AGENDA CONNECTICUT MEDICAL EXAMINING BOARD Tuesday, May 17, 2022 at 1:30 PM

Department of Public Health 410 Capitol Avenue, Hartford Connecticut

CALL TO ORDER

I. <u>APPROVAL OF MINUTES</u> March 15, 2022

II. OPEN FORUM

III. UPDATES

- A. Chair Updates
- B. Medical Examining Board/ Department of Public Health Work Group Update
- C. Federation of State Medical Boards (FSMB) annual meeting Harold Sauer. MD
- D DPH Updates

IV. <u>NEW BUSINESS</u>

- A. Review of License Reinstatement Application (Diana Mosteanu, MD) Presented by Celeste Dowdell, License and Applications Analyst
- B. Review of Application to Practice within a Medical School(Oliver L Karam, MD) Presented by Celeste Dowdell, License and Applications Analyst

V. OFFICE OF LEGAL COMPLAINCE

- A. <u>Usman Ramzan, MD Partition No. 2022-318</u>
- Presentation of Motion for Summary Suspension Aden Baume, Staff Attorney, DPH
 B. Marc D. Legris, M.D. Petition No. 2021-868
- Presentation of Consent Order Linda Fazzina, Staff Attorney, DPH
 C. Michael Krall, M.D. Petition No. 2021-158
- Presentation of Consent Order Joelle Newton, Staff Attorney, DPH

<u>ADJOURN</u>

Connecticut Medical Examining Board via Microsoft Teams

Join on your computer or mobile app

Click here to join the meeting

Or call in (audio only)

+1 860-840-2075 - Phone Conference ID: 442 497 919#

Revised 5-12-2022

The following minutes are draft minutes which are subject to revision, and which have not yet been adopted by the Board.

CONNECTICUT MEDICAL EXAMINING BOARD MINUTES of March 15, 2022

The Connecticut Medical Examining Board held a meeting on Tuesday, March 15, 2022 via Microsoft TEAMS

BOARD MEMBERS PRESENT:	Kathryn Emmett, Esq., Chairperson
	Raymond Andrews, Jr., Esg.
	Robert Green, MD
	Michele Jacklin
	Joseph Kaliko, Esq.
	William C. Kohlhepp, DHSc, PA-C
	Shawn London, MD
	Edward McAnaney, Esq.
	Jean Rexford
	Daniel Rissi, MD
	Harold Sauer. MD
	David Schwindt, MD
	Andrew Yuan, DO
	C. Steven Wolf, MD
	Peter Zeman, MD
BOARD MEMBERS ABSENT:	Allyson Duffy, MD
	Marie C. Eugene, DO
	Marilyn Katz, MD

Ms. Emmett called the meeting to order at 1:30 p.m.

I. <u>MINUTES</u>

The draft minutes of the February 15, 2022 meetings were reviewed and approved on a motion by Dr. Green, seconded by Mr. McAnaney.

II. OPEN FORUM

None

III. <u>UPDATES</u>

A. Chair Updates

Chair Emmett gave an update of Medical Board/DPH workgroup discussions. A synopsis of the work group discussions will be discussed at the next meeting

Department of Public Health

Christian Andresen, Section Chief, Department of Public Health, Practitioner Licensing and Investigations reported that the Department submitted testimony in favor of Bill #5046 which including a statement that the Medical Board was also in support of the legislation that Connecticut joins the physician licensure compact.

IV. <u>NEW BUSINESS</u>

A. <u>Materials to be provided to the Board concerning proposed Consent Orders</u>

Deputy Assistant Attorney General Daniel Shapiro addressed the Board regarding the Consent Order review process and the distribution of documents to the Board. Attorney Shapiro indicated that going forward a pre-hearing review agreement will accompany Consent Orders during which documents will be reviewed.

B. <u>Review of License Application (Post Graduate Training Review)</u>

Celeste Dowdell, License and Applications Analyst, Department of Public Health presented a licensure application for Mariana Flores, MD for a determination that her post-graduate training is equivalent to training in a program accredited by the American Council on Graduate Medical Education.

Dr. Zeman made a motion, seconded by Dr. London, recommending that Dr. Flores' application for licensure be approved. The motion passed unanimously.

V. OLD BUSINESS

Physician Sexual Misconduct

Ms. Rexford and Dr. Sauer continued their presentation of the overview of the Report and Recommendations of the FSMB Workgroup on Physician Sexual Misconduct which began during the February 15, 2022.

VI. <u>PROPOSED Memorandum of Decision</u>

John Lynch, II, MD - Petition No. 2021-726

Deputy Associate Attorney General Daniel Shapiro was present to provide counsel to the Board. Respondent was not present and was not represented

Mr. Kohlhepp made a motion seconded by Mr. McAnaney to approve the Memorandum of Decision as written.

The motion to approve the decision as written passed in with all in favor except Dr. London who abstained and Dr. Zeman who was recused.

The decision imposes a revocation of Dr. Lynch's license.

VII. ADJOURNMENT

As there was no further business, the meeting was adjourned at 2:40 p.m. on a motion by Dr. Wolf.

Respectfully submitted, Kathryn Emmett, Esq., Chairperson

WORK GROUP SUMMARY OF IDEAS March 2, 2022

- 1. Provide Board with more information concerning facts underlying charges/consent orders, including having a Board member participate in DPH discussion of consent order.
- 2. Review and improve guidelines for penalties in particular, review and delineate range of monetary penalties.
- 3. Educational presentations to CMEB at each monthly meeting (whether to include as a standing item on the agenda?) Board members to volunteer to do 5-10 minutes on topics of interest and/or outside speakers on relevant topics volunteer needed to plan and organize.
- 4. Add information/requirements to the license renewal process for MDs, DOs and PAs Suggestions include a) information about concerns in regard to and protocols for examining sensitive areas (whether to suggest use of chaperones?), b) highlighting specific limitations on delegation of duties – e.g., practitioner must sign for their own controlled medications, non-licensed personnel cannot administer medications, non-licensed staff cannot operate radiological or other equipment used to diagnose or treat patients, etc., c) adding same guidance to APRNs' renewal process.
- 5. **Put information concerning types of conduct that result in disciplinary actions on the website** or locate more centrally in easier to find place: a) boundary violations/sexual assault/inappropriate touching/etc.; b) impermissible delegation of duties to non-licensed personnel or others; c) prescribing violations (requirement to check CPMRS); d) other types of conduct that result in discipline (?).
- 6. **Explore idea of CMEB putting out a periodic newsletter** (quarterly?) including whether grant opportunities exist or whether pilot program through legislature to undertake the project might be possible. Included in the idea is the sub-idea of including listing of recent disciplinary actions taken by the Board in the newsletter.
- 7. Establish a mentoring program for new CMEB members.
- 8. **Explore mass email as a means of communicating information**, including data (what DPH already publishes? other?) Massachusetts example (?).
- 9. Look at statutory requirements for CMEs for MDs/DOs and PAs and consider seeking legislative change to allow more flexibility and/or to require more pertinent topic areas (e.g., some % focused on ethics as is the case in attorney CLE requirements).
- 10. **Explore partnerships** with insurance companies and/or professional societies (state and county medical societies and state PA organization) **to provide (risk avoidance) education**. Explore other partnerships for providing education concerning disciplinary issues to practitioners via CT hospital association, large medical groups such as Northeast Medical Group, Nuvance, etc.
- 11. Identify best methods of reaching MDs/DOs and PAs who are not in groups such as those listed in # 10.
- 12. Include PAs (and nurses as appropriate and/or possible) in relevant educational initiatives.
- 13. As to all the above and other ideas not listed, what authority does CMEB have or not have?

Additional topics: orientation of board, diversity of the board, partnerships and/or communication with organizations such as Haven, others (e.g., consumer protection and/drug enforcement)

TO:	Connecticut Medical Examining Board
FROM:	Celeste Dowdell Licensing Applications Analyst Practitioner Licensing and Investigations Section

RE: Diana Mosteanu, MD

Dr. Mosteanu is an applicant for Connecticut physician licensure reinstatement. Dr. Mosteanu meets all requirements for reinstatement except she has been out of active clinical practice 2016.

Applicants who have been out of active clinical practice longer than six (6) months are reviewed by the Connecticut Medical Examining Board. The CMEB could require applicants who have been out of active clinical practice for longer than two years to complete the Federation of State Medical Board's Special Purpose Examination (SPEX).

Please accept this as a summary of the documentation submitted in support of Dr. Mosteanu's application.

Medical Education: Universitatea de Medicina si Farmacie; Bucharest, Romania- 2005

National Board of Medical Examiners Examination: Step 1-3 completed and passed

Post Graduate Training Completed: 7/1/2008-6/30/2011: Danbury Hospital, CT- Internal Medicine Resident

7/1/2014-6/30/2016: University of Virginia, VA- Rheumatology Fellowship

American Board of Internal Medicine- 8/15/2011

Dr. Mosteanu previously held a license in VA. There is no history of discipline against this license.

Activities since License expired: Dr. Mosteanu has provided a statement.

CT License issued: 3/3/2011 CT License expired: 8/31/2014

Diana Mosteanu, MD

10 Kiahs Brook Ln, Ridgefield, CT 06877 Cell Phone: (203)-297-7090 mosteanu.diana@gmail.com

EDUCATION AND TRAINING:

7/2014 - 6/2016	Rheumatology Fellowship University of Virginia, VA
07/2008 - 06/2011	Internal Medicine Internship and Residency Danbury Hospital, Yale University School of Medicine, CT
11/2005 - 06/2008	Internal Medicine Residency Bucharest University Hospital, Bucharest, Romania
09/1999 - 09/2005	Medical School University of Medicine and Pharmacy Carol Davila, Bucharest, Romania

WORK HISTORY:

07/2011-03/2013 Hospital Medicine Doctor, Danbury Hospital, CT

RESEARCH AND PAPERS:

- Mosteanu D, Wang X, Kimpel D, Lewis J. Cardiovascular Risk and Lipid Screening in Rheumatoid Arthritis Patients in a University Rheumatology Practice: Quality Improvement Project [abstract]. Poster presentation ACR meeting, Washington DC, 2016 and published in Arthritis Rheumatol. 2016; 68 (suppl 10)
- Mosteanu D, Carlson A Cerebral amyloid angiopathy diagnosed in a patient with acute altered mental status: Oral presentation, Virginia Society of Rheumatology, Richmond, VA, 2015
- UVA investigator for TRACTION study Treat-to-Target treatment strategy in U.S. rheumatology practices for the treatment of Rheumatoid Arthritis; 9/2014-1/2019

- Bhalla A, Mosteanu D, Gorelick S, Hani-el-Fanek. Russell body gastritis in an HIV positive patient: case report and review of literature. Connecticut Medicine. 2012 May; 76(5): 261-265. Cited in PubMed; PMID: 22685980
- Mosteanu D, Vischio A, Botta T, Sieber S Pulmonary nocardiosis in patient on chronic steroid therapy, Oral presentation, CPC, Danbury Hospital, and poster presentation Belsky Research Day, CT 2011
- Vischio A, Mosteanu D, Botta T, Sieber S Identification of Nocardia veterana/elegans by Restriction Endonuclease Analysis of an Amplified Portion of 16S rRNA Gene: A Case Report, American Journal of Clinical Pathology, Volume 138, Issue suppl_1, 1 July 2012
- Ghetie D, Mosteanu D, Raut R, Rudinskaya A, Shih W Necrotizing vasculitis of the temporal and renal arteries: 2 case reports, 2nd prize poster presentation Belsky Research Day, CT 2011
- Mosteanu D, Siqueiros A, Stratidis J Invasive Saccharomyces Cerevisiae infection in the setting of Clostridium Difficile colitis, poster, final round ACP meeting 2011
- Alvarez A, Mosteanu D, Cohenuram M, Kloss R AML and MDS developed after treatment for nonHodgkin Lymphoma and GIST, poster presentation Belsky Research Day 2009
- Mosteanu D, Nee P MSSA septic shock secondary to acute mitral valve endocarditis, Oral presentation, CPC, Danbury Hospital

PRESENTATIONS:

- Behcet's disease UVA Department of Rheumatology Grand Rounds
- CNS vasculitis UVA Department of Rheumatology Grand Rounds
- Connective tissue disease classifications and guidelines UVA Department of Rheumatology Grand Rounds
- Immunosuppression in pregnancy UVA Department of Rheumatology Grand Rounds

LICENSES AND CERTIFICATIONS:

2016 Rheumatology Board Certification

2011 American Board of Internal Medicine Certification

AWARDS AND ACCOMPLISHMENTS:

- Scholarship recipient of the Annenberg Foundation annual meeting 2014
- Medical school final graduation exam score of 9.94 out of 10

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Governmental scholarships in recognition of academic achievements 2002-2003 and 2004-2005

LANGUAGES:

• English, French, Romanian

Diana Mosteanu, M.D.

To Whom It May Concern:

My name is Diana Mosteanu, MD. I graduated from the Rheumatology Fellowship at University of Virginia Medical Center, Charlottesville VA in June 2016.

