

RECEIPT
OF
LIST OF APPLICANT ASSURANCES

I, _____,
(Print your name & title)

of the _____
(State Agency, Town, city, borough, private non-profit agency)

have received/reviewed the List of Assurances and will submit a copy to the Administrative Head and the Finance Office of my agency.

I am also aware that I have to keep complete records and cost documents for all approved work for three (3) years from the date that the State submits the final Federal Financial Report (SF 425) for the entire disaster to FEMA in compliance with 2 C.F.R. § 200.333. The date of the State's submittal of the final Federal Financial Report is the official closeout date for the disaster.

(Signature)

(Title)

(Date)

Hand in today OR
EMAIL to: demhs.pa@ct.gov OR
FAX to: 860-685-8633, ATT: *State Public Assistance Office*

LIST OF APPLICANT ASSURANCES (revised August 2019)

The applicant hereby assures and certifies that he will comply with the FEMA regulations, policies, guidelines and requirements including OMB's Circulars A-102 for local governments and A-110 for institutions of higher education, hospitals and Private Non-Profits (PNPs), as they relate to the application, acceptance and use of Federal funds for this Federally-assisted project. Also, the Applicant gives assurance and certifies with respect to and as a condition for the grant that:

1. It possesses legal authority to apply for the grant, and to finance and construct the proposed facilities; that its charter and/or ordinances direct and authorize the person identified as the official dealing with the state to act in connection with the application and to provide such additional information as may be required.
2. It will comply with the provisions of: Executive Order 11988, relating to Floodplain Management, and Executive Order 11990, relating to Protection of Wetlands.
3. It will have sufficient funds available to meet the non-Federal share of the cost for construction projects. Sufficient funds will be available when construction is completed to assure effective operation and maintenance of the facility for the purpose constructed.
4. It will not enter into a construction contract(s) for the project or undertake other activities until the conditions of the grant program(s) have been met.
5. It will provide and maintain competent and adequate architectural engineering supervision and inspection at the construction site to insure that the completed work conforms to the approved plans and specifications, as well as the FEMA Project Worksheet Scope of Work; that it will furnish progress reports and such other information as the Federal grantor agency may need.
6. It will operate and maintain the facility in accordance with the minimum standards as may be required or prescribed by the applicable Federal, State and local agencies for the maintenance and operation of such facilities.
7. It will give the grantor agency and the Comptroller General, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the grant.
8. It will require the facility to be designed to comply with the "American Standard Specifications for Making Buildings and Facilities Accessible to, and Usable by the Physically Handicapped," Number A117.1-1961, as modified (41 CFR 101-17-7031). The applicant will be responsible for conducting inspections to insure compliance with these specifications by the contractor.
9. It will cause work on the project to be commenced within a reasonable time after receipt of notification from the approving Federal agency that funds have been approved and will see that work on the project will be prosecuted to completion with reasonable diligence.
10. It will not dispose of or encumber its title or other interests in the site and facilities during the period of Federal interest or while the Government holds bonds, whichever is the longer.
11. It agrees to comply with Section 311, P.L. 93-288 and with Title VI of the Civil Rights Act of 1964 (P.L. 83-352), no person in the United States shall, on the ground of race, color, or national

origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance and will immediately take any measures necessary to effectuate this agreement. If any real property or structure is provided or improved with the aid of Federal financial assistance extended to the Applicant, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.

12. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.

13. It will comply with the requirements of Title II and Title III of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced as a result of Federal and Federally assisted programs.

14. It will comply with all requirements imposed by the Federal grantor agency concerning special requirements of law, program requirements and other administrative requirements approved in accordance with OMB Circular A-102, P.L. 93-288 as amended and applicable Federal Regulations.

15. It will comply with the provisions of the Hatch Act which limit the political activity of employees.

16. It will comply with the minimum wage and maximum hour's provisions of the Federal Fair Labor Standards Act, as they apply to hospital and educational institution employees of State and local governments.

17. To the best of its knowledge and belief the disaster relief work described on each Federal Emergency Management Agency (FEMA) Project Application for which Federal Financial assistance is requested is eligible in accordance with the criteria contained in 44 Code of Federal Regulations, Part 206, and applicable FEMA Handbooks.

18. The emergency or disaster relief work therein described for which Federal Assistance is requested hereunder does not or will not duplicate benefits received for the same loss from another source.

19. It will (1) provide without cost to the United States all lands, easements and rights-of-way necessary for accomplishments of the approved work; (2) hold and save the United States free from damages due to the approved work or Federal funding.

