

STATE OF CONNECTICUT

DEPARTMENT OF SOCIAL SERVICES

55 FARMINGTON AVENUE - HARTFORD, CONNECTICUT 06105-5033

Mr. Amos Smith Community Action Agency of New Haven, Inc. 419 Whalley Avenue New Haven, CT 06511

Contract #: 14DSS4301EP / 093C-ECH-31 A1

Period:

10/01/2014 - 09/30/2017

Amount as Amended: \$24,706,862.00

A1

Dear Mr. Smith:

I am pleased to inform you that the above referenced amendment has been fully executed and approved. Attached is a scanned copy of the original agreement for your files.

Requests for Payment should be completed and directed to the program contact identified below. The Department will process requests for payment in accordance with the terms of the contract. Your receipt of payment is contingent upon the continued availability of funds and your agency's compliance with the terms of the contract.

For issues or concerns related to the Program please direct your inquiries to:

PROGRAM

CONTRACT

Josephine Caruso 860-424-5885

Tina McGill 860-424-5082

Josephine.caruso@ct.gov

tina.mcgill@ct.gov

Sincerely,

Commissioner

J. Caruso Contract file



STATE OF CONNECTICUT DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor:

Community Action Agency of New Haven, Inc.

Contractor Address:

419 Whalley Avenue, New Haven, CT .06511

Contract Number:

14DSS4301EP / 093C-ECH-31

Amendment Number:

Amount as Amended:

\$24,706,862.00

Contract Term as Amended: 10/1/2014 / 9/30/2017

The contract between Community Action Agency of New Haven, Inc. (the Contractor) and the Department of Social Services (the Department), which was last executed by the parties and approved by the Office of the Commissioner on 10/21/14, is hereby further amended as follows:

- 1. Through this amendment the FFY2016 allocation of \$8,237,302 is hereby incorporated into this contract. This funding will allow for the continuation of services during FFY2016, which is the second year of this three year contract. This funding will enable the Contractor to issue vendor payments on behalf of eligible households.
- 2. The respective Composite Administrative, Program Services and Assurance 16 budgets on pages 13 through 16 of the original contract are hereby deleted and replaced by the respective budgets on page 2 through 5 of this amendment. The budgets for Administrative and Assurance are subject to percentage limitations pursuant to the Low Income Home Energy Assistance Act, and as such may be adjusted during the program year should actual funding differ from the amount anticipated.

All terms and conditions of the original contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

PROGRAM NAME: PROGRAM NUMBER:

Connecticut Energy Assistance Program

093C-ECH-31 Composite / 14DSS4301EP Composite 2015/2016

	Requested	Adjustments	Approved	
Contract Amount	\$ 8,237,302	2	\$ 8,237,302	
For Amendments Only				
Previously Approved Contract Amount		XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	
	xxxxxxxxxxxxxxxx			

	Adjustments & New Contract		xxxxxxxxxxxxxx		
Line #	ltem	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	UNIT RATE 1a. Bed Days 1b. Client Advocate 1c. Security Deposit 1d. Other Unit Rate Costs TOTAL UNIT RATE				
2	CONTRACTUAL SERVICES 2a. Accounting 2b. Legal 2c. Independent Audit 2d. Other Contractual Services TOTAL CONTRACTUAL SERVICES	- 5,922 7,000	12,922		
3	ADMINISTRATION 3a. Admin. Salaries 3b. Admin. Fringe Benefits 3c. Admin. Overhead TOTAL ADMINISTRATION	157,809 43,305	201,114		
4	DIRECT PROGRAM STAFF 4a. Program Salaries 4b. Program Fringe Benefits TOTAL DIRECT PROGRAM	388,983 153,021	542,004		
5	OTHER COSTS 5a. Program Rent 5b. Consumable Supplies 5c. Travel & Transportation 5d. Utilities 5e. Repairs & Maintenance 5f. Insurance 5g. Food & Related Costs 5h. Other Project Expenses TOTAL OTHER COSTS	38,520 13,598 2,200 7,858 - 9,995 500 7,408,591	7,481,262		
6	<u>EQUIPMENT</u>		_		
7	PROGRAM INCOME 7a. Fees 7b. Other Income TOTAL PROGRAM INCOME				
8	TOTAL NET PROGRAM COST (Sum of 1 through 6, minus Line 7)	4	\$ 8,237,302		

PROGRAM NAME: PROGRAM NUMBER:

Connecticut Energy Assistance Program

093C-ECH-31 A1 (A) / 14DSS4301EP (A) 2015/2016

	PROGRAM NUMBER:	0000-L	CH-31 A1 (A) / 14L		Annualis
	Contract America		Requested	Adjustments -	Approved \$ 727,130
	Contract Amount		\$ 727,130	\$ -	7 727,130
	For Amendments (
	Previously Approved Contract Amount			XXXXXXXXXXXXXXXX	XXXXXXXXXXXXXXXX
Lina	Adjustments & New Contra	ct Amount	XXXXXXXXXXXXXXXX		
Line #	ltem .	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
1	UNIT RATE 1a. Bed Days 1b. Client Advocate 1c. Security Deposit 1d. Other Unit Rate Costs				
	TOTAL UNIT RATE	1		110	
2	2a. Accounting 2b. Legal 2c. Independent Audit 2d. Other Contractual Services	5,922 7,000		×	
	TOTAL CONTRACTUAL SERVICES		12,922		
3	ADMINISTRATION 3a. Admin. Salaries 3b. Admin. Fringe Benefits 3c. Admin. Overhead TOTAL ADMINISTRATION	157,809 43,305	201,114		
4	DIRECT PROGRAM STAFF 4a. Program Salaries 4b. Program Fringe Benefits TOTAL DIRECT PROGRAM	332,477 132,496	464,973		
5	OTHER COSTS 5a. Program Rent 5b. Consumable Supplies 5c. Travel & Transportation 5d. Utilities 5e. Repairs & Maintenance 5f. Insurance 5g. Food & Related Costs 5h. Other Project Expenses	26,170 9,098 1,000 4,858 - 6,995	48,121		
6	TOTAL OTHER COSTS EQUIPMENT		-		
7	PROGRAM INCOME 7a. Fees 7b. Other Income TOTAL PROGRAM INCOME				
8	TOTAL NET PROGRAM COST (Sum of 1 through 6, minus Line 7)		\$ 727,130		

PART I

PROGRAM NAME: PROGRAM NUMBER:

Connecticut Energy Assistance Program
093C-ECH-31 A1 (B) / 14DSS4301EP (B) 2015/2016

	PROGRAM NUMBER:	0930-	ECH-31 A1 (B) / 14L		5/2010
			Requested	Adjustments	Approved
	Contract Amount		\$ 7,408,591	\$ -	\$ 7,408,591
	For Amendments	Only			
	To Amenaments	Omy	*		
	Previously Approved Conti	act Amount		XXXXXXXXXXXXXXXXX	xxxxxxxxxxxxxx
	Adjustments & New Contra	ct Amount	XXXXXXXXXXXXXXXX		
Line #	Item Subcategory (a)		Line Item Total (b)	Adjustments (c)	Revised Total (d)
1.	UNIT RATE			, ,	
	1a. Bed Days				
	1b. Client Advocate				
	1c. Security Deposit				
	1d. Other Unit Rate Costs		17.		
	TOTAL UNIT RATE				
2	CONTRACTUAL SERVICES				
2	CONTRACTUAL SERVICES 2a. Accounting		1		
	2b. Legal		1		
	2c. Independent Audit		1		
	2d. Other Contractual Services		1		
	TOTAL CONTRACTUAL		1		-
	SERVICES				
3	ADMINISTRATION				
3	3a. Admin. Salaries				
	3b. Admin. Fringe Benefits		1		
	3c. Admin. Overhead		1		
	TOTAL ADMINISTRATION				
4	DIRECT PROGRAM STAFF				
	4a. Program Salaries				
	4b. Program Fringe Benefits		_		
	TOTAL DIRECT PROGRAM				
_	OTHER COSTS	No.			
5	OTHER COSTS				
	5a. Program Rent5b. Consumable Supplies		-		
	5c. Travel & Transportation		1		
	5d. Utilities		1		
	5e. Repairs & Maintenance		1		
	5f. Insurance]		
	5g. Food & Related Costs]		
	5h. Other Project Expenses	7,408,591	-		
	TOTAL OTHER COOPS		7,408,591		
	TOTAL OTHER COSTS		7,700,001		
6	<u>EQUIPMENT</u>				
7	PROGRAM INCOME				
	7a. Fees	a			
	7b. Other Income		1		
	TOTAL PROGRAM INCOME		1		(3)
		25	a a		
	TOTAL NET PROGRAM		\$		
8	COST		7,408,591		

PARTI

PROGRAM NAME: PROGRAM NUMBER:

(Sum of 1 through 6, minus Line 7)

Connecticut Energy Assistance Program
093C-ECH-31 A1 (C) / 14DSS4301EP (C) 2015/2016

