AGENDA

CONNECTICUT BOARD OF EXAMINERS OF EMBALMERS AND FUNERAL DIRECTORS

Thursday, April 1, 2021 at 9:00 AM

Department of Public Health 410 Capitol Avenue, Hartford, CT

CALL TO ORDER

- I. OPEN FORUM
- II. <u>MINUTES</u>

Review and approval of the minutes from the March 2, 2021 meeting.

III. OFFICE OF LEGAL COMPLIANCE

Wayne T. Mohrlein, Embalmer - Petition No. 2018-1071

Presentation of Consent Order – Linda Fazzina, Staff Attorney, DPH

ADJOURN

This meeting will be held by video conference.

Board of Examiners of Embalmers and Funeral Directors via Microsoft Teams

Join on your computer or mobile app

Click here to join the meeting

Or call in (audio only)

+1 860-840-2075 - Phone Conference ID: 433 334 421#

The following minutes are draft minutes which are subject to revision and which have not yet been adopted by the Board.

The Connecticut Board of Examiners of Embalmers and Funeral Directors held a meeting on March 2, 2021.

BOARD MEMBERS PRESENT: Darrell L. McClam, Embalmer, Chairman

Gerald Bosak, Jr., Embalmer Frank W. Carmon, IV, Embalmer

Agnes Pier

BOARD MEMBERS ABSENT: None

ALSO PRESENT: Jeffrey A. Kardys, Board Liaison

Alfreda Gaither, Hearing Officer

The meeting convened at 9:30 a.m. All participants were present via Microsoft TEAMS

I. OPEN FORUM

Mr. Bosak and Mr. McClam reported they recently administered the practical examination to licensure candidates.

There was also discussion regarding disagreements amongst family concerning funeral arrangements; the selling of funeral merchandise by cemeteries.

Mr. Carmon reported of a family complaint concerning Legacy Cremation Services. The Board requested that the Department of Public Health review the complaint

II. MINUTES

The January 26, 2021 minutes were reviewed and approved on a motion Ms. Pier, seconded by Mr. Bosak.

III. <u>DPH Update</u>

State Law Examination

Jeffrey A. Kardys, Board Liaison reported that 16 licensure applicants are eligible for the State Law Examination to be virtually administered. 14 licensure candidates have taken and passed the exam. 7 of the candidates have been licensed

Investigations

Mr. Kardys reported there are 9 cases are currently under investigation by the Department of Public Health.

IV. NEW BUSINESS

Mr. Bosak made a motion, seconded by Mr. Carmon, to add a license reenactment application review regarding Daniel Klein to the agenda. The motion passed.

Frank Manna, License and Applications Analyst, Department of Public Health presented the reinstatement application for Mr. Klein.

Mr. Carmon made a motion, seconded by Mr. Boask, to recommend that Mr. Klein's license be reinstated provided he becomes current with continuing education requirements. The motion passed unanimously

V. ADJOURNMENT

There was no further business and the meeting was adjourned at 10:09 a.m.

Respectfully submitted,

Darrell L. McClam - Chairman

Board of Examiners of Embalmers and Funeral Directors

CONSENT ORDER COVER SHEET

In re: Wayne T. Mohrlein, Embalmer

Petition No. 2018-1071

- 1. Wayne T. Mohrlein of Colchester, Connecticut (hereinafter "respondent") was issued license number 002533 to practice embalming on August 10, 2000.
- 2. The Department opened this petition after respondent self-reported that he was arrested for driving under the influence.
- 3. On or about May 9, 2019, respondent entered into an Interim Consent Order and voluntarily agreed to a suspension of his embalming license.
- 4. Respondent has a history of substance abuse, which includes the abuse and/or excess use of alcohol in or about 2018 and/or 2019. Respondent's abuse and/or excess use of alcohol does, and/or may, affect his practice as an embalmer. Respondent has an emotional disorder and/or a mental illness that does, and/or may, affect his practice as an embalmer.
- 5. The proposed Consent Order discipline provides for a four-year probationary period that includes:
 - Random alcohol/drug screens
 - Therapy reports
 - Employer reports
 - Support group attendance
 - No self-employment or solo practice
- 6. The Department and respondent respectfully request that the Board accept the proposed Consent Order.

