



TO: AGENCY HEADS & AGENCY HUMAN RESOURCES ADMINISTRATORS  
DT: June 16, 2009  
RE: Statewide Family and Medical Leave Policy - Revised

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Commissioner

## PURPOSE

This General Letter is to provide a statewide Family and Medical Leave Policy to ensure consistent application and implementation of C.G.S. §5-248a, §5-248b and Personnel Regulations §5-248b-1 through §5-248b-9 inclusive. Additionally, this document incorporates the requirements under amended regulations to the federal Family and Medical Leave Act (FMLA) effective January 16, 2009, **and the amendment to the C.G.S.5-428a, Public Act No. 09-70** effective May 2009. Therefore, any and all individual agency policies on this topic are hereby deemed obsolete and agencies shall comply with the instructions specified herein. Supplemental information is available to State employees and agency Human Resources professionals via the DAS publication, "**Understanding Family and Medical Leave (A Primer for Connecticut State Employees)**".

## BACKGROUND

Legislation passed at both *federal* and state levels provides eligible employees with job-protected leave for certain family and medical reasons. The *federal* Family and Medical Leave Act (FMLA) was enacted by Congress in 1993 and was amended in 2008 to extend additional leave rights to families of members of the Armed Forces. Effective January 16, 2009, the federal Department of Labor issued revised regulations regarding the *federal* FMLA. Connecticut's statute governing family and medical leaves for public sector employees (C.G.S. 5-248a) was enacted in 1988, and was most recently amended in May 2009 (P.A. 09-70) to include military family caregiver leave.

## ELIGIBILITY

To be eligible for *federal* FMLA, employees must have at least 12 months of total service (in the aggregate) and have worked at least 1,250 hours in the 12 months immediately preceding the commencement of leave. ("Hours worked" does not include time spent on paid leave – sick, vacation, PL, administrative – or unpaid leave. However, overtime hours and military leave do count toward the 1,250-hour requirement.)

To qualify for *state* family/medical leave, employees must have permanent status with the state as defined in C.G.S. 5-196(20).

A state employee may be eligible for:

- *Federal* FMLA only,
- State family/medical leave only,
- Both *federal* FMLA and state family/medical leave, or
- None

An employee who is eligible under only one law receives benefits in accordance with that law only. If the leave qualifies for both *federal* FMLA leave and state family/medical leave, the leave may count against an eligible employee's entitlement under both laws and run concurrently. *Federal* FMLA (though not state family/medical leave) may run concurrently with a Workers' Compensation absence.

## **REASONS FOR LEAVE**

The circumstances covered under either the state family/medical leave or *federal* FMLA or a combination of the acts are as follows:

- The birth of employee's child or adoption of a child by the employee (*both state and federal*);
- The placement of a foster child with the employee (*federal only*);
- The "serious illness (*state*) or "serious health condition" (*federal*) of a child\*, spouse\*\* or parent;
- The "serious illness" (*state*) or "serious health condition" (*federal*) of the employee;
- For an employee to serve as an organ or bone marrow donor (*state only-PA 04-95*);
- For military family leave

### **STATE** (P.A. 09-70)

- Up to 26 weeks of unpaid leave in a two-year period to care for an immediate family member or next of kin who is a current member of the U.S. armed forces, National Guard or military reserves and is undergoing medical treatment, recuperation or therapy, an inpatient, or on the temporary disability retired list for a serious illness or injury.

### **FEDERAL** (NDAA 2008, Public Law 110-81)

- Up to 26 weeks of unpaid leave for a spouse, child, parent or next of kin (nearest blood relative to that individual other than his/her spouse, parent, son, daughter) to care for a covered service member injured while on active duty in the U.S. Armed Forces (only available during a single 12-month period)

Both Federal and State are a one-time benefit per service member per injury.

### **FEDERAL** (NDAA 2008, Public Law 110-81)

- Up to 12 weeks of unpaid leave because of "any qualifying exigency" arising out of the fact that a spouse, son, daughter or parent of the employee is on active duty or notification of a call to active duty in support of a contingency operation.