Immediately after graduating I moved to Connecticut for my husband's job and to be close to the extended family. I started looking for a job and was happy to discover that there was a great need for rheumatologists in the area. I passed the Rheumatology boards at the first attempt without taking review courses. I presented the research that I completed during my fellowship in November of 2016 at the American College of Rheumatology Annual Meeting in Washington DC.

Things seemed to be going well at first, but unfortunately the situation changed. My 4 year old developed significant emotional difficulties adjusting to school life. She required significant involvement from us. My husband was in the early months of a new primary care physician job and two of his partners left the office and he had a huge workload both at work and at home after the actual work hours and as such was unable to participate much at home. I asked to get a part time position at my job offers, but in my area of residence only full-time positions with hospital consult coverage were available. As a family we agreed that I would take the time to stay home with my daughter and plan to return to work as soon as the situation improves. Things then got even more complicated when my father developed Guillain Barre Syndrome and became paralyzed and intubated over a couple of days and remained in the hospital for a total of 11 months, during which I was constantly visiting him and was involved in his care, as well as the care of my mother and her chronic diseases (which my father was doing prior to that). They subsequently moved in with us, as they both required a lot of care when the pandemic started.

During the entire time I had continued to read newsletters and articles, keeping myself up to date with what was new in Internal Medicine and Rheumatology in particular. I had always been looking forward to returning to clinical medicine and use the education I have received. Thankfully the situation at home has now much improved, my parents have returned to Europe and my daughter has grown up and is doing amazing in school, both academically and socially and I am so happy to be able once again to return to work as a rheumatologist. There is still great demand for rheumatologists in my area and I am now completely confident in my ability to use my knowledge, training and experience at full potential.

Thank you, Diana Mosteanu, MD



The DIVISION of CLINICAL RHEUMATOLOGY

February 1, 2022 Connecticut Department of Public Health

Re: Dr. Diana Mosteanu **1.049557- Physician/Surgeon** Rheumatology Fellow: July 1, 2014 – June 30, 2016 Verification of Fellowship and Clinical Experience

To Whom It May Concern:

It is my pleasure to write this letter for Dr. Diana Mosteanu to confirm her training as a fellow in Rheumatology, and her most recent clinical care activity. As the Rheumatology Fellowship Program Director I have worked with Dr. Mosteanu in clinic, on hospital consults, and in teaching conferences. She successfully completed her fellowship on June 30, 2016.

Dr. Mosteanu's performance during fellowship was excellent. Her knowledge base in rheumatology and clinical skills achieved the level of independence expected of a graduate from Rheumatology Fellowship. She was reliable and showed good clinical judgment and medical knowledge. She is compassionate and ethical. In our program the fellows have primary responsibility for their own set of patients, including fielding all patient phone calls, so she has experience with personalized longitudinal care.

In relation to the formalities of medical licensing and hospital privileges, there were no incidents which would reflect poorly on her ability to practice or to work well with fellow professionals. She has no moral, ethical, or chemical dependence problems affecting her life or work.

I have no reservation in recommending Dr. Diana Mosteanu to practice medicine in your state. If you have any questions please feel free to call the main office number or my cell phone (434-960-6663).

Sincerely,

Donald L. Kimpel, MD

Associate Professor of Internal Medicine Division of Rheumatology & Immunology Program Director, Rheumatology Fellowship Virginia Society of Rheumatology, BOD, Treasurer



Rheumatology Clinic 415 Ray C. Hunt Dr., Suite 2100 PO Box 801002, Charlottesville, VA 22908-1002 Telephone: 434.243.0223 Fax: 434.243.0239



Rheumatology Admin Office 450 RayC Hunt Dr. PO Box 801399, Charlottesville, VA 22908 Telephone: 434.924.5214 Fax: 434.243.9143

Activity Tracker

Diana Mosteanu

CT, 06877

10 a. 1

CME Credits

January 2021 through January 2022

Advances in the Management of Pericarditis 0.75 Provider: Credit Type: Completed: Core Competence: AMA PRA January 24, 2022 Medscape Medical Knowledge Credits Category 1 Credit(s)™ Hepatitis Delta: Evolving Understanding of Epidemiology, Disease Burden, and the Importance of Diagnosis 0.50 Core Competence: Provider: Credit Type: Completed: Credits AMA PRA January 24, 2022 Medical Knowledge Medscape Category 1 Credit(s)™ COVID-19 and the Impact on Patients With Psoriatic Disease 0.50 Credit Type: Completed: Provider: Core Competence: AMA PRA January 24, 2022 National Psoriasis Foundation Medical Knowledge Credits Category 1 Credit(s)™ "Doctor, Can't You Just Phone a Prescription In?" and Other Ethical Challenges of Telemedicine Encounters 0.25 Credit Type: Completed: Core Competence: Provider: Credits AMA PRA January 24, 2022 Medical Knowledge Medscape Category 1 Credit(s)" Expert Perspectives in Best Practices in Osteoarthritis Care 0.75 Provider: Credit Type: Completed: Core Competence: AMA PRA January 24, 2022 Medscape Medical Knowledge Credits Category 1 Credit(s)™ Does OSA Increase Your Risk for Cardiac Disease? 0.25 Credit Type: Completed: Core Competence: Provider: AMA PRA January 24, 2022 Medical Knowledge Medscape Credits Category 1 Credit(s)™ 0.25 Does Bariatric Surgery Affect Fatty Liver Disease? Credits



https://www.medscape.org/activitytracker

1/8

Completed:

8:11 PM		Medscape Education CME Track	ker.	
	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	January 24, 2022
,	Are Whole Grains R	eally Good for Your Heart?		
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 24, 2022
	Can a Blood-Based	Biomarker Predict Decline in Al	zheimer Dise	ase?
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 24, 2022
	How Early Is Too Ea	rly for the Diagnosis of Alzheim	er Disease?	
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 24, 2022
	Perspectives in Pso	riatic Arthritis		
0.75 Credits	Core Competence: Medical Knowledge	Provider: Postgraduate Institute for Medicine	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 24, 2022
	Advances in ANCA-	Associated Vasculitis: A View To	oward the Fu	ture of Care
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 21, 2022
	What More Should V	We Know About Vitamin D Supp	lementation	>
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed: January 21, 2022
	Updates and Best P Pandemic	ractices on Managing Psoriasis	During the C	OVID-19
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 21, 2022
0.50 Credits	The Evolving Treatm Latest Data to Clinic	nent Paradigm for Inflammatory al Care	Heart Diseas	se: From the
				Completed:

		Medscape Education	n CME Tracker.	
2, 8:11 PM	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	
	Translating New Psoria	sis Guidelines into P	ractice	
1.00 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 20, 2022
	How Did Covid-19 Affeo	ct Healthcare-Associa	ated Infections?	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 20, 2022
	Management of Gout in	Kidney Transplant F	Patients: Expert Insig	hts
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 20, 2022
	Ankylosing Spondylitis	Assessment and Tre	atment: A Micro-Lea	rning Activity
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed: January 19, 2022
0.50	Evolving Understanding Era of COVID-19	g of the Management		atients in the
0.50 Credits		g of the Management Provider: Medscape		Completed : January 19, 2022
	Era of COVID-19 Core Competence:	Provider: Medscape	t of Rheumatology Pa Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed:
	Era of COVID-19 Core Competence: Medical Knowledge	Provider: Medscape Cision-Making in Patie Provider:	t of Rheumatology Pa Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed:
Credits	Era of COVID-19 Core Competence: Medical Knowledge Facilitating Shared Dec Core Competence: Medical Knowledge, Patient Care	Provider: Medscape cision-Making in Patie Provider: and Medscape	t of Rheumatology Pa Credit Type: AMA PRA Category 1 Credit(s) [™] Credit(s) [™] Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed: January 19, 2022 Completed:
Credits	Era of COVID-19 Core Competence: Medical Knowledge Facilitating Shared Dec Core Competence: Medical Knowledge, Patient Care Procedural Skills	Provider: Medscape cision-Making in Patie Provider: and Medscape	t of Rheumatology Pa Credit Type: AMA PRA Category 1 Credit(s) [™] Credit(s) [™] Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed: January 19, 2022 Completed:
Credits 0.25 Credits 0.25	Era of COVID-19 Core Competence: Medical Knowledge Facilitating Shared Dec Core Competence: Medical Knowledge, Patient Care Procedural Skills A Review of Masks Age Core Competence:	Provider: Medscape Cision-Making in Patie Provider: and Medscape ainst COVID-19 for E Provider: Medscape	t of Rheumatology Pa Credit Type: AMA PRA Category 1 Credit(s)'* Pents With SLE Credit Type: AMA PRA Category 1 Credit(s)'* Better Protection Credit Type: AMA PRA Category 1 Credit(s)'*	Completed: January 19, 2022 Completed: January 19, 2022 Completed: January 19, 2022

11 PM		Medscape Education	n CME Tracker.		
	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™		
	Could Metformin Re	duce COVID-19 Mortalit	y Risk In People Wit	h Diabetes?	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 19, 2022	
	Weathering the Stor	m: Immunomodulators i	n the Management o	f COVID-19	
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 18, 2022	
	Does Continuity of C	Care Affect IBD Outcome	es?		
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed: January 18, 2022	
0 5 0	Warm Autoimmune Management	Hemolytic Anemia: The	Foundations of Diag	nosis and	
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 18, 2022	
	Biologic Treatment Comparisons in Psoriatic Arthritis: Implications for Clinical Practice				
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 18, 2022	
	Case Challenges in	Rheumatoid Arthritis: Pi	actice Your Skills		
1.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) ^{**}	Completed: January 14, 2022	
	Talk to Someone: H	elping Survivors With He	ealthy Lifestyles		
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)"	Completed: January 14, 2022	
0.25 Credits	Walking and Other (United States, 2019	Common Physical Activi	ties Among Adults W	/ith Arthritis —	

25/22, 8:11 PM		Medscape Education	n CME Tracker.	
	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	
	Are Vitamin D Suppler	nents a Cost-Effective	Way to Prevent Car	ncer Mortality?
0.25 Credits	Core Competence: Medical Knowledge	Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 14, 2022
	Caring for Our Soldiers	s: Assessing Suicide F	Risk	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed: January 14, 2022
	How Can Suicide in At	-Risk Youths be Preve	ented?	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed: January 13, 2022
	Advances in Pulmonar Congresses	y Artery Hypertensior	n Care: Highlights Fro	om 2021
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) [™]	Completed: January 13, 2022
	Depression Clinic: Car	n You Manage These	Patients?	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 13, 2022
	Does PTSD With Dep	ression Predict Increa	sed Mortality?	
0.25 Credits	Core Competence: Medical Knowledge, Interprofess Education, Roles and Responsibil		Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 13, 2022
	What Is the Opioid Ep Outcomes?	idemic's Impact on He	epatitis C in Pregnand	cy and Fetal
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)'"	Completed: January 13, 2022
0.50 Credits	Vital Signs: Deaths An States, 2010–2018	nong Persons With Di	agnosed HIV Infection	on, United
				Completed: January 13, 2022

5/8

8:11 PM		Medscape Education	n CME Tracker.	
	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)"	
1	What's New and Wh	at's Coming in HIV/AIDS	5 2021	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) ^{**}	Completed: January 13, 2022
	Professional Attire a	nd the Patient-Physiciar	Relationship	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 12, 2022
0.05	ANCA-Associated V Therapies	asculitis: From Current S	Standard of Practice	to New
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) ^{**}	Completed: January 12, 2022
0		norbidity Play a Role in F	Racial Disparities in	Early Breast
0.25 Credits	Cancer? Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) ^{**}	Completed: January 12, 2022
		e: Recommendations of ces, United States, 2020		ittee on
1.00 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) ^{**}	Completed: January 12, 2022
	Sexually Transmittee	d Infections Treatment G	Guidelines, 2021	
3.00 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)'''	Completed: January 11, 2022
	New Study Says CD	C Guidelines Had Minin	nal Effects on Opioid	Prescribing
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	Completed: January 11, 2022
0.25	Breast MRI: Cancer	Screening for Women V	Vith Increased Breas	st Density
Credits				Completed: January 11, 2022

