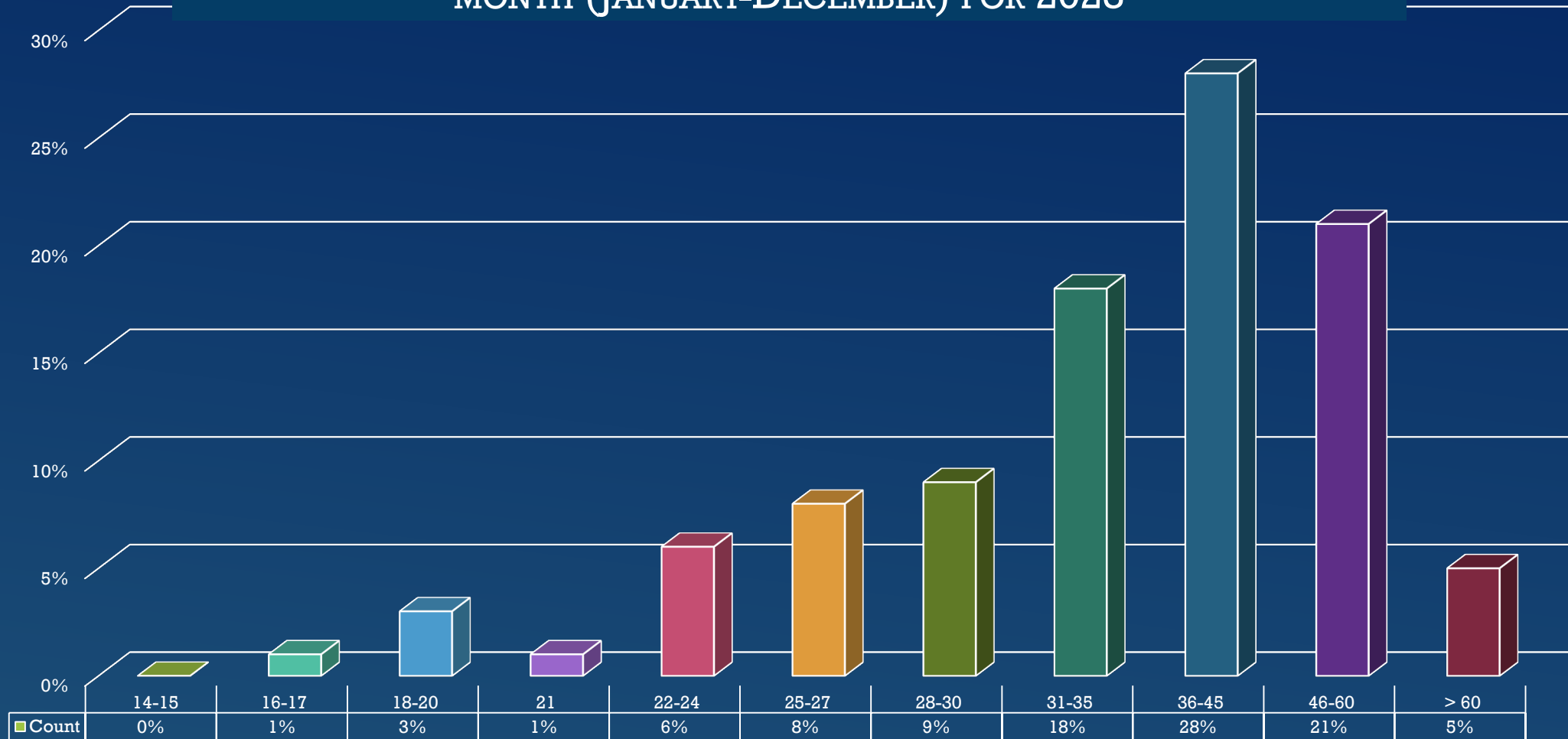
AVERAGE PERCENTAGE BY RACIAL DEMOGRAPHIC ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023

Month	WHITE	BLACK	HISPANIC	AMER IND	ASIAN
January	2923	4122	2768	42	51
February	2956	4191	2809	42	48
March	2943	4184	2851	46	54
April	2898	4185	2831	43	53
May	2894	4157	2882	41	61
June	2924	4196	2847	38	53
July	2956	4245	2844	37	57
August	2953	4263	2901	38	57
September	2970	4310	2906	39	56
October	2987	4336	2899	40	60
November	2978	4394	2955	41	62
December	3007	4378	2960	42	59



FACILITY POPULATION

AVERAGE PERCENTAGE BY AGE DEMOGRAPHIC ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023





ADMINISTRATIVE DIRECTIVE 9.5, CODE OF PENAL DISCIPLINE

Section B:

1. Administrative Directive 9.5, Code of Penal Discipline

ADMINISTRATIVE DIRECTIVE 9.5, CODE OF PENAL DISCIPLINE

 State of Connecticut Department of Correction ADMINISTRATIVE DIRECTIVE	Directive Number 9.5	Effective Date 10/01/2019	Page 1 of 20
	Supersedes Code of Penal Discipline, 02/1/2016		
Approved By:  Commissioner Rollin Cook	Title: Code of Penal Discipline		

1. Policy.

- a. Inmates shall be held responsible for their behaviors. As such, all privileges shall be earned and retained through positive performance and respect for rules, order and authority.
- b. Consistent with this policy, the Department of Correction shall provide for the orderly conduct of inmates by establishing rules of conduct and procedures to address misconduct.
- c. The Code of Penal Discipline shall establish acts of misconduct, the process for judging allegations of misconduct, and sanctions for violations.
- d. Disciplinary action shall be based on credible evidence of misconduct, and shall be timely, impartial and consistent.
- e. Sanctions shall be proportionate to the seriousness of the offense and the inmate's disciplinary record, and the disciplinary action shall serve to teach the inmate the consequence of the misconduct and to enforce staff authority and to maintain safety, security and order.

2. Authority and Reference.

- a. Public Law 108-79, Prison Rape Elimination Act of 2003
- b. Code of Federal Regulations, 28 C.F.R. 115, Prison Rape Elimination Act National Standards
- c. Connecticut General Statutes, Sections 1-217, 18-7, 18-7a, 18-81, 18-98b through 18-98d, Chapter 53a.
- d. Administrative Directives 1.3, Development, Revision, Rescission of Policies and Procedures; 4.2, Sentence Computation and Timekeeping; 4.2A, Risk Reduction Earned Credit; 6.6, Reporting of Incidents; 6.9, Collection and Retention of Contraband and Physical Evidence; 6.12, Inmate Sexual Abuse and Sexual Harassment Prevention and Intervention; 6.14, Security Risk Groups; 9.2, Offender Classification; 9.4, Special Management; 9.6, Inmate Administrative Remedies and 10.7, Inmate Communications.

3. Definitions and Acronyms.

For the purposes stated herein, the following definitions and acronyms apply:

- a. **Accessory.** Assisting a person to commit an act prohibited by this Directive.
- b. **Attempt.** Conduct which is likely to result in an act prohibited by this Directive.
- c. **Confinement to Quarters (CTQ).**
 - i. A penalty that confines an inmate to his/her cell or living area, for a maximum of 7 days, and prohibits the inmate from:
 1. attending general population recreation to include outside yard, dayroom, gymnasium and library;
 2. attending work; and,
 3. attending school except for inmates through the school year of their 21st birthday.
 - ii. An inmate on CTQ status shall be permitted to:
 1. attend visits;
 2. attend collective religious services;
 3. attend addiction services programs;
 4. use the phone;
 5. receive commissary;
 6. shower;
 7. attend meals with general population; and,
 8. **retain** their own television and/or radio.

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- d. **Conspiracy.** Agreeing with one or more persons to participate in an act prohibited by this Directive and any one of those persons acts in furtherance of the conspiracy.
- e. **Continuance.** Adjournment of a hearing until another time.
- f. **Contraband.** Anything not authorized to be in an inmate's possession, used in an unauthorized or prohibited manner or altered in any way.
- g. **Dangerous Instrument.** A weapon, or any other unauthorized object or substance, which may cause physical injury or death, under the circumstances in which it is possessed, used or attempted or threatened to be used, or is capable of being used.
- h. **Deferred Prosecution.** Deferral of the prosecution of a Disciplinary Report for a specific period of time.
- i. **Department of Correction Employee.** For purposes of this Directive, Department of Correction employee shall include all active duty employees and persons performing services on behalf of the Department of Correction while engaged in such performance regardless of the person's actual employer.
- j. **Good Time.** A time credit, for good behavior or good performance as outlined in Administrative Directive 4.2, Sentence Computation and Timekeeping.
- k. **Intimate Parts.** Includes, but is not limited to the genital area including the groin, anus, inner thighs, buttocks or breasts.
- l. **Loss of Recreation (LOR).** A penalty that restricts an inmate from any recreational activity outside of the inmate's assigned housing unit, or as determined by the Unit Administrator and which may be imposed formally or informally.
- m. **PCS.** Parole and Community Services
- n. **Personal Identifying Information.** Any name, number or other data or information that may be used alone or in conjunction with other information to identify a specific individual as defined in Section 53a-129a of the Connecticut General Statutes.
- o. **Physical Evidence.** Anything including, but not limited to, a written record, videotape/disc, digital image, photograph, audio recording, a tangible item(s) and biological, or forensic material that may assist to substantiate or refute any criminal, administrative, charges(s) or allegation(s) to include potential litigation.
- p. **Possess.** Having physical possession or exercising control over an object.
- q. **PREA.** Prison Rape Elimination Act.
- r. **Privilege.** A benefit bestowed upon an individual to which a person has no right or legal entitlement.
- s. **Responsibility.** An individual's personal obligation or accountability for performance.
- t. **Risk Reduction Earned Credit (RREC).** Time awarded at the discretion of the Commissioner or designee at a maximum rate of five (5) days per month for participation in programs or activities, good conduct and obedience to departmental rules, unit and/or program rules in accordance with RREC guidelines as determined by the Commissioner or designee as outlined in Administrative Directive 4.2A.
- u. **Security Risk Group.** A group of inmates specifically designated by the Commissioner, which poses a threat to the safety of staff, the unit or other inmates.
- v. **Self Defense.** Protection of oneself from an unprovoked attack, provided the person was not the initial aggressor, which cannot be avoided.
- w. **Serious Physical Injury.** Any injury which requires the individual to receive immediate medical treatment by a health care professional before the individual can continue normal activity.
- x. **Sexual Abuse.** Includes any of the following acts between persons regardless of gender, consent, coercion, force or threat.
 - i. Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
 - ii. Contact between the mouth and the penis, vulva, or anus;
 - iii. Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object or other instrument; and

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- iv. Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.
- y. Sexual Harassment. Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed towards another person.
- z. Suspended Sentence. The postponement of a disciplinary sentence for a specified period of time.
- 4. General Notification of inmate discipline. This Directive shall be published in English and Spanish and shall be distributed as follows:
 - a. Employees. Each direct contact employee shall receive a copy of this Directive. Direct contact employees shall receive instruction regarding this Directive during pre-service orientation training.
 - b. Inmates.
 - i. Each newly admitted inmate shall receive a copy of this Directive, attachments and instruction regarding this Directive within two (2) weeks of admission to the Department.
 - ii. Each inmate shall acknowledge receipt of this Directive by signing a receipt which shall be placed in the inmate's file.
 - iii. Unit Directives shall provide a process to provide information about this Directive to any inmate who is illiterate, impaired, handicapped or does not speak English or Spanish.
- 5. General Provisions.
 - a. Each inmate shall be responsible to follow all rules, policies, lawful staff direction, and satisfactorily comply with all work and program requirements to earn access to available privileges.
 - b. All privileges must be earned.
 - i. Access to any privilege with limited admission shall be offered to inmates who have maintained positive behavior and obedience to rules, regulations and staff direction.
 - c. Each facility shall develop a list of privileges available to inmates in general population.
 - i. The type of privileges available shall be based on a facility's security level and shall be authorized subject to the joint approval of the Deputy Commissioner of Operations and Rehabilitative Services and the Director of Programs and Treatment.
- 6. Access to Privileges.
 - a. Newly Admitted Inmates. Upon admission, an inmate may be afforded access to all privileges available at the admitting facility, contingent upon conformity with institutional rules and staff direction.
 - b. Special Management Inmates.
 - i. Special Management may lose access to certain privileges.
 - ii. The Unit Administrator of a facility containing a special management-housing unit shall develop procedures and time frames in which an inmate may earn access to limited privileges based upon acceptable behavior and performance in accordance with the appropriate special management programming.
 - iii. The designated time frames shall be in addition to any penalty received prior to or during the placement on a special management status.
 - iv. In all cases, the privileges for the special management unit shall be less than those in general population.
- 7. Unit Rules.
 - a. Each unit shall establish its own written rules concerning inmate conduct.
 - b. These rules shall be published in the inmate handbook as required by Administrative Directive 1.3, Development, Revision and Rescission of Policies and Procedures.
 - c. The rules shall be posted in inmate housing units and copies shall be placed in inmate libraries as appropriate.
- 8. Relation to Criminal Proceedings.
 - a. Proceedings under the criminal code of the State of Connecticut against an inmate shall not cause the delay of proceedings under this Directive.

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- b. A dismissal, nolle or not guilty verdict in criminal proceedings shall not affect action under this Directive.
- c. Any suspected criminal offense shall be reported to the Connecticut State Police for investigation and action.
- 9. Informal Disposition. Violations of this Directive may be disposed through informal disposition when informal disposition is deemed sufficient to regulate an inmate's behavior.
 - a. Initiation.
 - i. Informal disposition may be initiated by either:
 - 1. The reporting employee.
 - a. A CN 9502, Informal Disposition Report, shall be completed including the recommended penalty(s), and shall be appropriately signed.
 - i. The completed form shall be received by a custody supervisor or unit manager not later than eight (8) hours from the alleged misconduct.
 - 2. A custody supervisor or unit manager as an alternative to a disciplinary report.
 - a. When a custody supervisor or unit manager initiates informal disposition based on a CN 9503, Disciplinary Report, they shall check the informal disposition box on the CN 9503, Disciplinary Report, in the custody supervisor/unit manager review section, then prepare a form CN 9502, Informal Disposition Report, and inform the reporting employee of the action.
 - i. If the inmate refuses to sign the CN 9502, Informal Disciplinary report, the original CN 9503, Disciplinary Report, shall be completed and the formal disciplinary process resumed. The unsigned CN 9502, Informal Disciplinary Report shall be forwarded to the Disciplinary Investigator with the CN 9503, Disciplinary Report.
 - ii. Formal discipline shall be issued within twenty-four (24) hours from the time that an inmate fails to sign/accept informal discipline.
 - b. Review.
 - i. Not later than 8 hours of receipt of an informal disposition initiated by the reporting staff member, a custody supervisor shall:
 - 1. deny use of informal disposition;
 - 2. approve informal disposition and the recommended penalty(s); or
 - 3. approve informal disposition and impose an alternative penalty(s).
 - ii. The reporting employee may confine an inmate to quarters pending review of the informal disposition. In such case an entry shall be made in the station log, and the custody supervisor or unit manager notified immediately. The custody supervisor, unit manager or higher authority may intervene in this placement.
 - c. Disposition. Penalties shall be consistent with Section 13 © of this Directive with the exception of restitution, which shall not be authorized under informal disposition. No more than three (3) penalties may be imposed and the duration of any penalty imposed shall not exceed one (1) week.
 - d. Notification. Upon completion of review by a custody supervisor or unit manager, the inmate and the reporting employee shall be notified of the informal disposition. Notification to the inmate shall include a space for the inmate to sign acknowledging the disposition.
 - e. Refusal. If the acknowledgment of informal disposition is not signed it shall constitute a refusal and a disciplinary report shall be initiated based on the description of misconduct indicated in the informal disposition.
 - f. Records and Reporting. No record of an informal disposition shall be kept in an inmate's master file or automated file. A record of all informal dispositions shall be maintained at the unit to allow for record keeping and reporting consistent with Section 18 of this Directive.

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10. Specific Notice of Formal Inmate Discipline.

a. Initiation of Disciplinary Report.

- i. A CN 9503, Disciplinary Report shall be prepared by the reporting employee, upon detection of an act which gives cause for formal disciplinary action.
- ii. A separate disciplinary report shall be prepared for each offense charged.
- iii. Only the most serious possible charge relating to an offense shall be charged to a single act.
- iv. When additional charges are justified as a result of sequential behaviors during an incident charge may be filed separately.

b. Facility Procedure.

- i. The Disciplinary Report shall be delivered to a custody supervisor or unit manager for review and signature.
 1. If a supervisor generates a Disciplinary Report, another supervisor shall review and sign the report.
- ii. A photo copy of the Disciplinary Report shall then be delivered to the inmate and original submitted to the facilities Disciplinary Investigator.
- iii. Provision shall be made to provide the reporting employee, upon request, with a copy of page one of the Disciplinary Report before the end of the shift.

c. Refusal or Removal of an Institutional Program or Policy (ROIP) Procedure. If a disciplinary report is received while the inmate is physically participating in a program, the inmate may also be charged with the ROIP disciplinary report.

d. Community Release Procedure.

- i. The Disciplinary Report and a CN 9501, Disciplinary Waiver Report, shall be referred to the Director of the Community Release Unit (CRU) by the facilities community release liaison for any inmate that is approved or being reviewed for community release.
- ii. The Director of CRU shall review all appropriate documentation and make the determination if a disciplinary waiver is appropriate.
- iii. The Director of CRU decision is final and not subject to any further review.

e. Escape Procedure.

- i. Upon discovery of an escaped inmate, a Parole Officer or Unit Administrator (or designee) shall prepare a disciplinary report (form CN 9503) with all fields completed to include signatures with their respective dates and times with the exception of the top right report date and offender notice sections, which shall remain blank.
 1. Facility procedure. The original disciplinary report shall then be placed in a sealed envelope and affixed to Section 4 of the offender's master file. The envelope shall be labeled "Deliver immediately to the Desk Lieutenant upon remand to custody".
 2. PCS procedure. PCS shall generate and maintain a disciplinary report electronically for Escape from PCS Supervision.
- ii. Upon remand to custody, the disciplinary report shall be delivered to the Desk Lieutenant and that supervisor shall complete the top right report date that coincides with the remand date located on the offender's RT-60. The supervisor shall then have staff complete the fields under "offender notice" and deliver a photocopy of the disciplinary report to the inmate. The original disciplinary report is then placed in the Disciplinary Investigator's box.
 1. PCS staff shall forward the Disciplinary Report and any supporting documentation to the appropriate staff at the receiving facility to initiate the disciplinary process.
- iii. The remand date and date of delivery normally should not exceed seven (7) business days, and shall remain in compliance with the time frames as established by Section 16 of Administrative Directive 9.5, Code of Penal Discipline.
 1. In the event that the disciplinary report delivery is to exceed seven business days from remand, the CN 9503, Disciplinary Report and a CN9501, Disciplinary Waiver Report, shall be referred to the

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appropriate Unit Administrator or designee by the Disciplinary Investigator.

2. The Unit Administrator or designee shall approve or deny the delivery or the CN 9503, Disciplinary Report, and complete the CN9501, Disciplinary Waiver Report then forward back both forms to the Disciplinary investigator for adjudication.

f. Parole. Any inmate that has been voted to parole, while out in the community or incarcerated waiting placement, and is convicted of a Class A or B disciplinary offense shall be referred to the Chairman of the Board of Pardons and Paroles for reconsideration.

11. Administrative Detention.

- a. A custody supervisor may remove an inmate from population, in accordance with Administrative Directive 9.4, Special Management, pending a disciplinary disposition when justified for reasons of security or order.
- b. If an inmate is removed from population, the Unit Administrator or designee shall review the case within 72 hours of placement in Administrative Detention to determine whether continued confinement in the status is necessary.
 - i. The date and time of placement in Administrative Detention shall be recorded on the disciplinary report.
- c. If punitive segregation is subsequently imposed, any time spent in Administrative Detention shall be credited toward the disciplinary sentence on a day-for-day basis.

12. Classes of Offenses.

- a. There shall be three (3) classes of offenses: A, B and C.
- b. Class A offenses shall be the most serious offenses and Class C offenses the least serious.
- c. Offenses shall be categorized by their intended class and in accordance with Attachment B, Code of Penal Discipline Offenses.

13. Provisions, Identification, and Procedures for Authorized Sanctions and Penalties. An inmate who is in violation of this Directive shall be sanctioned and/or penalized in accordance with the provisions of this section.

- a. General Provisions. Disciplinary sanctions and penalties shall be administered in order to regulate an inmate's future behavior.
 - i. Degree. The sanctions and/or penalties imposed shall be proportionate to:
 1. the seriousness of the offense; and,
 2. the inmate's disciplinary record.
 - ii. Accessory, Attempt and Conspiracy. When supported by the evidence, the offenses of Accessory, Attempt and Conspiracy shall be deemed to be included in the substantive offense without having to be separately charged. Accessory, Attempt and Conspiracy shall be punishable in the same degree as if the substantive offense was committed.
 - iii. Self-Defense. A decision that the inmate acted in self-defense may mitigate the severity of the sanction(s) imposed.
 - iv. Limits.
 1. Except as specifically provided in this Directive the following punishment limits shall be observed:
 - a. for Class A offenses: punitive segregation; forfeiture of good time or RREC; and two (2) different penalties;
 - b. for Class B offenses: punitive segregation; forfeiture of good time or RREC; and one (1) penalty; and,
 - c. for Class C offenses: forfeiture of good time and one (1) penalty.
 2. Guilty of the Class A offense for Refusal or Removal of an Institutional Program or Policy shall only result in forfeiture of 25 RREC with no other sanctions or penalties.
- b. Sanctions. The following Sanctions may be imposed:
 1. Punitive Segregation.
 1. General Standards.

