STATE OF CONNECTICUT DEPARTMENT OF SOCIAL SERVICES OFFICE OF LEGAL COUNSEL, REGULATIONS, AND ADMINISTRATIVE HEARINGS 55 FARMINGTON AVENUE HARTFORD, CT 06105-3725

CASE # CLIENT# REQUEST# NOTICE OF DECISION
PARTY

PROCEDURAL BACKGROUND

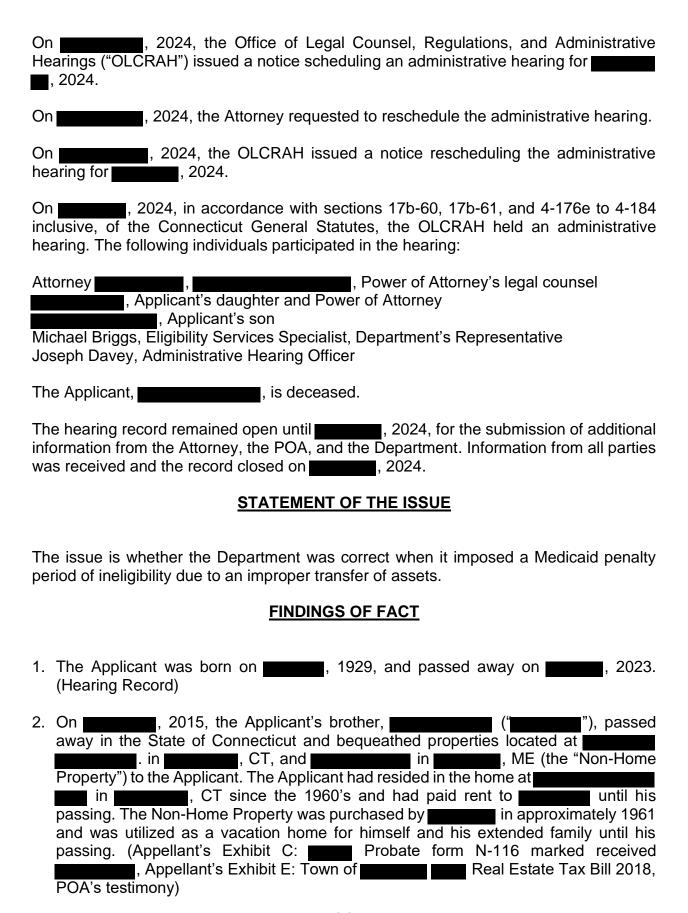
On , 2023, the Department of Social Services (the "Department") sent

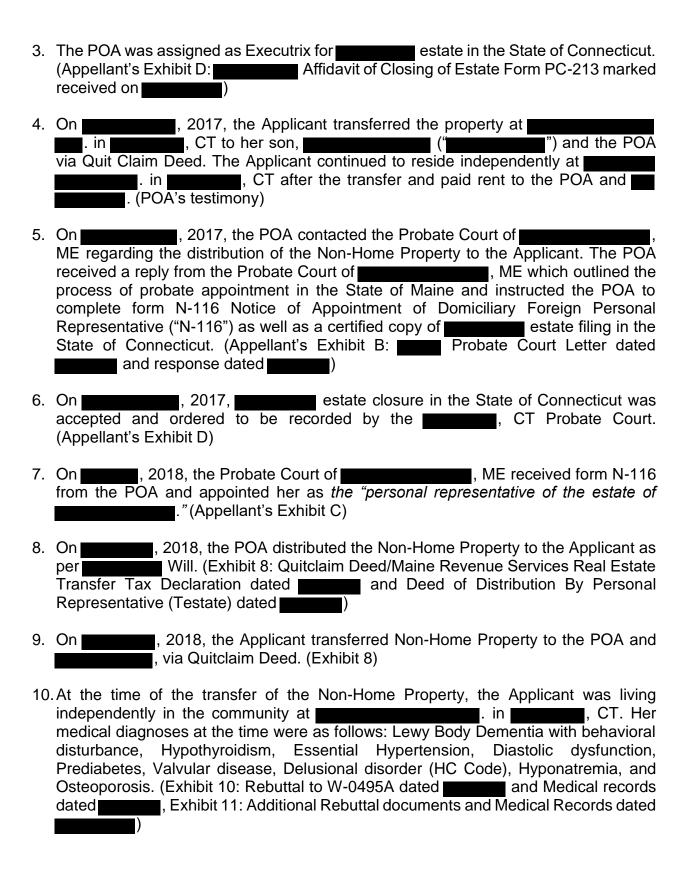
was imposing a penalty period of Medicaid ineligibility effective , 2023, through , 2023.

