

Appendix A

Asbestos Containing Material (ACM)

Operations and Management Plan

Table of Contents
Operations and Management Plan

Part I. General Operating Conditions	3A
A. Facility Design and Management, Applicable Standards	3A
B. Facility Operated by Contractors	3A
C. Local Approvals	4A
D. Requirements of the General Permit	4A
E. Operating Requirements	4A
1. Management of Asbestos Containing Material (ACM)	4A
2. Documents Available at the Facility	4A
3. Access and Traffic Control	5A
4. Facility Personnel and Training	5A
5. Who Can Use the Facility	6A
6. Information to be Provided to Users of the Facility	6A
7. Sanitation and Maintenance	6A
8. Transfers from the Facility	7A
9. Management of Unauthorized and Unprocessable Recyclables and Other Solid Waste	7A
10. Record-Keeping and Reporting Requirements	8A
11. Emergency Incident(s), Reporting Emergencies to the Department	9A
12. Surface Water and Groundwater Management	10A
13. On-site Roads, Outdoor Operational Areas	11A
14. Days and Hours of Operation.	11A
15. Fire Prevention	11A
16. Fire Protection.	11A
17. Safety	11A
18. Containers and Covers	12A
19. Compliance Audits	12A
20. Emergency Planning	14A
Part II. Capacity Limits and Management Requirements	14A
A. Maximum Receipt and Storage Capacities (Transfer Only)	14A
B. Management Requirements	14A

Operations and Management Plan

Part I. General Operating Conditions

The Registrant shall at all times meet the requirements for authorization in Section 3 of the General Permit, the General Permit requirements and the conditions in Section 5 of the General Permit.

A Notifier shall manage Asbestos Containing Material (“ACM”) in a manner consistent with Best Management Practices (“BMPs”) as provided in the specific management conditions contained in this Appendix.

A. Facility Design and Management, Applicable Standards

The Registrant shall ensure that the design, construction, maintenance and operation of the Facility are based on the best engineering practices, including the requirements for managing Solid waste in accordance with Sections 22a-209-1 through 22a-209-17 of the Regulations of Connecticut State Agencies (“RCSA”), the Connecticut General Statutes (“CGS”) and the requirements of this General Permit.

The Registrant shall maintain an up-to-date Facility Site Plan certified by a Professional Engineer licensed to practice in Connecticut (“P.E.”) on Site at all times and such plan shall be made available to the Commissioner for review upon request.

A Notifier shall maintain a copy of an up-to-date Facility Site Plan certified by a Professional Engineer licensed to practice in Connecticut (P.E.) depicting where Recyclables and other Solid waste are managed for Storage and transfer from the Site.

B. Facilities Operated by Contractors

Registrants with Facilities that are operated by contractors shall at all times keep a current “Duties Statement” on file with the Department. The Duties Statement is a detailed description of the organization of Facility staff and assignment of responsibility for operation, management and maintenance of the Facility.

The Duties Statement shall include the name and title of the Facility lead for daily operations at the Facility, the supervisor, if any, to whom such staff person reports, and a detailed list of the Facility-related tasks assigned to both the contractor and to the Registrant. It shall identify the staff position(s) responsible for: keeping daily records; preparing monthly, quarterly and annual reports for the Department; scalehouse staffing, if any; litter removal; management and transfer from the Facility of **each** Solid waste type from the Facility; and compliance with the General Permit to Discharge Stormwater Associated with Industrial Activities as applicable. The Registrant shall update its Duties Statement whenever changes in staff organization are made and maintain a copy at the Site for the Department’s inspection. Any replacement of the Facility Operator shall be reported within five (5) Days of such change to the Department’s Solid Waste Program and certified in accordance with Section

6.(e) of the General Permit. A copy of the written agreement between the Registrant and the contractor shall also be kept current and on file with the Department.

C. Local Approvals

The Registrant/Notifier shall ensure that the Facility meets all applicable requirements of the local authorities. Operational changes at the Facility may trigger additional local review.