22, 8:11 PM		Medscape Education	CME Tracker.	
	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)™	
	Screening for Colorectal C	Cancer: Recommer	nded Best Practices	
0.50 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)**	Completed: January 11, 2022
	Managing Ankylosing Spo	ndylitis in the Telel	Health World	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s) ^{**}	Completed: Sept 03, 2021
	COVID-19: Answering You	ur COVID-19 Vacci	ne Questions	
0.25 Credits	Core Competence: Medical Knowledge	Provider: Medscape	Credit Type: AMA PRA Category 1 Credit(s)**	Completed: April 07, 2021
	What Are the Factors Tha	t Improve COVID \	/accine Antibody Re	sponse?
0.25	Core Competence:	Provider:	Credit Type:	Completed:
Credits	Medical Knowledge, Interprofessional Education, Roles and Responsibilities	Medscape	AMA PRA Category 1 Credit(s)™	April 07, 2021
			AMA PRA Category 1 Credit(s)"	April 07, 2021
Credits 0.50 Credits	Education, Roles and Responsibilities Caring for Rheumatology		AMA PRA Category 1 Credit(s)"	April 07, 2021
0.50 Credits	Education, Roles and Responsibilities Caring for Rheumatology Practice Essentials Core Competence:	Patients on DMAR Provider: Medscape	AMA PRA Category 1 Credit(s) ^m Ds in the Era of COV Credit Type: AMA PRA Category 1 Credit(s) ^m	April 07, 2021 VID-19: Completed: March 30, 2021
0.50	Education, Roles and Responsibilities Caring for Rheumatology Practice Essentials Core Competence: Medical Knowledge New Treatment Options for	Patients on DMAR Provider: Medscape	AMA PRA Category 1 Credit(s) ^m Ds in the Era of COV Credit Type: AMA PRA Category 1 Credit(s) ^m	April 07, 2021 VID-19: Completed: March 30, 2021
0.50 Credits 0.50	Education, Roles and Responsibilities Caring for Rheumatology Practice Essentials Core Competence: Medical Knowledge New Treatment Options for Disease Core Competence:	Patients on DMAR Provider: Medscape Provider: Provider: Provider: Medscape is of IBD in Patients	AMA PRA Category 1 Credit(s)" Ds in the Era of COV Credit Type: AMA PRA Category 1 Credit(s)" D-19 Patients With N Credit Type: AMA PRA Category 1 Credit(s)"	April 07, 2021 VID-19: Completed: March 30, 2021 fild to Moderate Completed: March 25, 2021

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Connecticut Medical Examining Board
Celeste Dowdell
Licensing Applications Analyst
Practitioner Licensing and Investigations Section

RE: OLIVER L KARAM, MD

Pursuant to Connecticut General Statutes, when deemed by the Connecticut Medical Examining Board to be in the public's interest, based on such considerations as academic attainments, specialty board certification and years of experience a permit may be issued to foreign physician to work only within the confines of a recognized medical school. Dr. Karam is applying for such permit with the support and recommendation of Yale University School of Medicine

Please accept this as a summary of the documentation submitted in support of Dr. Karam's application.

Medical Education: University of Geneva School of Medicine, Switzerland- 2002

Post Graduate Training Completed:

2002-2007: Geneva University Hospital, Switzerland- Pediatric Resident
 2007-2010: University of Montreal, Canada- Pediatric Critical Care Fellow
 2010-2017: Geneva University Hospital, Switzerland- Pediatric Critical Care Senior Fellow
 2017- Present: Children's Hospital of Richmond, VA- Attending, Pediatric Critical Care

Licensure: He holds a current in Virginia with no disciplinary action against it.

Letter from a Senior Physicians:

Clifford W. Bouge, MD Waldemar Von Zedtwitz Professor of Pediatrics, Chair of Pediatrics and Chief Medical Officer Yale School of Medicine, Yale New Haven Children's Hospital as well as Matthew J. Bizzarro, MD Professor of Pediatrics Vice Chair Clinical Affairs, Dept. of Pediatrics Yale University School of Medicine have both have submitted letters in support of Dr. Karam's application for a medical school permit. They are attesting to the equivalency of his training in Switzerland to that in the United States.

Considering the available information, does the Board recommend that Dr. Karam be issued a permit to practice medicine solely within the confines of Yale University School of Medicine?

CURRICULUM VITAE

Date of Revision:	March 10, 2022
Name:	Oliver Karam, M.D., Ph.D.
Proposed for Appoi	ment to: Professor of Pediatrics, Department of Pediatrics, Section of Critical Care Medicine, Clinician-Educator Track
Term:	On a continuing basis, starting July 1, 2022
School:	Yale School of Medicine
Education: M.D.	Jniversity of Geneva School of Medicine, Geneva, Switzerland, 2002

M.D.	University of Geneva School of Medicine, Geneva, Switzerland, 2002
M.S.	University of Montreal, Montreal, Canada (Clinical Research), 2011
Ph.D.	University of Lille, Lille, France (Critical Care Medicine), 2017

Career/Academic Appointments:

10/2002-07/2007	Resident, Pediatrics, Geneva Children's Hospital, Geneva, Switzerland
08/2007-06/2010	Fellow, Pediatric Critical Care Medicine, Sainte-Justine Hospital, Montreal, QC
07/2010-06/2011	Fellow, Pediatric Critical Care Medicine, Geneva Children's Hospital, Geneva,
	Switzerland
07/2011-02/2017	Assistant Professor, Pediatric Critical Care Medicine, Geneva Children's Hospital,
	Geneva, Switzerland
03/2017	One-month gap to immigrate to the USA
04/2017-06/2020	Associate Professor, Pediatric Critical Care Medicine, Children's Hospital of
	Richmond, Richmond, VA
07/2020-06/2022	Professor (non-tenured), Pediatric Critical Care Medicine, Children's Hospital of
	Richmond, Richmond, VA
07/2022-present	Proposed appointment for Professor of Pediatrics, Department of Pediatrics,
	Section of Critical Care Medicine, Clinician-Educator Track

Administrative Positions:

01/2012-02/2017	Fellowship Program Director, Division of Pediatric and Neonatal Critical Care					
	Medicine, Children's Hospital of Geneva, Switzerland					
07/2019-06/2022	Division Chief, Pediatric Critical Care Medicine, Children's Hospital of Richmond,					
	Richmond, VA					
09/2019-06/2022	Chair, Section of Emergency and Critical Care Research, Children's Hospital					
	Research Institute, Richmond, VA					

Board Certification:

Swiss Board of Pediatrics, 12/2007 Swiss Board of Critical Care (Adult and Pediatric), 07/2011 American Board of Pediatrics, eligible 03/2022 (ABP alternative pathway)

Professional Honors & Recognition:

International/National/Regional

2020: Society of Critical Care Medicine (SCCM), Presidential Citation2019: American Academy of Pediatrics (AAP), Best Research Abstract Award

Total costs for project period: \$10,000 Project period: 07/01/2019 - 06/30/2021

Agency:VCU Children's FoundationI.D.#Children's Hospital Research GrantTitle:"Association between Sound and Light and Delirium in the PICU"P.I.:Oliver Karam, M.D., Ph.D.Percent effort:0%Total costs for project period:\$8,750Project period:07/01/2018 - 06/30/2019

Agency: Mallinckrodt Young Investigator Research Fund

I.D.# Echo-IP-NIRS

Title: "Hemodynamic profile of very low birth weight infants"

P.I.: Marie Janaillac, M.D.

Role: Co-P.I.

Percent effort: 0%

Total costs for project period: \$46,000 Project period: 07/01/2015 - 06/30/2017

Agency: Abbott

I.D.# MS-PCR-NICU

Title: "Rapid exclusion of infection in neonatal sepsis by a novel technology: PCR coupled with Electrospray Ionization Mass Spectrometry"

P.I.:Oliver Karam, M.D., Ph.D.Percent effort:5%Total costs for project period:\$31,000

Project period: 07/01/2014 - 06/30/2016

Agency: GFRUP

I.D.# Plasma-PICU

Title: "Indications and Effects of Plasma Transfusions in Critically III Children"

P.I.: Oliver Karam, M.D., Ph.D.

Percent effort: 1%

Total costs for project period: \$10,000Project period: 07/01/2014 - 06/30/2015

110 Jeol period. = 07/01/2014 = 00/30/2013

Agency: University of Geneva

I.D.# AT3-LiverTransplant

Title: "Effects of Plasma Transfusions on Antithrombin in Children after Liver Transplantation"

P.I.: Oliver Karam, M.D., Ph.D.

Percent effort: 2%

Total costs for project period: \$10,000

Project period: 07/01/2014 – 06/30/2016

Agency: Swiss Society of Intensive Medicine and Nova Nordisk

I.D.# Ketosis LCOS

Title: "Evaluation the association between ketosis after cardiopulmonary bypass in children and low cardiac output"

P.I.: Philippe Klee, M.D., Ph.D.

Role: Co-P.I.

08/2021:	Critical Care Grand Rounds, Intensive Care Consortium, Orlando, FL: "Transfusion
04/0001	Strategies in Critically III Patients"; Keynote Speaker
04/2021:	Pediatric Grand Rounds, University of Iowa Carver College of Medicine, Iowa City, IA: "Defining Bleeding"; Grand Rounds Presenter
12/2020:	Word Federation of Pediatric Intensive and Critical Care Societies (WFPICCS), online:
	"Indications to plasma and platelet transfusions"; Invited Speaker
10/2020:	American Association of Blood Bankers annual meeting, online: "Pediatric RCTs and
	Collaborative Networks"; Invited Speaker
09/2020:	BloodNet meeting, online: "Platelet Transfusion Thresholds on ECMO"; Invited Speaker
07/2020:	Grand Rounds, Zydus Hospitals, Ahmedabad, Gujarat, India: "Red Blood Cell and Plasma Transfusions in Critically III Children"; Grand Rounds Presenter
03/2020:	BloodNet meeting, New Orleans, LA: "Prophylactic Hemostatic Transfusions on ECMO";
0572020.	Invited Speaker
02/2020:	Annual meeting of the Societe de Reanimation de Langue Francaise (SRLF), Paris, France:
02/2020.	"Coagulopathy in non-traumatic patients"; Invited Speaker
02/2020:	Current Concepts in Pediatric Critical Care, SCCM Pediatric Course, Orlando, FL:
	"Massive Bleeding"; Invited Speaker
09/2019:	PediECMO Meeting, Austin, TX: "International Survey on ECPR"; Invited Speaker
09/2019:	Society for the Advancement of Blood Management, Baltimore, MD: "Anemia Tolerance
097770291	in Critically III Children"; Invited Speaker
02/2019:	Current Concepts in Pediatric Critical Care, SCCM Pediatric Course, San Diego, CA:
	"Tiered Transfusion Strategies"; Invited Speaker
11/2018:	Surviving Sepsis Expert Panel – Pediatric Recommendations, Hamilton, ON, Canada:
	"Transfusions in severe sepsis"; Panel Expert
09/2018:	PediECMO Meeting, Phoenix, AZ: "Quantifiable Bleeding on ECMO"; Invited Speaker
09/2018:	PALISI Meeting, Boston, MA: "The long road to define bleeding"; Invited Speaker
05/2018:	Pediatric Academic Society (PAS) meeting, Toronto, ON, Canada:
	• "Defining a problem when everyone believes they already know the answer";
	Invited Speaker
	• "Large international point-prevalence studies on plasma"; Invited Speaker
02/2018:	Pediatric Grand Rounds, Weill Cornell Medicine, New York City, NY: "Plasma
	Transfusions in Critically Ill Children"; Grand Rounds Presenter
02/2018:	Pediatric Grand Rounds, Columbia University, New York City, NY: "Red Blood Cell
	Transfusions in Critically Ill Children"; Grand Rounds Presenter
10/2017:	Pediatric Critical Care Grand Rounds, Johns Hopkins, Baltimore, MD: "Plasma
	Transfusions in Critically Ill Children"; Grand Rounds Presenter
10/2017:	Association of American Blood Bankers (AABB) Meeting, San Diego, CA: "Plasma
	Transfusions in Critically III Children"; Invited Speaker
10/2016:	European Society for Pediatric and Neonatal Intensive Care (ESPNIC), Geneva,
10/2010	Switzerland: "NIRS and microcirculation to assess tissue perfusion"; Invited Speaker
07/2016:	Israeli Pediatric Critical Care Annual Meeting, Tel-Aviv, Israel:
01720101	• "Plasma transfusions in the PICU: Primum non nocere"; Invited Speaker
· · ·	 "RBC transfusions: when is low too low"; Invited Speaker
06/2016:	Pediatric Grand Rounds, Béclère Hospital teaching seminar, Paris, France: "Hemodynamic
001200101	support in very low birth weight infants"; Grand Rounds Presenter
06/2016:	50th Annual Meeting of the Association for European Paediatric and Congenital
	Cardiology (AEPC), Rome, Italy: "Transfusion strategies after cardiac surgery"; Invited
	Speaker
09/2015:	European Conference on Pediatric and Neonatal Cardiac Intensive Care (EPNCIC).

72015: European Conference on Pediatric and Neonatal Cardiac Intensive Care (EPNCIC), Montreux, Switzerland: "Transfusion strategies after cardiac surgery"; Invited Speaker

2% Percent effort: Total costs for project period: \$56,000 Project period: 07/01/2012 - 06/30/2015

Agency: University of Geneva

LFTs PedsTrauma I.D.#

"Liver function tests in children with blunt abdominal traumas" Title:

P.I.: Oliver Karam, M.D., Ph.D.