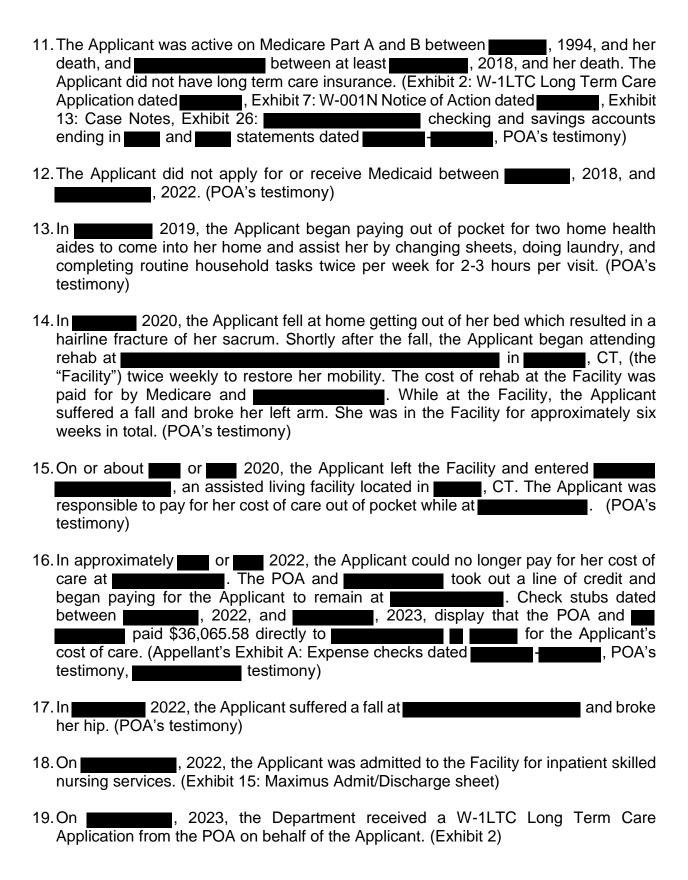
On , 2024, Attorney (the "Attorney"), legal counsel for , the Applicant's daughter and Power of Attorney (the "POA"), requested an administrative hearing on behalf of the Applicant to contest the Department's penalty determination.

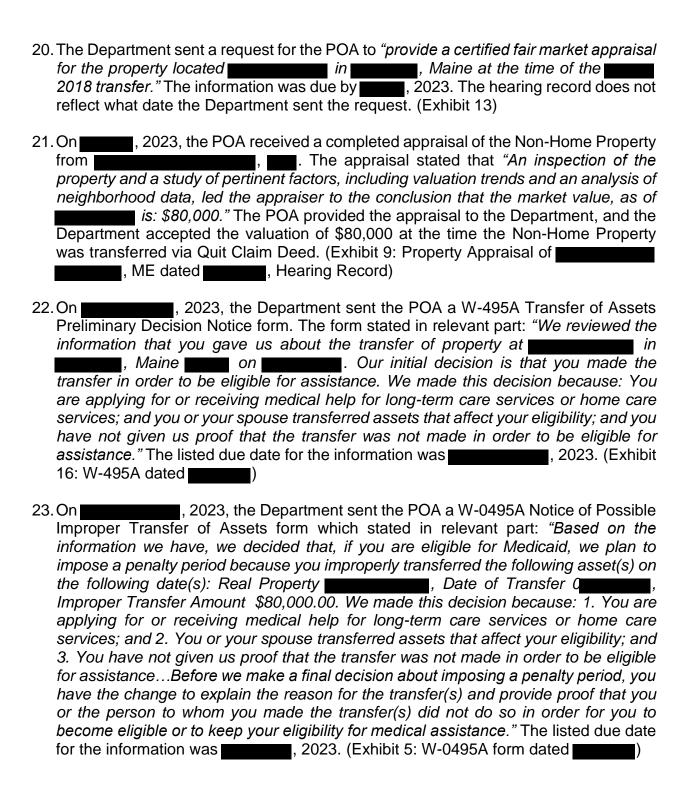
transferred \$80,000.00 in assets to become eligible for Medicaid and that the Department

