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IN THE MATTER OF:	*	**CONSENT ORDER**
ANDREW V. CAMARDA	*	**MATTER NO. CO-M2026-1-S**
CRD NO. 6070173	*	

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I. PRELIMINARY STATEMENT

WHEREAS, the Banking Commissioner ("Commissioner") is charged with the administration of Chapter 672a of the General Statutes of Connecticut, the Connecticut Uniform Securities Act ("Act"), and Sections 36b-31-2 to 36b-31-33, inclusive, of the Regulations of Connecticut State Agencies ("Regulations") promulgated under the Act;

WHEREAS, Andrew V. Camarda ("Respondent") is an individual whose address last known to the Commissioner is 105 Adam Road, Massapequa, New York 11758. Respondent was registered as an investment adviser agent in New York from December 8, 2022, to March 27, 2025. Respondent has never been registered in Connecticut in any capacity under the Act;

WHEREAS, Respondent is the son of Vincent Jerome Camarda (CRD No. 2463703) ("VC"), who was registered as a broker-dealer agent under the Act at various times from October 26, 1999, to June 17, 2022, and as an investment adviser agent under the Act at various times from June 12, 2013, to December 31, 2025;

WHEREAS, the Commissioner, through the Securities and Business Investments Division ("Division") of the Department of Banking ("Department"), conducted an investigation of Respondent pursuant to Section 36b-26(a) of the Act to determine if Respondent had violated, was violating or was about to violate provisions of the Act or Regulations or any order thereunder ("Investigation");

WHEREAS, as a result of the Investigation, the Division obtained evidence that Respondent was involved in soliciting clients of VC. VC's clients were contacted by VC and Respondent and requested to have them transfer the agent of record for their accounts from VC to Respondent. In connection with the solicitations, some of the clients received recommendations regarding proposed securities transactions. The solicited client accounts were never transferred from VC to Respondent;

WHEREAS, as a result of the Investigation, the Commissioner has reason to believe that the foregoing conduct violates Section 36b-6(c)(2) of the Act, and would support administrative proceedings against Respondent under Section 36b-27 of the Act;

WHEREAS, Section 36b-31(a) of the Act provides, in relevant part, that "[t]he commissioner may from time to time make . . . such . . . orders as are necessary to carry out the provisions of sections 36b-2 to 36b-34, inclusive";

WHEREAS, Section 36b-31(b) of the Act provides, in relevant part, that "[n]o . . . order may be made . . . unless the commissioner finds that the action is necessary or appropriate in the public interest or for the protection of investors and consistent with the purposes fairly intended by the policy and provisions of sections 36b-2 to 36b-34, inclusive";

WHEREAS, an administrative proceeding initiated under Section 36b-27 of the Act would constitute a "contested case" within the meaning of Section 4-166(4) of the General Statutes of Connecticut;

WHEREAS, Section 4-177(c) of the General Statutes of Connecticut and Section 36a-1-55(a) of the Regulations provide that a contested case may be resolved by consent order, unless precluded by law;

WHEREAS, Respondent cooperated with the Division and submitted documents and information in a timely and forthright manner;

WHEREAS, without holding a hearing and without trial or adjudication of any issue of fact or law, and prior to the initiation of any formal proceeding, the Commissioner and Respondent reached an agreement, the terms of which are reflected in this Consent Order, in full and final resolution of the matters described herein;

WHEREAS, Respondent expressly consents to the Commissioner's jurisdiction under the Act and to the terms of this Consent Order;

WHEREAS, the Commissioner finds that the entry of this Consent Order is necessary or appropriate in the public interest or for the protection of investors and consistent with the purposes fairly intended by the policy and provisions of the Act;

WHEREAS, Respondent acknowledges that he has had the opportunity to consult with and be represented by independent counsel in negotiating and reviewing this Consent Order and executes this Consent Order freely;

AND WHEREAS, Respondent, through his execution of this Consent Order, specifically represents and agrees that the violation alleged in this Consent Order shall not occur in the future.

