AGENDA

CONNECTICUT STATE BOARD OF EXAMINERS FOR PHYSICAL THERAPISTS

Tuesday, January 26, 2021 at 9:00 AM Department of Public Health 410 Capitol Avenue, Hartford CT

CALL TO ORDER

I. <u>MINUTES</u> Adoption of the minutes from December 1, 2020

II. <u>DECLARATORY RULING – DRY NEEDLING</u>

ADJOURN

This meeting will be held by video conference at the link below,

Board of Examiners for Physical Therapists via Microsoft Teams Join on your computer or mobile app Click here to join the meeting

Or call in (audio only) +1 860-840-2075 - Phone Conference ID: 780 393 757#

The Department of Public Health is an equal opportunity provider and employer.

If you require aid/accommodation to participate fully and fairly, please contact the Public Health Hearing Office at 860-509-7566

The following minutes are draft minutes which are subject to revision and which have not yet been adopted by the Board.

The Connecticut State Board of Examiners for Physical Therapists held a meeting on December 1, 2020.

| BOARD MEMBERS PRESENT: | Andrea O'Brien, PT Laurie Devaney, PT Michael J. Parisi, PT James Ware, MD |
|------------------------|---|
| BOARD MEMBERS ABSENT: | James Ware, MD |
| ALSO PRESENT | Stacy Schulman, Esq., Hearing Officer, DPH Jeffrey Kardys, Board Liaison |

The meeting was called to order by Mr. Parisi at 9:02 a.m. All participants were present via Microsoft Teams.

I. <u>MINUTES</u>

The minutes from the September 15, 2020 meeting were reviewed. Ms. Devaney made a motion, seconded by Mr. Parisi, to adopt the minutes. The motion passed.

II. OFFICE OF LEGAL COMPLIANCE

Keikhosrow Aboulian, P.T. - Petition No. 2018-1481

Brittany Allen, Staff Attorney, Department of Public Health presented a Consent Order in this matter. Attorney Jacqueline Maulucci was present on behalf of respondent.

Ms. Devaney made a motion, seconded by Dr. Ware, to approve the Consent Order which imposes a reprimand and probation for a period of six months.

III. NEW BUSINESS

A. Reinstatement Application Review – Todd Roberts, PT

Stephen Carragher, Public Health Service Manager, DPH Practitioner Licensing and Investigation Section presented license reinstatement application for Todd Roberts.

Mr. Roberts was present with Attorney Richard Brown.

Mr. Roberts has not practiced since.

Ms. Devaney made a motion, seconded by Ms. O'Brien, recommending to the Department that Mr. Roberts's license be reinstated after successful completion of American Physical Therapy Association reentry to practice courses, Screening for Medical Disorders Professionalism Module Three: Ethical Compass. and Home Health Regulation and Documentation. The motion passed unanimously. Ms. O'Brien made a motion, seconded by Ms. Devaney recommending that Mr. Roberts also complete 6 months of part-time or 3 months full-time clinical hands-on practice/work experience supervised by a licensed physical therapist. The motion passed unanimously.

B. <u>Meeting Dates for 2021</u>

The following meeting dates were scheduled for 2021

January 26, 2021 May 11, 2021 September 14, 2021 December 7, 2021

Meetings will be scheduled for 9:00 a.m. Meeting dates are subject to change.

IV. <u>ADJOURNMENT</u>

There was no further business and the meeting was adjourned at 9:36 a.m.

Respectfully submitted, Michael J. Parisi, PT Connecticut State Board of Examiners for Physical Therapists

STATE OF CONNECTICUT BOARD OF EXAMINERS FOR PHYSICAL THERAPISTS

IN RE:

DECLARATORY RULING CONCERNING PHYSICAL THERAPISTS SCOPE OF PRACTICE IN RELATION TO ACCUPUNCTURE AND DRY NEEDLING

FOR THE BOARD:

Michael Parisi, PT, Board Member Andrea O'Brien, PT, Board Member Laurie Devaney, PT, Board Member J. Kristopher Ware, MD, Board Member

PROPOSED DECLARATORY RULING

Procedural Background

On March 12, 2019, Christopher Andresen, Section Chief of the Connecticut Department of Public Health ("CT DPH"), Practitioners Licensing and Investigations Unit, petitioned the Connecticut Board of Examiners for Physical Therapists (the "Board") to issue a declaratory ruling related to whether acupuncture and dry needling are within the scope of practice for physical therapists as set forth in Conn. Gen. Stat. § 20-66(2). Board Exhibit ("Bd. Ex.") 1.

