
*
IN THE MATTER OF: *
*
WATERBURY FINANCIAL LLC *
(“Waterbury Financial”) *
*
DAVID SYLVESTER WATSON SR *
(NMLS #278341) *
(“Watson”) *
*
(“Respondents”) *
*

**MODIFICATION TO
FINDINGS OF FACT,
CONCLUSIONS OF LAW
AND ORDER**

WHEREAS, on September 27, 2022, the Banking Commissioner issued Findings of Fact, Conclusions of Law and Order In The Matter of: Waterbury Financial LLC and David Sylvester Watson Sr. (“Order”). A copy of the Order is attached and incorporated herein by reference;

WHEREAS, a clerical error exists in the opening paragraph of the section titled “ORDER” on page 9 of the Order;

NOW THEREFORE, pursuant to Connecticut General Statutes § 4-181a(c), the Banking Commissioner hereby modifies the above-referenced paragraph by replacing it with the following:

“Having read the record, I hereby **ORDER**, pursuant to Sections 36a-494(b), 36a-50(c), 36a-52(a), and 36a-50(a) of the General Statutes of Connecticut, that:”

Dated at Hartford, Connecticut
this 30th day of September 2022.

_____/s/_____
Jorge L. Perez
Banking Commissioner

This Modification was sent by certified mail, return receipt requested, to Respondents on October 5, 2022.

Waterbury Financial, LLC
65 Pemberton Street
Waterbury, Connecticut 06706

Certified Mail No. 7022 1670 0002 0923 2524

Waterbury Financial, LLC
786 East Main Street
Waterbury, CT 06702

Certified Mail No. 7022 1670 0002 0923 2531

David Sylvester Watson Sr.
65 Pemberton Street
Waterbury, Connecticut 06706

Certified Mail No. 7022 1670 0002 0923 2548

This Modification was delivered by email to Melissa Desmond, Esq., counsel for the Department of Banking, and David Sylvester Watson Sr. on October 5, 2022.