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- a. Any commitment to punitive segregation shall be for a definite period of time. The maximum amount of Punitive Segregation shall adhere to the following guidelines:
 - i. Conviction of the following offenses:
 - 1. Assault on a Department of Correction Employee, - up to 15 days;
 - 2. Hostage Holding of a Department of Correction Employee- up to 15 days;
 - 3. Riot- up to 15 days;
 - 4. Security Risk Group Affiliation - up to 15 days; and
 - 5. Creating a Disturbance - up to 15 days.
 - ii. Class A offenses - up to 10 days.
 - iii. Class B offense - up to 5 days.
 - iv. Class C offense - punitive segregation may not be imposed.
 - b. When punitive segregation is imposed for multiple offenses arising from a single incident, it may be applied concurrently or consecutively.
 - i. The maximum cumulative sentence to punitive segregation for offenses arising from a single incident shall not exceed the accumulation of two (2) consecutive sanctions.
2. Juvenile inmates.
- a. Inmates under the age of eighteen (18) shall be subject to the penalty of Confined to Quarters (CTQ) in substitution of the Punitive Segregation (PS) sanction. CTQ will be authorized in conjunction with:
 - i. two (2) additional penalties for a Class A offense; and,
 - ii. one (1) additional penalty for a Class B Offense.
 - b. Penalties incurred shall also be subject to increases in accordance with Section 13© of this directive.
- ii. Forfeiture of Good Time. Forfeiture of good time shall be imposed in accordance with the provisions of this section.
- 1. Type. There shall be three (3) types of good time subject to forfeiture:
 - a. Statutory Good Time.
 - i. An inmate may forfeit any or all statutory good time earned on the present sentence.
 - 1. In the event an inmate has not yet earned sufficient good time to satisfy forfeiture, such good time shall be deducted from any statutory good time earned during the course of the current sentenced incarceration.
 - b. Presentence Good Time.
 - i. Presentence good time shall not be awarded at the time of sentence computation if an order to withhold credit has been issued in the disposition in a disciplinary report during presentence confinement.
 - ii. The amount of credit ordered to be withheld shall be proportionate with the seriousness of the offense and the inmate's disciplinary record.
 - iii. The actual credit withheld shall not exceed the amount earned during presentence confinement.
 - iv. Presentence good time shall not be subject to forfeiture for misconduct that occurs after the sentence commences.
 - c. Outstanding Meritorious Good Time.
 - i. Outstanding Meritorious Good Time shall be subject to forfeiture in the amount earned on the date of the offense subject to the discretion of the Investigator or the Hearing Officer, and the Unit Administrator's designee.

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- 2. Amount.
 - a. The maximum amount of statutory good time which an inmate may forfeit shall be:
 - i. (a) 90 days for a Class A offense;
 - ii. (b) 60 days for a Class B offense; and
 - iii. (c) 15 days for a Class C offense.
 - b. The authorized forfeiture of earned statutory good time may be doubled if the offense involves:
 - i. Assault on a Department of Correction Employee which is classified as an incidental assault as defined in Administrative Directive 6.6, Reporting of Incidents;
 - ii. the use of a dangerous instrument against another person;
 - iii. serious physical injury of another person; or,
 - iv. a member of a security risk group committing the offense.
 - c. The authorized forfeiture of earned statutory good time may be quadrupled if the offense involves a dangerous instrument and also results in serious injury.
 - d. If the offense is one of the following the inmate shall be subject to forfeiture of all earned statutory good time:
 - i. Assault on a Department of Correction Employee, which is classified as an intentional/direct assault as defined in Administrative Directive 6.6, Reporting of Incidents;
 - ii. Felonious Misconduct;
 - iii. Hostage Holding of a Department of Correction Employee; or,
 - iv. Riot.
- iii. Forfeiture of Risk Reduction Earned Credit.
 - 1. An inmate may forfeit any or all RREC earned on the current sentence as outlined in this section.
 - 2. This forfeiture shall only apply to inmates who are sentenced at the time of the infraction.
 - 3. Any inmate found guilty of the charges/classes listed below shall forfeit RREC in accordance with the following schedule:
 - a. Guilty of the following Class A offenses shall result in a mandatory minimum forfeiture of 60 days RREC:
 - i. Intentional/Direct Assault on a Department of Correction Employee;
 - ii. Arson;
 - iii. Creating a Disturbance
 - iv. Escape; (i.e., from a DOC facility or leaving escorted custody without permission);
 - v. Felonious Misconduct;
 - vi. Hostage Holding of a DOC Employee;
 - vii. Impeding Order; or,
 - viii. Riot.
 - b. Guilty of a Class A offense not listed in Section 13 (B) of this Directive will result in a mandatory forfeiture of 15 days of RREC;
 - c. Guilty of the Class A offense for Refusal or Removal of an Institutional Program or Policy will result in a mandatory forfeiture of 25 days.
 - d. Guilty of a Class B offense as defined by Section 13(B) of this Directive will result in a mandatory forfeiture of 10 days of RREC;
 - e. Escape from PCS Supervision will result in a mandatory forfeiture of 25 days of RREC.
 - 4. Any inmate found guilty of an offense listed in Section 13 (B) of this Directive may be subject to an additional forfeiture of all RREC based on the severity of the offense.

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- a. In cases where the offense is deemed severe, the Hearing Officer shall make a recommendation to the Unit Administrator to determine if an additional forfeiture of RREC is warranted. The Hearing Officer shall make such recommendation by submitting a completed CN 9512 RREC Increased Forfeiture form to the Unit Administrator for review and decision.
 - i. The inmate shall be notified of the total amount of any RREC forfeited that may exceed the listed amounts established in Section 13 (B) of this directive by receiving a copy of the completed CN 9504/1 Disciplinary Process Summary Report.
- 5. In the event an inmate has not yet earned sufficient RREC to satisfy forfeiture, such earned credit shall be deducted from any RREC earned during the course of the current sentenced incarceration.

c. Penalties.

i. General Standards.

- 1. Penalties shall be initiated once punitive segregation is completed or if punitive segregation was not issued.
- 2. The following penalties may be imposed:
 - a. Reprimand;
 - b. Loss of recreation privileges up to 30 consecutive calendar days;
 - c. Loss of telephone privileges up to 45 consecutive calendar days.
 - i. The sanction may be compounded by doubling the time frame for each successive conviction of Security Tampering relating to telephone privileges;
 - d. Loss of commissary privileges up to 90 consecutive calendar days during which time the offender may not place or receive an order;
 - e. Loss or modification of social visiting privileges up to 30 consecutive calendar days;
 - f. Extra duty up to 24 hours which shall be completed within one (1) week of disposition;
 - g. Confinement to quarters up to 7 consecutive calendar days;
 - h. Loss of social correspondence privileges (incoming and outgoing correspondence) up to 60 consecutive calendar days; and,
 - i. Restitution for property theft or damage.
 - j. Restriction on tablet privileges up to 90 consecutive calendar days during which time the offender may not access recreational media and entertainment tablet privileges.
 - i. The sanction may be compounded by doubling the time frame for each successive conviction of Security Tampering relating to tablet privileges.
 - k. Loss of telephone and loss of social visiting shall not be imposed concurrently.

ii. Limits. No more than two (2) of the following penalties shall be imposed concurrently:

- 1. loss or modification of social visiting,
- 2. loss of telephone, or
- 3. loss of social correspondence.

iii. Increases.

- 1. When an inmate has been found guilty of any formal discipline for the third time in any six (6) month period arising from separate incidents, the sanctions and penalties for the instant offense may be taken from those authorized for the next higher class of offense with the exception of RREC.
- 2. If the instant offense is a Class A offense, then up to four (4) penalties authorized in Section 13 of this Directive may be imposed and up to 120 days of good time may be forfeited.

iv. Juvenile inmates.

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- 1. Penalties shall not exceed a total of sixty (60) consecutive calendar days from the date of the most recent offense as a result of cumulative disciplinary action, unless authorized by the Unit Administrator or designee when extenuating circumstances exist.
- #### d. Suspended Disciplinary Sentence.
- 1. Upon adjudication of a disciplinary report, the disciplinary sentence (sanctions and/or penalties) may be suspended in accordance with this section.
 - 1. A disciplinary sentence for a Class A offense may not be suspended.
 - 2. A disciplinary sentence for a Class B or C offense may be suspended for a period not to exceed 60 days.
 - a. If the inmate does not commit a classified offense prohibited by this Directive during the period of suspension, the suspended sentence shall not be imposed.
 - b. If the inmate commits a classified offense during the period of the suspension, the suspended sentence and any sentence resulting from the new offense shall be imposed. A sentence resulting from an offense committed during the time of a previously suspended sentence cannot be suspended.
- #### e. Additional Sanctions Related to Security Risk Groups.
- i. Security Risk Group Affiliation Violation. When an inmate is found guilty of Security Risk Group Affiliation, the inmate shall without further hearing be designated as a Security Risk Group Member and, in addition to any applicable sanctions, shall be subject to the provisions of Administrative Directive 6.14, Security Risk Groups.
 - ii. Additional Violations.
 - 1. An inmate who has been designated as a Security Risk Group Member in accordance with Administrative Directive 6.14, Security Risk Groups, shall automatically be reviewed for SRG Phase One placement if found guilty of any of the following disciplinary violations:
 - a. Assault;
 - b. Assault on a Department of Correction Employee;
 - c. Contraband, Class A. Possessing a Dangerous Instrument;
 - d. Creating a Disturbance;
 - e. Fighting;
 - f. Hostage Holding of a DOC Employee;
 - g. Impeding Order;
 - h. Riot; and/or
 - i. Security Risk Group Affiliation.
 - 2. At the discretion of the Unit Administrator or designee, an inmate may be reviewed for SRG Phase One placement due to the severity or frequency of other disciplinary violations.
 - 3. In addition to any applicable sanctions, the inmate shall be subject to the provisions of Administrative Directive 6.14, Security Risk Groups.
- #### 14. Inmates with Mental Illness or with Mental Impairment.
- a. General Provisions.
 - i. All inmates, regardless of their mental health needs level, shall be subject to the placement in restrictive housing unit and the disciplinary process in accordance with this administrative directive if they are deemed appropriate to participate in the disciplinary process.
 - ii. At the time an inmate with a mental health score greater than or equal to four (4) commits any action that warrants a disciplinary report, all provisions shall be made to have the inmate's mental health condition evaluated by a qualified mental health professional.
 - 1. Any inmate on mental health observation status shall be managed in accordance with departmental guidelines for Infirmity Care- Mental Health Observation.
 - iii. In no event shall an inmate receive disciplinary sanctions for verbally reporting to staff feelings or intentions regarding self-harm or suicide.

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- iv. The mental health evaluation and decision for placement shall be documented in the inmate's electronic health record.
 - v. Inmates with a mental health score less than or equal to three (3) who commit any action that warrants a disciplinary report shall not need a CN 9510, Mental Health Disciplinary Review Form unless requested by a Custody Supervisor.
 - 1. Inmates with a mental health score less than or equal to three (3) who engage in maladaptive and/or self-injurious behavior after receiving a disciplinary report shall not need a CN 9510, Mental Health Disciplinary Review Form at the time of the incident.
 - 2. However, upon reviewing the incident report, a Supervising Psychologist, Advanced Nurse Practitioner or Psychiatrist may assess the inmate for contributing psychological factors.
 - a. If determined that the inmate at the time of receiving the disciplinary report was under psychological distress and meets the criteria identified in the assessing staff member may complete a CN 9510, Mental Health Disciplinary Review Form if deemed appropriate.
- b. Disciplinary Report review.**
- i. Review at the time of the Disciplinary violation.
 - 1. At the time an inmate with a mental health score greater than or equal to four (4) commits a disciplinary violation, an identified mental health professional shall conduct the mental health assessment and complete a CN 9510, Mental Health Disciplinary Review Form.
 - a. The CN 9510, Mental Health Disciplinary Review Form shall be cosigned and reviewed by the custody supervisor prior to delivery of the disciplinary report to the inmate.
 - 2. When completing the CN 9510, Mental Health Disciplinary Review form the staff member completing the form shall be consulted to address the following concerns:
 - a. Whether there are mental health factors that would prevent the inmate from understanding the disciplinary process;
 - b. Whether the inmate's mental health disorder may have contributed to the behavior that led to the disciplinary violation.
 - c. If, with the inmate's current presentation, there are mental health concerns that contributed to the disciplinary violation.
 - 3. If the qualified mental health professional determines that the inmate is capable of participating in the disciplinary process, the staff member shall indicate this decision on the CN 9510 and submit the completed documentation to a custody supervisor before the end of their shift.
 - a. Copies of the disciplinary report and CN 9510 shall be forwarded to the supervising mental health clinician for further administrative review, however, this secondary supervisory review is not cause to delay the disciplinary ~~time frames~~ set forth in this directive. This review shall be completed within 24 hours of the supervising mental health clinician receiving the disciplinary report and CN 9510. Any changes in disposition will be reported to the Disciplinary Coordinator and documented in the inmate's electronic health record.
 - 4. If the qualified mental health professional determines that the inmate is not capable of participating in the disciplinary process, the following shall occur:
 - a. The qualified mental health professional shall indicate this decision on the CN 9510;
 - b. The disciplinary report shall not be delivered to the inmate; and,
 - c. The disciplinary report and CN9510 shall be forwarded to the Disciplinary Coordinator and a supervising mental health clinician for further administrative review and disposition.

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- ii. Unit Administrator Override.
 - 1. Any disciplinary report where a qualified mental health professional recommends for the disciplinary process be discontinued process shall be submitted to the Unit Administrator for review.
 - 2. Upon review, the Unit Administrator may make the determination that the inmate shall still receive the disciplinary report.
 - a. If the Unit Administrator determines that the inmate shall still receive the disciplinary report, they shall indicate this decision in writing on the CN 9510.
 - i. In any case in where the inmate is given a disciplinary report despite the qualified mental health professional's recommendation that the inmate is incapable of participating in the process, a copy of the CN 9510, shall be given to the Hearing Officer prior to the disciplinary hearing and/or the imposition of any sanctions.
- 15. Staff Responsibilities.**
- a. Custody Supervisor/Unit Manager. A custody supervisor or unit manager shall be responsible to:
 - i. Manage disciplinary functions during the shift.
 - ii. Review the disciplinary report to ensure that it is complete and that, on its face, the evidence supports the charge.
 - iii. Sign the disciplinary report.
 - iv. If an inmate has a mental health score equal to or greater than 4, or if custody staff requests a mental health review of the Disciplinary Report, a custody supervisor shall forward a copy of the Disciplinary Report to mental health staff, who shall complete a CN 9510 and return the completed CN9510 form to a Custody Supervisor.
 - 1. The Custody Supervisor shall review and sign the CN 9510 form and, if appropriate, initiate delivery of the Disciplinary Report.
 - v. Initiate delivery of the disciplinary report to the accused and forward the original documents to the Disciplinary Investigator.
 - b. Disciplinary Coordinator. Each Unit Administrator shall appoint a supervisory employee as a Disciplinary Coordinator who shall coordinate the disciplinary functions of the facility and who shall ensure that the facility complies with the policies and procedures of this Directive. The Disciplinary Coordinator shall be responsible for the processing and distribution of all records and reports under this Directive unless responsibility is expressly delegated to another.
 - i. In addition to the administrative duties, the Disciplinary Coordinator shall have authority to:
 - 1. substitute a charge on a disciplinary report in accordance with the provisions of Section 16 of this Directive;
 - 2. defer prosecution of a disciplinary report in accordance with the provisions of Section 16 of this Directive; and
 - 3. dismiss a disciplinary report, prior to hearing, that does not serve the disciplinary interests of the facility.
 - ii. If a disciplinary report is deferred, dismissed or the charge substituted under this section, the Disciplinary Coordinator shall send a copy of CN 9503, Disciplinary Report and CN 9504, Disciplinary Process Summary Report to the reporting employee and the Unit Administrator.
 - iii. The Unit Administrator shall appoint a backup Disciplinary Coordinator to act in the absence of the Disciplinary Coordinator.
 - iv. Each Disciplinary Coordinator shall receive training prior to assuming duties as Disciplinary Coordinator.
 - c. Disciplinary Investigator.
 - i. Upon receipt of a disciplinary report, the Investigator shall assign a report number in accordance with Section 18 of this Directive.
 - ii. An Investigator shall conduct an investigation into the allegation of misconduct of each disciplinary report that goes to hearing and shall have

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authority to dispose of a disciplinary report prior to the hearing pursuant to Section 16 of this Directive.

- iii. The disciplinary investigation shall be initiated the next business day following the day the disciplinary report was issued to the inmate.
 - iv. If the accused inmate, or witness/witnesses, requests video evidence pertaining to the alleged offense or disciplinary process, the Disciplinary Investigator shall collect, review, and retain such video in accordance with Administrative Directive 6.9, Collection and Retention of Contraband and Physical Evidence.
 - v. Investigators shall be appointed by the Unit Administrator and shall be certified by the Maloney Center for Training and Staff Development prior to assuming their duties.
- d. Inmate Advisor General Duties. Each Unit Administrator shall appoint a minimum of three (3) staff members, including both custodial and treatment personnel, to serve as Advisors on a rotating monthly basis. An inmate Advisor shall complete the following duties:
- i. meet with the inmate at least 24 hours prior to the hearing;
 - ii. review all submitted documentation and evidence in accordance with the provisions of Sections 16(H) of this Directive;
 - iii. advise the inmate of the disciplinary procedure in accordance with this directive;
 - iv. If the accused inmate, or witness, requests video evidence pertaining to the alleged offense or disciplinary process, the Advisor shall collect, review, and retain such video in accordance with Administrative Directive 6.9, Collection and Retention of Contraband and Physical Evidence.
 - v. If the appointed Advisor cannot appear at the hearing, another Advisor may be appointed to assist the inmate, or for good cause, the hearing may be continued.
 - vi. The accused inmate may, in writing or verbally at hearing, decline the assistance of an Advisor at any time during the disciplinary process.
- e. Disciplinary Hearing Officer (DHO) Duties.
- i. A DHO shall:
 - 1. preside over any formal disciplinary hearing,
 - 2. serve as the adjudicator of fact, and
 - 3. adjudicate any referred disciplinary report.
 - ii. The DHO shall have authority to:
 - 1. include any person as a witness,
 - 2. may limit the testimony of any witness, which is redundant or irrelevant and
 - 3. may order the presentation of any documents or evidence necessary for the conduct of a disciplinary hearing.
 - iii. The DHO may exclude or eject from the disciplinary hearing any person whose behavior poses a threat to an orderly hearing or jeopardizes the safety of any person.
 - iv. The DHO shall determine the hearing requirements to ensure a professional proceeding.
 - v. If the accused inmate, or witness, requests video evidence pertaining to the alleged offense or disciplinary process at the time of hearing, the DHO shall direct the Disciplinary Investigator to collect, review, and retain such video in accordance with Administrative Directive 6.9, Collection and Retention of Contraband and Physical Evidence.
 - vi. DHO's and acting DHO's shall be trained and certified by their respective District Administrators Office administration. Records of training shall be forwarded to the Maloney Center for Training and Staff Development prior to assuming duty.

16. Process of Events regarding Disciplinary Report Adjudication.

- a. Notice of Disciplinary Proceedings. A complete and legible photocopy of the disciplinary report shall be delivered to the inmate within 24 hours of the discovery of the inmate's alleged misconduct, except in the following circumstances:

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i. Inmates with Mental Illness or with Mental Impairment:

- 1. When an inmate that has a mental health needs score that is greater than or equal to four (4) commits an action that would warrant a disciplinary report, the process followed in section 14 of this directive shall apply.
 - a. If the inmate is evaluated by a qualified mental health professional and is deemed able to participate in the disciplinary process, the notice of disciplinary proceedings shall be delivered with 24 hours of when the qualified mental health professional conducted the assessment.

ii. Inmates Placed on Behavioral or Mental Health Status.

- 1. Inmates assigned to certain behavioral and/or observational statuses in accordance with Administrative Directives 6.5, Use of Force, 8.5, Mental Health Services, 8.14, Suicide Prevention and Intervention, which prevent the retention of documentation shall have disciplinary reports read by the delivering staff member and afforded an opportunity to view the copy upon delivery.
- 2. A copy of the disciplinary report shall remain at the Officers station until such time the inmate is cleared to receive/retain the paper copy.
- 3. Once the inmate is cleared to receive/retain the paper copy of the disciplinary report, the Unit Manager or designee shall provide the inmate with the documentation.
 - a. Timeframes associated with the disciplinary report process for inmates initially placed on Behavioral or Mental Health Status shall not be altered and will continue as outlined in this directive.

iii. Disciplinary Offenses received while under PCS Supervision.

- 1. When an inmate is charged with disciplinary offense while under PCS Supervision, which did not result from an Escape or Escape from PCS Supervision Disciplinary Report, a PCS staff member must deliver a disciplinary report following the discovery/confirmation of the violation to the receiving facility within three (3) business days of the inmates return to custody.

iv. Escape or Escape from PCS Supervision.

- 1. Upon the inmate's return to custody from being out on escape or escape from PCS status, the receiving facility shall normally have seven (7) business days to issue the disciplinary report to the inmate.
 - a. If the delivery date of the CN 9503, Disciplinary Report, for Escape or Escape from PCS is to exceed seven (7) business days from remand, the Unit Administrator or designee shall approve or deny delivery of the disciplinary report utilizing the CN 9501, Disciplinary Waiver Report.
 - i. The approval or denial of delivery that exceeds seven (7) business days shall be documented on a CN 9501, Disciplinary Waiver Report and forwarded to the Disciplinary Investigator with the CN 9503, Disciplinary Report, for disposition.

v. Inmate not present for delivery of Disciplinary Report.

- 1. Any instance when an inmate is not present in the facility to be delivered a Disciplinary Report within the 24-hour timeframe as stated within this directive, the inmate shall receive the Disciplinary Report within 24-hours upon return to a Department of Correction Facility.

b. Disciplinary Investigator Disposition.

