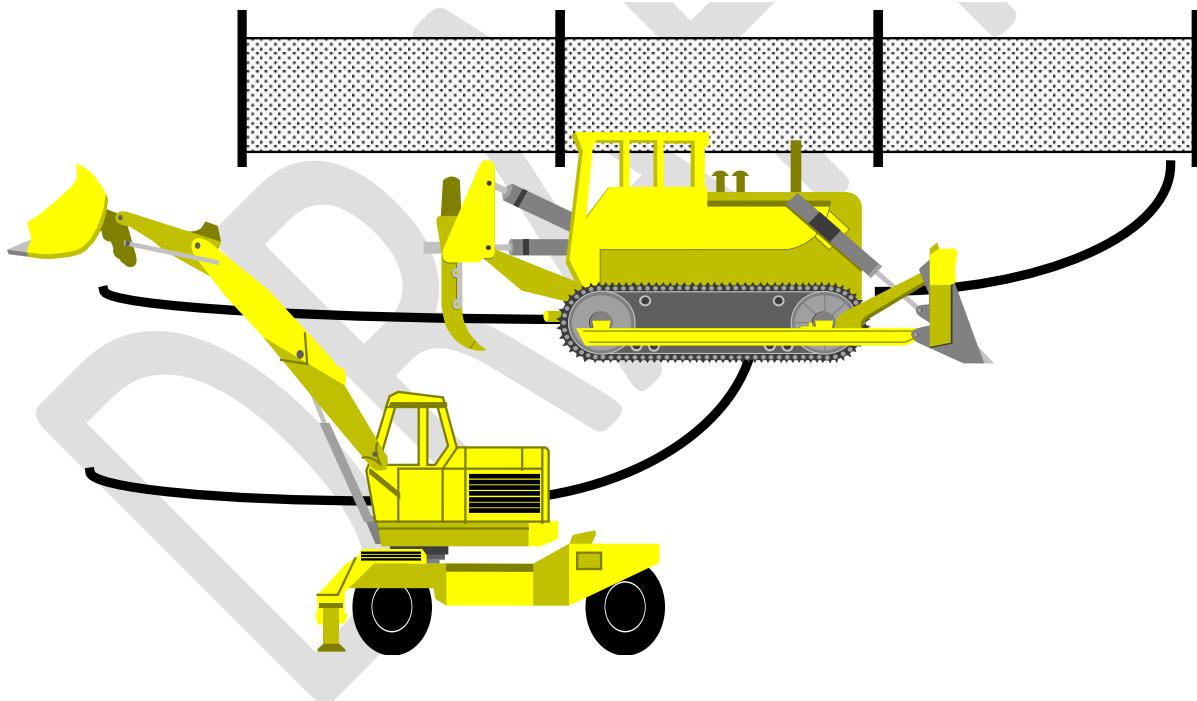




# **General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities**



**Issuance Date: TBD  
Effective Date: TBD**

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# General Permit for Discharge of Stormwater and Dewatering Wastewaters from Construction Activities

## Table of Contents

<b>Section 1.</b>	<b>Authority .....</b>	<b>4</b>
<b>Section 2.</b>	<b>Definitions .....</b>	<b>4</b>
<b>Section 3.</b>	<b>Authorization Under This General Permit .....</b>	<b>9</b>
(a)	<i>Eligible Activities .....</i>	<i>9</i>
(b)	<i>Requirements for Authorization.....</i>	<i>9</i>
(c)	<i>Registration .....</i>	<i>18</i>
(d)	<i>Small Construction .....</i>	<i>18</i>
(e)	<i>Geographic Area .....</i>	<i>18</i>
(f)	<i>Effective Date and Expiration Date of this General Permit.....</i>	<i>18</i>
(g)	<i>Effective Date of Authorization.....</i>	<i>18</i>
(h)	<i>Revocation of an Individual Permit .....</i>	<i>19</i>
(i)	<i>Issuance of an Individual Permit.....</i>	<i>19</i>
<b>Section 4.</b>	<b>Registration Requirements .....</b>	<b>19</b>
(a)	<i>Who Must File a Registration .....</i>	<i>19</i>
(b)	<i>Scope of Registration .....</i>	<i>20</i>
(c)	<i>Contents of Registration .....</i>	<i>20</i>
(d)	<i>Where to File a Registration.....</i>	<i>21</i>
(e)	<i>Availability of Registration and Plan .....</i>	<i>25</i>
(f)	<i>Additional Information.....</i>	<i>26</i>
(g)	<i>Additional Notification .....</i>	<i>26</i>
(h)	<i>Action by Commissioner.....</i>	<i>26</i>
(i)	<i>Latest Date to Submit a Registration Under this General Permit.....</i>	<i>26</i>
<b>Section 5.</b>	<b>Conditions of this General Permit .....</b>	<b>27</b>
(a)	<i>Conditions Applicable to Certain Discharges .....</i>	<i>27</i>
(b)	<i>Stormwater Pollution Control Plan.....</i>	<i>27</i>
(c)	<i>Reporting and Record Keeping Requirements.....</i>	<i>39</i>
(d)	<i>Regulations of Connecticut State Agencies Incorporated into this General Permit .....</i>	<i>41</i>
(e)	<i>Reliance on Registration.....</i>	<i>41</i>
(f)	<i>Duty to Correct and Report Violations.....</i>	<i>41</i>
(g)	<i>Duty to Provide Information.....</i>	<i>42</i>
(h)	<i>Certification of Documents.....</i>	<i>42</i>
(i)	<i>Date of Filing .....</i>	<i>42</i>
(j)	<i>False Statements .....</i>	<i>42</i>
(k)	<i>Correction of Inaccuracies .....</i>	<i>42</i>
(l)	<i>Transfer of Authorization.....</i>	<i>42</i>
(m)	<i>Other Applicable Law .....</i>	<i>43</i>
(n)	<i>Other Rights .....</i>	<i>43</i>
<b>Section 6.</b>	<b>Termination Requirements.....</b>	<b>43</b>
(a)	<i>Notice of Termination.....</i>	<i>43</i>
(b)	<i>Termination Form .....</i>	<i>44</i>
(c)	<i>Where to File a Termination Form.....</i>	<i>44</i>