On April 30, 2019, a Notice of Declaratory Ruling Proceeding ("the Notice") was published in the *Connecticut Law Journal* indicating the Board's intention to issue a declaratory ruling and hold a hearing.¹ The Notice further indicated that all persons seeking status to participate were required to petition the Board by May 31, 2019 and that the Board would issue its rulings on the petitions for status by June 25, 2019. Bd. Exs. 4, 5.

On May 30, 2019, Amity Physical Therapy ("Amity") filed a Petition to Participate as a Party in the proceeding. Bd. Ex. 7.

On May 31, 2019, the Connecticut Physical Therapy Association ("CPTA") filed a Petition to Participate as a Party in the proceeding. Bd. Ex. 8.

On May 31, 2019, the Connecticut Society of Acupuncturists ("CSA") filed a Petition to Participate as an Intervenor in the proceeding. Bd. Ex. 9.

¹ On March 13, 2019, the Commissioner of the Department of Public Health ("the Commissioner") notified the Board that, pursuant to § 19a-14(f)(2) of the Connecticut General Statutes ("Statutes"), the Board's declaratory ruling in this matter shall be a proposed decision and the Commissioner or the Commissioner's designee shall render the final determination of the matter. Bd. Ex. 2.

On June 6, 2019, Jennifer Brett, N.D., L.Ac., the Director of the University of Bridgeport Acupuncture Institute (UBAI) filed a Petition to Participate as an Intervenor in the proceeding. Bd. Ex. 10.

On July 8, 2019, pursuant to § 4-176(d)(1) of the Statutes, the Board designated the CT DPH, the Petitioner, as a Party in the proceeding and granted Amity and CPTA Party status. Bd. Exs. 6, 7, 8.

On July 8, 2019, pursuant to § 4-176(d)(2) of the Statutes, the Board granted the CSA, and UBAI Intervenor status, without the right of cross-examination. Bd. Exs. 9-10

On August 27, 2019, a Notice of Hearing was published in the *Connecticut Law Journal*. Bd. Ex. 13; see also Bd. Exs. 11-12. The Notice of Hearing scheduled a hearing in this matter for October 1, 2019.

The hearing was held as scheduled and was conducted in accordance with Conn. Gen. Stat. § 4-177c(b), 4-178 and 4-179. See Conn. Gen. Stat. § 4-176(g). Christian Andresen, Section Chief for the Practitioners Licensing and Investigations Section of the CT DPH appeared on behalf of the CT DPH; Attorney James S. Brownstein appeared on behalf of Amity; Attorney Kyle R. Pavlick appeared on behalf of the CPTA; Margaret C. Barili, RN, L.Ac. appeared on behalf of CSA; Jennifer Brett, ND, L.Ac. appeared on behalf of UBAI.

Intervenors and Parties provided pre-filed and rebuttal testimony, which they adopted under oath during the hearing, and witnesses were available for questioning and crossexamination. CSA Exhibits ("CSA Exs.") 1, 2; UBAI Exhibit ("UBAI Ex.") 1; Amity Exhibit A, CPTA Exhibits ("CPTA Exs.") AA-1 and AA-2; Transcript pages ("Tr., pp.") 10-101.²

The Post Hearing Brief filed by CSA on October 22, 2019 is hereby entered as Board Exhibit 18. Bd. Ex. 18.

² At the conclusion of the hearing, the parties and intervenors were given an opportunity to file post hearing briefs and proposed findings of fact. The record closed October 22, 2019. Tr., pp. 102-104.

The Post Hearing Brief filed by UBAI on October 14, 2019 is hereby entered as Board Exhibit 14. Bd. Ex. 14.

The Post Hearing Brief filed by Amity on October 16, 2019 is hereby entered as Board Exhibit 15. Bd. Ex. 15.

The Post Hearing Brief filed by CPTA on October 22, 2019 and its Amended Post Hearing Brief filed on November 1, 2019 are hereby entered as Board Exhibits 16 and 17, respectively. Bd. Exs. 16 and 17.

This Declaratory Ruling is based entirely on the record and sets forth findings of fact and conclusions of law, and a Ruling. To the extent that the findings of fact actually represent conclusions of law, they should be so considered, and vice versa. *SAS Inst., Inc. v. S & H Computer Systems, Inc.*, 605 F. Supp. 816 (Md. Tenn. 1985). In making this Declaratory Ruling, the Board relied on the training, expertise and specialized knowledge of its members in the field of physical therapy. *Pet v. Department of Health Services*, 228 Conn. 651, 670 (1994).

