AGENDA CONNECTICUT MEDICAL EXAMINING BOARD Tuesday, November 16, 2021 at 1:30 PM

Department of Public Health 410 Capitol Avenue, Hartford Connecticut

CALL TO ORDER

I. <u>APPROVAL OF MINUTES</u> September 24, 2021 and October 20, 2021

II. OPEN FORUM

III. UPDATES

- A. Chair Updates
- B. DPH Updates

IV. OFFICE OF LEGAL COMPLIANCE

- A. John Lynch, II, MD Petition No. 2021-726 Motion for Summary Suspension - Presented by Craig Sullivan, Staff Attorney, DPH
- B. Scott Houghton, MD Petition No. 2021-805 Proposed Reinstatement Consent Order - Presented by Linda Fazzina, Staff Attorney, DPH
- C. Michael Imevbore, MD Petition No. 2021-805 Presentation of Consent Order - Presented by Linda Fazzina, Staff Attorney, DPH
- D. Arjuna Mannam, MD Petition No. 2020-890 Presentation of Consent Order - Presented by Joelle Newton, Staff Attorney, DPH

<u>ADJOURN</u>

This meeting will be held by video conference at the following link

Medical Examining Board Monthly Meeting via Microsoft Teams Join on your computer or mobile app <u>Click here to join the meeting</u> Or call in (audio only) <u>+1 860-840-2075</u> - Phone Conference ID: 345 087 162# The following minutes are draft minutes which are subject to revision and which have not yet been adopted by the Board.

CONNECTICUT MEDICAL EXAMINING BOARD MINUTES of September 24, 2021

The Connecticut Medical Examining Board held an Emergency Meeting on Friday, September 24, 2021 via Microsoft TEAMS

BOARD MEMBERS PRESENT:	Kathrun Emmatt Ecg. Chairparcan
BOARD MEMBERS PRESENT.	Kathryn Emmett, Esq., Chairperson
	Allyson Duffy, MD
	Robert Green, MD
	Michele Jacklin
	Joseph Kaliko, Esq
	Marilyn Katz, MD
	Edward McAnaney, Esq.
	Jean Rexford
	Daniel Rissi, MD
	Harold Sauer. MD
	C. Steven Wolf, MD
	Andrew Yuan, DO
	Peter Zeman, MD
BOARD MEMBERS ABSENT:	Raymond Andrews, Jr., Esq
	Brimal Patel, MD
	Marie C. Eugene, DO
	William C. Kohlhepp, DHSc, PA-C
	Shawn London, MD
	David Schwindt, MD

Ms. Emmett called the meeting to order at 8:32 a.m.

OFFICE OF LEGAL COMPLIANCE

Sue Mcintosh, M.D.- Petition No. 2021-674

Assistant Attorney General Kerry Colson was present for this matter. Staff Attorney Joelle Newton, Department of Public Health, presented a Motion for Summary Suspension in the matter of Sue Mcintosh, MD. Respondent was not present and was not represented by counsel. Attorney Newton provided information in support of the Motion for Summary Suspension Dr. Green made a motion, seconded by Mr. McAnaney, to consider the motion for Summary Suspension.

The Board unanimously voted to grant the motion for Summary Suspension in that respondent's continued practice of medicine represents a clear and immediate danger to public health and safety A hearing in this matter will be held on October 5, 2021. The hearing panelists in this matter will be Dr. Katz, Ms. Jacklin and Dr. Green.

ADJOURNMENT

As there was no further business, the meeting was adjourned at 8:47 a.m.

Respectfully submitted, Kathryn Emmett, Esq., Chairperson The following minutes are draft minutes which are subject to revision and which have not yet been adopted by the Board.

CONNECTICUT MEDICAL EXAMINING BOARD MINUTES of October 20, 2021

The Connecticut Medical Examining Board held a meeting on Tuesday, October 19, 2021 via Microsoft TEAMS

BOARD MEMBERS PRESENT:	Kathryn Emmett, Esq., Chairperson Raymond Andrews, Jr., Esq. Brimal Patel, MD
	Marie C. Eugene, DO
	Robert Green, MD
	Michele Jacklin
	Joseph Kaliko, Esq
	William C. Kohlhepp, DHSc, PA-C
	Marilyn Katz, MD
	Jean Rexford
	Daniel Rissi, MD
	David Schwindt, MD
	C. Steven Wolf, MD
	Andrew Yuan, DO
BOARD MEMBERS ABSENT:	Allyson Duffy, MD
	Shawn London, MD
	Edward McAnaney, Esq.
	Harold Sauer. MD
	Peter Zeman, MD

Ms. Emmett called the meeting to order at 1:30 p.m.

I. <u>MINUTES</u>

The draft minutes of the August 17, 2021 meeting were reviewed. Professor Kohlhepp made a motion, seconded by Dr. Rissi to approve the minutes. The motion passed with all in favor except Mr. Andrews who abstained.

II. OPEN FORUM

None

III. <u>UPDATES</u>

A. <u>Chair Updates</u>

Chair Emmett gave an update concerning the Medical Board/DPH workgroup discussions.

Department of Public Health None

IV. <u>NEW BUSINESS</u>

A. <u>Ali Shakibai, MD – Review of License Reinstatement Application</u>

Celeste Dowdell, License and Applications Analyst, Department of Public Health presented a license reinstatement application for Ali Shakibai, MD.

Dr. Eugene made a motion, seconded by Dr. Katz, recommending that the Department of Public Health reinstate Dr. Shakibai's license. The motion passed with all in favor except Dr. Rissi who was opposed

V. OFFICE OF LEGAL COMPLIANCE

A. Darja Djordjevic, M.D. - Petition No. 2021-46

Staff Attorney Joelle Newton, Department of Public Health, presented a Consent Order in this matter. Attorney Michael Allen was present with respondent

Deputy Associate Attorney General Daniel Shapiro was present for this matter.

Ms. Jacklin made a motion, seconded by Professor Kohlhepp, to approve the Consent Order which imposes license probation for a period of two years.

Deputy Associate Attorney General Daniel Shapiro provide input during discussion.

The motion to approve the Consent Order with all except for Dr. Katz who was opposed.

B. <u>Alexandar Jovanovich, M.D. Petition No. 2020-876</u>

Staff Attorney Joelle Newton, Department of Public Health, presented a Consent Order in this matter. Respondent was not present and was not represented.

Dr. Green made a motion, seconded by Dr. Patel, to approve the Consent Order which imposes a reprimand. The motion passed unanimously.

B. <u>Sue Mcintosh, M.D.- Petition No. 2021-674</u>

Staff Attorney Joelle Newton, Department of Public Health, presented a motion to withdraw the Statement of Charges in this matter. Respondent was not present and was not represented. Dr. Wolf made a motion, seconded by Dr. Green, to grant the Department of Public Health's motion to withdraw the Statement of Charges in that in that respondent surrendered her license to practice on October 1, 2021. The motion passed unanimously.

VI. ADJOURNMENT

As there was no further business, the meeting was adjourned at 2:28 p.m.

Respectfully submitted, Kathryn Emmett, Esq., Chairperson

SUMMARY SUSPENSION COVER SHEET

In re: John D. Lynch, II, M.D.

Petition No. 2021-726

- 1. Respondent has been licensed as a physician and surgeon in Connecticut since March 21, 1977.
- 2. On or about June 19, 2012, the Connecticut Medical Examining Board (hereinafter the "Board") revoked respondent's license based on respondent's abuse or utilization of alcohol to excess.
- 3. On or about January 21, 2020, the Board issued a Memorandum of Decision (hereinafter the "MOD") in Petition No. 2019-100 reinstating respondent's license and placing it on probation for three years. Respondent's probation began on February 18, 2021 after he successfully completed the Special Purpose Examination required under the MOD.
- 4. The MOD required respondent, in part, to: a.) undergo a post-licensure or re-entry clinical skills evaluation within the first six months of probation; b.) submit to random observed urine screens; c.) attend anonymous or support group meetings eight to ten times per month and submit quarterly reports; and d.) participate in regularly scheduled therapy sessions and submit monthly reports.
- 5. From approximately February 18, 2021 to the present, respondent failed to attend a postlicensure or re-entry clinical skills evaluation.
- 6. From approximately February 18, 2021 through approximately April 28, 2021, respondent failed to submit to random observed urine screens as required under the MOD.
- 7. From approximately June 23, 2021 to the present, respondent failed to submit to random observed urine screens as required under the MOD.
- 8. On or about April 30, 2021, May 31, 2021, and/or July 31, 2021 to the present, respondent failed to attend regularly scheduled therapy sessions, and/or to submit monthly therapist reports.
- 9. From approximately April 2, 2021 to the present, respondent failed to attend anonymous or support group meetings, and/or to submit quarterly reports of his attendance.
- 10. On or about June 24, 2021, respondent's therapist report stated that he was not able to practice medicine with reasonable skill or safety.
- 11. For the foregoing reasons, the Department believes that respondent's continued practice as a physician and surgeon represents a clear and immediate danger to the public health and safety. The Department respectfully requests that this Board summarily suspend respondent's license until a full hearing on the merits can be held.

CONFIDENTIALITY NOTICE: This document and all attachments may contain information that is confidential or privileged. Please do not disseminate, distribute or copy the contents, or discuss the same, with parties who are not directly involved in this petition. Thank you.

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH

In re: John D. Lynch, II, M.D.

Petition No. 2021-726

MOTION FOR SUMMARY SUSPENSION

The Department of Public Health (hereinafter "the Department") hereby moves, in accordance with the General Statutes of Connecticut §§4-182(c) and 19a-17(c), that the Connecticut Medical Examining Board summarily suspend the license of John D. Lynch, II, M.D. to practice as a physician and surgeon in Connecticut. This motion is based on the attached Statement of Charges, Affidavits and on the Department's information and belief that the continued practice as a physician and surgeon represents a clear and immediate danger to the public health and safety.

Dated at Hartford, Connecticut this <u>5th</u> day of <u>November</u> 2021.

Churtran Dandusen

Christian D. Andresen, MPH, CPH, Section Chief Practitioner Licensing and Investigations Section Healthcare Quality and Safety Branch

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH

In re: John D. Lynch, II, M.D.

Petition No. 2021-726

STATEMENT OF CHARGES

Pursuant to the General Statutes of Connecticut, §§19a-10 and 19a-14, the Department of Public Health (hereinafter "Department") brings the following charges against John D. Lynch, II, M.D.:

COUNT ONE

1. John D. Lynch, II, M.D. of Richmond, Virginia (hereinafter "respondent") is, and has been at all times referenced in this Statement of Charges, the holder of Connecticut physician and surgeon license number 018686.