<b>Section 7.</b>	<b>Commissioner's Powers .....</b>	<b>45</b>
(a)	<i>Abatement of Violations .....</i>	<i>45</i>
(b)	<i>General Permit Revocation, Suspension, or Modification.....</i>	<i>45</i>
(c)	<i>Filing of an Individual Application.....</i>	<i>45</i>
<b>Appendix A</b>	<b>Endangered and Threatened Species</b>	
<b>Appendix B</b>	<b>Low Impact Development Guidance Information and Fact Sheet</b>	
<b>Appendix C</b>	<b>Aquifer Protection Guidance Information</b>	
<b>Appendix D</b>	<b>Coastal Management Act Determination Form</b>	
<b>Appendix E</b>	<b>Memorandum of Agreement Between DEEP and Conservation Districts For Locally Approvable Projects</b>	
<b>Appendix F</b>	<b>Memorandum of Agreement Between DEEP and Conservation Districts For Locally Exempt Projects</b>	
<b>Appendix G</b>	<b>Historic Preservation Review</b>	
<b>Appendix H</b>	<b>Wild &amp; Scenic Rivers Guidance</b>	
<b>Appendix I</b>	<b>Stormwater Management at Solar Array Construction Projects</b>	
<b>Appendix J</b>	<b>CTDEEP Financial Assurance Irrevocable Letter of Credit</b>	

# General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities

## Section 1. Authority

This general permit is issued under the authority of section 22a-430b of the Connecticut General Statutes.

## Section 2. Definitions

The definitions of terms used in this general permit shall be the same as the definitions contained in section 22a-423 of the Connecticut General Statutes and section 22a-430-3(a) of the Regulations of Connecticut State Agencies. As used in this general permit, the following definitions shall apply:

*“x-year, 24-hour rainfall event”* means the maximum 24-hour precipitation event with a probable recurrence interval of once in the given number of years (i.e. x=2, 25 or 100), as defined by the National Oceanic and Atmospheric Administration (NOAA) Atlas 14, Volume 10, Version 2, Point Precipitation Frequency Estimates, or equivalent regional or state rainfall probability information developed therefrom.

*“Annual sediment load”* means the total amount of sediment carried by stormwater runoff on an annualized basis.

*“Aquifer protection area”* has the same meaning as provided in section 22a-354h of the Connecticut General Statutes.

*“Best engineering practices”* means the design of engineered control measures to control pollution to the maximum extent achievable using measures that are technologically available and economically practicable.

*“CFR”* means the Code of Federal Regulations.

*“Coastal area”* means coastal area as defined in section 22a-93(3) of the Connecticut General Statutes.

*“Coastal waters”* means coastal waters as defined in section 22a-93(5) of the Connecticut General Statutes.

*“Commissioner”* means commissioner as defined in section 22a-2(b) of the Connecticut General Statutes.

*“Construction activity”* means any activity and discharges associated with construction at a site or the site’s preparation for construction, including, but not limited to, clearing, grubbing, pile driving, soil disturbance, soil compaction by construction equipment, staging and stockpiling, cleaning and washout, grading, excavation, and dewatering.

*“Department”* means the Department of Energy and Environmental Protection.

*“Developer”* means a person who or municipality which is responsible, either solely or partially through contract, for the design and construction of a project site.

*“Dewatering wastewater”* means wastewater associated with the construction activity generated from the lowering of the groundwater table, the pumping of accumulated stormwater or uncontaminated groundwater from an excavation, the pumping of surface water from a cofferdam, or pumping of other surface water that has been diverted into a construction site.

*“District”* means a soil and water conservation district established pursuant to section 22a-315 of the Connecticut General Statutes. Appendices E and F list the Districts, their geographic delineations, and contact information.

*“Disturbance”* means the area on a site where soil will be exposed or susceptible to erosion during any construction activity.

*“Effective Impervious Cover”* is a surface composed of any material that impedes or prevents natural infiltration of water into the soil. Impervious surfaces shall include but are not limited to roofs, solid decks, driveways, patios, sidewalks, parking areas, tennis courts, concrete or asphalt streets, or compacted soils or gravel surfaces.

*“Engineered stormwater management system”* means any control measure and related appurtenances which requires engineering analysis and/or design by a professional engineer.

*“Erosion”* means the detachment and movement of soil or rock fragments by water, wind, ice and gravity.

*“Final stabilization”* for a site authorized by this general permit means that no disturbed areas remain exposed, there is no active erosion or sedimentation present on the site, and that vegetation or permanent non-vegetative ground cover have been fully established over the entire site.

*“Fresh-tidal wetland”* means a tidal wetland with an average salinity level of less than 0.5 parts per thousand.

*“Grab sample”* means an individual sample collected in less than fifteen minutes.

*“Groundwater”* means those waters of the state that naturally exist or flow below the surface of the ground.

*“Guidelines”* means the 2002 Connecticut Guidelines for Soil Erosion and Sediment Control, as amended, established pursuant to section 22a-328 of the Connecticut General Statutes.

*“High Quality Waters”* means those waters defined as high quality waters in the Connecticut Water Quality Standards published by the Department, as may be amended.

*“Impaired water(s)”* means those surface waters of the state designated by the commissioner as impaired pursuant to Section 303(d) of the Clean Water Act and as identified in the most recent State of Connecticut Integrated Water Quality Report.