Percent effort: 3%

Total costs for project period: \$8,500 Project period: 07/01/2005 - 06/30/2007

Past Clinical Trials

Agency: Merck

I.D.# MK-7655A-021 "Safety and efficacy of a new antibiotic for Gram negative ventilator associated pneumonia Title: and complicated urinary tract infection"

P.I.: Oliver Karam, MD, PhD

Percent effort: 2%

Total costs for project period: \$27,660 Project period: 06/01/2019-04/30/2021

Agency: Novartis

I.D.# CRLX030A2208

Title: "Serelaxine to treat low cardiac output in critically ill children"

Oliver Karam, MD, PhD P.I.:

Percent effort: 5%

Total costs for project period: \$79,000 Project period: 07/01/2014 - 06/30/2016

Pending Grant

Agency: NIH/NHLBI R34 HL159119 I.D.# "ECmo hemoSTAtic Transfusions in Children" Title: P.I.: Oliver Karam, M.D., Ph.D. Percent effort: 15% Direct costs per year: \$150,000 Total costs for project period: \$797,579 Project period: 07/01/2022 - 06/30/2025 Impact Score: 27 (pending Council Review in 05/2022)

Invited Speaking Engagements, Presentations, Symposia & Workshops Not Affiliated with Yale:

International/National

05/2022:	Annual Meeting of the Association for European Paediatric and Congenital Cardiology
	(AEPC), Geneva, Switzerland: "Anticoagulation on ECMO"; Invited Speaker
10/2021:	American Association of Blood Bankers (AABB) meeting, online: "Pediatric Transfusion
	Medicine Pearls Gleaned from REDS-III"; Invited Speaker
09/2021:	Society for Advancement of Blood Management (SABM) meeting, online: "Use of Blood
	Products in Pediatric ECMO"; Invited Speaker
09/2021	BloodNet meeting, online: "Validation of the BASIC Scale": Invited Speaker

validation of the BASIC Scale; invite 09/2021: bloounet meeting, onime:

- 2019: Society for the Advancement of Blood Management (SABM), Top Abstract
- 2015: Swiss Pediatric Surgery Meeting, Best Research Project
- 2015: European Society for Pediatric and Neonatal Intensive Care (ESPNIC), Young Investigators Award (second place)
- 2010: Canadian Clinical Research Society and Canadian Institutes for Health Research (CIHR), Excellence Award
- 2009: International Symposium on Intensive Care and Emergency Medicine, Best Poster

School of Medicine

- 2018: Faculty Teaching Award, Children's Hospital of Richmond, Richmond, VA
- 2013: Best Teacher Award, Children's Hospital of Geneva, Switzerland
- 2011: Dean's Honor List, University of Montreal, QC, Canada
- 2010: Claude-Roy Award for Excellence in Research, University of Montreal, QC, Canada

Grant/Clinical Trials History:

Current Clinical Trials

Agency: NIH/NHLBI

- I.D.# R01 HD092471
- Title: "Transfusion associated multiple organ failure in critically ill children (TRAP-MODS)"
- P.I.: Anil Sapru, M.D.

Role: VCU Site Principal Investigator

Percent effort: 2%

Total costs for project period: \$5,000/patient

Project period: 08/01/2017 - 04/30/2022

Current Grant

Agency: University of Iowa Stead Family Children's Hospital

I.D.# Stead Family Children's Hospital

Title: "Platelet Mass Index and Risks of Bleeding or Thrombotic Events on ECMO"

P.I.: Madhu Chegondi, M.D.

Role: Mentor and co-PI

Percent effort: N/A

Total costs for project period: \$49,850

Project period: 07/01/2022 - 06/30/2023

Agency: NIH/NICHD

I.D.# 1R13HD104432-01

Title: "Pediatric ECMO Anticoagulation Collaborative (PEACE) Consensus"

P.I.: Peta Alexander, M.D., and Jennifer Muszynski, M.D.

Role: Member of the Executive Committee and Group Co-Chair

Percent effort: N/A

Total costs for project period: \$74,000

Project period: 02/01/2021 - 01/31/2023

Past Grants

Agency: VCU Children's Foundation

I.D.# Children's Hospital Research Grant

Title: "Epidemiology of bleeding in critically ill children"

P.I.: Oliver Karam, M.D., Ph.D.

Percent effort: 0%

06/2015: European Society for Pediatric and Neonatal Intensive Care (ESPNIC), Vilnius, Lithuania: "Transfusions after cardiac surgery"; Invited Speaker

06/2014: Canadian Critical Care Trials Group (CCCTG), Montreal, Canada: "Preliminary results of an international point-prevalence study on plasma transfusions"; Invited Speaker

05/2014: Swiss symposium on acute renal failure, Bern, Switzerland: "Extracorporeal support for liver failure"; Invited Speaker

- 09/2013: European Conference on Pediatric and Neonatal Cardiac Intensive Care (EPNCIC), Lucerne, Switzerland: "Considerations on the use and abuse of blood products in pediatric cardiac surgery"; Invited Speaker
- 09/2013: Swiss Intensive Care Society Meeting, Geneva, Switzerland:
 - "Transfusions in Pediatric Critical Care Units"; Invited Speaker
 - "Plasma Transfusion"; Invited Speaker
- 05/2013: Moroccan Congress of Pediatric Resuscitation, Marrakech, Morocco: "Sepsis Resuscitation"; Keynote Speaker
- 10/2012: European Society of Pediatric and Neonatal Intensive Care Annual Congress, Istanbul, Turkey: "Transfusions in Pediatric Critical Care Units"; Invited Speaker
- 10/2012: Parisian Pediatrics, Paris, France: "Red blood cell transfusions in critically ill children"; Invited Speaker
- 11/2011: European Society of Pediatric and Neonatal Intensive Care (ESPNIC) Annual Congress, Hanover, Germany: "Transfusions in Pediatric Critical Care Units"; Invited Speaker

Regional

03/2016: Latin Symposium on Coagulation (CoaLat), Villars-sur-Ollon, Switzerland : "Plasma Transfusions in Children"; Invited Speaker

Peer-Reviewed Presentations & Symposia Given at Meetings Not Affiliated with Yale:

International/National

- 05/2022: Annual Meeting of the Association for European Paediatric and Congenital Cardiology (AEPC), Geneva, Switzerland: "Effect of Platelet Transfusions on Oxygenator Function"; Oral Presentation
- 04/2022: Pediatric Academic Societies (PAS) 2022 Meeting, Denver, CO: "The efficacy of antifibrinolytics in children undergoing cardiac surgery with cardiopulmonary bypass: a systematic review and meta-analysis."; Poster Presentation
- 04/2022: Society of Critical Care Medicine (SCCM) Virtual Congress:
 - "Prospective Validation of BASIC Definition of Bleeding in Diverse Cohort of Critically Ill Children"; Oral Presentation
 - "Factors Associated with Recurrent Thrombosis in Pediatric Patients Receiving ECMO Therapy"; Oral Presentation
 - "Stuck in the Dark: No Association between Light, Sound, and Delirium in the PICU"; Oral Presentation
 - "The Effects of Expectation Setting and Bundle Consent on Caregiver Stress in the Pediatric ICU"; Oral Presentation

01/2021: Society of Critical Care Medicine (SCCM) Virtual Congress:

- "Platelet Mass Index as Prognostic Marker in Pediatric Septic Shock"; Oral Presentation
- "Bleeding in Critically Ill Children with Underlying Oncologic Diagnoses"; Oral Presentation
- "Epidemiology of Bleeding in Critically Ill Children"; Oral Presentation

Oliver Karam, M.D., Ph.D.

- World Federation of Pediatric Intensive and Critical Care Societies (WFPICCS) Virtual 12/2020: Congress: "Anti-Xa versus Time-Guided Anticoagulation Strategy in ECMO"; Poster Presentation "Congress Factors Associated with Initiation of Extracorporeal Cardiopulmonary Resuscitation in the Pediatric Population"; Poster Presentation "Epidemiology of hemostatic blood product and medications in children supported by ECMO", Oral Presentation American Association of Blood Bankers (AABB) Virtual Annual Meeting: "Pediatric 10/2020: Transfusion Practice in the United States"; Poster Presentation American Association of Pediatrics (AAP) annual meeting, New Orleans, LA: "Are 10/2019: Brighter Days Ahead? Light and Sound in the Pediatric Intensive Care Unit"; Oral Presentation
- 10/2019: American Association of Blood Bankers (AABB) Annual Meeting, San Antonio, TX: "Nationwide Trends in Blood Transfusion Utilization in Children: A Comparative Analysis of Two Nationally Representative Databases"; Oral Presentation
- 05/2020: American Thoracic Society, Philadelphia, PA:
 - "Association Between Lactates, Blood Glucose, and Oxygen Extraction in Critically Ill Children After Cardiopulmonary Bypass"; Poster Presentation
 - "Hemostatic Transfusions in Children Supported by Extracorporeal Membrane Oxygenation"; Poster Presentation
- 09/2019: Society for the Advancement of Blood Management (SABM), Baltimore, MD: "Effect of Platelet Storage Duration on Clinical Outcomes and Incremental Platelet Change in Critically Ill Children"; Oral Presentation
- 06/2019: International Society of Blood Transfusion (ISBT) Congress, Basel, Switzerland:
 - "International Variation in Platelet Transfusions Practices among Critically Ill Children"; Oral Presentation
 - "5-year temporal trends in perioperative red cell transfusions in children: nationally representative data from a large prospective North American registry"; Oral Presentation
- 04/2019: Pediatric Academic Societies (PAS) 2019 Meeting, Baltimore, MD: "Quantifiable bleeding in children supported by ECMO and outcome"; Poster Presentation
- 02/2019: Society of Critical Care Medicine (SCCM), San Diego, CA:
 - "Continuous Light and Sound Monitoring in the Pediatric Intensive Care Unit: A Pilot Study"; Poster Presentation
 - "Plasma and Platelet Transfusion Protocols for Pediatric Extracorporeal Life Support"; Poster Presentation
 - "Effect of Platelet Transfusions on Extracorporeal Life Support Oxygenator Function"; Poster Presentation
 - "Plasma and Platelet Transfusion for Pediatric Extracorporeal Life Support"; Poster Presentation
- 10/2018: American Association of Blood Bankers (AABB) Annual Meeting, Boston, MA: "Perioperative Red Cell Transfusions Are Associated with Post- Operative Venous Thromboembolism in Children and Neonates: Evidence from a Large North American Multicenter Prospective Registry"; Oral Presentation
- 05/2018: Pediatric Academic Societies (PAS) Meeting, Toronto, Canada: "Fluid bolus therapy in neonates: A multi-center, international cross-sectional study"; Oral Presentation
- 10/2017: European Conference on Pediatric and Neonatal Cardiac Intensive Care (EPNCIC), Marbella, Spain: "Prognostic Evaluation of Mortality after Pediatric Resuscitation Assisted by Extracorporeal life support"; Oral Presentation

05/2017: European Pediatric Resuscitation and Emergency Medicine Conference, Ghent, Belgium: "Too much of a good thing: a case report"; Oral Presentation

05/2017: International Pediatric Transplantation Association (IPTA) meeting, Barcelona, Spain: "Effects of plasma transfusions on antithrombin levels after pediatric liver transplantation"; Poster Presentation

- 09/2016: Swiss Society of Pediatric Surgery meeting, St Gallen, Switzerland: "Effects of plasma transfusions on antithrombin levels after pediatric liver transplant"; Oral Presentation
- 09/2016: Swiss Critical Care Meeting, Montreux, Switzerland: "Donation after cardiac death (DCD): a medical practice with ethical and psychological implications"; Poster Presentation
- 06/2016: World Congress of the World Federation of Pediatric Intensive and Critical Care Societies, Toronto, ON, Canada:
 - "Ketosis after cardiopulmonary bypass in children in associated with a low cardiac output", Poster Presentation
 - "Anemia at discharge of pediatric intensive care unit"; Poster Presentation
 - "Performance of the Pediatric Logistic Organ Dysfunction 2 score in critically ill children requiring plasma transfusions"; Poster Presentation
- 05/2016: Pediatric Academic Societies Meeting (PAS), Baltimore, MD: "Preterm newborn monitoring with Near Infrared Spectroscopy (NIRS) and Perfusion Index (PI) in the first 72 hours of life"; Poster Presentation
- 10/2015: European Society of Intensive Care Medicine (ESICM), October 3 to 7, 2015, Berlin, Germany: "PCR coupled with Electrospray Ionization Mass Spectrometry for ruling out neonatal sepsis"; Poster Presentation
- 03/2015: International Symposium on Intensive Care and Emergency Medicine, Brussels, Belgium: "Rapid ruling out of neonatal sepsis by PCR coupled with Electrospray Ionization Mass Spectrometry"; Oral Presentation
- 01/2014: Annual International Meeting on Simulation in Healthcare, San Francisco, CA: "Validation of a Tool for the Assessment of Trainees during Simulated Pediatric Resuscitation"; Poster Presentation
- 09/2013: Swiss Society of Pediatric Surgery, Zurich, Switzerland: "Agreement between scores and outcomes in Necrotizing Enterocolitis"; Poster Presentation
- 01/2013: French Resuscitation Society, Paris, France: "Sondage international sur les seuils transfusionnels pour le plasma en réanimation pédiatrique"; Oral Presentation
- 01/2012: French Resuscitation Society, Paris, France : « Effets cliniques des transfusions de plasma aux soins intensifs pédiatriques."; Oral Presentation
- 05/2011: International Symposium on Intensive Care and Emergency Medicine, Brussels, Belgium: "Transfusion thresholds in children with sepsis"; Poster Presentation
- 10/2010: 22nd National Pediatric Resident and Fellows Research Competition, Winnipeg, MB, Canada: "Transfusion thresholds in children with sepsis"; Oral Presentation
- 01/2010: Society of Critical Care Medicine's congress, Miami, FL:
 - "Association between red blood cell unit length of storage and Multiple Organ Dysfunction Syndrome in pediatric intensive care"; Poster Presentation
 - "Transfusion thresholds in children with sepsis"; Poster Presentation
- 05/2009: Canadian's critical care trials group (CCCTG), Picton, ON: "Canada Effect of red blood cell unit length of storage on outcome in critically ill children"; Oral Presentation
- 03/2009:
- International Symposium on Intensive Care and Emergency Medicine, Brussels, Belgium:
 "Effect of red blood cell unit length of storage on outcome in critically ill children"; Poster Presentation
- "Blunt Abdominal Trauma in Children: a Score to Predict Organ Injury"; Poster Presentation
 - 8