- i. The Investigator shall interview the accused inmate. If the inmate chooses to plead guilty prior to a disciplinary hearing, the Investigator may accept the plea and dispose the disciplinary report unless the inmate has been charged with:
 - 1. Assault on a Department of Correction Employee;

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2. Hostage Holding of a Department of Correction Employee;
 3. Creating a Disturbance;
 4. Felonious Misconduct;
 5. Escape;
 6. Riot;
 7. Initial Security Risk Group Affiliation, or any Security Risk Group activity which causes a designation change.
- ii. If the investigator elects to dispose the disciplinary report, the investigator shall impose only half of the authorized sanctions in section 13 of this directive with the exception of RREC.
 1. RREC, as a sanction, shall be imposed in full and shall not be altered in accordance with section 13 of this directive.
 - iii. The Disciplinary Investigator shall have the authority to accept or decline an inmate's guilty plea for disposition based on the severity of the offense and/or facility penological interests at the time of investigation of any charge not listed in Section 16(b) of this Directive.
 1. If the Disciplinary Investigator determines the disciplinary report needs to be presented at a formal disciplinary hearing despite a guilty plea, the reasons and the inmates plea shall be noted on the CN 9505, Disciplinary Investigation Report.
 - iv. If the Investigator disposes the disciplinary report, no appeal shall be permitted by the inmate. Before accepting a guilty plea, the Investigator shall ensure that the inmate understands that a guilty plea precludes an appeal.
 1. The inmate shall sign the CN 9503/2 Disciplinary Report, acknowledging that a guilty plea is made voluntarily and that no appeal is permitted.
 - a. Any additional comments acronyms or abbreviations written by the inmate in the guilty section of a CN 9503/2 Disciplinary Report, other than their signature, shall not be accepted by the Disciplinary Investigator and the disciplinary report shall be referred to a formal hearing for disposition.
 2. CN 9504, Disciplinary Process Summary Report shall be prepared by the Investigator when an inmate pleads guilty pursuant to this section.
 - v. Investigator dispositions shall be consistent with the unit's disciplinary policy and interests.
- c. Pre-hearing Investigation.**
- i. If the Investigator does not dispose the disciplinary report, the Investigator shall inform the inmate about the process of investigation and hearing and ensure the inmate receives a copy of the disciplinary report at least 24 hours prior to any disciplinary hearing.
 - ii. The Investigator shall determine if the accused inmate desires an Advisor and shall inform the inmate of the available Advisors.
 1. The Investigator shall indicate the inmate's decision on CN 9505, Disciplinary Investigation Report and if an Advisor is selected, shall promptly notify the Advisor.
 - iii. The Investigator shall determine if the accused inmate desires a witness(es) and shall list the name and number of each appropriate inmate witness and the name and position of any staff witness.
 1. If an inmate declines an Advisor or identifies no witnesses, the decision(s) shall be recorded on CN 9505, Disciplinary Investigation Report, which shall be signed by the inmate.
 2. Any failure to obtain signatures shall be for good cause as determined by the Hearing Officer and documented on CN 9504, Disciplinary Process Summary Report.
 - iv. The Investigator shall conduct an investigation into the circumstances of each disciplinary report that goes to hearing and gather all information deemed relevant to the disciplinary report.
 - v. The Investigator shall report the results of the investigation on CN 9505, Disciplinary Investigation Report.

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- vi. The Investigator shall prepare a hearing docket and ensure that a disciplinary report is brought to hearing in accordance with the time frames established in Section 16(H) of this Directive and shall ensure that the inmate, any witnesses, statements, reports, the Advisor and evidence along with appropriate forms are available at the scheduled hearing.
- d. Defense Preparation.** An accused inmate shall be allowed a minimum of 24 hours, from notice to hearing, to prepare a defense.
- i. **Waiver.** An inmate may waive the 24-hour period by executing a written waiver and/or signing the Waiver of 24-Hour Notice section on page 2 of the CN 9503 (CN 9503/2), Disciplinary Report.
 - ii. **Exception.** When an inmate's release is imminent or a transfer is necessitated, an expedited disposition may be conducted in accordance with Section 16 of this Directive, which may cause the 24-hour period to be constricted.
- e. Witnesses.**
- i. **Identification and actions of Witnesses.**
 1. An accused inmate shall have an opportunity to present witness testimony at a disciplinary hearing.
 2. The Investigator shall ascertain whether the inmate wants to identify witnesses, either staff or inmate.
 3. If so, the Investigator shall record the names on CN 9505, Disciplinary Investigation Report.
 4. The inmate's failure to identify witnesses to the Investigator or Advisor shall make any subsequent request for a witness subject to the Hearing Officer's discretion.
 5. At the discretion of the Disciplinary Hearing Officer, an accused inmate may present an inmate witness statement in lieu of testimony.
 6. Witness testimony shall be truthful, relevant, freely given and not redundant.
 - ii. **Testimony.**
 1. The Investigator shall interview prospective witnesses; list the witnesses and the nature of the testimony on form CN 9505, Disciplinary Investigation Report.
 2. No inmate witness shall be compelled to testify.
 3. The inmate shall be responsible for providing written testimony for any community witnesses.
 4. To appear at a disciplinary hearing, an individual shall be present at the unit and pose no threat to an orderly disciplinary hearing or to personal safety.
 - a. If an otherwise qualified witness is unable to appear, written testimony may be submitted on CN 9511, Inmate Witness Statement Form.
 5. A staff member, called upon for testimony, shall submit such testimony in writing or in person at the discretion of the Hearing Officer.
- f. Expedited Disposition.** The disciplinary process may be expedited when an accused inmate's release is imminent or when circumstances require that the inmate be urgently transferred and it is impracticable to conduct a hearing at the receiving facility.
- i. In such case, an inmate shall receive the disciplinary report, shall be afforded a chance to prepare a defense including nominating witnesses, shall receive the services of an Advisor if desired, and any hearing shall be in accordance with Section 16 of this Directive.
- g. Transferred Inmate's Disciplinary Process.**
- i. If an inmate is transferred prior to disposition of a disciplinary report, the disciplinary report shall be disposed at the receiving unit.
 - ii. The Disciplinary Coordinators of the sending and receiving unit shall coordinate the process.
 - iii. The receiving unit shall pick up the process at the point where the sending unit left off and assume all duties for disposing the disciplinary report except the investigation.

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1. A copy of the disciplinary report shall be delivered to the inmate at the time of transfer if it has been reviewed in accordance with Sections 14 and 15 of this Directive and the disciplinary report shall be sent with the inmate.
2. If the disciplinary report is not sent with the inmate, the sending unit shall be responsible for notifying the receiving unit by telecommunications, to include email, that a disciplinary report is pending and for expeditiously forwarding the disciplinary report.
- iv. The sending unit shall:
 1. conduct an investigation;
 2. inform the receiving unit of the results of its investigation and of what procedural steps have been taken; and
 3. respond to all inquiries from the receiving unit or the Hearing Officer.
- v. The inmate may select an Advisor at the receiving unit and the receiving unit may request a CN 9508, Advisor Report, of the sending unit.
- vi. In the case of a transferred inmate, interviews may be conducted by telephone including the taking of testimony for the disciplinary hearing.
- h. Hearing.
 - i. Time Frames. A disciplinary hearing shall be convened as soon as possible but not later than seven (7) business days of the start of the investigation of the disciplinary report, except in the event of a transfer, a hearing shall be convened within ten (10) days business days.
 1. These time frames may be reasonably extended with a continuance which must be documented in writing on the CN 9504, Disciplinary Process Summary Report.
 - ii. Appearance of the Accused.
 1. An accused inmate shall be present at the disciplinary hearing unless:
 - a. the inmate declines to appear;
 - b. the inmate's behavior gives cause for exclusion or removal; and
 - c. except when confidential information is presented in accordance with Section 16(H) of this Directive.
 2. The absence of the accused inmate shall be documented on CN 9504, Disciplinary Process Summary Report.
 3. The Hearing Officer may recess the hearing for deliberation outside the presence of the inmate.
 - iii. Continuance.
 1. For good cause shown, a disciplinary hearing may be continued to a later hearing date not to exceed 10 business days.
 2. The Hearing Officer shall record the reason for any continuance on CN 9504, Disciplinary Process Summary Report.
 3. Not more than two (2) continuances may be normally granted to the Investigator or the accused for any disciplinary report.
 - a. Additional continuances may be granted under extenuating circumstances and require justification by the requesting facility. Any additional continuances shall be subject to approval and acknowledged by the Disciplinary Hearing Officer on the CN 9504, Disciplinary Process Summary Report.
 - iv. Plea.
 1. The charge as it appears on the disciplinary report shall be read and the inmate shall be asked to plea.
 2. If the inmate desires to plead guilty to the charge, the inmate shall so state.
 - a. Before accepting a guilty plea, the Hearing Officer shall ensure that the inmate understands that a guilty plea precludes an appeal.
 - b. The inmate shall sign the back of the disciplinary report acknowledging that the guilty plea is made voluntarily and no appeal is permitted.

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3. A plea of not guilty shall be entered by the Hearing Officer if the inmate refuses to plead or is not present.
4. If the inmate is unable to sign the disciplinary report the Hearing Officer shall make an appropriate notation including the reasons for the inmate's inability to sign.
- v. Evidence.
 1. Evidence may be physical evidence, a written statement or a document, or oral testimony.
 2. A copy or listing of any physical evidence shall be given or made available to the inmate or the inmate's Advisor by the Investigator at least 24 hours prior to the hearing.
 3. Physical evidence shall be presented at the hearing, as determined by the Investigator, whenever practicable.
 4. Otherwise, a sample, photograph, laboratory test, or a written description of the evidence shall be presented.
 5. Video evidence shall be collected and processed in accordance with Administrative Directive 6.9, Collection and Retention of Contraband and Physical Evidence.
- vi. Presentation of the Case.
 1. An Investigator shall present the case against the inmate.
 - a. The Investigator presenting the case need not be the Investigator who conducted the investigation.
 2. The Investigator shall read the statement of charge on the disciplinary report, explain the results of the investigation and submit a written investigative report.
 3. If the inmate is found guilty, the Investigator shall present the unit recommendation for sanction and reasons for them in the presence of the accused.
 4. The Investigator shall respond to questions from the Hearing Officer.
- vii. Confidential Information.
 1. Information which is material to the allegation of misconduct may be exempted from disclosure if it places another person in jeopardy or compromises the unit's security.
 2. If the Investigator believes that documentary or testimonial information should be exempted from disclosure, the Investigator shall present the information and an assessment of its credibility to the Hearing Officer outside the presence of the inmate and the inmate's Advisor.
 3. The Hearing Officer shall decide if the information should be exempt from disclosure and, if so, shall inform the inmate that there is exempted information.
 - a. If the inmate is found guilty of the offense, the Hearing Officer shall state, in writing, a summary of the information, an assessment of its reliability and why it was exempted. This statement shall be maintained in a file, which is not accessible to any inmate.
 4. If the Hearing Officer determines information is not confidential, the Hearing Officer may proceed with the hearing or may continue the hearing to permit the inmate time to prepare a defense.
- viii. Rebuttal. The accused inmate or the Advisor may be allowed to rebut evidence and information presented at the disciplinary hearing subject to constraints imposed by the Hearing Officer.
 1. The Hearing Officer shall constrain the rebuttal if it is irrelevant, redundant, or disrupts an orderly hearing.
- ix. Defense.
 1. The inmate shall be given an opportunity to present the inmate's version of the offense, orally and/or in writing.
 2. The inmate shall be given an opportunity to present witness testimony subject to the provisions of Section 16 E of this Directive.
 3. Witnesses may be questioned by the Hearing Officer.

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4. Defense information shall be recorded on CN 9504, Disciplinary Process Summary Report.
- i. Substitute Charge. A charge may be substituted if it becomes apparent that the original charge was inappropriate. A substitute charge may occur during the pre-hearing investigation or hearing.
1. During Pre-Hearing Investigation. A Disciplinary Coordinator may substitute a charge during pre-hearing investigation by altering the original disciplinary report and initialing it. A copy of the disciplinary report containing the substituted charge shall be delivered to the inmate at least 24 hours prior to a hearing.
- ii. During Hearing. A Hearing Officer may substitute a charge during the hearing by informing the inmate of the substitute charge and taking a plea. A substitute charge renders the original charge dismissed. If the inmate pleads not guilty to the substituted charge, a continuance may be granted upon request of either the Investigator or the accused inmate to allow for further investigation or for defense preparation.
- j. Deferred Prosecution.
- i. A Class A disciplinary report shall not be deferred.
- ii. The Disciplinary Coordinator or the Hearing Officer may defer prosecution of a Class B or C disciplinary report for up to 60 days when the inmate's disciplinary record has been positive and the alleged offense appears to be incidental.
1. If during the 60-day period, the inmate commits a classified disciplinary offense, the deferred disciplinary report shall be processed to disposition.
2. Only one (1) charge may be deferred in any 60-day period, no record shall be maintained of the deferred disciplinary report if the deferral expires. The expiration shall be noted in the facilities disciplinary logbook to close out the report.
- iii. Deferred prosecution authorized by the Disciplinary Coordinator shall be noted on the disciplinary report, signed and dated, and countersigned by the inmate.
1. Any inmate who refuses to work during an inmate disturbance or inmate work stoppage, and has been issued a disciplinary report for Disobeying a Direct Order, shall not have the disciplinary report deferred.
- k. Decision.
- i. The Disciplinary Hearing Officer shall decide the case on the basis of the hearing record.
- ii. A finding of guilty shall be based on evidence that the accused inmate committed the offense.
- iii. The Disciplinary Hearing Officer shall immediately report the decision orally to the inmate and, if guilty, the sanction imposed.
- l. Disciplinary Process Summary.
- i. The Disciplinary Hearing Officer shall produce the CN 9504, Disciplinary Process Summary Report within 24 hours of the hearing; excluding weekends and holidays.
- ii. When a disciplinary report has been disposed by an Investigator in accordance with Section 16(B) of this Directive, the Disciplinary Investigator shall complete CN 9504, Disciplinary Process Summary Report. The summary shall include:
1. the offense charged;
 2. the plea of the accused inmate;
 3. the disposition of witnesses;
 4. a summary of witness testimony;
 5. the finding and the reasons for it;
 6. the sanction(s) imposed and the reasons for it; and,
 7. any other noteworthy information about the hearing.

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- iii. A copy of the Disciplinary Process Summary shall be forwarded within two (2) business days of the completion of the disciplinary process to the following individuals:
1. Unit Administrator
 2. Investigator;
 3. the reporting employee; and
 4. the inmate.
- iv. Any testimony, which might jeopardize an inmate's safety, shall not be disclosed.
- m. Review by Unit Administrator.
- i. The Unit Administrator shall receive a copy of CN 9504, Disciplinary Process Summary Report within two (2) business days of the disposition of the Disciplinary Report.
- ii. The Unit Administrator shall not modify the finding or the formal record but may discontinue any punishment imposed if additional punishment serves no correctional purposes or for administrative reasons.
17. Appeal of a Disciplinary Action. An inmate may file an appeal regarding a disciplinary action in accordance with Administrative Directive 9.6, Inmate Administrative Remedies.
18. Record Retention Requirements.
- a. Logbooks and Disciplinary Report Numbering System.
- i. Each disciplinary report submitted for disposition shall be numbered using the originating unit's initials; followed by a two (2) digit number signifying the present year; followed by a two (2) digit number signifying the present month; followed by the sequential number in which the disciplinary report was submitted starting with the number one (1) for each new month.
- ii. The disciplinary report shall be recorded in a disciplinary logbook upon submission to the custody supervisor or unit manager in accordance with CN 9509, Disciplinary Report Log.
- b. Records.
- i. The original CN 9503, Disciplinary Report, along with copies of CN 9504, Disciplinary Process Summary Report, CN 9505, Disciplinary Investigation Report, and CN 9508, Advisor Investigation Report shall be maintained in the inmate's master file, with the exception of findings of not guilty, reversals upon appeal and informal dispositions.
1. These reports shall not be maintained in the inmate's master file, but may be maintained at the facility for statistical purposes.
 2. Information shall include findings of guilty or dismissed. Statistical information shall be included in the weekly disciplinary summary prepared by the Management of Information Systems (MIS) Unit.
- ii. Confidential testimony, which might jeopardize the safety of any person or the security of the unit, shall not be placed in the inmate's master file.
- iii. The Unit Administrator shall submit CN 9507, Monthly Disciplinary Summary as part of their monthly report.
19. Process Failure.
- a. A serious process failure may result in dismissal by the Hearing Officer or the appellate.
- b. Technical mistakes in the disciplinary process shall not be cause for the reversal or dismissal of a Disciplinary Report.
1. Technical mistakes include, but are not limited to:
 1. minor discrepancies in meeting time frames; and/or
 2. utilizing an out of date Correctional Number (CN) form.
- c. Minor technical mistakes or discrepancies shall be clarified on a CN9506, Disciplinary Supplemental Information report, and made available to the inmate and Advisor prior to hearing.
20. Inmate Discharge. Once an inmate discharges from the Department of Correction, penalties and/or sanctions shall not resume should the inmate return to custody.
21. Forms and Attachments. The following forms are applicable to this Administrative Directive and shall be utilized for the intended function.
- a. CN 9501, Disciplinary Waiver Report;

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- b. CN 9502, Informal Disposition Report;
 - c. CN 9503, Disciplinary Report;
 - d. CN 9504, Disciplinary Process Summary Report;
 - e. CN 9505, Disciplinary Investigation Report;
 - f. CN 9506, Disciplinary Supplemental Information;
 - g. CN 9507, Monthly Disciplinary Summary;
 - h. CN 9508, Advisor Report;
 - i. CN 9509, Disciplinary Report Log;
 - j. CN 9510, Mental Health Disciplinary Review Form;
 - k. CN 9511, Inmate Witness Statement Form;
 - l. CN 9512, RREC Increased Forfeiture;
 - m. Attachment A, Loss of Time Grid; and,
 - n. Attachment B, Code of Penal Discipline Offenses.
22. Exceptions. Any exceptions to the procedures in this Administrative Directive shall require prior written approval from the Commissioner.

ADMINISTRATIVE DIRECTIVE 9.4, SPECIAL MANAGEMENT

Section C:

1. Administrative Directive 9.4, Special Management

ADMINISTRATIVE DIRECTIVE 9.4, SPECIAL MANAGEMENT

 <p>State of Connecticut Department of Correction</p> <p>ADMINISTRATIVE DIRECTIVE</p>	Directive Number 9.4	Effective Date 04/21/2023	Page 1 of 19
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1. **Policy.** The Department of Correction shall provide for the special management of those inmates who present unique safety and security concerns or who exhibit maladaptive behavior.
2. **Authority and Reference.**
 - a. Connecticut General Statutes, Section 18-81, 53a-54b, 53a-46a.
 - b. Public Act 17-239, Public Act 22-18.
 - c. Administrative Directives 4.1, Inmate Records; 4.2A, Risk Reduction Earned Credits (RREC); 6.1, Tours and Inspections; 6.2, Facility Post Orders and Logs; 6.6, Reporting of Incidents; 6.10, Inmate Property; 6.14, Security Risk Groups; 9.2, Offender Classification; 9.5, Code of Penal Discipline; 9.6, Inmate Administrative Remedies; and 10.3, Inmate Legal Assistance.
3. **Definitions and Acronyms.** For the purposes stated herein, the following definitions and acronyms apply:
 - a. **Abbreviated Special Monitoring.**
 1. A designation for inmates who were classified on the following statuses at the time of discharge and who have returned to custody after more than 30 calendar days:
 1. Administrative Segregation or,
 2. Chronic Discipline.
 - b. **Administrative Detention.**
 1. Removal of an inmate from general population and placement in a restrictive housing unit that results in segregation of the inmate:
 1. Pending the completion of a disciplinary hearing in accordance with Administrative Directive 9.5, Code of Penal Discipline, when it is felt that failure to remove the inmate from population would present a danger to staff, the inmate, any other inmate, or cause an immediate threat of disruption to the facility;
 2. Pending the outcome of an investigation of an allegation or information involving the inmate in the commission of a crime or of activities jeopardizing the security of the facility or the safety of staff or inmates that could result in placement on Punitive or Administrative Segregation or transfer to High Security; or,
 3. To provide temporary protection of an inmate pending a decision for an inmate placed on Protective Custody status or an evaluation by health services staff.
 - c. **Administrative Segregation.** A Special Management status that results in removal from general population due to the inmate's behavior or management factors that pose a threat to the security of the facility or a risk to the safety of staff, the inmate, or other inmates.
 - d. **Behavioral Observation Status.** A status determined necessary by a qualified mental health professional, to extinguish maladaptive behaviors while maintaining safety and security of the inmate.
 - e. **CC.** Correctional Center.
 - f. **Chronic Discipline.** A Special Management status that results in management of an inmate whose behavior, while incarcerated, poses a threat to the security and orderly operation of the facility, or a risk to the safety of staff or other inmates due to the inmate's repetitive disciplinary

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- g. **CI.** Correctional Institution.
- h. **DOC.** Department of Correction.
- i. **EHR.** Electronic Health Record
- j. **High Security.** A designation, which provides for increased supervision of inmates who pose a threat to the safety and security of the facility, staff, inmates or the public.
- k. **Monitored Movement.** The monitoring of an inmate's movement using:
 - i. Personal visual observation;
 - ii. Visual observation with the aid of video equipment; and/or
 - iii. Communication between staff initiated at the starting point of movement, proceeding along the inmate's route of travel, and concluding at the authorized destination with verification of the inmate's arrival.
- l. **CCPM.** Offender Classification and Population Management.
- m. **EC.** Protective Custody Status as defined by Administrative Directive 9.9, Protective Custody.
- n. **Punitive Segregation.** A Special Management status for an inmate who is found guilty of violating Administrative Directive 9.5, Code of Penal Discipline, and who is sanctioned in accordance with, Administrative Directive 9.5, Code of Penal Discipline.
- o. **Qualified Mental Health Professionals.** Psychiatrists, psychologists, Psychiatric APRNs, clinical social workers, psychiatric nurses (e.g. nurse clinicians) and others who by virtue of their education, credentials and experience are permitted by law to evaluate and care for the mental health needs of inmates.
- p. **Restrictive Housing Unit (RHU).** An inmate housing unit which is physically separated from other inmate housing in which inmates on Administrative Detention, Punitive Segregation, or Transfer Detention are placed.
- q. **Restrictive Status.** May consist of, but not limited to the following statuses:
 - i. Administrative Detention,
 - ii. Punitive Segregation, and
 - iii. Transfer Detention.
- r. **Special Management Status.** A designation, which provides for closely regulated management and programming requirements to assist in reintegration of inmates into general population.
 - i. **Special Management Status.** Shall consist of the following:
 1. Administrative Segregation,
 2. Special Needs Management,
 3. Chronic Discipline, and
 4. Security Risk Group Affiliation (Security Risk Group Member).
- s. **Special Monitoring.** A designation, which provides for enhanced monitoring of an inmate upon the inmate's removal from a Special Management status or for other reasons related to safety and security.
- t. **Special Needs Management.** A Special Management status for inmates who have demonstrated behavioral qualities either through the serious nature of their crime, or behavior, or through the reasonable belief that they pose a threat to the safety and security of staff, other inmates, themselves, or the public.
- u. **SRG.** Security Risk Group as defined by Administrative Directive 6.14, Security Risk Groups.
- v. **STARS.** Statistical Tracking Analysis Reporting System.
- w. **Station Log.** A hardbound book or automated chronological record of day-to-day events in a housing unit.
- x. **Transfer Detention.** Placement in a restrictive housing unit of an inmate who has been reclassified to a security level higher than the facility at which the inmate is housed and is awaiting transfer, or who is awaiting transfer to another facility for the inmate's own protection or the

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- protection of others.
y. YI. Youth Institution.
4. General Conditions for Restrictive Housing Units.
- a. Housing Conditions.
- i. Housing areas in the RHU shall be well ventilated, adequately lit, appropriately heated, and maintained in a sanitary condition at all times.
 - ii. Each cell shall be equipped with bed(s), which shall be securely fastened to the wall or floor.
- b. Clothing.
- i. Each inmate housed in RHU shall be provided appropriate clothing in accordance with Administrative Directive 6.10, Inmate Property.
 - ii. An inmate's clothing may be modified by a supervisor or qualified mental health provider due to an inmate's maladaptive behavior.
- c. Hygiene.
- i. Each inmate housed in an RHU shall be provided opportunities for personal hygiene.
- d. Food.
- i. An inmate housed in an RHU shall be served the same quality and quantity of food as that available to inmates in general population.
- e. Linen and Laundry.
- i. An inmate housed in an RHU shall be provided the appropriate bedding items and laundry schedule.
- f. Exceptions. Individual inmates may require additional restrictions for order and/or control based upon their past history or current behavior.
- g. Designation of Restrictive Housing Units. Where possible, and as appropriate, the Unit Administrator shall designate specific housing unit(s) that may be used to house any inmate placed on, Administrative Detention, Punitive Segregation or Transfer Detention.
- i. Only staff or inmates with official business to conduct shall be allowed to enter the Restrictive Housing Unit.
- h. Log Maintenance. Staff assigned to a Restrictive Housing Unit shall maintain the permanent station log in accordance with Attachment F, Restrictive Housing Unit - Log Entries and Administrative Directive 6.2, Facility Post Orders and Logs.
5. Placement. The initial placement requirements for inmates placed on, Administrative Detention, Punitive Segregation or Transfer Detention shall be in accordance with Attachment A, Special Management Status Matrix.
- a. Placement Order.
- i. Any placement on Administrative Detention or Transfer Detention shall be documented on a CN 9401, Restrictive Housing/Special Management Unit Status Order.
 - ii. Copies shall be distributed as designated on CN 9401, Restrictive Housing/Special Management Unit Status Order.
 - iii. The Unit Administrator or designee shall review the placement within 72 hours of placement in Administrative Detention to determine whether continued confinement in Administrative Detention is necessary.
- b. Health Services Consultation.
- i. Medical review.
 1. Custody staff shall immediately notify medical staff when an inmate is identified for placement into an RHU.
 2. Once notified, Health Services Staff shall assess the inmate to determine if placement in RHU is medically appropriate.
 - a. If it is determined that the inmate has a serious medical condition that cannot be adequately treated while in RHU, the Unit Administrator or designee may place the inmate within the medical unit for the time the inmate would have been assigned to RHU.