D. Requirements of the General Permit

The terms and specifications of the General Permit (Section 5 *Conditions of this General Permit*) and this Appendix shall control and be enforceable against the Registrant for all activities authorized under the General Permit and shall supersede the requirements of any previously issued permit.

The terms and specifications, identified as applicable, of the General Permit and this Appendix shall control and be enforceable against the Notifier for all activities authorized under the General Permit.

E. Operating Requirements

1. Management of Asbestos Containing Material (ACM)

The Registrant/Notifier shall ensure that all ACM accepted at the Facility is:

- a. Managed in compliance with all the requirements of the General Permit and this Appendix;
- b. Managed in such a manner as to prevent contamination or degradation that could render Recyclables unmarketable;
- c. Managed in areas prepared and dedicated for the Receipt, Storage and Processing of such Solid waste types and as designated on the Site Plan required by Section 4 of the General Permit;
- d. Managed so that no sealed Containers of ACM are opened at the Facility; and
- e. Transferred from the Facility to Markets, Recycling Facilities and/or other Solid Waste Facilities which are authorized to accept and Process such ACM.

2. Documents Available at the Facility

The Registrant shall ensure that a copy of the following documents is available at the Facility at all times for review by Facility Operator(s) and the Department:

- a. The General Permit and this Appendix, which provides operating conditions for the Facility;
- b. A copy of the Connecticut P.E. certified Facility Site Plan submitted to the Department with the Registration and any revisions thereto
- c. List of types, sizes, number and design parameters of all fixed and mobile equipment to be used at the Facility including equipment specification(s) and Processing capacities;
- d. Emergency response procedures obtained from the Fire Department;
- e. All records for wastes received, Processed and transferred from the Facility as well as records required to be maintained pursuant to RCSA 19a-332a-1 through 16, inclusive (*Public Health Code*), shall be maintained at the Facility for at least three (3) years following the expiration of the General Permit, or such other time frame

specified by law and shall be made available to the Commissioner for review upon request;

- f. The Facility's maintenance schedule developed in accordance with Section E.7. below; and
- g. A description of Occupational Safety and Health Administration ("OSHA") required personnel safety procedures and training pursuant to Section 22a-209-4(b)(2)(B)(iv) of the RCSA and all applicable requirements of Part 1910 and Part 1926 of Title 29 of the Code of Federal Regulations ("CFR") resulting from specific on-site operations.

The Notifier shall ensure that a copy of the following documents is available at the Site at all times for review by Facility Operator(s) and the Department:

- g. The General Permit and this Appendix, which provide operating conditions for the Site;
- h. A copy of a Connecticut P.E. certified Facility Site Plan depicting where ACM is managed for Storage and transfer from the Site; and
- i. All records for wastes received, Processed and transferred from the Site are maintained at the Site for at least three (3) years following the expiration of the General Permit.

3. Access and Traffic Control

The Registrant shall ensure that:

- a. Appropriate measures are taken to prevent unauthorized entry to the Facility. Appropriate control measures may be accomplished through the use of fences, gates, or other natural and/or artificial barriers;
- b. A prominent sign is posted and maintained at the entrance to the Facility, pursuant to the RCSA Section 22a-209-9(c)(1). Such sign shall include the Facility's Registration number, issuance and expiration dates, and a phone number that provides the general public the ability to register questions and complaints twenty-four (24) hours per Day. The Registrant shall maintain a log of the calls received and how such calls were addressed or resolved;
- c. All traffic related to the operation of the Facility is controlled in such a way as to mitigate queuing of vehicles off-site and excessive or unsafe traffic impacts in the area where the Facility is located and provides a safe circulation pattern that mitigates any threats to the safety of the users and staff of the Facility;
- d. Unless otherwise exempted, trucks are not left idling for more than three (3) consecutive minutes pursuant to Section 22a-174-18(b)(3) and (c) of the RCSA and that signs are prominently posted and maintained (e.g. at the entrance, scale and unloading areas, etc.) limiting such truck idling time within the Facility; and
- e. The Facility has adequate signage to clearly inform Facility users where to place ACM at the Facility.