	PROGRAW NOWBER.	0000	2011-31 AT (0) 1 14E		0/2010
	0 1 1 1 1		Requested	Adjustments	Approved \$ 101,581
	Contract Amount	0.1	\$ 101,581	.\$	Ψ 101,301
	For Amendments	Only			
	Previously Approved Conti	ract Amount		xxxxxxxxxxxxxx	xxxxxxxxxxxxxx
	Adjustments & New Contra		xxxxxxxxxxxxxx	700000000000000000000000000000000000000	
Line #	Item	Subcategory (a)	Line Item Total (b)	Adjustments (c)	Revised Total (d)
2	UNIT RATE 1a. Bed Days 1b. Client Advocate 1c. Security Deposit 1d. Other Unit Rate Costs TOTAL UNIT RATE CONTRACTUAL SERVICES 2a. Accounting 2b. Legal				
	2c. Independent Audit 2d. Other Contractual Services TOTAL CONTRACTUAL SERVICES		-		
3	ADMINISTRATION 3a. Admin. Salaries 3b. Admin. Fringe Benefits 3c. Admin. Overhead TOTAL ADMINISTRATION		-		
4	DIRECT PROGRAM STAFF 4a. Program Salaries 4b. Program Fringe Benefits TOTAL DIRECT PROGRAM	56,506 20,525	77,031		
5	OTHER COSTS 5a. Program Rent 5b. Consumable Supplies 5c. Travel & Transportation 5d. Utilities 5e. Repairs & Maintenance 5f. Insurance	12,350 4,500 1,200 3,000 - 3,000 500	in the state of th		
	5g. Food & Related Costs 5h. Other Project Expenses TOTAL OTHER COSTS	300	24,550		
6	EQUIPMENT		-		
7	PROGRAM INCOME 7a. Fees 7b. Other Income TOTAL PROGRAM INCOME				
8	TOTAL NET PROGRAM COST	22	\$ 101,581		

SIGNATURES AND APPROVALS

14DSS4301EP/093C-ECH-31 A1

The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

CONTRACTOR - Community Action Agency of New Haven, Inc.

Amos Smith, President & CEO	12/10/15 Date
DEPARTMENT OF SOCIAL SERVICES	
RODERICK L. BREMBY Commissioner	12114 12015 Date

This Contract Amendment template having been reviewed and approved by the OAG, it is exempt from review pursuant a Memorandum of Agreement between the Agency and the OAG dated March 19, 2009, as amended October 10, 2013



STATE OF CONNECTICUT NONDISCRIMINATION CERTIFICATION — Affidavit

By Entity

For Contracts Valued at \$50,000 or More

Documentation in the form of an <u>affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended</u>

under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended
INSTRUCTIONS:
For use by an <u>entity</u> (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.
AFFIDAVIT:
I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of
an oath. I am <u>Amos L. Smith</u> of <u>Community Action Agency of New</u> an entity Signatory's Title Name of Entity Haven
duly formed and existing under the laws of <u>Connecticut</u> Name of State or Commonwealth
I certify that I am authorized to execute and deliver this affidavit on behalf of
Community Action Agency and that Community Action Agency
Name of Entity Name of Entity
has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut
General Statutes §§ 4a-60(a)(1) and 46-60a(a)(1), as amended. Authorized Signatory
Amos L. Smith Printed Name
Sworn and subscribed to before me on this 10 day of Occamber, 2015.
Commissioner of the Superior Court/ Notary Public Commission Expiration Date



STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE:	Initial Certification	\boxtimes	12 Month Anniversary Update (Multi-year contracts only.)
			cause of change of information contained in the most nor twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

 "Contract" means that contract between the State of Connecticut (and/or one or more of it agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;

 If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;

"Contractor" means the person, firm or corporation named as the contactor below;

4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);

) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);

6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (II) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any campaign contributions to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for <u>statewide public office</u>, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that all lawful campaign contributions that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for <u>statewide public office</u> or the <u>General Assembly</u>, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

Contribution Date	Name of Contributor	Reciplent	<u>Value</u>	Description
				A STATE OF THE STA
	- yes	Commence of the commence of th		
Lawful	Campaign Contributions to	Candidates for the G	eneral Assembly:	
Contribution			2.72	a
Date	Name of Contributor	Recipient	<u>Value</u>	Description
	-			
	72-17			
Community A Haven, Inc.	s true to the best of my knowle	X	Amos L. Smith	
rinted Contract	uthorized Official	<u>></u>	Printed Name of Au	thorized Official
, 'S	1,20			A. A.
	Subscribed and acknowledge		-KAU (rt (or Notary Public)
· 6,	Constant Constant	My Commission	8/20/ 8 1 Expires	4



Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if to not later than (i) thirty days after proposal, whichever is earlier.	there is any change in the i the effective date of any su	nformation contained in ich change or (ii) upon	the most recently filed affidavit the submittal of any new bid or
AFFIDAVIT: [Number of Affidav	vits Sworn and Subscribed C	On This Day:]	, e
I, the undersigned, hereby swear contract, as described in Connect contract who is authorized to exercise agreement in connection with such	icut General Statutes § 4 cute such contract. I furti	a-81(b), or that I am ner swear that I have r	the individual awarded such a not entered into any consulting
Amos L. Smith/President	CEO C	ommunity Action A	gency of New Haven
Consultant's Name and Title		Name of Firm (if ap	plicable)
Start Date Er	nd Date	Cost	
Description of Services Provided: _		9	
Is the consultant a former State em If YES: Name of Former State Ager		cial? Termination Date of	□ NO Employment
Sworn as true to the best of my known as true to the best of my known and subscribed before me	Amos L. Smith Printed Name (of abo	pal or Key Personnel	Awarding State Agency
sworn and subscribed before me	Ando	e Superior Court	

