

STATE OF CONNECTICUT PROCUREMENT NOTICE



Request for Proposals (RFP)

**Issued by the State of Connecticut
Department of Mental Health and Addiction Services
(DMHAS)**

**Division of Forensic Services
Mental Health Intensive Residential Program
(DMHAS-DFS-MHIRP-2026)**

RFP Release Date: July 09, 2026

The Request for Proposal is available in electronic format on the State Contracting Portal by filtering by Organization for Connecticut
The Department of Mental Health and Addiction Services
<https://portal.ct.gov/DAS/CTSource/BidBoard>
or from the Agency's Official Contact:

Name: **Stacey Hubert**
Address: 410 Capitol Avenue
Hartford, CT 06106
Phone: (860) 418-6640
E-Mail: DMHAS.FiscalContracts@ct.gov

The RFP is also available on the Department's website at
<https://portal.ct.gov/dmhas/rfps/index/rfps-and-rfqs>

RESPONSES MUST BE RECEIVED NO LATER THAN

Thursday, September 03, 2026, 3:00 PM EST

A BIDDERS' CONFERENCE WILL BE HELD

Thursday, August 06, 2026, at 9:00 AM

DMHAS is an Equal Opportunity/Affirmative Action Employer.
The Agency reserves the right to reject any and all submissions or cancel this procurement at any time if deemed in the best interest of the State of Connecticut (State).

Cover Letter:

Dear Provider Community,

Thank you for your interest in our Request for Proposal. DMHAS is actively considering proposals to procure specialized mental health intensive residential program beds through the expansion of current mental health intensive programs or through the establishment of a new program. These programs will support individuals who require intensive residential supports, supervision and clinical interventions as they transition to the community from state facilities including inpatient hospitalization and incarceration or to divert from state facilities. These programs are intended to respond to the unique challenges facing a cohort of individuals with histories of complex legal involvement and serious and persistent mental illness or co-occurring disorders that require in-house specialized support with symptom management, skill development, intensive monitoring and behavioral interventions supported by highly trained staff.

It is our stated intention to procure programs that offer the full continuum of on-site supportive living services and utilize evidence-based interventions such as Motivational Interviewing and Cognitive Behavioral Therapy. These programs would work in collaboration with the DMHAS Local Mental Health Authorities (LMHA) and the DMHAS LMHAs would provide clinical care, mobile response and other wraparound clinical support to address the residents' complex clinical needs. Adjunct consultative services will be available through the DMHAS Medical Director's Office and DMHAS Division of Forensic Services (DFS).

Each program will serve between four (4) to eight (8) adults, depending on the physical environment. The residential programs must include on-site trained staff on all shifts, every day, and a flexible staffing schedule that is responsive to the skill building, social, recreational, vocational and activity of daily living needs of the residents and provide community-integrative opportunities. Outcomes would be objectively measurable in areas of symptom management, self-care, emotional and behavioral regulation and coping skills, and community participation/integration.

The program would commit to structures and practices (e.g., staff supervision and ongoing training) that create and maintain an environment of felt safety and security, with intentional, proactive, evidence-based interventions.

Thank you once again for your interest. We look forward to your proposal and opportunities for meaningful collaboration in our efforts to serve the special needs of individuals reintegrating into the community.

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I. GENERAL INFORMATION

A. INTRODUCTION

1. **RFP Name and Number.** **Mental Health Intensive Residential Program Request for Proposal (DMHAS-DFS-MHIRP-2026).** The name and number will be used on the packaging if applicable and cover sheet of proposals submitted in response to this RFP.
2. **RFP Summary.** The State of Connecticut, represented by the Department of Mental Health and Addiction Services (DMHAS), is soliciting proposals to develop mental health intensive residential program(s) designed to transition individuals from extended stays in state facilities to the community, or to expand current mental health intensive residential programs designed to transition individuals from extended stays in state facilities to the community. These programs will be staffed 24 hours a day/7 days per week, 365 days per year, and will support between four (4) to eight (8) individuals depending on the size of the physical environment. These programs will provide residential support, community supervision, skill building, and evidence-based interventions that support recovery and successful transitions to the community. Referrals to these programs will include individuals with severe and persistent mental illness transitioning out of state facilities with a history of forensic involvement including problem sexual, fire-setting, and aggressive behaviors.
3. **RFP Purpose.** This RFP is intended to result in selection of a qualified Contractor(s) to create and manage supervised mental health intensive residential programs (hereafter referred to as the "Program") with individualized services to assist four (4) to eight (8) adults in developing independent living skills and preparing for transition to the community following: 1) incarceration; or 2) long term stays in a state facility.
4. **Commodity Codes.** The services that the Agency wishes to procure through this RFP are as follows:
 - 85000000: Healthcare Services
 - 93000000: Politics and Civic Affairs Services
 - 93140000: Community and Social Services

B. INSTRUCTIONS

1. **Official Contact.** The Agency has designated the individual below as the Official Contact for purposes of this RFP. The Official Contact is the **only authorized contact** for this procurement and, as such, handles all related communications on behalf of the Agency. Proposers, prospective proposers, and other interested parties are advised that any communication with any other Agency employee(s) (including appointed officials) or personnel under contract to the Agency about this RFP is strictly prohibited. Proposers or prospective proposers who violate this instruction may risk disqualification from further consideration.

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Hartford, CT 06106

STATE OF CONNECTICUT STANDARD RFP FOR POS PROCUREMENTS
DMHAS-DFS-MHIRP-2026

Phone: (860) 418-6640
E-Mail: DMHAS.FiscalContracts@ct.gov

Please ensure that e-mail screening software (if used) recognizes and accepts e-mails from the Official Contact.

- 2. Registering with State Contracting Portal.** Respondents must register with the State of CT contracting portal at: <https://portal.ct.gov/DAS/CTSource/Registration> if not already registered.

Respondents must submit the following information pertaining to this RFP with their proposal:

- a. Secretary of State recognition – [onlineBusinessSearch \(ct.gov\)](https://portal.ct.gov/onlineBusinessSearch)
 - b. Non-profit status, if applicable
 - c. Notification to Bidders, Parts I-V <https://portal.ct.gov/-/media/chro/cc-documents/notificationtobidderspdf.pdf>
 - d. Campaign Contribution Certification (OPM Ethics Form 1): <https://portal.ct.gov/OPM/Fin-PSA/Forms/Ethics-Forms>
- 3. RFP Information.** The RFP, amendments to the RFP, and other information associated with this procurement are available in electronic format from the Official Contact or from the Internet at the following locations:
- Agency's RFP Web Page
<https://portal.ct.gov/DMHAS/RFPs/Index/RFPs-and-RFQs>
 - State Contracting Portal (go to CTSource bid board, filter by DMHAS
<https://portal.ct.gov/DAS/CTSource/BidBoard>

It is strongly recommended that any proposer or prospective proposer interested in this procurement check the CTSource Bid Board for any solicitation changes. Interested proposers may receive additional e-mails from CTsource announcing addendums that are posted on the portal. This service is provided as a courtesy to assist in monitoring activities associated with State procurements, including this RFP.

- 4. Procurement Schedule.** See below. Dates after the due date for proposals ("Proposals Due") are non-binding target dates only (*). The Agency may amend the schedule as needed. Any change to non-target dates will be made by means of an amendment to this RFP and will be posted on the State Contracting Portal and, if available, the Agency's RFP Web Page.

RFP Released	7/09/2026
Required Letter of Intent Due	7/23/2026 by 3:00 PM EST
Mandatory RFP/Bidder's Conference Date	8/06/2026 at 9:00 AM EST
Deadline for Questions	8/13/2026 by 3:00 PM EST
Answers Released	8/20/2026 by 3:00 PM EST
Proposals Due	9/03/2026 by 3:00 PM EST
(*) Proposer Selection	TBD
(*) Start of Contract Negotiations	TBD
(*) Start of Contract	1/01/2027

- 5. Contract Awards.** The award of any contract pursuant to this RFP is dependent upon the availability of funding to the Agency. The Agency anticipates the following:
- Total Funding Available: \$13,275,000.00
 - Number of Awards: Four (4) to Five (5) awards at the discretion of DMHAS
 - Contract Cost: TBD by DMHAS
 - Contract Term: 2.5-year term. DMHAS has the right to extend this contract based on funding availability
 - Funding Source: State
- 6. Eligibility.** Private provider organizations (defined as non-state entities that are either nonprofit or proprietary corporations or partnerships) and municipalities are eligible to submit proposals in response to this RFP. Individuals who are not a duly formed business entity are ineligible to participate in this procurement.
- 7. Minimum Qualifications of Proposers.** To qualify for a contract award, a proposer must have the following minimum qualifications:
- a. The program site must be located in Connecticut and the contractor shall provide proof of an agreement for the site or a plan to secure the site.
 - b. At least five (5) years of experience working with adults with histories of mental health challenges and justice involvement.
 - c. At least five (5) years of experience developing and implementing residential treatment programs.
- 8. An Electronic Letter of Intent.** An Electronic Letter of Intent (LOI) **is required** for this RFP. The LOI is non-binding and does not obligate the sender to submit a proposal. The LOI must be submitted to the Official Contact via e-mail by the deadline established in the Procurement Schedule. The LOI must clearly identify the sender, including name, postal address, telephone number, DMHAS Region applying for, and e-mail address. A form is provided in Section VI. Appendix subsection C. It is the sender's responsibility to confirm the Agency's receipt of the LOI. **Failure to submit the required LOI in accordance with the requirements set forth herein shall result in disqualification from further consideration.**
- 9. Inquiry Procedures.** All questions regarding this RFP or the Agency's procurement process must be directed, in writing, electronically, (e-mail) to the Official Contact before the deadline specified in the Procurement Schedule. The early submission of questions is encouraged. Questions will not be accepted or answered verbally – neither in person nor over the telephone. All questions received before the deadline(s) will be answered. However, the Agency will not answer questions when the source is unknown (i.e., nuisance or anonymous questions). Questions deemed unrelated to the RFP or the procurement process will not be answered. At its discretion, the Agency may or may not respond to questions received after the deadline. If this RFP requires a Letter of Intent, the Agency reserves the right to answer questions only from those who have submitted such a letter. The Agency may combine similar questions and give only one answer. All questions and answers will be compiled into a written amendment to this RFP. If any answer to any question constitutes a material change to the RFP, the question and answer will be placed at the beginning of the amendment and duly noted as such.

