



STATE OF CONNECTICUT

DEPARTMENT OF SOCIAL SERVICES

55 FARMINGTON AVENUE – HARTFORD, CONNECTICUT 06105-5033

9-14-16

Julie Osnes
President/Owner
Julie Osnes Consulting, LLC
28664 Tree Farm Road
Pierre, SD .57501

CONTRACT #: 14DSS6003FJ / 999-JOC-NP-01
PERIOD: 9/1/2014 To 7/31/2017

AMOUNT: \$300,000.00
AMENDMENT: A2

Dear Ms. Osnes:

I am pleased to inform you that the above referenced amendment has been fully executed and approved. Attached is a scanned copy of the amendment for your files.

Requests for Payment should be completed and directed to the program contact identified below. The Department will process requests for payment in accordance with the terms of the contract. Your receipt of payment is contingent upon the continued availability of funds and your agency's compliance with the terms of the contract.

For issues or concerns related to the Program please direct your inquiries to:

PROGRAM

John McCormick
(860) 424-5903
john.mccormick@ct.gov

CONTRACT

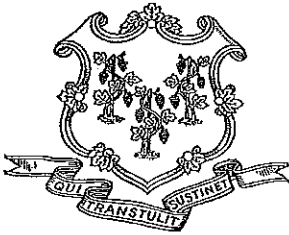
Tina McGill
(860) 424-5082
tina.mcgill@ct.gov

Sincerely,

Roderick L. Bretnby
Commissioner

C: John McCormick
Contract file

STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES



CONTRACT AMENDMENT

Contractor: JULIE OSNES CONSULTING, LLC
Contractor Address: 28664 TREE FARM ROAD, PIERRE, SD 57501
Contract Number: 999-JOC-NP-01 / 14DSS6003FJ
Amendment Number: A2
Amount as Amended: \$300,000
Contract Term as Amended: 09/01/14 - 08/31/17

The contract between **Julie Osnes Consulting, LLC** (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved the office of the Attorney General on 08/17/2015 is hereby further amended as follows:

1. The funding of the contract is increased in the amount of \$50,000, and the total contract award is changed from \$250,000 to \$300,000. The increase in funds is to continue services for an additional one (1) year, and the end date shall be changed from 8/31/2016 to 8/31/2017.
2. Payment Schedule on page 1 of A1 shall be revised as follows:
 1. The all-inclusive fixed price for the performance of the tasks set forth in the Consultant's Extension Proposal dated August 3, 2016 to be performed during the period September 1, 2016 through August 31, 2017 shall be \$50,000.
 2. The Contractor shall be paid in accordance with the following cost schedule:

<u>Month</u>	<u>Cost & Invoices</u>
September 2016	\$4,166
October 2016	\$4,166
November 2016	\$4,166
December 2016	\$4,166
January 2017	\$4,166
February 2017	\$4,166
March 2017	\$4,166
April 2017	\$4,166
May 2017	\$4,166
June 2017	\$4,166
July 2017	\$4,166
August 2017	\$4,174
Total	\$50,000

All terms and conditions of the original contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

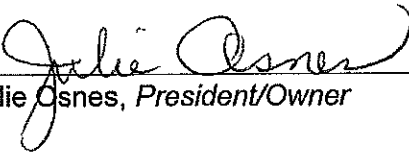
SIGNATURES AND APPROVALS

999-JOC-NP-01 / 14DSS6003FJ A2

The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

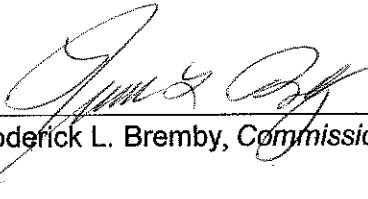
CONTRACTOR - JULIE OSNES CONSULTING, LLC



Julie Osnes, President/Owner

8-17-16
Date

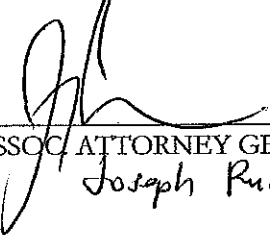
DEPARTMENT OF SOCIAL SERVICES



Roderick L. Bremby, Commissioner

8-18-16
Date

OFFICE OF THE ATTORNEY GENERAL



~~ASST./ASSOC. ATTORNEY GENERAL (APPROVED AS TO FORM)~~
Joseph Rubin

9/14/16
DATE



STATE OF CONNECTICUT
GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE: Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)

Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Lawful Campaign Contributions to Candidates for the General Assembly:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Julie Osnes Consulting, LLC
Printed Contractor Name

Julie Osnes
Printed Name of Authorized Official

Julie Osnes
Signature of Authorized Official

Subscribed and acknowledged before me this 19th day of Aug, 20 16

Mary L. Deichert
Commissioner of the Superior Court (or Notary Public)

MARY L. DEICHERT, Notary Public
My Commission Expires

My Commission Expires

July 26, 2022



STATE OF CONNECTICUT
CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: 3]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, except for the agreement listed below:

Julie Osnes, President Julie Osnes Consulting, LLC
Consultant's Name and Title Name of Firm (if applicable)
9-1-16 8-31-17 \$50,000
Start Date End Date Cost

Description of Services Provided: Post transmission QC services and SWAP policy technical assistance

Is the consultant a former State employee or former public official? [] YES [X] NO

IF YES: Name of Former State Agency Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Julie Osnes Julie Osnes 8-19-16
Printed Name of Bidder or Contractor Signature of Principal or Key Personnel Date
Julie Osnes CTDSS
Printed Name (of above) Awarding State Agency

Sworn and subscribed before me on this 19th day of August, 2016.
Mary L. Deichert
Commissioner of the Superior Court or Notary Public

MARY L. DEICHERT, Notary Public
My Commission Expires July 26, 2022



STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION – Affidavit
By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of

an oath. I am president, manager of Julie Osnes Consulting LLC, an entity
Signatory's Title Name of Entity

duly formed and existing under the laws of South Dakota
Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of

Julie Osnes Consulting, LLC and that Julie Osnes Consulting, LLC
Name of Entity Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut

General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.


Julie Osnes
Authorized Signatory

Julie Osnes
Printed Name


Sworn and subscribed to before me on this 19th day of Aug, 2016.

Mary J. Deichert
Commissioner of the Superior Court/
Notary Public

MARY L. DEICHERT, Notary Public
My Commission Expires
July 26, 2022
Commission Expiration Date



STATE OF CONNECTICUT



Current User: tina.mcgill@ct.gov
Biznet Menu
Log In/Out

CHRO Form

State of Connecticut

Commission On Human Rights and Opportunities (CHRO)
Workplace Analysis Affirmative Action Report
Employee Information Form

White - Not of Hispanic Origin
 Black - Not of Hispanic Origin
 Asian - Asian/Pacific Islander
 Native - American Indian or Alaskan Native

Julie Osnes Consulting, LLC

ID	Job Category	Totals	White Male	White Female	Black Male	Black Female	Hispanic Male	Hispanic Female	Asian Male	Asian Female	Native Male	Native Female
19270	Officials/Managers	1	0	1	0	0	0	0	0	0	0	0
19271	Professionals	0	0	0	0	0	0	0	0	0	0	0
19272	Technicians	0	0	0	0	0	0	0	0	0	0	0
19273	Sales Workers	0	0	0	0	0	0	0	0	0	0	0
19274	Office/Clerical	0	0	0	0	0	0	0	0	0	0	0
19275	Craft Workers (Skilled)	0	0	0	0	0	0	0	0	0	0	0
19276	Operatives (Semi-skilled)	0	0	0	0	0	0	0	0	0	0	0
19277	Laborers (Unskilled)	0	0	0	0	0	0	0	0	0	0	0
19278	Service Workers	0	0	0	0	0	0	0	0	0	0	0
	Totals	1	0	1	0	0	0	0	0	0	0	0

Do you use minority business as subcontractors or suppliers? Yes No Explain: ^

If CT based, do you post all employment openings with the State of Connecticut Employment Service? Yes No Explain: ^

Do you use an Affirmative Action Plan? Yes No Explain: ^

Describe your recruitment, hiring, training and promotion anti-discrimination practices. ^

The Department of Administrative Services - Business Network. [Review our Privacy Policy](#)
 Need to contact us? Send e-mail to [DAS Web Design](#)
 All State disclaimers and permissions apply.

HR Counter 1,126