*“In Responsible charge”* means professional experience for which the Commissioner determines that a professional’s primary duties consistently involve a high level of responsibility and decision making in the planning and designing of engineered stormwater management systems or in the planning and designing of soil erosion and sediment controls for residential and commercial construction projects. The Commissioner shall consider the following in determining whether a professional’s experience qualifies as responsible charge experience:

- (i) the level of independent decision-making exercised;
- (ii) the number of individuals and the disciplines of the other professionals that the professional supervised or coordinated;
- (iii) the extent to which a professional’s responsibilities consistently involved the review of work performed by other professionals involved the planning and designing of engineered stormwater management systems or the planning and designing of soil erosion and sediment controls for residential and commercial construction projects;

- (iv) the extent to which a professional's responsibilities consistently involved the planning and designing of engineered stormwater management systems or the planning and designing of soil erosion and sediment controls for residential and commercial construction projects and whether such responsibilities were an integral and substantial component of the professional's position;
- (v) the nature of a professional's employer's primary business interests and the relation of those interests to planning and designing of engineered stormwater management systems or to planning and designing of soil erosion and sediment controls for residential and commercial construction projects;
- (vi) the extent to which a professional has engaged in the evaluation and selection of scientific or technical methodologies for planning and designing of engineered stormwater management systems or for planning and designing of soil erosion and sediment controls for residential and commercial construction projects;
- (vii) the extent to which a professional drew technical conclusions, made recommendations, and issued opinions based on the results of planning and designing of engineered stormwater management systems or of planning and designing of soil erosion and sediment controls for residential and commercial construction projects; or
- (viii) any other factor that the Commissioner deems relevant.

*“Individual permit”* means a permit issued to a specific permittee under section 22a-430 of the Connecticut General Statutes.

*“Inland wetland”* means wetlands as defined in section 22a-38 of the Connecticut General Statutes.

*“Landscape Architect”* means a person with a currently effective license issued in accordance with chapter 396 of the Connecticut General Statutes.

*“Linear Project”* includes the construction of roads, railways, bridges, bikeways, conduits, substructures, pipelines, sewer lines, towers, poles, cables, wires, connectors, switching, regulating and transforming equipment and associated ancillary facilities in a long, narrow area.

*“Locally approvable project”* means a construction activity for which the registration is not for a municipal, state or federal project and is required to obtain municipal approval for the project.

*“Locally exempt project”* means a construction activity for which the registration is for a project authorized under municipal, state or federal authority and may not be required to obtain municipal approval for the project.

*“Low Impact Development”* or *“LID”* means a site design strategy that maintains, mimics or replicates pre-development hydrology through the use of numerous site design principles and small-scale treatment practices distributed throughout a site to manage runoff volume and water quality at the source.

*“Minimize”*, for purposes of implementing the control measures in Section 5(b)(2) of this general permit, means to reduce and/or eliminate to the extent achievable using control measures that are technologically available and economically practicable and achievable in light of best industry practice.

*“Municipal separate storm sewer system”* or *“MS4”* means conveyances for stormwater (including roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, man-made channels or storm drains) owned or operated by any municipality, DOT or by any other state or federal institution (as defined in the General Permit for the Discharge of Stormwater from Small Municipal Separate Storm Sewer Systems) and discharging to surface waters of the state.

***“Municipality”*** means a city, town or borough of the state as defined in section 22a-423 of the Connecticut General Statutes.

***“Normal Working Hours”***, for the purposes of monitoring under Section 5(c) of this general permit, are considered to be, at a minimum, Monday through Friday, between the hours of 8:00 am and 6:00 pm, unless additional working hours are specified by the permittee.

***“Permittee”*** means any person who or municipality which initiates, creates or maintains a discharge in accordance with Section 3 of this general permit.

***“Person”*** means person as defined in section 22a-423 of the Connecticut General Statutes.

***“Phase”*** means a portion of a project possessing a distinct and complete set of activities that have a specific functional goal wherein the work to be completed in the phase is not dependent upon the execution of work in a later phase in order to make it functional.

***“Point Source”*** means any discernible, confined and discrete stormwater conveyance (including but not limited to, any pipe, ditch, channel, tunnel, conduit, discrete fissure, container, rolling stock, concentrated animal feeding operation, landfill leachate collection system, vessel or other floating craft) from which pollutants are or may be discharged.

***“Professional Engineer”*** or ***“P.E.”*** means a person with a currently effective license issued in accordance with chapter 391 of the Connecticut General Statutes.

***“Qualified Inspector”*** means an individual possessing either (1) a professional license or certification by a professional organization recognized by the commissioner related to agronomy, civil engineering, landscape architecture, soil science, and two years of demonstrable and focused experience in erosion and sediment control plan reading, installation, inspection and/or report writing for residential and commercial construction projects in accordance with the Guidelines; or (2) five years of demonstrable and focused experience in erosion and sediment control plan reading, installation, inspection and/or report writing for residential and commercial construction projects in accordance with the Guidelines; or (3) certification by the Connecticut Department of Transportation (DOT).

***“Qualified professional engineer”*** means a professional engineer who has, for a minimum of eight years, engaged in the planning and designing of engineered stormwater management systems for residential and commercial construction projects in accordance with the Guidelines and the Stormwater Quality Manual including, but not limited to, a minimum of four years in responsible charge of the planning and designing of engineered stormwater management systems for such projects. Such qualified professional engineer shall remain in good standing with the Connecticut Department of Consumer Protection and the Commissioner.

***“Qualified soil erosion and sediment control professional”*** means a landscape architect or a professional engineer who: (1) has for a minimum of eight years engaged in the planning and designing of soil erosion and sediment controls for residential and commercial construction projects in accordance with the Guidelines including, but not limited to, a minimum of four years in responsible charge of the planning and designing of soil erosion and sediment controls for such projects; or (2) is currently certified as a professional in erosion and sediment control as designated by EnviroCert International, Incorporated (or other certifying organization acceptable to the commissioner) and has, for a minimum of six years, engaged in the planning and designing of soil erosion and sediment controls for residential and commercial construction projects in accordance with the Guidelines including, but not limited to, a minimum of four years in responsible charge in the planning and designing of soil erosion and sediment controls for such projects. Such qualified soil erosion and sediment control professional shall remain in good standing with the Connecticut Department of Consumer Protection and the Commissioner.

*“Registrant”* means a person or municipality that files a registration.

*“Registration”* means a registration filed with the commissioner pursuant to Section 4 of this general permit.

*“Regulated Municipal Separate Storm Sewer System”* or *“Regulated MS4”* means the separate storm sewer system of the DOT, the City of Stamford or any other MS4 (as defined above) authorized by the most recently issued General Permit for the Discharge of Stormwater from Small Municipal Separate Storm Sewer Systems (MS4 general permit) including all those located partially or entirely within an Urbanized Area and those additional MS4s located outside an Urbanized Area as may be designated by the commissioner.

