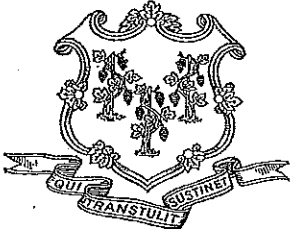


STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES



CONTRACT AMENDMENT

Contractor: Area Agency on Aging of South Central Connecticut, Inc.
Contractor Address: One Long Wharf Drive, Suite 1L New Haven, CT 06511
Contract Number: 156SCA-CHC-04/13DSS6501XK
Amendment Number: A2
Amount as Amended: \$31,999,768.00
Contract Term as Amended: 07/01/13 – 06/30/18

The contract between Area Agency on Aging of South Central Connecticut, Inc. and the Department of Social Services (the Department), which was last executed by the parties and approved by the Office of the Attorney General on 7/14/15, is hereby further amended as follows:

1. The total maximum amount payable under this contract is increased by \$14,928,535.00 from \$17,071,233.00 to \$31,999,768.00. This increase is due to the two (2) year extension of the Personal Care Assistance (PCA) Waiver and Care Management services found in Amendment 1, (A1) of the Original Contract and the two (2) year extension of the Connecticut Home Care Program (CHCP) of the Original Contract.
2. Part I, SECTION FOUR, labeled OVERVIEW OF PCA WAIVER/CARE MANAGEMENT SUMMARY OF SERVICES, subsection B. labeled TERM of A1 of the Original Contract shall be amended, extending the term of the PCA Waiver Program for two (2) years, from June 30, 2016 to June 30, 2018.
3. Page one (1) labeled Contract Term of the Original Contract shall be amended, extending the term of the CHCP for two (2) years, from June 30, 2016 to June 30, 2018.
4. Part I, SECTION FOUR, labeled THE PCA WAIVER/CARE MANAGEMENT SUMMARY OF SERVICES subsection D. labeled SCOPE OF WORK 6. Contractor Service/Applicant and Client Assessment a. The Contractor shall conduct assessments adhering to specific requirements of A1 of the Original Contract shall be supplemented to include:
 - 5) Complete the assessment process within ten working days of receiving the referral.
 - 6) Request additional time from the Department when more than ten working days are needed to complete the assessment process, including the development of the plan of care, by submitting to the Department in advance.
5. Part I, SECTION TWO labeled SCOPE OF WORK A. CONTRACTOR RESPONSIBILITIES 3. Processes for Contractor Eligibility and Client Eligibility c. Applicant and Client Assessments and Reassessments of the Original Contract shall be amended as follows:
 - (17) Complete the assessment process within ten working days of receiving the referral.
 - (18) Request additional time from the Department when more than ten working days are needed to complete the assessment process, including the development of the plan of care, by submitting to the Department in advance.
6. Part I, SECTION E. labeled BUDGET AND PAYMENT of A1 of the Original Contract shall be supplemented with the following:

- a. The budget for the services related to the PCA Waiver Program, is supplement to include the period between July 1, 2016 to June 30, 2018, shown on pages five (5) and six (6) of this agreement.
7. Part I, SECTION THREE labeled BUDGET AND PAYMENT of the Original Contract shall be supplemented with the following:
 - a. The budget for the services related to the CHCP, is supplement to include the period between July 1, 2016 to June 30, 2018, shown on pages three (3) and four (4) of this agreement.

PROGRAM NAME:

PROPOSER'S NAME:>>>>>>>>

TWO YEAR BUDGET		
Connecticut Home Care Program		
Composite Budget Page - CHCP 7/1/2016-6/30/2018		
Agency on Aging of South Central CT		
	Year 1	Year 2
	7/1/16 - 6/30/17	7/1/17 - 6/30/18
		2017 - 2018
Line #	Budget Year1	Two Year Total
Item/Total	12 months	24 months
1	<u>CONTRACTUAL SERVICES</u>	
	\$	\$
Client Management System	130,000	260,000
	\$	\$
ADP Payroll processing	15,862	33,310
	\$	\$
Microsoft 365	8,640	17,820
	\$	\$
TOTAL CONTRACTUAL SERVICES	154,502	311,130
2	<u>ADMINISTRATION</u>	
	\$	\$
Administrative and General Staff	336,989	711,720
	\$	\$
Administrative and General Staff Non Salary	376,970	780,054
	\$	\$
TOTAL ADMINISTRATION	713,959	1,491,775
3	<u>DIRECT PROGRAM STAFF</u>	
	\$	\$
Administrative and General Staff	4,344,224	9,084,364
	\$	\$
Administrative and General Staff Non Salary	405,326	882,156
	\$	\$
TOTAL DIRECT PROGRAM	4,749,550	9,966,520
4	<u>OTHER COSTS</u>	
	\$	\$
Background Check	1,425	2,850
	\$	\$
Staff Travel	67,853	141,432
	\$	\$
Software	7,500	15,000
	\$	\$
Maintenance Building	17,000	34,000
	\$	\$
Telephone	38,000	76,000
	\$	\$
Space Occupancy	385,220	781,997
	\$	\$
Office Supplies	23,000	48,000
	\$	\$
Dues and Subscriptions	4,500	9,000
	\$	\$
Conferences and Training	30,000	62,000
	\$	\$
Postage	18,500	37,000
	\$	\$
Indirect Cost	112,249	234,265
	\$	\$
TOTAL OTHER COSTS	705,247	1,441,543
5	<u>EQUIPMENT</u>	
	\$	\$
Equipment Lease	11,000	22,000
	\$	\$
	11,000	22,000
6	<u>PROGRAM INCOME</u>	
	\$	\$
CHCP Revenue	6,344,080	13,232,758
	\$	\$
TOTAL PROGRAM INCOME	6,344,080	13,232,758

7 TOTAL NET PROGRAM COST
(Sum of 1 through 5, minus Line 6)

\$ (9,823)	\$ 10,032	\$ 210
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PROGRAM NAME:

PROPOSER'S NAME:>>>>>>>>

TWO YEAR BUDGET		
Connecticut Home Care Program - PCA Waiver Only		
Composite Budget Page - 07/1/2016-6/30/2018		
Agency on Aging of South Central CT		
	Year 1	Year 2
	7/1/16 -6/30/17	7/1/17 - 6/30/18
		2017 - 2018
Line #	Budget Year1	Budget Year 2
Item/Total	12 months	12 months
		24 months
1	<u>CONTRACTUAL SERVICES</u>	
Client Management System	\$ 4,000	\$ 4,000
ADP Payroll processing	\$ 500	\$ 500
TOTAL CONTRACTUAL SERVICES	\$ 4,500	\$ 4,500
		\$ 8,000
		\$ 1,000
		\$ 9,000
2	<u>ADMINISTRATION</u>	
PCA Waiver Admin General staff	\$ 80,198	\$ 88,789
TOTAL ADMINISTRATION	\$ 80,198	\$ 88,789
		\$ 168,988
		\$ -
		\$ 168,988
3	<u>DIRECT PROGRAM STAFF</u>	
PCA Waiver Direct Staff	\$ 666,343	\$ 742,965
TOTAL DIRECT PROGRAM	\$ 666,343	\$ 742,965
		\$ 1,409,308
		\$ -
		\$ 1,409,308
4	<u>OTHER COSTS</u>	
Staff Travel	\$ 4,453	\$ 4,860
Maintenance Building	\$ 500	\$ 500
Telephone	\$ 2,400	\$ 2,400
Space Occupancy	\$ 14,214	\$ 14,640
Office Supplies	\$ 500	\$ 500
Postage	\$ 750	\$ 750
Indirect Cost	\$ 24,646	\$ 27,673
TOTAL OTHER COSTS	\$ 47,462	\$ 51,323
		\$ 9,313
		\$ 1,000
		\$ 4,800
		\$ 28,854
		\$ 1,000
		\$ 1,500
		\$ 52,319
		\$ -
		\$ 98,786
5	<u>EQUIPMENT</u>	
Equipment Lease	\$ 4,800	\$ 4,800
TOTAL EQUIPMENT	\$ 4,800	\$ 4,800
		\$ 9,600
		\$ -
		\$ 9,600