The agency will release the answers to questions on the date(s) established in the Procurement Schedule. The Agency will publish any and all amendments to this RFP on the State Contracting Portal and, if available, on the Agency's RFP Web Page.

At its discretion, the Agency may distribute any amendments to this RFP to prospective proposers who submitted a Letter of Intent and attended the RFP Conference.

- 10. Virtual RFP Conference.** A **mandatory** virtual RFP Bidder's conference will be held on Thursday, **August 06, 2026, at 9:00 AM** to answer questions from prospective proposers.

Attendance at the virtual conference is **required**. Invitations will be forwarded to prospective proposers who sent in the Letter of Intent. **Failure to attend the bidder's conference shall result in disqualification from further consideration.**

Prospective proposers will be provided an opportunity to submit questions via email to **DMHAS.FiscalContracts@ct.gov**. All questions submitted will be answered in a written Addendum to this RFP, which will serve as the Department's official response. If any answer to any question constitutes a material change to the RFP, the question and answer will be placed at the beginning of the Addendum and duly noted as such. The agency will release the Addendum on the date established in the Procurement Schedule. The Department will publish any and all Addenda.

- 11. Electronic Proposal due Date and Time.** The Official Contact is the **only authorized recipient** of proposals submitted in response to this RFP. Proposals must be **received** by the Official Contact on or before the due date and time:

- **Due Date: September 03, 2026**
- **Time: 3:00 PM EST**

Proposals received after the due date and time will be ineligible and will not be evaluated. The Agency will send an official letter alerting late respondents of ineligibility.

An acceptable submission must include the following:

- a. One (1) conforming electronic copy of the original proposal.
- b. The proposal must be complete, properly formatted and outlined, and ready for evaluation by the Screening Committee.
- c. The electronic copy of the proposal must be emailed to the Official Agency Contact for this procurement. The subject line of the email must read: **DMHAS-DFS-MHIRP-2026**. Required forms and appendices may be scanned and submitted as PDFs at the end of the main proposal document. Please ensure the entire email submission is less than 25MB as this reflects The Agency's server limitations. Respondents should work to ensure there are no additional IT limitations from the provider's side.

- 12. Multiple Proposals.** The submission of multiple proposals is an option for this procurement. *Agencies must submit a separate proposal for each region they are applying for.*

II. PURPOSE OF RFP AND SCOPE OF SERVICES

A. AGENCY OVERVIEW

The Department of Mental Health and Addiction Services (DMHAS) promotes and administers comprehensive, recovery-oriented services in the areas of mental health treatment and substance use treatment throughout Connecticut.

While the Department's services serve all Connecticut citizens, its mandate is to serve adults (over 18 years of age) with psychiatric or substance use disorders, or both, who lack the financial means to obtain such services on their own. DMHAS also provides collaborative programs for individuals with special needs, such as persons with HIV/AIDS infection, people in the criminal justice system, those with problem gambling disorders, pregnant and parenting women with substance use disorders, persons with traumatic brain injury or hearing impairment, those with co-occurring substance use and mental illness, and special populations transitioning out of the Department of Children and Families.

DMHAS operates on the belief that people with mental illnesses and/or substance use disorders can and should be treated in community settings, and that inpatient treatment should be used only when absolutely necessary to meet the best interests of the individual. Effective care requires that services such as residential, supportive, rehabilitative and crisis intervention programs are available within their local communities. DMHAS is responsible for providing a wide range of services to adults in each of the five human service Regions in Connecticut.

Department Mission

"To promote the overall health and wellness of persons with behavioral health needs through integrated network of holistic, comprehensive, effective, and efficient services and supports that foster dignity, respect, and self-sufficiency in those we serve."

B. PROGRAM OVERVIEW

The State of CT, represented by DMHAS, in its effort to successfully implement specialized mental health intensive residential programs to support individuals that require intensive residential supports, supervision, and clinical interventions as they transition to the community from state facilities including inpatient hospitalization and incarceration or to divert from state facilities.

- Mental Health Intensive Residential Program for adults with severe and persistent mental illness and complex forensic histories.

- **Problem Statement:** There is a substantial cohort of adults with severe and persistent mental illness who have complex forensic histories, including a history of aggression, arson, and problem sexual behavior. Many of these individuals are currently receiving services in a state operated psychiatric hospital and need community-based residential settings capable of addressing their complex clinical and psychosocial needs. This mental health intensive program is intended to operate as a psychiatric rehabilitation and recovery program, rather than a clinical treatment program. The primary focus of the program is to assist residents in developing skills, supports, and stability necessary to successfully transition from inpatient psychiatric hospitalization or incarceration to a supportive intensive mental health level of care. Program staff are expected to provide rehabilitative, skill-building, and recovery-oriented activities, and are not responsible for delivering formal clinical treatment or psychotherapy. Clinical services, including psychiatric care, therapy and substance use treatment, will be provided through external licensed providers with the Local Mental Health Authority. Residential staff reinforce clinical treatment goals but do not provide clinical services.
- **The Model:**
 - Utilization of CBT interventions and Motivational Interviewing to identify and modify cognitive and behavioral patterns that will lead to improved outcomes.
 - High levels of supervision, attention, care and support that allow residents to reintegrate into the community while mitigating risk. Supervision should be tailored to the individual's needs and change over time as the individual develops skills and increases community integration.
 - Coordination and communication with criminal justice partners to assist clients with compliance and risk mitigation.
 - Skill development including activities of daily living, supervised self-administration of medications when clinically appropriate and community living skills.
 - Supervision and collaboration with criminal justice partners
 - Recovery coaching and support in helping residents identify their personal goals and strengths.
 - Assistance with community integration, including connection to social, vocational and recovery supports.
 - Support with treatment engagement including reminders, transportation assistance, and coordination with external providers.
 - Structured daily programming with a focus on wellness, coping skills and independent functioning.
 - Each resident will have an individualized, person-centered service plan accounting for each resident's needs and any additional supervision or stipulations that will need to be accommodated in the service plan.
- **Program Outcome Goals:**
 - Facilitate successful transitions from state facilities including state psychiatric hospitals and incarceration.
 - Reduce reliance on institutional care by implementing targeted interventions and coordination with clinical providers to reduce psychiatric readmissions and recidivism.
 - Address co-occurring substance use disorders through integrated interventions and recovery supports.
 - Increase independent living skills and community integration to support long-term housing stability.

- **Program Policies / Guidelines:**

- Admission and Referrals
 - All referrals shall be coordinated through the DMHAS Medical Director's Office.
 - Prioritization shall be given to individuals experiencing extended hospitalization that need intensive services to mitigate risk.
- The Program services shall include, but not be limited to, the following:
 - Staff will supervise medication self-administration. The Contractor shall arrange for staff to be properly trained for this task.
 - Arrange transportation to and from services including clinical, medical, and rehabilitative services. Arrange transportation to and from off-site activities to include, but not be limited to, recreation, shopping, leisure activities, and entertainment.
 - Development of independent skills including, but not limited to, Activities of Daily Living (ADL)s, social interaction, menu planning, food shopping, food preparation and storage, shopping for clothing, maintaining and cleaning clothes, household chores and cleaning, self-administration of medication, accessing and using transportation, leisure, and recreation.
 - Staff will encourage and support residents in following medical and dietary guidance.
 - The Program shall assist residents in money management, offering training and supervision to residents in establishing bank accounts, making deposits and withdrawals, paying bills, consistent with a goal of saving money for subsequent residential placement options and other plans, as appropriate, based on their financial resources.
 - Staff shall assist residents, including those with a Conservator of Person/Estate, with ongoing entitlement issues, completion of paperwork, etc.
 - Staff shall always interact with residents in a therapeutic manner that fosters recovery and supports the goals of clinical and support services.
 - Staff shall model appropriate social behavior when on duty.
 - Services shall be provided in a manner that is respectful of residents' diversity in age, gender, language, sexual orientation, disability, ethnic/racial/cultural factors, etc.

- **Target Population:** The Target Population is adults 18 years and older with serious and persistent mental illness (SPMI) who are discharging from state facilities (hospital, jail, etc.) with a history of forensic involvement or a history of behaviors in the community that require intensive supervision and support to mitigate risk. These individuals may need intensive support in symptom management, may have co-occurring disorders, may have limited independent living skills, and all will require intensive interventions directed at their unique needs. These individuals may have additional supervision or stipulations set by criminal justice partners outside the program (E.G. Professional, Statutory, and Regulatory Bodies (PSRB), probation, parole or court ordered supervision).

- **Clinical profile** –most have a primary diagnosis of a serious mental illness and, without treatment, would have a significant impairment in the ability to function independently; some may have a primary or secondary diagnosis of a personality disorder; some have cognitive deficits which may include borderline intellectual functioning; all will be clinically stable and appropriate

for outpatient treatment and placement in a community residence, yet may, at baseline, still experience residual symptoms.