*“Retain”* means to hold runoff on-site to promote vegetative uptake and groundwater recharge through the use of runoff reduction or LID practices or other measures. In addition, it means there shall be no subsequent point source release to surface waters from a storm event defined in this general permit or as approved by the commissioner.

*“Runoff reduction practices”* means those post-construction stormwater management practices used to reduce post-development runoff volume delivered to the receiving water, as defined by retaining the volume of runoff from a storm up to the first half inch or one inch of rainfall in accordance with Sections 5(b)(2)(C)(i)(a) or (b), respectively. Runoff reduction is quantified as the total annual post-development runoff volume reduced through canopy interception, soil amendments, evaporation, rainfall harvesting, engineered infiltration, extended filtration or evapo-transpiration.

*“Sediment”* means solid material, either mineral or organic, that is in suspension, is transported, or has been moved from its site of origin by erosion.

*“Site”* means geographically contiguous land on which a construction activity takes place or on which a construction activity for which authorization is sought under this general permit is proposed to take place. Non-contiguous land or water owned by the same person shall be deemed the same site if such land is part of a linear project (as defined in this section) or is otherwise connected by a right-of-way, which such person controls.

*“Soil”* means any unconsolidated mineral and organic material of any origin.

*“Solar array”* means an on-the-ground installation of arrays of photovoltaic cell panels, supporting structures and related equipment for the production of electricity.

*“Stabilize”* means the use of measures as outlined in the 2002 Connecticut Guidelines for Soil Erosion and Sediment Control, as amended, or as approved by the commissioner, to prevent the visible movement of soil particles and development of rills.

*“Structural measure”* means a measure constructed for the temporary storage and/or treatment of stormwater runoff.

*“Standard Industrial Classification Code”* or *“SIC Code”* means those codes provided in the Standard Industrial Classification Manual, Executive Office of the President, Office of Management and Budget 1987.

*“Standard of care”*, as used in Section 3(b), means to endeavor to perform in a manner consistent with that degree of care and skill ordinarily exercised by members of the same profession currently practicing under similar circumstances.

*“Stormwater”* means waters consisting of rainfall runoff, including snow or ice melt during a rain event.

“*Stormwater Quality Manual*” means the 2004 Connecticut Stormwater Quality Manual published by the Connecticut Department of Energy & Environmental Protection, as amended.

“*Surface water*” means that portion of waters, as the term “waters” is defined in section 22a-423 of the Connecticut General Statutes, located above the ground surface.

“*Tidal wetland*” means a wetland as that term is defined in section 22a-29(2) of the Connecticut General Statutes.

“*Total disturbance*” means the total area of disturbance on a site during all phases of construction activity.

“*Total Maximum Daily Load*” or “*TMDL*” means the maximum capacity of a surface water to assimilate a pollutant as established by the commissioner, including pollutants contributed by point and non-point sources and a margin of safety.

“*Upland soils*” means soils which are not designated as poorly drained, very poorly drained, alluvial, or flood plain by the National Cooperative Soils Survey, as may be amended, of the Natural Resources Conservation Service of the United States Department of Agriculture and/or the inland wetlands agency of the municipality in which the project will take place.

“*Water company*” means water company as defined in section 25-32a of the Connecticut General Statutes.

“*Water Quality Standards*” means the water quality standards in RCSA 22a-426-1 et seq, as may be amended.

“*Water Quality Volume*” or “*WQV*” means the volume of runoff generated by one inch of rainfall on a site as defined in the 2004 Connecticut Stormwater Quality Manual, as amended.

### **Section 3. Authorization Under This General Permit**

#### **(a) *Eligible Activities***

This general permit authorizes construction activities and associated stormwater and dewatering wastewater discharges on a site, as defined in this general permit, with a total disturbance of one or more acres of land area on a site, *regardless of project phasing*.

In the case of a larger plan of development (such as a subdivision), the estimate of total acres of site disturbance shall include, but is not limited to, road and utility construction, individual lot construction (e.g. house, driveway, septic system, etc.), and all other construction associated with the overall plan, regardless of the individual parties responsible for construction of these various elements.

#### **(b) *Requirements for Authorization***

This general permit authorizes the construction activity and associated discharges listed in the “*Eligible Activities*” section (Section 3(a)) of this general permit provided:

##### **(1) Coastal Management Act**

Such construction activity must be consistent with all applicable goals and policies in section 22a-92 of the Connecticut General Statutes, and must not cause adverse impacts to coastal resources as defined in section 22a-93(15) of the Connecticut General Statutes. Please refer to the Appendix D for additional guidance.

(2) Endangered and Threatened Species

Such activity must not threaten the continued existence of any species listed pursuant to section 26-306 of the Connecticut General Statutes as endangered or threatened and must not result in the destruction or adverse modification of habitat designated as essential to such species. See Appendix A for conditions and requirements for compliance.

(3) Aquifer Protection Areas

Such construction activity, if it is located within an aquifer protection area as mapped under section 22a-354b of the General Statutes, must comply with regulations adopted pursuant to section 22a-354i of the General Statutes. Please refer to the Appendix C for additional guidance.

For any construction activity regulated pursuant to sections 22a-354i-8(c) and 9(b) of the Regulations of Connecticut State Agencies (Aquifer Protection Regulations), the Stormwater Pollution Control Plan (Plan) must assure that stormwater run-off generated from the regulated construction activity (i) is managed in a manner so as to prevent pollution of groundwater, and (ii) complies with all the requirements of this general permit.

(4) Mining Operations Exception

The stormwater discharge resulting from an activity classified as Standard Industrial Classification 10 through 14 (the mining industry) is not authorized by this general permit and is regulated under the most recently issued General Permit for the Discharge of Stormwater Associated with Industrial Activity.

(5) Discharge to POTW

The stormwater is *not* discharged to a Publicly Owned Treatment Works (POTW).

(6) Discharge to Groundwater

The stormwater is *not* discharged entirely to groundwater.

(7) Such construction activity must be consistent with the Wild and Scenic Rivers Act (16 U.S.C. 1271-1287) for those river components and tributaries which have been designated as Wild and Scenic by the United States Congress. Further, such construction activities must not have a direct and adverse effect on the values for which such river designation was established. Please refer to Appendix H for additional guidance.

