

# ASAM American Society of Addiction Medicine

ASAM, founded in 1954, is a professional medical society representing over 7,000 physicians, clinicians and associated professionals in the field of addiction medicine. ASAM is dedicated to increasing access and improving the quality of addiction treatment, educating physicians and the public, supporting research and prevention, and promoting the appropriate role of physicians in the care of patients with addiction.



## **ASAM Criteria**

An Evidence Based Approach to Co-Occurring Treatment



### **SPEAKER**



Dr. Orlando Wright is a behavioral scientist who holds a Master of Social Work from the University of Connecticut and a Ph.D. in Advance Studies in Human Behavior from Capella University. Dr. Wright is the Director of Partnerships and Innovation at the American Society of Addiction Medicine (ASAM) where he oversees national partnerships with commercial and Medicaid payers, states, and other public entities. 20 + years of experience in management, clinical service delivery, complex behavioral health systems, & dynamic partnership development.

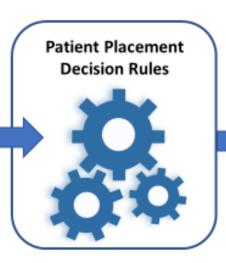
ORLANDO WRIGHT, PHD, LCSW-C

Director, Partnerships & Innovation

## **Components of The ASAM Criteria**

Patient entering SUD Treatment





Regular reassessment and adjustment of LOC placement as needed



## Fourth Edition Intoxication, Withdrawal, and Addiction Medications **Biomedical Conditions** Psychiatric and Cognitive Conditions Substance Use Related Risks Recovery Environment Interactions Person-Centered Considerations

Person-centered care

**Dimension 1: Document rationale based on clients needs in this dimension** 

Subdimensions: Intoxication & associated, acute withdrawal & associated risks

Dimension 2: Document rationale based on clients needs in this dimension Subdimensions: Physical Health Concerns (Including Pain), Pregnancy-related Concerns

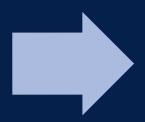
Dimension 3: Document rationale based on clients needs in this dimension Subdimensions: **Active Psychiatric Symptoms**, **Persistent Disability** 

Dimension 4: Document rationale based on clients needs in this dimension Subdimensions: Likelihood of Engaging in Risky Substance Use, Likelihood of Engaging in Risky SUD-related Behaviors

Dimension 5: Document rationale based on clients needs in this dimension Subdimensions: Ability to Function Effectively in Current Environment, Safety in Current Environment

Dimension 6: Document rationale based on clients needs in this dimension Subdimensions: Barriers to Care, Individual Preferences, Need for Motivational Enhancement

Screening, identifying, and documenting the presence of any co occurring psychiatric concerns, whether or not the individual has a related psychiatric diagnosis based on ASAM Multidimensional assessment



Treatment planning should be individualized using S.M.A.R.T goals & objectives



Document rationale for acuity and severity of impairment using a six dimensions outline



Explore barriers to treatment and/or the need for motivational enhancements/use Harm Reduction techniques

## **Screening**

#### PATIENT HEALTH QUESTIONNAIRE (PHQ-9)

ID #:		DATE:		
Over the last 2 weeks, how often have you been				
bothered by any of the following problems?  (use "✓" to indicate your answer)	Not at all	Several days	More than half the days	Nearly every day
1. Little interest or pleasure in doing things	0	1	2	3
2. Feeling down, depressed, or hopeless	0	1	2	3
3. Trouble falling or staying asleep, or sleeping too much	0	1	2	3
4. Feeling tired or having little energy	0	1	2	3
5. Poor appetite or overeating	0	1	2	3
Feeling bad about yourself—or that you are a failure or have let yourself or your family down	0	1	2	3
7. Trouble concentrating on things, such as reading the newspaper or watching television	0	1	2	3
8. Moving or speaking so slowly that other people could have noticed. Or the opposite — being so figety or restless that you have been moving around a lot more	0	1	2	3

#### GAD-7 Anxiety

Over the <u>last two weeks</u> , how often have you been bothered by the following problems?	Not at all	Several days	More than half the days	Nearly every day
Feeling nervous, anxious, or on edge	0	1	2	3
Not being able to stop or control worrying	0	1	2	3
Worrying too much about different things	0	1	2	3
Trouble relaxing	0	1	2	3
Being so restless that it is hard to sit still	0	1	2	3
Becoming easily annoyed or irritable	0	1	2	3
Feeling afraid, as if something awful might happen	0	1	2	3