- Medical issues range from none to chronic medical conditions that require ongoing treatment and could include, but not be limited to: Hypercholesterolemia, hypertension, non-insulin or insulin dependent diabetes mellitus, gastroesophageal reflux disease (GERD), hyperlipidemia, hypothyroidism, obesity, and sleep apnea. Residents who require medical services will receive those services off site or an external provider will provide services on site (e.g. injections). In all cases residents' medical conditions can be managed in a residential setting without the need for the Contractor to provide specialized services.
- **Substance use profile** – many have a history of substance use disorders.
- **Behavior profile** – clients may have a history of criminal behavior but the risk of violence is expected to be low at time of admission to the Program; they may have displayed instances of violence, aggressive posturing, verbal outburst, loud speech, arguments, and interpersonal issues; some may have a history of self-harm but risk for all of these behaviors will be low once they are ready to transition to the community.
 - Problem sexual behavior – some may have a history of problem sexual behavior and may be on the state's sex offender registry due to a sex offense conviction.
- **Functioning profile** – to be considered for transition to the Program, clients must have the potential to adjust to a structured group living environment; must be able to cooperate with nursing services or staff monitoring for medication delivery; and must agree to a residential service plan. This population will commonly need reminders concerning ADLs, assistance with money management, and assistance with independent living skills.
- **Town of residence** – clients may transition from the Program to all towns in the state of CT.
- **Gender** – Based on the needs of this population, the program should be gender specific. Supervision – some will have supervision by PSRB, probation, parole officer or court ordered supervision.

C. SCOPE OF SERVICE DESCRIPTION

1. Organizational Expectations

The purpose of this subsection is to gather information about the administrative and operational capabilities of the proposer to provide the purchased service. *At a minimum, the proposer must include the following specific details regarding their organization:*

- a. Location- While organizations headquartered out of state are welcome to submit proposals, the proposer organization should have an operational presence in Connecticut and must be registered with the Secretary of State prior to submitting a proposal.

- b. The proposer organization must be knowledgeable of community resources and supports in order to connect individuals with those resources.
- c. The proposer organization must be a 501©(3) non-profit organization or municipality in order to comply with contractual requirements.

2. Services Expectations

The purpose of this subsection is to gather information about how the proposer intends to provide the purchased service (including the use of any subcontractors).

- a. **Location of Offices/Facilities:** Service site must be in Connecticut.
- b. **Hours of Operation:** 24/7.
- c. **Target Population:** Describes Agency's experience providing residential supports to adults 18 years and older with serious and persistent mental illness with a history of forensic involvement or a history of behaviors in the community that require intensive supervision and support to mitigate risk.
- d. **Number/Types of Clients:** Four to eight (4-8) individuals with impaired emotional and behavioral regulation, limited independent living skills requiring specialized placement with intensive supports to be successful in a community setting.
- e. **Capacity/Waitlist Protocol:** Four to eight (4-8) adults. When program is at full capacity, waitlist will be managed through the Office of the Commissioner (OOC).
- f. **Program Qualifications, Commitments and Competencies:** Organizations must have the qualifications and interest in providing an intensive level of care to individuals with severe and persistent mental illness who have a history of high-risk behavior, including problem sexual behavior, arson, and aggression. All program staff will require training and supervision in behavior management that is appropriate for this population and supports service plan goals.
- g. **Culturally Competent Services:** Requires cultural competency in age and developmentally appropriate trauma-informed care for adults of all genders.
- h. **Program Collaboration/Coordination:** Funded and supported by DMHAS. DMHAS Medical Director's office and DFS will provide ongoing supervision/consultation to all staff in the program. The LMHA that serves the town in which the Program is located will coordinate community services and provide the behavioral health clinical services for residents. The Program is responsible for having up to date information about clinical services to support recovery, support compliance, and provide feedback to clinical providers.
- i. **Program Access/Referral Process:** Referrals to the programs will be initiated by the DMHAS Medical Director's Office. The DMHAS DFS will receive referral information for individuals. Following review of the referral information to verify eligibility for LMHA services, the DFS will forward a referral packet to the Program and to the appropriate LMHA(s) for review.
- j. **Residential Setting Characteristics: Staff Offices/Space:**
 - (1) The site of the program must reside in Connecticut.
 - (2) The Program will maintain a safe and secure environment in and around the facility to protect residents and their possessions and foster a feeling of security for residents.
 - (3) The Program will provide an indoor physical environment that is visually pleasing, welcoming, and physically comfortable.
 - (4) The Program will provide sufficient outdoor space and furnishings to accommodate outdoor activities.

- (5) The location of the Program will be conducive to safe and healthy living and support all requirements within the contract.
 - (6) The Program will provide adequate space, at a minimum, for bedrooms (maximum two residents per bedroom but single rooms are preferred), two full bathrooms, common living area, private meeting room, dining area, and kitchen area.
 - (7) Preference would be given to a facility that has at least one bedroom and a bathroom with shower on the first floor and that the first-floor access is ADA compliant.
 - (8) Staff must have the capability to monitor anyone entering or leaving the facility at all times.
 - (9) Entrance/exit doors must be alarmed to alert staff when doors are opened.
 - (10) A clothes washer and dryer will be available on site for use without cost to residents.
 - (11) The facility will have sufficient secure storage space for residents' belongings.
 - (12) The facility will have sufficient parking for staff and visitors.
 - (13) The facility will have sufficient space for storage of residents' food, including refrigerator space.
 - (14) The kitchen(s) will be sufficiently equipped to accommodate the food preparation needs of residents.
- k. **Catchment Areas:** Proposals must indicate which DMHAS Service Region they are applying for. The following DMHAS Regions will serve clients living in the towns listed below:
- (1) **Region 1:** Bridgeport, Darien, Easton, Fairfield, Greenwich, Monroe, New Canaan, Norwalk, Stamford, Stratford, Trumbull, Weston, Westport, Wilton.
 - (2) **Region 2:** Ansonia, Bethany, Branford, Chester, Clinton, Cromwell, Deep River, Derby, Durham, East Haddam, East Hampton, East Haven, Essex, Guilford, Haddam, Hamden, Killingworth, Lyme, Madison, Meriden, Middlefield, Middletown, Milford, New Haven, North Branford, North Haven, Old Lyme, Old Saybrook, Orange, Portland, Seymour, Shelton, Wallingford, Westbrook, West Haven, Woodbridge.
 - (3) **Region 3:** Ashford, Bozrah, Brooklyn, Canterbury, Chaplin, Colchester, Columbia, Coventry, East Lyme, Eastford, Franklin, Griswold, Groton, Hampton, Killingly, Lebanon, Ledyard, Lisbon, Mansfield, Montville, New London, North Stonington, Norwich, Plainfield, Pomfret, Preston, Putnam, Salem, Scotland, Sprague, Sterling, Stonington, Thompson, Union, Voluntown, Waterford, Willington, Windham, Woodstock.
 - (4) **Region 4:** Andover, Avon, Berlin, Bloomfield, Bolton, Bristol, Burlington, Canton, East Granby, East Hartford, East Windsor, Ellington, Enfield, Farmington, Glastonbury, Granby, Hartford, Hebron, Kensington, Manchester, Marlborough, New Britain, Newington, Plainville, Plymouth, Rocky Hill, Simsbury, Somers, South Windsor, Southington, Stafford, Suffield, Tolland, Vernon, West Hartford, Wethersfield, Windsor, Windsor Locks.
 - (5) **Region 5:** Barkhamsted, Beacon Falls, Bethel, Bethlehem, Bridgewater, Brookfield, Canaan, Cheshire, Colebrook, Cornwall, Danbury, Goshen,

Hartland, Harwinton, Kent, Litchfield, Middlebury, Morris, Naugatuck, New Fairfield, New Hartford, New Milford, Newtown, Norfolk, North Canaan, Oxford, Prospect, Redding, Ridgefield, Roxbury, Salisbury, Sharon, Sherman, Southbury, Thomaston, Torrington, Warren, Washington, Waterbury, Watertown, Winchester, Winsted, Wolcott, and Woodbury.

3. Staffing Expectations

- a. A minimum staff to client ratio of 1:3 is required on first and second shift. There must be a minimum of two overnight awake staff. The staffing proposal should include flexible staff scheduling for appropriate supports on weekends, evenings and holidays. There should never be less than two (2) staff on any assigned shift. The proposer should provide a plan that addresses administrative coverage for evening, weekends and holidays that conforms with the requirements of this RFP.
- b. At least one (1) staff member must always be present at the facility when residents are present. The Program must have some staff with Department of Correction (DOC) clearance to enter jail/prison/state hospitals to coordinate admission.
- c. Staff must have sufficient training, experience, and supervision to fulfill their responsibilities.
- d. The Program manager will have, at minimum, a Bachelor's Degree in a relevant field and will also have a minimum of six (6) months experience in working with adults with a serious mental illness.
- e. Other direct service staff shall have, at minimum, a high school diploma and six (6) months experience in working with adults with a serious mental illness.
- f. The person providing clinically informed supervision for Program staff must have sufficient experience providing services to adults with serious mental illness, substance use disorders, personality disorders, and cognitive impairments.
- g. **Supervision/Management:** The prospective contractor will be responsible for the overall management of services and timely delivery of all components to the program. Provide a **table of organization** to describe the reporting route for proposed positions.

4. Data and Technology Expectations

The purpose of this subsection is to gather information about the proposer's information management and performance measurement systems.