(8) Certification Requirements for Registrants and other Individuals

As part of the registration for this general permit, the registrant and any other individual or individuals responsible for preparing the registration submits to the commissioner a written certification which, at a minimum, complies with the following requirements:

(A) The registrant and any other individual or individuals responsible for preparing the registration and signing the certification has completely and thoroughly reviewed, at a minimum, this general permit and the following regarding the activities to be authorized under such general permit:

(i) all registration information provided in accordance with Section 4(c)(2) of such general permit;

- (ii) the project site, based on a site inspection;
- (iii) the Stormwater Pollution Control Plan; and
- (iv) any plans and specifications and any Department approvals regarding such Stormwater Pollution Control Plan;

(B) The registrant and any other individual or individuals responsible for preparing the registration and signing the certification pursuant to this general permit has, based on the review described in section 3(b)(8)(A) of this general permit, made an affirmative determination to:

- (i) comply with the terms and conditions of this general permit;
- (ii) maintain compliance with all plans and documents prepared pursuant to this general permit including, but not limited to, the Stormwater Pollution Control Plan;
- (iii) properly implement and maintain the elements of the Stormwater Pollution Control Plan; and
- (iv) properly operate and maintain all stormwater management systems in compliance with the terms and conditions of this general permit to protect the waters of the state from pollution;

(C) Such registrant and any other individual or individuals responsible for preparing the registration certifies to the following statement: "I hereby certify that I am making this certification in connection with a registration under such general permit, submitted to the commissioner by [INSERT NAME OF REGISTRANT] for an activity located at [INSERT ADDRESS OF PROJECT OR ACTIVITY] and that all terms and conditions of the general permit are being met for all discharges which have been initiated and such activity is eligible for authorization under such permit. I further certify that a system is in place to ensure that all terms and conditions of this general permit will continue to be met for all discharges authorized by this general permit at the site. I certify that the registration filed pursuant to this general permit is on complete and accurate forms as prescribed by the commissioner without alteration of their text. I certify that I have personally examined and am familiar with the information that provides the basis for this certification, including but not limited to all information described in Section 3(b)(8)(A) of such general permit, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining such information, that the information upon which this certification is based is true, accurate and complete to the best of my knowledge and belief. I certify that I have made an affirmative determination in accordance with Section 3(b)(8)(B) of this general permit. I understand that the registration filed in connection with such general permit is submitted in accordance with and shall comply with the requirements of Section 22a-430b of Connecticut General Statutes. I also understand that knowingly making any false statement made in the submitted information and in this certification may be punishable as a criminal offense, including the possibility of fine and imprisonment, under section 53a-157b of the Connecticut General Statutes and any other applicable law."

(9) The registrant has submitted to the commissioner a written certification by a professional engineer or, where appropriate, a landscape architect licensed in the State of Connecticut for the preparation, planning and design of the Stormwater Pollution Control Plan ("Plan" or "SWPCP") and stormwater management systems:

The professional engineer or landscape architect shall certify to the following statement:

"I hereby certify that I am a [professional engineer][landscape architect] licensed in the State of Connecticut. I am making this certification in connection with a registration under such general permit, submitted to the commissioner by [INSERT NAME OF REGISTRANT] for an activity located at [INSERT ADDRESS OF PROJECT OR ACTIVITY]. I certify that I have thoroughly and completely reviewed the Stormwater Pollution Control Plan for the project or activity covered by this certification. I further certify, based on such review and on the standard of care for such projects, that the Stormwater Pollution Control Plan has been prepared in accordance with the Connecticut Guidelines for Soil Erosion and Sediment Control, as amended, the Stormwater Quality Manual, as amended, and the conditions of the general permit, and that the controls required for such Plan are appropriate for the site. I further certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining such information, that the information upon which this certification is based is true, accurate and complete to the best of my knowledge and belief. I also understand that knowingly making any false statement in this certification may subject me to sanction by the Department and/or be punishable as a criminal offense, including the possibility of fine and imprisonment, under section 53a-157b of the Connecticut General Statutes and any other applicable law."

(10) Plan Review and Certification by a District

For locally exempt projects (including solar arrays) and any locally approvable Plans not reviewed in accordance with Section 3(b)(11), below, the registrant has submitted to the commissioner a written certification by the appropriate regional District for the review of the Stormwater Pollution Control Plan pursuant to Appendix E or Appendix F, as appropriate, which, at a minimum, complies with the following requirements:

- (A) the Plan Review Certification must be signed by the District. Information on the District review process is outlined in the Memorandum of Agreement provided in Appendix E or F, as appropriate. In cases where the District is unable to complete review of the Plan within the time limits specified in the Memorandum of Agreement in Appendix E, a notice to that effect signed by the District may be submitted in lieu of the certification.
- (B) the Stormwater Pollution Control Plan has been prepared in accordance with the requirements of Section 5(b) of the general permit.

(11) Plan Review and Certification by a Qualified Soil Erosion and Sediment Control Professional and Qualified Professional Engineer for Locally Approvable Projects

For those Plans not reviewed in accordance with Section 3(b)(10), above, the registrant has submitted to the commissioner a written certification by a qualified professional engineer or a qualified soil erosion and sediment control professional in accordance with the following requirements:

- (A) for projects disturbing more than one acre and less than fifteen (15) acres, such qualified soil erosion and sediment control professional or qualified professional engineer:
  - (i) is not an employee, as defined by the Internal Revenue Service in the Internal Revenue Code of 1986, of the registrant; and
  - (ii) has no ownership interest of any kind in the project for which the registration is being submitted.
- (B) for projects disturbing fifteen (15) acres or more, such qualified soil erosion and sediment control professional or qualified professional engineer:

- (i) is not an employee, as defined by the Internal Revenue Service in the Internal Revenue Code of 1986, of the registrant;
- (ii) did not engage in any activities associated with the preparation, planning, designing or engineering of such plan for soil erosion and sediment control or plan for stormwater management systems on behalf of such registrant;
- (iii) is not under the same employ as any person who engaged in any activities associated with the preparation, planning, designing or engineering of such plans and specifications for soil erosion and sediment control or plans and specifications for stormwater management systems on behalf of such registrant; and
- (iv) has no ownership interest of any kind in the project for which the registration is being submitted.