	Column totals	+	+ =	
			Total score	
If you checked any problems, how difficult have they made it for you to do your work, take care of things at home, or get along with other people?				
Not difficult at all	Somewhat difficult	Very difficult	Extremely difficult	

Source: Primary Care Evaluation of Mental Disorders Patient Health Questionnaire (PRIME-MD-PHQ). The PHQ was developed by Drs. Robert L. Spitzer, Janet B.W. Williams, Kurt Kroenke, and colleagues. For research information, contact Dr. Spitzer at <a href="mailto:ris8@columbia.edu">ris8@columbia.edu</a>. PRIME-MD® is a trademark of Pfizer Inc. Copyright© 1999 Pfizer Inc. All rights reserved. Reproduced with permission



## **Harm Reduction Principles**

Understands drug use as a complex, multi-faceted phenomenon that encompasses a continuum of behaviors from severe use to total abstinence, and acknowledges that some ways of using drugs are clearly safer than others

Affirms people who use drugs (PWUD) themselves as the primary agents of reducing the harms of their drug use and seeks to empower PWUD to share information and support each other in strategies which meet their actual conditions of use

Calls for the non-judgmental, non-coercive provision of services and resources to people who use drugs and the communities in which they live in order to assist them in reducing attendant harm

Does not attempt to minimize or ignore the real and tragic harm and danger that can be associated with illicit drug use



## Case Example



#### Reason for referral

Following a recent outburst with a co-worker, Angela has been referred to a counselor. The 46-year-old works as a manager of a restaurant and remarks that her job causes her a great deal of stress, but "that's the job." Angela lives with her 20-year-old daughter, whom she has raised by herself for the past ten years following separation and eventual divorce from her daughter's father.

#### **History of Presenting Illness**

Angela takes risperidone and valproic acid for bipolar disorder, which she was diagnosed with ten years ago after "three waves of getting high playing the market and then getting really depressed when [she] lost." She admits that her impulsivity with the stock market has "eaten away at" her savings. Her 20-year-old daughter lives with her while attending the local state university because Angela "can't afford" to pay for tuition elsewhere.

Angela has attempted suicide three times over the past seven years. Her first attempt seven years ago went unreported, but two more recent attempts—four years ago and, most recently, ten months ago—required hospitalization.

Presently, Angela smokes cigarettes (with a 40 pack-year history), consumes one to two alcoholic drinks per week, and smokes methamphetamine two to three times per week. She notes that she has used methamphetamine "on-and-off for the past 15 years." Angela also acknowledges experimenting with cocaine and marijuana in high school but denies any further use. She reports no other substance use, current or historical.

Angela's husband left her ten years ago, citing drug use and domestic violence. This prompted her to seek treatment for her methamphetamine use; however, she left the residential treatment facility after one week due to feelings of shame around the intensity of her cravings. She has not sought any other treatment for her substance use in the intervening decade.

Angela has a broad affect and is cooperative with the interview. Cognitive screening shows deficits in episodic memory and executive function—specifically, working memory, cognitive flexibility, inhibitory control, and sustained attention—as well as increased suicidality.



## S.M.A.R.T Treatment Planning



Draw upon the individual's strengths to build an attainable treatment plan



Dimen	sional Drivers
	nension 3: Psychiatric and Cognitive Conditions Active psychiatric symptoms Longstanding diagnosis of bipolar disorder treated with risperidone and valproic acid Current increased suicidal ideation with three prior suicide attempts, two of which required hospitalization Recent verbal outburst with a professional client and history of domestic violence toward her exhusband
☐ Per	sistent disability
	Impulsivity affecting personal finances
	Deficits in episodic memory and executive function—specifically, working memory, cognitive inflexibility, inhibitory control, and sustained attention—on cognitive screening
□ She	has a multitude of strengths or protective factors. They include:
	Being in the Action stage of change Steady employment Financial stability Awareness of substance use issues Stable and supportive family Desire to pursue clear goals Setting and pursuing goals Faith Connected to her daughter



