



STATE OF CONNECTICUT
DEPARTMENT OF BANKING
 FINANCIAL INSTITUTIONS DIVISION
 280 TRUMBULL STREET | 16TH FLOOR
 HARTFORD, CT 06103



MAIN OFFICE RELOCATION APPLICATION

Filed by: _____
 Name of Institution

Federal Employment Identification Number: _____

 Address of present location, including town

 Proposed street address, including town

 Proposed address is between which two intersecting streets?

This application must be accompanied by:

1. A certified copy of the vote of the board of directors authorizing the relocation, including approval of capital expenditures (must include dollar amount of expenditures).
2. A copy of any application(s) submitted to federal regulatory agencies.
3. A copy of the most recent balance sheet and income statement (should not be dated prior to end of previous month).
4. A check in the amount of \$2,000 made payable to the Treasurer, State of Connecticut.

This application to relocate a main office is filed pursuant to the provisions of Section 36a-81 of the Connecticut General Statutes.

Date: - -

Signature: _____

Print: _____

Title: _____

NOTE: BLANK PAGES MAY BE USED IF ADDITIONAL SPACE IS NEEDED. ANY ADDITIONAL INFORMATION TO THAT REQUESTED IN APPLICATION WHICH IS DEEMED APPROPRIATE MAY BE SUBMITTED.

1. If any real or personal property will be purchased, state the cost (indicate if estimated):

- (a) Property being purchased: _____
- (b) Construction/renovation of building: _____
- (c) Furnishings, vault & security equipment: _____
- (d) Date(s) that board of directors authorized expenditures: --

2. If real estate and/or buildings will be leased, state:

- (a) Annual lease cost: _____
- (b) Term of lease: _____
- (c) Renewal options: _____
Give brief description of escalation clause over term of lease:

- (d) Name and address of lessor: _____

The term "insider" as used in questions 3, 4 and 5 is defined as:

- (1) A director of the applicant institution;
- (2) An officer of the applicant institution;
- (3) A person who directly, or acting through or in concert with one or more

persons, owns, controls or has the power to vote more than 10% of any class of the applicant's voting securities; and

(4) A company in which any of the persons named in (1) through (3) above, or 4(a) below:

- (a) owns, controls or has the power to vote 25% of the company's voting securities;
- (b) controls in any manner the election of a majority of the company's directors; or
- (c) has the power to exercise a controlling influence over the company's management or policies.

3. Will acquisition of the property involve a transaction with an insider? If so, describe the terms of the transaction. NOTE: If property being purchased is owned by an insider submit an independent appraisal with this application.

4. Will the lease involve real estate and/or buildings, or other personal property which is owned by an insider? NOTE: If answer is yes, submit an independent appraisal of the terms of the lease which includes comparisons with other recently executed leases of similar real estate and/or buildings in the area.

5. In connection with the proposed application indicate yes or no of any of the following persons are insiders:

- (1) Real estate agent(s): _____
- (2) Sellers of real or personal property: _____
- (3) Lessors of real or personal property: _____
- (4) General contractors: _____
- (5) Subcontractors: _____
- (6) Other (describe): _____

11. Approximately how far from the present main office site is the proposed site?

12. Date of last examination for Community Reinvestment Act compliance?

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12a. Examining authority: _____