- a. **Computer Hardware/Software:** Proposers must demonstrate sufficient on-site capacity to collect and manage Department-required data.
- b. **E-Mail/Internet Capability:** Proposers and the proposed program must have the capability to access the internet, send/receive outside email and maintain electronic health records on-site.
- c. **Telecommunication:** Proposers will be required to install on-site teleconferencing technology and equipment adequate for remote consultation team meetings.
- d. **Program Evaluation:** Proposers will be required to submit information related to client outcomes as defined in this RFP (Section II. D. Performance Measures)
- e. **Records/Data Collection/Reporting:** Program service site will have processes in place that utilize data to monitor and inform program management and improvement. Proposers will have sufficient capacity to

collect and manage DMHAS required data regarding admission, discharge, and services.

5. Subcontractor – The use of a Subcontractor is **not allowed**.

6. Work Plan

The purpose of this subsection is to gather information about the quality and quantity of personnel that the proposer intends to employ to deliver the purchased service.

- a. The proposal must include a work plan to describe the activities and timeline for securing a site, process for obtaining the required approvals from city/town officials, provision of services, staff recruitment and training, technologies required, and performance measures.
- b. Below is the required format and information being requested for a comprehensive and realistic work plan that demonstrates the flow of activities in a logical and sequential manner:
 - i. Tasks and Deliverables: Describe what start-up and implementation activities, actions, tasks, and deliverables are needed to accomplish providing the identified service.
 - ii. Responsible Staff: Indicate staff position and their related qualifications for those who will be responsible for providing the identified service.
 - iii. Methodologies: Describe how each service and deliverable will be accomplished, providing a detailed explanation of the procedures or processes that will be used to attain the expected outcomes.
 - iv. Timetable/Schedule: Include a proposed timetable indicating when each task and deliverables will be accomplished. Identify any significant milestones or deadlines. Timetable/schedule must include the day and time service is to be provided, if applicable.

7. Financial Expectations

- a. **Financial Status Reports**: If the three (3) most recent audits are available via the Office of Policy and Management's EARS system, such may be noted in the proposal, and a hard copy of the audit cover letters need not be provided.
- b. **Audited Financial Statements**: Any proposer agency that does not hold a current contract with the Department must submit cover letters from their auditor for the last three (3) annual audits of their agency and a copy of their most recent financial audit, included in the proposal. If less than three (3) audits were conducted, detail must be provided as to why, and any supporting documentation assuring the financial efficacy of the applicant agency should be included (i.e. an accountant prepared financial statement, a tax return, etc.).

8. Budget and Budget Narrative

The purpose of this subsection is to gather information about how the proposer developed the proposed budget and cost allocations.

- a. Proposals must contain an itemized annual budget on the budget form delineated in Section VI. Appendix, E. Budget and Budget Narrative, of this RFP.

- b. All startup costs must be clearly identified as one (1) line item in the budget.
- c. A budget narrative must be provided, explaining all costs contained in the budget.
- d. All start-up costs must be listed separately and clearly detailed in the budget narrative.
- e. Client fees are an allowable revenue source, however for this population not all clients will have an income, so that budget will need to account for that in client supports.
- f. All other funding, including agency financial support must be identified.
 - (1) Complete a price schedule, budget, or cost proposal in its entirety that will enable the effective delivery of the proposed project or services.
 - (2) Describe all direct and indirect costs associated with the service or project.
 - (3) Describe any key cost variables for the service or project such as volume, frequency, duration or length.
 - (4) Narrative and justification: Present a detailed, line-item cost narrative that explains the basis and rationale for the costs proposed.

9. Culturally Competent Services

All proposals must describe the extent to which the proposed service will meet the cultural and linguistic needs of the population to be served and the extent to which the program will maintain responsiveness to participant's diversity, including racial, cultural, gender, sexual orientation and age

D. PERFORMANCE MEASURES

The following performance metrics highlight key priorities that will be analyzed with providers collaboratively during the life of the contract. This is not an exhaustive list, but rather an indication of significant performance metrics of interest to DMHAS. DMHAS looks forward to working with providers to define additional important performance metrics.

- 1. Proposer will actively collaborate with the DMHAS Office of the Medical Director, the LMHA and their affiliates or other private non-profit provider for 100% of participants.
- 2. Department required data will be submitted to the Department's data collection system no later than the 15th day of the month.
- 3. Ensure an annual utilization rate of at least 90% for contracted beds. Proposer will provide requested utilization and service data on at least a monthly basis.
- 4. Completion of PSRB training for all staff within designated time parameters.

E. CONTRACT MANAGEMENT/DATA REPORTING

As part of the State's commitment to becoming more outcomes oriented, DMHAS seeks to actively and regularly collaborate with providers to enhance contract management, improve results, and adjust service delivery and policy based on learning what works. Reliable and relevant data is necessary to ensure compliance, inform trends to be monitored, evaluate results and performance, and drive service improvements. As such, DMHAS reserves the right to request/collect other key data and metrics from providers.

1. **Frequency of data collection and review:** Key outcome metrics are required to be collected, charted (data tables), and summarized in written progress reports on a monthly basis in a manner required by the department.
2. **Program Monitoring:** DMHAS OOC will convene quarterly meetings with the Program's clinical and administrative staff to review the status of the resident's progress, as well as review general organizational, program, service, staffing, budgetary, and contractual updates as required in this RFP.

III. PROPOSAL SUBMISSION OVERVIEW

A. SUBMISSION FORMAT INFORMATION

1. **Required Outline.** All proposals must follow the required outline presented in Section IV – Proposal Submission Outline and Requirements. Proposals that fail to follow the required outline will be deemed non-responsive and not evaluated.
2. **Cover Sheet.** The Cover Sheet is Page 1 of the proposal. **Proposers must complete and use the Cover Sheet form provided by the Agency in the VI. Appendix D. Cover Sheet.**

Legal Name is defined as the name of private provider organization, CT State agency, or municipality submitting the proposal. *Contact Person* is defined as the individual who can provide additional information about the proposal or who has immediate responsibility for the proposal. *Authorized Official* is defined as the individual empowered to submit a binding offer on behalf of the proposer to provide services in accordance with the terms and provisions described in this RFP and any amendments or attachments hereto.

3. **Table of Contents.** All proposals must include a Table of Contents that conforms with the required proposal outline.
4. **Executive Summary.** Proposals must include a high-level summary, not exceeding three (3) pages. The summary must also include the organization's eligibility and qualifications to respond to this RFP. The executive summary is not part of the main proposal and cost proposal.
5. **Attachments.** Attachments other than the required Appendices or Forms identified in the RFP are not permitted and will not be evaluated. Further, the required Appendices or Forms must not be altered or used to extend, enhance, or replace any component required by this RFP. Failure to abide by these instructions will result in disqualification. **All attachments should be labeled and included in Section E. Attachments of the proposal.**
6. **Style Requirements.** This is an electronic submission.

Submitted proposals must conform to the following specifications:

STATE OF CONNECTICUT STANDARD RFP FOR POS PROCUREMENTS
DMHAS-DFS-MHIRP-2026

Paper Size	8.5 x 11 (Standard Letter)
Font Size	12
Font Type	Times New Roman
Margins	Normal (1 inch around)
Line Spacing	1 ½
Print Style	Singled-Sided
Page Limit	Maximum 25 pages , exclusive of Executive Summary, Appendices, Budget Forms and Budget Narrative

7. **Pagination.** The proposer's name must be displayed in the header of each page. All pages, including the required Appendices and Forms, must be numbered in the footer.
8. **Packaging and Labeling Requirements.** Not Applicable
9. **Declaration of Confidential Information.** Proposers are advised that all materials associated with this procurement are subject to the terms of the Freedom of Information Act (FOIA), the Privacy Act, and all rules, regulations and interpretations resulting from them. **If a proposer deems that certain information required by this RFP is confidential, the proposer must label such information as CONFIDENTIAL prior to submission. In subsection IV.F. of the proposal submission, the proposer must reference where the information labeled CONFIDENTIAL is located in the proposal. EXAMPLE: Section G.1.a.** For each subsection so referenced, the proposer must provide a convincing explanation and rationale sufficient to justify an exemption of the information from release under the FOIA. The explanation and rationale must be stated in terms of (a) the prospective harm to the competitive position of the proposer that would result if the identified information were to be released and (b) the reasons why the information is legally exempt from release pursuant to C.G.S. § 1-210(b).
10. **Conflict of Interest - Disclosure Statement.** **Proposers must include a disclosure statement concerning any current business relationships (within the last three (3) years) that pose a conflict of interest, as defined by C.G.S. § 1-85.** A conflict of interest exists when a relationship exists between the proposer and a public official (including an elected official) or State employee that may interfere with fair competition or may be adverse to the interests of the State. The existence of a conflict of interest is not, in and of itself, evidence of wrongdoing. A conflict of interest may, however, become a legal matter if a proposer tries to influence, or succeeds in influencing, the outcome of an official decision for their personal or corporate benefit. The Agency will determine whether any disclosed conflict of interest poses a substantial advantage to the proposer over the competition, decreases the overall competitiveness of this procurement, or is not in the best interests of the State. In the absence of any conflict of interest, a proposer must affirm such in the disclosure statement. *Example: "[name of proposer] has no current business relationship (within the last three (3) years) that poses a conflict of interest, as defined by C.G.S. § 1-85."*

B. EVALUATION OF PROPOSALS

1. **Evaluation Process.** It is the intent of the Agency to conduct a comprehensive, fair, and impartial evaluation of proposals received in response to this RFP.

When evaluating proposals, negotiating with successful proposers, and awarding contracts, the Agency will conform with its written procedures for POS and PSA procurements (pursuant to C.G.S. § 4-217) and the State's Code of Ethics (pursuant to C.G.S. §§ 1-84 and 1-85). Final funding allocation decisions will be determined during contract negotiation.