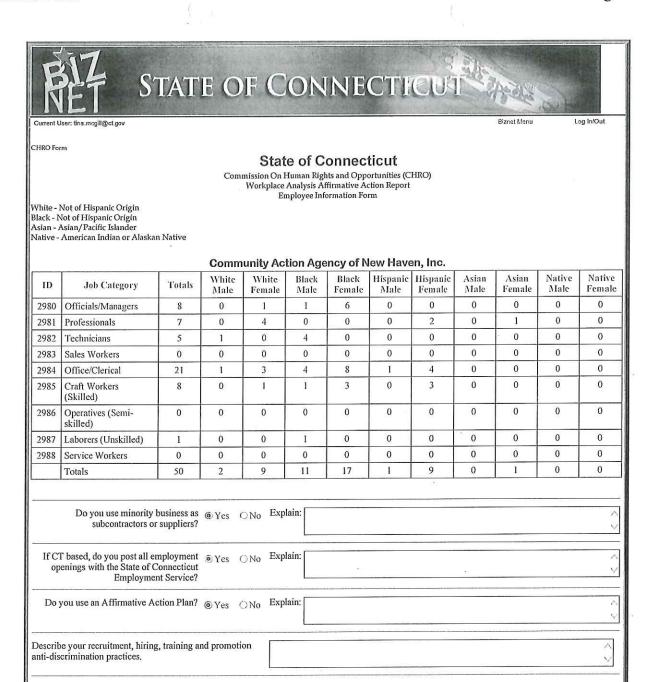


STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts With Entities Making Certain Investments In Iran)

Respondent Name: Community Action Agency of New Haven

	INSTRUCTIONS	8	
	CHECK ONE:		Initial Certification. Amendment or renewal.
	contract, as defin	ed in sec	e and submit this form. Effective October 1, 2013, this form must be submitted for any large state ction 4-250 of the Connecticut General Statutes. This form must always be submitted with the bid or no bid process, with the resulting contract, regardless of where the principal place of business is located.
	form must be co nonprofit organize United States sub	omplete ation or o sidiaries	62, upon submission of a bid or prior to executing a large state contract, the certification portion of this ad by any corporation, general partnership, limited partnership, limited liability partnership, joint venture, other business organization whose principal place of business is located outside of the United States. of foreign corporations are exempt. For purposes of this form, a "foreign corporation" is one that is ad outside the United States of America.
	Check applicable	e box:	· · · · · · · · · · · · · · · · · · ·
	corporation. I	Respond	cipal place of business is within the United States or Respondent is a United States subsidiary of a foreign ents who check this box are not required to complete the certification portion of this form, but must its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no bid process.
	corporation.	CERTIFI	ipal place of business is outside the United States and it is not a United States subsidiary of a foreign ICATION required. Please complete the certification portion of this form and submit it with the ITB or RFP package if there was no bid process.
	B. Additional de	finitions	5.
	2) "Respondent"	means tl	has the same meaning as defined in section 4–250 of the Connecticut General Statutes; he person whose name is set forth at the beginning of this form; and quasi-public agency" have the same meanings as provided in section 1–79 of the Connecticut General
	C. Certification	equirer	nents.
	Respondent whose	e princip	public agency shall enter into any large state contract, or amend or renew any such contract with any all place of business is located outside the United States and is not a United States subsidiary of a foreign spondent has submitted this certification.
			nls certification and sign and date it, under oath, in the presence of a Commissioner of the Superior Court, a authorized to take an oath in another state.
	CERTIFICATION	•	
	I, the undersigned	l, am the	e official authorized to execute contracts on behalf of the Respondent. I certify that:
			no direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, ion 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010.
	2013, as described	d in Sec	made direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, tion 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent prior to October 1, 2013 and has now increased or renewed such an investment on or after said date, or
	Sworn as true to t	he best o	of my knowledge and belief, subject to the penalties of false statement.
	Printed Respond	ent Nam	Official
:	Subscribed and a	icknowl	ledged before me this 10th day of De comber, 20/5.
			Commissioner of the Superior Court (or Notary Public)
			DJ88410
			My Comπíssion Expire



The Department of Administrative Services - Business Network. Review our Privacy Policy
Need to contact us? Send e-mail to DAS Web Design
All State disclaimers and persissions apply.
Hit Counter 638