2. On or about June 19, 2012, the Connecticut Medical Examining Board (hereinafter the "Board") revoked respondent's license based on respondent's abuse or utilization of alcohol to excess.

3. On or about January 21, 2020, the Board issued a Memorandum of Decision (hereinafter the "MOD") in Petition No. 2019-100 reinstating respondent's license and placing it on probation for three years. Respondent's probation began on February 18, 2021 after he successfully completed the Special Purpose Examination required under the MOD.

4. The MOD required respondent, in part, to: a.) undergo a post-licensure or re-entry clinical skills evaluation within the first six months of probation; b.) submit to random observed urine screens; c.) attend anonymous or support group meetings eight to ten times per month and submit quarterly reports; and d.) participate in regularly scheduled therapy sessions and submit monthly reports.

5. From approximately February 18, 2021 to the present, respondent failed to undergo a postlicensure or re-entry clinical skills evaluation.

6. From approximately February 18, 2021 through approximately April 28, 2021, respondent failed to submit to random observed urine screens as required under the MOD.

7. From approximately June 23, 2021 to the present, respondent failed to submit to random observed urine screens as required under the MOD.

8. On or about April 30, 2021, May 31, 2021, and/or about July 31, 2021 to the present, respondent failed to attend regularly scheduled therapy sessions, and/or to submit monthly therapist reports.

9. From approximately April 2, 2021 to the present, respondent failed to attend anonymous or support group meetings, and/or to submit quarterly reports of his attendance.

10. On or about June 24, 2021, respondent's therapist report stated that he was not able to practice medicine with reasonable skill or safety.

11. The above facts constitute violations of the terms of probation as set forth in the MOD, and subject respondent's license to revocation or other disciplinary action authorized by the General Statutes of Connecticut §§ 20-13c and 19a-17, including, but not necessarily limited to:

a. §20-13c (2); and b. §20-13c (3).

COUNT TWO

12. Paragraph one of Count One is incorporated herein by reference as if set forth in full.

13. From on or about March 11, 2021 to the present, respondent has or had an emotional disorder and/or mental illness.

14. Respondent's emotional disorder and/or mental illness does, and/or may, affect his practice as a physician and surgeon.

15. The above facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut § 20-13c, including, but not necessarily limited to:

a. §20-13c (2).

THEREFORE, the Department prays that:

The Connecticut Medical Examining Board, as authorized by the General Statutes of Connecticut, §§ 20-13c and 19a-17, revoke or order other disciplinary action against the license of John D. Lynch, II, M.D. as it deems appropriate and consistent with law.

Dated at Hartford, Connecticut this <u>5th</u> day of <u>November</u> 2021.

Churtran Dandusen

Christian D. Andresen, MPH, CPH, Section Chief Practitioner Licensing and Investigations Section Healthcare Quality and Safety Branch

CONNECTICUT MEDICAL EXAMINING BOARD

Respondent: Scott W. Houghton, M.D.

Petition No. 2021-805

- Licensing History and Background Information Scott W. Houghton of Madison, Connecticut was issued license number 042317 to practice as physician and surgeon on April 12, 2004. Dr. Houghton's license was suspended and subsequently expired pursuant to an Interim Consent Order dated August 13, 2011. He has not practiced since August 2011. On September 24, 2013, Dr. Houghton entered into a plea agreement under the Alford Doctrine in Connecticut Superior Court case number MMX-CR11-0194340-T. When Dr. Houghton entered his Alford plea¹, he was convicted of two counts of Sale of Narcotic/Hallucinogenic, one count of Sale of Controlled Substance, and one count of Failure to Secure Controlled Substance Stock. The Connecticut Superior Court imposed a sentence of seven years jail, execution suspended, and five years' probation. Dr. Houghton successfully completed his probationary period in February 2019.
- <u>Education/Residency</u> Dr. Houghton graduated from Jefferson Medical College in 2001. From July 1, 2001 to June 30, 2002, he completed an internal medicine internship at Beth Israel Deaconess Medical Center/Harvard Medical School, Boston, Massachusetts. From July 1, 2002 to June 30, 2004, he completed an internal medicine residency at Greenwich Hospital/Yale Medical School. After completing his residency, Dr. Houghton joined a primary care practice in Old Saybrook, Connecticut where he practiced with the owner/physician for six years. He was certified by the American Board of Internal Medicine from 2004 to 2014.
- 3. <u>Reinstatement Request and Proposed Terms for Reinstatement</u> On or about April 6, 2020, Dr. Houghton applied for reinstatement of his physician and surgeon license. Because he has not actively practiced since August 2011, the Department of Public Health ("the Department") has elected to seek input from the Connecticut Medical Examining Board on proposed terms for reinstatement of Dr. Houghton's medical license.

A proposed Reinstatement Consent Order is enclosed and includes successful completion of a re-entry clinical skill evaluation through CPEP, or similar program, pre-approved by the Department; passing the Special Purpose Examination of the Federation of State Medical Boards; successful completion of coursework in Professional Ethics and Boundaries with Patients (5 hours for each course); a reprimand, a four-year probationary period with a practice supervisor who must conduct a random review of Dr. Houghton's patient records and provide monthly written reports to the Department during the first year of probation and quarterly written reports for the remainder of the probation.

In addition, the proposed Reinstatement Consent Order includes a permanent restriction on Dr. Houghton's license, including a prohibition on prescribing controlled substances; a prohibition on supervising physician assistants or entering into a collaborative agreement with an advanced practice registered nurse; and a requirement that Dr. Houghton only practice in an office and practice setting that regularly physically includes at least one other licensed physician on site.

¹ An Alford guilty plea means that a defendant waives the right to a trial but refuses to admit to having committed the criminal acts. See *North Carolina v. Alford*, 400 U.S. 25, 91 S. Ct. 160 (1970). This case holds that courts may accept guilty pleas even though the plea is accompanied by claims of innocence.

4. <u>Other Healthcare Related Activities</u> Between 2012 and 2016, Dr. Houghton has worked with Physician Ancillary Services in Madison, Connecticut as a consultant to medical practices and hospitals regarding ancillary medical related services including testing modalities and medical devices. In January 2012, he became co-founder of Inbox Health where he currently is Director of Sales and Business Development. Inbox Health provides cloud-based technology that allows providers and patients to communicate regarding medical costs and financial issues. Also, since November 2012, Dr. Houghton has been co-owner of CT Healthcare Consultants in Madison, Connecticut, and provides guidance to healthcare practices and institutions on patient communications, enhancing billing efficiency and using ancillary service platforms.

On October 16, 2011, Dr. Houghton completed a three-day, twenty-hour PRIME-E (Professional Renewal in Medicine through Ethics) course. He has also completed additional continuing medical education courses for seventy-seven (77) CME credits. Additional information on these courses is enclosed.

5. Dr. Houghton's goal is to practice internal medicine in a community setting.

In re: Scott Houghton, M.D.

Petition No. 2021-805

INDEX

Attachment

Curriculum Vitae (3 pages)

Physician Letters of Support (4 pages)

Continuing Medical Education (21 pages)

Proposed Reinstatement Consent Order (14 pages)

SCOTT HOUGHTON, MD

EDUCATION

Jefferson Medical College - Philadelphia, PA Graduated June 2001

Clinical Honors: High Honors in Internal Medicine, Gastroenterology at Yale Medical School, Anesthesiology, and Radiology.

Harvard University Post Baccalaureate Program – Cambridge, MA (1994 – 1996) Pre-Medical Program

Harvard University Teaching Fellow (1966-1967) Teaching Fellow – Harvard University pre-medical post baccalaureate program in general chemistry.

Villanova University – Villanova, PA Graduated May 1990 Bachelor of Finance / Marketing

RESIDENCY

Beth Israel Deaconess Medical Center / Harvard Medical School Residency Boston, MA (6/01-6/02)

Categorical Intern, Internal Medicine Residency Program, and Associate Professor Harvard Medical School. Training included BIDMC, Brigham and Women's Hospital, VA Hospital, and The Dana Farber Cancer Institute.

Greenwich Hospital – Greenwich, CT (6/02 – 6/04) Categorical Internal Medicine Resident, Yale affiliated Residency. Quality Award Recipient 2002.

CLINICAL EXPERIENCE

Premier Medical Group-Old Saybrook, CT (6/04-4/10)

Joined single physician internal medicine practice after completing residency as a new internal medicine physician. Practice was a very large internal medicine and dermatology practice for adult patients in Southeastern Connecticut. Quickly built the practice into one of the busiest primary care practices on the Connecticut shoreline. As a primary care physician at Premier Medical Group, responsibilities included performing complete physical assessments, diagnosing and treatment of acute and chronic medical conditions, interpreting medical tests (lab work, radiology tests, etc.), and also patient education.

During the 7 years at this practice, had office visits everyday including Saturday and Sunday and often treated entire families (including children over 18, their parents and also grandparents.) A significant portion of practice was devoted to geriatrics and regularly conducted home visits for elderly patients in practice who had no transportation to the office. Practice focused on the challenge of diagnosis, treatment, delivery of care, and follow up for the elderly population. Throughout this period mentored high school students interested in pursuing medicine. Students spent time in Dr. Houghton's office, "shadowing" him, observing his evaluation and interaction with patients and discussing medical issues that arose, and also issues of interest to the students. Was very involved in the community and frequently gave lectures on medical topics of concern for the elderly at the request of the Visiting Nurses associations, and also Senior Centers in the Connecticut shoreline area.

Wesleyan University – Medical Director of the Athletic Department for Student Athletes, Middletown, CT (9/09 - 4/11)

Medical Director of the Athletic Department for Student Athletes, appointed by University and recommended for position by orthopedic group charged with surgical care of athletes. As Medical Director performed full pre-season physicals including cardiac evaluations of Wesleyan University football players. Performed concussion training and evaluated athletes with suspected concussions. Also performed all other nonsurgical exams for any acute or chronic issue facing these student athletes. Served as acting physician at home football games and was responsible for trauma evaluations. All Medical Director responsibilities were carried out after office hours at the University. During football season Wesleyan students were also seen at the University from 6pm to 10pm after seeing patients in private practice.

Town and Country Physicians – Westbrook, CT (4/10 – 10/11)

Owner of Town and Country Physicians, an internal medicine practice in Westbrook. Primary care internal medicine practice for adult patients and responsibilities included performing complete physical assessments, diagnosing and treatment of acute and chronic medical conditions, interpreting medical tests (lab work, radiology tests, etc.), and also patient education. Saw patients in the office and also did home visits for patients who were home-bound. Had a very large number of geriatric

patients that required home visits and often lectured at senior centers on relevant medical topics such as home safety, memory issues, diabetes, and other internal medicine health conditions. Also gave lectures at the request of Visiting Nurses, was involved in community service and wrote articles regarding pertinent medical issues in the local newspapers.