4. Facility Personnel and Training

The Registrant shall ensure that:

- a. A Certified Operator is present at all times during the operation of the Facility;
- b. The Certified Operator also has received, at a minimum, OSHA Class III, thirty two (32) hour Operation and Maintenance training and any additional training as needed

- to ensure compliance with OSHA and CTDPH requirements. All asbestos training shall be provided by an Approved Asbestos Training Provider;
- c. Sufficient personnel are present at the Facility at all times to visually inspect incoming waste and to prevent drop-off of unauthorized materials; and
 - d. All individuals under the supervision of the Certified Operator shall be given annual training by the Registrant. The training shall include at a minimum safety and emergency procedures, abatement of releases and proper management of all ACM received and managed on-site, including inadvertently received wastes.

5. Who Can Use the Facility

ACM may be received from businesses and/or residents of the host Municipality or of other Municipality(ies) under financial agreement with the Registrant.

ACM may be received from any source or generator that has been approved by or is under written agreement with the Registrant.

6. Information to be Provided to Users of the Facility

- a. The Registrant shall provide the users of the Facility information regarding ACM management procedures for their use at the Facility to ensure safe operations. Suggested methods to fulfill this requirement include providing a leaflet to customers at the gate or in a mailing, or posted on the Facility's website or social media or an insert with billing. Such information shall be posted at the Facility in an easily visible location. The Facility shall have adequate signage to clearly inform clients where and how to deliver ACM at the Facility.
- b. The Registrant shall also provide guidance to the users of the Facility in methods that may be used to promote Recycling in accordance with the goals of the [Comprehensive Materials Management Strategy](https://portal.ct.gov/DEEP/Waste-Management-and-Disposal/Solid-Waste-Management-Plan/Comprehensive-Materials-Management-Strategy) (State-wide Solid Waste Management Plan), which can be viewed at <https://portal.ct.gov/DEEP/Waste-Management-and-Disposal/Solid-Waste-Management-Plan/Comprehensive-Materials-Management-Strategy>.

7. Sanitation and Maintenance

- a. The Registrant shall ensure that:
 - i. The Facility is operated and maintained in a safe and nuisance-free manner to control and/or prevent: fire; dust emission levels; odor; noise; spills; accumulation of litter; ponding of water and the harboring, feeding or breeding of vectors; and in continuous compliance with all applicable requirements;
 - ii. A maintenance and inspection plan that includes a schedule with a minimum frequency for inspections of once per week is developed;
 - iii. Such plan is implemented to ensure proper operating conditions at the Facility in accordance with the General Permit, and which achieves the standards in condition number Part I.E.7.a.i. of this Appendix;
 - iv. Such plan includes, but is not limited to, the maintenance and inspection of the designated storage areas and Containers of ACM;

- v. Any release or imminent threat of a release to the environment of any waste, Recyclables or any constituents thereof is immediately remedied upon discovery;
 - vi. Fugitive dust emissions and odors are controlled in accordance with Sections 22a-174-18 “Control of Particulate Matter and Visible Emissions” and 22a-174-29 “Control of Odors” of the RCSA;
 - vii. The Facility's premises shall be maintained and any litter shall be removed on a daily basis;
 - viii. All ACM are placed in the appropriate Storage areas by the end of each operating Day;
 - ix. Equipment is maintained on a regular basis according to manufacturer’s specifications and/or as needed;
 - x. Spills or leaks are cleaned up immediately and any cleanup materials are placed into a Container that is covered and compatible with the contents. Such cleanup materials shall be transferred from the Facility within forty-eight (48) hours to a facility authorized to dispose of such ACM waste;
 - xi. Clean-up materials and equipment are readily available on-site at all times; and
 - xii. Facility staff immediately notifies **the Department's Emergency Response and Spill Prevention Division at 1-866-DEP-SPIL (1-866-337-7745) or 860-424-3338** if there are any uncontained spills.
- b. The Registrant shall maintain compliance with: current OSHA required personnel safety procedures and training pursuant to Section 22a-209-4(b)(2)(B)(iv) of the RCSA; all applicable requirements of Part 1910 and Part 1926 of Title 29 of the CFR resulting from specific on-site operations; and the General Permit.