II. CONSENT TO WAIVER OF PROCEDURAL RIGHTS

WHEREAS, Respondent, through his execution of this Consent Order, voluntarily waives the following rights:

1. To be afforded notice and an opportunity for a hearing within the meaning of Section 36b-27 of the Act and Section 4-177(a) of the General Statutes of Connecticut;

2. To present evidence and argument and to otherwise avail himself of Section 36b-27 of the Act and Section 4-177c(a) of the General Statutes of Connecticut;

3. To present his position in a hearing in which he is represented by counsel;

4. To have a written record of the hearing made and a written decision issued by a hearing officer; and

5. To seek judicial review of, or otherwise challenge or contest, the matters described herein, including the validity of this Consent Order.

III. ACKNOWLEDGEMENT OF THE COMMISSIONER'S ALLEGATIONS

WHEREAS, Respondent, through his execution of this Consent Order, acknowledges, without admitting or denying, the following allegations of the Commissioner:

> Respondent violated Section 36b-6(c)(2) of the Act by transacting business as an investment adviser agent in Connecticut without registering as an investment adviser agent under the Act;

WHEREAS, the Commissioner would have the authority to enter findings of fact and conclusions of law after granting Respondent an opportunity for a hearing;

AND WHEREAS, Respondent acknowledges the possible consequences of an administrative hearing and voluntarily agrees to consent to the entry of the sanctions described below.

IV. CONSENT TO ENTRY OF SANCTIONS

WHEREAS, Respondent, through his execution of this Consent Order, consents to the Commissioner's entry of a Consent Order imposing the following sanctions:

1. Respondent shall cease and desist from engaging in conduct constituting or which would constitute a violation of the Act, or any regulation, rule or order adopted or issued under the Act, either directly or through any person, organization, entity, or other device, including, without limitation, violating Section 36b-6(c)(2) of the Act; and

2. No later than the date this Consent Order is entered by the Commissioner, Respondent shall remit to the Department by cashier's check, certified check or money order made payable to "Treasurer, State of Connecticut," the sum of two thousand five hundred dollars ($2,500), which shall constitute an administrative fine;

V. CONSENT ORDER

NOW THEREFORE, the Commissioner enters the following:

1. The Sanctions set forth above be and are hereby entered;

2. Entry of this Consent Order by the Commissioner is without prejudice to the right of the Commissioner to take enforcement action against Respondent based upon a violation of this Consent Order or the matters underlying its entry if the Commissioner determines that compliance with the terms herein is not being observed;

3. Nothing in this Consent Order shall be construed as limiting the Commissioner's ability to take enforcement action against Respondent based upon: (i) evidence of which the Division was unaware on the date hereof relating to a violation of the Act or any regulation or order under the Act; or (ii) evidence indicating that Respondent withheld material information from, or made any material misstatement or omission to, the Commissioner in connection with this matter;

4. Respondent shall not take any action or make or permit to be made any public statement, including in regulatory filings, any proceeding in any forum or otherwise, denying, directly or indirectly, any allegation referenced in this Consent Order or create the impression that this Consent Order is without factual basis;

5. Respondent shall not take any position in any proceeding brought by or on behalf of the Commissioner, or to which the Commissioner is a party, that is inconsistent with any part of this Consent Order. Nothing in this provision affects Respondent's (i) testimonial obligations or (ii) right to take a legal or factual position in litigation, arbitration, or other legal proceeding in which the Commissioner is not a party; and

6. This Consent Order shall become final when entered.

So ordered at Hartford, Connecticut,
this _16th__ day of June 2026.

___/s/_____________________________________
Jorge L. Perez
Banking Commissioner

CONSENT TO ENTRY OF ORDER

I, Andrew V. Camarda, state that I have read the foregoing Consent Order; that I know and fully understand its contents; that I agree freely and without threat or coercion of any kind to comply with the terms and conditions stated herein; and that I consent to the entry of this Consent Order.

____/s/____________________________________
Andrew V. Camarda

State of: ____New York_______

County of: ______Nassau______

On this the _4th__ day of June 2026, before me, the undersigned officer, personally appeared Andrew V. Camarda, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument and acknowledged that he executed the same for the purposes therein.

In witness whereof I hereunto set my hand.

___/s/______________________________________
Notary Public / Commissioner of the Superior Court
Date Commission Expires: October 21, 2028