



### APPLICATION FOR SHELLSTOCK SHIPPER I LICENSE COMBINED HARVEST AND RELAY ACTIVITIES

#### PART I: License Applicant Information

##### A. Applicant Information (please complete)

CT Shellfish Shipper Certification #:

Company Name:

Applicant:

Street Address:

Town:

State:

Zip:

Email:

Business Phone:

Mobile Phone:

Home Phone:

##### B. SEED SOURCE – HATCHERY, UPWELLER OR SEED LICENSE (please complete)

1. Seed Oysters Purchased From Seed Oyster Licensee?

Seed Licensee Name:

2. Seed Oysters from Upwellers?

Upweller Location:

Street Address:

Town:

State:

3. Seed Oysters Purchased from Hatchery?

Hatchery Name:

Street Address:

Town:

State:

##### C. AQUACULTURE GEAR PERMITS, ACTIVE BEDS, AND REQUIREMENT TO HAVE OPERATIONAL PLAN(S)

Under the National Shellfish Sanitation Program Model Ordinance ([NSSP-MO](#)) Chapter VI. Requirements for the Harvester/Dealer .03 Seed Production in Water Classified as Prohibited or Unclassified, each aquaculture site that cultures seed in waters classified as prohibited or unclassified shall have a written operational plan that must be approved by the Authority. Under Chapter VI. Requirements for the Harvester/Dealer .04 Aquaculture That Attracts Birds or Mammals, each aquaculture site that the Authority determines may attract sufficient birds and/or mammals that their waste presents a human health risk shall have a written operational plan that must be approved by the Authority.

USACOE #	Location	Classification	Species	Permitted Gear	Gear Deployed 7/1-6/30	Operational Plan Required

**Note: This table shall include all the gear listed on your Joint Agency Aquaculture Permit *and* the gear deployed 7/1-6/30. As applicable, this table shall be amended by the license-holder throughout the year when new USACOE permits are issued and prior to the deployment of gear in areas that are permitted but not currently used by the license-holder. No gear may be deployed in areas that DoAg has determined require an Operational Plan until the plan has been written by the license-holder and approved by the DoAg.**

### **Operational Plans**

**Aquaculture operations growing oysters in Prohibited waters shall have a written operational plan that includes:**

1. A description of the design and activities of the culture facility;
2. The specific site and boundaries in which shellfish aquaculture activities will be conducted;
3. The types and locations of any structures, including rafts, pens, cages, nets, or floats which will be placed in the waters;
4. The species of shellfish to be cultured and harvested;
5. Procedures to assure that no poisonous or deleterious substances are introduced from the seed production activities; and
6. Corrective actions for addressing seed exceeding the maximum seed size

**Aquaculture sites in Conditionally Approved or Approved waters that the Authority determines may attract sufficient birds and/or mammals that their waste presents a human health risk shall have a written operational plan pre-approved by DoAg.** The authority's determination is in the table above. Operational Plans must be approved by the Authority prior to gear deployment. Operational plans shall include the following:

1. A description of the design and activities of the culture facility;
2. The specific site and boundaries in which shellfish aquaculture activities will be conducted;
3. The types and locations of any structures, including rafts, pens, cages, nets, or floats which will be placed in the waters;
4. The species of shellfish to be cultured and harvested;
5. Procedures to assure that no poisonous or deleterious substances are introduced from the aquaculture activities;
6. A description of the mitigation or deterrent measures to minimize the potential pollution impact of birds and/or mammals; and
7. Maintenance of the required records.

**Each location that is required to have an operational plan must have a SEPARATE operational plan. All operational plans must follow the format on the DoAg website: [Aquaculture operational plans \(ct.gov\)](https://www.ct.gov/doag/servlets/getdocument.cfm?docID=10427).**

## **D. REQUIREMENTS OF THE LICENSEE**

In order to be licensed by the State of Connecticut Department of Agriculture Bureau of Aquaculture (DoAg) to harvest shellfish commercially as a Shellstock Shipper, and be listed on the Interstate Certified Shellfish Shippers List (ICSSL), the licensee agrees to:

- abide by conditions and standards in accordance with the National Shellfish Sanitation Program Model Ordinance ([NSSP-MO](#)) and the United States Food and Drug Administration (USFDA) Code of Federal Regulations (CFR) Title 21;
- conform to all regulatory and statutory requirements pertinent to this operation as per Connecticut General Statute (CGS) (specifically [CGS 26-192](#) and [26-241](#), Chapters [491](#) and [492](#));
- monitor and adhere to the boundaries and management triggers for each shellfish growing area classification as maintained by the Authority; the most current classification information is maintained by the DoAg at <https://arcg.is/1yuXjm0>, and can be made available on DoAg paper maps showing the boundaries of shellfish classification and shellfish beds;
- monitor and adhere to current shellfish bed division boundaries; the most current bed division data is available by the DoAg at <https://arcg.is/1yuXjm0>;
- monitor the status of shellfish areas daily prior to conducting harvest or relay activities;
- stake the corners of all actively worked grounds, including relay sub-lots, as required by [CGS 26-241](#);
- identify all lots of shellfish harvested prior to leaving the harvest area;
- maintain a boat log for harvest and relay activities, and agree to make all records relating to the harvest and relay of shellfish available for review and copying when necessary by the DoAg and/or the Department of Environmental Protection (DEEP) Division of Law Enforcement (DLE);
- maintain daily records of the number of shellfish harvested, harvest locations, dates and times of harvest and

