



Solid Waste Advisory Committee

Solid Waste Facilities

Compliance Audit Report/Enforcement

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Connecticut Department of Energy and Environmental Protection

Compliance Audit Reports

Compliance Audit Reports (“Audits”):

- Standard condition for most permitted Solid Waste Facilities
- Typically quarterly frequency
- Performed by a Compliance Auditor approved by DEEP
- Thorough & complete assessment of a permittee’s compliance with Solid Waste regulations & permit
- Final report submitted to DEEP within 15 days
 - Describes in detail permittee’s compliance with Solid Waste regulations & permit
 - Includes permittee’s certification of compliance with regulations and documentation to support compliance



Permittee's response to violations observed

- Immediately correct violations
- Provide notification to DEEP within 5 days
- If violation cannot be immediately corrected, within seven (7) days of becoming aware of the violation notify DEEP and submit for review and approval a detailed plan and schedule to correct such violation
- If the permittee fails to submit such plan or fails to correct the violation in accordance with an approved plan, then the permittee shall cease accepting solid waste at the facility



DEEP: Enforcement

- DEEP recently completed two (2) formal enforcement actions with penalties for failure to perform Audits
 - Audits are a critical component for ensuring compliance at permitted Solid Waste facilities
 - Performing Audits creates an even playing field within the regulated community
 - Penalty = economic benefit + gravity-based component
- DEEP will continue to review Audits as they are submitted and develop an appropriate enforcement response in accordance with our enforcement response policy for those permitted facilities failing to perform them as required
- DEEP intends to present element(s) of what a an appropriate Audit Report should look like at a future SWAC event... stay tuned



Questions?

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