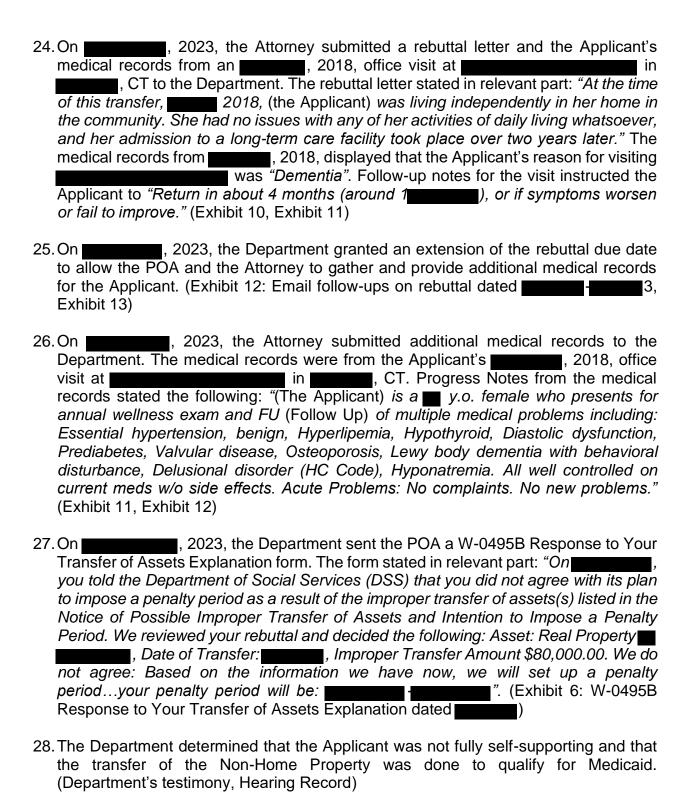
(the "Applicant"), a Notice of Action ("NOA") informing her that she had

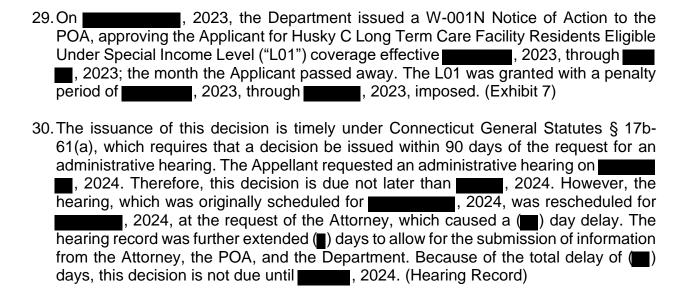












CONCLUSIONS OF LAW

1. Section 17b-2(6) of the Connecticut General Statues ("Conn. Gen. Stat.") provides that the Department of Social Services is designated as the state agency for the administration of the Medicaid program pursuant to Title XIX of the Social Security Act.

The Department has the authority to administer and determine eligibility for Medicaid.

2. "The department's uniform policy manual ("UPM") is the equivalent of state regulation and, as such, carries the force of law." Bucchere v. Rowe, 43 Conn. Supp. 175, 178 (1994) (citing Conn. Gen. Stat. § 17b-10; Richard v. Commissioner of Income Maintenance, 214 Conn. 601, 573 A.2d 712 (1990)).

UPM § 3029.03 provides that the Department uses the policy contained in Chapter 3029 of the Uniform Policy Manual to evaluate asset transfers, including the establishment of certain trusts and annuities, if the transfer occurred, or the trust or annuity was established, on or after February 8, 2006.

Conn. Gen. Stat. § 17b-261(a) provides that any disposition of property made on behalf of an applicant for recipient by a person authorized to make such disposition pursuant to a power of attorney, or other person so authorized by law shall be attributed to such applicant.

UPM § 3029.05(A) states there is a period established, subject to the conditions described in this chapter, during which institutionalized individuals are not eligible for certain Medicaid services when they or their spouses dispose of assets for less than fair market value on or after the look-back date specified in 3029.05 C. This period is called the penalty period, or period of ineligibility.

UPM § 3029.05(B) provides in relevant part for individuals affected: 1. The policy contained in this chapter pertains to institutionalized individuals and to their spouses. 2. An individual is considered institutionalized if he or she is receiving: a. LTCF services; or b. services provided by a medical institution which are equivalent to those provided in a long term care facility; or c. home and community based services under a Medicaid waiver.