(C) The qualified professional engineer or qualified soil erosion and sediment control professional signing the certification has, at a minimum, completely and thoroughly reviewed this general permit and the following regarding the discharges to be authorized under such general permit:

- (i) all registration information provided in accordance with Section 4(c)(2) of such general permit;
- (ii) the site, based on a site inspection;
- (iii) the Stormwater Pollution Control Plan;
- (iv) the Guidelines;
- (v) the Stormwater Quality Manual, if applicable; and
- (vi) all non-engineered and engineered stormwater management systems, including any plans and specifications and any Department approvals regarding such stormwater management systems.

(D) Affirmative Determination

- (i) The qualified soil erosion and sediment control professional signing the certification must have made an affirmative determination, based on the review described in section 3(b)(11)(C) of this general permit that:
  - (a) the Stormwater Pollution Control Plan prepared and certified pursuant to the registration is adequate to assure that the project or activity authorized under this general permit, if implemented in accordance with the Stormwater Pollution Control Plan, will comply with the terms and conditions of such general permit; and
  - (b) all non-engineered stormwater management systems:
    - (1) have been designed to control pollution to the maximum extent achievable using measures that are technologically available and economically practicable and that conform to those in the Guidelines and the Stormwater Quality Manual;

- (2) will function properly as designed;
- (3) are adequate to ensure compliance with the terms and conditions of this general permit; and
- (4) will protect the waters of the state from pollution.

(ii) The qualified professional engineer signing the certification must have made an affirmative determination, based on the review described in section 3(b)(11)(C) of this general permit that:

- (a) the Stormwater Pollution Control Plan prepared and certified pursuant to the registration is adequate to assure that the activity authorized under this general permit, if implemented in accordance with the Stormwater Pollution Control Plan, will comply with the terms and conditions of such general permit; and
- (b) all non-engineered and engineered stormwater management systems:
  - (1) have been designed to control pollution to the maximum extent achievable using measures that are technologically available and economically practicable and that conform to those in the Guidelines and the Stormwater Quality Manual;
  - (2) will function properly as designed;
  - (3) are adequate to ensure compliance with the terms and conditions of this general permit; and
  - (4) will protect the waters of the state from pollution.

(E) The qualified professional engineer or qualified soil erosion and sediment control professional shall, provided it is true and accurate, certify to the following statement:

"I hereby certify that I am a qualified professional engineer or qualified soil erosion and sediment control professional, or both, as defined in the General Permit for Discharge of Stormwater and Dewatering Wastewaters from Construction Activities and as further specified in sections 3(b)(11)(A) and (B) of such general permit. I am making this certification in connection with a registration under such general permit, submitted to the commissioner by [INSERT NAME OF REGISTRANT] for an activity located at [INSERT ADDRESS OF PROJECT OR ACTIVITY]. I have personally examined and am familiar with the information that provides the basis for this certification, including but not limited to all information described in Section 3(b)(11)(C) of such general permit, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining such information, that the information upon which this certification is based is true, accurate and complete to the best of my knowledge and belief. I further certify that I have made the affirmative determination in accordance with Sections 3(b)(11)(D)(i) and (ii) of this general permit. I understand that this certification is part of a registration submitted in accordance with Section 22a-430b of Connecticut General Statutes and is subject to the requirements and responsibilities for a qualified professional in such statute. I also understand that knowingly making any false statement in this certification may be punishable as a criminal offense, including the possibility of fine and imprisonment, under section 53a-157b of the Connecticut General Statutes and any other applicable law."

## (12) Plan Review and Certification for Projects Conducted by State Agencies

For projects conducted by a state agency (e.g. DOT, DAS, etc.), the registering agency has submitted to the commissioner a written certification by a qualified professional engineer or a qualified soil erosion and sediment control professional in accordance with the following requirements:

- (A) the registering agency or another state agency has developed a process to establish a list of qualified professional engineers and qualified soil erosion and sediment control professionals for which the process to qualify has been approved in writing by the commissioner;
- (B) the qualified professional engineer or qualified soil erosion and sediment control professional reviewing and certifying the Plan is included on the list prepared by a state agency and for which the process to establish the list has been approved by the commissioner pursuant to Section 3(b)(12)(A), above;
- (C) the qualified professional engineer or qualified soil erosion and sediment control professional signing the certification has, at a minimum, completely and thoroughly reviewed this general permit and the following regarding the discharges to be authorized under such general permit:
  - (i) all registration information provided in accordance with Section 4(c)(2) of such general permit;
  - (ii) the site, based on a site inspection;
  - (iii) the Stormwater Pollution Control Plan;
  - (iv) the Guidelines;
  - (v) the Stormwater Quality Manual, if applicable; and
  - (vi) all non-engineered and engineered stormwater management systems, including any plans and specifications and any Department approvals regarding such stormwater management systems.
- (D) Affirmative Determination
  - (i) The qualified soil erosion and sediment control professional signing the certification must have made an affirmative determination, based on the review described in section 3(b)(12)(C) of this general permit that:
    - (a) the Stormwater Pollution Control Plan prepared and certified pursuant to the registration is adequate to assure that the project or activity authorized under this general permit, if implemented in accordance with the Stormwater Pollution Control Plan, will comply with the terms and conditions of such general permit; and
    - (b) all non-engineered stormwater management systems:
      - (1) have been designed to control pollution to the maximum extent achievable using measures that are technologically available and economically

practicable and that conform to those in the Guidelines and the Stormwater Quality Manual;

- (2) will function properly as designed;
- (3) are adequate to ensure compliance with the terms and conditions of this general permit; and
- (4) will protect the waters of the state from pollution.

