STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES
OFFICE OF LEGAL COUNSEL, REGULATIONS, AND ADMINISTRATIVE HEARINGS
25 SIGOURNEY STREET
HARTFORD, CT 06106-5033

[redacted] 2013
SIGNATURE CONFIRMATION

Client ID#: [redacted]
HEARING ID#: 525012

NOTICE OF DECISION

PARTY

[redacted]

PROCEDURAL BACKGROUND

On [redacted] 2013, Ascend Management Innovations LLC, ("Ascend") the Department of Social Services' ("Department") vendor that administers approval of nursing home care, sent [redacted] ("Appellant") a notice stating that he does not meet the level of care criteria to be admitted to or reside in a nursing facility.

On [redacted] 2013, the Appellant requested an administrative hearing to contest Ascend's decision.

On [redacted] 2013, the Office of Legal Counsel, Regulations, and Administrative Hearings ("OLCRAH") issued a notice scheduling the administrative hearing for [redacted], 2013.

On [redacted] 2013, in accordance with sections 17b-60, 17-61 and 4-176e to 4-189 inclusive, of the Connecticut General Statutes, OLCRAH held an administrative hearing at Paradigm Healthcare in Waterbury ("Paradigm"). The following individuals were present at the hearing:

[redacted] Appellant
[redacted] Appellant's daughter
Mary Ferraro, RN Coordinator, Paradigm
Salvatore Cappetta, Social Work Coordinator, Paradigm
Brenda Providence, RN, Department of Social Services

Pamela Calvert, RN, Ascend Management Innovations (via telephone)
Karen Brown, Hearing Officer

The hearing record closed on [redacted] 2013 for receipt of additional information submitted by the Appellant.

STATEMENT OF THE ISSUE

The issue to be decided is whether Ascend's decision that the Appellant does not meet the skilled nursing level of care criteria was correct.

FINDINGS OF FACT

1. The Appellant's date of birth is [redacted] 1951. He is 61 years old. (Exhibit 1: Level of Care Report)

2. Prior to [redacted] 2012, the Appellant lived in the community in a rooming house. (Appellant's Testimony)

3. On [redacted] 2012, Paradigm admitted the Appellant for rehab for medical monitoring and increasing strength and endurance for chronic obstructive pulmonary disorder, diabetes and bilateral knee pain. (Exhibit 4: CT LTC Preadmission screening form)

4. The Appellant has a past medical history of hypertension, ETOH abuse, osteoarthritis, hepatitis A, C, C, RA, and blood loss anemia. (Exhibit 3: Level of Care Determination form)

5. The Appellant has a past psychiatric history of depressive disorder, bipolar, anxiety disorder, and insomnia. (Exhibit 3)

6. Ascend determined that the Appellant met the Connecticut Minimum Admission Criteria for short term nursing facility stay. (Summary)

7. On [redacted] 2013, Paradigm submitted a Level of Care screening for the Appellant's continued stay at the facility. (Exhibit 3)

8. The Appellant was independent with seven out of seven activities of daily living (ADLs). (Exhibit 3)

9. The Appellant requires supervision with bathing, mobility, and continence issues. (Exhibit 3)

10. At the time of the Level of Care screening, the Appellant was pending left total knee consolation surgery. (Exhibit 3)

11. At the time of the Level of Care screening, the Appellant's physical and occupational therapy orders expired. (Exhibit 3)

12. At the time of the Level of Care screening, the Appellant did not require a Level II evaluation. (Paradigm testimony)

13. The Appellant has no recent documentation of being seen by a mental health professional and being diagnosed with any mental health issues. (Record)

14. On [redacted] 2013, Ascend determined that the Appellant did not meet the Connecticut Minimum Admission Criteria to be admitted or reside in a nursing facility. (Exhibit 1: PASRR Notice of nursing facility denial)

15. The Appellant speaks English. (Exhibits 3 & 4)

16. The Appellant is oriented to self, place, time, and situation. (Exhibits 3 &4)

17. The Appellant has no eating difficulties. (Exhibit 3 &4)

18. The Appellant was referred to the Money Follows the Person Program but refused to meet with the representative. (Appellant Testimony).

CONCLUSIONS OF LAW

1. Section 17b-2 of the Connecticut General Statutes authorizes the Commissioner of the Department of Social Services to administer the Medicaid program.

2. State regulations provide that "the department shall pay for an admission that is medically necessary and medically appropriate as evidenced by the following:

    (1) certification by a licensed practitioner that a client admitted to a nursing facility meets the criteria outlined in section 19-13-D8t (d) (1) of the Regulations of Connecticut State Agencies. .
    (2) This certification of the need for care shall be made prior to the department's authorization of payment. The licensed practitioner shall use and sign all forms specified by the department;
    (3) the department's evaluation and written authorization of the client's need for nursing facility services as ordered by the licensed practitioner;
    (4) a health screen for clients eligible for the Connecticut Home Care Program for Elders as described in section 17b-342-4(a) of the Regulations of Connecticut State Agencies;
    (5) a preadmission MI/MR screen signed by the department; or an exemption form, in accordance with 42 CFR 483.106(b), as amended

from time to time, for any hospital discharge, readmission or transfer for which a preadmission MI/MR screen was not completed; and

(6) a preadmission screening level II evaluation for any individual suspected of having mental illness or mental retardation as identified by the preadmission MI/MR screen." Conn. Agencies Regs. Section 17b-262-707 (a).