- 2. Evaluation Review Committee.** The Agency will designate a Review Committee to evaluate proposals submitted in response to this RFP. The Review Committee will be composed of individuals, Agency staff or other designees as deemed appropriate. The contents of all submitted proposals, including any confidential information, will be shared with the Review Committee. Only proposals found to be responsive (that is, complying with all instructions and requirements described herein) will be reviewed, rated, and scored. Proposals that fail to comply with all instructions will be rejected without further consideration. The Review Committee shall evaluate all proposals that meet the Minimum Submission Requirements by score and rank ordered and make recommendations for awards. The Agency Head will make the final selection. Attempts by any proposer (or representative of any proposer) to contact or influence any member of the Review Committee may result in disqualification of the proposer.
- 3. Minimum Submission Requirements.** To be eligible for evaluation, proposals must (1) be received on or before the due date and time; (2) meet the Proposal Format requirements; (3) meet the Eligibility and Qualification requirements to respond to the procurement, (4) follow the required Proposal Outline; and (5) be complete. Proposals that fail to follow instructions or satisfy these minimum submission requirements will not be reviewed further. The Agency will reject any proposal that deviates significantly from the requirements of this RFP.
- 4. Evaluation Criteria (and Weights).** Proposals meeting the Minimum Submission Requirements will be evaluated according to the established criteria. The criteria are the objective standards that the Review Committee will use to evaluate the technical merits of the proposals. Only the criteria listed below will be used to evaluate proposals. The weights are disclosed below:

Criteria	Points
Organizational Requirements	10
Scope of Services	20
Staffing Requirements	20
Data and Technology Requirements	10
Work plan	15
Financial Requirements-Budget/Narrative	15
Cultural Competence - Optional	5
Appendices	5
Total	100

As part of its evaluation of the Staffing Plan, the Review Committee will review the proposer's demonstrated commitment to affirmative action, as required by the Regulations of CT State Agencies § 46A-68j-30(10).

- 5. Proposer Selection.** Upon completing its evaluation of proposals, the Review Committee will submit the rankings of all proposals to the Commissioner or Agency Head. The final selection of a successful proposer is at the discretion of the Commissioner or Agency Head. Any proposer selected will be so notified and awarded an opportunity to negotiate a contract with the Agency. Such negotiations may, but will not automatically, result in a contract. Any resulting contract will be posted on the State Contracting Portal. All unsuccessful proposers will be notified by e-mail or U.S. mail, at the Agency's discretion, about the outcome of the evaluation and proposer selection process. The Agency reserves the right to decline to award contracts for activities in which the Commissioner or Agency Head considers there are not adequate respondents.
- 6. Debriefing.** Within ten (10) days of receiving notification from the Agency, unsuccessful proposers may contact the Official Contact and request information about the evaluation and proposer selection process. The e-mail sent date or the postmark date on the notification envelope will be considered "day one" of the ten (10) days. If unsuccessful proposers still have questions after receiving this information, they may contact the Official Contact and request a meeting with the Agency to discuss the evaluation process and their proposals. If held, the debriefing meeting will not include any comparisons of unsuccessful proposals with other proposals. The Agency may schedule and hold the debriefing meeting within fifteen (15) days of the request. The Agency will not change, alter, or modify the outcome of the evaluation or selection process as a result of any debriefing meeting.
- 7. Appeal Process.** Proposers may appeal any aspect of the Agency's competitive procurement, including the evaluation and proposer selection process. Any such appeal must be submitted to the Agency head. A proposer may file an appeal at any time after the proposal due date, but not later than thirty (30) days after an agency notifies unsuccessful proposers about the outcome of the evaluation and proposer selection process. The e-mail sent date or the postmark date on the notification envelope will be considered "day one" of the thirty (30) days. The filing of an appeal shall not be deemed sufficient reason for the Agency to delay, suspend, cancel, or terminate the procurement process or execution of a contract. More detailed information about filing an appeal may be obtained from the Official Contact.
- 8. Contract Execution.** Any contract developed and executed as a result of this RFP is subject to the Agency's contracting procedures, which may include approval by the Office of the Attorney General. Fully executed and approved contracts will be posted on State Contracting Portal and the Agency website.

IV. REQUIRED PROPOSAL SUBMISSION OUTLINE AND REQUIREMENTS

A. Cover Sheet

B. Table of Contents

C. Executive Summary

D. Main Proposal

E. Attachments (clearly referenced to summary and main proposal where applicable)

F. Declaration of Confidential Information

G. Conflict of Interest - Disclosure Statement

H. Statement of Assurances

A-H are defined more specifically below. The listing above is just to provide an initial outline for proposers.

This section is for information only. This can be used for additional instruction on completing your Main Proposal in your RFP as applicable.

A: Cover Sheet

The Respondent must use a Cover Sheet provided.

Legal Name is defined as the name of private provider organization, CT State agency, or municipality submitting the proposal. *Contact Person* is defined as the individual who can provide additional information about the proposal or who has immediate responsibility for the proposal. *Authorized Official* is defined as the individual empowered to submit a binding offer on behalf of the proposer to provide services in accordance with the terms and provisions described in this RFP and any amendments or attachments hereto.

B: Table of Contents

Respondents must include a Table of Contents that lists sections and subsections with page numbers that follow the organization outline and sequence for this proposal.

C: Proposer Executive Summary

The page limitation for this section is three (3) pages briefly describing how the Respondent meets the eligibility and qualification criteria outlined in the Proposal Overview and a brief overview of why the Respondent should be selected for the activities highlighted in the scope of services.

D: Main Proposal Submission/Questions

*****Please note the maximum total page length for this section is 25** (all appendices and other attachments should be referred to in section D and then placed in section E.

1. Organizational Expectations

The purpose of this subsection is to gather information about the administrative and operational capabilities of the proposer to provide the purchased service.

2. Services Expectations

The purpose of this subsection is to gather information about how the proposer intends to provide the purchased service (including the use of any subcontractors).

3. Staffing Plan Expectations

The purpose of this subsection is to gather information about the quality and quantity of personnel that the proposer intends to employ to deliver the purchased service.

4. Data and Technology Expectations

The purpose of this subsection is to gather information about the proposer's information management and performance measurement systems.

5. Subcontractor – If Applicable

6. Work Plan

The purpose of this section is for the proposer to explain the tasks, participants, time estimates, and schedule for providing the purchased service. Possible areas of inquiry may include, but are not limited, to the following:

- a. Tasks and Deliverables:
- b. Responsible Staff
- c. Methodologies
- d. Timetable/Schedule

The Work Plan narrative should be included in the main proposal section and will be included in the page capacity. If you have a supplemental chart or grid to include, it may be included in the Attachments. Reference for the location must be stated in the Main Proposal.

7. Financial Expectations

The purpose of this subsection is to gather information about the proposer's fiscal stability, accounting and financial reporting systems, or relevant business practices.

8. Budget and Budget Narrative

The purpose of this subsection is to gather information about how the proposer developed the proposed budget and cost allocations.

9. Cultural Competence:

All proposals must describe the extent to which the proposed services will meet the cultural and linguistic needs of the population to be served and the extent to which the program will maintain responsiveness to participants' diversity, including racial, cultural, gender, sexual orientation and age.

E: Attachments

Attachments other than the required attachments identified are not permitted and will not be evaluated. See the Proposal Checklist in Appendix I. pages 42 and 43 for a list of relevant attachments. Further, the required attachments must not be altered or used to extend, enhance, or replace any component required by this RFP. Failure to abide by these instructions may result in disqualification.

F: Declaration of Confidential Information

If a proposer deems that certain information required by this RFP is confidential, the proposer **must label such information as CONFIDENTIAL** prior to submission. The proposer must reference where the information labeled CONFIDENTIAL is located in the proposal. *EXAMPLE: Section G.1.a.* For each subsection so referenced, the proposer must provide a convincing explanation and rationale sufficient to justify an exemption of the information from release under the FOIA. The explanation and rationale must be stated in terms of (a) the prospective harm to the competitive position of the proposer that would result if the identified information were to be released and (b) the reasons why the information is legally exempt from release pursuant to C.G.S. § 1-210(b).

G: Conflict of Interest – Disclosure Statement

Proposers must include a disclosure statement concerning any current business relationships (within the last three (3) years) that pose a conflict of interest, as defined by C.G.S. § 1-85. A conflict of interest exists when a relationship exists between the proposer and a public official (including an elected official) or State employee that may interfere with fair competition or may be adverse to the interests of the State. The existence of a conflict of interest is not, in and of itself, evidence of wrongdoing. A conflict of interest may, however, become a legal matter if a proposer tries to influence, or succeeds in influencing, the outcome of an official decision for their personal or corporate benefit. In the absence of any conflict of interest, a proposer must affirm such in the disclosure statement. *Example: “[name of proposer] has no current business relationship (within the last three (3) years) that poses a conflict of interest, as defined by C.G.S. § 1-85.”*

H: Statement of Assurances

Place after Conflict of Interest-Disclosure Statement. Sign and return.

V. MANDATORY PROVISIONS

A. POS STANDARD CONTRACT, PARTS I AND II

By submitting a proposal in response to this RFP, the proposer implicitly agrees to comply with the provisions of Parts I and II of the State's "standard contract" for POS:

Part I of the standard contract is maintained by the Department and will include the scope of services, contract performance, quality assurance, reports, terms of payment, budget, and other program-specific provisions of any resulting POS contract. A sample of Part I is available from the Department's Official Contact upon request.