OTHER HEALTHCARE RELATED EXPERIENCE

Physician Ancillary Services – Madison, CT (10/11 – 03/16)

Consultant and Healthcare Services Expert. Educated practices and hospitals on ancillary, covered and non-covered, services to increase relevant patient care options and revenue. Taught physicians and staff regarding testing modalities and medical devices to assist with preventative care and monitoring of chronic conditions

Inbox Health – New Haven, CT (1/12 to Present)

Co-Founder and Director of Sales and Business Development of healthcare technology company. Inbox Health is dedicated to improving doctor-patient communication by creating pricing transparency for medical services. Created technology that allows providers to accurately determine patient's financial responsibility, efficiently and effectively. Also responsible for investor acquisition, national sales roll out, major corporate integrations, and hospital / practice synergy. Provide expertise in office practice needs, medical billing, and client data analysis. Inbox Health creates Cloud based technology that allows providers/billers an efficient way to empower patients to engage and communicate regarding their medical financial issues. Inbox Health creates a centralized way to communicate the financial obligation for all patients regardless of age or insurance and adhere to meaningful use.

CT Healthcare Consultants- Madison, CT (11/12 to Present)

Co-Owner. Provide guidance to healthcare systems (practice and institutions) with patient communication, enhancing billing efficiency, delivery of patient materials, and increasing profits using revenue collection and ancillary services platform.

RESEARCH

Greenwich Hospital (1/03 - 6/04)

Yale affiliated Internal Medicine Program. Director of research project to analyze physician adherence to clinical guidelines and specifically the effects this has on patient outcomes, length of stay in the hospital, and insurance coding/reimbursement. Data used to improve patient care and optimize insurance revenue.

Harvard School of Public Health / Harvard Medical School(1/95-1/97)

Research Assistant / Consultant under direction of Troyen Brennan MD, JD, MPH investigating the quality of care, patient satisfaction, and adherence to clinical standards in the Harvard teaching hospital emergency departments. Patient data from interviews, outcomes, and satisfaction surveys used to improve emergency department care and to globally decrease negative patient perceptions and litigation.

Harvard Psychology Department(3/95 – 8/95)

Head Research Assistant responsible for group dynamics study.

INTERESTS

Volunteering at community, local high school sporting events, and performing safety and education in the Community. Coaching youth sports Avid runner

Yale University

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Jaime Gerber MD FACC FASNC RPVI Associate Professor of Clinical Medicine Yale University School of Medicine Medical Co-Director of Vascular Imaging Lab Yale Heart and Vascular Center New Haven, CT

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July 1, 2019

Department of Public Health 410 Capitol Avenue Hartford, CT 06134-0308 June 30, 2019

Re: Scott Houghton, M.D.

Dear Sirs and Madams,

I write to the Department today in strong support of Dr. Scott Houghton in his application for medical licensure in the State of Connecticut.

To provide the Department with background information about me, I have practiced Cardiology continuously since 1986, and since 1990 have been a partner and then Managing Partner of Cardiology Associates of New Haven. Currently I am an Associate Professor of Clinical Medicine on the faculty of Yale School of Medicine and an Attending Physician at Yale New Haven Hospital and the St. Raphael's Campus. Among my current duties are advanced medical imaging providing nuclear cardiology, CT testing to hospitalized patients, direct patient care, and teaching of cardiology fellowship trainees, medical residents and medical students. I also maintain a strong interest in professional education and clinical research.

Throughout my career, I have been involved with Quality Improvement at the Yale Heart and Vascular Center, at one point holding the Chair of that committee and helped the hospital receive the Thompson Award for Clinical Quality. My work on the Physician Engagement Committee has helped to foster dialog among physicians and hospitals about physician behavior and burn out. My clinical practice is centered in the towns on the Connecticut shoreline east of New Haven, which is the area where Dr. Scott Houghton practiced as a primary care physician.

I met Dr. Houghton through patient referrals from his primary care practice. Dr. Houghton first started referring patient to me and our group beginning in 2004 and he referred dozens of patients to my partners and I until 2011. These were all patients that he cared for in his primary care practice. During our frequent conversations regarding patient care, we developed a strong working relationship. I found Dr. Houghton's commitment to excellent interprofessional communication to be very helpful and always directed toward best outcomes and safety. Dr. Houghton called me at appropriate times to ask thoughtful questions about his patients, and also to expedite their appointments and evaluations with our group out of concern for their welfare. a diffe the second second fifther to be been a second second

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Dr. Houghton always knew the details of each patient's clinical condition, and had considered options for the patient, prior to calling for referral. This level of personal commitment to his patients' safety and outcomes is in my judgment exemplary. We strive to teach this level of communication and dedication to safety to our resident physicians and cardiology fellows. Dr. Houghton practiced it.

In any professional practice, it becomes part of the specialists' fiber to understand the level of expertise that the referring doctor has brought to bear on behalf of the patient in an attempt to solve the medical problems. Dr. Houghton always demonstrated to me a very strong knowledge of his field of primary care and a particular knowledge and interest in cardiology. His testing choices and medication use were in keeping with guideline driven medical care. When the need arose for a different treatment path, Dr. Houghton knew when to call for help and was extremely careful in considering alternatives for treatment.

During the 7 years that I worked collaboratively with Dr. Houghton, I do not recall any time where Dr. Houghton had made a poor choice in medical treatment. His prescribing habits were always correct and compliant. Patient safety was always his primary goal. Dr. Houghton took extra time and expended extra effort to make sure that I understood the medical situation of each of his patients.

Dr. Houghton demonstrated to me consistent commitment to patient outcomes and also their dignity. I recall a specific case where Dr. Houghton was treating a very elderly and medically complex patient who was well into her 90's. Dr. Houghton's concern regarding the potential for medical complications of life extending treatment for this patient was palpable. Importantly, he had discussed these concerns with the patient, creating a shared decision environment with them so that we all could make the best choices for their comfort and potential recovery. This was a constant theme in my interactions with Dr. Houghton. He was always mindful of the social circumstances of decision making, particularly for those nearing end of life. In fact I have never seen or heard anything from Dr. Houghton that was not directed toward medical excellence and patient dignity and comfort.

. . .

During Dr. Houghton's time in practice, I had a number of his patients become my long term cardiac patients. There are still a number of them in my practice. The Department should know that I never heard anything negative from any patient about Dr. Houghton in any form whatsoever. His patients loved him, they were totally shocked that he left practice and look forward to the day that he would return to practice.

Likewise I have never heard anything negative from any other physician who took care of any of his patients. My closest partner in practice, who also saw referrals from Dr. Houghton, shared my sentiments about his professionalism, dedication to his patients, appropriateness of medical treatment and communication.

In summary, I firmly believe that Dr. Scott Houghton is a competent primary care physician who can practice medicine with much more than reasonable skill and safety. Dr. Houghton is a good doctor.

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Sincerely, Jaime R. Gerber, M.D.

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From: INSCRIMETIVE Service

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MIDDLESEX ORTHOPEDIC SURGEONS, P.C.

410 Saybrook Rusul, Suite 100 Müddletown, Constentiour - 06457 Phone (\$60) 685 8940 Fax (860) 685 8944

Sean J. O'Donnell, M.D. Jeffrey A. Bash, M.D. Lewrence Berson, M.D. Joseph M. Sohn, M.D. David Hergan, M.D. James H. Walsh, D.O.

PATIENT NAME: HOUGHTON, SCOTT

DATE OF SERVICE: 06

06/09/2019

PATIENT NUMBER:

DATE OF BIRTH:

TO: DEPARTMENT OF PUBLIC HEALTH

RE: DR. SCOTT HOUGHTON

To Whom It May Concern:

I am a board certified orthopedic surgeon specializing in spinal surgery and have been in private practice in Middletown Connecticut since 08/2000. I am writing this letter in support of Dr. Scott Houghton. As a primary care physician/internal medicine doctor, Dr. Houghton referred patients to me from 2004 to 2011.

In addition to Dr. Houghton referring me patients for my evaluation and treatment for disorders of the neck and back, he also performed preoperative medical clearances for those patients that required surgical intervention. Additionally, I have spoken on the phone with Dr. Houghton on numerous occasions to coordinate care on behalf of my patients.

In my opinion, Dr. Houghton is an extremely competent physician. Based on my interactions with him, he has always exercised excellent clinical judgment and rendered appropriate treatment to the patients that we have shared. Furthermore, it is my opinion that he is an outstanding physician with a very wide breadth of medical knowledge as is required to be an effective and competent primary care physician/internal medicine practitioner.

It is without any question in my opinion that Dr. Houghton is able to practice medicine with a reasonable skill and safety level. My interactions and experience with Dr. Houghton have been outstanding. Furthermore, it should be noted that the patients he has referred to me have always noted that he is "an amazing physician" and extremely caring doctor, who always go "the extra mile" in the interest of taking the best care possible of his patients.

In summary, I strongly support the re-issuing of a medical license to Dr. Houghton so he can practice medicine in the state of Connecticul and be allowed the opportunity to treat the patients who will greatly benefit from his superior skills as a physician and outstanding bedside manner.

Please contact me if there are any other further questions or concerns with Dr. Houghton or if you would like to discuss my experiences with Dr. Houghton on the phone or meet with me, I would be happy to do this with you at the mutually convenient time.

Since Jeff sh, M.D. JA8/kas

cc: Department of Public Health 410 Capital Avenue

HOUGHTON, SCOTT

06/09/2019

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Scott Houghton, MD List of Completed Continuing Medical Education Courses¹

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Name of Course	
NetCE – HIV/AIDS: Epidemic Update	Earned 5.0
NetCE – Palliative Care and Pain Management at the End of Life	
NetCE – Dizziness and Vertigo	10.0
NetCE - Viral Sexually Transmitted Infections	
NetCE – Risk Management	
NetCE – Developing a Safe Opioid Treatment Plan for Managing Chronic Pain	
NetCE – Medical Error Prevention and Root Cause Analysis	2.0
NetCE – Effective Opioid Prescribing	
NetCE – Post Traumatic Stress Disorder	
NetCE – Frontotemporal Degeneration	
NetCE – Mental Health Issues Common to Veterans and Their Families	
NetCE – Sexual Assault: Evaluation and Care	
NetCE – Digital Technology and Domestic Violence	
NetCE – Elder Abuse: Cultural Contexts and Implications	
COVID-19: Keeping Up with a Moving Target	1.0
TOTAL	77.0

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¹Many of the certificates for the courses included in this summary were printed on the same date.