3. "The Department shall pay a provider only when the department has authorized payment for the client's admission to that nursing facility." Conn. Agencies Regs. Section 17b-262-707(b).

4. State regulations provide that patients shall be admitted to the facility only after a physician certifies the following:

(i) That a patient admitted to a chronic and convalescent nursing home has uncontrolled and/or unstable conditions requiring continuous skilled nursing services and /or nursing supervision or has a chronic condition requiring substantial assistance with personal care, on a daily basis.
Conn. Agencies Regs. Section 19-13-D8t (d) (1) (A).

5. Section 17b-259b of the Connecticut General Statures states that "Medically necessary" and "medical necessity" defined. Notice of denial of services. Regulations. (a) For purposes of the administration of the medical assistance programs by the Department of Social Services, "medically necessary" and "medical necessity" mean those health services required to prevent, identify, diagnose, treat, rehabilitate or ameliorate an individual's medical condition, including mental illness, or its effects, in order to attain or maintain the individual's achievable health and independent functioning provided such services are: (1) Consistent with generally-accepted standards of medical practice that are defined as standards that are based on (A) credible scientific evidence published in peer-reviewed medical literature that is generally recognized by the relevant medical community, (B) recommendations of a physician-specialty society, (C) the views of physicians practicing in relevant clinical areas, and (D) any other relevant factors; (2) clinically appropriate in terms of type, frequency, timing, site, extent and duration and considered effective for the individual's illness, injury or disease; (3) not primarily for the convenience of the individual, the individual's health care provider or other health care providers; (4) not more costly than an alternative service or sequence of services at least as likely to produce equivalent therapeutic or diagnostic results as to the diagnosis or treatment of the individual's illness, injury or disease; and (5) based on an assessment of the individual and his or her medical condition. (b) Clinical policies, medical policies, clinical criteria or any other generally accepted clinical practice guidelines used to assist in evaluating the

medical necessity of a requested health service shall be used solely as guidelines and shall not be the basis for a final determination of medical necessity. (c) Upon denial of a request for authorization of services based on medical necessity, the individual shall be notified that, upon request, the Department of Social Services shall provide a copy of the specific guideline or criteria, or portion thereof, other than the medical necessity definition provided in subsection (a) of this section, that was considered by the department or an entity acting on behalf of the department in making the determination of medical necessity.

6. The Appellant has some chronic medical conditions that are managed through medication.

7. There was no documentation provided of any severe mental health diagnoses or treatment.

8. The Appellant has the physical ability to complete his ADLs. He may require supervision with bathing and mobility which can be provided in the community or through the use of durable medical equipment.

9. It is not clinically appropriate that the Appellant reside in a nursing facility.

10. Ascend Management Innovations is correct in its determination that the Appellant does not meet the medical criteria for nursing facility level of care.

DISCUSSION

Because the Appellant is independent with most of his ADLs and requires only supervision with bathing and mobility, he may live in a less restrictive setting in the community and receive home care services. The Appellant testified that he has significant mental health diagnoses that were not documented and provided additional information to substantiate this (Appellant Exhibit A). After review, the information he submitted only identifies some anxiety and depression by his own self-report and indicated prescribed klonopin to address anxiety back in [redacted] 2006. The Appellant testified that community placements with stairs would be difficult. He may pursue living arrangements that accommodate his mobility needs. Ascend was correct in their decision that the Appellant does not meet medical necessity criteria for nursing home level of care.

DECISION

The Appellant's appeal is **DENIED.**

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Karen Brown
Hearing Officer

PC: K. Bruni, Manager, Alternate Care Unit
Ascend Management Innovations
Brenda Providence, DSS ACU
Facility Administrator, Paradigm Healthcare of Waterbury

RIGHT TO REQUEST RECONSIDERATION

The appellant has the right to file a written reconsideration request within **15** days of the mailing date of the decision on the grounds there was an error of fact or law, new evidence has been discovered or other good cause exists. If the request for reconsideration is granted, the appellant will be notified within 25 days of the request date. No response within 25 days means that the request for reconsideration has been denied. The right to request a reconsideration is based on §4-181a (a) of the Connecticut General Statutes.

Reconsideration requests should include specific grounds for the request: for example, indicate what error of fact or law, what new evidence, or what other good cause exists.

Reconsideration requests should be sent to: Department of Social Services, Director, Office of Administrative Hearings and Appeals, 25 Sigourney Street, Hartford, CT 06106-5033.

RIGHT TO APPEAL

The appellant has the right to appeal this decision to Superior Court within 45 days of the mailing of this decision, or 45 days after the agency denies a petition for reconsideration of this decision, provided that the petition for reconsideration was filed timely with the Department. The right to appeal is based on §4-183 of the Connecticut General Statutes. To appeal, a petition must be filed at Superior Court. A copy of the petition must be served upon the Office of the Attorney General, 55 Elm Street, Hartford, CT 06106 or the Commissioner of the Department of Social Services, 25 Sigourney Street, Hartford, CT 06106. A copy of the petition must also be served on all parties to the hearing.

The 45 day appeal period may be extended in certain instances if there is good cause. The extension request must be filed with the Commissioner of the Department of Social Services in writing no later than 90 days from the mailing of the decision. Good cause circumstances are evaluated by the Commissioner or the Commissioner's designee in accordance with §17b-61 of the Connecticut General Statutes. The Agency's decision to grant an extension is final and is not subject to review or appeal.

The appeal should be filed with the clerk of the Superior Court in the Judicial District of New Britain or the Judicial District in which the appellant resides.