Part II of the standard contract is maintained by OPM and includes the mandatory terms and conditions of the POS contract. Part II is available on OPM's website at: <https://portal.ct.gov/opm/fin-pos/standards/pos-standard-contract-part-ii>

Note:

Included in Part II of the standard contract is the State Elections Enforcement Commission's notice (pursuant to C.G.S. § 9-612(g)(2)) advising executive branch State contractors and prospective State contractors of the ban on campaign contributions and solicitations. If a proposer is awarded an opportunity to negotiate a contract with the Department and the resulting contract has an anticipated value in a calendar year of \$50,000 or more, or a combination or series of such agreements or contracts has an anticipated value of \$100,000 or more, the proposer must inform the proposer's principals of the contents of the SEEC notice.

Part I of the standard contract may be amended by means of a written instrument signed by the Department, the selected proposer (contractor), and, if required, the Attorney General's Office. Part II of the standard contract may be amended only in consultation with, and with the approval of, the Office of Policy and Management and the Attorney General's Office.

B. ASSURANCES

By submitting a proposal in response to this RFP, a proposer implicitly gives the following assurances:

- 1. Collusion.** The proposer represents and warrants that the proposer did not participate in any part of the RFP development process and had no knowledge of the specific contents of the RFP prior to its issuance. The proposer further represents and warrants that no agent, representative, or employee of the State participated directly in the preparation of the proposer's proposal. The proposer also represents and warrants that the submitted proposal is in all respects fair and is made without collusion or fraud.
- 2. State Officials and Employees.** The proposer certifies that no elected or appointed official or employee of the State has or will benefit financially or materially from any contract resulting from this RFP. The Agency may terminate a resulting contract if it is determined that gratuities of any kind were either offered

or received by any of the aforementioned officials or employees from the proposer, contractor, or its agents or employees.

3. **Competitors.** The proposer assures that the submitted proposal is not made in connection with any competing organization or competitor submitting a separate proposal in response to this RFP. No attempt has been made, or will be made, by the proposer to induce any other organization or competitor to submit, or not submit, a proposal for the purpose of restricting competition. The proposer further assures that the proposed costs have been arrived at independently, without consultation, communication, or agreement with any other organization or competitor for the purpose of restricting competition. Nor has the proposer knowingly disclosed the proposed costs on a prior basis, either directly or indirectly, to any other organization or competitor.
4. **Validity of Proposal.** The proposer certifies that the proposal represents a valid and binding offer to provide services in accordance with the terms and provisions described in this RFP and any amendments or attachments hereto. The proposal shall remain valid for a period of 180 days after the submission due date and may be extended beyond that time by mutual agreement. At its sole discretion, the Agency may include the proposal, by reference or otherwise, into any contract with the successful proposer.
5. **Press Releases.** The proposer agrees to obtain prior written consent and approval of the Agency for press releases that relate in any manner to this RFP or any resultant contract.

C. TERMS AND CONDITIONS

By submitting a proposal in response to this RFP, a proposer implicitly agrees to comply with the following terms and conditions:

1. **Equal Opportunity and Affirmative Action.** The State is an Equal Opportunity and Affirmative Action employer and does not discriminate in its hiring, employment, or business practices. The State is committed to complying with the Americans with Disabilities Act of 1990 (ADA) and does not discriminate on the basis of disability in admission to, access to, or operation of its programs, services, or activities.
2. **Preparation Expenses.** Neither the State nor the Agency shall assume any liability for expenses incurred by a proposer in preparing, submitting, or clarifying any proposal submitted in response to this RFP.
3. **Exclusion of Taxes.** The Agency is exempt from the payment of excise and sales taxes imposed by the federal government and the State. Proposers are liable for any other applicable taxes.
4. **Proposed Costs.** No cost submissions that are contingent upon a State action will be accepted. All proposed costs must be fixed through the entire term of the contract.
5. **Changes to Proposal.** No additions or changes to the original proposal will be allowed after submission. While changes are not permitted, the Agency may request and authorize proposers to submit written clarification of their proposals, in a manner or format prescribed by the Agency, and at the proposer's expense.

- 6. Supplemental Information.** Supplemental information will not be considered after the deadline submission of proposals, unless specifically requested by the Agency. The Agency may ask a proposer to give demonstrations, interviews, oral presentations or further explanations to clarify information contained in a proposal. Any such demonstration, interview, or oral presentation will be at a time selected and in a place provided by the Agency. At its sole discretion, the Agency may limit the number of proposers invited to make such a demonstration, interview, or oral presentation and may limit the number of attendees per proposer.
- 7. Presentation of Supporting Evidence.** If requested by the Agency, a proposer must be prepared to present evidence of experience, ability, data reporting capabilities, financial standing, or other information necessary to satisfactorily meet the requirements set forth or implied in this RFP. The Agency may make onsite visits to an operational facility or facilities of a proposer to evaluate further the proposer's capability to perform the duties required by this RFP. At its discretion, the Agency may also check or contact any reference provided by the proposer.
- 8. RFP Is Not An Offer.** Neither this RFP nor any subsequent discussions shall give rise to any commitment on the part of the State or the Agency or confer any rights on any proposer unless and until a contract is fully executed by the necessary parties. The contract document will represent the entire agreement between the proposer and the Agency and will supersede all prior negotiations, representations or agreements, alleged or made, between the parties. The State shall assume no liability for costs incurred by the proposer or for payment of services under the terms of the contract until the successful proposer is notified that the contract has been accepted and approved by the Agency and, if required, by the Attorney General's Office.

D. RIGHTS RESERVED TO THE STATE

By submitting a proposal in response to this RFP, a proposer implicitly accepts that the following rights are reserved to the State:

- 1. Timing Sequence.** The timing and sequence of events associated with this RFP shall ultimately be determined by the Agency.
- 2. Amending or Canceling RFP.** The Agency reserves the right to amend or cancel this RFP on any date and at any time, if the Agency deems it to be necessary, appropriate, or otherwise in the best interests of the State.
- 3. No Acceptable Proposals.** In the event that no acceptable proposals are submitted in response to this RFP, the Agency may reopen the procurement process, if it is determined to be in the best interests of the State.
- 4. Award and Rejection of Proposals.** The Agency reserves the right to award in part, to reject any and all proposals in whole or in part, for misrepresentation or if the proposal limits or modifies any of the terms, conditions, or specifications of this RFP. The Agency may waive minor technical defects, irregularities, or omissions, if in its judgment the best interests of the State will be served. The Agency reserves the right to reject the proposal of any proposer who submits a proposal after the submission date and time.

- 5. Sole Property of the State.** All proposals submitted in response to this RFP are to be the sole property of the State. Any product, whether acceptable or unacceptable, developed under a contract awarded as a result of this RFP shall be the sole property of the State, unless stated otherwise in this RFP or subsequent contract. The right to publish, distribute, or disseminate any and all information or reports, or part thereof, shall accrue to the State without recourse.
- 6. Contract Negotiation.** The Agency reserves the right to negotiate or contract for all or any portion of the services contained in this RFP. The Agency further reserves the right to contract with one or more proposer for such services. After reviewing the scored criteria, the Agency may seek Best and Final Offers (BFO) on cost from proposers. The Agency may set parameters on any BFOs received.
- 7. Clerical Errors in Award.** The Agency reserves the right to correct inaccurate awards resulting from its clerical errors. This may include, in extreme circumstances, revoking the awarding of a contract already made to a proposer and subsequently awarding the contract to another proposer. Such action on the part of the State shall not constitute a breach of contract on the part of the State since the contract with the initial proposer is deemed to be void *ab initio* and of no effect as if no contract ever existed between the State and the proposer.
- 8. Key Personnel.** When the Agency is the sole funder of a purchased service, the Agency reserves the right to approve any additions, deletions, or changes in key personnel, with the exception of key personnel who have terminated employment. The Agency also reserves the right to approve replacements for key personnel who have terminated employment. The Agency further reserves the right to require the removal and replacement of any of the proposer's key personnel who do not perform adequately, regardless of whether they were previously approved by the Agency.

E. STATUTORY AND REGULATORY COMPLIANCE

By submitting a proposal in response to this RFP, the proposer implicitly agrees to comply with all applicable State and federal laws and regulations, including, but not limited to, the following:

- 1. Freedom of Information, C.G.S. § 1-210(b).** The Freedom of Information Act (FOIA) generally requires the disclosure of documents in the possession of the State upon request of any citizen, unless the content of the document falls within certain categories of exemption, as defined by C.G.S. § 1-210(b). Proposers are generally advised not to include in their proposals any confidential information. If the proposer indicates that certain documentation, as required by this RFP, is submitted in confidence, the State will endeavor to keep said information confidential to the extent permitted by law. The State has no obligation to initiate, prosecute, or defend any legal proceeding or to seek a protective order or other similar relief to prevent disclosure of any information pursuant to a FOIA request. The proposer has the burden of establishing the availability of any FOIA exemption in any proceeding where it is an issue. While a proposer may claim an exemption to the State's FOIA, the final administrative authority to release or exempt any or all material so identified rests with the State. In no event shall the State or any of its employees have any liability for disclosure of documents or information in the possession of the State and which the State or its employees believe(s) to be required pursuant to the FOIA or other requirements of law.