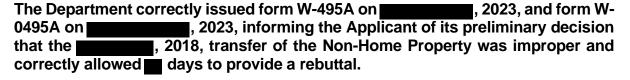
UPM § 3029.05(C) provides for the look-back date for transfers. The look-back date for transfers of assets is a date that is 60 months before the first date on which both the following conditions exist: 1. the individual is institutionalized; and 2. the individual is either applying for or receiving Medicaid.

UPM § 3029.05(D)(1) provides the Department considers transfers of assets made within the time limits described in 3029.05 C, on behalf of an institutionalized individual or his or her spouse by a guardian, conservator, person having power of attorney or other person or entity so authorized by law, to have been made by the individual or spouse.

| | Applicant efits on | | | tutionalized | individual | who | applied | for | Medicaid |
|-----|--------------------|---------|---------|---|-------------|---------|-----------|-----|----------|
| Hom | e Property | as it o | ccurred | restigated th within the 60 Term Care | 0 months be | efore t | he Applic | | |

3. UPM § 3029.35(A)(1) provides that prior to a denial or discontinuation of LTC Medicaid benefits, the Department notifies the individual and his or her spouse of its preliminary decision that a transfer of asset is determined to have been improper.

UPM § 3029.35(A)(2) provides that the notification includes a clear explanation of both a. the reason for the decision and b. the right of the individual or his or her spouse to rebut the issue within 10 days.



| 4. UPM § 3029.35(C) provides for the Rebuttal Process. 1. If the individual does in the Department's preliminary decision to impose a penalty period, the Department the individual a final decision notice regarding the penalty period at the time disposition of the Medicaid application. This notice contains all the element preliminary notice, and a description of the individual's appeal rights. 2. If the interest the Department's preliminary decision to impose a penalty period, the Dephas ten days from the receipt of the rebuttal to send an interimentation notice to the instating that it is either upholding or reversing its preliminary decision. 3. The notice described in 3029.35 C. 2 informs the individual that: a. the Department is rever preliminary decision, and is not imposing a penalty period with respect to LTC sorb, the Department's preliminary decision is upheld, and a penalty period established, during which Medicaid will not pay for LTC services. 4. The Department a final decision notice regarding the rebuttal issue at the time of the mailing notice regarding the disposition of the Medicaid application. |
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The Department correctly reviewed the Attorney's ______, 2023, rebuttal on behalf of the Applicant as well as the additional rebuttal documents submitted on _____, 2023 by the Attorney on behalf of the Applicant.

The Department correctly issued both the W-0495B and a Notice of Action on

The Department correctly issued both the W-0495B and a Notice of Action on 2023, which outlined its decision to uphold its preliminary decision that the 2023, was improper and that a penalty period of 2023, through 2023, was established.

5. Conn. Gen. Stat. § 17b-261a(a) provides that any transfer or assignment of assets resulting in the imposition of a penalty period shall be presumed to be made with the intent, on the part of the transferor or the transferee, to enable the transferor to obtain or maintain eligibility for medical assistance. This presumption may be rebutted only by clear and convincing evidence that the transferor's eligibility or potential eligibility for medical assistance was not the basis for the transfer or assignment.

UPM § 3029.10(E) pertains to Transfers made exclusively for reasons other than Qualifying and provides an otherwise eligible institutionalized individual is not ineligible for Medicaid payment of LTC services if the individual, or his or her spouse, provides clear and convincing evidence that the transfer was made exclusively for a purpose other than qualifying for assistance.

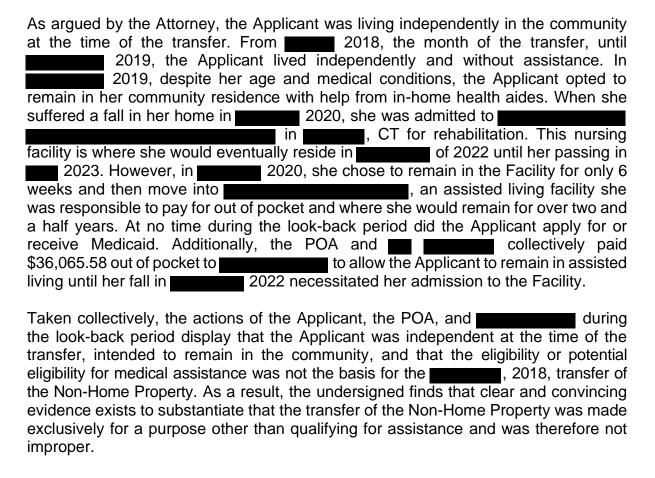
The Department incorrectly determined the Applicant's ______, 2018, transfer of the Non-Home Property was made with the intent to qualify for Medicaid.

