
*
IN THE MATTER OF: *
*
HERBERT H. HAFEN *
a/k/a ELIAS HERBERT HAFEN *
a/k/a ELIAS NIGGEBRUGGE *
a/k/a E HERBERT NIGGEBRUGGE *
CRD NO. 867068 *

CERTIFICATION
DOCKET NO. CDF-20-8474-S

The undersigned hereby certifies, on behalf of the Banking Commissioner (“Commissioner”), that:

1. On February 11, 2020, the Commissioner issued an Order to Cease and Desist, Notice of Intent to Fine and Notice of Right to Hearing (collectively, “Notice”) against Herbert H. Hafen (“Respondent”), a copy of the Notice is attached hereto and incorporated by reference herein;
2. The Order to Cease and Desist provided that they would remain in effect and become permanent against Respondent if a hearing was not requested within 14 days of their receipt;
3. On February 13, 2020, the Notice was sent by certified mail, return receipt requested, to Respondent;
4. On February 29, 2020, Respondent received the Notice;
5. Respondent has failed to request a hearing on the allegations set forth in the Order to Cease and Desist within 14 days of their receipt; and
6. On March 15, 2020, the Order to Cease and Desist issued against Respondent became permanent.

JORGE L. PEREZ
BANKING COMMISSIONER

Dated at Hartford, Connecticut,
this 8th day of July 2020.

By: _____/s/_____
Elizabeth Mullin
Staff Attorney

CERTIFICATION

I hereby certify that on July 8, 2020, I caused a copy of the Certification in the matter of Herbert H. Hafen to be sent by certified mail, return receipt requested, to Herbert H. Hafen at 1513 Dallas Avenue, Nashville, Tennessee 37212, certified mail no. 70151730000224113465. The original is attached hereto.

_____/s/_____
Julie Carta