

STATE OF CONNECTICUT  
DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor: UNITED WAY OF CONNECTICUT  
Contractor Address: 1344 SILAS DEANE HIGHWAY, ROCKY HILL, CT 06067  
Contract Number: 119UWC-HUO-10 / 09DSS1002AX  
Amendment Number: A2  
Amount as Amended: \$7,206,057  
Contract Term as Amended: 07/01/09 - 06/30/11

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The contract between **United Way of Connecticut** (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved by the Office of the Attorney General on 11/30/10, is hereby further amended as follows:

1. The total maximum amount payable under this contract is increased by **\$3,423,057** from \$3,783,000 to \$7,206,057 to include funding for service delivery during SFY2011.
2. The budget on page 4 of amendment A1 is deleted and replaced in its entirety by the budget on page 2 of this amendment.

**This document constitutes an amendment to the above numbered contract. All provisions of that contract, except those explicitly changed above by this amendment, shall remain in full force and effect.**

**PROGRAM NAME:**  
211/Husky/MED

**United Way of Connecticut, Inc.**

**FINANCING SUMMARY A2**

	(A) REQUESTED	(B) ADJUSTMENTS	(C) APPROVED
Total State Grant	7,206,057		
For Amendments Only Previously approved State Grant Amount of Amendment	-	-	-

ITEM/Line #	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
<b>1. UNIT RATE</b>				
1a. Bed Days				
1b. Client Advocate				
1c. Security Deposit				
1d. Other Unit Rate Costs				
TOTAL UNIT RATE				
<b>2. CONTRACTUAL SERVICES</b>				
2a. Accounting	0			0
2b. Legal	58,279			58,279
2c. Independent Audit	22,951			22,951
2d. Other Contractual Service	411,326			411,326
TOTAL CONTRACTUAL SERVICE		492,556	0	492,556
<b>3. ADMINISTRATION</b>				
3a. Admin. Salaries	577,013			577,013
3b. Admin. Fringe Benefits	174,835			174,835
3c. Admin. Overhead	57,064			57,064
TOTAL ADMINISTRATION		808,912	0	808,912
<b>4. DIRECT PROGRAM STAFF</b>				
4a. Program Salaries	3,510,219			3,510,219
4b. Prog. Fringe Benefits	1,314,448			1,314,448
TOTAL DIRECT PROGRAM		4,824,667	0	4,824,667
<b>5. OTHER COSTS</b>				
5a. Program Rent	329,804			329,804
5b. Consumable Supplies	305,274			305,274
5c. Travel & Trans.	110,134			110,134
5d. Utilities	176,382			176,382
5e. Repairs & Maintenance	0			0
5f. Insurance	35,555			35,555
5g. Food & Related Costs	0			0
5h. Other Project Expenses	36,557			36,557
TOTAL OTHER COSTS		993,706	0	993,706
<b>6. EQUIPMENT</b>		86,216		86,216
<b>7. PROGRAM INCOME</b>				
7a. Fees				
7b. Other Income				
TOTAL PROGRAM INCOME				
<b>8. TOTAL NET PROGRAM COST</b> (Sum of 1 - 6 minus Line 7)		7,206,057	0	7,206,057

**SIGNATURE AND APPROVALS**

**119UWC-HUO-10 / 09DSS1002AX A2**

The Contractor IS a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

**CONTRACTOR - UNITED WAY OF CONNECTICUT**

  
\_\_\_\_\_  
Laura M. Huren, Sr. Vice President for Business Operations

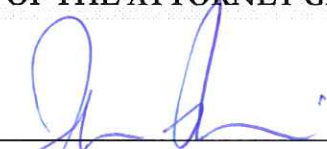
12/1/10  
\_\_\_\_\_  
Date

**DEPARTMENT OF SOCIAL SERVICES**

  
\_\_\_\_\_  
Michael P. Starkowski, Commissioner

12/9/10  
\_\_\_\_\_  
Date

**OFFICE OF THE ATTORNEY GENERAL**

  
\_\_\_\_\_  
ASST. / Assoc. Attorney General (Approved as to form & legal sufficiency)  
ASSOCIATE GENERAL

12/16/10  
\_\_\_\_\_  
Date



CERTIFIED RESOLUTION OF CONTRACTOR

I, Estela Lopez, Secretary of United Way of Connecticut, Inc., a Connecticut corporation (the "Contractor"), DO HEREBY certify that the following is a true and correct copy of a resolution duly adopted at a meeting of the Board of Directors of the Contractor duly held and convened on September 16, 2010, at which meeting a duly constituted quorum of the Board of Directors was present and acting throughout and that such resolution has not been modified, rescinded or revoked and is at present in full force and effect:

RESOLVED: That the Sr. Vice President for Business Operations, Laura M. Huren, is empowered to enter into or amend contractual instruments in the name and on behalf of United Way of Connecticut, Inc., with the Department of Social Services of the State of Connecticut for a 2-1-1 / Husky / MED program if such an agreement is offered and to have the corporate seal affixed to all documents required as a part of any offered agreement and

IN WITNESS WHEREOF, I have affixed my signature and the corporate seal this 1 day of December, 20 10.

SEAL

Estela Lopez  
Certifier Named Above and Title



**STATE OF CONNECTICUT**  
**NONDISCRIMINATION CERTIFICATION – Affidavit**  
**By Entity**  
**For Contracts Valued at \$50,000 or More**

*Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended*

**INSTRUCTIONS:**

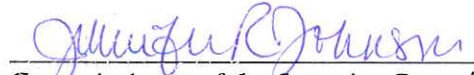
For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

**AFFIDAVIT:**

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of an oath. I am **Sr. Vice President for Business Operations of United Way of Connecticut**, an entity duly formed and existing under the laws of the State of Connecticut. I certify that I am authorized to execute and deliver this affidavit on behalf of United Way of Connecticut and that United Way of Connecticut has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

  
\_\_\_\_\_  
Laura M. Huren

Sworn and subscribed to before me on this 1<sup>ST</sup> day of DECEMBER, 20 10.

  
\_\_\_\_\_  
Commissioner of the Superior Court/  
Notary Public

7/31/12  
\_\_\_\_\_  
Commission Expiration Date

10 DEC -3 11 3 7



## STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

*Certification to accompany a State contract with a value of \$50,000 or more in a calendar or fiscal year, pursuant to C.G.S. §§ 4-250 and 4-252(c); Governor M. Jodi Rell's Executive Orders No. 1, Para. 8, and No. 7C, Para. 10; and C.G.S. §9-612(g)(2), as amended by Public Act 07-1*

CONTRACT #: 119UWC-HUO-10/09DSS1002AX A2

### INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the Department of Social Services at the time of initial contract execution (and on each anniversary date of a multi-year contract, if applicable).

**CHECK ONE:**     Initial Certification                       Annual Update (Multi-year contracts only.)

### GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) Contract means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, Execution Date means the date the Contract is fully executed by, and becomes effective between, the parties; if this is an Annual Update, Execution Date means the date this certification is signed by the Contractor;
- 3) Contractor means the person, firm or corporation named as the contractor below;
- 4) Applicable Public Official or State Employee means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) Gift has the same meaning given that term in C.G.S. § 4-250(1);
- 6) Planning Start Date is the date the State agency began planning the project, services, procurement, lease or licensing arrangement covered by this Contract, as indicated by the awarding State agency below; and
- 7) Principals or Key Personnel means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am the official authorized to execute the Contract on behalf of the Contractor. I hereby certify that, between the Planning Start Date and Execution Date, neither the Contractor nor any Principals or Key Personnel has made, will make (or has promised, or offered, to, or otherwise indicated that he, she or it will, make) any **Gifts** to any Applicable Public Official or State Employee.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other principals, key personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

### CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after December 31, 2006, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(g)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(g)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after December 31, 2006 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(g)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:



# STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

### Lawful Campaign Contributions to Candidates for Statewide Public Office:

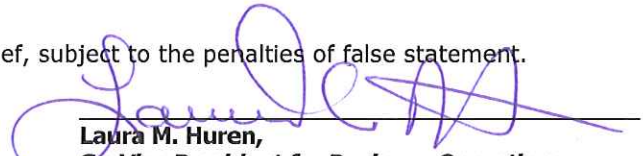
Contribution Date	Name of Contributor	Recipient	Value	Description
NONE				

### Lawful Campaign Contributions to Candidates for the General Assembly:

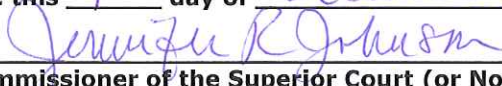
Contribution Date	Name of Contributor	Recipient	Value	Description
NONE				

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

**UNITED WAY OF CONNECTICUT**  
Contractor

  
 Laura M. Huren,  
 Sr. Vice President for Business Operations

Subscribed and acknowledged before me this 1<sup>ST</sup> day of DECEMBER, 2010.