CONFIDENTIALITY NOTICE: This document and all attachments may contain information that is confidential or privileged. Please do not disseminate, distribute, or copy the contents or discuss with parties who are not directly involved in this petition.

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE QUALITY AND SAFETY BRANCH

In re: Wayne T. Mohrlein, Embalmer

Petition No. 2018-1071

CONSENT ORDER

WHEREAS, Wayne T. Mohrlein of Colchester, Connecticut (hereinafter "respondent") has been issued license number 002533 to practice embalming by the Department of Public Health (hereinafter "the Department") pursuant to Chapter 385 of the General Statutes of Connecticut, as amended; and,

WHEREAS, respondent admits that:

- 1. Respondent has a history of substance abuse, including the abuse of alcohol.
- In or about 2018 and/or 2019, including, but not limited to, on or about August 18, 2018, January 23, 2019 and/or April 17, 2019, respondent abused and/or utilized to excess alcohol.
- 3. Respondent's abuse and/or excess use of alcohol does, and/or may, affect his practice as an embalmer.
- 4. In or about May 2019, July 2019, November 2019 and/or January 2020, one or more health care practitioners diagnosed respondent with an emotional disorder and/or a mental illness.
- Respondent's emotional disorder or disorders and/or mental illness or illnesses does,
 and/or may, affect his practice as an embalmer.

- 6. The above described facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut, §20-227, including, but not limited to:
 - a. §20-227(8); and/or
 - b. §20-227(9).

WHEREAS, respondent, in consideration of this Consent Order, has chosen not to contest this matter and agrees that for purposes of this or any future proceedings before the Connecticut Board of Examiners of Embalmers and Funeral Directors (hereinafter "the Board"), this Consent Order shall have the same effect as if proven and ordered after a full hearing held pursuant to §§19a-10, 19a-14 and 20-227 of the General Statutes of Connecticut.

NOW THEREFORE, pursuant to §§19a-14, 19a-17 and 20-227 of the General Statutes of Connecticut, respondent hereby stipulates and agrees to the following:

- 1. Respondent waives respondent's right to a hearing on the merits of this matter.
- 2. Respondent's license number 002533 to practice as an embalmer in the State of Connecticut shall be placed on probation for a period of four (4) years under the following terms and conditions:
 - Respondent shall participate in regularly scheduled therapy at respondent's own
 expense with a licensed therapist pre-approved by the Department (hereinafter
 "therapist").
 - Respondent shall provide a copy of this Consent Order to respondent's therapist.
 - (2) Respondent's therapist shall furnish written confirmation to the Department of the therapist's engagement in that capacity and receipt of a copy of this Consent Order within fifteen (15) days of the effective date of this Consent Order.

- (3) If the therapist determines that therapy is no longer necessary, that a reduction in frequency of therapy sessions is warranted, or that respondent should be transferred to another therapist, the therapist shall advise the Department, and the Department shall pre-approve said termination of therapy, reduction in frequency of therapy sessions, and/or respondent's transfer to another therapist.
- (4) The therapist shall submit reports monthly for the first and fourth years of probation; and quarterly for the second and third years of probation. Such reports shall address, but not necessarily be limited to, respondent's ability to practice as an embalmer in an alcohol and substance free state, safely and competently. A report indicating that respondent is not able to practice in an alcohol and substance free state, safely and competently shall be deemed to be a violation of this Consent Order. Said reports shall continue until the therapist determines that therapy is no longer necessary or the period of probation has terminated.
 - (5) The therapist shall immediately notify the Department in writing if the therapist believes respondent's continued practice poses a danger to the public, or if respondent discontinues therapy and/or terminates the therapist's services.
- b. During the entire four-year probationary period, respondent shall refrain from the ingestion of alcohol in any form and the ingestion, inhalation, injection or other use of any controlled substance and/or legend drug unless prescribed or recommended for a legitimate therapeutic purpose by a licensed health care professional authorized to prescribe medications. Respondent shall inform said licensed health care professional of respondent's substance abuse history. In the event a medical

condition arises requiring treatment utilizing controlled substances, legend drugs, or alcohol in any form, respondent shall notify the Department and, upon request, provide such written documentation of the treatment as is deemed necessary by the Department.