8. Transfers from the Facility

The Registrant shall ensure that:

- a. All ACM received at the Facility are transferred on a first in/first out basis;
- b. All ACM are loaded into appropriate Containers and are transferred from the Facility to Markets, Recycling Facilities and/or other Solid Waste Facilities within forty eight (48) hours of the Container(s) becoming full, with the exception of legal holiday weekends or as may otherwise be specified in Part II. of this Appendix. **In no event shall Solid wastes be stored at the Facility for greater than twelve (12) months;**
- c. All ACM are transferred from the Facility to Markets, Recycling Facilities and/or other Solid Waste Facilities, authorized in accordance with all federal, state, and local requirements, to receive and Process such wastes; and
- d. All ACM and other Solid wastes are transferred from the Facility in a manner that prevents leaks, spills and discharges to the ground.

9. Management of Unauthorized and Unprocessable Recyclables and Other Solid Waste

The Registrant shall ensure that any Recyclables and other Solid waste that are unauthorized for acceptance at the Facility (inadvertently received), or that are authorized but **cannot be managed (unprocessable) at the Facility, shall be managed in accordance with all applicable requirements** of the General Permit and as follows:

- a. **Management:** Unauthorized Recyclables and other Solid waste shall immediately be sorted, segregated, and stored in a manner that is both consistent with applicable law and protective of the environment until transferred from the Facility. **Unprocessable Recyclables and other Solid waste shall be managed in accordance with the requirements of this Appendix;**
- b. **Storage Containers:** At least one (1) dedicated Storage Container each for inadvertently received and for unprocessable Recyclables and other Solid waste shall be maintained at the Facility at all times;
- c. **Volume:** No more than twenty (20) cubic yards of inadvertently received Recyclables and other Solid waste shall be allowed to accumulate at the Facility, no more than ten (10) cubic yards of which may be inadvertently received putrescible MSW;
- d. **Transfer:** Unauthorized Recyclables and other Solid waste and unprocessable Recyclables and other Solid waste shall be transferred from the Facility within two (2) business Days from when the Recyclables and other Solid waste are received at the Facility. However, putrescible MSW shall be transferred from the Facility within forty eight (48) hours of its Receipt. Such Recyclables and other Solid waste shall be Recycled or disposed of only at a facility that is authorized to accept such Recyclables and other Solid waste; and
- e. **Reporting:** Unauthorized Recyclables and other Solid waste and unprocessable Recyclables and other Solid waste shall immediately be recorded in the daily log and included in the required quarterly reports submitted to the Department.

10. Record-Keeping and Reporting Requirements

The Registrant shall establish a system for measuring, recording, and reporting Site activities, as follows:

- a. **Records**

Daily records shall be maintained in a manner acceptable to the Commissioner and be made available to Department staff for inspection at any reasonable time. Records of the following, at a minimum, shall be kept in accordance with Part I.E.2.d. of this Appendix:

 - i. Types, capacities and quantities of all Containers of ACM received and transferred from the Facility, and unauthorized Recyclables and other Solid waste or unprocessable ACM that have been received and/or rejected;
 - ii. Dates of receipt and origin of all ACM received at the Facility, including hauler name;
 - iii. Destination to which all Processed ACM and unacceptable Recyclables and other Solid waste transferred from the Facility were delivered for Disposal or Recycling, including quantities delivered to each destination Facility;
 - iv. All inspection logs, including emergency and spill reports, which shall include, at a minimum the name of inspector, date of inspection, observations made and any remedial actions taken and their date;

- v. Log of scheduled and unscheduled shutdowns;
- vi. Operators' training records for Facility staff and Department certifications; and
- vii. Facility and equipment maintenance schedule, including activities undertaken to control dust, litter, vectors, etc.