## What to document?



#### **Dimensional Admission Criteria**

#### **Dimension 1 minimal**

No withdrawal risk, minimal intoxication risk = x.7 not necessary

#### **Dimension 2**

No identified concerns

#### Dimension 3 is the dimensional driver—necessitates COE

- Diagnosed severe mental illness requiring psychotropic medication management
- Suicidal ideation with past attempts
- Impulsivity affecting personal finances and home life (domestic violence toward ex-husband) and possibly now creeping into professional life (outburst with client)
- Cognitive screening— Deficits in episodic memory and executive function—specifically, working memory, cognitive inflexibility, inhibitory
  control, and sustained attention

#### **Dimension 4 minimal**

- Methamphetamine and alcohol—long-term weekly use but not daily suggests fair self-management skills
- Some recognition and understanding of SUD impact—sought treatment in response to husband leaving
- Tobacco—negative but not dangerous consequences

#### **Dimension 5**

No specific needs, high functioning in professional life

#### **Dimension 6**

Risk of interference with career may motivate toward readiness



Document rationale based on clients' dimensional needs

Implementation of a treatment modality

Patient's response to mental health and SUD treatment in each note

Subsequent responses to continued stay or transfer

The use of instruments [i.e. GAD 7, PHQ, CIWA-AR, COWS)

Documentation should include sufficient clinical detail to justify the Risk Ratings, including:

what the anticipated timeline to see progress could be.

be care onal

which Dimensional Admission Criteria were met within each subdimension for the requested level of care

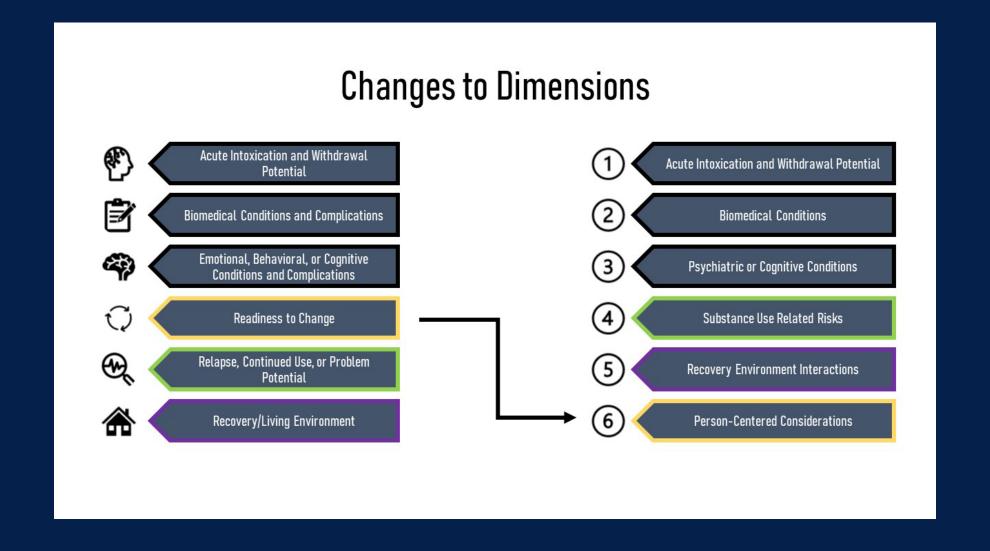
which services will be provided in the requested level of care to address the identified Dimensional Drivers, and

which concerns represent Dimensional Drivers for the patient's admission to the requested level of care

## Changes in the 4<sup>th</sup> edition



## DIMENSIONS



## ASAM CARE CONTINUUM

monitoring

Level 1 - Outpatient

#### The ASAM Care Continuum for Addiction Treatment – Adult

**Outpatient Therapy** 

Outpatient



### References

Harm Reduction Principles. Retrieved from <a href="https://harmreduction.org/about-us/principles-of-harm-reduction/">https://harmreduction.org/about-us/principles-of-harm-reduction/</a>

George, D., Arthur M., & James C. (1981). There's a S.M.A.R.T. way to write management goals and Objectives. Management Review, 70, 35-36.

Mee-Lee, D., Shulman, G.D., Fishman M.J, Gastfriend D.R., Miller, M.M., (2013 3<sup>rd</sup> edition). The ASAM Criteria: Treatment Criteria for Addictive, Substance-Related, and Co-Occurring Conditions. 3rd ed. Carson City, NV: The Change Companies.



### ADDRESS

11400 Rockville Pike, Suite #200 Rockville, MD 20852



### PHONE

Phone: (301) 547-4138 Fax: 301-656-3815



### ONLINE

www.ASAM.org