Findings of Fact

- Dry needling is an intervention that uses a thin filiform needle to penetrate the skin and stimulate underlying myofascial trigger points, muscular and connective tissues for the management of neuromusculoskeletal pain and movement impairments. Bd. Ex. 1; CPTA Ex. A-1, p. 2 and Exhibit A.
- Dry needling is used by physical therapists in treatment programs to alleviate pain and to restore range of motion. Dry needling is used by physical therapists along with manual therapy and other therapeutic techniques to treat trigger points and restore function. Dry needling is based on western medical diagnoses such as plantar fasciitis, osteoarthritis and carpel tunnel syndrome. Bd. Ex. 17, pp. 2-3; CPTA Exs. A-1, p. 4; AA-2, pp. 1, 2, 4; Tr. pp. 93, 94; Amity Ex. A, p. 4.
- Training to become competent in dry needling can be found in most of the core curriculum of education provided to students enrolled in physical therapy programs and licensed continuing education programs for physical therapists. Tr., pp. 19, 20; Bd. Ex. 17, p. 3; CPTA Exs. A-1, p. 3; AA-2, pp. 6, 7.
- 4. Acupuncture is based on traditional Oriental medicine principles and methods such a bi syndrome, qui or blood stagnation and not western medical diagnosis. Bd. Ex. 17; Conn. Gen. Stat. § 20-206aa. Physical Therapists are not required to be trained in acupuncture or Oriental medicine for licensure. The practice of physical therapy is not based on principles and methods of classic and/or modern Oriental medicine but is based on Western medicine.
- Successful completion of a program accredited by the Accreditation Commission for Acupuncture and Oriental Medicine, as recognized by the U.S. Department of Education, is required to become a licensed acupuncturist. Tr., pp. 20, 21, 48, 49; 77; CPTA A-1, p.

2; UBAI Ex. pp. 1, 2. The course study for physical therapy programs are not accredited by the Commission for Acupuncture and Oriental Medicine. CPTA Ex. A-1, p. 2.

Discussion and Law

Conn. Gen. Stat. § 4-176 authorizes an agency, such as the Board, to issue a declaratory ruling regarding, among other things, the applicability of the statutes or regulations to specified circumstances on matters within the agency's jurisdiction. By law, a declaratory ruling constitutes a statement of agency law and may also be utilized by the Board, on a case-by-case basis, in future proceedings before the Board concerning the practice of physical therapy.

I. Is acupuncture, as defined in Conn. Gen. Stat. § 20-206aa(3), within the scope of

practice of physical therapists?

Section 20-66(2) of the Conn. Gen. Stat. defines physical therapy as:

[T]he evaluation and treatment of any person by the employment of the effective properties of physical measures, the performance of tests and measurements as an aid to evaluation of function and the use of therapeutic exercises and rehabilitative procedures, with or without assistive devices, for the purpose of preventing, correcting or alleviating a physical or mental disability. "Physical therapy" includes the establishment and modification of physical therapy programs, treatment planning, instruction, wellness care, peer review, consultative services and the use of low-level light laser therapy for the purpose of accelerating tissue repair, decreasing edema or minimizing or eliminating pain, but does not include surgery, the prescribing of drugs, the development of a medical diagnosis of disease, injury or illness, the use of cauterization or the use of Roentgen rays or radium for diagnostic or therapeutic purposes....

The practice of acupuncture is defined in Conn. Gen. Stat. § 20-206aa(3) as:

[T]he system of restoring and maintaining health by the classical and modern Oriental medicine principles and methods of assessment, treatment and prevention of diseases, disorders and dysfunctions of the body, injury, pain and other conditions. "The practice of acupuncture" includes:

(A) Assessment of body function, development of a comprehensive treatment plan and evaluation of treatment outcomes according to acupuncture and Oriental medicine theory;

(B) Modulation and restoration of normal function in and between the body's energetic and organ systems and biochemical, metabolic and circulation functions using stimulation of selected points by inserting needles, including, trigger point, subcutaneous and dry needling, and other methods consistent with accepted standards within the acupuncture and Oriental medicine profession;

(C) Promotion and maintenance of normal function in the body's energetic and organ systems and biochemical, metabolic and circulation functions by recommendation of Oriental dietary principles, including, use of herbal and other supplements, exercise and other self-treatment techniques according to Oriental medicine theory; and

(D) Other practices that are consistent with the recognized standards of the acupuncture and Oriental medicine profession and accepted by the National Certification Commission for Acupuncture and Oriental Medicine.