The Notifier shall use or establish a system for measuring, recording and reporting Site activities, sufficient to provide the information required on the reporting forms prescribed by the Commissioner, as follows:

- viii. Types, quantities and destination facility for all Containers of ACM received and transferred from the Site to out of state facilities authorized to receive such ACM.

b. Reporting of ACM Managed at the Facility

- i. Based on the daily records as required by the RCSA Section 22a-209-9(p); the RCSA Section 22a-209-10(13); the CGS Section 22a-208e; or the CGS Section 22a-220 as appropriate, the Registrant shall be responsible for the preparation of monthly summaries which shall include, but not be limited to, the types, capacities and quantities of all ACM received at the Facility, including unauthorized and unprocessable Recyclables and other Solid waste; the municipality of origin; and the destination to which the ACM received at the Facility were subsequently delivered for Processing, disposal or Recycling.
- ii. Based on monthly summaries, the Registrant shall be responsible for the submittal to the Department of quarterly reports no later than January 31, April 30, July 31, and October 31, of each year which shall provide information required by condition Part I. E.10 of this Appendix pertaining to all ACM, received. Such reports shall be submitted on forms prescribed by the Department (as may be amended from time to time).
- iii. Send reporting summaries to:
SOLID WASTE PROGRAM - RECYCLING
BUREAU OF MATERIALS MANAGEMENT AND COMPLIANCE
ASSURANCE
DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION
79 ELM STREET, HARTFORD, CT 06106-5127
Or via email to DEEP.Solid&HazWasteReports@ct.gov

The Notifier shall be responsible for the submittal to the Department of annual reports, reporting semi-annual totals no later than July 31 of each year, which shall provide information required by condition number Part I. E.10. of this Appendix pertaining to all Recyclables and other Solid wastes received. Such reports shall be submitted on forms prescribed by the Department to the address in condition number Part I.E.10.b.iii. of this Appendix.

11. Emergency Incident(s), Reporting Emergencies to the Department

- a. The Registrant shall notify staff of the Department's Waste Engineering and Enforcement Division of any emergency incident at the Facility that disrupts Facility

operations, such as explosion(s), accident(s) or fire(s), including but not limited to any incident that:

- i. Significantly damages equipment or structures;
- ii. Interrupts the operation of the Facility for more than twenty four (24) hours;
- iii. Results in an unscheduled Facility shutdown or forced diversion of waste to Solid Waste or Hazardous Waste Facilities; or
- iv. Can reasonably be expected to create a source of pollution to the waters of the state; or otherwise threatens public health and the environment.

b. Emergency Reporting of Facility Incident(s)

The Registrant shall ensure compliance with the emergency reporting of Facility incidents(s) as follows:

- i. **Initial Report:** The Registrant shall report a significant emergency incident(s) within twenty four (24) hours to the appropriate local authorities and Solid Waste Enforcement Program in the Waste Engineering and Enforcement Division, Bureau of Materials Management and Compliance Assurance, by telephone at (860) 424-3366 or fax at (860) 424-4059.
- ii. **Final Report:** Within thirty (30) days of an emergency incident(s), a written report certified in accordance with Section 6(e) of the General Permit "Certification of Documents," detailing the cause and effect of the incident(s) and the remedial steps taken, shall be submitted to:
SOLID WASTE ENFORCEMENT PROGRAM
WASTE ENGINEERING AND ENFORCEMENT DIVISION
BUREAU OF MATERIALS MANAGEMENT AND COMPLIANCE ASSURANCE
DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION
79 ELM STREET
HARTFORD, CT 06106-5127
- iii. **Report Log:** A significant emergency incident(s) shall be recorded in a log of emergency incidents maintained at the Facility.

c. Other Reporting of Facility Incident(s)

The Registrant shall comply with all other applicable or required reporting of an emergency incident(s) including but not limited to, reporting required by Section 22a-450 of the CGS.

d. Reporting Leak and Spill Incident(s)

The Registrant shall ensure that Facility staff immediately notifies **the Department's Emergency Response and Spill Prevention Division at 1-866-DEP-SPIL (1-866-337-7745) (toll free) or at 860-424-3338** if there are any uncontained spills of Used oil, antifreeze, other hazardous materials, or unidentified fluids. The Registrant shall also ensure that Facility staff notifies the CTDPH of all releases or spills of ACM at the Facility using 1-860-509-7367.

