STATE OF CONNECTICUT DEPARTMENT OF SOCIAL SERVICES OFFICE OF LEGAL COUNSEL, REGULATIONS, AND ADMINISTRATIVE HEARINGS 55 FARMINGTON AVENUE HARTFORD, CT 06105-3725

2017 Signature Confirmation

Client ID # Request # 804297

NOTICE OF DECISION

PARTY



PROCEDURAL BACKGROUND

TROOLDONAL BACKGROOM
On 2016, Community Health Network of Connecticut ("CHNCT'), on behalf of the Department of Social Services (the "Department") sent a Notice of Action ("NOA") to (the "Appellant") in which it denied a request of prior authorization of a RAZ AT tilt-in-space shower/commode chair (tilt-in shower/commode chair).
On 2016, 2016, the Appellant's mother requested an administrative hearing to contest the denial of prior authorization to purchase the tilt-in-space shower/commode chair.
On 2017, the Office of Legal Counsel, Regulations, and Administrative Hearings (the "OLCRAH") issued a notice scheduling the administrative hearing for 2017.
On 2017,, Tri-County Arc, Group Home Supervisor and the Appellant's Authorized Representative ("AREP") requested for hearing to be held at the Group Home.
On 2017, OLCRAH reissued a notice rescheduling the administrative hearing for 2017, at the residence of the Appellant.

On 2017, in accordance with sections 17b-60, 17-61 and 4-176e to 4-189 inclusive, of the Connecticut General Statutes, OLCRAH held an administrative hearing. The following individuals were present at the hearing:

Appellant
, Appellant's Father
, Appellant's Mother
, Group Home Manager and Appellant's AREP
Fabiola Goin, RN, CHNCT Representative
Swati Sehgal, Hearing Officer

STATEMENT OF THE ISSUE

The issue to be decided is whether the CHNCT's decision to deny the Appellant's request for a prior authorization to purchase tilt-in shower/commode chair was correct.

FINDINGS OF FACT

- 1. The Appellant is 45 years old. (Appellant's parents' testimony; Exhibit 1: prior authorization request)
- 2. The Appellant's diagnosis includes spastic quadriplegia, cerebral palsy, epilepsy, severe intellectual deficits; type 2 diabetes, thrombocytopenic disorder (rare blood disorder), lymphocytosis, idiopathic scoliosis, cortical blindness, incontinence, and constipation. (Exhibit 1, and Group Home Manager's Testimony)
- 3. The Appellant resides in a Group Home. (Hearing Summary)
- 4. The Appellant requires full assistance with activities of daily living. (Group Home Manager's Testimony)
- With Diagnosis of spastic cerebral palsy, spastic quadriplegia, epilepsy, and idiopathic scoliosis, the Appellant is unable to use the standard shower chair as it does not provide safe and adequate support during showering and toileting. (Exhibit 1)
- The Appellant wears a body brace all the time, he has no control over his muscle tone. He needs to be out of his body brace at time of showering and toileting; and it is not safe for him to be in a chair without any safety and