- 2. Contract Compliance, C.G.S. § 4a-60 and Regulations of CT State Agencies § 46a-68j-21 thru 43, inclusive.** CT statute and regulations impose certain obligations on State agencies (as well as contractors and subcontractors doing business with the State) to ensure that State agencies do not enter into contracts with organizations or businesses that discriminate against protected class persons.
- 3. Consulting Agreements, C.G.S. § 4a-81. Consulting Agreements Representation, C.G.S. § 4a-81.** Pursuant to C.G.S. §§ 4a-81 the successful contracting party shall certify that it has not entered into any consulting agreements in connection with this Contract, except for the agreements listed below. "Consulting agreement" means any written or oral agreement to retain the services, for a fee, of a consultant for the purposes of (A) providing counsel to a contractor, vendor, consultant or other entity seeking to conduct, or conducting, business with the State, (B) contacting, whether in writing or orally, any executive, judicial, or administrative office of the State, including any department, institution, bureau, board, commission, authority, official or employee for the purpose of solicitation, dispute resolution, introduction, requests for information, or (C) any other similar activity related to such contracts. "Consulting agreement" does not include any agreements entered into with a consultant who is registered under the provisions of chapter 10 of the Connecticut General Statutes as of the date such contract is executed in accordance with the provisions of section 4a-81 of the Connecticut General Statutes. Such representation shall be sworn as true to the best knowledge and belief of the person signing the resulting contract and shall be subject to the penalties of false statement.
- 4. Campaign Contribution Restriction, C.G.S. § 9-612.** For all State contracts, defined in section 9-612 of the Connecticut General Statutes as having a value in a calendar year of \$50,000 or more, or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to the resulting contract must represent that they have received the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice, as set forth in "Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations." Such notice is available at https://seec.ct.gov/Portal/data/forms/ContrForms/seec_form_11_notice_only.pdf
- 5. Gifts, C.G.S. § 4-252.** Pursuant to section 4-252 of the Connecticut General Statutes and Acting Governor Susan Bysiewicz's Executive Order No. 21-2, the Contractor, for itself and on behalf of all of its principals or key personnel who submitted a bid or proposal, represents:

 - (1) That no gifts were made by (A) the Contractor, (B) any principals and key personnel of the Contractor, who participate substantially in preparing bids, proposals or negotiating State contracts, or (C) any agent of the Contractor or principals and key personnel, who participates substantially in preparing bids, proposals or negotiating State contracts, to (i) any public official or State employee of the State agency or quasi- public agency soliciting bids or proposals for State contracts, who participates substantially in the preparation of bid solicitations or requests for proposals for State contracts or the negotiation or award of State contracts, or (ii) any public official or State employee of any other State agency, who has supervisory or appointing authority over such State agency or quasi-public agency;

(2) That no such principals and key personnel of the Contractor, or agent of the Contractor or of such principals and key personnel, knows of any action by the Contractor to circumvent such prohibition on gifts by providing for any other principals and key personnel, official, employee or agent of the Contractor to provide a gift to any such public official or State employee; and

(3) That the Contractor is submitting bids or proposals without fraud or collusion with any person.

Any bidder or proposer that does not agree to the representations required under this section shall be rejected and the State agency or quasi-public agency shall award the contract to the next highest ranked proposer or the next lowest responsible qualified bidder or seek new bids or proposals.

6. Iran Energy Investment Certification C.G.S. § 4-252(a). Pursuant to C.G.S. § 4-252(a), the successful contracting party shall certify the following: (a) that it has not made a direct investment of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, and has not increased or renewed such investment on or after said date. (b) If the Contractor makes a good faith effort to determine whether it has made an investment described in subsection (a) of this section it shall not be subject to the penalties of false statement pursuant to section 4-252a of the Connecticut General Statutes. A "good faith effort" for purposes of this subsection includes a determination that the Contractor is not on the list of persons who engage in certain investment activities in Iran created by the Department of General Services of the State of California pursuant to Division 2, Chapter 2.7 of the California Public Contract Code. Nothing in this subsection shall be construed to impair the ability of the State agency or quasi-public agency to pursue a breach of contract action for any violation of the provisions of the resulting contract.

7. Nondiscrimination Certification, C.G.S. § 4a-60 and 4a-60a. If a bidder is awarded an opportunity to negotiate a contract, the proposer must provide the State agency with *written representation* in the resulting contract that certifies the bidder complies with the State's nondiscrimination agreements and warranties. This nondiscrimination certification is required for all State contracts – regardless of type, term, cost, or value. Municipalities and CT State agencies are exempt from this requirement. The authorized signatory of the contract shall demonstrate his or her understanding of this obligation by either (A) initialing the nondiscrimination affirmation provision in the body of the resulting contract, or (B) providing an affirmative response in the required online bid or response to a proposal question, if applicable, which asks if the contractor understands its obligations. If a bidder or vendor refuses to agree to this representation, such bidder or vendor shall be rejected and the State agency or quasi-public agency shall award the contract to the next highest ranked vendor or the next lowest responsible qualified bidder or seek new bids or proposals.

8. Access to Data for State Auditors. The Contractor shall provide to OPM access to any data, as defined in C.G.S. § 4e-1, concerning the resulting contract that are in the possession or control of the Contractor upon demand and shall provide the data to OPM in a format prescribed by OPM [or the Client Agency] and the State Auditors of Public Accounts at no additional cost.

VI. APPENDIX

A. ABBREVIATIONS / ACRONYMS / DEFINITIONS

Modify this subsection, as necessary, to meet the Agency's procurement requirements. DO NOT DELETE the definitions of contractor, proposer, prospective proposer, or subcontractor.

ADA	Americans with Disabilities Act of 1990
ADL	Activities of Daily Living
BFO	Best and Final Offer
C.G.S.	Connecticut General Statutes
CHRO	Commission on Human Rights and Opportunity (CT)
CT	Connecticut
DAS	Department of Administrative Services (CT)
DFS	Division of Forensic Services
DMHAS	Department of Mental Health and Addiction Services (CT)
FOIA	Freedom of Information Act (CT)
GERD	Gastroesophageal Reflux Disease
IRS	Internal Revenue Service (US)
LOI	Letter of Intent
LMHA	Local Menatal Health Authority
OAG	Office of the Attorney General
OOC	Office of the Commissioner
OPM	Office of Policy and Management (CT)
OSC	Office of the State Comptroller (CT)
POS	Purchase of Service
P.A.	Public Act (CT)
PSRB	Professional, Statutory, and Regulatory Bodies
RFP	Request for Proposal
SEEC	State Elections Enforcement Commission (CT)
SPMI	Serious and Persistent Mental Illness
U.S.	United States

- **contractor:** a private provider organization, CT State agency, or municipality that enters into a POS contract with the Agency as a result of this RFP
- **proposer:** a private provider organization, CT State agency, or municipality that has submitted a proposal to the Agency in response to this RFP. This term may be used interchangeably with respondent throughout the RFP.
- **prospective proposer:** a private provider organization, CT State agency, or municipality that may submit a proposal to the Agency in response to this RFP, but has not yet done so
- **subcontractor:** an individual (other than an employee of the contractor) or business entity hired by a contractor to provide a specific health or human service as part of a POS contract with the Agency as a result of this RFP

B. STATEMENT OF ASSURANCES

Department of Mental Health and Addiction Services

The undersigned Respondent affirms and declares that:

1) General

- a. This proposal is executed and signed with full knowledge and acceptance of the RFP CONDITIONS stated in the RFP.
- b. The Respondent will deliver services to the Agency the cost proposed in the RFP and within the timeframes therein.
- c. The Respondent will seek prior approval from the Agency before making any changes to the location of services.
- d. Neither the Respondent or any official of the organization nor any subcontractor the Respondent or any official of the subcontractor organization has received any notices of debarment or suspension from contracting with the State of CT or the Federal Government.
- e. Neither the Respondent or any official of the organization nor any subcontractor to the Respondent or any official of the subcontractor's organization has received any notices of debarment or suspension from contracting with other states within the United States.

Legal Name of Organization:

Authorized Signatory

Date

C. REQUIRED LETTER OF INTENT

**State of Connecticut
Department of Mental Health and Addiction Services
REQUEST FOR PROPOSALS
DMHAS-DFS-MHIRP-2027**

Return to:

DMHAS Official Contact:

Stacey Hubert

DMHAS.FiscalContracts@ct.gov

The organization below intends to submit a proposal in response to the above referenced RFP.

Note: This letter is a non-binding expression of interest and does not obligate the sender to submit a proposal.

Prospective Proposer:

		() -
Legal Name		Telephone Number
Mailing Address	Town, State	Zip Code

Contact Person:

Name		Title
Mailing Address	Town, State	Zip Code
() -	() -	
Telephone Number	FAX Number	E-mail Address

Person Authorized to Sign Contract:

Name		Title
Signature		Date

Identify Region(s) Applying For: _____

D. COVER SHEET

DMHAS-DFS-MHIRP-2027
Department of Mental Health and Addiction Services
Due Date: 09/03/2026 3:00 PM EST

Primary Business Name

FEIN # & UEI#

Business Address

Telephone Number

Town, State

Zip Code

Contact Person: *(Individual other than Authorized Official who can provide additional information about the proposal or who has immediate responsibility for the proposal)*

Name

Title

Street Address

Town, State, Zip Code

Telephone Number

Facsimile Number

E-mail Address

Authorized Official: *(Individual empowered to enter into and amend contractual instruments in the name and on behalf of the Contractor)*

Name

Title

Street Address

Town, State, Zip Code

Telephone Number

Facsimile Number

E-mail Address

Signature

Total Amount of Proposal: \$ _____

Identify Region Applying For: _____

E. BUDGET AND BUDGET NARRATIVE

DIRECT EXPENSES		ANNUAL Costs
5100: SALARIES		
5101	Staff Salaries & Wages (title, hourly rate and % of FTE)	
5102	Overtime	
5103	Non-Routine Comp. (specify in narrative)	
Total Salaries		
5200: FRINGE BENEFITS		
5300: CONTRACTUAL SERVICES		
5301	Medical Professional	
5302	Behavioral Health Professional	
5303	Contracted Workers - Non-Payroll	
5304	Other Contractual (specify in narrative)	
Total Contractual Services		
5400: TRANSPORTATION		
5401	Staff Travel Reimbursement	
5402	Vehicle Leases	
5403	Vehicle Maintenance	
5404	Other Transportation (specify in narrative)	
Total Transportation		
5500: MATERIALS AND SUPPLIES		
5501	Food	
5502	Lab & Medical Supplies	
5503	Equipment (Less than \$5,000)	
5504	Other Mtrls and Sppls (specify in narrative)	
Total Materials/Supplies		
5600: FACILITIES		
5601	Rent and Real Estate Taxes	
5602	Security	
5603	Maintenance & Repair - Facility and Plant	
5604	Utilities	
5605	Other Facilities (specify in narrative)	
Total Facilities		
5700: CAPITAL EXPENSES (> \$5,000)		
5701	Capital Equipment	
5702	Depreciation	
5703	Other Capital (specify in narrative)	
Total Capital Expenses		
5800: OTHER EXPENSES		
5801	Communications	
5802	Insurance	