- shipping, and to whom shellfish were sold for a period of TWO years;
- *Monthly, report the quantity of each shellfish species (clam and/or oyster) by lot from each town and the use (i.e. shucking, half shell) in accordance with [NSSP-MO Chapter II. @.07 A. \(7\)](#) to DoAg;*
- subject shellfish harvested under this license to embargo, disposal, or return to growing area under supervision if found to be contaminated, significantly time/temperature abused or otherwise non-compliant with requirements of this license;
- the DoAg or DEEP DLE inspection of shellfish beds and areas in this state where shellfish are grown or harvested; all boats, tools and appliances used in the production and preparation of shellfish; and all wharves or buildings where shellfish are stored, transferred, opened, packed or prepared for sale or shipment.

**General License Prohibitions:**

- Shellfish may NOT be market harvested from the following growing area classifications:
  - Prohibited
  - Restricted
  - Conditionally Restricted
  - Conditionally Approved-Closed
  - Conditionally Approved Seasonal-Closed
  - Approved-Closed
- The Connecticut General Statutes prohibits the taking of eastern oysters (*Crassostrea virginica*) from the waters of this state which are less than three inches long or which are otherwise not ready for harvest, as determined by the Commissioner of Agriculture, except that the taking of such oysters for sale, transplant and relay for aquaculture purposes within the waters of the state shall not be prohibited ([CGS 26-234b](#)).

**Connecticut *Vibrio parahaemolyticus* Control Plan (VPCP) Requirements:**

- Licensee understands that mandatory Vibrio controls exist for all oysters harvested from CT waters between June 1 through September 30, inclusive;
- Licensee agrees to harvest oysters under the terms of the current CT General VPCP;
- Licensee is responsible for verifying that the cooling process used for all oysters harvested from CT waters during the VPCP season is adequate to meet the terms of the current CT General VPCP;
- Licensee agrees that any process used to cool oysters harvested under the CT VPCP will be subject to a DoAg cooling process verification annually, prior to implementing any new cooling process, and prior to any alterations to the cooling process;
- Licensee is responsible for notifying DoAg of alteration to the existing VPCP cooling process prior to using the process for cooling oysters;
- Licensee understands that oysters harvested under the CT VPCP shall be subject to embargo, disposal, or return to growing area under supervision if found to be significantly time/temperature abused or non-compliant with requirements of the VPCP;
- Licensee understands that refusal of a shellstock shipper licensee to allow inspection or inability to maintain compliance with the requirements of the CT VPCP may result in enforcement up to and including suspension and revocation of the shellstock shipper license in accordance with [CGS 26-192](#).

**Vessel Monitoring System (VMS) Requirements:**

**Requirements of the Licensee:**

- Effective July 1, 2022, in order to *obtain a license* to conduct Cultivation, Relay or Seed Transplant activities in Prohibited, Restricted, or Conditionally Restricted areas, the licensee must install the Authority-approved vessel monitoring system (VMS) and maintain the system in operational condition, as per the direction of the installer;
- Tampering with VMS, a VMS unit, or a VMS signal, is prohibited. Tampering includes any activity that may affect the unit's ability to operate or signal properly, or to accurately compute or report the vessel's position;
- Licensee shall immediately report any vessel which is discovered to have a non-operational VMS unit to the Authority.
- *Operation of a vessel without a functioning VMS unit is a violation of this License Agreement.*

**E. License Agreement Signature (please complete)**

I have read and agree to the terms of this license application in order to harvest shellfish commercially as a Shellstock Shipper, and be listed on the Interstate Certified Shellfish Shippers List (ICSSL):

**NAME OF APPLICANT (Print):**

**Applicant Signature:**

**Date:**

**President/Owner (if different from above applicant):**

**President/Owner Signature:**

**F. Documentation Required**

Please attach legible copies of the following documents in order to receive your license. Incomplete applications may be rejected or returned for additional information.