  
 Commissioner of the Superior Court (or Notary Public)

COMMISSION EXPIRES: 7/31/12

<b>For State Agency Use Only</b>	
<b>DEPARTMENT OF SOCIAL SERVICES</b> Awarding State Agency	Planning Start Date
<b>HUSKY Outreach</b> Contract Number or Description	



**STATE OF CONNECTICUT  
CERTIFICATION OF STATE AGENCY OFFICIAL OR EMPLOYEE  
AUTHORIZED TO EXECUTE CONTRACT**

Certification to accompany a State contract, having a value of more than \$50,000, pursuant to Connecticut General Statutes §§ 4-250 and 4-252(b), and Governor M. Jodi Rell's Executive Order 7C, Paragraph 10

**INSTRUCTIONS:**

Complete all sections of the form. Sign and date in the presence of a Commissioner of the Superior Court or Notary Public. Submit to the awarding State agency at the time of contract execution.

**CERTIFICATION:**

I, the undersigned State agency official or State employee, certify that (1) I am authorized to execute the attached contract on behalf of the State agency named below, and (2) the selection of the contractor named below was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

United Way of Connecticut

*Department of Social Services*

Awarding State Agency

Michael P. Starkowski, Commissioner

12/9/10

Date

Sworn and subscribed before me on this 9 day of December, 2010.

Commissioner of the Superior Court, Juris No. 307252

119UWC-HUO-10 / 09DSS1002AX A2  
Contract Number





STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a State contract for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b)

CONTRACT #: 119UWC-HUO-10 / 09DSS1002AX A2

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or vendor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or vendor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the Department of Social Services with bid or proposal. For a sole source award, submit completed form to the Department of Social Services at the time of contract execution.

This affidavit must be amended if the contractor enters into any new consulting agreement(s) during the term of the State contract.

AFFIDAVIT: [ Number of Affidavits Sworn and Subscribed On This Day: \_\_\_\_\_ ]

I, the undersigned, hereby swear that I am the chief official of the bidder or vendor awarded a contract, as described in Connecticut General Statutes § 4a-81(a), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, except for the agreement listed below: NONE

Consultant's Name and Title Name of Firm (if applicable)

Start Date End Date Cost

Description of Services Provided:

Is the consultant a former State employee or former public official? [ ] YES [ ] NO
If YES: Name of Former State Agency Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

UNITED WAY OF CONNECTICUT Bidder or Vendor

Laura M. Huren, Vice President for Business Operations

Date 12/1/10

DEPARTMENT OF SOCIAL SERVICES Awarding State Agency

Sworn and subscribed before me on this 1st day of DECEMBER, 2010.

Commissioner of the Superior Court/Notary Public

Commission expires: 7/31/12

**Alexander, Andrea C.**

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**From:** Brennan, Kathleen M.  
**Sent:** Monday, December 06, 2010 8:16 AM  
**To:** Alexander, Andrea C.  
**Cc:** MacDonald, Elizabeth  
**Subject:** FW: Final Disposition for Amendment POS 2011\_9605

*Beth Ali*  
860-424-5348

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**From:** robert.dakers@ct.gov [mailto:robert.dakers@ct.gov]  
**Sent:** Friday, December 03, 2010 4:12 PM  
**To:** Brennan, Kathleen M.  
**Cc:** Dakers, Robert  
**Subject:** Final Disposition for Amendment POS 2011\_9605

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The Office of Policy and Management has Approved the following Amendment POS

AA\_119UWC-HUO-10 A2\_RW-REVISED (2011\_9605)

**Contractor:** United Way of Connecticut, Inc  
**Effective Date:** 07-01-10 - 06-30-11  
**Estimated Cost:** \$3,423,057.00  
**Comments/Conditions:** Reviewed by AA 11/24/10 OK per DSS Fiscal Sent to OPM 11/24/10 Approved RSD 12-3-10

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*Robert Dakers*  
Executive Financial Officer

CONFIDENTIAL INFORMATION: The information contained in this e-mail may be confidential and protected from general disclosure. If the recipient or reader of this e-mail is not the intended recipient or a person responsible to receive this e-mail for the intended recipient, please do not disseminate, distribute or copy it. If you received this e-mail in error, please notify the sender by replying to this message and delete this e-mail immediately. We will take immediate and appropriate action to see to it that this mistake is corrected.[\*LD\*]