12. Surface Water and Groundwater Management

The Registrant shall ensure that:

- a. The Facility is operated so as to prevent pollution to surface and groundwater;
- b. The Facility meets the applicable surface water and groundwater requirements of all local, state and federal authorities for any existing or proposed stormwater and wastewater collection, treatment and discharge systems and any Facility located in a coastal, wetland or aquifer protected (regulated) area; and
- c. The Registrant shall use covers over waste, secondary containment, Impervious surfaces, and other measures as needed to prevent pollution.

13. On-site Roads, Outdoor Operational Areas

The Registrant shall ensure that:

- a. On-site roads and outdoor Processing and Storage areas are constructed of materials suitable for heavy vehicles and designed to withstand expected traffic and loads in all weather conditions; and
- b. Movement of vehicles is unobstructed and there is adequate drainage to prevent the accumulation of water.

14. Days and Hours of Operation

The Registrant shall operate the Facility Monday through Friday no earlier than 7:00 a.m. and no later than 6:00 p.m. and Saturday no earlier than 7:00 a.m. and no later than 3:30 p.m. unless otherwise further restricted by local authorities.

15. Fire Prevention

The Registrant shall ensure that:

- a. An outside and inside fire protection system is maintained in accordance with the local Fire Marshal;
- b. Sufficient source(s) and quantities of water are available;
- c. Adequate equipment is provided to control fires; and
- d. Routine maintenance and inspections of all fire control equipment are conducted in accordance with the specifications of the manufacturer(s) and in no case less than annually.

16. Fire Protection

The Registrant shall ensure that the Facility has access to an adequate source of water or other suppressant sufficient to control any potential fires. The Registrant shall contact and seek guidance from the local fire protection agency to provide services when needed.

17. Safety

The Registrant shall:

- a. Design, construct, maintain and operate the Facility so as to reasonably ensure the safety of users, employees and the surrounding neighborhood;
- b. Prepare and maintain a description of OSHA required personnel safety procedures and training pursuant to Section 22a-209-4(b)(2)(B)(iv) of the RCSA and all applicable requirements of Part 1910 and Part 1926 of Title 29 of the CFR resulting from specific on-site operations;

- c. Promptly clean up all spills and breakage;
- d. Prevent the public from scavenging Recyclables and other Solid wastes, that is, from searching through Recyclables and other Solid wastes to remove useful material;
- e. Organize and direct indoor and outdoor traffic patterns to minimize conflicts between pedestrians and vehicles; and
- f. Ensure that pedestrians are not endangered at loading and unloading areas or at any operational area of the Facility.

18. Containers and Covers

The Registrant shall ensure that:

- a. All Containers are compatible with the ACM stored within;
- b. All Containers are routinely inspected for corrosion or degradation;
- c. Any Containers found to be degraded (i.e. they can no longer contain the ACM) are removed from service at the Facility; and
- d. Where covers over Containers are required, they are of a material that is Impervious to precipitation.

19. Compliance Audits

The Registrant shall, no later than sixty (60) Days from the acknowledgement of a Registration and/or issuance date of the Approval of Registration, perform quarterly compliance audits for the life of the General Permit. Compliance audits required by this condition shall consist of a thorough and complete assessment of the Registrant's compliance with Sections 22a-209-1 through 22a-209-17 of the RCSA and with the terms and conditions of the General Permit.

a. Compliance Auditor

The compliance audits required by this condition shall be performed quarterly. The first and third quarter compliance audits shall only be conducted by a P.E or consultant approved in writing by the Commissioner. The second and fourth quarter compliance audits may be a self-certification conducted by the Facility's Certified Operator or a P.E. or consultant approved in writing by the Commissioner.