11/2008: Critical Care Canada Forum, Toronto, Canada: "In Vitro Leucocyte Activation by Leukoreduced Red Cell Concentrates: Effect of Length of Storage"; Oral Presentation

06/2007:

- World Congress of the World Federation of Pediatric Intensive and Critical Care Societies, Geneva, Switzerland:
 - "Clinical and Biological Features in Very Low Birth Weight Infants with Staphylococcus epidermidis Sepsis"; Poster Presentation
 - "Vein of Galen Aneurismal Malformation: high-flow congestive heart failure responsive only to Prostaglandine El infusion"; Poster Presentation

06/2007: Annual Congress of the French Pediatric Surgery Society, Rouen, France: "Kystes linguaux congenitaux - a propos d'un cas"; Poster Presentation

- 09/2005: Annual Congress of the French Pediatric Surgery Society, Reims, France: "Valeur diagnostique des tests hépatiques après traumatisme abdominal fermé chez l'enfant"; Poster Presentation
- 08/2003: Annual Congress of the Swiss Pediatric Surgery Society, Geneva, Switzerland: "Liver function tests in paediatric blunt abdominal traumas requiring admission"; Poster Presentation

Regional

06/2021: Rao Ivatury Trauma Symposium, Richmond, VA: "Epidemiology of Bleeding in Critically Ill Children"; Poster Presentation

Professional Service

Peer Review Groups/Grant Study Sections:

2020	Ad hoc reviewer for the Thrasher Research Fund
2019	NIH/NHLBI Special Emphasis Panel/Scientific Review Group 2020/01 ZHL1 CSR-B
2019	Ad hoc grant reviewer, Program for Hospital Clinical Research (Programme Hospitalier
	de Recherche Clinique, PHRC), French Ministry of Health, Paris, France

Journal Service:

Editor/Associate Editor

2020-present Associate Editor, Frontiers in Pediatrics

2019-2020 Gest Editor, Frontiers in Pediatrics

2018-present Associate Editor, Journal of Pediatric Intensive Care

Reviewer

2019-present Frontiers in Pediatrics

2018-present Journal of Pediatrics

2018 Journal of the Pediatric Infectious Diseases Society

2013-present Annals of Intensive Care

2013 Lancet

2012-present Cochrane Library

2009-present Intensive Care Medicine

2009-present Pediatric Intensive Care Medicine

Professional Service for Professional Organizations:

BloodNet

2021-present Past-Chair 2017-2020 Chair, Bi-annual Meeting

2010-present Member

Pediatric Acute Lung Injury and Sepsis Investigators (PALISI) Network

2017-2020Member, Scientific Committee2007-presentMember

Pediatric Anticoagulation on ECMO (PEACE)

2019-present Executive Committee

Transfusion Transfusion and Anemia eXpert Initiative - Control/Avoidance of (TAXI-CAB) 2018-present Executive Committee

Meeting Planning/Participation

2021-2022	Subgroup Chair, National Heart, Lung, and Blood Institute's (NHLBI), State of the				
	Science in Transfusion Medicine symposium, Washington, DC				
2019-present	Abstract Referee, Society of Critical Care Medicine (SCCM)				
2018	Member, Surviving Sepsis – Pediatric Guidelines, Society of Critical Care Medicine				
2015	Member, National Heart, Lung, and Blood Institute's (NHLBI), State of the Science in				
	Transfusion Medicine symposium, Washington, DC				
2015	Chair, Symposium on Hepatic Extracorporeal Support, Geneva, Switzerland				

University Service:

Geneva University Hospital Committees

10/2010-09/2015	Chair, Adverse Events Steering Committee, Geneva University Hospital, Geneva,					
	Switzerland					
11/2011-02/2017	Chair, Pediatric Critical Care Simulation, University of Geneva, Geneva,					
	Switzerland					
12/2011-02/2017	Chair, Pediatric Resuscitation Team, Geneva University Hospital, Geneva,					
	Switzerland					
01/2013-02/2017	Chair, Pediatric Organ Donation Committee, Geneva University Hospital, Geneva,					
	Switzerland					
01/2014-02/2017	Chair, Extracorporeal Life Support Working Group, Geneva University Hospital,					
	Geneva, Switzerland					

Children's Hospital of Richmond Committees

06/2017-06/2022	Member of the Steering Committee, Research Institute, Children's Hospital of						
	Richmond, VA						
06/2019-06/2022	Chair, Pediatric Blood Utilization Committee, Children's Hospital of Richmond,						
	Richmond, VA						
09/2019-06/2022	Chair, Emergency, Pulmonary, Intensive Care, and Cardiovascular (EPIC)						
	Research Section, Research Institute, Children's Hospital of Richmond, VA						
05/2020-06/2022	Co-chair, Patient Care Task Force, Children's Hospital of Richmond, VA						

Virginia Commonwealth University

07/2020-06/2022	Membe	er, Faculty Sen	ate, Virginia (Commonw	ealth Un	iversity, Ri	chmond, VA
07/2021-06/2022	Chair,	Governance	Committee,	Faculty	Senate,	Virginia	Commonwealth
	Univer	sity, Richmond	l, VA				

Public Service:

2020-present Health Advisory Committee, Steward School, Richmond, VA

- 2019-present Consultant on Transfusion Strategies, Jehovah's Witnesses, Wallkill, NY
- 2016-present Pediatric Intensive Care Consultant, Doctors Without Border (Médecins Sans Frontière, MSF), Geneva, Switzerland
- 2014-2017 Pediatric Intensive Care Consultant, Pediatric Cardiac Surgery Program, Yaoundé, Cameroun

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Peer-Reviewed Original Research

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Invited Editorials and Commentaries

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Case Reports, Technical Notes, Letters

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Yale school of medicine

Department of Pediatrics

March 11, 2022

Connecticut Department of Public Health Attention: Physician Licensure 410 Capitol Avenue, MS 12MQA P.O. Box 340308 Hartford, CT 06134-0308

To Whom It May Concern:

PO Box 208064 New Haven CT 06520-8064 pediatrics.yale.edu

courier Laboratory for Medicine and Pediatrics (LMP) Room 4085 330 Cedar Street New Haven CT 06510

On behalf of the Yale Department of Pediatrics, we are confirming that Dr. Oliver Karam is being offered a position on the faculty of Yale School of Medicine. This letter sets forth the specific terms and conditions of this offer of employment.

Appointment

The Department of Pediatrics is recommending Dr. Karam's academic appointment as a **Professor of Pediatrics** in the Clinician-Educator Track for a continuing appointment effective July 1, 2022. This appointment is contingent upon completion of the normal University review process including approval by the School of Medicine and Yale Corporation.

Compensation

At the School of Medicine, compensation is determined in accordance with the School and Department Compensation Guidelines and is reviewed annually. For fiscal year 2023, Dr. Karam's compensation will be based upon the following:

Salary: Dr. Karam's salary will be \$377,000 per 1.0 FTE for the academic year July 1, 2022 through June 30, 2023. Salaries in the Department of Pediatrics are developed with reference to Association of American Medical Colleges (AAMC) data for all schools in all regions. Annual adjustments are made based on the Department's Faculty Compensation plan and are based on the faculty member's overall contribution to the School and the Department.

Responsibilities

As a Professor of Pediatrics in the Clinician-Educator Track, Dr. Karam is a national or international leader in his field of Pediatric Critical Care Medicine. This is evidenced by scholarship that has had a national impact on clinical medicine or education, as well as sustained excellence in patient care and teaching.

As a Professor of Pediatrics (1.0 FTE) in the Section of Critical Care Medicine, Dr. Karam's initial allocation of effort will be as follows:

Section Chief	40%
Research start-up/ Program Development	30%
Clinical & Educational Activities	<u>30%</u>
Total	100%

For all your above roles and responsibilities, Dr. Karam will report to Dr. Clifford Bogue, Department Chair.



Please feel free to contact us, if there is any additional information that you require to confirm Dr. Oliver Karam's appointment as a Professor of Pediatrics at the Yale School of Medicine.

Sincerely,

Clipsogue

Clifford W. Bogue, MD Waldemar Von Zedtwitz Professor of Pediatrics Chair, Department of Pediatrics, Yale School of Medicine Chief Medical Officer, Yale-New Haven Children's Hospital

P. An

Ashwin Pamudurthy, MHSA, MS Vice Chair, Finance & Administration Department of Pediatrics, Yale School of Medicine



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CONFIDENTIAL

March 30, 2022

Karen D. Hendricks-Muñoz, MD, MPH Interim Chair, Dept. of Pediatrics Interim, Physician-in-Chief Children's Hospital of Richmond Children's Hospital of Richmond of VCU Virginia Commonwealth University School of Medicine karen.hendricks-munoz@vcuhealth.org

RE: Oliver Karam, MD ABP ID: 1006864

Dear Dr. Hendricks-Muñoz:

The Credentials Committee of the American Board of Pediatrics (ABP) met on March 21, 2022, to review the petition for Dr. Oliver Karam to enter the Alternative Pathway for Academic Faculty.

The Credentials Committee reviewed the original petition dated January 13, 2020, submitted by Dr. Bruce Rubin, former Chair of the Department of Pediatrics at Children's Hospital of Richmond of VCU. The Committee also reviewed your February 28, 2022, letter attesting to Dr. Karam's clinical competence, along with the required three letters of endorsement from Drs. David E. Marcello III, Mark Marinello, and Frank Petruzella, all diplomates of the ABP. Based upon all information submitted, the Credentials Committee granted final approval for the petition.

In accordance with the Alternative Pathway for Academic Faculty policy and the Time-Limited Eligibility for Initial Certification Examinations policy, Dr. Karam will have seven years, until December 31, 2029, to become certified in general pediatrics and in pediatric critical care medicine. Our records indicate that Dr. Karam has applied for the 2022 General Pediatrics Certifying Examination.

At Dr. Karam's request, the Committee also reviewed the information that was submitted regarding his university limited license status. The ABP's policy is that physicians applying for a certifying exam must hold a valid, unrestricted allopathic and/or osteopathic medical license in at least one jurisdiction in the United States, its territories, or Canada. The Committee denied Dr. Karam's request to accept his limited license, as the ABP must treat all candidates for certification equitably, and therefore, an exception to the policy cannot be made. Dr. Karam will be required to submit a full, unrestricted medical license to the ABP by October 3, 2022 to meet the requirements for the 2022 General Pediatrics Certifying Examination.

Sincerely,

CARTAMILL WEAR MO Suzanne K. Woods, MD Executive Vice President

SKW/ajg

C: Oliver Karam, MD oliver.karam@vcuhealth.org

Yale SCHOOL OF MEDICINE Department of Pediatrics

March 14, 2022

To Whom It May Concern:

CLIFFORD W. BOGUE, MD

Waldemar Von Zedtwitz Professor of Pediatrics Chairman of Pediatrics Chief Medical Officer Yale New Haven Children's Hospital

PO Box 208064 New Haven CT 06520-8064 T 203 785-4638 clifford.boguc@yale.edu yalepediatrics.org

I am writing to give my strongest recommendation for Dr. Oliver Karam. Dr. Karam will be joining the department of pediatrics at Yale School of Medicine this summer as the new Chief of Critical Care Medicine. Dr. Karam was selected after we performed a national search that included several candidates with extraordinary accomplishments. The search process involved me having many in-depth conversations with Dr. Karam as well as personally speaking with several of his references, which included the person who recruited Dr. Karam to the United States from Switzerland as well as the chairman of his department at Virginia Commonwealth University. Through these interactions and discussions with these esteemed individuals, I feel confident that I know Dr. Karam well enough to give my strongest support to his application to the State of Connecticut Department of Health.