- (ii) The qualified professional engineer signing the certification must have made an affirmative determination, based on the review described in section 3(b)(12)(C) of this general permit that:
  - (a) the Stormwater Pollution Control Plan prepared and certified pursuant to the registration is adequate to assure that the activity authorized under this general permit, if implemented in accordance with the Stormwater Pollution Control Plan, will comply with the terms and conditions of such general permit; and
  - (b) all non-engineered and engineered stormwater management systems:
    - (1) have been designed to control pollution to the maximum extent achievable using measures that are technologically available and economically practicable and that conform to those in the Guidelines and the Stormwater Quality Manual;
    - (2) will function properly as designed;
    - (3) are adequate to ensure compliance with the terms and conditions of this general permit; and
    - (4) will protect the waters of the state from pollution.

- (E) The qualified professional engineer or qualified soil erosion and sediment control professional shall, provided it is true and accurate, certify to the following statement:

"I hereby certify that I am a qualified professional engineer or qualified soil erosion and sediment control professional, or both, as defined in the General Permit for Discharge of Stormwater and Dewatering Wastewaters from Construction Activities and as further specified in sections 3(b)(12)(A) and (B) of such general permit. I am making this certification in connection with a registration under such general permit, submitted to the commissioner by [INSERT NAME OF REGISTRANT] for an activity located at [INSERT ADDRESS OF PROJECT OR ACTIVITY]. I have personally examined and am familiar with the information that provides the basis for this certification, including but not limited to all information described in Section 3(b)(12)(C) of such general permit, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining such information, that the information upon which this certification is based is true, accurate and complete to the best of my knowledge and belief. I further certify that I have made the affirmative determination in accordance with Sections 3(b)(12)(D)(i) and (ii) of this general permit. I understand that this certification is part of a registration submitted in accordance with Section 22a-430b of Connecticut General Statutes and is subject to the requirements and responsibilities for a qualified professional in such statute. I also understand that knowingly making any false statement in this certification may be

punishable as a criminal offense, including the possibility of fine and imprisonment, under section 53a-157b of the Connecticut General Statutes and any other applicable law."

(F) Projects conducted by a state agency under this subparagraph (Section 3(b)(12)) shall be submitted in accordance with the requirements in Sections 3(c)(1), 3(g)(1)(A) and 4(c)(1)(A)(i).

(13) New Discharges to Impaired Waters

(A) For impaired waters identified in the State's most recent Integrated Water Quality Report, new stormwater discharges directly to such waters must comply with the requirements of (13)(B), below, if such report indicates the cause or potential cause of the impairment as one of the following:

- (i) Site Clearance (Land Development or Redevelopment)
- (ii) Post-Development Erosion and Sedimentation
- (iii) Source Unknown (if cause of impairment is Sedimentation/Siltation)

(B) Such stormwater discharge is authorized if the permittee complies with the requirements of Section 5(b)(3) of this permit and receives a written affirmative determination from the commissioner that the discharge meets the requirements of that section. In such case, the permittee must keep a copy of the written determination onsite with the Plan. If the permittee does not receive such affirmative determination, the construction activity is not authorized by this general permit and must obtain an individual permit.

(14) Solar Arrays

For constructions activities associated with the development of a solar array, as defined in Section 2, the permittee must adhere to the provisions in Appendix I.

(15) Other Requirements for Authorization

The following requirements for authorization shall apply to all projects:

(A) Permittee shall conduct a preconstruction meeting with the qualified professional who designed the project and all site contractors and subcontractors to be involved in construction. Such meeting shall include a site walk of the project site. In the case of solar arrays and any other projects that may be reviewed by a District, the preconstruction meeting and site walk shall also include the appropriate District personnel.

(B) The following contractor certification shall be signed by all contractors and subcontractors that will perform construction activities on the site that have the potential to cause pollution of the waters of the State:

"I certify under penalty of the law that I have read and understand the terms and conditions of the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities. I understand that as a contractor or subcontractor at the site, I am authorized by this general permit, and must comply with the terms and conditions of this general permit, including, but not limited to, the requirements of the Stormwater Pollution Control Plan prepared for the site."

Such signed certifications shall be maintained with the Plan on-site at all times.

- (C) The designing qualified professional shall conduct the Plan Implementation Inspection(s) pursuant to Section 5(b)(4)(A) and shall submit such Plan Implementation Inspection report(s) to the commissioner confirming compliance with the general permit and proper initial implementation of all control measures designated in the Plan for the initial phase of construction. In the case of solar arrays and any other projects that may be reviewed by a District, the Plan Implementation Inspection(s) shall also include the appropriate District personnel.
- (D) The permittee shall provide evidence of submittal of financial assurance to the town in which the project is being conducted for locally approvable projects and also for any locally exempt projects subject to approval by the Connecticut Siting Council. (CGS 8-12 and CGS 16-50g, et seq.)
- (E) Nothing in this subsection or permit shall be construed to authorize District personnel, a qualified soil erosion and sediment control professional or a qualified professional engineer to engage in any profession or occupation requiring a license under any other provision of the general statutes without such license.
- (F) Failure to comply with any provisions of Section 3(b)(15) is a violation of this general permit and shall be grounds for the commissioner to revoke authorization.

***(c) Registration***

Pursuant to the “Registration Requirements” section (Section 4) of this general permit, a completed registration with respect to the construction activity shall be filed with the commissioner.

***(d) Small Construction***

For construction projects with a total disturbance of between one and five acres, the permittee shall adhere to the erosion and sediment control land use regulations of the municipality in which the construction activity is conducted, as well as the Guidelines and the Stormwater Quality Manual.

No registration or Plan review and certification shall be required for such construction activity provided a land-use commission of the municipality (i.e. planning/zoning, wetland, conservation, etc) reviews and issues a written approval of the proposed erosion and sediment control measures, pursuant to the requirements of section 22a-329 of the Connecticut General Statutes. In the absence of such municipal commission approval, the permittee shall register with the DEEP under the requirements for a Locally Exempt Project and comply with all applicable conditions of this general permit.

***(e) Geographic Area***

This general permit applies throughout the State of Connecticut.

***(f) Effective Date and Expiration Date of this General Permit***

This General Permit shall be effective on October 1, 2019. The provisions of this General Permit shall expire on September 30, 2024.