6 PROGRAM INCOME

PCA Waiver

	\$	\$	\$
	806,916	888,860	1,695,777
	\$ -	\$ -	\$ -
			\$ -
TOTAL PROGRAM INCOME	\$ 806,916	\$ 888,860	\$ 1,695,777
7 TOTAL NET PROGRAM COST	\$ (3,613)	\$ 3,518	\$ (95)

(Sum of 1 through 5, minus Line 6)



Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations

This notice is provided under the authority of Connecticut General Statutes §9-612(g)(2), as amended by P.A. 10-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined on the reverse side of this page).

CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

No *state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor*, with regard to a *state contract or state contract solicitation* with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee (which includes town committees).

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall knowingly *solicit* contributions from the state contractor's or prospective state contractor's employees or from a *subcontractor or principals of the subcontractor* on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

DUTY TO INFORM

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

PENALTIES FOR VIOLATIONS

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

Civil penalties—Up to \$2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of up to \$2,000 or twice the amount of the prohibited contributions made by their principals.

Criminal penalties—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than \$5,000 in fines, or both.

CONTRACT CONSEQUENCES

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Additional information may be found on the website of the State Elections Enforcement Commission, www.ct.gov/see. Click on the link to "Lobbyist/Contractor Limitations."



DEFINITIONS

"State contractor" means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. "State contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Prospective state contractor" means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. "Prospective state contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Principal of a state contractor or prospective state contractor" means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has managerial or discretionary responsibilities with respect to a state contract, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

"State contract" means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. "State contract" does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of the Navy or the United States Department of Defense.

"State contract solicitation" means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submissions, through a competitive procurement process or another process authorized by law waiting competitive procurement.

"Managerial or discretionary responsibilities with respect to a state contract" means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

"Dependent child" means a child residing in an individual's household who may legally be claimed as a dependent on the federal income tax of such individual.

"Solicit" means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee or sending contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

"Subcontractor" means any person, business entity or nonprofit organization that contracts to perform part or all of the obligations of a state contractor's state contract. Such person, business entity or nonprofit organization shall be deemed to be a subcontractor until December thirty first of the year in which the subcontract terminates. "Subcontractor" does not include (i) a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or (ii) an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Principal of a subcontractor" means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a subcontractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a subcontractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a subcontractor, which is not a business entity, or if a subcontractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any subcontractor who has managerial or discretionary responsibilities with respect to a subcontract with a state contractor, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the subcontractor.

This document constitutes an amendment to the above numbered contract. All provisions of that contract, except those explicitly amended herein, shall remain in full force and effect.

SIGNATURES AND APPROVALS

156SCA-CHC-04/13DSS6501XKA2

The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

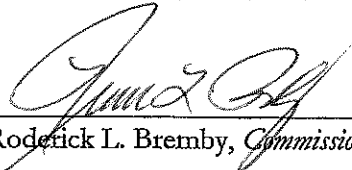
CONTRACTOR -. AREA AGENCY ON AGING OF SOUTH CENTRAL CONNECTICUT, INC.



Ted Suth *President & CEO*

6/22/2016
Date


DEPARTMENT OF SOCIAL SERVICES



Roderick L. Bremby, *Commissioner*

6/30/2016
Date

OFFICE OF THE ATTORNEY GENERAL



ASST. / ASSOC. ATTORNEY GENERAL (*Approved as to form*)
Robert W. Clark

7/14/16
Date



STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION – Affidavit
By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of an oath. I am President & CEO of Agency on Aging of South Central CT, an entity
Signatory's Title Name of Entity
duly formed and existing under the laws of Connecticut.
Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of

Agency on Aging of South Central CT and that Agency on Aging of South Central CT
Name of Entity Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut

General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

[Signature]
Authorized Signatory

Ted Sush
Printed Name

Sworn and subscribed to before me on this 5th day of July, 2016.