- Tag:
- Photo of Each Vessel: (if not previously on file with DoAg)
- Copy of HACCP Plan:
- Shipping Document/Invoice:
- Standard Operating Procedures for HACCP Relay (if applicable):
- Health Certification for importation of out-of-state hatchery seed (if applicable):

**PART II - VEHICLES TO BE LICENSED FOR SHELLSTOCK TRANSPORT**

Instructions (check one): see attached pre-filled license section II

or complete section below

	Vehicle 1	Vehicle 2	Vehicle 3	Vehicle 4	Vehicle 5
Year					
Make					
Model					
Marker #					
Refrigeration?					
Time Temp Recorder?					
Color					

**PART III – VESSELS**

Instructions (check one): see attached pre-filled license section III

or complete section below for each vessel

**BOAT IDENTIFICATION: A recent photograph of each boat must accompany this application.**

	Vessel 1	Vessel 2	Vessel 3	Vessel 4	Vessel 5
Vessel name					
CT Registration/US Document ID					
Length feet					
Color					
License Type (SS, ST, LT, SEED)					

**PART IV - VESSEL CAPTAINS**

Instructions (check one): see attached pre-filled license section IV  or complete section below

All vessels must operate in compliance with the Jones Act, which requires all captains and 75% of the remaining crew to be U.S. citizens (<https://crsreports.congress.gov/product/pdf/R/R45725>). The U.S. Coast Guard is in charge of enforcing the Jones Act.

	Captain 1	Captain 2	Captain 3	Captain 4	Captain 5
Captain Last Name					
First Name					
DOB					
License Types (SS, SS/HACCP, ST, LT)					

**PART V – Shellstock Shipper Market Harvest Activities**

**The Connecticut Shellstock Shipper I License allows the licensee:**

- to ship shellstock interstate and to be listed on the Interstate Certified Shellfish Shippers List (ICSSL);
- To harvest only from lots or containers listed under Market Harvest.
- to harvest and ship shellfish for market from only the indicated Approved, Conditionally Approved, or Conditionally Approved Seasonal shellfishing area(s) in the Open status of its classification and listed on this license;
- to purchase market shellfish from licensed ICSSL dealers under an Authority-Approved Hazard Analysis Critical Control Point (HACCP) plan for the receiving of shellstock;

**Instructions (check one): initial attached pre-filled license section V**  **or complete section below**

BED_NAME	JURISDICTION	TOWN

**Part VI: Short-Term Relay**

This license allows the harvest and transplant of shellfish from a Restricted or Conditionally Restricted area in the Open status of its classification and listed in Part VI. A. of this application.

**Requirements for Short-Term Relay**

- Shellfish must be cleansed for a minimum of two (2) weeks in an Approved, Conditionally Approved, or Conditionally Approved Seasonal area when the area is in its open status and bottom water temperatures are at least 50°F, as determined by DoAg;
- Cleansing period will begin when DoAg receives a written request from licensee to close out relay on a bed or container;
- Licensee must request a shellstock sample for bacterial analysis prior to harvest of transplanted shellfish;
- Department of Energy and Environmental Protection (DEEP) Division of Law Enforcement (DLE) must be notified of intended commencement, location, probable duration and termination of relay or transplant activities as required in [CGS 26-192h](#) by calling DEEP Dispatch at 860-424-3503 prior to commencement of such activity;
- Vessel must complete market harvesting and unload shellfish prior to calling DEEP dispatch to start transplant or relay operations on the same day under this license;

- No shellfish may be harvested for short-term relay from Areas Classified Prohibited or Conditionally Restricted - Closed.
- Licensee agrees to harvest market shellfish from or relay shellfish to only the designated "Approved", or "Conditionally Approved-Open" or "Conditionally Approved Seasonal-Open" shellfishing beds, leases or containers in the manner described in the company's Standard Operating Procedures and HACCP Plan
- Aquaculture containers must be individually labeled or otherwise identified in a manner appropriate for documentation
- Maintain a log for harvest and relay activities, and agree to make all records relating to the harvest and relay of shellfish available for review and copying when necessary by the DoAg and/or the DEEP DLE.

Instructions (check one): initial attached pre-filled license section VI  or complete section A, B, and C below

**A. Short-Term Relay Lots - From**

BED_NAME	JURISDICTION	TOWN

**B. Short-Term Relay Lots – To**

BED_NAME	JURISDICTION	TOWN

**C. Short-Term Relay Lots - Removed**

Those beds currently listed under ST-Removed are considered off-limits during required cleansing period and may not be harvested until written request is made to DoAg and bed is added to shellstock shipper license for market harvest. No harvest or cultivation activity may take place on ST-Removed beds while in this status.

BED_NAME	JURISDICTION	TOWN

**Part VII: Long-Term Relay for Seed Oysters: Requirements for Long-Term Relay**

- This Long-Term Relay license allows the harvest of "sub-market" size seed oysters, equal to two and a half (2.5) inches or smaller in length;
- Seed oysters shall be cultured in an Approved, Conditionally Approved, or Restricted area for a minimum of six