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3. In the event that any medical contraindications exist, Health Services Staff shall notify the custody supervisor verbally and in writing utilizing CN 6606, Medical Incident Report and the RHU Placement Health Evaluation Encounter found within the EHR.
- ii. Mental Health review.
1. For any inmate with a mental health needs score greater than or equal to a four (4), a qualified mental health provider shall assess the inmate to determine if placement in restrictive housing is appropriate.
 2. Custody staff shall immediately notify mental health staff when an inmate with a mental health needs score that is greater than or equal to a four (4) is identified for placement into RHU.
 - i. In the event that there is no mental health staff present, a registered nurse (RN) shall provide the initial evaluation; however, a qualified mental health provider must review the decision as soon as they are next in the institution.
 3. In the event that any mental health contradictions exist, the qualified mental health provider shall notify the custody supervisor and document the reasons why the inmate should not be placed in RHU on a CN 9510, Mental Health Disciplinary Review Form.
- iii. If the placement does not result from a Disciplinary Report, the qualified mental health provider or designee shall document the reason that inmate is not clear for placement in RHU on a CN 6606, Medical Incident Report. The EHR shall be updated to reflect why the reason for placement is not suitable. When an inmate is identified as a member of a vulnerable population, as defined in Administrative Directive 9.7, Offender Management, and is placed in RHU, a separate review shall be conducted the next business day by the Unit Administrator or designee, in consultation with the Supervising Psychologist, or designee, and a Nursing Supervisor or designee, to determine if continued placement in RHU would be detrimental to the inmate.
1. The Unit Administrator or designee, in consultation with the Supervising Psychologist or designee and a Nursing Supervisor or designee, shall remove the inmate from RHU if it is determined that continued placement in RHU would be detrimental to the inmate, unless the inmate presents a serious threat to him or herself, the population, staff, or the safety and security of the facility.
- c. Status Removal. When the inmate is removed from, Administrative Detention, Punitive Segregation or Transfer Detention Section 5 of the original placement order shall be completed and the order shall be placed in the inmate's master file.
- d. Inmate Notification.
- i. The inmate shall receive a copy of CN 9401, Restrictive Housing/Special Management Unit Status Order at the time of placement in RHU, unless there is an emergency situation, such as a major disturbance involving a substantial number of inmates, when the inmate is placed on behavioral observation status, or when there are other extenuating circumstances present which preclude the inmate from retaining such documentation in his/her cell.
 1. Inmates assigned to certain behavioral and/or observational statuses in accordance with Administrative Directives 6.5 Use

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- of Force; 8.5, Mental Health Services; and 8.14, Suicide Prevention and Intervention, which prevent the retention of documentation, shall be afforded an opportunity to view the CN 9401, Restrictive Housing/Special Management Unit Status Order upon delivery.
2. A copy of CN 9401, Restrictive Housing/Special Management Unit Status Order shall remain at the officers' station with a copy of the relevant disciplinary report until such time the inmate is cleared to receive/retain the paper copy.
 3. Once the inmate is cleared to receive/retain the paper copy of the documents, the Unit Manager or designee shall provide the inmate with the documentation.

6. Special Management Status General Provisions.

- a. Any inmate who is currently classified on a Special Management status and engages in behavior, which may result in consideration for a different Special Management status, may have a review and hearing in accordance with this Directive.
 - i. In consultation with the appropriate agency administrators, the Director of OCPM shall determine the inmate's appropriate Special Management status and shall direct agency staff to complete the necessary departmental actions to reclassify the inmate, as needed.
- b. Any inmate classified on a Special Management status who is placed on a different Special Management status due to maladaptive behavior outlined in this Directive or Administrative Directive 6.14, Security Risk Group, may need to complete all requirements for each Special Management status, to include mandated programming associated with each status.
 - i. At the time of reclassification to a different Special Management status, the inmate shall be notified of the requirements associated with that placement or reclassification.
- c. Any inmate who refuses to sign any required documents shall have this refusal documented by staff on that form. Failure to sign the document does not preclude any subsequent hearing.
 - i. Within documentation that requires an inmate's signature, an inmate's use of any acronyms, abbreviations, or statements in place of his or her signature, shall be considered a refusal to sign the document.
- d. An inmate shall not earn or receive statutory good time, seven-day work credit, restoration of lost good time, outstanding meritorious performance awards or Risk Reduction Earned Credit (RREC) while on the following Special Management Statuses:
 - i. Administrative Segregation;
 - ii. Chronic Discipline Status;
 - iii. Special Needs Management Status;
- e. An inmate on a Special Management status shall not be entitled access to programs or privileges afforded to an inmate in general population.
 - i. An inmate on a Special Management status shall be given access to available programs and services in accordance with the individual facility's program compendium.

7. Chronic Discipline (CD) Status.

a. General Provisions.

- i. Chronic Discipline programs shall be established and maintained at all level four facilities as authorized by the Deputy Commissioner of Operations and Rehabilitative Services.
- ii. Inmates between the ages of 14 and 17 years of age shall not be placed on Chronic Discipline Status regardless of housing location or behavior.
 1. Inmates between the ages of 14 and 17 shall be placed on an

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individualized behavioral management plan.

b. Initial Placement Review.

- i. The review and hearing for Chronic Discipline placement shall be in accordance with this section. An inmate shall not be placed in Chronic Discipline without a hearing.
- ii. Assignment to Chronic Discipline Status shall be dependent upon the serious and repetitive nature of the disciplinary behavior.
 1. Other classification alternatives (e.g., risk level increases) shall be considered, where appropriate, prior to consideration for Chronic Discipline.
- iii. Consideration for Chronic Discipline may occur under any of the following conditions:
 1. Two (2) or more incidental assaults of staff (as defined by form CN 6607, Report of Assault on Staff) within the past year of confinement;
 2. Three (3) or more class A disciplinary offenses within 180 calendar days; or,
 3. Three (3) or more class A/B combination of disciplinary offenses within 120 calendar days.
- iv. Consideration does not imply an automatic classification increase to Chronic Discipline.

c. Hearing Notice.

- i. A written notice of the hearing and the reasons for the hearing shall be given to the inmate a minimum of two (2) business days prior to the hearing utilizing CN 9402, Notification of Hearing.
- ii. The notice shall state, consistent with the protection of any informant, why such classification is being considered.
 1. The notice shall inform the inmate that he or she may request an advisor to assist with the hearing in accordance with Administrative Directive 9.5, Code of Penal Discipline.
 2. The notice shall inform the inmate that he or she may request witness statements.
- iii. The inmate may waive the notice provision in writing by completing CN 9403, Waiver of 48-Hour Hearing Notice and/or Attendance; however, the Hearing Officer may choose not to honor the waiver and shall issue the notice.

d. Hearing.

- i. The Unit Administrator shall designate a Facility Hearing Officer.
- ii. The Facility Hearing Officer shall conduct a hearing to consider classification assignment to Chronic Discipline.
- iii. The Facility Hearing Officer shall examine evidence to assist in making a recommendation, including but not limited to the inmate's and/or any witness statements.

e. Recommendation.

- i. The Facility Hearing Officer shall provide a written recommendation to the Unit Administrator utilizing CN 9404, Special Management Report of Hearing for Placement or Removal form, including details of the information, which was relied upon, and the reasons for or against placement in Chronic Discipline.
 1. Any confidential information shall be maintained in a file that is not accessible to any inmate.
 2. The use of confidential information, along with any assessment of its reliability, shall be included with CN 9404, Special Management Report of Hearing for Placement or Removal form, as a separate attachment.

f. Decision.

- i. The Unit Administrator shall review any recommendation for assignment to Chronic Discipline.
- ii. Any recommendation for assignment and placement, including the

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- completed CN 9404, Special Management Report of Hearing for Placement or Removal form, and all supporting documentation, shall be forwarded to OCPM within five (5) business days of the Unit Administrators Review.
- iii. The Director of OCPM shall make the decision regarding the inmate's classification to Chronic Discipline Status and shall complete and forward the CN 9405, Special Management Notification of Decision to the Unit Administrator who submitted the recommendation, the inmate, and the Unit Administrator responsible for the respective Chronic Discipline Unit.
- g. Placement.**
- i. An inmate shall complete all Punitive Segregation sanctions prior to assignment to Chronic Discipline Status, unless otherwise directed by the Unit Administrator or designee.
- h. Classification.**
- i. The OCPM Unit shall make all appropriate classification and profile changes resulting from an inmate's Chronic Discipline placement.
- ii. Inmates assigned to Chronic Discipline shall be assigned an overall risk level of four (4).
- iii. Unless otherwise directed by Administrative Directive, all classification and status change decisions within the unit shall be made by the Unit Administrator or designee.
- i. Management Standards.**
- i. An inmate on Chronic Discipline status shall be managed in accordance with the following:
1. Attachment D, Chronic Discipline Status - Provisions and Management Standards.
- j. Review and Progression.**
- i. Progression through Chronic Discipline phases shall be contingent upon successful completion of specific program components in accordance with unit policies.
- ii. Should an inmate be unable to make progress through the Chronic Discipline phases the Unit Administrator may present an alternative management plan to the District Administrator.
1. Upon approval from the District Administrator, a recommendation for transfer, if needed, will be forwarded to the Director of OCPM to facilitate transfer of the inmate.
- k. Removal and Reclassification.**
- i. The Unit Administrator shall complete, sign, and submit the CN 9404 Special Management Report of Hearing for Placement or Removal, to the Director of OCPM.
- ii. The decision to remove an inmate from Chronic Discipline shall be made by the Director of OCPM or higher authority only.
1. The Director of OCPM's written decision to remove the inmate from Chronic Discipline Status shall be documented on the Inmate Classification Form and forwarded to the Unit Administrator.
- iii. Once the Director of OCPM approves an inmate's removal from Chronic Discipline the Director of OCPM or designee shall initiate a classification review in accordance with Administrative Directive 9.2, Offender Classification.
- l. Readmission after discharging while on Chronic Discipline.**
- i. Any inmate who has discharged while on Chronic Discipline status shall be classified upon reentry pursuant to the provisions outlined in Section 12 of this directive.
- ii. Any inmate who is readmitted to the Department of Correction following discharge or return from another jurisdiction's custody

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- is subject to the provisions outlined in section 12 of this directive.
- 8. Administrative Segregation Status.**
- a. General Provisions.**
- i. Administrative Segregation shall be authorized at level 4 or 5 facilities designated by the Commissioner.
- ii. With authorization from the Director of OCPM, inmates on Administrative Segregation status who are attending court may be housed at Bridgeport CC, New Haven CC, Hartford CC or Corrigan CC.
- iii. Any facility not authorized to house inmates on Administrative Segregation status shall place the inmate on Administrative Detention status in accordance with this Directive. The Unit Administrator or designee shall then notify the Director of OCPM to transfer the inmate to an appropriate facility and to conduct an Administrative Segregation hearing.
- iv. The Department of Correction shall not place any inmate under the age of eighteen (18) on Administrative Segregation.
- v. Assignment to Administrative Segregation status shall be considered when any totality of facts, information, or circumstances indicates an immediate threat to safety and/or security of the public, staff, or other inmates.
- vi. An inmate shall be placed on Administrative Detention and be reviewed for placement on Administrative Segregation when any of the following conditions are met:
1. Level 1 assault Intentional/Direct Assault on a Department of Correction employee as defined in Administrative Directive 6.6, Reporting of Incidents;
2. Hostage holding of a Department of Correction employee;
3. Riot;
4. Homicide while confined;
5. Escape from the security perimeter of a facility; or
6. An inmate is in pretrial or pre-sentence status for a Capital Felony Murder charge.
- vii. The Director of OCPM shall increase the overall risk level of all inmates assigned to Administrative Segregation to five (5).
1. If an inmate is determined to be eligible for review to be placed on Administrative Segregation and has a mental health needs score that is greater than or equal to a four (4), the Director of Behavioral Health Services or designee, in consultation with the Director of OCPM or designee, shall determine if placement on Administrative Segregation is appropriate.
2. The Director of OCPM or designee shall determine the location to which the inmate shall be transferred until a hearing for Administrative Segregation can be held.
- b. Initial Placement Review.**
- i. Each review and hearing for Administrative Segregation shall be in accordance with this Section.
- ii. An inmate shall not be placed on Administrative Segregation without a hearing.
- iii. Requests for review and placement on Administrative Segregation shall be initiated by either the District Administrator, Unit Administrator, or their designees.
- c. Hearing Notice.**
- i. A written notice of the hearing and the reasons for the hearing shall be given to the inmate a minimum of two (2) business days prior to

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- the hearing utilizing CN 9402, Notification of Hearing.
- ii. The notice shall state, consistent with the protection of any informant, why Administrative Segregation is being considered.
1. The notice shall inform the inmate that he or she may request an advisor to assist with a hearing in accordance with Administrative Directive 9.5, Code of Penal Discipline.
 2. The inmate may also request a witness statement.
 3. The inmate may waive the notice provision in writing by completing a CN 9403, Waiver of 48-Hour Hearing Notice and/or Attendance; however, the Administrative Segregation Hearing Officer may choose not to honor the waiver, and shall issue the notice.
- d. Hearing.
- i. The Director of OCPM shall designate a supervisory staff member as an Administrative Segregation Hearing Officer to conduct any hearing for Administrative Segregation.
 - ii. The Administrative Segregation Hearing Officer shall conduct a hearing to consider classification assignment to Administrative Segregation Status.
 - iii. During the Hearing, the Administrative Segregation Hearing Officer shall examine evidence, which may include but is not limited to:
 1. Video or photographic evidence;
 2. Incident reports in accordance with Administrative Directive 6.6, Reporting of Incidents;
 3. The inmate's and any witness statements, if submitted; and
 4. The recommendation from the Unit Administrator.
 - iv. The Administrative Segregation Hearing shall be conducted not later than 30 business days after the completion of Administrative Detention, an investigation, or after the completion of Punitive Segregation sanctions.
- e. Recommendation.
- i. The Administrative Segregation Hearing Officer shall provide a written recommendation utilizing CN 9404, Special Management Report of Hearing for Placement or Removal form, including the information, which was relied upon, and the reason(s) for or against placement in Administrative Segregation.
 - ii. Any confidential information shall be maintained in a file that is not accessible to any inmate.
 - iii. The use of confidential information, along with any assessment of its reliability, shall be included with CN 9404, Special Management Report of Hearing for Placement or Removal form, as a separate attachment.
- f. Decision.
- i. The completed CN 9404, Special Management Report of Hearing for Placement or Removal form, shall be forwarded to the Director of OCPM within five (5) business days after the conclusion of the hearing.
 - ii. A decision as to the inmate's placement shall be made by the Director of OCPM, who shall complete and forward CN 9405, Special Management Notification of Decision, to the Unit Administrator and the inmate within 15 business days of submission of the CN 9404, Special Management Report of Hearing for Placement or Removal.
- g. Placement.
- i. Placement of an inmate on Administrative Segregation Status shall be at the discretion of the Director of OCPM in accordance with this Directive.
 - ii. Upon placement on Administrative Segregation Status, the inmate's Mental Health Evaluation Placement Administrative Segregation encounter, found within the EHR, shall be completed by the requesting facility's mental health clinician and forwarded to the Director of

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- Behavioral Health Services or designee.
1. The Director of Behavioral Health Services or designee shall review the completed Mental Health Evaluation Placement Administrative Segregation encounter and forward a placement recommendation to the Offender Classification and Population Management Unit for action.
- h. Classification.
- i. The OCPM Unit shall make all appropriate classification and profile changes resulting from an inmate's Administrative Segregation placement.
 - ii. Inmates assigned to Administrative Segregation shall be assigned an overall risk level of five (5).
- i. Management Standards.
- i. An inmate on Administrative Segregation shall be managed in accordance with the following:
 1. Attachment A, Special Management Status Matrix; and,
 2. Attachment C, Special Management Status - Provisions and Management Standards AS and SN.
- j. Review and Progression.
- i. Any inmate assigned to Administrative Segregation shall be reviewed at a minimum of every six months for regular review or upon completion of the programming requirements of Administrative Segregation.
 - ii. Any inmate assigned to Administrative Segregation shall have monthly progression/regression reviews completed by the Unit Management Team to determine if an inmate has completed the requirements to progress to the next phase.
 1. The progression reviews shall be documented on a CN 9411, Administrative Segregation Phase Program Review form.
 - i. Progression/Regression through the phases associated with Administrative Segregation shall be contingent upon successful completion of specific program components in accordance with unit policies.
 - iii. The Unit Administrator, in consultation with the Director of Behavioral Health Services or designee, shall develop an individualized treatment plan for any inmate classified on Administrative Segregation status with a mental health score greater than or equal to four (4).
- k. Removal and Reclassification.
- i. Removal and Reclassification from Administrative Segregation shall be in accordance with Attachment A, Special Management Status Matrix, and by completing the appropriate section of CN 9404, Special Management Report of Hearing for Placement or Removal form.
 - ii. Once the Director of OCPM approves the removal of an inmate from Administrative Segregation, the Correctional Counselor Supervisor or designee shall initiate a classification review in accordance with Administrative Directive 9.2, Offender Classification.
 - iii. Any inmate removed from Administrative Segregation shall be reduced to an overall risk level of four (4).
- l. Readmission after discharging while on Administrative Segregation Status.
- i. Any inmate who has discharged while on Administrative Segregation status is, upon reentry, subject to the provisions outlined in Section 12 of this directive.
 - ii. Any inmate who is readmitted to the Department of Correction following discharge or return from another jurisdiction's custody

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is subject to the provisions outlined in Section 12 of this directive.

9. Special Needs Management Status.

a. General Provisions.

- i. Inmates on Special Needs Management status may be housed at any level 4 or 5 facility as determined by the Deputy Commissioner of Operations and Rehabilitative Services or designee.
- ii. Any facility not authorized to house inmates on Special Needs Management status shall place the inmate on Administrative Detention in accordance with this Directive and notify the Director of OCPM to transfer the inmate to an appropriate facility and conduct a hearing.
- iii. An inmate may be removed from any Special Management category at any time for assignment to Special Needs Management status
- iv. An inmate shall not be placed in Special Needs Management without notice and a hearing.
- v. The Unit Administrator, in consultation with the Director of Behavioral Health Services or designee, shall submit a request for Special Needs Management status to the Director of OCPM.

b. Initial Placement Review.

- i. Each review and hearing for Special Needs shall be in accordance with this Section.
- ii. An inmate shall be considered for Special Needs management status when demonstrated behavioral qualities and/or the serious nature of their crime lead to the reasonable belief that the inmate will pose a continued threat to the safety and security of staff, other inmates, themselves, or the public, despite participation in or completion of another Special Management status.

c. Hearing Notice.

- i. A written notice of the hearing and the reason(s) for the hearing shall be given to the inmate a minimum of two (2) business days prior to the hearing utilizing CN 9402, Notification of Hearing.
- ii. The notice shall state, consistent with the protection of any informant, why Special Needs Management is being considered.
 1. The notice shall inform the inmate they may request an advisor to assist with a hearing in accordance with Administrative Directive 9.5, Code of Penal Discipline.
 - i. The inmate may also request witness statements.
 2. The inmate may waive the notice provision in writing by completing CN 9403, Waiver of 48-Hour Hearing Notice and/or Attendance; however, the Special Needs Management Hearing Officer may choose not to honor the waiver and shall issue the notice.

d. Hearing.

