



# Code of Penal Discipline Offenses

## Connecticut Department of Correction

Attachment B  
REV 5/15/23  
AD 9.5

### Class "A" Offenses.

1. Alteration of a Specimen. Altering, substituting, mislabeling or disposing of a required specimen.
2. Arson. Intentionally starting a fire or causing an explosion or acting in such a reckless manner that one's actions cause a fire or explosion.
3. Assault. Physically attacking another person with or without the use of an object or substance.
4. Assault on a Department of Correction Employee. Intentionally striking or attacking a Department of Correction employee with or without the use of an object or substance or acting in such a reckless manner that one's actions cause an assault of a Department of Correction employee.
5. Bribery. Giving or agreeing to give to any person a benefit, payment, instrument of value, object or service intended to influence that person's action(s) or decision making.
6. Contraband, Class A. Making, transferring or possessing:
  - a. A dangerous instrument;
  - b. Any item of use in making, attempting or aiding an escape;
  - c. Unauthorized currency;
  - d. Drugs or drug paraphernalia;
  - e. An intoxicating substance;
  - f. Unauthorized medication;
  - g. Tobacco or tobacco paraphernalia;
  - h. Tattoo machine or equipment;
  - i. Any object or substance capable of being used to start a fire or cause an explosion, camera, recording device, cellular telephone and/or wireless communication device, to include any components thereof;
  - j. Documents/publication(s), whether printed or handwritten, that promote the ideology of the Sovereign Citizen movement including but not limited to filing and/or assist in filing improper or fraudulent Uniform Commercial Code (UCC) liens or liens on property and/or publications that promote this practice; or
  - k. Possession or transferring any personal identifying information of a DOC employee or a person performing services or any public agency including but not limited to those listed within CGS 1-217.
7. Creating a Disturbance. Causing or participating in a general disturbance which involves any of the following Level 1 incidents in accordance with Administrative Directive 6.6, Reporting of Incidents:
  - a. Group disturbance;
  - b. Inmate work stoppage;
  - c. Organized disobedience, major destruction/disablement of state property; or
  - d. Any other incident which causes the alert of the Department's Emergency Response Units.
8. Destruction of Property, Class A. Damaging property with actual or replacement value of one hundred dollars (\$100) or more.
9. Escape.
  - a. Leaving a correctional facility without authorization;
  - b. Leaving escorted custody without permission; or
  - c. Failing to properly return from furlough.
10. Escape from PCS Supervision. The act of an offender, on Transitional Supervision or other release to the community (other than parole), who:
  - a. Leaves without authorization from any public or private non-profit halfway house or community residence to which the offender was transferred;
  - b. Leaves without authorization from the approved residence to which the offender is assigned;
  - c. Leaves without authorization from work or education release;
  - d. Fails to return from re-entry furlough; or,
  - e. Has multiple failures to report.
11. Falsely Reporting an Incident.
  - a. Any instance where the following elements are met:
    - i. Knowing the information reported to be false or baseless;
    - ii. A person makes a report involving the following incidents to an employee regarding an incident, alleged incident or impending occurrence and after investigation proves to be false:
      1. Physical violence;
      2. Sexual abuse;
      3. Staff misconduct; or
      4. Personal injury and/or significant danger to life or property.
  - b. Charging under this section requires the authorization of the Unit Administrator or higher authority.



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12. **Felonious Misconduct.** Committing an act that would be a felony under the Connecticut General Statutes that is not a classified offense prohibited by this Directive.
  - a. Charging under this section requires the authorization of the Unit Administrator and a specific statutory citation.
13. **Fighting.** Engaging in physical combat with another person.
14. **Flagrant Disobedience.**
  - a. Disobeying a clearly stated order in a way that conveys a deliberate challenge to authority and jeopardizes the safety, security and orderly operation of the facility; or
  - b. Failing to comply with a lawful direction to refrain from contacting the victim of the inmate's crime.
15. **Hostage Holding.** Taking, detaining or holding another person against the other person's will.
16. **Hostage Holding of a Department of Correction Employee.** Taking, detaining or holding of a Department of Correction employee against the person's will.
17. **Impeding Order.**
  - a. Impeding the order or security of the unit by intentionally or recklessly causing a grave risk of alarm, unauthorized assembly; or
  - b. Engaging in disorderly conduct which severely interferes with the unit's normal operations.
18. **Interfering with Safety or Security.** Interfering with, resisting or obstructing the execution of a staff member's official duties.
19. **Intoxication.**
  - a. Being under the influence of alcohol or any intoxicating substance;
  - b. Being under the influence of a drug which has not been officially prescribed by DOC Health Services Staff; or
  - c. When the presence of any of the above mentioned substances are found in the body by an approved scientific method of analysis.
20. **Possession of Sexually Explicit Materials.** Possession, display or transfer of any pictorial depictions of sexual activity or nudity which, by its nature or content, poses a threat to the security, good order or discipline of the facility, facilitates criminal activity, or harasses staff in accordance with Administrative Directive 10.7 Inmate Communication.
21. **Public Indecency.** Intentionally exposing one's intimate parts or fondling/caressing such intimate body parts.
22. **Refusal or Removal of an Institutional Program or Policy.**
  - a. Refusing to sign an Offender Accountability Plan;
  - b. Refusing to participate in or refusing to attend a recommended program listed on an Offender Accountability Plan or being removed from a recommended program due to lack of participation;
  - c. Lack of attendance;
  - d. Involved in a serious incident during the program or being continually disruptive while in the program; or
  - e. Refusing to submit a DNA sample or refusing to complete Sex Offender Registration.
23. **Refusal to Give a Specimen.** Refusing a direct order to provide a blood, urine or other required specimen within three (3) hours excluding a DNA sample or Saliva Alcohol test.
24. **Refusing Housing.** Disobeying staff direction to be or remain housed in a certain location within a correctional facility.
25. **Riot.**
  - a. Inciting or participating in a general disturbance which results in:
    - i. a loss of control of all or a portion of a unit;
    - ii. serious injury;
    - iii. serious property damage;
    - iv. or other organized disobedience to the rules of the unit.
  - b. A charge of riot may be used only when the Commissioner declares that a riot has occurred and authorizes use of the charge.
26. **Secreting Identity.** Presenting false identification or wearing a hood or other garment for the purpose of concealing identity.
27. **Security Risk Group Affiliation.**
  - a. Possessing or displaying any materials, symbols, colors or pictures of any identified security risk group;
  - b. Any communication that can be reasonably associated with a security risk group; or
  - c. Behaviors and/or language uniquely or clearly associated with a security risk group.
28. **Security Tampering.**
  - a. Tampering with locking, security or safety devices; or