Dr. Karam currently serves as the John Mickell Professor of Pediatrics and Chief, Pediatric Critical Care Medicine at Virginia Commonwealth University. Dr. Karam's training is outstanding. He trained at top institutions in Switzerland, Canada and the US. He has excelled as a clinician, researcher, and leader. He moved to the United States in 2017 to join the faculty at VCU and quickly rose to the rank of professor and section chief of pediatric critical care medicine. He has an impressive research portfolio and is recognized as an international expert in the use of blood products, extracorporeal membrane oxygenation therapy, and clotting disorders in the pediatric critical care population. He has successfully led multi-institutional clinical trials and has led the development of important clinical care guidelines and consensus statements for the pediatric critical care community. All his references, both those with whom I directly spoke as well as others who wrote letters, attest to his skill in delivering outstanding care to his patients and to his unwavering commitment to improving the quality of medical care and to improving patient safety. He is also highly regarded for his leadership, vision and ability to develop consensus amongst those with whom he works. His references frequently used words such as "outstanding", "extraordinary", and "the best" in describing him as a clinician and academician. In my personal interactions with him, I've been impressed with his thoughtfulness, intelligence and commitment to excellence. After having reviewed many CVs and interviewed several candidates, it is clear to me the Dr. Karam is easily the best candidate for a section chief that I have interviewed in my career. I am confident the doctor Karam will be an outstanding addition to Yale School of Medicine and to the state of Connecticut.

In closing, I offer my strongest possible recommendation for Dr. Oliver Karam to practice medicine at the Yale School of Medicine. His training is outstanding and on par with any training he would've gotten in the United States and his track record is stellar. I place my full faith in Dr. Karam and look forward to his arrival at Yale pediatrics.

Sincerely,

CliPsogae

Clifford W. Bogue, MD Waldemar Von Zedtwitz Professor of Pediatrics Chair of Pediatrics Yale School of Medicine

Chief of Pediatrics and Chief Medical Officer Yale New Haven Children's Hospital



Yale Medicine

Section of Neonatal-Perinatal Medicine Department of Pediatrics 333 Cedar Street PO Box 208064 New Hayen, CT 06520-8064

Office: (203) 688-2320 Fax: (203) 688-5426

Mark R. Mercurio, MD, MA Chief, Neonatal Perinatal Medicine Yeisid F. Gozzo, MD **Medical Director Renee E. Barrett, MD** Matthew J. Bizzarro, MD Christie J. Bruno, DO Catherine Buck, MD Rosa Carrasco, MD Ashley Cozzo, MD Noa Fleiss, MD Kathryn Fletcher, MD Patrick G. Gallagher, MD Emily Gritz, MD Jeffrey R, Gruen, MD Lindsay C. Johnston, MD, M Ed Russell Kesman, MD Liza Konnikova, MD Soo Hyun Kwon, MD Orly Levit, MD **Cheryl Menzies, MD** Angela M. Montgomery, MD, MS Ed Eliza Myers, MD Oluwabunmi Olaloye, MD James Pelligrini, MD Steven M. Peterec, MD Melissa Posner, MD Brooke Redmond, MD Sheryl Purrier, MD Sarah N. Taylor, MD

February 17, 2022

To Whom It May Concern:

I am writing this letter in support of Oliver Karam's application to practice within the Yale University School of Medicine in the State of Connecticut. I am a practicing neonatologist and the Vice Chair for Clinical Affairs for the Department of Pediatrics at the Yale University School of Medicine. I led a search committee for the Division Chief of Pediatric Critical Care in the Yale Department of Pediatrics that identified Dr. Karam as our primary candidate. Dr. Karam has accepted the position with a tentative start date of July 1, 2022.

Dr. Karam is an extremely well-educated, well-trained, and well-accomplished physician. He earned his Doctor of Medicine and completed a residency in Pediatrics at the Geneva University School of Medicine. He then completed a postdoctoral fellowship in Pediatric Critical Care at the University of Montreal and earned a PhD in Clinical Research from the University of Lille, France. He was appointed as an Assistant Professor of Pediatrics at Geneva Hospital in 2011, a position he held until moving to the United States in 2017. Since that time, Dr. Karam has been a practicing attending physician in the Section of Pediatric Critical Care at the Children's Hospital of Richmond, Virginia. In 2019, he was appointed as the Division Chief of Pediatric Critical Care and promoted to the rank of Professor at Virginia Commonwealth University.

In addition to his clinical and leadership skills, Dr. Karam is also an extremely accomplished researcher. He is internationally known and highly regarded for his expertise in transfusion medicine and acute coagulopathy. From 2017-2020, Dr. Karam served as the Chair of BloodNet, the largest international blood product and transfusion-based research network in the world. He has published numerous peerreviewed manuscripts and has lectured throughout the world. During the 9-month section chief search, I had the opportunity to speak and meet with Oliver on several occasions. He is an incredibly intelligent, kind, thoughtful, and honest individual who puts the needs of his patients and the faculty he supports at the forefront of decision-making. I have also had the opportunity to speak with several individuals that know and have worked closely with Dr. Karam who all described him as a kind-hearted, dedicated, collaborative, honest, and superb and highly regarded clinician. One of his colleagues stated at the end of our discussion, "I do not know what other candidates you are exploring, but you will not find anyone better than Oliver." Now at the end of a lengthy and detailed search, I could not agree more.

In summary, Oliver Karam is an extremely gifted and accomplished physician, researcher, and educator, dedicated to his patients, his profession, and the faculty that he supports. In the relatively short time that I have known him, I have been most impressed with his character. He is an extremely thoughtful and honest individual who we are all thankful to have recruited to an incredibly important leadership position within our institution. I therefore highly recommend Dr. Oliver Karam and fully support his application to practice within the Yale University School of Medicine.

Please do not hesitate to contact me with any additional needs or inquiries.

Sincerely,

Matthew J. Bizzarro, MD Professor of Pediatrics Vice Chair Clinical Affairs, Department of Pediatrics Yale University School of Medicine

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH

VERIFICATION OF RESIDENCY TRAINING FORM

		vard it to the Chief of Staff or Program Director at the facility in npleted by the facility and returned directly to this office.							
Applicant's Name: Olive	er Karam	Date of Birth: June 9, 1975							
Chief of Staff/Program Dir physician licensure applican		ication of residency training for the above named Connecticut							
1. Name of facility where	e residency training was completed:	lopital Sainte Justine, Montreal, Canada							
2. Dates of participation:	From 8/01/2007 (month/day/year)	_{To} <u>6/30/2010</u>							
	(month/day/year)	(month/day/year)							
3. In what specialty was	the residency training completed: Per	diatric Critical Care Medicine							
4. At what level(s) was the	nis residency completed (PGY1, PGY	(2, etc.)? PGY6							
Accreditation Council		aining program in this specialty area accredited by the GME), American Osteopathic Association (AOA) or The SC)? Yes (YES or NO)							
6. Did this individual sati	6. Did this individual satisfactorily complete this period of residency training? Yes (YES or NO)*								
7. Was this individual ever placed on probation? <u>No</u> (YES or NO)*									
8. Was this individual ev	8. Was this individual ever disciplined or placed under investigation? <u>No</u> (YES or NO)*								
9. Were any limitations or special requirements placed upon this individual because of questions of academic incompetence, disciplinary problems or any other reason? No (YES or NO)*									
*If you answered" No" to q file regarding such inform		se provide details and or attach any documents you may have on							
I, Baruch Toledano Director at:	, being duly s	worn, do depose and certify that I am the Chief of Staff/Program							
Name of Facility:	Hopital Sainte Justine								
Address:	3175, chemin de la Cote Sain	te Catherine							
. .	Montreal, QC H3T 1C5, Cana	da							
Telephone Number:	(<u>514</u>) <u>237-1327</u>	Email: _baruch_toledano@ssss.gouv.qc.ca							
I certify that the information	ion above is an accurate account of the β	e individual's record and is true and correct.							
	Signature of Chief of Staff/Program Dir	4/21/2022 Date							
Please return this form di	rectly to:								
	Physician	ment of Public Health Licensure ye, MS#12APP							

P.O. Box 340308 Hartford, CT 06134-0308

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VERIFICATION OF RESIDENCY TRAINING FORM

		e completed by the facility and returned directly to this office.
Applicant's Name: Olive	r Karam	Date of Birth: June 9, 1975
Chief of Staff/Program Dir physician licensure applicant		verification of residency training for the above named Connecticut
I. Name of facility where	e residency training was complete	dr Geneva University Hospital, Switzerland
2. Dates of participation:	From 10/01/2002	To 07/31/2007
	(month/day/year)	(nonth/day/year)
. In what specialty was t	the residency training completed:	Pediatrics
l. At what level(s) was th	nis residency completed (PGY1, I	PGY2, etc.)?PGY5
Accreditation Council	for Graduate Medical Education	cy training program in this specialty area accredited by the (ACGME), American Osteopathic Association (AOA) or The ACPSC)? Yes (YES or NO)
6. Did this individual sati	isfactorily complete this period of	f residency training? Yes (YES or NO)*
7. Was this individual ev	er placed on probation? No	(YES or NO)*
3. Was this individual ev	er disciplined or placed under inv	vestigation? No (YES of NO)*
	r special requirements placed upo or any other reason? No (X	on this individual because of questions of academic incompetence, (ES or NO)*
*If you answered" No" to q file regarding such inform		, please provide details and or attach any documents you may have on
, Selina Pinosch	, being d	uly swom, do depose and certify that I am the Chief of Staff/Progra
Director al:		
Name of Facility:	Geneva University Hospita	al
Address:	6 rue Willy Donze	
	1205 Geneva, Swizterland	ſ
Telephone Number:	(<u>+41</u>) <u>79-699-21-91</u>	Email: selina.pinosch@hcuge.ch
I certify that the informat	ion above is an accurate account $\sqrt{2}$	of the individual's record and is true and correct.
	Wu	4/21/2022
	Signature of Chief of Staff/Program	n Director Date
Please return this form di	rectly to:	
a tense return tuns tarn or		en e
		epartment of Public Health
	Physi	epartment of Public Health cian Licensure of Ave, MS#12APP

. STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH

SCHOOL VERIFICATION FORM

APPLICANT: Please complete Section 1 of this form and forward it to your medical school THIS FORM, IN ADDITION TO AN OFFICIAL TRANSCRIPT, NEED ONLY BE SUBMITTED IF THE APPLICANT EARNED A DEGREE OUTSIDE OF THE UNITED STATES OR CANADA Section 1: Name of Applicant: **Olivier Laurent KARAM** June 9, 1975 Date of Birth: Year of Graduation 2002 ****** Section 2: (This section to be completed by the medical school.) This office has received an application for Connecticut physician licensure from the individual identified above. In order to complete our review of this individual's credentials for licensure, a verification of educational background is needed. The information below should be completed by the Dean, Registrar or other official authorized to verify educational records at the institution. Name of Educational Institution: University of Geneva, Faculty of Medicine Address of Educational Institution: Rue Michel-Servet 1, 1211 Genève 4 TO: 01.09.2002 Dates of Studies FROM: 01.09.1995 Total number of months of full-time classroom and supervised clinical instruction (record in MONTHS only):__84 Did this individual satisfactorily complete the full medical curriculum at this institution? YES: X NO: Was this individual granted a degree? YES: X NO: Title of Degree: "Diplôme Fédéral de Médecin (MD)" Date Awarded: January 31, 2002 , At the time of this student's attendance, was this medical school fully licensed and approved, by the appropriate regulatory body of the jurisdiction in which it is located, to award the degree of doctor of medicine or its equivalent? YES: X NO: 20.04.2022 Signature Date Pre Barbare BROERS, Academic Advisor Pre Barbara Broers Title Faculté de Médecine Conseillère aux études C.M.U. - I Rue Michel-Servet 1211 Genève 4 Please return this form directly to:

Connecticut Department of Public Health Physician Licensure 410 Capitol Ave, MS #12 APP P.O. Box 340308 Hartford, CT 06134

SUMMARY SUSPENSION COVER SHEET

In re: Usman Ramzan, M.D.

Petition No. 2022-318

- 1. Usman Ramzan, M.D., of Northford, Connecticut (hereinafter "respondent") is, and has been at all times referenced in this Statement of Charges, the holder of Connecticut physician and surgeon license number 044658.
- 2. On December 19, 2017, the Connecticut Medical Examining Board adopted the Consent Order, Petition No. 2016-1237 based on the allegation that Respondent's excessive use and/or abuse of alcohol does and/or may affect his ability to practice medicine safely and effectively.
- 3. The terms of probation became effective on January 1, 2018. The Order required, in part, that respondent shall refrain from the ingestion of alcohol in any form and that all screens shall be negative for alcohol. The Order provided for probation for five (5) years with random urine screens, participation in therapy, attending support group meetings and submission of employer reports to the Department.
- 4. On November 27, 2020, respondent tested positive for Ethyl Glucuronide (EtG) and Ethyl Sulfate (EtS). The confirmation test confirmed the EtS level of 178 ng/mL
- 5. On December 6, 2021, Respondent tested positive for EtG and EtS. The EtG cut off level is 500 ng/mL. The confirmation test confirmed only EtS at a level of 204 ng/mL with a cut off level at 75 ng/mL.
- 6. For the foregoing reasons, the Department believes that respondent's continued practice as a physician and surgeon represents a clear and immediate danger to the public health and safety. The Department respectfully requests that this Board summarily suspend respondent's license until a full hearing on the merits can be held.