- i. The Director of OCPM shall designate a supervisory staff member as a Special Needs Hearing Officer to conduct the hearing for Special Needs.
- ii. The Special Needs Management Hearing Officer shall conduct a hearing to consider placement on Special Needs Management.
- iii. The Special Needs Management Hearing Officer shall examine evidence related to such placement, which may include but not be limited to:
 1. Any video or photographic evidence;
 2. Any applicable incident reports in accordance with Administrative Directive 6.6, Reporting of Incidents;
 3. The inmate's and witness statements, if any;
 4. The recommendation from the Director of Behavioral Health Services or designee; and
 5. The recommendation from the Unit Administrator.
- iv. The Special Needs Management Hearing shall be conducted:
 1. Not later than 30 business days after the inmate's completion

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- of Administrative Detention;
2. Not later than 30 business days from the date the Director of OCPM approves the inmate's removal from Administrative Segregation; or
3. Not later than 30 business days after the inmate's completion of Punitive Sequestration sanctions.

e. Recommendation.

- i. The Special Needs Management Hearing Officer shall provide a written recommendation utilizing CN 9404, Special Management Report of Hearing for Placement or Removal form, including the information, which was relied upon, and the reasons for or against placement on Special Needs Management.
- ii. Any confidential information shall be maintained in a file that is not accessible to any inmate.
- iii. The use of confidential information, along with any assessment of its reliability, shall be included with CN 9404, Special Management Report of Hearing for Placement or Removal form, as a separate attachment.

f. Decision.

- i. The completed CN 9404, Special Management Report of Hearing for Placement or Removal form, shall be forwarded to the Director of OCPM for review and decision.
- ii. Placement on Special Needs Management status shall be determined by the Director of OCPM in consultation with the Deputy Commissioner of Operations and Rehabilitative Services and the Director of Behavioral Health Services as outlined in Attachment A, Special Management Status Matrix.
- iii. Written notification of approval or denial for placement on Special Needs Management status shall be forwarded to the appropriate Unit Administrator, as well as to the inmate. If approved for Special Needs Management, the Director of OCPM shall authorize the appropriate management sub code for the inmate.

g. Placement.

- i. The Director of Behavioral Health Services or designee shall determine any appropriate intervention and develop a treatment plan, which may include mandated placement in a Special Needs Behavioral Treatment Program.

h. Classification.

- i. The OCPM Unit shall make all appropriate classification and profile changes resulting from an inmate's Special Needs Management placement.
- ii. Inmates assigned to Special Needs Management shall be assigned an overall risk level of four (4).
- iii. Unless otherwise directed by Administrative Directive, all classification and status change decisions within the Special Needs Unit shall be made by the Director of OCPM or designee.

i. Management.

- i. An inmate on Special Needs Management shall be managed in accordance with the following:
 1. Attachment A, Special Management Status Matrix; and,
 2. Attachment C, Special Management Status - Provisions and Management Standards AS and SN.
- ii. An individualized facility management plan for each inmate on Special Needs Management status shall be developed collaboratively by the facility custody and mental health staff, and reviewed and approved by the Director of Behavioral Health Services in consultation with the Deputy Commissioner of Operations and Rehabilitative Services.
- iii. The individualized facility management plan shall include

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recommendations to assist the inmate in achieving removal from Special Needs Management status.

i. Review and Progression.

- i. An inmate's continued placement on Special Needs Management status shall be reviewed at a minimum of every six (6) months.
- ii. Each inmate placed on Special Needs Management status shall be evaluated by a mental health professional 30 business days after initial placement on Special Needs and every 90-business days thereafter.

1. All such reviews shall be documented in the inmate's EHR.

k. Removal and Reclassification.

- i. The Unit Administrator, in consultation with the Director of Behavioral Health Services or designee, shall review and make recommendations to the Director of OCPM regarding an inmate's removal from Special Needs Management status by completing the appropriate section of CN 9404, Special Management Report of Hearing for Placement or Removal form.
- ii. Release from Special Needs Management status shall be determined by the Director of OCPM in consultation with the Commissioner or designee as outlined in Attachment A, Special Management Status Matrix.
- iii. Once the Director of OCPM authorizes the removal from Special Needs Management, the Correctional Counselor Supervisor or designee shall initiate a classification review in accordance with Administrative Directive 9.2, Offender Classification.

l. Readmission after discharging while on Special Needs Management.

- i. Any inmate, who discharged while on Special Needs Management, shall be placed on Administrative Detention status pending placement to the appropriate housing. The Unit Administrator or designee shall contact the Director of OCPM, who shall consult with the Director of Behavioral Health Services and the Deputy Commissioner of Operations and Rehabilitative Services regarding the inmate's readmission in order to determine whether or not to return the inmate to Special Needs Management status in accordance with the provisions set forth in this directive.

10. High Security Status.

a. General Provisions.

- i. Placement of an inmate on High Security status shall be at the discretion of the Director of OCPM.
- ii. An inmate on High Security status shall be assigned at least an overall risk level 4 or above and shall be housed in a level 4 or 5 facility.
- iii. Placement of an inmate on High Security status shall not preclude, and may be used in conjunction with, placement on any other Special Management status.

b. Initial Placement Review. Inmates may be considered for placement on High Security status if they meet the following criteria:

1. Has a staff profile resulting from, hostage taking, intentional/direct assault (as defined by form CN 6607, Report of Assault on Staff), and/or murder of a Department of Correction or other law enforcement staff member.
2. Has a documented history of serious disruptive behavior including but not limited to:
 - i. Leading food strikes or work stoppages; or
 - ii. Leading or participating in a riot.
3. Has a level 4 or higher escape risk classification score.
4. Has escaped and been returned to custody, or has attempted to

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escape

5. Any information that indicates an inmate may attempt to escape, including but not limited to:
 - i. Threats to escape;
 - ii. Information discovered on mail or phone review indicating plans for an escape;
 - iii. Possession of escape-related contraband (e.g., tools, civilian clothing, maps);
 - iv. Cell damage that indicates an attempt or probable attempt to escape;
 - v. Significant change in inmate's personal status; or
 - vi. Additional detainers, denial of a release application or new charges.

6. Inmate's experience, special skills, and/or knowledge, which may present security or safety concerns or may be associated with the design or construction of a correctional facility.

7. Other documented criteria, including confidential law enforcement intelligence information.

c. Recommendation.

- i. To request an inmate's placement on High Security, the Unit Administrator must provide a written recommendation, along with supporting information, to the Director of OCPM.
 1. Any confidential information shall be maintained in a file, which is not accessible to any inmate.
 2. The use of confidential information, along with any assessment of its reliability, shall be included with CN 9406, High Security Information Report, as a separate attachment.

d. Decision.

- i. The Director of OCPM shall review any recommendation from a Unit Administrator for an inmate's placement on High Security.
- ii. A decision as to whether the inmate will be placed on High Security must be made by the Director of OCPM within five (5) business days from receiving the Unit Administrator's initial recommendation.
- iii. Placement of an inmate on High Security Status shall be at the discretion of the Director of OCPM in accordance with this Directive.
- iv. The Director of OCPM shall document the placement decision for High Security on a CN 9408, Notification of Placement High Security and Special Monitoring form and forward it to the facility for dissemination to the inmate.

e. Classification.

- i. The OCPM Unit shall make all appropriate classification and profile changes resulting from an inmate's High Security placement.
- ii. Once the Unit Administrator is notified that the inmate has been placed on High Security, the Unit Administrator or designee shall ensure that the following occurs:
 1. a green file flag card, designating the inmate as a High Security Inmate, shall be placed as the top page in Section 5 of the inmate's master file in accordance with Administrative Directive 4.1, Inmate Records; and
 2. The inmate shall be notified of all management standards and given all appropriate documentation regarding their placement on High Security.

f. Management Standards.

- i. The inmate shall be managed in accordance with management standards outlined on Attachment E, High Security/Special Monitoring-Provisions and Management Standards.

g. Review.

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- i. The Unit Administrator or designee shall review the status of each inmate placed on High Security Monitoring, at a minimum, every six (6) months and shall be documented on a CN 9410, High Security & Special Monitoring Review Form.
- ii. The review may be in conjunction with a classification review.
- iii. Each unit that houses an inmate on High Security status shall maintain information related to the inmate's High Security status for unit staff review.

h. Removal and Reclassification.

- i. The Unit Administrator shall forward a recommendation for an inmate's removal from High Security placement to the Director of OCPM for review and action. The recommended removal shall be documented on the CN 9410, High Security & Special Monitoring Review Form.
- ii. The Director of OCPM may consider removal of an inmate from High Security if one (1) or more of the following criteria is applicable:
 1. The inmate's physical condition changes enough to significantly reduce or eliminate a reasonable threat of escape;
 2. Relevant, valid and documented new information has been obtained that exculpates the inmate or contradicts the initial information used for placement on High Security;
 3. the belief that an inmate may no longer present a high risk due to length of time served or changes in circumstances originally used to place the inmate on High Security ; or
 4. There has been an extended period of exemplary institutional behavior on the part of the inmate.
- iii. If the Director of OCPM approves a request for removal from High Security, the OCPM Unit shall initiate a classification review in accordance with Administrative Directive 9.2, Offender Classification, and the removal shall be documented on the CN 9410, High Security & Special Monitoring Review Form.
- iv. Readmission after discharging while on High Security Status. Upon readmission, any inmate who was previously placed on High Security prior to discharge shall be readmitted on the same status by the receiving facility. The inmate shall be reviewed for continuation or removal from High Security within fifteen (15) business days. The Unit Administrator shall submit a CN 9410, High Security and Special Monitoring Review form to the Director of OCPM.

11. Special Monitoring Status.

a. General Provisions.

- i. An inmate shall be reviewed for placement on Special Monitoring status, when an inmate completes or is removed from programs associated with the following:
 1. Administrative Segregation,
 2. Chronic Discipline,
 3. Security Risk Group, or
 4. Special Needs Management.
- ii. The Unit Administrator shall document the placement decision for Special Monitoring on a CN 9408, Notification of Placement High Security and Special Monitoring, and forward it to the designated staff member for dissemination to the inmate.

b. Special Monitoring placement not resulting from removal of a Special Management status.

i. Initial Placement Review.

1. When an inmate has been identified as needing enhanced monitoring, a custody supervisor shall submit a written request to the Unit Administrator or designee for the inmate to be

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placed on Special Monitoring status.

- i. The request must include supporting documentation.
- ii. Any confidential information shall be maintained in a file that is not accessible to any inmate.
- iii. The use of confidential information, along with any assessment of it reliability, shall be included as a separate attachment.

c. Special Monitoring Status Resulting from removal of a Special Management status.

- i. For inmates who are placed on Special Monitoring due to being removed from a Special Management status, in accordance with Section 11(a) of this directive, the OCPM unit shall automatically conduct the appropriate classification procedures (e.g., sub-code placement).
 1. Inmates who are approved for removal from their designated SRG status shall be placed on Special Monitoring. The Unit Administrator or designee shall authorize the appropriate management sub codes to reflect these classification changes.
- ii. All Special Monitoring designations shall be documented in Section 5 of the inmate's master file using a CN 9202, Offender Classification History Form.
 1. The Unit Administrator shall ensure that a blue file flag card, designating the inmate as a 'Special Monitoring Inmate', is placed as the top page in Section 5 of the inmate's master file in accordance with Administrative Directive 4.1, Inmate Records.

d. Management Standards.

- i. An inmate placed on Special Monitoring shall be managed in accordance with the standards outlined in Attachment E, High Security/Special Monitoring-Provisions and Management Standards.

e. Review and Progression.

- i. The Unit Manager/Supervisor shall conduct a meeting with the inmate and identified unit staff members on a monthly basis to review the inmate's transition, activities, and behaviors.
 1. The meeting shall be documented on CN 9407, Special Monitoring Information Form.
 2. Originals of each CN 9407, Special Monitoring Information Form, shall be forwarded to the Deputy Warden of Operations to be reviewed.
 1. Once reviewed, the Deputy Warden of Operations shall instruct the Classification Counselor Supervisor or designee to file the original forms in section 5 of the inmate's master file.
 3. The Unit Manager or designee shall also place copies of each CN 9407, Special Monitoring Information Form, in a designated area within the inmate's housing unit so that unit staff can review.
 1. If an inmate transfers to a new unit or facility while on Special Monitoring status, the related documentation shall be sent to the new location as soon as possible.
- ii. Each inmate on Special Monitoring status who transfers into a new facility shall be reviewed by the receiving facility in order to

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determine whether the continuation of Special Monitoring is warranted.

1. If the receiving facility elects to discontinue the inmate's Special Monitoring status, the appropriate management sub code shall be removed by the receiving facility.

f. Removal and Reclassification.

- i. After six (6) months, the Unit Manager/Supervisor may recommend the removal of the inmate from Special Monitoring status to the Unit Administrator or designee using CN 9410, High Security and Special Monitoring Review Form.
- ii. All removals from Special Monitoring shall be documented in Section 5 of the inmate's master file using CN 9202, Offender Classification History Form.

12. Abbreviated Special Monitoring Status.

- a. An inmate who discharges from custody while on Administrative Segregation or Chronic Discipline status and who is readmitted to custody after 30 calendar days of discharge shall have their status suspended.

- i. The inmate shall be placed on Abbreviated Special Monitoring status for a period of 15 business days and shall be housed in general population. During this period, the inmate will not be transferred to another facility unless medical or mental health issues warrant such transfer

- ii. The inmate shall be informed of his or her placement on Abbreviated Special Monitoring status and shall be provided with a copy of CN 9408, Notification of Placement High Security and Special Monitoring. The original copy of this form shall be placed in the inmate's master file. Should the inmate receive a Class A or Class B Disciplinary Report during this 15 business day period, the inmate may be considered for classification to a Special Management status.

- iii. At the conclusion of the initial 15 business day period of Abbreviated Special Monitoring, the inmate may be either, removed from Abbreviated Special Monitoring, continued on Abbreviated Special Monitoring, or considered for classification to a Special Management status.

b. Removal from Abbreviated Special Monitoring.

- i. If the inmate is approved for removal from Abbreviated Special Monitoring, the Unit Administrator shall send the CN 9404, Special Management Report of Hearing for Placement or Removal from the initial placement along with notification of Abbreviated Special Monitoring removal without further consideration to a Special Management Status to the Director of OCPM. A copy of a completed CN 9410, High Security and Special Monitoring Review Forms, shall be provided to the inmate, advising him or her of their removal from Abbreviated Special Monitoring. If the inmate is suitable and meets the criteria for a lower classification level, the facility may lower the inmate's classification and submit the inmate for transfer to a lower security facility.

c. Continuation on Abbreviated Special Monitoring. The Unit Administrator may continue the inmate on Abbreviated Special Monitoring Status for a specified period of time for further evaluation of the inmate's adjustment to readmission.

d. Reinstatement. The inmate may be considered for reinstatement to a Special Management status if the inmate has returned from custody within 30 days of discharge, or the inmate's management factors or conduct warrants such action. A recommendation for reinstatement on a special management status shall be submitted to the District Administrator and

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the Director of OCPM.

13. Security Risk Group.

a. Management.

- i. Placement, management and removal of inmates on Security Risk Group status shall be in accordance with Administrative Directive 6.14, Security Risk Groups.

14. Protective Custody.

a. Management.

- i. Placement, management and removal of inmates on Protective Custody status shall be in accordance with Administrative Directive 9.9, Protective Management.

15. Extensions of Time.

a. Notwithstanding the time frames established in this Directive, the Unit Administrator and/or the Director of OCPM may extend such time frames for good cause.

b. Any such extensions of time shall be documented, including the reasons for the extension, on CN 9409, Notification of Extension of Status.

c. No inmate shall be confined on Administrative Detention status for more than 30 calendar days without notice as to the reasons for such placement.

16. Appeal of a Special Management Decision. An inmate may file an appeal regarding a Special Management decision in accordance with Administrative Directive 9.6, Inmate Administrative Remedies.

17. Reporting. Each Unit Administrator shall include restrictive status and Special Management information in the monthly STARS report submitted to the appropriate District Administrator.

a. Restrictive Housing Categories. For the purposes of this Directive, the following restrictive status categories shall be included in the monthly STARS report:

- i. Administrative Detention,
- ii. Punitive Segregation, and
- iii. Transfer Detention.

b. Special Management Status Categories.

- i. Administrative Segregation,
- ii. Chronic Discipline,
- iii. SRG Member, and
- iv. Special Needs Management.

c. Reporting Requirements. The report shall provide the following information for each category as required in accordance with Section 17(A) of this Directive:

- i. Number of placements during the month,
- ii. Number of removals during the month, and
- iii. Total number at the end of the month.

18. Forms and Attachments. The following forms and attachments are applicable to this Administrative Directive and shall be utilized for the intended function.

- a. CN 9401, Restrictive Housing/Special Management Unit Status Order;
- b. CN 9402, Notification of Hearing;
- c. CN 9403, Waiver of 48-Hour Hearing Notice and/or Attendance;
- d. CN 9404, Special Management Report of Hearing for Placement or Removal;
- e. CN 9405, Special Management Notification of Decision
- f. CN 9406, High Security Information Report;
- g. CN 9407, Special Monitoring Information Form;
- h. CN 9408, Notification of Placement High Security & Special Monitoring;
- i. CN 9409, Notification of Extension of Status;
- j. CN 9410, High Security and Special Monitoring Review Form;
- k. CN 9411, Administrative Segregation Phase Program

Progression/Regression;

1. Attachment A, Special Management Status - Provisions and Management

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- Standards AD, TD and PS;
 - m. Attachment B, Special Management Housing Status - Provisions and Management Standards AS and SN
 - n. Attachment C, Special Management Status Matrix
 - o. Attachment D, Chronic Discipline Status - Provisions and Management Standards;
 - p. Attachment E, Provisions and Management Standards- High Security and Special Monitoring;
 - q. Attachment F, Restrictive Housing Unit-Log Entries
19. Exceptions. Any exceptions to the procedures in this Administrative Directive shall require prior written approval from the Commissioner of the Department of Correction.

SPECIAL MANAGEMENT (ADMINISTRATIVE DETENTION, CHRONIC DISCIPLINE, PUNITIVE SEGREGATION, SPECIAL NEED AND TRANSFER DETENTION)

Section C: Special Management

- 1. Special Management (Administrative Detention, Punitive Segregation, Special Needs Management, Transfer Detention, and Chronic Discipline)**
 - A. Administrative Detention. Removal of an inmate from general population and placement in a restrictive housing unit that results in segregation of the inmate:**
 - 1. Pending the completion of a disciplinary hearing in accordance with Administrative Directive 9.5, Code of Penal Discipline when it is felt that failure to remove the inmate from population would present a danger to staff, the inmate or any other inmate or cause an immediate threat of disruption to the facility;**
 - 2. Pending the outcome of an allegation or information involving the inmate in the commission of a crime, or of activities jeopardizing the security of the facility or the safety of staff or inmates that could result in placement on punitive or administrative segregation or transfer to high security; or,**
 - 3. To provide temporary protection of an inmate pending a decision to place the inmate on Protective Custody status or an evaluation by health services staff.**

SPECIAL MANAGEMENT (ADMINISTRATIVE DETENTION, CHRONIC DISCIPLINE, PUNITIVE SEGREGATION, SPECIAL NEED AND TRANSFER DETENTION)

B. Punitive Segregation

- **Punitive Segregation**. A Special Management status for an inmate who is found guilty of violating Administrative Directive 9.5, Code of Penal Discipline, and who is sanctioned in accordance with, Administrative Directive 9.5, Code of Penal Discipline

C. Special Needs Management

- **Special Needs Management**. A Special Management status for inmates who have demonstrated behavioral qualities either through the serious nature of their crime, or behavior, or through the reasonable belief that they pose a threat to the safety and security of staff, other inmates, themselves, or the public.

D. Transfer Detention

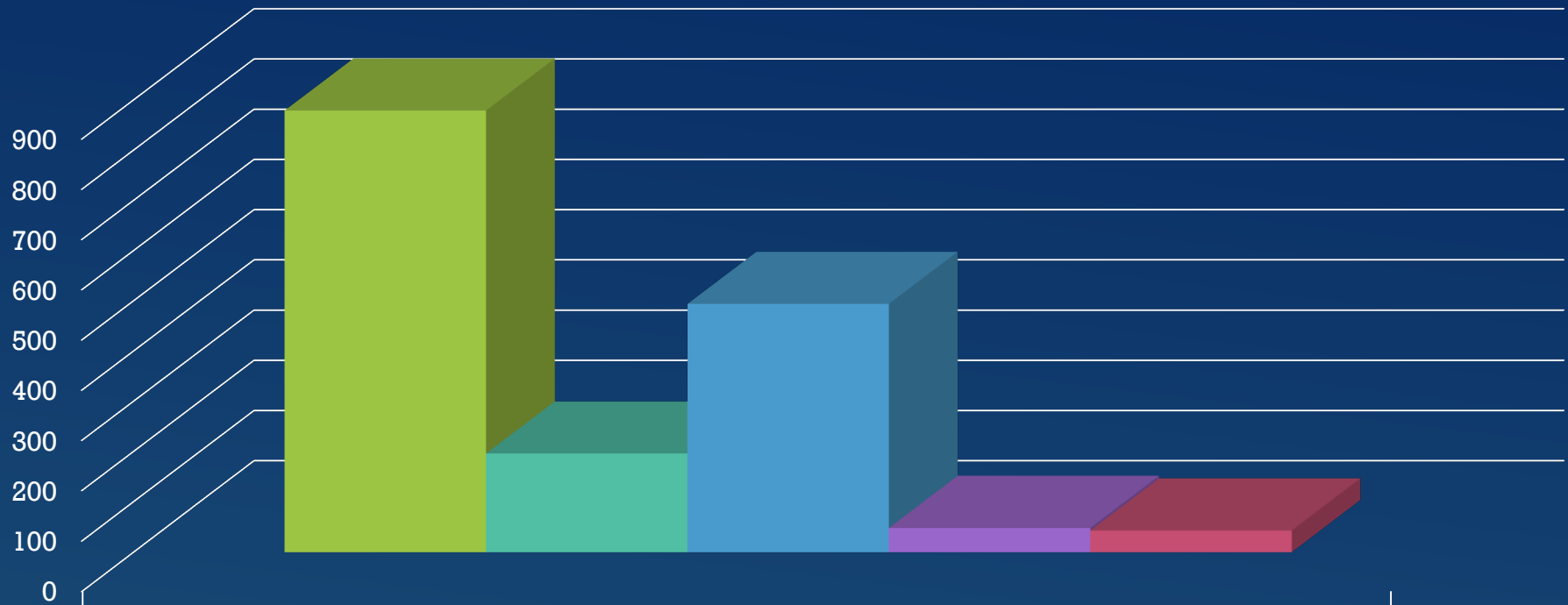
- **Transfer Detention**. . Placement in a restrictive housing unit of an inmate who has been reclassified to a security level higher than the facility at which the inmate is housed and is awaiting transfer, or who is awaiting transfer to another facility for the inmate's own protection or the protection of others.

