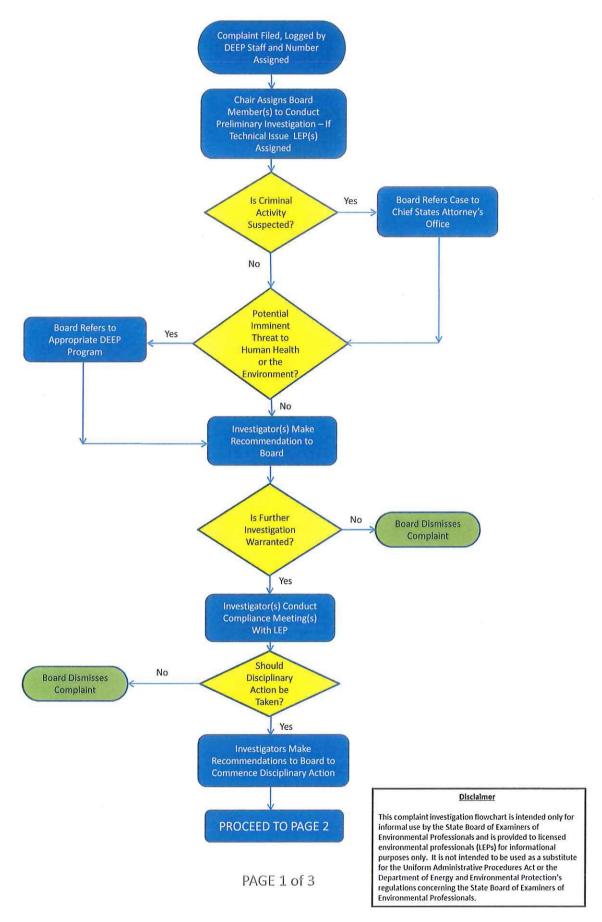
This flow chart contains the process by which the State Board of Examiners of Environmental Professionals (more commonly known as the Licensed Environmental Professional or "LEP Board") conducts investigations on complaints regarding licensed environmental professionals ("LEP") within the State of Connecticut. This document was prepared by the LEP Board and is provided for the convenience of the reader. This is not the official version of the regulations that govern the use of an LEP's license or a formal summary of the hearing process. It is not intended to be a substitute for the uniform Administrative Procedure Act ("UAPA") or the LEP Regulations. The official regulations are published by the State of Connecticut, Judicial Branch, Commission on Official Legal Publications in the Connecticut Law Journal. In the event there is inconsistency between what this document outlines and the regulations as published in the Connecticut Law Journal, the Connecticut Law Journal publication will serve as the official version.

Should you have any questions, please contact Kim Maiorano, LEP Board Administrator at (860) 424-3788 or email Kim.Maiorano@ct.gov. You may also email the Board directly at CT.LEPBoard@ct.gov.

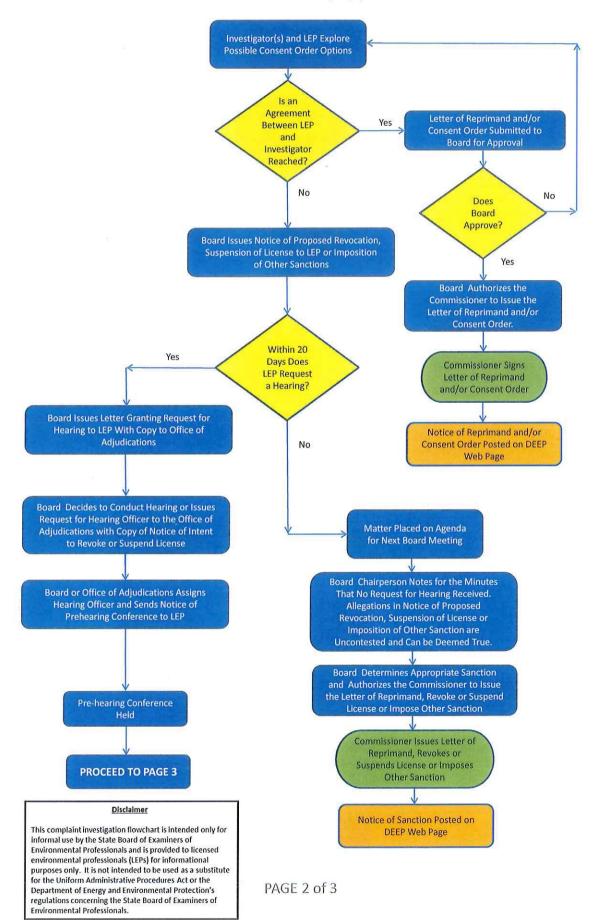
Board of Examiners of Licensed Environmental Professionals Complaint Investigation Process

November 2013 (rev. 12/16)



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November 2013 (Rev. 12/16) Based on Pre-Hearing Yes Results is Settlement Possible? Consent Order Signed by LEP and Board No **Authorizes** Commissioner to Issue Board or Hearing Consent Order. Officer Sets Discovery Commissioner Signs Dates and Issues Consent Order. **Board or Hearing Officer** Sets Dates for Hearing Officer or Board Prehearing Exchange of Issues Notice of Information **Board or Hearing** Officer Sets Date for Matter Removed From Docket of Board or Office of Adjudications **HEARING HELD** Letter of Reprimand If a Majority of Board and/or Consent Order Members Have Heard the Posted on DEEP Web Matter or Read the Record, Page Then the Board Issues a Final Decision. If a Majority of the Is Board Hearing Officer Issues Yes No **Board Members Have Not** Conducting **Proposed Final** Heard the Matter or Read the Hearing? **Decision to Board** Record, Then a Proposed Final Decision is Issued. If a Proposed Final Decision is Issued By Board or Hearing Officer, LEP Has 15 Days to File Exceptions and/or Request Oral Does LEP Argument Before the Board File Request Board Issues Proposed Final Yes No for **Decision to Commissioner** Exceptions and Authorizes Commissioner or Oral to Revoke or Suspend LEP's Argument? License or Impose Other Sanction Board Issues Notice and Hears **Oral Argument or Considers** Final Decision Posted on DEEP Web Page **Board Issues Final Decision** and Authorizes Commissioner to Revoke or Suspend License or Impose Other Sanction. Final Decision Posted on DEEP LEP Has Right to Appeal to Web Page **Connecticut Superior Court** Disclaimer This complaint investigation flowchart is intended only for informal use by the State Board of Examiners of Environmental Professionals and is provided to licensed environmental professionals (LEPs) for informational purposes only. It is not intended to be used as a substitute for the Uniform Administrative Procedures Act or the Department of Energy and Environmental Protection's Page 3 of 3 regulations concerning the State Board of Examiners of Environmental Professionals.