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH CONNECTICUT MEDICAL EXAMINING BOARD

In re: Usman Ramzan, M.D.

Petition No. 2022-318

MOTION FOR SUMMARY SUSPENSION

The Department of Public Health (hereinafter "the Department") hereby moves in accordance with the General Statutes of Connecticut §§4-182(c) and 19a-17(c) that the Connecticut Medical Examining Board summarily suspend the license of Usman Ramzan, M.D., to practice as a physician and surgeon in Connecticut. This motion is based on the attached Statement of Charges, Affidavits and on the Department's information and belief that the continued practice as a physician and surgeon represents a clear and immediate danger to the public health and safety.

Dated at Hartford, Connecticut this <u>18th</u> day of <u>April</u> 2022.

Churtran Dandusen

Christian D. Andresen, MPH, CPH, Section Chief Practitioner Licensing & Investigations Section Healthcare Quality and Safety Branch

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH CONNECTICUT MEDICAL EXAMINING BOARD

In re: Usman Ramzan, M.D.

Petition No. 2022-318

STATEMENT OF CHARGES

Pursuant to the General Statutes of Connecticut, §§19a-10 and 19a-14, the Department of Public Health (hereinafter "the Department") brings the following charges against Usman Ramzan, M.D.:

COUNT ONE

- 1. Usman Ramzan, M.D., of Northford, Connecticut (hereinafter "respondent") is, and has been at all times referenced in this Statement of Charges, the holder of Connecticut physician and surgeon license number 044658.
- 2. On or about November 27, 2020, and/or December 6, 2021, respondent abused and/or used to excess alcohol.
- 3. Respondent's abuse and/or excess use of alcohol does, and/or may, affect his practice as a physician and surgeon.
- 4. The above facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut, §§19a-17 and/or 20-13c, including but not limited to §20-13c(3).

COUNT TWO

- 5. Paragraphs 1 through 4 are incorporated herein by reference as if set forth in full
- 6. On or about December 19, 2017, the Connecticut Medical Examining Board adopted the Consent Order, Petition 2016-1237, (hereinafter "the Order") based on the allegation that respondent's excessive use and/or abuse of alcohol does and/or may affect his ability to practice medicine safely and effectively.
- 7. The Order required, in part, that respondent shall refrain from the ingestion of alcohol in any form and that all screens shall be negative for alcohol.
- 7. On or about November 27, 2020, respondent's urine tested positive for Ethyl Glucuronide (EtG) and Ethyl Sulfate (EtS).
- 8. On or about December 6, 2021, Respondent's urine tested positive for Ethyl Glucuronide (EtG) and Ethyl Sulfate (EtS).
- 9. Respondent's conduct as described above constitutes a violation of the terms of probation as set forth in the Order, and subjects respondent's license to revocation or other disciplinary action authorized by Connecticut General Statutes, §§19a-17 and §20-13c.

THEREFORE, the Department prays that:

The Connecticut Medical Examining Board, as authorized by the General Statutes of Connecticut, §§20-13(c) and 19a-17, revoke or order other disciplinary action against the license of Usman Ramzan, M.D., as it deems appropriate and consistent with law.

Dated at Hartford, Connecticut this <u>18th</u> day of <u>April</u> 2022.

Churtran Dandusen

Christian D. Andresen, MPH, CPH, Section Chief Practitioner Licensing & Investigations Section Healthcare Quality and Safety Branch

CONNECTICUT MEDICAL EXAMINING BOARD CONSENT ORDER COVER SHEET

Respondent: Marc D. Legris, M.D.

Petition No. 2021-868

BIOGRAPHICAL INFORMATION:

Medical School: University of Kentucky College of Medicine Year of Graduation: 1991

07/01/1991-06/30/1992	Internal Medicine	Intern	Bay State Medical Center, Springfield, MA
07/01/1992-06/30/1995	Internal Medicine	Resident	Bay State Medical Center, Springfield, MA

Current employment: Not currently in active practice. License: 034593 Issued: 6/23/1995 Type of Practice: Internal Medicine Board Certification: American Board of Internal Medicine (Initially certified August 1996; however, certification is no longer active.) Malpractice History: 1998 (Average) Past History with DPH: None. Investigation Commenced: 9/17/2021

THIS CONSENT ORDER DISCIPLINE:

- Reprimand
- \$10,000 Civil Penalty
- Two (2) year probation to include in-person course in ethics, pre-approved by the Department; quarterly written employer reports from his immediate supervisor; practice only in a practice and office setting that physically includes other licensed physicians, physician assistants or advanced practice registered nurses on-site; and written approval from the Department before change in employment.

DEPARTMENT SUMMARY OF THE CASE:

The Department's Practitioner Licensing and Investigations Section opened this petition after receiving a referral from the Department of Consumer Protection, Drug Control Division.

In August 2021, respondent surrendered his DEA registration and his Connecticut Controlled Substance Prescribing credential.

During the course of approximately August 2018 through August 2021, respondent fraudulently used the name and DEA registration of another physician to prescribe controlled substances, on a recurring basis, for a family member. The family member was not respondent's patient and/or respondent failed to evaluate or maintain appropriate medical records for the family member.

WILL THIS RESULT IN A REPORT TO THE N.P.D.B. BANK? Yes

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH

In re: Marc D. Legris, M.D.

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Petition No. 2021-868

CONSENT ORDER

WHEREAS, Marc D. Legris of Oxford, Connecticut ("respondent") has been issued license number 034593 to practice as a physician and surgeon by the Department of Public Health ("the Department") pursuant to Chapter 370 of the General Statutes of Connecticut, as amended; and,

WHEREAS, respondent admits that:

- During the course of approximately August 2018 through August 2021, respondent fraudulently used the name and Drug Enforcement Administration ("DEA") registration number of another physician to prescribe controlled substances, on a recurring basis, for a family member. Said family member was not respondent's patient and/or respondent failed to evaluate and/or maintain appropriate medical records for said family member.
- 2. The above-described facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut, §20-13c(4).

WHEREAS, respondent, in consideration of this Consent Order, has chosen not to contest this matter and agrees that for purposes of this or any future proceedings before the Connecticut Medical Examining Board ("the Board"), this Consent Order shall have the same effect as if proven and ordered after a full hearing held pursuant to §§19a-10, 19a-14 and 20-13c of the General Statutes of Connecticut.

WHEREAS, on or about August 27, 2021, respondent voluntarily surrendered his DEA registration and his Connecticut Controlled Substance Prescribing credential.

NOW THEREFORE, pursuant to §§19a-14, 19a-17 and 20-13c of the General Statutes of Connecticut, respondent hereby stipulates and agrees to the following:

- 1. Respondent waives respondent's right to a hearing on the merits of this matter.
- Respondent's license number 034593 to practice as a physician and surgeon in the State of Connecticut is hereby reprimanded.
- 3. Respondent shall pay a civil penalty of ten thousand dollars (\$10,000.00) by certified or cashier's check payable to "Treasurer, State of Connecticut." The check shall reference the Petition Number on the face of the check and shall be payable at the time respondent submits the executed Consent Order to the Department.
- 4. Respondent's license shall be placed on probation for a period of two (2) years under the following terms and conditions:
 - a. Within the first six (6) months of the probationary period, respondent shall attend and successfully complete an in-person course in ethics, pre-approved by the department and for at least five (5) continuing education credit hours. Within fifteen (15) days of the completion of such coursework, respondent shall provide the Department with proof, to the Department's satisfaction, of the successful completion of such course.
 - b. Respondent shall provide a copy of this Consent Order to all employers if employed as a physician during the probationary period. All such employer(s) shall confirm receipt of a copy of this Consent Order, in writing to the Department within fifteen (15) days of the effective date of this Consent Order or within fifteen (15) days of commencement of employment with a new employer.

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- c. If employed as a physician, respondent shall be responsible for providing quarterly written reports from any and all of his employers. Such reports shall be provided from respondent's immediate supervisor directly to the Department, at the address listed in paragraph 5 below, and indicate whether respondent is practicing with reasonable skill and safety. Any employer report indicating that respondent is not practicing with reasonable skill and safety shall be deemed to be a violation of this Consent Order.
- d. During the period of probation, respondent shall only practice in an office and practice setting that physically includes other licensed physicians, physician assistants or advanced practice registered nurses on-site while respondent is practicing at said office and practice setting.
- e. Respondent shall obtain written approval from the Department prior to any change in employment.
- 5. All correspondence and reports are to be addressed to:

Practitioner Compliance and Monitoring Unit Department of Public Health 410 Capitol Avenue, MS #12HSR P.O. Box 340308 Hartford, CT 06134-0308

- 6. All reports required by the terms of this Consent Order shall be due according to a schedule to be established by the Department of Public Health.
- 7. Respondent shall comply with all state and federal statutes and regulations applicable to respondent's licensure.
- 8. Respondent shall pay all costs necessary to comply with this Consent Order.
- Any alleged violation of any provision of this Consent Order may result in the following procedures at the discretion of the Department:

a. The Department shall notify respondent in writing by first-class mail that the term(s) of this Consent Order have been violated, provided that no prior written consent for deviation from said term(s) has been granted.

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- b. Said notification shall include the acts or omission(s) which violate the term(s) of this Consent Order.
- c. Respondent shall be allowed fifteen (15) days from the date of the mailing of notification required in paragraph 9a above to demonstrate to the satisfaction of the Department that respondent has complied with the terms of this Consent Order or, in the alternative, that respondent has cured the violation in question.
- d. If respondent does not demonstrate compliance or cure the violation within the fifteen (15) days specified in the notification of violation to the satisfaction of the Department, respondent shall be entitled to a hearing before the Board which shall make a final determination of the disciplinary action to be taken.
- Evidence presented to the Board by either the Department or respondent in any such hearing shall be limited to the alleged violation(s) of the term(s) of this Consent Order.
- 10. In the event respondent does not practice as a physician and surgeon for periods of thirty (30) consecutive days or longer, respondent shall notify the Department in writing. Such periods of times shall not be counted in reducing the probationary period covered by this Consent Order and such terms shall be held in abeyance. During such time period, respondent shall not be responsible for complying with the terms of probation of this Consent Order. In the event respondent resumes the practice of physician and surgeon, respondent shall provide the Department with thirty (30) days prior written notice. Respondent shall not return to the practice of physician and surgeon without written pre-

approval from the Department. Respondent agrees that the Department, in its complete GENERLCO 299 7B-4 discretion, may require additional documentation from respondent and/or require respondent to satisfy other conditions or terms as a condition precedent to respondent's return to practice. Respondent agrees that any return to the practice of a physician and surgeon without pre-approval from the Department shall constitute a violation of this Consent Order and may subject the respondent to further disciplinary action.

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- 11. If, during the period of probation, respondent practices as a physician and surgeon outside Connecticut, respondent shall provide written notice to the Department concerning such practice. During such time period, respondent shall not be responsible for complying with the terms of probation of this Consent Order, and such time period shall not be counted in reducing the probationary period covered by this Consent Order. Respondent may comply with the terms of probation while practicing outside Connecticut if pre-approved by the Department. In the event respondent intends to return to the practice of physician and surgeon in Connecticut, respondent shall provide the Department with thirty (30) days prior written notice and agrees to comply with all terms and conditions required in paragraph 4 above.
- 12. In the event respondent violates any term of this Consent Order, said violation may also constitute grounds for the Department to seek a summary suspension of respondent's license before the Board.
- Legal notice shall be sufficient if sent to respondent's last known address of record reported to the Practitioner Licensing and Investigations Section of the Healthcare Quality and Safety Branch of the Department.
- 14. This Consent Order is effective on the first day of the month immediately following the date this Consent Order is accepted and ordered by the Board.
- 15. Respondent understands and agrees that this Consent Order shall be deemed a public document and the above admitted violations shall be deemed true in any proceeding

before the Board in which respondent's compliance with this Consent Order or with §20-

13c of the General Statutes of Connecticut, as amended, is at issue. Further, respondent understands that any discipline imposed by this Consent Order shall be reported to the National Practitioner Data Bank maintained by the United States Department of Health and Human Services and that all disciplinary actions will appear on respondent's physician profile pursuant to Connecticut General Statutes §20-13j.