E. Chronic Discipline

- **Chronic Discipline**. A Special Management status that results in management of an inmate whose behavior, while incarcerated, poses a threat to the security and orderly operation of the facility, or a risk to the safety of staff or other inmates due to the inmate's repetitive disciplinary infractions.

SPECIAL MANAGEMENT (ADMINISTRATIVE DETENTION, CHRONIC DISCIPLINE, PUNITIVE SEGREGATION, SPECIAL NEED AND TRANSFER DETENTION)

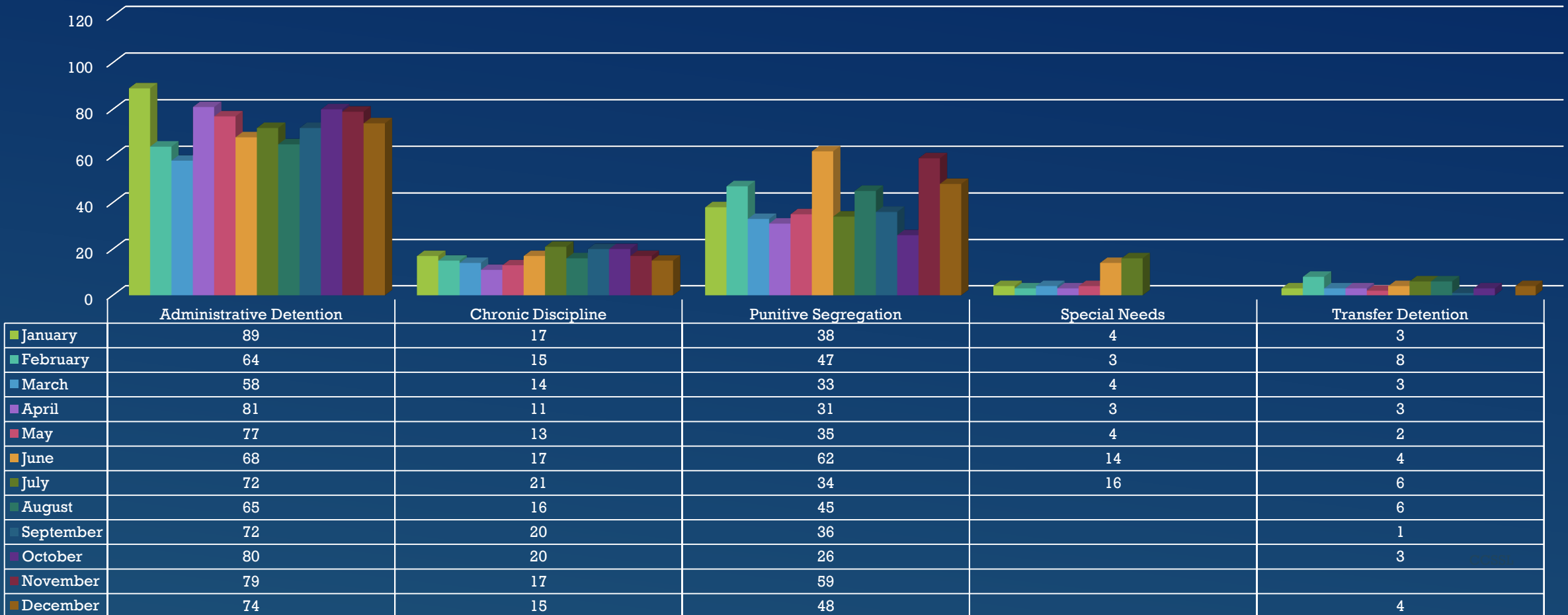
TOTAL NUMBER OF INMATES ON SPECIAL MANAGEMENT ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023



	Total
■ Administrative Detention	879
■ Chronic Discipline	196
■ Punitive Segregation	494
■ Special Needs	48
■ Transfer Detention	43

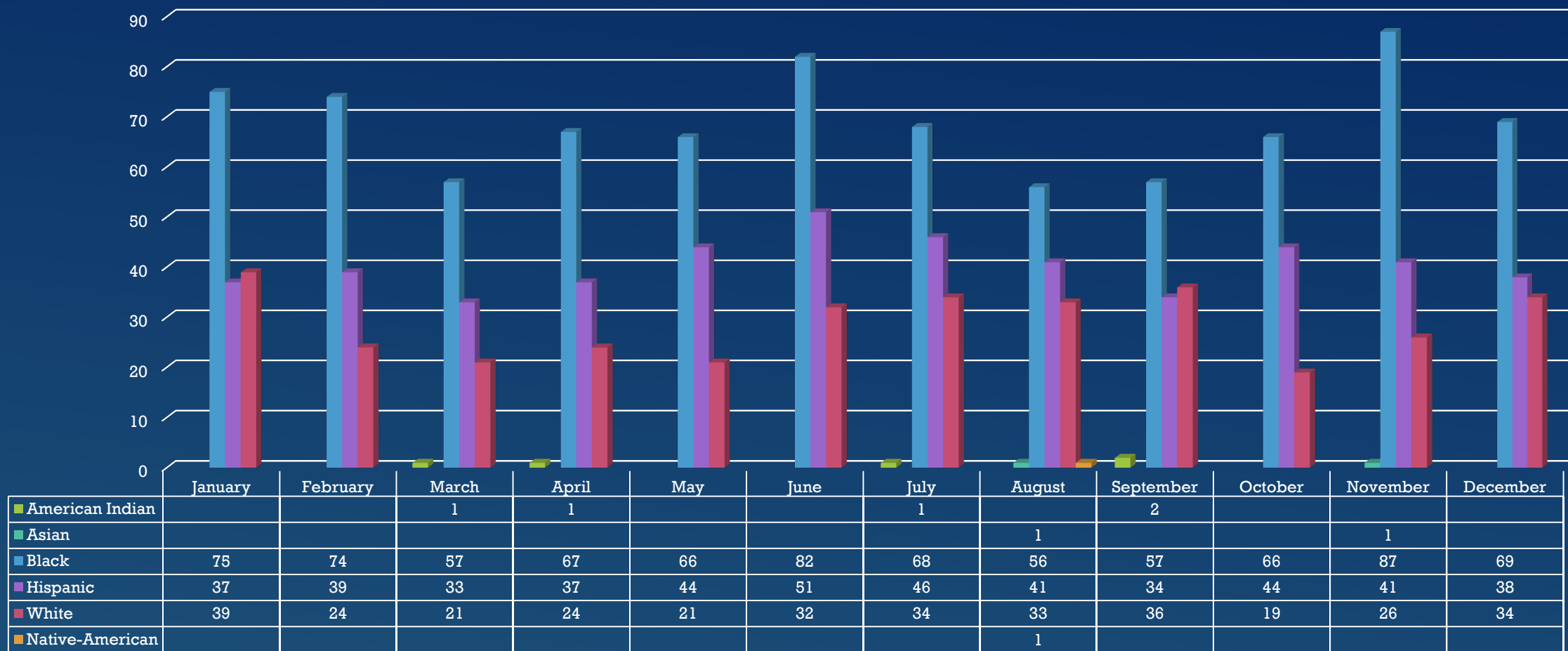
SPECIAL MANAGEMENT (ADMINISTRATIVE DETENTION, CHRONIC DISCIPLINE, PUNITIVE SEGREGATION, SPECIAL NEED AND TRANSFER DETENTION)

NUMBER OF INMATES ON SPECIAL MANAGEMENT ON THE FIRST DAY OF EACH MONTH BY RESTRICTIVE STATUS AND MONTH FOR 2023



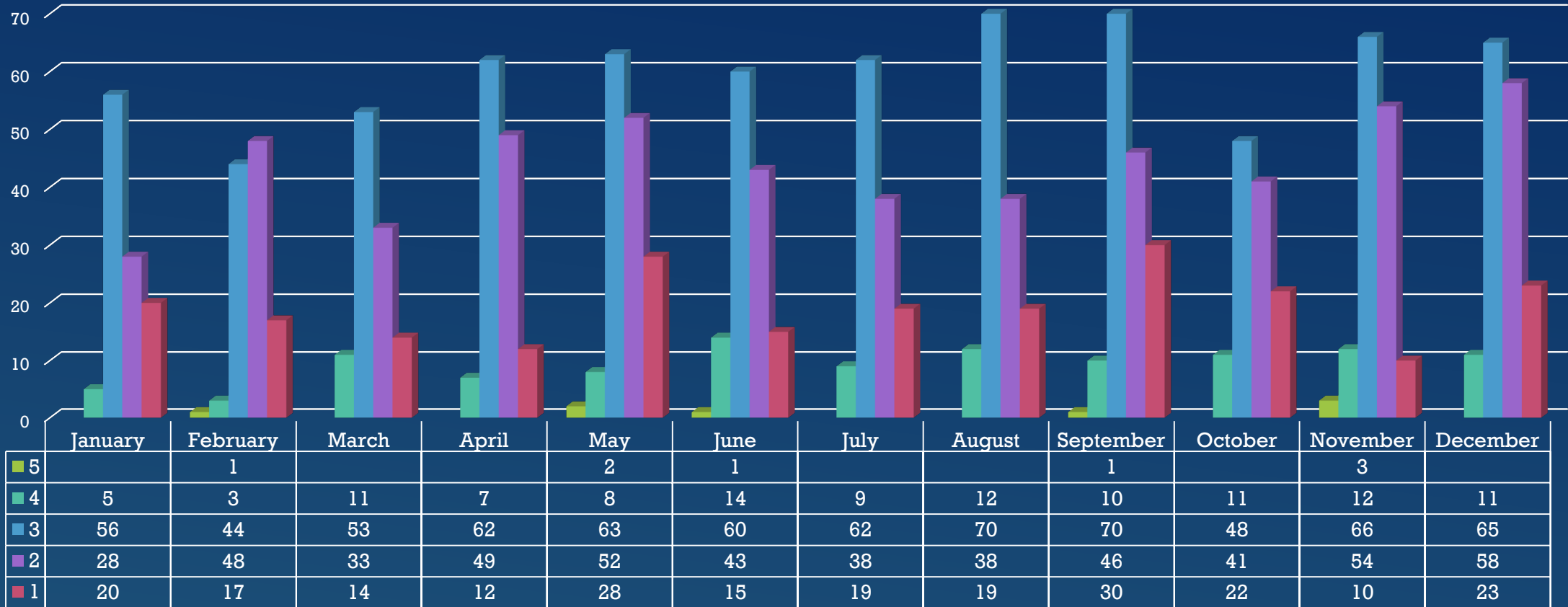
SPECIAL MANAGEMENT (ADMINISTRATIVE DETENTION, CHRONIC DISCIPLINE, PUNITIVE SEGREGATION, SPECIAL NEED AND TRANSFER DETENTION)

NUMBER OF INMATES ON RESTRICTIVE STATUS ON THE FIRST DAY OF EACH MONTH
BY MONTH AND RACIAL DEMOGRAPHIC FOR 2023



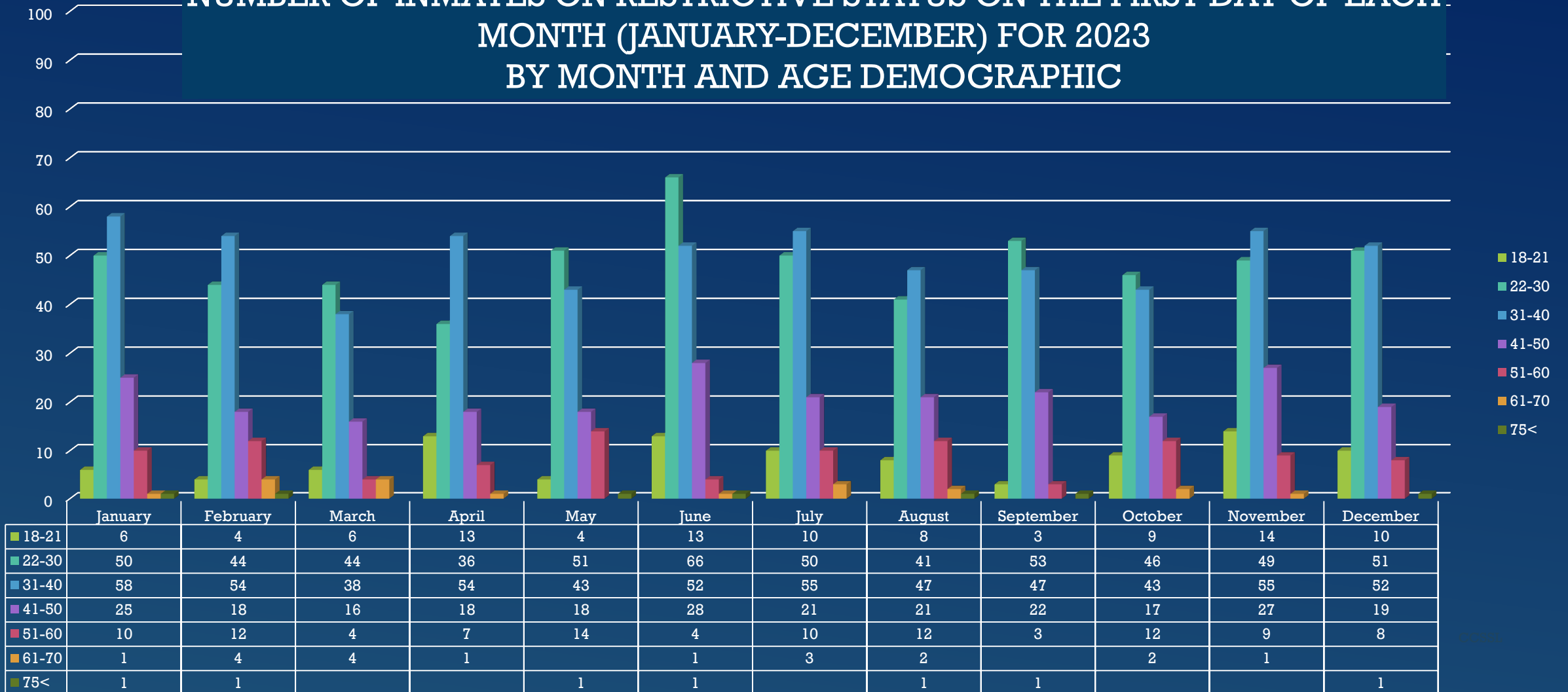
SPECIAL MANAGEMENT (ADMINISTRATIVE DETENTION, CHRONIC DISCIPLINE, PUNITIVE SEGREGATION, SPECIAL NEED AND TRANSFER DETENTION)

NUMBER OF INMATES ON RESTRICTIVE STATUS ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY MONTH AND MENTAL HEALTH SCORE



SPECIAL MANAGEMENT (ADMINISTRATIVE DETENTION, CHRONIC DISCIPLINE, PUNITIVE SEGREGATION, SPECIAL NEED AND TRANSFER DETENTION)

NUMBER OF INMATES ON RESTRICTIVE STATUS ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY MONTH AND AGE DEMOGRAPHIC



SPECIAL MANAGEMENT (ADMINISTRATIVE DETENTION, CHRONIC DISCIPLINE, PUNITIVE SEGREGATION, SPECIAL NEED AND TRANSFER DETENTION)

NUMBER OF INMATES ON RESTRICTIVE STATUS ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY FACILITY AND MONTH

Facility	January	February	March	April	May	June	July	August	September	October	November	December	Total
Carl Robinson	5	13	11	7	12	18	7	9	5	7	7	13	114
Cheshire	16	11	11	15	19	7	11	10	9	13	10	6	138
Corrigan	12	9	7	13	5	11	11	14	9	15	12	11	129
Garner	5	12	6	4	6	13	5	5	9		4	6	75
Hartford	18	22	21	22	19	25	33	26	30	27	32	32	307
MYI	5	1	4	10	4	10	5	4		4	9	3	59
New Haven	18	16	10	12	10	10	22	14	14	14	22	27	189
Osborn	15	13	9	6	9	13	11	13	14	6	10	10	129
York	21	18	15	13	20	23	13	19	19	15	21	12	209
BCC	9	7	4	11	8	11	10	7	10	6	14	9	106
MWCI	27	15	14	16	19	24	21	11	10	22	14	12	205
Total	151	137	112	129	131	165	149	132	129	129	155	141	1660

SPECIAL MANAGEMENT (ADMINISTRATIVE SEGREGATION)

Section C: Special Management

2. Special Management (ADMINISTRATIVE SEGREGATION)

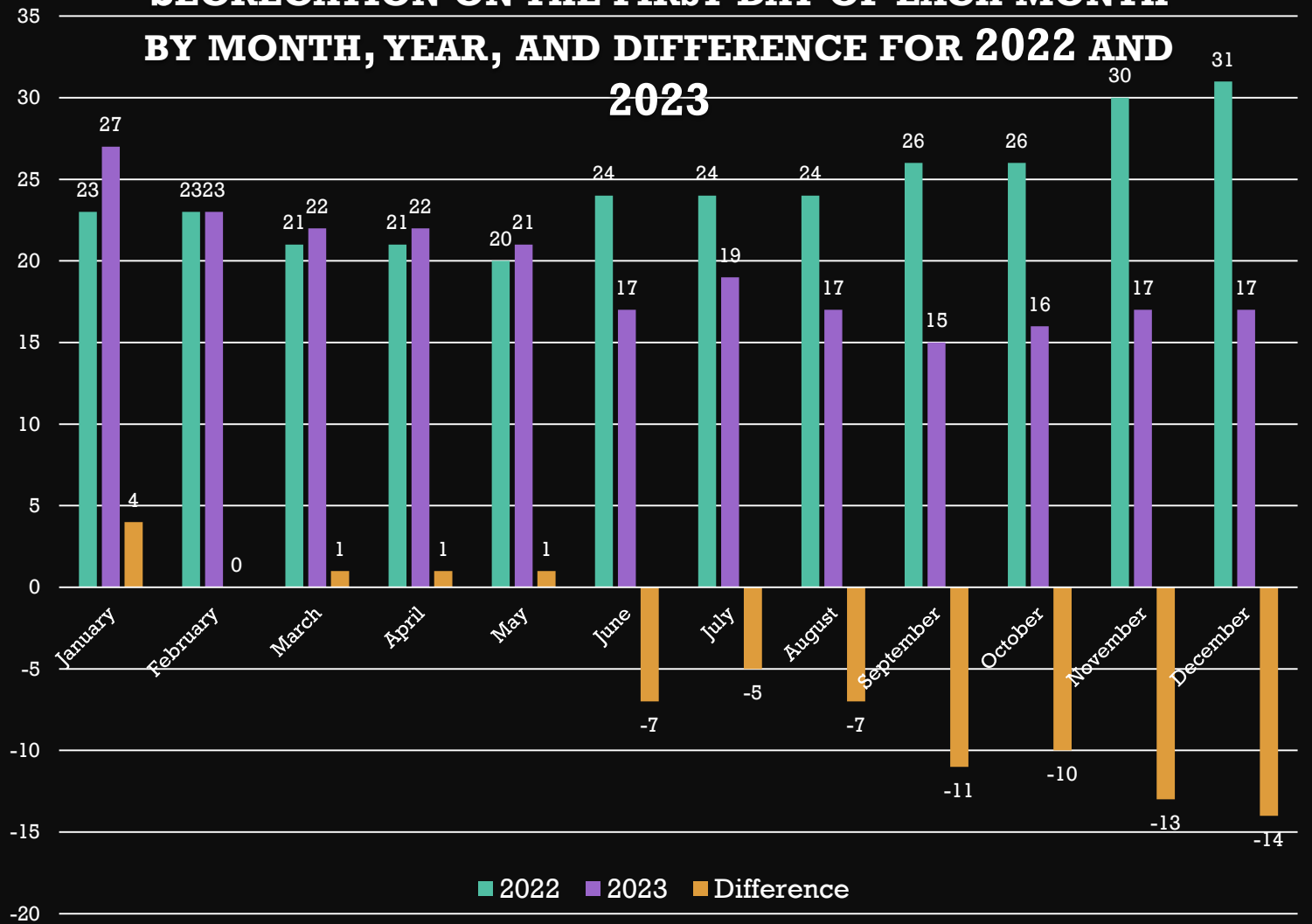
- **Administrative Segregation Status. Placement of an inmate on a restrictive housing status that results in segregation of the inmate whose behavior or management factors pose a threat to the security of the facility or a risk to the safety of staff or other inmates and that the inmate can no longer be safely managed in general population.**

SPECIAL MANAGEMENT (ADMINISTRATIVE SEGREGATION)

NUMBER OF INMATES ON ADMINISTRATIVE SEGREGATION HAS DECREASED BY SIX INMATES FROM THE FIRST DAY OF JANUARY 2022 TO THE FIRST DAY OF DECEMBER 2023.