- support components. It is very critical for him to be safe and comfortable in order to move his bowels. (Appellant's parents' Testimony and Exhibit A)
- 7. The Appellant spends 30 to 45 minutes a day on the commode and it is very crucial for him to be in a comfortable and safe chair to sit and evacuate. (Group Home Manger's testimony)
- 8. The Appellant has numerous seizures throughout a day, some of them make his entire body very rigid, which emphasizes the need for tilt-in-space shower/commode chair to provide the level of support he requires to maintain his safety during showering and toileting.(Exhibit 10: Letter Of Medical Necessity and Group Home Manager's Testimony)
- 9. The Appellant was demonstrated in tilt-in-space shower/commode chair. He has excellent support and positioning in this chair, it will fit over his toilet to allow him with some toileting time. (Exhibit 1)
- 10. The Appellant is at increased risk for falls during shower and toileting with an added potential for injury due to the possible development of pressure areas and behavioral issues that may arise as a result of the length of the time required to sit in a less supportive and uncomfortable shower chair. (Exhibit 13, Exhibit 10, and Group Home Manager's Testimony)
- 11. The Appellant requires a Visco foam seat with front opening for proper hygiene and prevention of skin breakdown and possible infection, chest strap with padding, adjust back assembly with locking armrest for extremity support, calf panel to prevent sliding his lower extremities from falling behind the footrests when tilted, pelvic belt to prevent sliding forward in the seat, lateral supports to prevent lateral leaning, and heel loops to prevent falling off the footplates. (Exhibit 13 and Group Home Manager's Testimony)
- 12. The Appellant has PVC shower/commode chair, complex customized wheelchair, stander, barrier free lift, hospital bed with side rails, ankle foot orthosis (AFO) braces, and a body brace. (Group Home Manager's Testimony)
- 13. The Appellant is a participant in the Medicaid program, as administered by the Department. (Hearing Record)
- 14. CHNCT is the Department's contractor for reviewing requests for prior authorization of medical treatment and durable medical equipment (DME). (Hearing Record)
- 15. On 2016, the CHNCT received a prior authorization request from National Seating and Mobility, a DME vendor, ("DME" vendor) for the tilt-in shower/commode chair. (Hearing Summary and Exhibit 1).

- 2016, the CHNCT sent an NOA to the Appellant denying the request for the tilt-in-space shower/commode chair as not medically necessary, per Connecticut law, Connecticut General Statutes (not medically necessary based on an assessment of the individual and his or her medical condition). The NOA stated that, while a shower chair with tilt-in-space is medically necessary for this member, level of supply that was requested is not medically necessary as compared to an array of commercially available shower chairs. Consideration can be given to other shower chairs with tilt-in space, a conforming back and a wide chest strap for hygiene safety. The evaluating therapist can collaborate with an assistive technology professional to obtain other shower chair options. (Exhibit 2: Medical review, Exhibit 3: NOA dated —/16).
- 17. On 2016, the Appellant requested an administrative hearing to contest CHNCT's denial of prior authorization to purchase the tilt-in-space shower/commode chair.
- 18. Following the Appellant's request for a hearing, CHNCT requested additional information from the Appellant's DME provider, National Seating and Mobility, and from his referring provider, and his physical therapist. (Exhibit 7-9: Medical Records Request)
- 19. On 2017, CHNCT, after reviewing the additional information from the Appellant's medical provider, DME provider and physical therapist, again notified the Appellant that his appeal of the denial of authorization for purchase of the tilt-in space shower/commode chair was denied because it cannot be confirmed as medically necessary as compared to less costly tilt-in-space shower chair. The denial is based on 17b-259b(a)(4)- more costly than an alternative service or treatment of individual's illness, injury or disease. The reasons cited in this notification were basically the same as those noted in the NOA. (Exhibit 17: CHNCT's Notice of Denial of Appeal Review)
- 20. Consideration can be given to commercially available less costly aluminum or PVC shower chair that provides tilt-in-space feature, a padded seat, armrest for upper extremity support and strapping components for safety. (Exhibit 14: Medical Review Results and Hearing Summary)
- 21. For the Appellant's safety and hygiene, it is important for the Appellant to have a tilt-in-space shower/commode chair with lateral support, padded seating with custom cut-out, adjusted back assembly, armrest kit, bodypoint aeromesh calf panel, bodypoint aeromesh pelvic belt, left and right lateral supports, heel loops and other positioning components. The alternative commercial tilt-in-space chair is not suitable for the Appellant; he needs a titl-in-space chair with wide padded chest and pelvic strap to support him with numerous customized modifications. (Group Home Manager's testimony)