The Registrant shall, prior to the Commissioner's approval of the P.E. or consultant, submit for the Commissioner's evaluation a detailed description of the P.E. or consultant's credentials (education, experience, training) which are relevant to the work required under this condition and certify to the Commissioner that such P.E. or consultant:

- i. Is not a subsidiary of or affiliated corporation to the Registrant or Registered Facility;
- ii. Does not own stock in the Registrant or any parent, subsidiary, or affiliated corporation;
- iii. Has no other direct financial stake in the outcome of the compliance audit(s) outlined in the General Permit;
- iv. Has expertise and competence in environmental auditing and the regulatory programs being addressed through the General Permit, including evaluation of

- compliance with requirements specified in Sections 22a-209-1 through 22a-209-17 of the RCSA and with the terms and conditions of the General Permit; and
- v. Within ten (10) days after retaining any P.E. or consultant other than the one originally identified pursuant to this condition, notify the Commissioner in writing of the identity of such other P.E. or consultant by submitting the information and documentation specified in this condition. Nothing in this condition shall preclude the Commissioner from finding a previously acceptable P.E. or consultant unacceptable.

b. Scope of Compliance Audits

Compliance audits shall detail the Registrant's compliance with the requirements of this General Permit and all applicable provisions of Sections 22a-209-1 through 22a-209-17 of the RCSA.

c. Compliance Audit Report

The results of each compliance audit shall be summarized in a Compliance Audit Report. At a minimum, such report shall include:

- i. The names of those individuals who conducted the compliance audit;
- ii. The areas of the Facility inspected;
- iii. The records reviewed to determine compliance;
- iv. A detailed description of the Registrant's compliance with this General Permit and applicable regulations;
- v. The identification of all violations of this General Permit and applicable regulations;
- vi. The findings regarding the inspections conducted in accordance with this condition during the Day of the compliance audit;
- vii. A description of the actions taken by the Registrant to correct the violation(s) identified in each compliance audit; and
- viii. The Registrant's certification of compliance with the regulations and documentation demonstrating such compliance pursuant to this General Permit. In cases where multiple counts of the same violation are discovered, the report shall include a listing of each count.

d. Registrant's Responses to Compliance Audit

The Registrant shall:

- i. Correct all violations immediately. Should the Registrant be unable to immediately correct the violation(s), within seven (7) Days of the date the Registrant was notified of the violation(s), the Registrant shall submit, for the review and written approval of the Commissioner, a detailed plan to correct all violations noted. Such plan shall also include a schedule for implementation of the corrective actions required or recommended;
- ii. Ensure that, within five (5) Days of the compliance audit, the Department is notified of all violations identified during the audit; and
- iii. Ensure that the Compliance Audit Report, within fifteen (15) Days of the compliance audit, is submitted to the Department. A copy of the Compliance

Audit Report shall be maintained at the Facility for the life of the General Permit or for such other timeframe specified by the Commissioner.

- e. The Registrant shall cease accepting all ACM at the Facility in the event that the Registrant fails to submit in a timely manner the plan and schedule required by condition number Part I.E.19.d. of this Appendix or fails to correct the violations noted by the inspection(s) in accordance with the approved plan and schedule.
- f. **Documentation Submittal Deadlines**
The documents required to be submitted pursuant to this condition shall be submitted quarterly no later than January 31, April 30, July 31, and October 31 directly to the Solid Waste Enforcement Program, Waste Engineering and Enforcement Division, Bureau of Materials Management and Compliance Assurance, Department of Energy and Environmental Protection, 79 Elm Street, Hartford, CT 06106-5127.

The Notifier may conduct Compliance self-audits on an annual basis. Such self-audits should evaluate the management of ACM for consistency with the BMPs contained in the General Permit and this Appendix. If audits are conducted, the Notifier shall address any violations of the General Permit and this Appendix immediately. The findings of such Compliance self-audits and any corrective actions taken in response to the self-audit shall be made available to the Commissioner upon request.