- (1) During the first and fourth year of the probationary period, respondent at respondent's own expense, shall submit to weekly random observed urine screens for alcohol, controlled substances, Ethylglucuronide (EtG) and legend drugs; in accordance with Department Requirements for Drug and Alcohol Screens, attached hereto marked as ('Attachment A: Department Requirements for Drug and Alcohol Screens'); during the second and third year of the probationary period, he shall submit to such screens on a twice monthly basis. Respondent shall submit to such screens on a more frequent basis if requested to do so by the therapist or the Department. Said screens shall be administered by a facility approved by the Department. All such random screens shall be legally defensible in that the specimen donor and chain of custody shall be identified throughout the screening process. All laboratory reports shall state that the chain of custody procedure has been followed.
 - (2) Laboratory reports of random alcohol and drug screens shall be submitted directly to the Department by the testing laboratory. All screens shall be negative for the presence of drugs and alcohol. Respondent agrees that an EtG test report of EtG at a level of 1000ng/mL or higher shall be deemed to constitute a positive screen for the presence of alcohol under this Consent Order. All positive screen results shall be confirmed by gas chromatograph/mass spectrometer (GC/MS) testing.

- (3) Respondent understands and agrees that if respondent fails to submit a urine sample when requested by respondent's monitor, such missed screen shall be deemed a positive screen.
- (4) Respondent shall notify each of his health care professionals of all medications prescribed for respondent by any and all other health care professionals.
- (5) Respondent is hereby advised that the ingestion of poppy seeds, mouthwash and over the counter cough or cold medicines or remedies has from time to time, been raised as a defense to a positive screen result for morphine, opiates and/or alcohol and as a defense of an EtG at 1000ng/mL or higher. For that reason, respondent agrees to refrain from ingesting poppy seeds in any food substances, mouthwash and over the counter cough or cold medicines or remedies during the term of this Consent Order. In the event respondent has a positive screen for morphine, opiates and/or alcohol or if respondent's test reports an EtG at 1000ng/mL or higher, respondent agrees that the ingestion of poppy seeds and/or mouthwash and/or over the counter cough or cold medicines or remedies shall not constitute a defense to such a screen.
- c. During the entire probationary period, respondent shall attend "anonymous" or support group meetings on an average of eight to ten times per month and shall provide quarterly reports to the Department concerning respondent's record of attendance.
- d. During the period of probation, respondent shall report to the Department any arrest under the provisions of Connecticut General Statutes section 14-227a. Such report shall occur within fifteen (15) days of such event.

- e. Respondent shall provide respondent's employer, at each facility and practice setting where respondent practices as an embalmer throughout the probationary period, with a copy of this Consent Order within fifteen (15) days of its effective date, or within fifteen (15) days of commencement of practice with a new employer. Respondent agrees to provide employer reports from any and all employers where he is employed as an embalmer during the probationary period, monthly for the first and fourth years of the probationary period; and, quarterly for the second and third years of the probationary period. Such employer reports shall state whether respondent is practicing with reasonable skill and safety, in an alcohol and substance-free state. Any report indicating that respondent is not practicing with reasonable skill and safety, in an alcohol and substance-free state shall be deemed to be a violation of this Consent Order.
- f. During the period of probation, respondent shall only practice in a facility and practice setting that physically includes other licensed embalmers on-site while respondent is practicing at said facility and practice setting. Respondent shall not be self-employed during the period of probation.
- 3. All correspondence and reports are to be addressed to:

Olive Tronchin, Health Program Assistant
Practitioner Compliance and Monitoring Unit
Department of Public Health
410 Capitol Avenue, MS #12HSR
P.O. Box 340308
Hartford, CT 06134-0308

- All reports required by the terms of this Consent Order shall be due according to a schedule to be established by the Department of Public Health.
- Respondent shall comply with all state and federal statutes and regulations applicable to respondent's licensure.