Month	2022	2023	Difference
January	23	27	4
February	23	23	0
March	21	22	1
April	21	22	1
May	20	21	1
June	24	17	-7
July	24	19	-5
August	24	17	-7
September	26	15	-11
October	26	16	-10
November	30	17	-13
December	31	17	-14
Grand Total	293	233	526

NUMBER OF INMATES ON ADMINISTRATIVE SEGREGATION ON THE FIRST DAY OF EACH MONTH BY MONTH, YEAR, AND DIFFERENCE FOR 2022 AND 2023



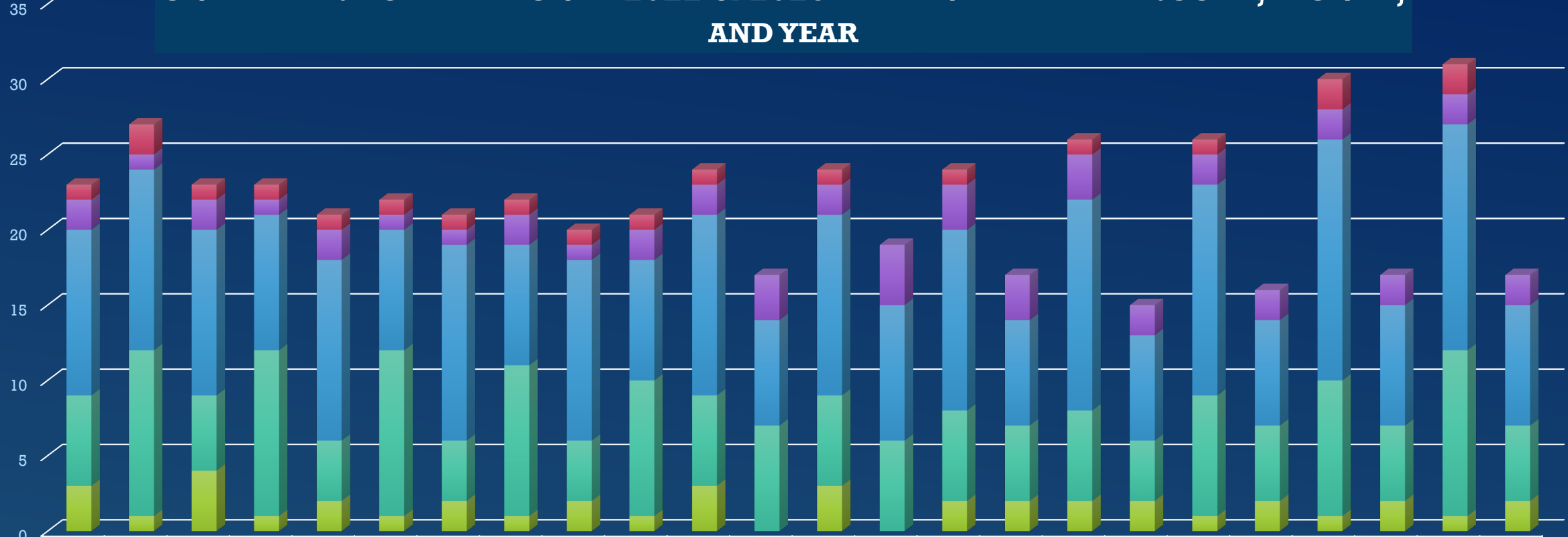
SPECIAL MANAGEMENT (ADMINISTRATIVE SEGREGATION)

NUMBER OF INMATES ON ADMINISTRATIVE SEGREGATION ON THE FIRST DAY OF EACH MONTH BY MONTH AND YEAR FOR 2022 AND 2023



SPECIAL MANAGEMENT (ADMINISTRATIVE SEGREGATION)

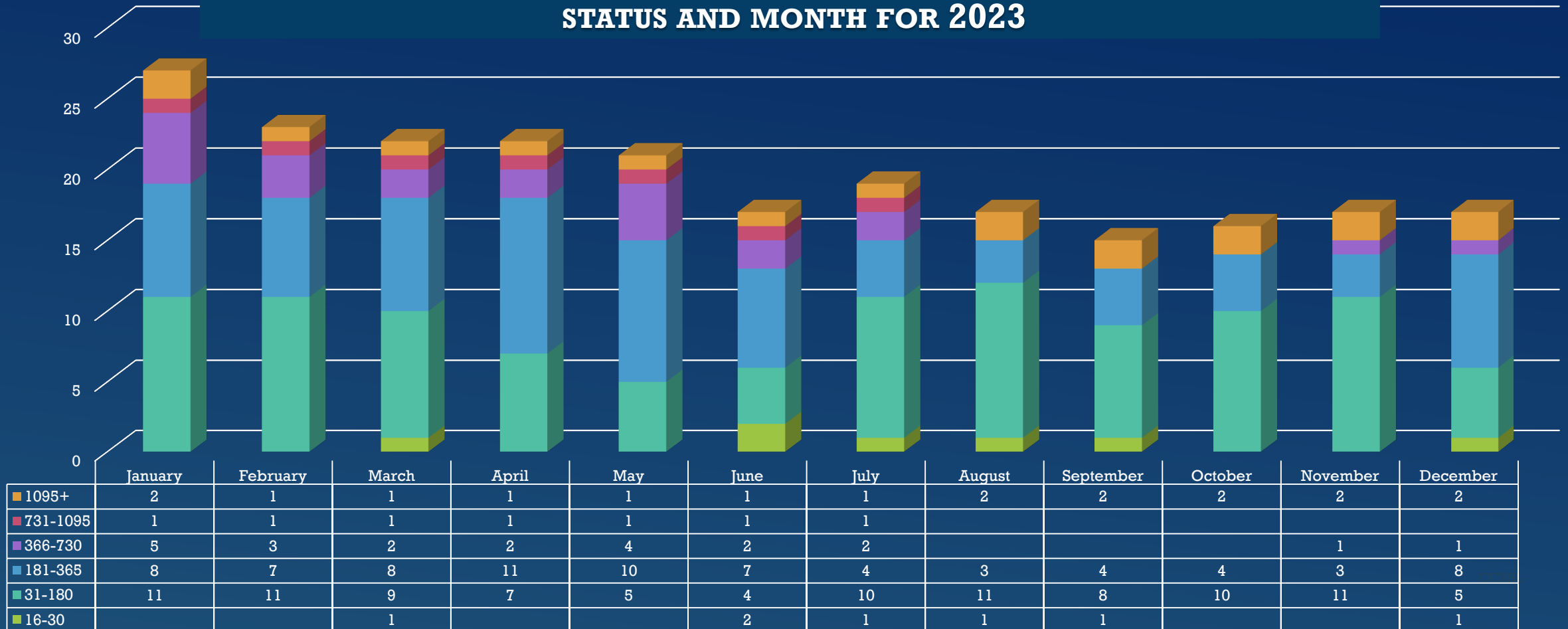
NUMBER OF INMATES ON ADMINISTRATIVE SEGREGATION
ON THE FIRST OF THE MONTH 2022 & 2023 BY MENTAL HEALTH SCORE, MONTH,
AND YEAR



	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023
	January		February		March		April		May		June		July		August		September		October		November		December	
5	1	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	2	2	2	2
4	2	1	2	1	2	1	1	2	1	2	2	3	2	4	3	3	3	2	2	2	2	2	2	2
3	11	12	11	9	12	8	13	8	12	8	12	7	12	9	12	7	14	7	14	7	16	8	15	8
2	6	11	5	11	4	11	4	10	4	9	6	7	6	6	6	5	6	4	8	5	9	5	11	5
1	3	1	4	1	2	1	2	1	2	1	3		3		2	2	2	2	1	2	1	2	1	2

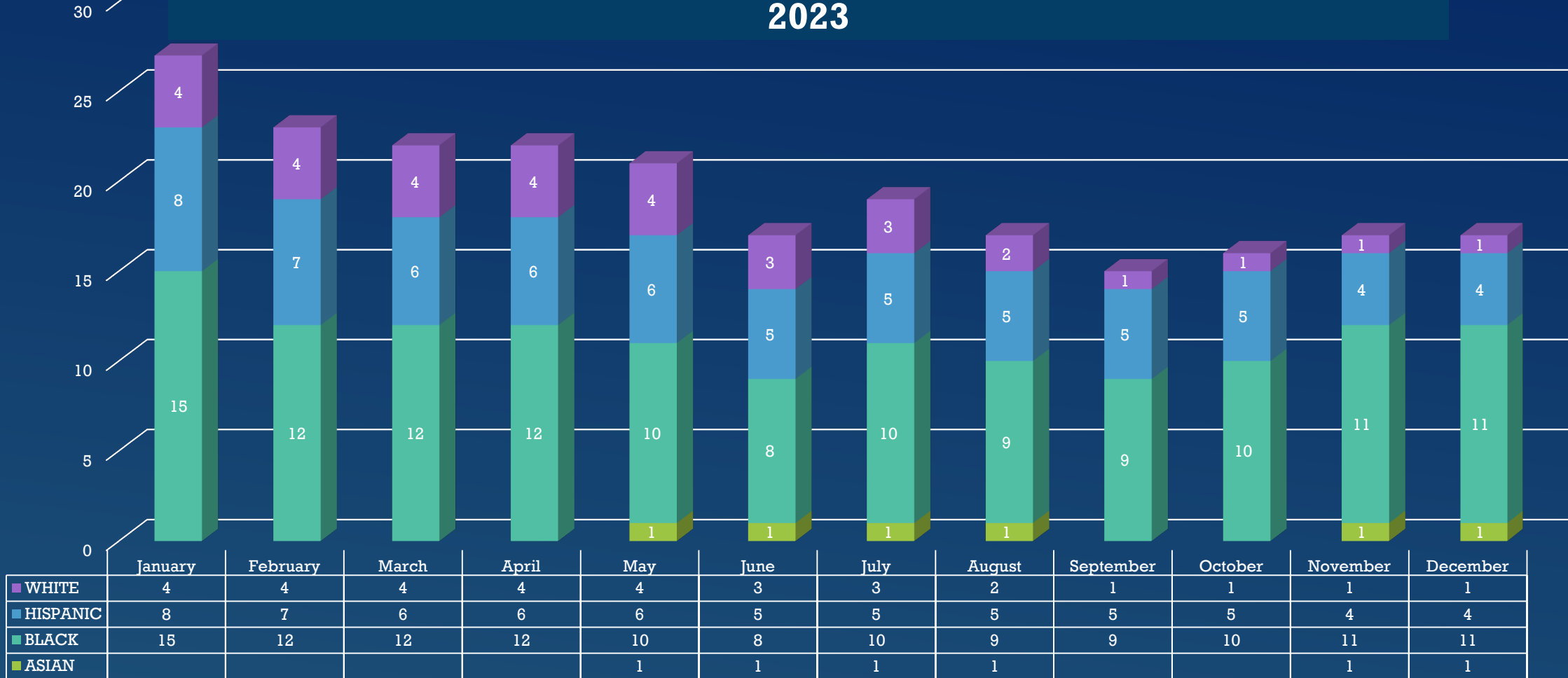
SPECIAL MANAGEMENT (ADMINISTRATIVE SEGREGATION)

NUMBER OF INMATES ON ADMINISTRATIVE SEGREGATION ON THE FIRST DAY OF THE MONTH BY NUMBER OF DAYS ON ADMINISTRATIVE SEGREGATION STATUS AND MONTH FOR 2023



SPECIAL MANAGEMENT (ADMINISTRATIVE SEGREGATION)

NUMBER OF INMATES ON ADMINISTRATIVE SEGREGATION ON THE FIRST DAY OF THE MONTH BY MONTH AND RACIAL DEMOGRAPHIC FOR 2023



SPECIAL MANAGEMENT (SECURITY RISK GROUP)

Section D:

- **Administrative Directive 6.14, Security Risk Groups**

SPECIAL MANAGEMENT (SECURITY RISK GROUP)

Section D: Special Management

2. Special Management (Security Risk Group)

- **Security Risk Group. A group of inmates, designated by the Commissioner, possessing common characteristics, which serve to distinguish them from other inmates or groups of inmates and which as a discrete entity, jeopardizes the safety of the public, staff or other inmate(s) and/or the security and order of the facility.**

SPECIAL MANAGEMENT (SECURITY RISK GROUP)

NUMBER OF INMATES ON SECURITY RISK GROUP STATUS ON THE FIRST DAY OF THE MONTH FOR 2022 & 2023

- Department of Correction Security Risk Groups have decreased by 51 inmates from the first day in January 2021 to first day December 2023.
- In 2022, an average of 171 inmates were on Security Risk Group status.
- In 2023, an average of 155 inmates were on Security Risk Group status.
- The average decrease from 2022 to 2023 was 16 inmates on Security Risk Group status for the first of the month.

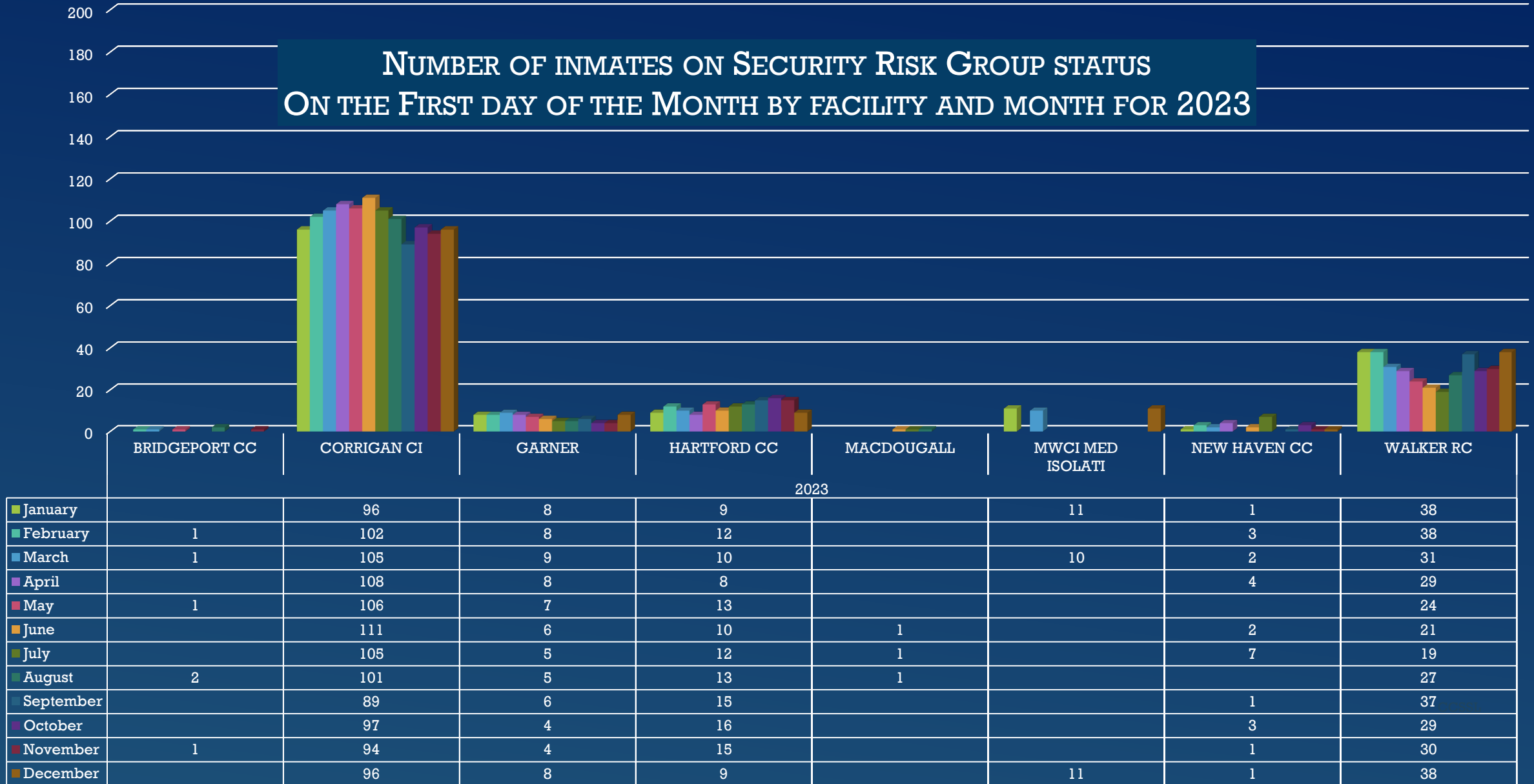
Month	2022	2023	Difference between 2023 & 2022
January	180	163	-17
February	179	164	-15
March	172	168	-4
April	177	157	-20
May	178	151	-27
June	172	151	-21
July	168	149	-19
August	165	149	-16
September	176	148	-28
October	171	149	-22
November	155	145	-10
December	154	163	9

INMATES ON SECURITY RISK GROUP STATUS FOR THE FIRST DAY OF THE MONTH BY YEAR



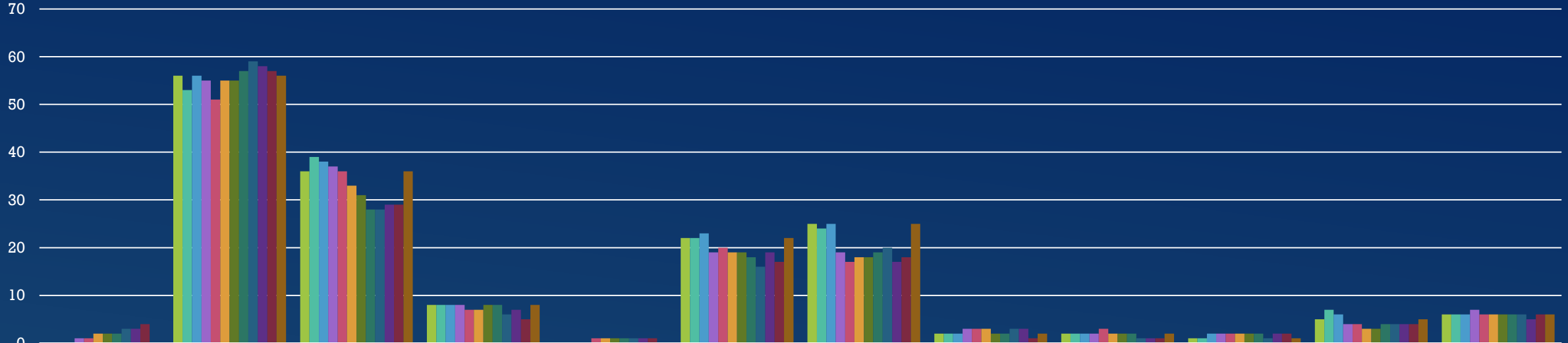
SPECIAL MANAGEMENT (SECURITY RISK GROUP)

NUMBER OF INMATES ON SECURITY RISK GROUP STATUS
ON THE FIRST DAY OF THE MONTH BY FACILITY AND MONTH FOR 2023



SPECIAL MANAGEMENT (SECURITY RISK GROUP)

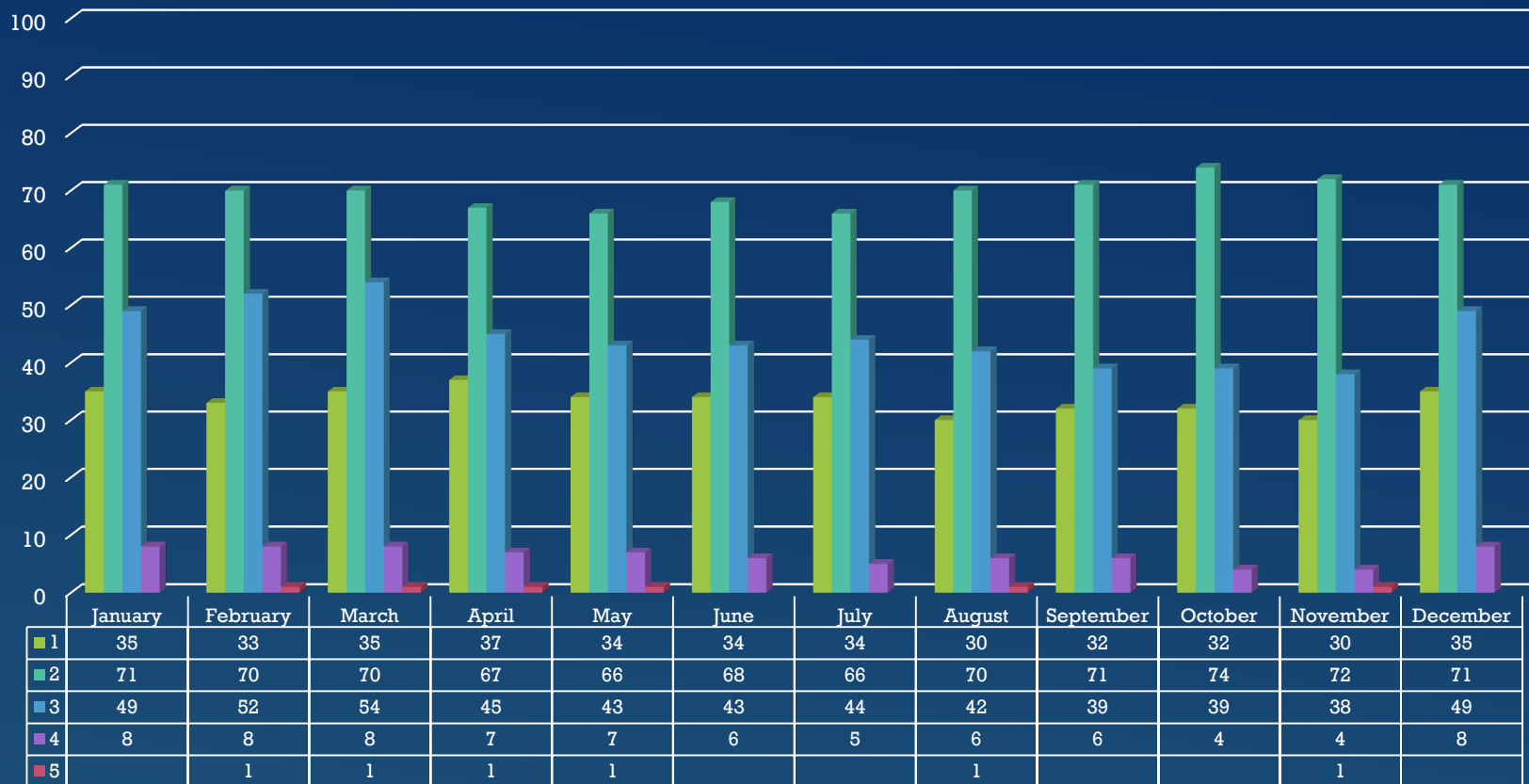
NUMBER OF INMATES ON SECURITY RISK GROUP STATUS ON THE FIRST DAY OF THE MONTH FOR 2023 BY MONTH AND AGE DEMOGRAPHIC



	BLACK				HISPANIC				WHITE			
	18-19	20-29	30-39	40-49	18-19	20-29	30-39	40-49	50<	20-29	30-39	40-49
January		56	36	8		22	25	2	2	1	5	6
February		53	39	8		22	24	2	2	1	7	6
March		56	38	8		23	25	2	2	2	6	6
April	1	55	37	8		19	19	3	2	2	4	7
May	1	51	36	7	1	20	17	3	3	2	4	6
June	2	55	33	7	1	19	18	3	2	2	3	6
July	2	55	31	8	1	19	18	2	2	2	3	6
August	2	57	28	8	1	18	19	2	2	2	4	6
September	3	59	28	6	1	16	20	3	1	1	4	6
October	3	58	29	7	1	19	17	3	1	2	4	5
November	4	57	29	5	1	17	18	1	1	2	4	6
December		56	36	8		22	25	2	2	1	5	6