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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #98902 HIV/AIDS: Epidemic Update on October 6, 2019 and is awarded 5 AMA PRA Category 1 Credit(s)[™].

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Freda S. O'Brien Director of Academic Affairs

S.O'Brien Erin K. Meiniger

Erin K. Meinver **Executive Director**

In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center JOINTLY ACCREDITED PROVIDER (ANCC), to provide continuing education for the healthcare team.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



PO BOX 997571 · SACRAMENTO, CALIFORNIA 95899-7571 · (800) 232-4CEU



Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #97382 Palliative Care and Pain Management at the End of Life on October 16, 2019 and is awarded 15 AMA PRA Category 1 Credit(s)[™].

Freda S. O'Brien Director of Academic Affairs

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Erin K. Meinver Executive Director

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Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #98400 Dizziness and Vertigo on October 14, 2019 and is awarded 10 AMA PRA Category 1 Credit(s)[™].

Freda S. O'Brien Frink. Meiniger

Freda S. O'Brien Director of Academic Affairs

Erin K. Meinver Executive Director



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This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #94180 Viral Sexually Transmitted Infections on October 6, 2019 and is awarded 5 AMA PRA Category 1 Credit(s)TM.

Freda S. O'Brien

Freda S. O'Brien Erin K. Meiniger

Erin K. Meinver Executive Director

In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center JOINTLY ACCREDITED PROVIDER (ANCC), to provide continuing education for the healthcare team.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.

Director of Academic Affairs





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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #41472 Risk Management on October 6, 2019 and is awarded 5 AMA PRA Category 1 Credit(s)TM.

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Freda S. O'Brień Director of Academic Affairs

In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center

Erin K. Meinver

Executive Director

JOINTLY ACCREDITED PROVIDER" (ANCC), to provide continuing education for the healthcare team.

This course meets the Connecticut requirement for Thour of risk management education.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #91041 Developing a Safe Opioid Treatment Plan for Managing Chronic Pain on October 6, 2019 and is awarded 1 AMA PRA Category 1 Credit(s)TM.

Freda & O'Brien Brink. Meiniger Freda S. O'Brien

Director of Academic Affairs

Erin K. Meinver Executive Director



In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center JOHNLY ACCREDATED TROVERSEN (ANCC), to provide continuing education for the healthcare team.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #91333 Medical Error Prevention and Root Cause Analysis on October 6, 2019 and is awarded 2 AMA PRA Category 1 Credit(s)™.

Freda S. O'Brien Erin K. Meiniger Freda S. O'Brien

Director of Academic Affairs

Erin K. Meinver Executive Director

In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center TOWNY ACCEPTED PROVIDER (ANCC), to provide continuing education for the healthcare team.

This course fulfills the Florida requirement for 2 hours of education on the Prevention of Medical Errors. This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.







PO BOX 997571 - SACRAMENTO CALIFORNIA 95899-7571 - (800) 282-40FU



Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #95150 Responsible and **Effective Opioid Prescribing** on October 6, 2019 and is awarded 3 AMA PRA Category 1 Credit(s)[™].

S.O'Brien Erin K. Meineger reda Freda S. O'Brien

Director of Academic Affairs

Erin K. Meinyer Executive Director

In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center KINTLY ACCREATED PROVIDER" (ANCC), to provide continuing education for the healthcare team.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #96011 Post-Traumatic Stress Disorder on October 6, 2019 and is awarded 15 AMA PRA Category 1 Credit(s)[™].

Freda S. O'Brien Director of Academic Affairs

S.O'Brien Frink. Meiniger

Erin K. Meinyer Executive Director



In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center JOINTLY ACCREDITED PROVIDED (ANCC), to provide continuing education for the healthcare team.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #96101 Frontotemporal Degeneration on October 6, 2019 and is awarded 2 AMA PRA Category 1 Credit(s)[™].

Freda S.O'Brien Erin K. Meiniger

Freda S. O'Brien Director of Academic Affairs

Erin K. Meinver

In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME) also A medical Education

Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center (ANCC), to provide continuing education for the healthcare team.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #96340 Mental Health Issues Common to Veterans and Their Families on October 6, 2019 and is awarded 2 AMA PRA Category 1 Credit(s)[™].

Freda S.O'Brien Erin K. Meiniger

Freda S. O'Brien Director of Academic Affairs

Erin K. Meinver **Executive Director**

In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center JOINTLY ACCREDITED PROVDER" (ANCC), to provide continuing education for the healthcare team.

This course is designed to meet the Connecticut requirement for 2 hours of education on mental health conditions common to veterans and family members of veterans.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #97021 Sexual Assault: Evaluation and Care on October 6, 2019 and is awarded 3 AMA PRA Category 1 Credit(s)[™].

Freda S. O'Brien Director of Academic Affairs

Treda S. O'Brien Erin K. Meiniger

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Erin K. Meinyer Executive Director

In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center NINTLY ACCREDITED PROVIDER (ANCC), to provide continuing education for the healthcare team.

This course meets the Connecticut requirement for 1 hour of sexual assault education.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



PO BOX 997571 · SACRAMENTO, CALIFORNIA 95899-7571 · (800) 232-4CEU



Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #97492 Digital Technology and Domestic Violence on October 6, 2019 and is awarded 3 AMA PRA Category 1 Credit(s)[™].

S.O'Brien Frink. Meiniger

Freda S. O'Brien Director of Academic Affairs



Erin K. Meinyer Executive Director In support of improving patient care, NetCE is jointly accredited by the Accreditation

Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center JOINTLY ACCREDITED PROVIDER (ANCC), to provide continuing education for the healthcare team.

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Certificate Completion

NetCE certifies that Scott Houghton has participated in the enduring material titled #97822 Elder Abuse: Cultural **Contexts and Implications** on October 6, 2019 and is awarded 5 AMA PRA Category 1 Credit(s)™.

reda S.O'Brien Erin K. Meiniger Freda S. O'Brien

Director of Academic Affairs

Erin K. Meinver



In support of improving patient care, NetCE is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center JOINTLY ACCREDITED PROVIDER (ANCC), to provide continuing education for the healthcare team.

Executive Director

This activity is designed to comply with the requirements of California Assembly Bill 1195, Cultural and Linguistic Competency.

Customer ID 11611334.



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Postgraduate Institute for Medicine Professional Exectlence in Modical Education

STATEMENT OF PARTICIPATION

The Postgraduate Institute for Medicine certifies that

Scott Houghton, M.D.

has participated in the enduring material titled

COVID-19: Keeping Up with a Moving Target

On April 2, 2020 and is awarded

1.0 AMA PRA Category 1 Credit™.

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JOINTLY ACCREDITED PROVIDER -INTERNOVELLIONAL CONTINUES EDUCATION In support of improving patient care, Postgraduate Institute for Medicine is jointly accredited by the Accreditation Council for Continuing Medical Education (ACCME), the Accreditation Council for Pharmacy Education (ACPE), and the American Nurses Credentialing Center (ANCC), to provide continuing education for the healthcare team.

Trace Hutchim, Pharme D.

Postgraduate Institute for Medicine 304 Inverness Way South, Suite 100 Englewood, CO 80112 (303) 799-1930 (303) 858-8848 - Fax Trace Hutchison, PharmD Director of Medical Education Postgraduate Institute for Medicine

RUTGERS Robert Wood Johnson Medical School

Sponsored by the Center for Continuing and Outreach Education at Rutgers Biomedical and Health Sciences

Back to PRIM~E Homepage

A-E age Professional Renewal In Medicine [through] Ethics

Course Information

Professional Renewal In Medicine (through) Ethics is a boundaries course in professional ethics for physicians--and other health professionals involved in clinical medicine--who are having conflicts with their licensing boards and are required to take refresher education in professional ethics. The course deals with specific ethical boundary violations involving a physician's competence, integrity, and accountability. It is sponsored by the Center for Continuing and Outreach Education at Rutgers, the State University of New Jersey, is accredited by the Accreditation Council for Continuing Medical Education (ACCME), and awards 20 hours of Category 1 Continuing Medical Education Credit towards the Physician's Recognition Award of the American Medical Association.

In 1982, the New Jersey Board of Medical Examiners encouraged Dr. Russell McIntyre, a professional medical educator trained in medical ethics and health law at Harvard Medical School, to offer tutorial courses for physicians who were under disciplinary action by the Board because of ethical--or moral--breaches in professional conduct. For several years, these tutorial courses were sponsored by the UMDNJ-Office of Continuing Medical Education. Then in 1992, under the guidance of William Weiss, M.D., Medical Director for the New Jersey State Board of Medical Examiners, a seven-session curriculum was designed which met specific training objectives of the Board and insured that each enrollee in the course participated in a quality-controlled educational experience.

Objectives:

Upon completion of this educational intervention, participants should be able to:

1. Enhance their commitment to professional ethics and values;

2. Discuss the ethical and legal foundations for the professional practice of medicine in the U.S.;

3. Explain the role of licensing boards and disciplines as a responsibility of professions to protect the public and insure the integrity of the profession; and,

4. Develop an understanding of how individual breaches of professional standards of

accountability serve to implicate the entire profession and deminish the public's respect.

Accreditation:

This activity has been planned and implemented in accordance with the Essential Areas and Policies of the Accreditation Council for Continuing Medical Education (ACCME) through the joint sponsorship of the Center for Continuing Education and Outreach Education at Rutgers Biomedical and Health Sciences and BioEthics Consulting, LLC. The Center for Continuing Education is accredited by the ACCME to provide continuing medical education for physicians.

The Center for Continuing and Outreach Education desinates this live educational activity for a maximum of 20 AMA PRA Category 1 Credit(s)TM. Physicians should only claim credit commensurate with the extent of their participation in the activity. ACNP & AAPA accepts AMA PRA Category 1 Credit(s)TM from organizations accredited by ACCME.

This credit will be awarded following the successful completion of this intensive weekend educational activity..

Written Assignments:

Three written assignments must be submitted for completion of the course. Two of these assignments are to be completed before the course begins. The first, a very simple "letter of recommendation" for a candidate for admission to medical school, is an attempt to identify the desired chacteristics of "the good doctor." This will be used during the first session of the course on Friday evening. The second assignment involves a simple statement--from the perspective of the Board or referring agency -- as to why the attendee has been required (or strongly suggested) to take a refresher course in professional ethics and boundaries. In addition, each participant will be required to write a final essay (4-5 pages, typewritten, doublespaced) which relates the substance of the PRIM~E ... course to the particular circumstances that led to the participation in this program. In the essay, the participant should refer to specific readings and classroom discussions that related directly--or indirectly--to the particular infraction(s) that brought the participant before their licensing board. The essay should reflect critical reasoning and ethical introspection into what was learned from participating in this educational activity. This essay is required for certification of successful completion of the PRIM~E ... course. The final assignment must be completed and mailed--within two weeks--to the course director.