- 22. The Appellant requires a tilt-in-space shower/commode chair with the complex components of a wheelchair. PVC and Aluminum shower chairs are not as well built, the cast wheels on these chairs have tendency to get rusted, are not adaptable to many positioning components. They require frequent maintenance which is not cost effective. (Group Home Manager's testimony)
- 23. The Appellant requires a customized tilt-in-space shower/commode chair which is adaptable to many positioning, safety and support components to accommodate the change in his body. (Group Home Manager's Testimony)
- 24. The Appellant has a PVC chair and it has been replaced four times in past four years and has received numerous repairs which in not cost effective at all.(Group Home Manager's testimony)
- 25. There are two other brands which can accommodate the Appellant's need but both are at same price as tilt-in-space shower/commode chair. (Group Home Manager's Testimony)
- 26. The Alternative shower chairs were considered, but determined unsuitable and unsafe due to lack of adequate support offered and with not being a proper fit for specific showering space provided in the bathroom in the Appellant's place of residence. (Exhibit 10)
- 27.CHNCT did not provide any information or guidance regarding less costly alternative to the Appellant's group home, medical provider or the DME vendor. Tilt-in- space shower/commode chair is the best equipment that they are familiar with and have knowledge of which can provide safety and needed adequate support to the Appellant during showering and toileting. (Group Home Manager's Testimony)

CONCLUSIONS OF LAW

- Section 17b-2 of the Connecticut General Statutes in part designates the Department as the state agency to administer the Medicaid program pursuant to Title XIX of the Social Security Act.
- For the purposes of the administration of the medical assistance programs by the Department, "medically necessary" and "medical necessity" mean those health services required to prevent, identify, diagnose, treat, rehabilitate or ameliorate an individual's medical condition, including mental illness, or its

effects, in order to attain or maintain the individual's achievable health and independent functioning provided such services are: (1) consistent with generally-accepted standards of medical practice that are defined as standards that are based on (A) credible scientific evidence published in peerreviewed medical literature that is generally recognized by the relevant medical community, (B) recommendations of a physician-specialty society, (C) the views of physicians practicing in relevant clinical areas, and (D) any other relevant factors; (2) clinically appropriate in terms of type, frequency, timing, site, extent and duration and considered effective for the individual's illness, injury or disease; (3) not primarily for the convenience of the individual, the individual's health care provider or other health care providers; (4) not more costly than an alternative service or sequence of services at least as likely to produce equivalent therapeutic or diagnostic results as to the diagnosis or treatment of the individual's illness, injury or disease; and (5) based on an assessment of the individual and his or her medical condition. Conn. Gen. Stat. § 17b-259b (a).

Clinical policies, medical policies, clinical criteria or any other generally accepted clinical practice guidelines used to assist in evaluating the medical necessity of a requested health service shall be used solely as guidelines and shall not be the basis for a final determination of medical necessity. [Conn. Gen. Stat. 17b-259b (b)]

Upon denial of a request for authorization of services based on medical necessity, the individual shall be notified that, upon request, the Department of Social Services shall provide a copy of the specific guideline or criteria, or portion thereof, other than the medical necessity definition provided in subsection (a) of this section, that was considered by the department or an entity acting on behalf of the department in making the determination of medical necessity. [Conn. Gen. Stat. 17b-259b (c)

- 3. Payment for DME and related equipment is available for Medicaid clients who have a medical need for equipment that meets the department's definition of DME when the item is prescribed by a licensed practitioner, subject to the conditions and limitations set forth in sections 17b-262-672 to 17b-262-682, inclusive, of the Regulations of Connecticut State Agencies. Conn Agencies Regs. § 17b-262-675
- 4. Durable medical equipment" or "DME" means equipment that meets all of the following requirements:
 - (A) can withstand repeated use;
 - (B) is primarily and customarily used to serve a medical purpose;
 - (C) generally is not useful to a person in the absence of an illness or injury;
 - (D) is nondisposable
 - Conn Agencies Regs. § 17b-262-673(8)
- 5. The department shall pay for the purchase of rental and repair of DME, except as limited by sections 17b-262-672 to 17b-262-682, inclusive, of the

Regulations of Connecticut State Agencies, that conforms to accepted methods of diagnosis and treatment and is medically necessary and medically appropriate. Conn Agencies Regs. § 17b-262-676(a)(1)

- 6. CHNCT correctly determined that a tilt-in space shower/commode type of chair is medically necessary for the Appellant.
- 7. CHNCT incorrectly denied the Appellant's prior authorization request for purchase of tilt-in space shower/commode chair.