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b. Any unauthorized or fraudulent use of a monitored inmate communication to include but not limited to, phone, mail, tablet or video visit.

**29. Self-Mutilation.**

a. Intentionally inflicting bodily injury to oneself including tattooing and body piercing.

i. With the exception of Disciplinary reports for tattooing and body piercing, each disciplinary report for self-mutilation shall first be reviewed by a qualified mental health professional prior to its delivery to the inmate.

**30. Sexual Misconduct.** Involvement in activities as defined in Section 3, Subsections (X) and (Y) of this directive and/or Sexual Abuse; Abusive Sexual Contact; Sexual Harassment as defined in A.D. 6.12, Inmate Sexual Abuse/Sexual Harassment Prevention and Intervention.

**31. Theft, Class A.** Stealing or possessing stolen property with actual or replacement value equal to or in excess of one hundred dollars (\$100).

**32. Threats.** Making verbal or written statements, or engaging in physical conduct which causes or is intended to cause fear in any person.

**33. Violation of Program Provisions.**

a. Failing to comply with the procedures or restrictions of community release;

b. Failing to comply with the procedures or restrictions of furlough; or

c. Failing to comply with any programming requirements associated with any special management status identified in Administrative Directive 9.4, Special Management.

### **Class "B" Offenses.**

**1. Bartering.** Conducting any transaction for which payment of any kind is made, promised or expected.

**2. Causing a Disruption.**

a. Inciting others or engaging in disruptive behavior which interferes with normal operations, to include but are not limited to:

i. Harassing others by taunts;

ii. Name calling;

iii. Rattling bars; and/or

iv. Banging utensils or other objects or in any other manner creating loud or disturbing noises.

**3. Contraband, Class B.**

a. Class B Contraband is defined as:

v. Being in possession of unauthorized items;

vi. Being in possession of authorized items that have been altered;

vii. Being in possession of inmate personal property, state issued items, or commissary items in excess of authorized amounts.

**4. Destruction of Property, Class B.** Damaging any property with actual or replacement value less than one hundred dollars (\$100).

**5. Disobeying a Direct Order.** Failing to comply expeditiously with the instruction of a staff member or failing to comply with any disciplinary sanction imposed.

**6. Gambling.** Betting for money, personal gain, or anything of value.

**7. Giving False Information.** Intentionally misleading staff in the course of official duties.

**8. Insulting Language or Behavior.** Using abusive or obscene language or making an obscene gesture.

**9. Misdemeanor Misconduct.** Committing an act that would be a misdemeanor under the Connecticut General Statutes that is not a classified offense prohibited by this Directive.

a. Charging under this section requires the authorization of the Unit Administrator and a specific statutory citation.

**10. Out of Place.** Being present in an area without authorization, loitering or being in a location longer than necessary to accomplish an authorized purpose.

**11. Theft Class B.** Stealing or possessing stolen property with actual or replacement value of less than one hundred dollars (\$100).

### **Class "C" Offenses.**

**1. Disorderly Conduct.** Any nuisance or annoying behavior which interferes with the unit's order.

**2. Lingering.** Failing to carry out instructions or assignments in a timely manner.

**3. Sanitary/Housing Violation.** Failing to maintain proper sanitary condition in personal hygiene, toilets, housing, or dining areas.

**4. Violation of Unit Rules.** Failing to abide by a published unit rule.