SPECIAL MANAGEMENT (SECURITY RISK GROUP)

NUMBER OF INMATES ON SECURITY RISK GROUP STATUS
ON THE FIRST DAY OF THE MONTH BY MENTAL HEALTH SCORE
AND MONTH FOR 2023



NUMBER OF INMATES ON SECURITY
RISK GROUP STATUS ON
THE FIRST DAY OF THE MONTH BY
GENDER AND MONTH FOR 2023

Year 2023	M	F	Grand Total
January	163		163
February	164		164
March	168		168
April	157		157
May	151		151
June	151		151
July	149		149
August	149		149
September	148		148
October	149		149
November	145		145
December	163		163
Grand Total	1857		1857

INMATE GRIEVANCES

Section E:

- **Grievances filed by inmates**

INMATE GRIEVANCES

Facility Grievances by Remedy Type and Facility	Facility											
Remedy type	BCC	BCI	CCC	CCI	GCI	HCC	MWCI	MYI	NHCC	OCI	WCI	YCI
ADA Determination			1	16	1		20			10		
Classification Decision			20	12	2	1	22			34	2	2
Correspondence Rejection	2		14	40	2		60			13		
Disciplinary Action	16	2	33	30	7	20	59		3	52	7	14
Grievance - Level 1	110	8	431	358	157	145	421	2	134	282	36	99
Grievance - Level 2	8	3	68	95	25	7	119		9	35	7	1
Grievance - Level 3			1	1			6			2	1	
Grievance Process Abuse Determination			8	1			4			1		
Outside Tapes/CDs Rejection				1								
Property Investigation	8	1		51	18	11	76		5	15	9	58
Publication Rejection		4	4	40	19		37		3	8	1	
Security Risk Group Designation			11	3		1			1	2		
Special Management Decision			3	1	2	1	13		2	1		1

INMATE GRIEVANCES

Facility Grievances by Race and Age	Age Group						
Race	<=20	21-30	31-40	41-50	51-60	61-70	>=71
AMER IND		2	7	1		3	
ASIAN		2	12		2		
BLACK	11	268	606	482	291	82	6
HISPANIC	2	146	237	151	90	28	12
WHITE	1	128	434	184	253	63	9

Facility Grievances by Mental Health Scores	Mental Health Scores					
Facility	1	2	3	4	5	(blank)
BCC	20	51	65	6	1	1
BCI	6	11				1
CCC	51	398	141	2	2	
CCI	148	234	256	11		
GCI	7	25	55	135	11	
HCC	16	76	90	3		1
MWCI	134	357	292	52		2
MYI		1	1			
NHCC	27	74	54	2		
OCI	42	185	222	3	3	
Willard	16	33	14			
YCI	1	25	108	41		

INMATE GRIEVANCES

District Grievances	Total
District	735
1 - Sentence Computation	3
10 - Telephone Privileges	6
12 - Media Access	1
13 - Food	9
15 - Mail	30
16 - Visiting	10
17 - Inmate Conduct	1
18 - Staff Conduct	96
19 - Employment	2
2 - DOC Policy & Procedure	36
20 - Legal Access	13
21 - Commissary	6
22 - Religion	22
3 - Unit Policy & Procedure	30
34 - Confidentiality	1
35 - Other	21
36 - Not Grievable	4
4 - Inmate Grievances	7
5 - Personal Safety	8
6 - Physical Conditions	24
7 - Recreation/Library	16
8 - Personal Property	10
9 - Inmate Accounts	3
(blank)	376

Grievances	Race				
	AMER IND	ASIAN	BLACK	HISPANIC	WHITE
Facility					
District 1					
Administrator	3	1	343	119	196
District 2					
Administrator			37	9	27

Grievances for District	Mental Health					
	1	2	3	4	5	(blank)
District 1						
Administrator	86	327	207	39	1	2
District 2						
Administrator	18	25	24	6		

Grievances for District	Age Group						
	<=20	21-30	31-40	41-50	51-60	61-70	>=71
District 1							
Administrator		71	232	177	138	41	3
District 2							
Administrator	2	14	36	12	9		

District Grievance	Total
Disposition	735
Denied	616
Other (explain in Comments section)	1
Rejected	75
Upheld	24
Upheld in Part	19

ADMINISTRATIVE SEGREGATION

Section F:

- **Incidents involving inmates on Administrative Segregation**
 - **Suicide, attempted suicide, self-harm, assault on staff, assaults and fights between inmates**
 - **Use of force incident: Chemical agent, full stationary restraints, deadly physical force, in-cell restraints, less than lethal munitions, lethal munitions, physical force, therapeutic restraints/medical restraints, cell extraction, and canines**

ADMINISTRATIVE SEGREGATION

- **Incidents involving inmates on Administrative Segregation from December 1, 2022 to November 30, 2023**
 - **There were zero (0) suicide or attempted suicide incidents during this time period with inmates on administrative segregation.**
 - **The next few slides have a breakdown on the number of incidents for self-harm, assault on staff, assaults and fights between inmates by the inmates mental health score, race & age.**

ADMINISTRATIVE SEGREGATION

Self-harm	Race					
Year/Month	AMER	INDIAN	ASIAN	BLACK	HISPANIC	WHITE
2022					1	
December					1	
2023				9	1	
January					1	
February				1		
March				1		
April						
May				1		
June				1		
July				1		
August				4		
September						
October						
November						

Self-harm	Age Group			
Year/Month	21-30	31-40	41-50	>-51
2022				1
December				1
2023	1	2	1	6
January	1			
February		1		
March		1		
April				
May			1	
June				1
July				1
August				4
September				
October				
November				

Self-harm	Mental Health Score			
Year/Month	2	3	4	5
2022				
December		1		
2023		9	1	
January			1	
February		1		
March		1		
April				
May		1		
June		1		
July		1		
August		4		
September				
October				
November				

ADMINISTRATIVE SEGREGATION

Assaults of DOC Employee	Race				
Year/Month	AMER INDIAN	ASIAN	BLACK	HISPANIC	WHITE
2022			1		
December			1		
2023			8	3	4
January			1	1	1
February					
March			2		1
April					
May				1	
June					
July			3		
August			1		1
September				1	
October					
November			1		1

Assaults of DOC Employee Year/Month	Age Group			
	21-30	31-40	41-50	>=51
2022	1			
December	1			
2023	1	8	6	
January	1	2		
February				
March		3		
April				
May			1	
June				
July			3	
August		1	1	
September			1	
October				
November		2		

Assaults of DOC Employee Year/Month	Mental Health Score			
	2	3	4	5
2022	2	3	4	5
December		1		
2023	6	8	1	
January		2	1	
February				
March	3			
April				
May		1		
June				
July		3		
August	1	1		
September		1		
October				
November	2			

ADMINISTRATIVE SEGREGATION

Assaults/fights	Race					
	AMER	INDIAN	ASIAN	BLACK	HISPANIC	WHITE
Year/Month						
2022						
December						
2023	1	2	9	4	2	
January			1	2	2	
February						
March			3			
April	1	1	1			
May						
June						
July						
August		1	1	1		
September						
October						
November			3	1		

Assaults/fights	Age Group			
	21-30	31-40	41-50	>=51
Year/Month				
2022				
December				
2023	5	7	3	3
January	3	2		
February				
March	1	1	1	
April		1	1	1
May				
June				
July				
August		2		1
September				
October				
November	1	1	1	1

Assaults/fights	Mental Health Score			
	2	3	4	5
Year/Month				
2022				
December				
2023	4	9	5	
January	1	4		
February				
March	1		2	
April		2	1	
May				
June				
July				
August	1	1	1	
September				
October				
November	1	2	1	

ADMINISTRATIVE SEGREGATION

- **Use of force incidents involving inmates on Administrative Segregation from December 1, 2022 to November 30, 2023**
 - **There were zero (0) deadly physical force less than lethal munitions, lethal munitions or canine involved incidents during this time period with inmates on administrative segregation.**
 - **The next six (6) slides have a breakdown on the number of Use of force incident: Chemical agent, full stationary restraints, in-cell restraints, physical force, therapeutic restraints/medical restraints, cell extraction by Administrative Segregation inmates by the inmates mental health score, race & age.**

ADMINISTRATIVE SEGREGATION

Chemical Agents	Race				
Year/Month	AMER INDIAN	ASIAN	BLACK	HISPANIC	WHITE
2022				2	1
December				2	1
2023		1	11	17	4
January			1	4	1
February				2	
March			4	2	
April					
May			1	1	
June				1	
July		1		2	
August					1
September				2	
October			1	1	
November			4	2	2

Chemical Agents	Age Group				
Year/Month	21-30	31-40	41-50	>=51	
2022		1	2		
December		1	2		
2023	5	16	8	4	
January	3	2	1		
February			2		
March	1	3	2		
April					
May		1	1		
June		1			
July				3	
August		1			
September		1	1		
October		2			
November	1	5	1	1	

Chemical Agent	Mental Health Score			
Year/Month	2	3	4	5
2022	1	2		
December	1	2		
2023	8	17	8	
January	1	4	1	
February		2		
March	1	3	2	
April				
May	1	1		
June				1
July		3		
August	1			
September		1	1	
October	1		1	
November	3	3	2	

ADMINISTRATIVE SEGREGATION

Full stationary Year/Month	Race				
	AMER INDIAN	ASIAN	BLACK	HISPANIC	WHITE
2022			1	1	
December			1	1	
2023			5	2	2
January					
February					
March			2		
April					
May			1		
June				1	
July					
August			1		
September					
October			1	1	
November					2

Full stationary Year/Month	Age Group			
	21-30	31-40	41-50	>=51
2022	1		1	
December	1		1	
2023		7	2	
January				
February				
March		2		
April				
May		1		
June		1		
July				
August			1	
September				
October		1	1	
November		2		

Full stationary Year/Month	Mental Health Score			
	2	3	4	5
2022		2		
December		2		
2023	5	1	2	1
January				
February				
March	2			
April				
May	1			
June			1	
July				
August				1
September				
October		1	1	
November	2			

ADMINISTRATIVE SEGREGATION

In-cell restraints	Race				
Year/Month	AMER INDIAN	ASIAN	BLACK	HISPANIC	WHITE
2022				4	1
December				4	1
2023			13	19	5
January			1	6	1
February				5	
March			2	1	1
April					
May				5	
June			1		
July			3	1	
August			4		1
September				1	
October			1		
November			1		2

In-cell restraints	Age Group			
Year/Month	21-30	31-40	41-50	5>=1
2022		1	4	
December		1	4	
2023	1	9	26	1
January		3	5	
February			5	
March		3	1	
April				
May			5	
June			1	
July			3	1
August		1	4	
September			1	
October			1	
November	1	2		

In-cell restraints	Mental Health Score			
Year/Month	2	3	4	5
2022	1	4		
December	1	4		
2023	6	30	1	
January	1	6	1	
February		5		
March	2	2		
April				
May		5		
June		1		
July		4		
August	1	4		
September		1		
October		1		
November	2	1		

ADMINISTRATIVE SEGREGATION

Physical force	Race					
Year/Month	AMER	INDIAN	ASIAN	BLACK	HISPANIC	WHITE
2022				1	4	1
December				1	4	1
2023	1		4	38	35	9
January				2	8	2
February					6	
March			1	7	3	2
April	1		1	1		
May				1	6	
June				5	2	
July			1	5	3	1
August			1	8	1	2
September					2	
October				2	2	
November				7	2	2

Physical force	Age Group			
Year/Month	21-30	31-40	41-50	>=51
2022	1	1	4	
December	1	1	4	
2023	9	31	37	10
January	4	3	5	
February			6	
March		8	4	1
April		1	1	1
May		2	5	
June	1	3	2	1
July		1	5	4
August		4	6	2
September		1	1	
October		3	1	
November	4	5	1	1

Physical force	Mental Health Score			
Year/Month	2	3	4	5
2022	1	5		
December	1	5		
2023	17	57	12	1
January	1	10	1	
February		6		
March	6	6	1	
April		2	1	
May	1	5	1	
June		5	2	
July	1	9		
August	3	7	1	1
September		1	1	
October	1	1	2	
November	4	5	2	

ADMINISTRATIVE SEGREGATION

Therapeutic restraints	Race					
Year/Month	AMER	INDIAN	ASIAN	BLACK	HISPANIC	WHITE
2022						
December						
2023				3	1	
January				1		
February						
March					1	
April						
May				1		
June						
July						
August						
September						
October				1		
November						

Therapeutic restraints	Age Group			
Year/Month	21-30	31-40	41-50	>=51
2022				
December				
2023	1	1	2	
January	1			
February				
March			1	
April				
May		1		
June				
July				
August				
September				
October			1	
November				

Therapeutic restraints	Mental Health Score			
Year/Month	2	3	4	5
2022				
December				
2023	1	3		
January		1		
February				
March		1		
April				
May	1			
June				
July				
August				
September				
October		1		
November				

ADMINISTRATIVE SEGREGATION

Cell extraction	Race					
Year/Month	AMER	INDIAN	ASIAN	BLACK	HISPANIC	WHITE
2022						
December						
2023				2		
January				1		
February						
March						
April						
May				1		
June						
July						
August						
September						
October						
November						

Cell extraction	Age Group				
Year/Month	21-30	31-40	41-50	>=51	
2022					
December					
2023	1	1			
January	1				
February					
March					
April					
May		1			
June					
July					
August					
September					
October					
November					

Cell extraction	Mental Health Score				
Year/Month	2	3	4	5	
2022					
December					
2023	1	1			
January		1			
February					
March					
April					
May	1				
June					
July					
August					
September					
October					
November					

INMATE PROGRAMS

Section G: Inmate Programs

- Monthly snapshot of the list of anonymized, disaggregated inmates enrolled in facility programs

INMATE PROGRAMS

NUMBER OF INMATES ENROLLED IN FACILITY PROGRAMS ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY FACILITY AND MONTH

	January	February	March	April	May	June	July	August	September	October	November	December	Total
Bridgeport	115	109	116	124	126	121	118	125	116	88	108	109	1,375
Brooklyn	92	106	182	173	192	176	215	141	129	180	190	176	1,952
Cheshire	412	386	345	393	372	295	327	312	315	292	257	253	3,959
Corrigan	169	175	192	190	157	145	152	151	155	167	155	68	1,876
Garner	92	118	125	106	108	101	97	79	74	80	71	76	1,127
Hartford	31	52	48	45	48	143	115	97	111	103	69	52	914
MWCI	107	121	271	224	257	187	244	248	242	260	234	206	2,601
MYI	226	247	227	243	224	234	191	196	166	202	107	31	2,294
Med Isol.	33	7	12	0	1	1	1	7	1	23	2	48	136
New Haven	90	111	123	137	138	140	134	153	142	156	141	146	1,611
Osborn	329	327	413	372	447	451	452	462	431	500	468	477	5,129
Carl Robinson	208	258	269	293	297	291	232	271	307	328	347	330	3,431
Walker	48	14	22	49	15	12	22	33	17	20	13	11	276
Willard	203	319	304	416	422	373	399	462	462	470	498	443	4,771
York	434	472	495	512	504	496	487	542	511	559	525	433	5,970
Total	2,589	2,822	3,144	3,277	3,308	3,166	3,186	3,279	3,179	3,428	3,185	2,859	


INMATE WORK ASSIGNMENTS

Section H: Inmate work assignments

- Number of inmates in facilities on work assignments on the first day of each month (January-December) for 2023 by facility and month

INMATE WORK ASSIGNMENTS

INMATE PAY PLAN MATRIX

	Inmate Pay Plan Matrix Connecticut Department of Correction	Attachment A REV 10/12/23 AD 10.1
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ASSIGNMENT CATEGORY	PAY LEVEL 1 \$1.00 per day	PAY LEVEL 2 \$1.50 per day	PAY LEVEL 3 \$2.00 per day
Barber			X
Blood Spill Cleaner (per spill)			X
Certified Job Classification			X
Clerk/Aides		X	
Inmate Residential Program	X		
Janitor	X		
Kitchen 1	X		
Kitchen 2		X	
Kitchen 3			X
Laundry		X	
Maintenance 1	X		
Maintenance 2		X	
Maintenance 3			X
Off Grounds			X
Outside Detail (facility property)		X	
Painter		X	
Specialty Job		X	
Student	X		

COMMISSARY PAY RATES					
Probationary Pay Rate	Pay Rate after 90 days	Pay raises beyond the initial .50 rate may be granted at the discretion of the supervising staff and when vacancies at those rates become available.			
.70 per hour	.90 per hour	\$1.00 per hour	\$1.10 per hour	\$1.20 per hour	\$1.30 per hour

A revision for inmate workers' pay to be increased was approved on 10/5/2023

Administrative Directive Number: 10.1	Title: Inmate Assignment and Pay Plan
<input checked="" type="checkbox"/> I recommend the following inclusion or revision to the above referenced Administrative Directive <u>(provide detailed explanation regarding reason for change):</u>	
<p>This revision is in response to PA 23-204, which calls for inmate workers' pay to be increased. The increased amounts are as follows:</p> <p>Level 1 .75 → \$1.00 Level 2 \$1.26 → \$1.50 Level 3 \$1.76 → \$2.00</p> <p>Commissary pay will increase by .40 per hour.</p>	

INMATE WORK ASSIGNMENTS

NUMBER OF INMATES IN FACILITIES ON WORK ASSIGNMENTS ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY FACILITY AND MONTH

Assignment Category	First day of the month											
	2023-01-01	2023-02-01	2023-03-01	2023-04-01	2023-05-01	2023-06-01	2023-07-01	2023-08-01	2023-09-01	2023-10-01	2023-11-01	2023-12-01
1 - Inmate Residential Program, Janitor, Kitchen 1, Maintenance 1, or Student	2292	2319	2360	2327	2315	2345	2282	2417	2428	2412	2474	1248
2 - Clerk/Aides, Kitchen 2, Laundry, Maintenance 2, Outside Detail, Painter, or Specialty Job	406	398	410	402	408	411	415	467	474	477	459	192
3 - Barber, Blood Spill Cleaner (per spill), Certified Job Classification, Kitchen 3, Maintenance 3, or Off Grounds	509	525	500	492	514	508	544	453	456	445	445	222
4 - 10 Commissary	95	124	123	123	123	120	125	129	113	116	121	

INMATE WORK ASSIGNMENTS

NUMBER OF INMATES IN FACILITIES ON WORK ASSIGNMENTS ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY FACILITY AND WORK ASSIGNMENT

Assignment Category	Facility													
	MYI	WALKE R	OSBOR N	CRCI	HARTFOR D	NEW HAVEN	BRIDGEPO RT	CHESHI RE	BROOKLYN	GARNE R	MACDOUG ALLCI	YORK CI	CORRIGA N CI	WILLARD/C YBULSKI
1 - Inmate Residential Program, Janitor, Kitchen 1, Maintenance 1, or Student	2849	397	1788	2545	1427	954	1277	3676	1682	1291	3835	1985	1934	1579
2 - Clerk/Aides, Kitchen 2, Laundry, Maintenance 2, Outside Detail, Painter, or Specialty Job	63	162	642	484	212	167	210	586	439	425	450	235	245	599
3 - Barber, Blood Spill Cleaner (per spill), Certified Job Classification, Kitchen 3, Maintenance 3, or Off Grounds	59	246	479	935	258	155	124	423	145	240	1155	674	294	426
4 -10 Commissary								305			574	433		

INMATE WORK ASSIGNMENTS

NUMBER OF INMATES IN FACILITIES ON WORK ASSIGNMENTS ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY WORK ASSIGNMENT

Work assignment by gender

Assignment Category	Gender	
	F	M
1 - Inmate Residential Program, Janitor, Kitchen 1, Maintenance 1, or Student	1984	25235
2 - Clerk/Aides, Kitchen 2, Laundry, Maintenance 2, Outside Detail, Painter, or Specialty Job	235	4684
3 - Barber, Blood Spill Cleaner (per spill), Certified Job Classification, Kitchen 3, Maintenance 3, or Off Grounds	674	4939
4 -10 Commissary	433	879

Work assignment by age and race

AGE	AMER IND	ASIAN	BLACK	HISPANIC	WHITE
16-20			1384	685	313
21-30	53	24	3333	2478	1315
31-40	57	54	4759	3565	3068
41-50	19	63	3932	2874	2666
51-60	3	50	2328	1355	2062
61-70	22		989	418	817
71-80			80	61	215
>=81			11	6	4

INMATE OUTSIDE WORK ASSIGNMENTS

Section I: Outside Work Assignments

INMATE OUTSIDE WORK ASSIGNMENTS

NUMBER OF INMATES WORKING IN OUTSIDE CLEARANCE COMMUNITY DETAIL ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY FACILITY AND MONTH

January	February	March	April	May	June	July	August	September	October	November	December	Grand Total
15	20	22	20	35	26	27	30	28	29	27	33	312

Facility	COMMUNITY DETAIL
115-OSBORN CI	6
121-HARTFORD CI	31
122-NEW HAVEN CI	76
125-CESHIRE CI	11
128-BROOKLYN CI	69
140-CORRIGAN CI	63
142-WILLARD/CYBULSKI	56
Grand Total	312

Race	ASIAN	BLACK	HISPANIC	WHITE	Grand Total
	2	77	51	182	312

INMATE OUTSIDE WORK ASSIGNMENTS

NUMBER OF INMATES WORKING IN OUTSIDE CLEARANCE COMMUNITY DETAIL ON THE FIRST DAY OF EACH MONTH (JANUARY-DECEMBER) FOR 2023 BY GENDER AND AGE

Gender	Grand Total
M	312

Age	Race				Grand Total
	ASIAN	BLACK	HISPANIC	WHITE	
21		1			1
22				1	1
24		3	7		10
25				2	2
26		2		5	7
27				4	4
29			1	7	8
30		14			14
31		1	1	11	13
32		1		30	31
33		3	3	8	14
34		6	5	21	32
35			13	2	15
36		4	10		14
37		4		6	10
38	1			1	2
39				12	12
40		2		8	10
41		2		8	10
42				5	5
43				12	12
44			3		3
45		10			10
46		3	3	2	8
47		8		5	13
50		7	5	4	16
51	1	1			2
52		2		2	4
54				1	1
60				8	8
61				4	4
63		3		3	6
65				10	10
Grand Total	2	77	51	182	312