\*Under State Family Medical Leave, the term, "child" includes a biological, adopted or foster child, stepchild or child of a person standing in "loco parentis" (under age 18 years or 18 or older and incapable of self-care because of a mental or physical disability). It also includes a child of whom a person has legal guardianship or custody. (*Effective 10/1/06, Public Act 06-102*)

\*\*The term "spouse" includes civil unions and same sex marriages under the State Family Medical Leave.

## **AMOUNT OF LEAVE**

Under *federal* FMLA, eligible employees are entitled to 12 weeks of unpaid leave or paid leave with earned accruals in a twelve-month period. Under state family/medical leave, employees are entitled to a maximum of twenty-four (24) weeks of unpaid leave within a two-year period. Where possible, leave time granted under the state's family/medical leave law will run concurrently with the *federal* FMLA entitlement.

## **ADVANCE NOTICE AND MEDICAL DOCUMENTATION**

Employees are required to submit a medical certificate to substantiate leave taken for a serious health condition/serious illness. Employees must use the following forms:

- **Form P-33A-Employee** – when the leave is for the employee's own illness.

- **Form P-33B-Caregiver** – when the employee requests leave to care for a child, spouse or parent with a serious health condition/serious illness.

For military family leave, employees are required to submit a certificate to substantiate leave for a serious injury or illness of a covered service member or a qualifying exigency. Employees must use the following forms:

- **Form DOL-WH384** – Certification of Qualifying Exigency for Military Family Leave (FMLA)
- **Form DOL-WH385** – Certification for Serious Injury or Illness Covered Service Member for Military Family Leave (Federal and State)

Where the employee has advance notice of the need for the leave (i.e., an anticipated birth, adoption or surgery), the medical certificate form should be submitted at least 30 days in advance, using approximate dates if definite ones are not yet available. Where there is no forewarning (i.e., major unexpected illness), the medical form should be submitted as soon as the employee becomes aware that he/she is to be absent for an FMLA qualifying reason. Failure to provide the needed documentation may result in a disapproval of the leave or a delay in its commencement.

Employees who request a leave under the State's C.G.S. 5-248a are required to sign a statement confirming their intent to return to work immediately following the leave. Failure to return to work at the end of the leave period may be treated as a resignation unless an extension of the employee's absence has been agreed to and approved in writing by the agency. In no event shall the period of FMLA exceed the benefit provided under federal or state law.

### **BENEFIT CONTINUATION**

The state will continue the employee's health insurance coverage while the employee is on leave. The employee must continue to pay any share of the group health plan premiums that he/she had paid prior to taking leave. The use of FMLA leave will not result in the loss of any employment benefit that accrued prior to the start of an employee's leave.

### **RETURN TO WORK**

At the conclusion of family/medical leave, employees are entitled with limited exceptions to return to the same position or an equivalent position with equivalent pay, benefits and working conditions. In the vast majority of cases, employees will be returned to the position they occupied prior to the leave. If this is not possible, the agency will notify them of their new position prior to their return from leave. In cases involving the serious health condition of an employee, the agency will require the employee to produce a fitness-for-duty report on which the physician has certified the employee is able to return to work. This requirement protects the employee, co-workers and the public from the negative consequences that can result when an individual returns to work before being medically ready to do so. Therefore, employees who are notified of the need for a fitness-for-duty certification will not be allowed to return to work without it.

### **UNLAWFUL ACTS**

FMLA makes it unlawful for any employer to:

- Interfere with, restrain, or deny the exercise of any right provided under FMLA;
- Discharge or discriminate against any person for opposing any practice made unlawful by FMLA or for involvement in any proceeding under or relating to FMLA.

The U.S. Department of Labor is authorized to investigate and resolve complaints of violations regarding the *federal* FMLA. Complaints regarding federal and or state family/medical leave may be directed to the Human Resources Director/designee in each state agency or to the employee's union.

**MORE INFORMATION**

As mentioned above the DAS brochure, "**Understanding Family and Medical Leave**" can be found on the DAS website in the Human Resources—Employees section under "Employee Benefits". Also, this general letter may be found via the DAS website. Employees who have additional questions may also contact their Human Resources Specialist in the Human Resources Office.

*Brenda L. Sisco*

*06/16/2009*

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Brenda L. Sisco, Commissioner  
Department of Administrative Services

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Date

**09-04**