At the completion of the program, each enrollees participation will be assessed by the course director. This assessment will be made on the basis of attendance, participation and completion of all assignments, including the course director's commentary on the final essay. This assessment, along with a copy of the final essay, will be sent to the appropriate licensing board or referring agency.

Disclosure:

All individuals who affect the content of continuing education activities are required to disclose to the audience any real or apparent conflict of interest related to the activity. No such conflicts exist in this activity.

RUTGERS Robert Wood Johnson Medical School

Sponsored by the Center for Continuing and Outreach Education at Rutgers Biomedical and Health Sciences

Back to **PRIM~E** Homepage

PRIM~E

Professional **R**enewal

In Medicine [through] Ethics

Syllabus

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Outline of Sessions:

Session One: Friday, 7:00 - 9:00 PM

The Ideals of Medicine: The "Good Doctor"

The purpose of this session is to identify the ideal characteristics (i.e., values) that define "the good doctor." This descriptive analysis will be used in later sessions to provide comparison when various deviations from the "ideal" are encountered. In the end, this exercise will frame the "moral" and "ethical" dimensions of each of the following sessions. It will also provide a "neutral" environment for members of the group to evaluate society's--and the professions--expectations for physicians.

Session Two: Saturday, 8:30 - 10:00 AM

Personal Integrity and Accountability

The purpose of this session is to have the participants share—in their own words—why they were required to take this course. We want to know—from the perspective of the licensing board—why they are here. What did they do—or, what were they accused of doing—that led their licensing board, in most cases, to impose restrictions on their ability to practice medicine. Our expectation is that most participants will be reluctant to talk about their own case. However, as we help them understand that they are among "fellow spirits" and that each of them is in the group for similar breaches in professional ethics, they will be more inclined to be open and honest with their colleagues. This may very well be the first step in the healing process. This session is the most difficult session in the entire weekend experience. We will ask participants to begin their ten-minute presentation with the words, "I'm here because I...."

Session Three: Saturday, 10:30 AM - Noon Ethical Accountability in American Medicine

The purpose of this session is to examine significant developments in the role that ethical

http://web.rwjms.rutgers.edu/ethicweb/PRIMESyllabus.html

accountability has had in American medicine since the end of World War II. In particular, we will examine the ethical standards as articulated by major medical associations including the American Medical Association, the American College of Physicians and the American Hospital Association.

Session Four: Saturday, 1:30 - 3:30 PM

Ethical Accountability in the Physician-Patient Relationship

The purpose of this session will be to examine the four historically significant models of the doctor-patient relationship for the practice of medicine in the United States. Each of these models has acceptable and unacceptable implications for contemporary practice in light of the legally significant issues of patient rights, patient autonomy and the patient's right to self-determination in making medical or treatment choices.

Session Five: Saturday, 4:00 - 6:00 PM

Boundary Violations in the Physician-Patient Relationship

The purpose of this session is to examine the clear--and, not-so-clear--boundaries in the doctor-patient relationship. Often, professional misconduct is a matter of interpretation. The fact is, however, that among professionals, the appearance of wrong-doing is often as damaging as wrong-doing itself. This session will examine several cases of alleged--and, actual--misconduct in an explication of the illegitimate boundary crossings by professionals. Sexual boundary issues will be analyzed to illustrate the severe violation of trust that is required in the doctor-patient relationship.

Session Six: Sunday, 8:30 - 10:30 AM

Professional Accountability, Licensing and Discipline

The purpose of this session is to examine the concept of professional discipline when infractions to the acceptable standards of professional behavior occur. We will look at the historic difficulty in imposing disciplinary sanctions on professionals and to the asserted principle that a professional group can do an effective job of policing itself. We will look at the wide range of disciplinary sanctions that are used by american medical licensing boards in their effort to validate the notion that the profession can be made safe from the poorly-trained, un-licensed, un-ethical, or illegal practice of any person.

Session Seven: Sunday, 11:00 AM to 1:00 PM The Physician and Public Accountability

The purpose of this session is to examine the larger context for imposing discipline on individuals because of violations in their professional obligations. We will examine the larger social context for practicing those "ideals" of medical practice identified in Session One. We will look historically at developing systems for national accountability, including the National Practitioner Data Bank (1990) and the newer Healthcare Integrity and Protection Data Bank (1999).



ROBERT WOOD JOHNSON MEDICAL SCHOOL

University of Medicine & Dentistry of New Jersey

Russell L. McIntyre, Th.D., Director **Professional Renewal in Medicine through Ethics** UMDNJ-Robert Wood Johnson Medical School Mailing Address: 88 Skyline Drive, Morristown, NJ 07960-5145 Phone: (973) 723-8608; Fax: (973) 993-5510 http://www.umdnj.edu/ethicweb/PRIMEHome.html

October 16, 2011

Certificate of Attendance

To Whom It May Concern:

This is to certify that **Scott Houghton**, **M.D.**, attended the *PRIM~E* (Professional Renewal in Medicine [through] Ethics) Professional Medical Ethics and Boundaries Course in Bridgewater, New Jersey on October 14-16, 2011.

Russell L. McIntyre, Th.D., Course Director

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH

In re: Scott Houghton, M.D.

Petition No. 2021-805

REINSTATEMENT CONSENT ORDER

WHEREAS, Scott Houghton of Madison, Connecticut (hereinafter "respondent") has been issued license number 042317 to practice as a physician and surgeon by the Department of Public Health (hereinafter "the Department") pursuant to Chapter 370 of the General Statutes of Connecticut, as amended; and,

WHEREAS, respondent's license was suspended and subsequently expired pursuant to an Interim Consent Order, dated August 18, 2011, and respondent has now applied to have said license reinstated by the Department pursuant to Chapter 368a of the General Statutes of Connecticut, as amended; and,

WHEREAS, the Department alleges, and respondent expressly denies all allegations with the exception of the allegation in paragraph 9, that:

- Subsequent to the suspension of his Controlled Substance Registration in or around February 3, 2010 and up until approximately January 7, 2011, respondent continued to write prescriptions for one or more controlled substances.
- During the course of approximately August 2008 through February 2010, respondent provided treatment to Patient 1 which failed to meet the standard of care in one or more of the following ways, in that he:

- a. Failed to appropriately assess, treat, and/or manage Patient 1's addiction to opiates and/or benzodiazepines;
- b. Failed to appropriately perform and/or document physical examinations;
- c. Prescribed excessive doses of and/or excessively long courses of treatment of Meperidine;
- d. Inappropriately purchased and/or stored Meperidine injectable vials for administration to Patient 1, without secured access and/or required documentation; and/or
- e. Inappropriately requested and/or received from Patient 1 free meals, lodging, and/or entertainment at the Mohegan Sun and/or Foxwoods casino complexes.
- 3. During the course of approximately April 2007 to February 2010, respondent's Suboxone

prescribing practices failed to meet the standard of care in one or more of the following

ways, in that he:

- a. Failed to adequately assess Suboxone patients, including failure to perform adequate physical examinations and/or obtain treatment and drug use histories;
- b. Failed to obtain adequate informed consent;
- c. Failed to appropriately manage induction and/or withdrawal of Suboxone in his office setting;
- d. Failed to appropriately monitor and/or adjust Suboxone dosing;
- e. Inappropriately provided early refills of Suboxone;
- f. Failed to adequately document justification for concurrent use of benzodiazepines and/or amphetamines for Suboxone patients;
- g. Inappropriately disregarded reports from other physicians, pharmacists, family members and/or other interested persons that patients were selling or otherwise abusing respondent's prescribed medications; and/or
- h. Failed to maintain adequate documentation of treatment for Suboxone patients.
- 4. Respondent prescribed high dose and/or long-term opioids to one or more chronic pain

patients, that failed to meet standard of care in one or more of the following ways, in that

he:

- a. Failed to obtain and/or document adequate patient histories, informed consent, physical examinations, interim reassessments, and/or appropriate diagnostic tests; and/or
- b. Failed to establish and/or document adequate treatment plans;
- 5. Prior to obtaining his DATA Suboxone registration, on or about January 20, 2006,

respondent began prescribing Suboxone to Patient 2, to treat opioid addiction.

- 6. At various times after obtaining his DATA Suboxone registration, respondent treated one or more patients with Suboxone for a diagnosis of addiction, while inappropriately and/or inaccurately coding his billing for other conditions, including fatigue and/or arthritis.
- 7. During a period of approximately three years beginning in early 2008, respondent inappropriately wrote controlled substances prescriptions for one or more elderly homebound patients without having adequately examined them.
- 8. During a period of approximately one year, beginning in the summer of 2007, respondent treated one or more patients with methadone for addiction, without appropriate legal authority to prescribe methadone for addiction.
- 9. In or around September 24, 2013, respondent entered into a plea agreement under the *Alford Doctrine*. When respondent entered his Alford Plea, he was convicted of two counts of Sale of Narcotic/Hallucinogenic, one count of Sale of Controlled Substance, and one count of Failure to Secure Controlled Substance Stock in the Connecticut Superior Court, case number MMX -CR11-0194340-T.
- That the conduct described above constitutes grounds for denial of respondent's application for reinstatement pursuant to §19a-14(a)(6) of the General Statutes of Connecticut.

WHEREAS, respondent successfully completed the five-year probationary period, as sentenced in case number MMX-CR11-0194340-T.

NOW THEREFORE, pursuant to §§19a-17 and 20-13c of the General Statutes of Connecticut, as amended, respondent hereby stipulates and agrees as follows:

1. He waives his right to a hearing on the merits of this matter.

- 2. Respondent's license to practice as a physician and surgeon shall be reinstated when he satisfies the requirements for reinstatement of his license, as set forth in §§ 19a-14-1 through 19a-14-5, inclusive, of the Regulations of Connecticut State Agencies, and this Reinstatement Consent Order is executed by all parties.
- 3. Immediately upon issuance, respondent's license shall be reprimanded.
- 4. Respondent's license shall be permanently restricted such that:
 - Respondent shall not apply for or obtain a Controlled Substance Registration in Connecticut and shall not prescribe controlled substances.
 - Respondent is prohibited from engaging in solo practice and may only practice in an office and practice setting that regularly physically includes at least one other licensed physician on-site while respondent is practicing medicine.
 - c. Respondent shall not supervise physician assistants and shall not enter into a collaborative agreement with an advanced practice registered nurse.
- 5. Prior to resuming practice, respondent shall successfully complete the following:
 - Respondent must pass the Special Purpose Examination ("SPEX") of the Federation of State Medical Boards.
 - Respondent must attend and successfully complete five (5) hours of coursework on Professional Ethics and five (5) hours of coursework on Boundaries with Patients.
 Such coursework must be pre-approved by the Department. Within fifteen (15) days of the completion of such coursework, respondent shall provide the Department with proof, to the Department's satisfaction, of the successful completion of such coursework.