- 6. Respondent shall pay all costs necessary to comply with this Consent Order.
- 7. Any alleged violation of any provision of this Consent Order may result in the following procedures at the discretion of the Department:
 - a. The Department shall notify respondent in writing by first-class mail that the term(s) of this Consent Order have been violated, provided that no prior written consent for deviation from said term(s) has been granted.
 - Said notification shall include the acts or omission(s) which violate the term(s) of this Consent Order.
 - c. Respondent shall be allowed fifteen (15) days from the date of the mailing of notification required in paragraph 7a above to demonstrate to the satisfaction of the Department that respondent has complied with the terms of this Consent Order or, in the alternative, that respondent has cured the violation in question.
 - d. If respondent does not demonstrate compliance or cure the violation within the fifteen (15) days specified in the notification of violation to the satisfaction of the Department, respondent shall be entitled to a hearing before the Board which shall make a final determination of the disciplinary action to be taken.
 - e. Evidence presented to the Board by either the Department or respondent in any such hearing shall be limited to the alleged violation(s) of the term(s) of this Consent Order.
 - 8. If, during the period of probation, respondent practices as an embalmer outside

 Connecticut, respondent shall provide written notice to the Department concerning such

 practice. During such time period, respondent shall not be responsible for complying with

 the terms of probation of this Consent Order, and such time period shall not be counted in

 reducing the probationary period covered by this Consent Order. Respondent may

 comply with the terms of probation while practicing outside Connecticut if pre-approved

by the Department. In the event respondent intends to return to the practice of embalming in Connecticut, respondent shall provide the Department with thirty (30) days prior written notice and agrees to comply with all terms and conditions contained in paragraph 2 above.

- 9. In the event respondent violates any term of this Consent Order, said violation may also constitute grounds for the Department to seek a summary suspension of respondent's license before the Board.
- 10. Legal notice shall be sufficient if sent to respondent's last known address of record reported to the Practitioner Licensing and Investigations Section of the Healthcare Quality and Safety Branch of the Department.
- 11. This Consent Order is effective on the first day of the month immediately following the date this Consent Order is accepted and ordered by the Board.
- 12. Respondent understands and agrees that this Consent Order shall be deemed a public document and the above admitted violations shall be deemed true in any proceeding before the Board in which respondent's compliance with this Consent Order or with §20-227 of the General Statutes of Connecticut, as amended, is at issue.
- immediately to refrain from practicing as an embalmer, upon request by the Department, with notice to the Board, for a period not to exceed forty five (45) days. During that time period, respondent further agrees to cooperate with the Department in its investigation of the violation, and to submit to and complete a medical, psychiatric or psychological evaluation, if requested to do so by the Department; and, that the results of the evaluation shall be submitted directly to the Department. Respondent further agrees that failure to cooperate with the Department in its investigation during said forty-five (45) day period shall constitute grounds for the Department to seek a summary suspension of respondent's license. In any such summary action, respondent stipulates that failure to cooperate with

the Department's investigation shall be considered by the Board and shall, as a matter of law, constitute a clear and immediate danger as required pursuant to Connecticut General Statutes, sections 4-182(c) and 19a-17(c). The Department and respondent understand that the Board has complete and final discretion as to whether a summary suspension is ordered.