[Signature]
Commissioner of the Superior Court/
Notary Public

3/31/18
Commission Expiration Date



STATE OF CONNECTICUT
GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

- CHECK ONE:** Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)
- Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding Gifts by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a Gift to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.



STATE OF CONNECTICUT
CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: ____]

I, the undersigned, hereby swear that I am a principal or key personnel of the bidder or contractor awarded a contract, as described in Connecticut General Statutes § 4a-81(b), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, except for the agreement listed below:

Table with columns: Consultant's Name and Title, Name of Firm (if applicable), Start Date, End Date, Cost, Description of Services Provided.

Is the consultant a former State employee or former public official? [] YES [] NO

If YES: Name of Former State Agency, Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Agency on Aging of South Central Connecticut
Printed Name of Bidder or Contractor

Signature of Principal or Key Personnel

Date 7/5/2016

Ted Surh, President & CEO
Printed Name (of above)

Dept. of Social Services
Awarding State Agency

Sworn and subscribed before me on this 5th day of July, 2016.

Commissioner of the Superior Court or Notary Public

3/31/18
My Commission Expires



STATE OF CONNECTICUT
AFFIRMATION OF RECEIPT OF STATE ETHICS LAWS SUMMARY

Written or electronic affirmation to accompany a large State construction or procurement contract, having a cost of more than \$500,000, pursuant to Connecticut General Statutes §§ 1-101mm and 1-101qq

INSTRUCTIONS:

Complete all sections of the form. Submit completed form to the awarding State agency or contractor, as directed below.

CHECK ONE:

- I am a person seeking a large State construction or procurement contract. I am submitting this affirmation to the awarding State agency with my bid or proposal. [Check this box if the contract will be awarded through a competitive process.]
I am a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the awarding State agency at the time of contract execution. [Check this box if the contract was a sole source award.]
I am a subcontractor or consultant of a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the contractor.
I am a contractor who has already filed an affirmation, but I am updating such affirmation either (i) no later than thirty (30) days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

IMPORTANT NOTE:

Within fifteen (15) days after the request of such agency, institution or quasi-public agency for such affirmation contractors shall submit the affirmations of their subcontractors and consultants to the awarding State agency. Failure to submit such affirmations in a timely manner shall be cause for termination of the large State construction or procurement contract.

AFFIRMATION:

I, the undersigned person, contractor, subcontractor, consultant, or the duly authorized representative thereof, affirm (1) receipt of the summary of State ethics laws* developed by the Office of State Ethics pursuant to Connecticut General Statutes § 1-81b and (2) that key employees of such person, contractor, subcontractor, or consultant have read and understand the summary and agree to comply with its provisions.

* The summary of State ethics laws is available on the State of Connecticut's Office of State Ethics website.

Signature (handwritten)

Date 7/5/2016

Printed Name Ted Surh

Title President & CEO

Agency on Aging of South Central Connecticut
Firm or Corporation (if applicable)

Street Address 1 Long Wharf Drive, Ste 1L

New Haven, CT 06511
City State Zip

Dept. of Social Services
Awarding State Agency

Upload Info	Description
Date: 7/5/2016 4:45:30 PM By: jfowler@aoascc.org Name: Ethics_Form_6.pdf	Affirmation of Receipt of State Ethics Law Summary (OPM Ethics Form 6)
Date: 7/5/2016 4:45:47 PM By: jfowler@aoascc.org Name: Gift_and_Campaign.pdf	Gift and Campaign Contribution Certification (OPM Ethics Form 1)
Date: 7/5/2016 4:46:03 PM By: jfowler@aoascc.org Name: Consulting_Agreement.pdf	Consulting Agreement Affidavit (OPM Ethics Form 5)
Date: 7/5/2016 4:46:26 PM By: jfowler@aoascc.org Name: Nondiscrimination_Form_B.pdf	NonDiscrimination Certification (B - Representation by Entity) - <i>For contracts valued at <u>less</u> than \$50,000</i>
Date: 7/5/2016 4:46:48 PM By: jfowler@aoascc.org Name: Nondiscriminnation_Form_C.pdf	NonDiscrimination Certification (C - Affidavit by Entity) - <i>For contracts valued at <u>more</u> than \$50,000 (Recommended)</i>
Date: 7/5/2016 4:47:07 PM By: jfowler@aoascc.org Name: OPM_Iran_Certification.pdf	OPM Form 7 – Iran Certification
Date: 7/5/2016 4:26:34 PM By: jfowler@aoascc.org Name: CHRO Form	Commission On Human Rights and Opportunities (CHRO) Workplace Analysis Affirmative Action Report Employee Information Form (DAS-45)

