

**CONNECTICUT ADDS ONLINE FILING OPTION FOR UNIT INVESTMENT TRUSTS
EFFECTIVE JANUARY 4, 2021**

Starting January 4, 2021, unit investment trusts may begin using the North American Securities Administrators Association, Inc.’s (“NASAA”) online Electronic Filing Depository as a permissible means of making covered securities notice filings in Connecticut under the Connecticut Uniform Securities Act.

Developed by NASAA, EFD is an online system that allows an issuer to simultaneously make securities filings in multiple states and pay related fees online. In addition, the EFD website (www.nasaaefd.org), permits members of the public to search for and view, free of charge, filings made with state securities regulators through the system.. Members of the public are reminded that making a filing with the Securities and Exchange Commission or with a state securities regulator does not mean that the SEC or the affected state has approved the securities or made any judgment on the soundness of the securities as an investment. Use of the EFD System is optional and is being rolled out in stages in Connecticut.

Although the Division strongly encourages issuers to use EFD for securities filings, paper filings and checks will continue to be accepted and processed by the Division for the immediate future. Questions regarding EFD should be directed to William Olesky of the Division at (860) 240-8240 or william.olesky@ct.gov.