STATE OF CONNECTICUT STANDARD RFP FOR POS PROCUREMENTS
DMHAS-DFS-MHIRP-2026

5803	Housekeeping	
5804	Staff Training and Conferences	
5805	Drug Testing	
5806	Other (specify in narrative)	
Total Other Expenses		
5900: CLIENT SUBSIDIES		
5901	Transportation	
5902	Nutrition/Food Vouchers	
5903	Education	
5904	Housing	
5905	Personal Items	
5906	Other Client Subsidies (specify in narrative)	
Total Client Subsidies		
TOTAL DIRECT EXPENSES		
INDIRECT EXPENSES		
7100: ADMINISTRATIVE & GENERAL		
7111	Staff Salaries & Wages	
7120	Fringe Benefits	
All Other A&G (Please provide details)		
TOTAL INDIRECT EXPENSES		
TOTAL		

Note:

This budget is available in an excel document. Please contact the official contact person for a copy.

Please attach an additional page describing the budget narrative.

F. Acknowledgement of Contract Compliance - Notification to Bidders

The contract to be awarded is subject to contract compliance requirements mandated by [Sections 4a-60](#) and [4a- 60a](#) of the Connecticut General Statutes; and, when the awarding agency is the State, [Sections 46a-71\(d\)](#) and [46a-81i\(d\)](#) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at [Section 46a-68j-21 through 43](#) of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by [Sections 4a-60](#) and [46a-71\(d\)](#) of the Connecticut General Statutes.

According to [Section 46a-68j-30\(9\)](#) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to “aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials.”

“Minority business enterprise” is defined in [Section 4a-60](#) of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: “(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of [Section 32-9n](#).”

“Minority” groups are defined in [Section 32-9n](#) of the Connecticut General Statutes as “(1) Black Americans . . . (2) Hispanic Americans . . . (3) persons who have origins in the Iberian Peninsula . . . (4) Women . . . (5) Asian Pacific Americans and Pacific Islanders; (6) American Indians . . .” An individual with a disability is also a minority business enterprise as provided by [Section 4a-60g](#) of the Connecticut General Statutes. The above definitions apply to the contract compliance requirements by virtue of [Section 46a-68j-21\(11\)](#) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder’s qualifications under the contract compliance requirements:

- (a) the bidder’s success in implementing an affirmative action plan;
- (b) the bidder’s success in developing an apprenticeship program complying with [Sections 46a-68-1 to 46a- 68-17](#) of the Administrative Regulations of Connecticut State Agencies, inclusive;
- (c) the bidder’s promise to develop and implement a successful affirmative action plan;
- (d) the bidder’s submission of employment statistics contained in the “Employment Information Form”, indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
- (e) the bidder’s promise to set aside a portion of the contract for legitimate minority business enterprises. See [Section 46a-68j-30\(10\)\(E\)](#) of the Contract Compliance Regulations.

*** INSTRUCTIONS** Proposer must sign acknowledgment below, and return acknowledgment to awarding agency along with signed proposal.

The undersigned acknowledges receiving and reading a copy of the "Notification to Bidders" form.

Signature: _____

Date: _____

G. Equal Employment Opportunity (CHRO)

INSTRUCTIONS AND OTHER INFORMATION

The following **BIDDER CONTRACT COMPLIANCE MONITORING REPORT** must be completed in full, signed, and submitted with the bid for this contract. The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidders compliance to [Sections 4a-60 and 4a-60a](#) CONN. GEN. STAT., and [Sections 46a-68j-23](#) of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidder's good faith efforts to include minority business enterprises as subcontractors and suppliers for the work of the contract.

1) Definition of Small Contractor

[Section 4a-60g](#) CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenues not exceeding fifteen million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a nonprofit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision [4a-60g](#) CONN. GEN. STAT.

To download an electronic copy of the Bidder Contract Compliance Monitoring Report from CHRO:

[Notification to Bidders](#)

Please attach a copy of the **Bidder Contract Compliance Monitoring Report** to the Proposal.

H. Campaign Contribution Certification



STATE OF CONNECTICUT CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a bid or proposal or a non-competitive contract with a value of \$50,000 or more, pursuant to C.G.S. § 9-612.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of submission of your bid or proposal (if no bid or proposal– submit this completed form with the earliest submittal of any document to the state or quasi-public agency prior to the execution of the contract), and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier.

Check One:

- ☐ Initial Certification
- ☐ Updated Certification because of change of information contained in the most recently filed certification

CAMPAIGN CONTRIBUTION CERTIFICATION:

I certify that neither the contractor or prospective state contractor, nor any of its principals, have made any contributions to, or solicited any contributions on behalf of, any party committee, exploratory committee, candidate for state-wide office or for the General Assembly, or political committee authorized to make contributions to or expenditures to or for, the benefit of such candidates, in the previous four years, that were determined by the State Elections Enforcement Commission to be in violation of subparagraph (A) or (B) of subdivision (2) of subsection (f) of Section 9-612 of the General Statutes, without mitigating circumstances having been found to exist concerning such violation. Each such certification shall be

sworn as true to the best knowledge and belief of the person signing the certification, subject to the penalties of false statement. If there is any change in the information contained in the most recently filed certification, such person shall submit an updated certification not later than thirty days after the effective date of any such change or upon the submittal of any new bid or proposal for a state contract, whichever is earlier.

All Campaign Contributions on behalf of any party committee, exploratory committee, candidate for state-wide office or for the General Assembly, or political committee authorized to make contributions to or expenditures to or for, the benefit of such candidate, for a period of four years prior to signing the contract or date of the response to the bid, whichever is longer, include:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Contractor Name

Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this ____ day of _____, 20__.

Commissioner of the Superior Court (or Notary Public)

_____ My Commission Expires

I. PROPOSAL CHECKLIST

To assist respondents in managing proposal planning and document collation processes, this document summarizes key dates and proposal requirements for this RFP. Please note that this document does not supersede what is stated in the RFP. Please refer to the Proposal Submission Overview, Required Proposal Submission Outline, and Mandatory Provisions (Sections II, III, and IV of this RFP) for more comprehensive details. It is the responsibility of each respondent to ensure that all required documents, forms, and attachments, are submitted in a timely manner.

Key Dates

<u>Procurement Timetable</u>		
The Agency reserves the right to modify these dates at its sole discretion.		
Item	Action	Date
1	RFP Release	7/09/2026
2	Letter of Intent Due	7/23/2026
3	RFP/Bidder's Conference Date	8/06/2026
4	RFP/Bidder's Conference Time	9:00 AM
5	Deadline for Questions	8/13/2026
6	Answers Released	8/20/2026
7	Proposals Due	9/03/2026
8	(*) Proposer Selection	TBD
9	(*) Start of Contract Negotiations	TBD
10	(*) Start of Contract	1/01/2027

Proposal Content Checklist

- ☐ **Cover Sheet** including required information:
- ☐ **Table of Contents**
- ☐ **Executive Summary:** high-level summary of proposal and cost
- ☐ **Main proposal and with relevant attachments.** *Proposers should use their discretion to determine whether certain required information is sufficiently captured in the body of their proposal or requires additional attachments for clarification. Additional attachments may include (bullets below are examples only):*
 - Organizational Expectations
 - Services Expectations/Scope of Services
 - Staffing Expectations
 - Data and Technology Expectations
 - Subcontractor – (not applicable)
 - Work plan
 - Financial Expectations
 - Budget and Budget Narrative (Include startup cost)
 - Culturally Competent Services

Registration with State Contracting Portal (if not already registered):

- ☐ Register at: <https://portal.ct.gov/DAS/CTSource/Registration>
- ☐ Submit Campaign Contribution Certification (OPM Ethics Form 1):
<https://portal.ct.gov/OPM/Fin-PSA/Forms/Ethics-Forms>
- ☐ Acknowledgement of Contract Compliance – Notification to Bidders
- ☐ IRS Determination Letter (for nonprofit proposers)
- ☐ Three (3) years of most recent annual audited financial statements; OR any financial statements prepared by a Certified Public Accountant for proposers whose organizations have been incorporated for less than three years.
- ☐ Proposed budget, including budget narrative and cost schedules for planned subcontractors if applicable.
- ☐ Conflict of Interest Disclosure Statement
- ☐ Statement of Assurances
- ☐ Declaration of Confidential Information
- ☐ Secretary of State recognition, Connecticut
- ☐ Organizational Chart (table of organization)

Formatting Checklist

- ☐ Is the proposal formatted to fit 8 ½ x 11 (letter-sized) paper?
- ☐ Is the main body of the proposal within the 25-page limit?
- ☐ Is the proposal in 12-point, Times New Roman font?
- ☐ Does the proposal format follow normal (1 inch) margins and 1 ½ line spacing?
- ☐ Does the proposer's name appear in the header of each page?
- ☐ Does the proposal include page numbers in the footer?
- ☐ Are confidential labels applied to sensitive information (if applicable)?