- 14. Any extension of time or grace period for reporting granted by the Department shall not be a waiver or preclude the Department from taking action at a later time. The Department shall not be required to grant future extensions of time or grace periods.
- 15. This Consent Order and terms set forth herein are not subject to reconsideration, collateral attack or judicial review under any form or in any forum. Respondent agrees that this Consent Order shall not be subject to modification as a result of any claim that the terms contained herein may result in action by third parties, including, but not limited to, healthcare facilities and/or credentialing or licensure boards and respondent waives any right to seek reconsideration or modification of this Consent Order pursuant to §4-181a of the General Statutes of Connecticut without the express consent and agreement of the Department. Respondent assumes all responsibility for assessing such actions prior to the execution of this document. Further, this Consent Order is not subject to appeal or review under the provisions of Chapters 54 or 368a of the General Statutes of Connecticut, provided that this stipulation shall not deprive respondent of any rights that respondent may have under the laws of the State of Connecticut or of the United States.
- 16. This Consent Order is a revocable offer of settlement which may be modified by mutual agreement or withdrawn by the Department at any time prior to its being executed by the last signatory.
- 17. Respondent permits a representative of the Department to present this Consent Order and the factual basis for this Consent Order to the Board. Respondent understands that the

Board has complete and final discretion as to whether this executed Consent Order is approved or accepted. Respondent hereby waives any claim of error that could be raised that is related to or arises during the course of the Board's discussions regarding whether to approve or reject this Consent Order and/or a Board member's participation during this process, through the Board member's review or comments, including but not limited to bias or reliance on evidence outside the administrative record if this matter proceeds to a hearing on a statement of charges resulting in a proposed decision by the Board and/or a panel of the Board and a final decision by the Board.

- 18. Respondent understands and agrees that respondent is responsible for satisfying all of the terms of this Consent Order during vacations and other periods in which respondent is away from respondent's residence.
- 19. Respondent has the right to consult with an attorney prior to signing this document.
- 20. The execution of this document has no bearing on any criminal liability without the written consent of the Director of the Medicaid Fraud Control Unit or the State's Attorney's Office where the allegation occurred or Bureau Chief of the applicable unit in the Chief State's Attorney's Office. The purpose of this Consent Order is to resolve the pending administrative license disciplinary petition only and is not intended to affect any civil or criminal liability or defense.
- 21. This Consent Order embodies the entire agreement of the parties with respect to this case.

 All previous communications or agreements regarding the subject matter of this consent order, whether oral or written, between the parties are superseded unless expressly incorporated herein or made a part hereof.

I, Wayne T. Mohrlein, have read the above Consent Order, and I stipulate and agree to the terms					
as set forth therein. I further declare the execution of this Consent Order to be my free act and					
Wayne T. Mohrlein Subscribed and sworn to before me this 32 M day of February 2021. Allew Allew Allew 2021.					
Notary Public of person authorized by law to administer an oath or affirmation ARLENE L. ROBERTS NOTARY PUBLIC MY COMMISSION EXPIRES APR. 30, 2021					
The above Consent Order having been presented to the duly appointed agent of the					
Commissioner of the Department of Public Health on the day of					
March 2021, it is hereby accepted.					
Christian D. Andresen, MPH, Section Chief Practitioner Licensing and Investigations Section Healthcare Quality and Safety Branch					
The above Consent Order having been presented to the duly appointed agent of the Connecticut Board of Examiners of Embalmers and Funeral Directors on the day of 2021, it is hereby ordered and accepted.					
Connecticut Board of Examiners of Embalmers					