Acupuncture is an invasive procedure that requires didactic and clinical training. UBAI Ex. 1, p. 4. Acupuncture is based on Oriental medicine theory and practices. Conn. Gen. Stat. § 20-206aa(3); UBAI Ex. 1, pp. 1-4; CPTA Ex. A-1, pp. 1, 2. To obtain an acupuncturist license, a person must have successfully completed a course of study in a program that is accredited by the Accreditation Commission for Acupuncture and Oriental Medicine as recognized by the U.S. Department of Education. Conn. Gen. Stat. § 20-206bb(b); Tr., pp. 20, 21, 48, 49; CPTA Ex. A-1, p. 2. Physical Therapists are not trained to follow Oriental medicine theory or practice, and the course of study for physical therapy programs are not accredited by the Commission for Acupuncture and Oriental Medicine. CPTA Ex. A-1, p. 2. The practice of physical therapy is not based on principles and methods of classic and/or modern Oriental medicine. Therefore, the practice of acupuncture is outside the scope of physical therapy, as defined in Conn. Gen. Stat. § 20-66(2).

II. Is dry needling within the scope of practice of physical therapists under Conn. Gen. Stat. 20-66(2)?³

Dry needling is defined as an intervention that uses a thin filiform needle to penetrate the skin and stimulate underlying myofascial trigger points, muscular and connective tissues for the management of neuromusculoskeletal pain and movement impairments. It is the insertion of needles into tender points in the body without the injection of any substance. Tr., pp. 86, 87, 93; Bd. Ex. 17, p. 2. Unlike other procedures and treatments specifically listed as outside the scope of practice for physical therapy in accordance with Conn. Gen. Stat. §20-66(2), dry needling is not explicitly excluded. Tr., pp. 18, 93, 94. Therefore, it must be determined whether dry

³ The question originally posed by the CT DPH was "is dry needling, provided that the practitioner is trained and competent to do so, within the scope of practice of physical therapists under Conn. Gen. Stat. § 20-66(2)?" Whether the practitioner is trained and competent to perform dry needling pertains to the standard of care and not the scope of practice as set forth in the statute. Accordingly, the Board has rephrased the question to address the appropriate issue.

needling falls within permitted procedures and treatments set forth in the definition of physical therapy. For the reason set forth herein, the Board finds dry needling constitutes a rehabilitative procedure used for the purpose of preventing, correcting or alleviating a physical disability and thus is within the scope of practice of physical therapy.

Dry needling, as distinguished from acupuncture, is not based on the tenets of Oriental medicine, but rather, relies on western medicine diagnoses. Tr., pp. 31, 32, 86, 87; CSA Ex. 1, Exhibit 1, pp. 18-20; Bd. Ex. 10, p. 2, Bd. Ex. 17, p. 2; CPTA Exs. AA-1, pp. 2, 3; AA-2, p. 3. While dry needling is a component of the acupuncture practice, it is not for exclusive practice within said system of medicine. Tr., pp. 31-34, 95; CSA Ex. 1, Exhibit 1, pp. 18-20; Bd. Ex. 17, p. 2. The core curriculum of physical therapy programs provides training towards dry needling competency. CPTA Exs. A-1, p. 3; AA-2, pp. 6, 7.

In the practice of physical therapy, dry needling is not done in isolation, but rather, is utilized as a part of a comprehensive plan in conjunction with other therapeutic techniques. Bd. Ex. 17, p. 3; CPTA Ex. AA-2, p. 4. Dry needling uses the filiform needle as an assistive device to relieve pain and restore range of motion. Tr., pp. 93, 94; Amity Ex. A, p. 4; CPTA Exs. A-1, p. 4; AA-2, pp. 1, 2. As such, the Board concludes that dry needling is within the scope of practice, as defined by Conn. Gen. Stat. § 20-66(2) and constitutes a rehabilitative procedure with an assistive device for the purpose of preventing, correcting or alleviating a physical disability.⁴

<u>Ruling</u>

Based on the foregoing, the Board concludes that the scope of practice for physical therapists in Connecticut, as set forth in Conn. Gen. Stat. § 20-66(2),

- Prohibits the practice of acupuncture, as defined in Conn. Gen. Stat. § 20-206aa(3); and,
- 2.) Permits dry needling as a rehabilitative procedure with an assistive device for the purpose of preventing, correcting or alleviating a physical disability.

Date

Michael Parisi, PT, Board Member

⁴ While dry needling falls within the scope of practice for both physical therapists and acupuncturists, Conn. Gen. Stat. § 20-206aa(g) provides that "[n]othing in section 20-206aa... shall be construed to prevent licensed practitioners of the healing arts, as defined in section 20-1, *physical therapists*, or dentists from providing care or performing services consistent with accepted standards within their respective professions. (Emphasis added.)