- c. Within six (6) months of passing the SPEX, respondent shall attend a post-licensure or re-entry clinical skills evaluation through the Center for Personalized Education for Physicians (CPEP), or similar evaluation program, pre-approved by the Department. Respondent shall be responsible for the provision of a written evaluation report directly to the Department within fifteen (15) days of the completion of the evaluation. The evaluation program shall advise the Department of its recommendation(s) for the scope and length of any additional educational or clinical training, evaluation or treatment for any medical condition or psychological condition, or anything else affecting respondent's practice of medicine. Respondent shall comply with the evaluation program's recommendations. Respondent understands and agrees that any recommendations regarding additional restrictions and/or monitoring of respondent's practice may be incorporated into this Reinstatement Consent Order at the discretion of the Department, with notice to the respondent. Respondent understands that any commencement of the practice of medicine in Connecticut in disregard of the above shall constitute a violation of this Reinstatement Consent Order and may subject respondent to further discipline.
- d. Respondent shall be responsible for all costs or fees associated with taking the SPEX examination, completing the coursework, and attending the clinical skills evaluation program. Respondent shall also be responsible for any costs or fees associated with obtaining reports or certificates of completion.
- 6. Commencing on the date the Department provides written notification to respondent that respondent has successfully completed, to the Department's satisfaction, all requirements

in Paragraph 5, respondent's license shall be placed on probation for a period of four (4) years, subject to the following terms and conditions:

- a. Respondent shall only practice in an office and practice setting that regularly physically includes at least one other licensed physician on-site while respondent is practicing medicine. In the event at least one other licensed physician is not present on site during any portion of respondent's shift, respondent may only practice medicine if he checks in with a licensed physician either in person or virtually using audiovisual means at least once during his shift.
- b. Respondent shall permit the Department to conduct random, unannounced reviews of any and all records, including, but not limited to documents identifying the names of physician(s) in the office with the respondent when he is practicing medicine, and also documentation of check-ins to ensure compliance with paragraph 6(a).
- c. Respondent shall, at his own expense, engage the services of a licensed physician, pre-approved by the Department (hereinafter "supervisor") to conduct a random review of twenty percent (20%) or twenty (20) of respondent's patient records, whichever is the larger number. In the event respondent has twenty (20) or fewer patients, the supervisor shall review all of respondent's patient records.
 - Respondent shall provide a copy of this Reinstatement Consent Order to respondent's practice supervisor. Respondent's supervisor shall furnish written confirmation to the Department of his or her engagement in that capacity and receipt of a copy of this Reinstatement Consent Order within fifteen (15) days of the commencement of the probationary period.

- ii. The supervisor shall conduct the review of respondent's patient records and meet with respondent not less than monthly for the first year of the probationary period and not less than once every other month for the remainder of the probationary period. The supervisor shall also review any records or documents, including but not limited to, documents identifying the names of physician(s) in the office with respondent when he is seeing patients, and also documentation of check-ins to monitor respondent's compliance with paragraph 6(a) above.
- The supervisor shall have the right to monitor respondent's practice by any other reasonable means which the supervisor deems appropriate. Respondent shall fully cooperate with the supervisor in providing such monitoring.
- iv. Respondent shall be responsible for the provision of written supervisor reports from the supervisor directly to the Department monthly for the first year of the probationary period and quarterly for the remainder of the probationary period. Such supervisor's reports shall include documentation of dates and duration of meetings with respondent, number and general description of patient records and patient medication orders and prescriptions reviewed, additional records reviewed, additional monitoring techniques utilized, a statement that respondent is complying with Paragraph 4 and Paragraph 6(a) above, and a statement that respondent is not complying with Paragraph 4 or Paragraph 6(a) above and/or is not practicing with reasonable skill and safety shall be deemed a violation of this Reinstatement Consent Order.

7. All correspondence and reports are to be addressed to:

Lavita Sookram, R.N., Nurse Consultant Practitioner Compliance and Monitoring Unit Department of Public Health 410 Capitol Avenue, MS#12HSR P.O. Box 340308 Hartford, CT 06134-0308

- 8. All reports required by the terms of this Reinstatement Consent Order shall be due according to a schedule established by the Department.
- 9. Respondent shall comply with all federal and state statutes and regulations applicable to his license.
- 10. Respondent shall pay all costs necessary to comply with this Reinstatement Consent Order.
- 11. Any alleged violation of any provision of this Reinstatement Consent Order may result in the following procedures at the discretion of the Department:
 - a. The Department shall notify respondent in writing by first-class mail that the term(s) of this Reinstatement Consent Order have been violated, provided that no prior written consent for deviation from said term(s) has been granted.
 - Said notification shall include the acts or omission(s) which violate the term(s) of this Reinstatement Consent Order.
 - c. Respondent shall be allowed fifteen (15) days from the date of the mailing of notification required in paragraph 11a above to demonstrate to the satisfaction of the Department that respondent has complied with the terms of this Reinstatement Consent Order or, in the alternative, that respondent has cured the violation in question.
 - d. If respondent does not demonstrate compliance or cure the violation within the fifteen
 (15) days specified in the notification of violation to the satisfaction of the

Department, respondent shall be entitled to a hearing before the Connecticut Medical Examining Board (hereinafter "the Board") which shall make a final determination of the disciplinary action to be taken.

- e. Evidence presented to the Board by either the Department or respondent in any such hearing shall be limited to the alleged violation(s) of the term(s) of this Reinstatement Consent Order.
- 12. In the event respondent does not practice medicine for periods of thirty (30) consecutive days or longer, respondent shall notify the Department in writing. Such periods of times shall not be counted in reducing the probationary period covered by this Reinstatement Consent Order and such terms shall be held in abeyance. During such time period, respondent shall not be responsible for complying with the terms of probation of this Reinstatement Consent Order. In the event respondent resumes the practice of medicine, respondent shall provide the Department with thirty (30) days prior written notice. Respondent shall not return to the practice of medicine without written pre-approval from the Department. Respondent agrees that the Department, in its complete discretion, may require additional documentation from respondent to respondent's return to practice. Respondent agrees that any return to the practice of medicine without pre-approval from the Department shall constitute a violation of this Reinstatement Consent Order and such the practice of medicine without pre-approval from the Department shall constitute a violation of this Reinstatement Consent Order and may subject the respondent to further disciplinary action.
- 13. If, during the period of probation, respondent practices medicine outside Connecticut, respondent shall provide written notice to the Department concerning such practice. During such time period, respondent shall not be responsible for complying with the terms of

probation of this Reinstatement Consent Order, and such time period shall not be counted in reducing the probationary period covered by this Reinstatement Consent Order. Respondent may comply with the terms of probation while practicing outside Connecticut if pre-approved by the Department. In the event respondent intends to return to the practice of medicine in Connecticut, respondent shall provide the Department with thirty (30) days prior written notice and agrees to comply with all terms and conditions contained in this Reinstatement Consent Order.

- 14. In the event respondent violates any term of this Reinstatement Consent Order, said violation may also constitute grounds for the Department to seek a summary suspension of respondent's license before the Board.
- 15. Respondent shall notify the Department of any change(s) in his employment within fifteen (15) days of such change.
- 16. Respondent shall notify the Department of any change in his home and/or business address within fifteen (15) days of such change.
- 17. Legal notice shall be sufficient if sent to respondent's last known address of record reported to the Practitioner Licensing and Investigations Section of the Healthcare Quality and Safety Branch of the Department.
- This Reinstatement Consent Order is effective when accepted and ordered by a duly appointed agent of the Department.
- 19. This Reinstatement Consent Order is a public document. Respondent agrees that the Department's allegations as contained in this Reinstatement Consent Order shall not be contested in any subsequent proceeding before the Board in which respondent's compliance with this Reinstatement Consent Order or with §20-13 of the General Statutes of

Connecticut, as amended, is at issue. Further, respondent understands that any discipline imposed by this Reinstatement Consent Order shall be reported to the National Practitioner Data Bank maintained by the United States Department of Health and Human Services, and that all disciplinary actions will appear on respondent's physician profile pursuant to Connecticut General Statutes §20-13j.

- 20. In the event respondent violates a term of this Reinstatement Consent Order, respondent agrees immediately to refrain from practicing medicine, upon request by the Department, with notice to the Board, for a period not to exceed forty-five (45) days. During that time period, respondent further agrees to cooperate with the Department in its investigation of the violation, and to submit to and complete a medical, psychiatric or psychological evaluation, if requested to do so by the Department; and, that the results of the evaluation shall be submitted directly to the Department. Respondent further agrees that failure to cooperate with the Department in its investigation during said forty-five (45) day period shall constitute grounds for the Department to seek a summary suspension of respondent's license. In any such summary action, respondent stipulates that failure to cooperate with the Department's investigation shall be considered by the Board and shall, as a matter of law, constitute a clear and immediate danger as required pursuant to Connecticut General Statutes, sections 4-182(c) and 19a-17(c). The Department and respondent understand that the Board has complete and final discretion as to whether a summary suspension is ordered.
- 21. Any extension of time or grace period for reporting granted by the Department shall not be a waiver or preclude the Department from taking action at a later time. The Department shall not be required to grant future extensions of time or grace periods.

- 22. This Reinstatement Consent Order and terms set forth herein are not subject to reconsideration, collateral attack or judicial review under any form or in any forum. Respondent agrees that this Reinstatement Consent Order shall not be subject to modification as a result of any claim that the terms contained herein may result in action by third parties, including, but not limited to, healthcare facilities and/or credentialing or licensure boards and respondent waives any right to seek reconsideration or modification of this Reinstatement Consent Order pursuant to §4-181a of the General Statutes of Connecticut without the express consent and agreement of the Department. Respondent assumes all responsibility for assessing such actions prior to the execution of this document. Further, this Reinstatement Consent Order is not subject to appeal or review under the provisions of Chapters 54 and 368a of the General Statutes of Connecticut, provided that this stipulation shall not deprive respondent of any other rights that respondent may have under the laws of the State of Connecticut or of the United States. This Reinstatement Consent Order is a revocable offer of settlement, which may be modified by mutual agreement or withdrawn by the Department at any time prior to its being executed by the last signatory.
- 23. Respondent understands and agrees that respondent is responsible for satisfying all of the terms of this Reinstatement Consent Order during vacations and other periods in which respondent is away from respondent's residence.
- 24. Respondent has consulted with his attorney prior to signing this document.
- 25. The execution of this document has no bearing on any criminal liability without the written consent of the Director of the Medicaid Fraud Control Unit or the State's Attorney's Office where the allegation occurred or the Bureau Chief of the applicable unit in the Chief State's

Attorney's Office. The purpose of this Reinstatement Consent Order is to resolve the pending administrative license disciplinary petition only and is not intended to affect any civil or criminal liability or defense.