The Department incorrectly determined the Applicant was ineligible for payment of Long Term Care services for the period of ______, 2023, through ______, 2023.

DISCUSSION

As outlined in Conn. Gen. Stat. § 17b-261a(a) "any transfer or assignment of assets resulting in the imposition of a penalty period shall be presumed to be made with the intent, on the part of the transferor or the transferee, to enable the transferor to obtain or maintain eligibility for medical assistance. This presumption may be rebutted only by clear and convincing evidence that the transferor's eligibility or potential eligibility for medical assistance was not the basis for the transfer or assignment." The main issue of the hearing, therefore, is the Applicant's intent when she transferred the Non-Home Property to the POA and on 2018. As the Applicant is deceased, an examination of her actions and those of the involved parties during the look-back period are the most reliable means by which the Applicant's intent at the time of transfer can be established.

The Department argued that because of the Applicant's advanced age and health conditions at the time the Non-Home Property was transferred, she was likely to require long-term care services in the future, was not fully self-supporting, and therefore made the transfer in order to qualify for assistance. This argument fails to account for the totality of the Applicant's actions during the more than four years between the transfer of the Non-Home Property and the Applicant's admission to a skilled nursing facility and subsequent application for Long Term Care assistance.



DECISION

The Appellant's appeal is **GRANTED.**

<u>ORDER</u>

- 1. The Department will remove the penalty imposed against the Applicant for the period of 2023, through 2023, and issue a Notice of Action displaying that the penalty has been removed.
- 2. The Department shall demonstrate compliance with this order no later than (days from the date of this decision. Verification of compliance shall be sent to the undersigned via email confirmation.

Joseph Davey

Administrative Hearing Officer

CC: Michael Briggs, Department's Representative, Bridgeport Regional Office Annjerry Garcia, SSOM, Bridgeport Regional Office Jamel Hilliard, SSOM, Bridgeport Regional Office Robert Stewart, SSOM, Bridgeport Regional Office

RIGHT TO REQUEST RECONSIDERATION

The appellant has the right to file a written reconsideration request within **15** days of the mailing date of the decision on the grounds there was an error of fact or law, new evidence has been discovered or other good cause exists. If the request for reconsideration is granted, the appellant will be notified within 25 days of the request date. No response within 25 days means that the request for reconsideration has been denied. The right to request a reconsideration is based on §4-181a (a) of the Connecticut General Statutes.

Reconsideration requests should include <u>specific</u> grounds for the request: for example, indicate <u>what</u> error of fact or law, <u>what</u> new evidence, or <u>what</u> other good cause exists.

Reconsideration requests should be sent to: Department of Social Services, Director, Office of Administrative Hearings and Appeals, 55 Farmington Avenue, Hartford, CT 06105-3725.

RIGHT TO APPEAL

The appellant has the right to appeal this decision to Superior Court within 45 days of the mailing of this decision, or 45 days after the agency denies a petition for reconsideration of this decision, provided that the petition for reconsideration was filed timely with the Department. The right to appeal is based on §4-183 of the Connecticut General Statutes. To appeal, a petition must be filed at Superior Court. A copy of the petition must be served upon the Office of the Attorney General, 55 Elm Street, Hartford, CT 06106 or the Commissioner of the Department of Social Services, 55 Farmington Avenue Hartford, CT 06105. A copy of the petition must also be served on all parties to the hearing.

The 45 day appeal period may be extended in certain instances if there is good cause. The extension request must be filed with the Commissioner of the Department of Social Services in writing no later than 90 days from the mailing of the decision. Good cause circumstances are evaluated by the Commissioner or the Commissioner's designee in accordance with §17b-61 of the Connecticut General Statutes. The Agency's decision to grant an extension is final and is not subject to review or appeal.

The appeal should be filed with the clerk of the Superior Court in the Judicial District of New Britain or the Judicial District in which the appellant resides.