State of Connecticut

Commission On Human Rights and Opportunities (CHRO)
Workplace Analysis Affirmative Action Report
Employee Information Form

White - Not of Hispanic Origin
Black - Not of Hispanic Origin
Asian - Asian/Pacific Islander
Native - American Indian or Alaskan Native

Agency on Aging of South Central Connecticut

ID	Job Category	Totals	White Male	White Female	Black Male	Black Female	Hispanic Male	Hispanic Female	Asian Male	Asian Female	Native Male	Native Female
7858	Officials/Managers	23	2	13	0	4	0	2	1	1	0	0
7859	Professionals	68	5	46	2	9	1	5	0	0	0	0
7860	Technicians	0	0	0	0	0	0	0	0	0	0	0
7861	Sales Workers	0	0	0	0	0	0	0	0	0	0	0
7862	Office/Clerical	39	0	26	0	7	0	5	0	1	0	0
7863	Craft Workers (Skilled)	0	0	0	0	0	0	0	0	0	0	0
7864	Operatives (Semi-skilled)	0	0	0	0	0	0	0	0	0	0	0
7865	Laborers (Unskilled)	0	0	0	0	0	0	0	0	0	0	0
7866	Service Workers	0	0	0	0	0	0	0	0	0	0	0
	Totals	130	7	85	2	20	1	12	1	2	0	0

Do you use minority business as subcontractors or suppliers? Yes No Explain:

If CT based, do you post all employment openings with the State of Connecticut? Yes No Explain:

The Agency on Aging of South Central Connecticut (AASCC) pursues a policy of equal employment opportunity and AASCC further recognizes the purpose affirmative action serves to remove barriers to equal employment and to achieve the full and fair participation of legally protected classes underutilized or underrepresented in the workforce due to discrimination on the following basis: age, ancestry, color, learning disability, marital status, mental retardation, national origin, physical disability, race, religious creed, sexual orientation, gender identity or expression, sex, including pregnancy and sexual harassment, mental disorder or history thereof, genetic information, veteran status, and in State employment and licensing only, criminal record. As an organization dedicated to ensuring quality programs, Agency On Aging of South Central CT, Inc., is committed to ensuring that our policies and practices remain free from systemic discrimination. We feel strongly that equal employment

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7860	Technicians	0	0	0	0	0	0	0	0	0	0	0
7861	Sales Workers	0	0	0	0	0	0	0	0	0	0	0
7862	Office/Clerical	39	0	26	0	7	0	5	0	1	0	0
7863	Craft Workers (Skilled)	0	0	0	0	0	0	0	0	0	0	0
7864	Operatives (Semi-skilled)	0	0	0	0	0	0	0	0	0	0	0
7865	Laborers (Unskilled)	0	0	0	0	0	0	0	0	0	0	0
7866	Service Workers	0	0	0	0	0	0	0	0	0	0	0
	Totals	130	7	85	2	20	1	12	1	2	0	0

Do you use minority business as subcontractors or suppliers? Yes No Explain:

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STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts With Entities Making Certain Investments In Iran)

Respondent Name: Agency on Aging of South Central Connecticut

INSTRUCTIONS:

- CHECK ONE: [] Initial Certification. [x] Amendment or renewal.

A. Who must complete and submit this form. Effective October 1, 2013, this form must be submitted for any large state contract, as defined in section 4-250 of the Connecticut General Statutes. This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located.