DISCUSSION

Medical documentation from the Appellant's medical provider, Group home and DME vendor supports the medical necessity of the tilt-in space shower/commode chair. CHNCT acknowledges that the Appellant requires a tilt-in space shower/commode chair with head support for use in a roll-in shower. CHNCT denied the request of prior authorization for tilt-in-space shower/commode chair because a customized stainless steel tilt-in-space shower chair cannot be confirmed as medically necessary as compared to less costly tilt-in-space chair with gravitational forces and which can accept positioning components.

CHNCT failed to provide any information or guidance to the Appellant and his providers as to what other less costly alternatives are currently available.

CHNCT recommended that the evaluating therapist should collaborate with assistive technology professional to obtain other options. The Appellant's physical therapist along with Group Home Manager provided sufficient evidence that tilt-in-space shower/commode chair is best suited for the Appellant's complex medical needs to keep him safe during showering and toileting tasks. Other brands which can provide adequate support are of the same price range.

DECISION

The Appellant's appeal is **GRANTED**.

ORDER

- 1. CHNCT shall approve the Appellant's request for prior authorization for a tilt-in-space shower/commode chair
- 2. Compliance with this order is due to the undersigned by 2017, and shall consist of documentation that CHNCT has approved the request for prior authorization and send such approval to the Appellant and notify the DME provider.

Swati Sengal
Swati Sengal
Hearing Officer

cc: CHNCT Appeals Fatima Williams

RIGHT TO REQUEST RECONSIDERATION

The appellant has the right to file a written reconsideration request within **15** days of the mailing date of the decision on the grounds there was an error of fact or law, new evidence has been discovered or other good cause exists. If the request for reconsideration is granted, the appellant will be notified within 25 days of the request date. No response within 25 days means that the request for reconsideration has been denied. The right to request a reconsideration is based on §4-181a (a) of the Connecticut General Statutes.

Reconsideration requests should include <u>specific</u> grounds for the request: for example, indicate what error of fact or law, what new evidence, or what other good cause exists.

Reconsideration requests should be sent to: Department of Social Services, Director, Office of Administrative Hearings and Appeals, 55 Farmington Avenue, Hartford, CT 06105-3725.

RIGHT TO APPEAL

The appellant has the right to appeal this decision to Superior Court within 45 days of the mailing of this decision, or 45 days after the agency denies a petition for reconsideration of this decision, provided that the petition for reconsideration was filed timely with the Department. The right to appeal is based on §4-183 of the Connecticut General Statutes. To appeal, a petition must be filed at Superior Court. A copy of the petition must be served upon the Office of the Attorney General, 55 Elm Street, Hartford, CT 06106 or the Commissioner of the Department of Social Services, 55 Farmington Avenue, Hartford, CT 06105. A copy of the petition must also be served on all parties to the hearing.

The 45 day appeal period may be extended in certain instances if there is good cause. The extension request must be filed with the Commissioner of the Department of Social Services in writing no later than 90 days from the mailing of the decision. Good cause circumstances are evaluated by the Commissioner or the Commissioner's designee in accordance with §17b-61 of the Connecticut General Statutes. The Agency's decision to grant an extension is final and is not subject to review or appeal.

The appeal should be filed with the clerk of the Superior Court in the Judicial District of New Britain or the Judicial District in which the appellant resides.