
IN THE MATTER OF:

SAMUEL KLEIN

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CERTIFICATION

MATTER NO. CDF-22-8408-S

The undersigned hereby certifies, on behalf of the Banking Commissioner (“Commissioner”), that:

1. On June 20, 2022, the Commissioner issued an Order to Cease and Desist, Notice of Intent to Fine and Notice of Right to Hearing (collectively, “Notice”) against Samuel Klein (“Respondent”), a copy of the Notice is attached hereto and incorporated by reference herein;
2. The Order to Cease and Desist provided that it would remain in effect and become permanent against Respondent if a hearing was not requested within 14 days of its receipt;
3. On June 21, 2022, the Notice was sent by certified mail, return receipt requested, to Respondent at 131 Pecksland Road, Greenwich, Connecticut 06831 (“Initial Mailing”).
4. On June 27, 2022, the USPS online tracking system indicated that the Initial Mailing was “In transit to next facility”, and on June 29, 2022, the certified mail receipt was returned to the Department of Banking with no signature or date of delivery.
5. On July 8, 2022, a copy of the Notice was sent by certified mail, return receipt requested, to Respondent at Samuel Klein, Register #21165-509, FCI FORT DIX, FEDERAL CORRECTIONAL INSTITUTION, P.O. BOX 2000, JOINT BASE MDL, NJ 08640 (“Supplemental Mailing”);
6. On July 15, 2022, the certified mail receipt associated with the Supplemental Mailing was returned to the Department of Banking marked as received on July 12, 2022, by the FCI Fort Dix Mailroom;
7. On August 26, 2022, the Notice was served on the Commissioner and, in accordance with Section 36b-33(h) of the General Statutes of Connecticut, Notice of Service on the Banking Commissioner in the matter of Samuel Klein, dated August 26, 2022 (“Notice of Service”), was sent by certified mail, return receipt requested, to Respondent at Samuel Klein, Register #21165-509, FCI FORT DIX, FEDERAL CORRECTIONAL INSTITUTION, P.O. BOX 2000, JOINT BASE MDL, NJ 08640;

8. Respondent failed to request a hearing on the allegations set forth in the Order to Cease and Desist within 14 days of the date of the Notice of Service; and
9. On September 10, 2022, the Order to Cease and Desist issued against Respondent became permanent.

JORGE L. PEREZ
BANKING COMMISSIONER

Dated at Hartford, Connecticut,
this 16th day of September 2022.

By: _____/s/_____
Elena Zweifler
Staff Attorney