Pursuant to P.A. No. 13-162, upon submission of a bid or prior to executing a large state contract, the certification portion of this form must be completed by any corporation, general partnership, limited partnership, limited liability partnership, joint venture, nonprofit organization or other business organization whose principal place of business is located outside of the United States. United States subsidiaries of foreign corporations are exempt. For purposes of this form, a "foreign corporation" is one that is organized and incorporated outside the United States of America.

Check applicable box:

- [x] Respondent's principal place of business is within the United States or Respondent is a United States subsidiary of a foreign corporation. Respondents who check this box are not required to complete the certification portion of this form, but must submit this form with its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no bid process.
[] Respondent's principal place of business is outside the United States and it is not a United States subsidiary of a foreign corporation. CERTIFICATION required. Please complete the certification portion of this form and submit it with the ITB or RFP response or contract package if there was no bid process.

B. Additional definitions.

- 1) "Large state contract" has the same meaning as defined in section 4-250 of the Connecticut General Statutes;
2) "Respondent" means the person whose name is set forth at the beginning of this form; and
3) "State agency" and "quasi-public agency" have the same meanings as provided in section 1-79 of the Connecticut General Statutes.

C. Certification requirements.

No state agency or quasi-public agency shall enter into any large state contract, or amend or renew any such contract with any Respondent whose principal place of business is located outside the United States and is not a United States subsidiary of a foreign corporation unless the Respondent has submitted this certification.

Complete all sections of this certification and sign and date it, under oath, in the presence of a Commissioner of the Superior Court, a Notary Public or a person authorized to take an oath in another state.

CERTIFICATION:

I, the undersigned, am the official authorized to execute contracts on behalf of the Respondent. I certify that:

- [] Respondent has made no direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010.
[] Respondent has either made direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent made such an investment prior to October 1, 2013 and has now increased or renewed such an investment on or after said date, or both.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Agency on Aging of South Central Connecticut Ted Surh, President & CEO
Printed Respondent Name Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this 5th day of July, 2016.

Commissioner of the Superior Court (or Notary Public)

3/31/16 My Commission Expires

Previous Policy Number

S 2126408

Policy Number

S 2126408

COMMERCIAL LIABILITY COVERAGE DECLARATION

Policy Effective Date: JULY 1, 2016	Coverage Effective Date: JULY 1, 2016
Business of Named Insured: SOCIAL SERVICE	

Insurance is provided only for those coverages for which a specific limit is shown in the following coverage schedule.

Coverage Limits

COMMERCIAL GENERAL LIABILITY

General Aggregate Limit (Other Than Products-Completed Operations)	\$3,000,000
Products-Completed Operations -- Aggregate Limit	\$3,000,000
Personal and Advertising -- Injury Limit	\$1,000,000
Each Occurrence Limit	\$1,000,000
Damage To Premises Rented To You Limit -- Any One Premises	\$100,000
Medical Expense Limit -- Any One Person	\$15,000

Commercial Liability Premium (s)

Classification	Class Code	Premium Basis	Rates		Advanced Premium		
			Premises - Operations	Products - Completed Operations	Premises - Operations	Products - Completed Operations	
TERRORISM					\$252.00		
EMPLOYEE BENEFITS LIABILITY	92100		FLAT	CHARGE	\$174.00	----	
BUILDINGS PREMISES NOT FOR PROFIT (T-501)	61227	19,250 (A)	646.544	INCL.	\$12,446.00	INCL.	
Minimum Premium			\$242.00	\$.00	Total Premium	\$12,872.00	\$.00

Premium and Rate Legend

(A) Area - rate per 1000 square feet

Location of all premises you own, rent, or control:
Refer to "Schedule of Locations"

This Schedule lists all your premises, operations and other exposures, as they exist as of the coverage effective date.

Forms and Endorsements: Refer to "Commercial Policy Forms and Endorsement Schedule"	Total Advance Premium \$12,872.00 (This premium may be subject to adjustment.)
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