26. This Reinstatement Consent Order embodies the entire agreement of the parties with respect to this case. All previous communications or agreements regarding the subject matter of this Reinstatement Consent Order, whether oral or written, between the parties are superseded unless expressly incorporated herein or made a part hereof.

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I, Scott Houghton, have read the above Reinstatement Consent Order, and I agree to the terms set forth therein. I further declare the execution of this Reinstatement Consent Order to be my free act and deed.

Scott Houghton, M.D.

Subscribed and sworn to before me this _____ day of _____ 2021.

Notary Public or person authorized by law to administer an oath or affirmation

The above Reinstatement Consent Order having been presented to the duly appointed agent of

the Commissioner of the Department of Public Health on the _____ day of

_____, 2021, it hereby ordered and accepted.

Christian D. Andresen, MPH, Section Chief Practitioner Licensing and Investigations Section Healthcare Quality and Safety Branch

CONNECTICUT MEDICAL EXAMINING BOARD CONSENT ORDER COVER SHEET

Respondent: Michael Imevbore, M.D.

Petition No. 2020-526

BIOGRAPHICAL INFORMATION:

Medical School: Obafemi Awoldwo University, College of Medicine, Nigeria Year of Graduation: 1994

04/01/1994-05/30/1995	Rotating	Intern	University College Hospital, Ibadan
07/01/1999-06/30/2002	Internal Medicine	Resident	Unity Health System/ University of Rochester
07/01/2002-06/30/2004	Pulmonary Disease	Fellowship	Norwalk Hospital
07/01/2004-6/30/2005	Critical Care Medicine	Fellowship	Yale University

Current employment: CT Pulmonary Specialists License: 042335 Issued: 4/16/2004 Type of Practice: Pulmonary, critical care and sleep medicine. Board Certification: American Board of Internal Medicine (2002). Certified in internal medicine with a sub-specialty in critical care medicine and in pulmonary disease. Malpractice History: None reported History with DPH: None Investigation Commenced: 5/29/2020

THIS CONSENT ORDER DISCIPLINE:

Reprimand and a Civil Penalty of \$5,000

DEPARTMENT SUMMARY OF THE CASE:

- The Department opened this petition as the result of a referral from the Department of Consumer Protection, Drug Control Division.
- Dr. Imevbore, while practicing pulmonary medicine, has written numerous prescriptions for controlled substances, consisting of benzodiazepine-type prescriptions, anxiolytic-type prescriptions for patients with anxiety related to respiratory disorders, and wakefulness-promoting-type prescriptions ("controlled substances"). From approximately January 1, 2018 to November 5, 2020, Dr. Imevbore's prescribing practice regarding controlled substances failed to meet the standard of care and/or failed to comply with the requirements of Connecticut General Statutes Sec.

21a-254(j)((9) in that he: (1) prescribed Adderall for patient #1, on or about February 11, 2020 and on or about April 1, 2020, without reviewing patient #1's record in the Connecticut Prescription Monitoring and Reporting System ("CPMRS"); (2) between approximately January 1, 2018 and May 21, 2020, he wrote controlled substance prescriptions for approximately 225 patents, without checking the CPMRS; and (3) between approximately May 21, 2020 and November 5, 2020, he wrote controlled substance prescriptions for approximately 70 patients, but checked the CPMRS for less than half of those patients.

- Drug Control will make at least two random audits of Dr. Imevbore's compliance with the CPMRS system within the next year and will report the results of their audits to the Department.
 - Since on or about December 14, 2020, respondent has verified in the CPMRS in connection with each prescription he has written for a controlled substance.
- Dr. Imevbore has successfully completed the University of San Diego, School of Medicine's continuing education course in Physician Prescribing.

WILL THIS RESULT IN A REPORT TO THE N.P.D.B. BANK?

• Yes

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH CONNECTICUT MEDICAL EXAMINING BOARD

In re: Michael Imevbore, M.D.

Petition No. 2020-526

CONSENT ORDER

WHEREAS, Michael Imevbore, M.D., of Guilford, Connecticut (hereinafter "respondent") has been issued license number 042335 to practice as a physician and surgeon by the Department of Public Health (hereinafter "the Department") pursuant to Chapter 370 of the General Statutes of Connecticut, as amended; and,

WHEREAS, the Department alleges that:

1. While practicing pulmonary medicine, respondent has written prescriptions for numerous patients for controlled substances, consisting of benzodiazepine-type prescriptions, anxiolytic-type prescriptions for patients with anxiety related to respiratory disorders, and wakefulness-promoting-type prescriptions (collectively "controlled substances"). From on or about January 1, 2018 to on or about November 5, 2020, respondent's prescribing practice regarding controlled substances has failed to meet the standard of care and/or has been in violation of §21a-254(j)(9) of the Connecticut General Statutes in one or more of the following ways:

a. As to patient #1, respondent prescribed Adderall, a schedule IIcontrolled substance, on or about February 11, 2020 and on or about April 1, 2020 without reviewing the patient's record in the Connecticut Prescription Monitoring and Reporting System ("CPMRS");

b. Between on or about January 1, 2018 and on or about May 21, 2020, respondent wrote prescriptions for controlled substances, for approximately two hundred and twenty-five patients, without checking the CPMRS; and/or

c. Between on or about May 21, 2020 and on or about November 5, 2020, respondent wrote prescriptions for controlled substances for approximately seventy patients but checked the CPMRS for less than half of those patients.

 The above described facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut, §20-13c(4).

WHEREAS, since on or about December 14, 2020, respondent has verified the CPMRS in connection with each prescription he has written for a controlled substance.

WHEREAS, respondent is aware that the Drug Control Division of the Department of Consumer protection will make at least two random audits of his compliance with the CPMRS system within the next year and will report the results of their audit to the Department.

WHEREAS, respondent has successfully completed the University of San Diego, School of Medicine's continuing education course in Physician Prescribing.

WHEREAS, respondent, in consideration of this Consent Order, while not admitting any allegation, has chosen not to contest this matter and agrees that for purposes of this or any future proceedings before the Connecticut Medical Examining Board (hereinafter "the Board"), this Consent Order shall have the same effect as if proven and ordered after a full hearing held pursuant to §§19a-10, 19a-14 and 20-13c of the General Statutes of Connecticut.

NOW THEREFORE, pursuant to §§19a-14, 19a-17 and 20-13c of the General Statutes of Connecticut, respondent hereby stipulates and agrees to the following:

- 1. Respondent waives his right to a hearing on the merits of this matter.
- Respondent's license number 042335 to practice as a physician and surgeon in the State of Connecticut is hereby reprimanded.
- 3. Respondent shall pay a civil penalty of five thousand dollars (\$5,000.00) by certified or cashier's check payable to "Treasurer, State of Connecticut." The check shall reference

the Petition Number on the face of the check and shall be payable at the time respondent submits the executed Consent Order to the Department.

- Respondent shall comply with all state and federal statutes and regulations applicable to his licensure, including but not limited to all statutes and regulations pertaining to the CPMRS.
- 5. Respondent shall pay all costs necessary to comply with this Consent Order.
- Legal notice shall be sufficient if sent to respondent's last known address of record reported to the Practitioner Licensing and Investigations Section of the Healthcare Quality and Safety Branch of the Department.
- This Consent Order is effective on the date this Consent Order is accepted and ordered by the Board.
- 8. This Consent Order is a public document. Respondent understands and agrees that the Department's allegations as contained in this Consent Order shall be deemed true in any subsequent proceeding before the Board in which his compliance with this Consent Order or with §20-13c of the General Statutes of Connecticut, as amended, is at issue. Further, respondent understands that any discipline imposed by this Consent Order shall be reported to the National Practitioner Data Bank maintained by the United States Department of Health and Human Services and shall be reported on his Connecticut physician profile pursuant to §20-13j of the General Statues of Connecticut.
- 9. This Consent Order and terms set forth herein are not subject to reconsideration, collateral attack or judicial review under any form or in any forum. Respondent agrees that this Consent Order shall not be subject to modification as a result of any claim that the terms contained herein may result in action by third parties, including, but not limited to, healthcare facilities and/or credentialing or licensure boards and respondent waives any right to seek reconsideration or modification of this Consent Order pursuant to §4-181a of the General Statutes of Connecticut without the express consent and agreement of the Department. Respondent assumes all responsibility for assessing such actions prior to the execution of this document. Further, this Consent Order is not subject to appeal or review under the provisions of Chapters 54 or 368a of the General Statutes of Connecticut, provided that this stipulation shall not deprive respondent of any rights that he may have under the laws of the State of Connecticut or of the United States.

- 10. This Consent Order is a revocable offer of settlement which may be modified by mutual agreement or withdrawn by the Department at any time prior to its being executed by the last signatory.
- 11. Respondent permits a representative of the Department to present this Consent Order and the factual basis for this Consent Order to the Board. Respondent understands that the Board has complete and final discretion as to whether this executed Consent Order is approved or accepted. Respondent hereby waives any claim of error that could be raised that is related to or arises during the course of the Board's discussions regarding whether to approve or reject this Consent Order and/or a Board member's participation during this process, through the Board member's review or comments, including but not limited to bias or reliance on evidence outside the administrative record if this matter proceeds to a hearing on a statement of charges resulting in a proposed decision by the Board and/or a panel of the Board and a final decision by the Board.
- 12. Respondent has consulted with an attorney prior to signing this document.
- 13. The execution of this document has no bearing on any criminal liability without the written consent of the Director of the Medicaid Fraud Control Unit or the State's Attorney's Office where the allegation occurred or Bureau Chief of the applicable unit in the Chief State's Attorney's Office. The purpose of this Consent Order is to resolve the pending administrative license disciplinary petition only and is not intended to affect any civil or criminal liability or defense, nor is it an admission of any fact.
- 14. This Consent Order embodies the entire agreement of the parties with respect to this case. All previous communications or agreements regarding the subject matter of this consent order, whether oral or written, between the parties are superseded unless expressly incorporated herein or made a part hereof.

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I, Michael Imevbore, M.D., have read the above Consent Order, and I stipulate and agree to the terms as set forth therein. I further declare the execution of this Consent Order to be my free act and deed.

Michael Imevbore, M.D.