and Funeral Directors

STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

ATTACHMENT "A" REQUIREMENTS FOR DRUG AND ALCOHOL SCREENS

In Re: Wayne I. Mohrlein, Embalmer				Petition No. 2018-1071			
Scr	reening Monitor In	formation (Name, Address	s, Phone, Fax	c, and E-Mail):			
 Ph	one:	Fax:		E-Mail:			
sc		TORS: PLEASE READ TO DINGLY:	HE FOLLOW	ING CAREFUL	LLY AND C	ONDUCT	
1.	. Each screen must test for the following substances: alcohol (breathalyzer tests are not acceptable), EtG, amphetamines, barbiturates, benzodiazepines, cannabinoids (THC metabolites), cocaine, opiates (test includes: hydrocodone, hydromorphone, codeine and morphine), oxycodone/oxymorphone, phencyclidine (PCP), meperidine, methadone, propoxyphene, and Tramadol. Screens for additional substances, such as Fentanyl, may also be required if so requested by the Department. Partial screens will not be accepted.						
2.	Urine collection with the responthe cup.	s must be <u>directly</u> obser dent and directly observ	ved. The ur e the donor	ine monitor m providing the	ust be in th urine speci	e room imen into	
3.	The frequency of times per month;	f screens is as follows: andwe	thru eekly	weekly;	thru	2	
4.	and his or her e scheduling drug identifiable seq therapist is also	st be <u>random.</u> There mu imployer, supervisor, the g and alcohol screens. I uence (i.e. every Monday serving as screening moni as a therapy session.	erapist, scre There must t y or alternati	ening monitor oe no pattern o ing Wednesda	, and/or the of times, da ys). If a res	e lab in tes, or spondent's	



- 5. Specimens will be collected as follows (CHECK ONE):
 - ☐ The screening monitor (pre-approved by the Department) will call the respondent for collections. The respondent shall provide the monitor with ONE telephone number where s/he may be reliably reached. Respondent shall check calls and messages frequently. Respondent shall appear for specimen collections no later than five (5) hours from the time the screening monitor makes his or her call.

OR

Respondent shall phone the screening monitor (pre-approved by the Department) every day, Monday through Friday, before 9 a.m., without exception, at which time s/he shall be advised of whether s/he must appear for a screen. Respondent shall appear for specimen collections no later than five (5) hours from the time s/he speaks with the screening monitor.

Respondent must appear for specimen collections within 2 - 5 hours of being notified. The clock starts ticking from the time the screening monitor places the call.

The screening monitor must provide <u>immediate</u> notice to the Department if respondent fails to phone the screening monitor before 9 a.m. (if applicable) or fails to arrive at the collection site within 5 hours of the screening monitor's call.

A MISSED SCREEN IS CONSIDERED A POSITIVE SCREEN.

- 6. Respondent will notify the screening monitor and the Department in writing at least two weeks prior to scheduled vacations. Screens will be collected prior to and following periods of vacation at the Department's discretion. Respondent will give the screening monitor a minimum of seventy-two hours' prior notice if s/he will be unavailable for a screen on a certain day. Absent notice, a missed screen will be considered to be a positive screen.
- 7. Specimens are to be handled in such a manner as to maintain Chain of Custody. Chain of Custody documentation must accompany all laboratory reports and/or the laboratory reports shall indicate that the Chain of Custody procedure has been followed. Respondent must document all medications s/he is taking on each Chain of Custody form (just find a blank space on the form). Respondent is responsible for Chain of Custody documentation being completed properly. In the event Chain of Custody is incomplete, the respondent may be called for a repeat screen.
- 8. All positive results shall be confirmed by gas chromatograph/mass spectrometer (GC/MS) testing method.
- 9. Lab analysis of urine specimens must be conducted at Lab Corp. To set up an account, and to reorder supplies, contact LabCorp at otsrarcustsvc@labcorp.com or (800) 437-4986. Always keep a minimum of six weeks supplies available at all times.

10. If any problems or questions arise, the screening monitor should call Lavita Sookram at (860) 509-7458, or Olive Tronchin at (860) 509-7644.

Note: There must be one primary screening monitor and at least one backup screening monitor. All screening monitors must sign below acknowledging receipt and review of this protocol and indicating agreement to conduct screens accordingly.

Signature:	Signature:
Print name:	Print name:
Date:	Date:
Signature:	Signature:
Print name:	Print name:
Date:	Date:

Complete page 1, check the correct option for paragraph 5 on page 2, sign page 3, and fax all three pages to (860) 706-5820.

In Re: Wayne T. Mohrlein, Embalmer

Petition No. 2018-1071