20. This assurance is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, reimbursements, advances, contracts, property, discounts of other Federal financial assistance extended after the date hereof to the Applicant by FEMA, that such Federal Financial assistance will be extended in reliance on the representations and agreements made in this assurance and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the applicant, its successors, transferees, and assignees, and the authorized to sign assurances on behalf of the applicant.

21. It will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973, Public Law 93-234, 87 Stat. 975 approved December 31, 1973. Section 102(a) requires, on and after March 2, 1975, the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the Director, Federal Emergency Management Agency as an area having special flood hazards. The phrase "Federal financial assistance" includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.

22. It will comply with the insurance requirements of Section 314, P.L. 93-288, to obtain and maintain any other insurance as may be reasonable, adequate, and necessary to protect against further loss to any property which was replaced, restored, repaired, or constructed with this assistance.

23. It will defer funding of any projects involving flexible funding until FEMA makes a favorable environmental clearance, if this is required.

24. It will assist the Federal grantor agency in its compliance with Section 106 of the National Historic Preservation Act of 1966, as amended, (16 U.S.C. 470), Executive Order 11593, and the Archeological and Historic Preservation Act of 1966 (16 U.S.C. 469a-1 et seq.) by (a) consulting with the State Historic Preservation Officer on the conduct of investigations, as necessary, to identify properties listed in or eligible for inclusion in the National Register of Historic places that are subject to adverse effects (see 36 CFR Part 800.8) by the activity, and notifying the Federal grantor agency of the existence of any such properties, and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.

25. It will, for any repairs or construction financed herewith, comply with applicable standards of safety, decency and sanitation and in conformity with applicable codes, specifications and standards; and will evaluate the natural hazards in areas in which the proceeds of the grant or loan are to be used and take appropriate action to mitigate such hazards, including safe land use and construction practices.

26. To the best of its knowledge and belief, it will comply with Federal laws, regulations and policies as they may apply to FEMA Public Assistance Projects, including, but not limited to: Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121-5206), Public Law 93-288, as amended; FEMA Regulations, 44 CFR Parts 206, 13, 9 and 10; OMB Circulars A-102, A-110, A-133, A-21, A-87, A-122; Executive Order 12612, Federalism; Executive Order 12699, Seismic Design; Executive Order 12898, Environmental Justice for Low Income and Minority Populations; Executive Order 11988, Floodplains Management per 44 CFR part 9.5(c)(13); Flood Disaster Protection Act of 1973, Public Law 93-234, 87 Stat. 975 Executive Order 11990; Protection of Wetlands per 44 CFR part 9.5(c)(13); 16 USC 3501, Coastal Barrier Resources Act (CBRA), Public Law 97-348; 16 USC 470, National Historic Preservation Act (NHPA) of 1966, as amended, (16 U.S.C. 470) [Section 106], Executive Order 11593; Archeological and Historic Preservation Act of 1966 (16 U.S.C. 469a-1 et seq.); 16 USC 1531, Endangered Species Act (ESA); Fish and Wildlife Coordination Act (FWCA); Migratory Bird Treaty Act (MBTA); Magnuson-Stevens Fishery Conservation and Management Act (MSA); Coastal Zone Management Act (CZMA); Clean Water Act (CWA) (Section 404); Clean Air Act (CAA); Farmland Protection Policy Act (FPPA); Wild and Scenic Rivers Act (WSR); National Environmental Policy Act (NEPA); Resource Conservation and Recovery Act (RCRA); Comprehensive Environmental Response Compensation and Liability Act (CERCLA); "American Standard Specifications for Making Buildings and Facilities Accessible to,

and Usable by the Physically Handicapped," Number A117.1-1961, as modified (41 CFR 101-17-7031); Title VI of the Civil Rights Act of 1964 (P.L. 83-352); Title II and Title III of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (P.L. 91-646); Hatch Act; and the Federal Fair Labor Standards Act.

27. Applicant agrees to conform to revisions to these assurances that may from time to time be posted on the DESPP/DEMHS website: www.ct.gov/demhs. Click on Disaster Recovery in the left-hand column. Then click on Public Assistance (PA) in the middle of the page.

STATE ASSURANCES

The State agrees to take any necessary action within State capabilities to require compliance with these assurances and agreements by the applicant or to assume responsibility to the Federal government for any deficiencies not resolved to the satisfaction of the Regional Administrator.

Revised Aug 2019