Subscribed and sworn to before me this 4th	day of November , 2021.
	Notary Public or person authorized
	Notary Fublic of person authorized
	by law to administer an oath or affirmation
	Aaron L. Hershman, Esq. Commissioner of Superior Court

The above Consent Order having been presented to the duly appointed agent of the Commissioner of the Department of Public Health on the _____5th day of November _____, 2021, it is hereby accepted.

Churtran Dandusen

Christian D. Andresen, MPH, Section Chief Practitioner Licensing and Investigations Section Healthcare Quality and Safety Branch

The above Consent Order having been presented to the duly appointed agent of the Connecticut Medical Examining Board on the _____ day of _____, 2021, it is hereby ordered and accepted.

> Kathryn Emmett, Esq., Chairperson Connecticut Medical Examining Board

CONNECTICUT MEDICAL EXAMINING BOARD CONSENT ORDER COVER SHEET

In re: Arjuna Mannam, M.D.

Petition No. 2020-890

BIOGRAPHICAL INFORMATION:

Medical School: Rangaraya Medical College, Andhra University Year of Graduation: 1987

06/23/2002-06/23/2003	General Surgery	Resident	University of Nevada School of Medicine
06/24/2003-06/24/2005	Internal Medicine	Resident	Hennepin County Medical Center
07/01/2005-12/31/2008	Neurology	Resident	University of Connecticut/ Hartford Hospital
01/01/2009-12/31/2009	Clinical Neurophysiology	Fellowship	Hahnemann University Hospital/ Drexel University College of Medicine

Current employment: Private Practice

(Trinity Health of New England Medical Group - Neurology)

License: 045214 Issued: March 28, 2007

Type of Practice: Neurology

Board Certification: American Board of Psychiatry and Neurology

Malpractice History: None

Past History with DPH: None

Other State License: PA (MD435695, expired 12/31/2016)

Investigation Commenced: September 19, 2020

CONSENT ORDER DISCIPLINE:

- Reprimand
- Six months of probation during which time respondent will take coursework in proper prescribing practices

DEPARTMENT SUMMARY OF THE CASE:

This case was initiated with a patient complaint.

Respondent was treating a patient with trigeminal neuralgia.

On September 30, 2019, respondent failed to meet the standard of care when he prescribed Dilantin at a dose of 300 mg to be taken three times daily for ten days, with three refills. The prescription exceeded the appropriate dose, typically 100 mg 3 times a day, and resulted in Dilantin toxicity. Respondent also failed to prescribe Dilantin at a lower dose and titrate up according to the patient's response.

WILL THIS RESULT IN A REPORT TO THE N.P.D.B. BANK?

• Yes

Page 1 of 7

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH

In re: Arjuna Mannam, MD

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Petition Number: 2020-890

CONSENT ORDER

WHEREAS, Arjuna Mannam of Farmington, Connecticut ("respondent") has been issued physician and surgeon license number 045214 by the Department of Public Health ("the Department") pursuant to Connecticut General Statutes Chapter 370, as amended.

WHEREAS the Department alleges:

- 1. In March 2019, patient #1 came under respondent's care for trigeminal neuralgia.
- 2. On or about September 30, 2019, respondent failed to meet the standard of care when he prescribed Dilantin at a dose of 300 mg to be taken three times daily for ten days, with three refills. Said prescription exceeded the appropriate dose and resulted in Dilantin toxicity. Respondent also failed to prescribe Dilantin at a lower dose and titrate up according to patient #1's response.
- The above-described facts constitute grounds for disciplinary action pursuant to Connecticut General Statutes §20-13c, including, but not limited to §20-13c(4).

WHEREAS, respondent, in consideration of this Consent Order, has chosen not to contest this matter, while not admitting wrongdoing, and agrees that for purposes of this or any future proceedings before the Connecticut Medical Examining Board ("the Board"), this Consent Order shall have the same effect as if proven and ordered after a full hearing held pursuant to Connecticut General Statutes §§19a-10, 19a-14 and 20-13c.

NOW THEREFORE, pursuant to Connecticut General Statutes §§19a-14, 19a-17 and 20-13c respondent stipulates and agrees to the following:

- 1. Respondent waives his right to a hearing on the merits of this matter.
- 2. Respondent's physician and surgeon license number 045214 is hereby reprimanded.
- Respondent's physician and surgeon license number 045214 shall be placed on probation for six (6) months under the following terms and conditions:
 - a. Respondent shall attend and successfully complete coursework in proper prescribing practices, pre-approved by the Department. Within fifteen (15) days of the completion of such coursework, respondent shall provide proof, to the Department's satisfaction, of the successful completion of such coursework. The probation shall terminate upon the Department's written satisfaction of the successful completion of the coursework required by this paragraph.
- 4. All correspondence and reports are to be addressed to:

Lavita Sookram, R.N., Nurse Consultant Practitioner Compliance and Monitoring Unit Department of Public Health 410 Capitol Avenue, MS #12HSR P.O. Box 340308 Hartford, CT 06134-0308

- All reports required by the terms of this Consent Order shall be due according to a schedule to be established by the Department of Public Health.
- 6. Respondent shall comply with all state and federal statutes and regulations applicable to respondent's licensure.
- 7. Respondent shall pay all costs necessary to comply with this Consent Order.
- 8. Any alleged violation of any provision of this Consent Order may result in the following procedures at the discretion of the Department:
 - a. The Department shall notify respondent in writing by first-class mail that the term(s) of this Consent Order have been violated, provided that no prior written consent for deviation from said term(s) has been granted.

- b. Said notification shall include the acts or omission(s) which violate the term(s) of this Consent Order.
- c. Respondent shall be allowed fifteen (15) days from the date of the mailing of notification required in paragraph a above to demonstrate to the satisfaction of the Department that respondent has complied with the terms of this Consent Order or, in the alternative, that respondent has cured the violation in question.
- If respondent does not demonstrate compliance or cure the violation within the fifteen (15) days specified in the notification of violation to the satisfaction of the Department, respondent shall be entitled to a hearing before the Board which shall make a final determination of the disciplinary action to be taken.
- e. Evidence presented to the Board by the Department or respondent in any hearing shall be limited to the alleged violation(s) of the term(s) of this Consent Order.
- 9. In the event respondent violates any term of this Consent Order, said violation may also constitute grounds for the Department to seek a summary suspension of respondent's license before the Board.
- Legal notice shall be sufficient if sent to respondent's last known address of record reported to the Department.
- 11. This Consent Order is effective on the first day of the month immediately following the date this Consent Order is accepted and ordered by the Board.
- 12. Respondent understands and agrees that this Consent Order is a public document and the allegations contained herein shall be deemed true in any proceeding before the Board in which respondent's compliance with this Consent Order or with Connecticut General Statutes §20-13c, as amended, is at issue. Further, respondent understands that any discipline imposed by this Consent Order shall be reported to the National Practitioner Data Bank maintained by the United States Department of Health and Human Services and

that all disciplinary actions will appear on respondent's physician profile pursuant to Connecticut General Statutes 20-13j.

- 13. In the event respondent violates a term of this Consent Order, respondent agrees immediately to refrain from practicing medicine, upon request by the Department, for a period not to exceed forty-five (45) days. During that time, respondent further agrees to cooperate with the Department in its investigation of the violation. Respondent further agrees that failure to cooperate with the Department in its investigation during said forty-five (45) day period shall constitute grounds for the Department to seek a summary suspension of respondent's license. In any such summary action, respondent stipulates that failure to cooperate with the Department's investigation shall be considered by the Board and shall, as a matter of law, constitute a clear and immediate danger pursuant to Connecticut General Statutes §§4-182(c) and 19a-17(c). Respondent understands that the Board has complete and final discretion whether a summary suspension is ordered.
- 14. Any extension of time or grace period for reporting granted by the Department shall not be a waiver or preclude the Department from acting later. The Department shall not be required to grant future extensions of time or grace periods.
- 15. This Consent Order and terms set forth herein are not subject to reconsideration, collateral attack, or judicial review under any form or in any forum. Respondent agrees that this Consent Order shall not be subject to modification because of any claim that the terms may result in action by third parties, including, but not limited to, healthcare facilities and/or credentialing or licensure boards and respondent waives any right to seek reconsideration or modification of this Consent Order pursuant to Connecticut General Statutes §4-181a without the Department's express consent and agreement. Respondent assumes all responsibility for assessing such actions prior to executing this Consent Order. Further, this Consent Order is not subject to appeal or review under the provisions of

Connecticut General Statutes Chapters 54 or 368a, provided that this stipulation shall not deprive respondent of any rights under Connecticut or United States law.

- 16. This Consent Order is a revocable offer of settlement which may be modified by mutual agreement or withdrawn by the Department at any time prior to its being executed by the last signatory.
- 17. Respondent permits a representative of the Department to present this Consent Order and its factual basis to the Board. Respondent understands that the Board has complete and final discretion whether this executed Consent Order is approved or accepted. Respondent waives any claim of error that could be raised that is related to or arises during the Board's discussions regarding whether to approve or reject this Consent Order and/or a Board member's participation during this process, through the Board member's review or comments, including but not limited to bias or reliance on evidence outside the administrative record if this matter proceeds to a hearing on a statement of charges resulting in a proposed and/or final decision by the Board and/or a panel of the Board.
- Respondent is responsible for satisfying all terms of this Consent Order during vacations and other periods in which respondent is away from respondent's residence.
- 19. Respondent consulted with his attorney prior to signing this Consent Order.
- 20. The execution of this document has no bearing on any criminal liability without the written consent of the Director of the Medicaid Fraud Control Unit or the State's Attorney's Office where the allegation occurred or Bureau Chief of the applicable unit in the Chief State's Attorney's Office. The purpose of this Consent Order is to resolve the pending administrative license disciplinary petition only and is not intended to affect any civil or criminal liability or defense.
- 21. This Consent Order embodies the entire agreement of the parties regarding this petition.All previous communications or agreements concerning the subject matter of this Consent

Order, whether oral or written, between the parties are superseded unless expressly incorporated or made a part hereof.

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I, Arjuna Mannam, have read the above Consent Order, and I stipulate and agree to the terms as set forth therein. I further declare the execution of this Consent Order to be my free act and deed.

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Arjuna Mannam

Subscribed and sworn to before me this 2774

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day of Public/Commissioner Superior Court

The above Consent Order having been presented to the duly appointed agent of the

Commissioner of the Department of Public Health on the _____18th _____ day of __October

2021, it is hereby accepted.

Churtran Dandusen

Christian D. Andresen, MPH, CPH, Section Chief Practitioner Licensing and Investigations Section Healthcare Quality and Safety Branch

The above Consent Order having been presented to the duly appointed agent of the Connecticut

Medical Examining Board on the _____ day of _____ 2021, it is

hereby ordered and accepted.

Connecticut Medical Examining Board