20. Emergency Planning

The Registrant shall plan for the appropriate management of ACM at the Facility in the event of emergencies such as power failure, equipment failure or natural disasters. The Registrant shall also plan for appropriate responses to fire, flood and medical emergencies, including coordination with local medical, police and fire protection agencies.

Appendix A. Part II. Capacity Limits and Management Requirements

The Notifier shall manage ACM consistent with the conditions specified in this Appendix and shall implement the conditions as BMPs.

A. Maximum Receipt and Storage Capacities (Transfer Only)

The Registrant/Notifier shall ensure that:

1. The maximum Processing capacity of ACM at the Facility does not exceed twenty (20) tons per Day; and
2. On-site Storage is limited to no more than 120 cubic yards of ACM at any one time.

B. Management Requirements

All management of ACM at the Facility shall at all times be in compliance with all applicable requirements of the RCSA Sections 19a-332a-1 to 19a-332a-16 inclusive.

1. Receipt

The Registrant shall ensure that ACM is received at the Facility as ACM Waste Properly Packaged and Labeled as authorized by this Appendix. ACM Waste Properly Packaged and Labeled that is not contained in a secure Container when received at the Facility shall immediately be placed in a fully enclosed and secure Storage or transport Container and be placed in a dedicated area.

2. Storage

The Registrant shall ensure that:

- a. ACM Waste Properly Packaged and Labeled is stored:
 - i. In dedicated, secured areas as specified on the Site Plan;
 - ii. In designated Storage or transport Containers that are structurally sound and leak proof;
 - iii. In Storage or transport Containers that are kept sealed, closed or locked at all times unless ACM Waste Properly Packaged and Labeled is actively being consolidated into such Containers; and
 - iv. In Storage or transport Containers that are locked at the end of each business Day.
- b. All Containers that are maintained at the Facility for the Storage of ACM Waste Properly Packaged and Labeled shall be labeled in accordance with the OSHA 29 CFR 1910.1001(j)(4) and the EPA 40 CFR 61.150 as appropriate.

3. Processing

The Registrant shall ensure that the only Processing of ACM Waste Properly Packaged and Labeled conducted at the Facility consists of Receipt, Storage, consolidation and transfer from the Facility.

4. Records/Tracking of ACM

The Registrant shall ensure that:

- a. Records are maintained on a daily basis for each ACM Waste Properly Packaged and Labeled Container shipment. Such records shall include, at a minimum: the manifest number; date and time of arrival; volume of ACM Waste Properly Packaged and Labeled measured in cubic yards; ultimate destination; and date and time of transfer from the Facility. The waste manifest must be returned to the generator within thirty five (35) days of the date that the waste was accepted by the initial transporter. For residential customers, if no manifest is used, the Registrant shall obtain other tracking identifier(s) such as copies of receipts for service; and
- b. All records of ACM Waste Properly Packaged and Labeled received, consolidated and transferred from the Facility shall be maintained and reported to the Department as required by this Appendix.

5. Transfer

The Registrant shall ensure that:

- a. Full transport Containers of ACM Waste Properly Packaged and Labeled are transferred:
 - i. From the Facility within forty eight (48) hours;

- ii. In a manner that prevents leaks, spills and discharges to the ground; and
 - iii. To Markets, Recycling Facilities and/or other Solid Waste Facilities which are authorized to accept and Process such Solid waste(s); and
- b. Partially filled Container(s) of ACM Waste Properly Packaged and Labeled are stored at the Facility for no greater than twelve (12) months from Receipt of such waste.

6. Releases and Corrective Actions

The Registrant shall immediately address any releases and take such corrective actions as necessary to contain and remediate any release of ACM at the Facility. The Registrant shall also report any such release in accordance with Part I.E.11.of this Appendix. The Registrant shall also submit a written report no later than thirty (30) days from the release to the Department detailing the cause and effect of the release, the remedial steps taken and any modifications to operations at the Facility taken as a result of the release.