- 16. In the event respondent violates a term of this Consent Order, respondent agrees immediately to refrain from practicing as a physician and surgeon, upon request by the Department, for a period not to exceed forty-five (45) days. During that time period, respondent further agrees to cooperate with the Department in its investigation of the violation, and to submit to and complete a medical, psychiatric or psychological evaluation, if requested to do so by the Department; and, that the results of the evaluation shall be submitted directly to the Department. Respondent further agrees that failure to cooperate with the Department in its investigation of respondent's license. In any such summary action, respondent stipulates that failure to cooperate with the Department's investigation shall be considered by the Board and shall, as a matter of law, constitute a clear and immediate danger as required pursuant to Connecticut General Statutes §§4-182(c) and 19a-17(c). The Department and respondent understand that the Board has complete and final discretion as to whether a summary suspension is ordered.
- 17. Any extension of time or grace period for reporting granted by the Department shall not be a waiver or preclude the Department from taking action at a later time. The Department shall not be required to grant future extensions of time or grace periods.
- 18. This Consent Order and terms set forth herein are not subject to reconsideration, collateral attack or judicial review under any form or in any forum. Respondent agrees that this

Consent Order shall not be subject to modification as a result of any claim that the terms GENERICO 2.99 7B-6 contained herein may result in action by third parties, including, but not limited to, healthcare facilities and/or credentialing or licensure boards and respondent waives any right to seek reconsideration or modification of this Consent Order pursuant to §4-181a of the General Statutes of Connecticut without the express consent and agreement of the Department. Respondent assumes all responsibility for assessing such actions prior to the execution of this document. Further, this Consent Order is not subject to appeal or review under the provisions of Chapters 54 or 368a of the General Statutes of Connecticut, provided that this stipulation shall not deprive respondent of any rights that respondent may have under the laws of the State of Connecticut or of the United States.

- 19. This Consent Order is a revocable offer of settlement which may be modified by mutual agreement or withdrawn by the Department at any time prior to its being executed by the last signatory.
- 20. Respondent permits a representative of the Department to present this Consent Order and the factual basis for this Consent Order to the Board. Respondent understands that the Board has complete and final discretion as to whether this executed Consent Order is approved or accepted. Respondent hereby waives any claim of error that could be raised that is related to or arises during the course of the Board's review of any documentation and discussions regarding whether to approve or reject this Consent Order and/or a Board member's participation during this process, through the Board member's review or comments, including but not limited to bias or reliance on evidence outside the administrative record if this matter proceeds to a hearing on a statement of charges resulting in a proposed decision by the Board and/or a panel of the Board and a final decision by the Board.
- 21. Respondent has consulted with his attorney prior to signing this Consent Order.

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- 22. The execution of this document has no bearing on any criminal liability without the written consent of the Director of the Medicaid Fraud Control Unit or the State's Attorney's Office where the allegation occurred or Bureau Chief of the applicable unit in the Chief State's Attorney's Office. The purpose of this Consent Order is to resolve the pending administrative license disciplinary petition only and is not intended to affect any civil or criminal liability or defense.
- 23. This Consent Order embodies the entire agreement of the parties with respect to this case. All previous communications or agreements regarding the subject matter of this consent order, whether oral or written, between the parties are superseded unless expressly incorporated herein or made a part hereof.

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۰ ۲ I, Marc D. Legris, have read the above Consent Order, and I stipulate and agree to the terms as set forth therein. I further declare the execution of this Consent Order to be my free act and

Subscriber and sworn for for me this day of 2022.
Subscribed arts store me this 9 TH day of MAL 2022.
Subscribes and Worn the top me this day of MAX 2022.
EN 18 POLYAR SI DE
Notary Public or person authorized by law to administer an oath or affirmation
Notary Public or person anthorized
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by law to administer an oath or affirmation

The above Consent Order having been presented to the duly appointed agent of the

Commissioner of the Department of Public Health on the _____10th _____ day of

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May 2022, it is hereby accepted.

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Christian D. Andresen, MPH, Section Chief Practitioner Licensing and Investigations Section Healthcare Quality and Safety Branch

The above Consent Order having been presented to the Connecticut Medical Examining Board

on the ______ day of ______ 2022, it is hereby ordered and accepted

Kathyrn Emmett, Esq., Chairperson Connecticut Medical Examining Board

CONNECTICUT MEDICAL EXAMINING BOARD CONSENT ORDER COVER SHEET

In re: Michael L. Krall, M.D.

Petition No. 2021-158

BIOGRAPHICAL INFORMATION:

Medical School: Jefferson Medical College, Year of Graduation: 1980

07/01/80-06/30/81	Pediatrics	Intern	Thomas Jefferson University Hospital
07/01/81-06/30/83	Pediatrics	Resident	Thomas Jefferson University Hospital
07/01/83-06/30/85	Pediatrics	Fellowship	Thomas Jefferson University Hospital

Current employment: Respondent is no longer actively practicing medicine and resides outside Connecticut.

License Number: 026257 Issued: 04/09/85

Board Certification: Respondent was Board Certified in the American Board of Allergy and Immunology in 1999. His certification is no longer active.

Malpractice History: None

Past History with the Department: None

Other State License: None

Investigation Commenced: March 30, 2021

CONSENT ORDER DISCIPLINE:

- Reprimand;
- \$2,000 civil penalty;
- Respondent shall not prescribe, dispense, or administer medications to friends, family or himself;
- If respondent intends to return to Connecticut to practice medicine, he shall provide written notice to the Department within ninety (90) days and agrees that the Department may require him to comply with other conditions or terms prior to his return to practice.

DEPARTMENT SUMMARY OF THE CASE:

This case was referred to the Department by the Department of Consumer Protection. From approximately May 29, 2017 through May 29, 2020, respondent prescribed promethazine/codeine syrup approximately six (6) times for a family member who was not his patient and/or failed to examine and/or maintain appropriate medical records for said family member.

WILL THIS RESULT IN A REPORT TO THE N.P.D.B. BANK?

• Yes.

Respondent chose not to enter into the Consent Order Review Agreement and is not agreeable to providing documents to the Connecticut Medical Examining Board for review.

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH CONNECTICUT MEDICAL EXAMINING BOARD

In re: Michael Krall, MD

Petition No. 2021-158

CONSENT ORDER

WHEREAS, Michael Krall, MD, of Boston, Massachusetts ("respondent") has been issued license number 026257 to practice as a physician and surgeon by the Department of Public Health ("Department") pursuant to Connecticut General Statutes, Chapter 370, as amended.

WHEREAS, respondent admits:

- From approximately May 29, 2017 through May 29, 2020, respondent prescribed promethazine/codeine syrup approximately six (6) times for a family member who was not his patient and/or failed to examine and/or maintain appropriate medical records for said family member.
- The above-described facts constitute grounds for disciplinary action pursuant to Connecticut General Statutes §20-13c(4).

WHEREAS, respondent, in consideration of this Consent Order, has chosen not to contest this matter and agrees that for purposes of this or any future proceedings before the Connecticut Medical Examining Board ("Board"), this Consent Order shall have the same effect as if proven and ordered after a full hearing held pursuant to Connecticut General Statutes \$\$19a-10, 19a-14 and 20-13c.

WHEREAS, respondent does not reside or practice medicine in the State of Connecticut.

NOW THEREFORE, pursuant to Connecticut General Statutes §§19a-14, 19a-17 and 20-13c, respondent hereby stipulates and agrees to the following:

- 1. Respondent waives his right to a hearing on the merits of this matter.
- Respondent's Connecticut physician and surgeon license number 026257 is hereby reprimanded.
- 3. Respondent shall pay a civil penalty of two thousand dollars (\$2,000.00) by certified or cashier's check payable to "Treasurer, State of Connecticut." The check shall reference the Petition Number on the face of the check and shall be payable at the time respondent submits the executed Consent Order to the Department.
- Respondent shall not, prescribe, dispense, or administer medications to friends, family members, or himself.
- 5. If respondent intends to return to Connecticut to practice as a physician and surgeon, respondent shall provide written notice to the Department within ninety (90) days prior to such intention and shall not return to practice without written pre-approval from the Department. Respondent agrees that the Department, in its complete discretion, may require respondent to comply with other conditions or terms as a condition precedent to respondent's return to practice. Respondent agrees that any return to the practice of medicine in Connecticut without written approval from the Department shall constitute a violation of this Consent Order and may subject the respondent to further disciplinary action.
- Respondent shall comply with all state and federal statutes and regulations applicable to respondent's licensure.
- 7. Respondent shall pay all costs necessary to comply with this Consent Order.
- If respondent violates any term of this Consent Order, said violation may also constitute grounds for the Department to seek a summary suspension of respondent's license before the Board.

- Legal notice shall be sufficient if sent to respondent's last known address of record reported to the Department.
- This Consent Order is effective on the date this Consent Order is accepted and ordered by the Board.
- 11. Respondent understands and agrees that this Consent Order is a public document and the above-admitted allegations shall be deemed true in any proceeding before the Board in which respondent's compliance with this Consent Order or with Connecticut General Statutes §20-13c, as amended, is at issue. Further, respondent understands that any discipline imposed by this Consent Order shall be reported to the National Practitioner Data Bank maintained by the United States Department of Health and Human Services and that all disciplinary actions will appear on respondent's physician profile pursuant to Connecticut General Statutes 20-13j.
- 12. If respondent violates a term of this Consent Order, respondent agrees immediately to refrain from practicing medicine, upon request by the Department, for a period not to exceed forty-five (45) days. During that time, respondent further agrees to cooperate with the Department in its investigation of the violation. Respondent further agrees that failure to cooperate with the Department in its investigation during said forty-five (45) day period shall constitute grounds for the Department to seek a summary suspension of respondent's license. In any such summary action, respondent stipulates that failure to cooperate with the Department's investigation shall be considered by the Board and shall, as a matter of law, constitute a clear and immediate danger pursuant to Connecticut General Statutes §§4-182(c) and 19a-17(c). Respondent understands that the Board has complete and final discretion whether a summary suspension is ordered.
- 13. Any extension of time or grace period for reporting granted by the Department shall not be a waiver or preclude the Department from acting later. The Department shall not be required to grant future extensions of time or grace periods.

- 14. This Consent Order and terms set forth herein are not subject to reconsideration, collateral attack, or judicial review under any form or in any forum. Respondent agrees that this Consent Order shall not be subject to modification because of any claim that the terms may result in action by third parties, including, but not limited to, healthcare facilities and/or credentialing or licensure boards and respondent waives any right to seek reconsideration or modification of this Consent Order pursuant to Connecticut General Statutes §4-181a without the Department's express consent and agreement. Respondent assumes all responsibility for assessing such actions prior to executing this Consent Order. Further, this Consent Order is not subject to appeal or review under the provisions of Connecticut General Statutes Chapters 54 or 368a, provided that this stipulation shall not deprive respondent of any rights under Connecticut or United States law.
- 15. This Consent Order is a revocable offer of settlement which may be modified by mutual agreement or withdrawn by the Department at any time prior to its being executed by the last signatory.
- 16. Respondent permits a representative of the Department to present this Consent Order and its factual basis to the Board. Respondent understands that the Board has complete and final discretion whether this executed Consent Order is approved or accepted. Respondent waives any claim of error that could be raised that is related to or arises during the Board's discussions regarding whether to approve or reject this Consent Order and/or a Board member's participation during this process, through the Board member's review or comments, including but not limited to bias or reliance on evidence outside the administrative record if this matter proceeds to a hearing on a statement of charges resulting in a proposed and/or final decision by the Board and/or a panel of the Board.
- 17. Respondent consulted with his attorneys prior to signing this Consent Order.
- 18. The execution of this document has no bearing on any criminal liability without the written consent of the Director of the Medicaid Fraud Control Unit or the State's

Attorney's Office where the allegation occurred or Bureau Chief of the applicable unit in the Chief State's Attorney's Office. The purpose of this Consent Order is to resolve the pending administrative license disciplinary petition only and is not intended to affect any civil or criminal liability or defense.

19. This Consent Order embodies the entire agreement of the parties regarding this petition. All previous communications or agreements concerning the subject matter of this Consent Order, whether oral or written, between the parties are superseded unless expressly incorporated or made a part hereof. I, Michael Krall, MD, have read the above Consent Order, and I stipulate and agree to the terms as set forth therein. I further declare the execution of this Consent Order to be my free act and deed.

	Michael Krall, MD
Subscribed and sworn to before me this	3rd day of Morch 2022.
DANA DELORES SMITH Notary Public - California Los Angeles County Commission # 2282147 My Comm. Expires Mar 21, 2023	Maur plan ball
)	Notary Public/Commissioner Superior Court

The above Consent Order having been presented to the duly appointed agent of the Commissioner of the Department of Public Health on the <u>10th</u> day of

March 2022, it is hereby accepted.

Churtran Dandusen

Christian D. Andresen, MPH, CPH, Section Chief Practitioner Licensing and Investigations Section Healthcare Quality and Safety Branch

The above Consent Order having been presented to the Connecticut Medical Examining Board

on the _____ day of _____ 2022, it is hereby ordered and accepted.

Kathryn Emmett, Esq., Chairperson Connecticut Medical Examining Board