***(g) Effective Date of Authorization***

A construction activity is not authorized by this general permit unless the following conditions have been met:

(1) General Timelines

- (A) for locally approvable projects, sixty (60) days have elapsed after the submission of a complete and sufficient registration form required by Section 4(c), or
- (B) for locally exempt projects under fifteen (15) acres, sixty (60) days have elapsed after the submission of a complete and sufficient registration form required by Section 4(c), or
- (C) for locally exempt projects over fifteen (15) acres, ninety (90) days have elapsed after the submission of a complete and sufficient registration form required by Section 4(c).

(2) Exceptions to Authorization Timelines

If one of the following conditions for authorization applies, that condition shall supersede those of subsection (1), above:

- (A) for sites for which the registration and Plan availability and review provisions of Section 4(e) are completed prior to the elapse of the authorization periods in subdivision (1), above, the commissioner may authorize the activity upon such completion, or
- (B) for sites subject to the conditions of Section 3(b)(2), 3(b)(13) and/or Section 5(a)(2), the activity is authorized only upon the date of the commissioner's affirmative determination and/or approval, or
- (C) for sites authorized by any previous version of this general permit and for which no Notice of Termination has been submitted pursuant to the "Termination Requirements" section (Section 6), the activity is authorized effective October 1, 2019. Authorization under this general permit shall cease if a re-registration form is not submitted within one hundred twenty (120) days of the effective date of this general permit.

***(h) Revocation of an Individual Permit***

If a construction activity is eligible for authorization under this general permit and such activity is presently authorized by an individual permit, the existing individual permit may be revoked by the commissioner upon a written request by the permittee. If the commissioner revokes such individual permit in writing, such revocation shall take effect on the effective date of authorization of such activity under this general permit.

***(i) Issuance of an Individual Permit***

If the commissioner issues an individual permit under section 22a-430 of the Connecticut General Statutes, authorizing a construction activity authorized by this general permit, this general permit shall cease to authorize that activity beginning on the date such individual permit is issued.

**Section 4. Registration Requirements**

***(a) Who Must File a Registration***

With the exception noted in the "Small Construction" section (Section 3(d)) of this general permit, any person or municipality which initiates, creates, originates or maintains a discharge described in the "Eligible Activities" section (Section 3(a)) of this general permit shall file with the commissioner a registration form that meets the requirements of the "Contents of Registration" section (Section 4(c)) of this general permit (or a re-registration form) and the applicable fee within the timeframes and in

the amounts specified in Sections 3(c) and 4(c)(1)(A), respectively. Any such person or municipality filing a registration remains responsible for maintaining compliance with this general permit.

**(b) Scope of Registration**

Each registration shall be limited to the discharge at or from one site; no registration shall cover discharges at or from more than one site.

**(c) Registration Procedure**

**(1) Locally Approvable Projects**

The registration must:

- (A) Be electronically submitted, along with all required elements in subsections (B) through (E), below, at least sixty (60) days prior to the planned commencement of the construction activity.
- (B) Include the electronic Registration Form (available at [www.ct.gov/deep/stormwater](http://www.ct.gov/deep/stormwater)).
- (C) Include any additional forms and information regarding compliance and/or consistency with the Coastal Management Act, Impaired Waters (including TMDL requirements), Endangered and Threatened Species, and Aquifer Protection Areas that may be required pursuant to the “Requirements of Authorization” section (Section 3(b)).
- (D) Include an electronic copy of the Stormwater Pollution Control Plan (Plan). The electronic Plan shall be in Adobe™ PDF format or similar publicly available format in common use. **DO NOT INCLUDE** in this electronic copy any pages or other material that do not pertain to stormwater management or erosion and sediment control (such as electrical and lighting plans, boundary or lot surveys, building plans, non-stormwater related detail sheets, etc.).
- (E) Include a Plan Review Certification in accordance with the “Plan Review Certification” (Section 5(b)(8)).

The sixty (60) day period cited in subsection (A), above, will not begin until all required elements have been submitted. Failure to include any of these required submissions shall be grounds to reject the registration.

**(2) Locally Exempt Projects**

The registration must:

- (A) Be electronically submitted, along with all required elements in subsections (B), (C) and (D), below, at least:
  - (i) sixty (60) days prior to the planned commencement of the construction activity if the site has a total disturbed area of between one (1) and fifteen (15) acres; **or**
  - (ii) ninety (90) days prior to the planned commencement of construction activity if the site:
    - (a) has a total disturbed area greater than fifteen (15) acres;
    - (b) discharges to a tidal wetland (that is not a fresh-tidal wetland) within 500 feet of the discharge point; **or**

- (c) is subject to the impaired waters provisions of Section 3(b)(13).
- (B) Include the electronic Registration Form (available at [www.ct.gov/deep/stormwater](http://www.ct.gov/deep/stormwater)).
- (C) Include any additional forms and information regarding compliance and/or consistency with the Coastal Management Act, Impaired Waters (including TMDL requirements), Endangered and Threatened Species, Solar Array provisions and Aquifer Protection that may be required pursuant to the “Requirements of Authorization” section (Section 3(b)).
- (D) Include an electronic copy of the Stormwater Pollution Control Plan (Plan) (or a web address where the electronic Plan can be downloaded) for the commissioner’s review. The electronic Plan shall be in Adobe™ PDF format or similar publicly available format in common use. **DO NOT INCLUDE** in this electronic copy any pages or other material that do not pertain to stormwater management or erosion and sediment control (such as electrical and lighting plans, boundary or lot surveys, building plans, non-stormwater related detail sheets, etc.).

The 60 or 90 day periods cited in subsections (A), above, will not begin until all required elements have been submitted. Failure to include any of these required submissions shall be grounds to reject the registration.

### (3) Re-Registration of Existing Projects

For sites previously registered under any previous version of the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities and for which no Notice of Termination has been submitted pursuant to the “Termination Requirements” section (Section 6), an electronic Re-Registration Form (available at [www.ct.gov/deep/stormwater](http://www.ct.gov/deep/stormwater)) pursuant to Section 4(c)(3) shall be submitted within one hundred twenty (120) days following the effective date of this general permit. The re-registration fee is payable (or waived) in accordance with Section 4(c)(1)(A)(iii). Resubmission of the permittee’s Plan is not required unless specifically requested by the commissioner.

#### *(d) Contents of Registration*

##### (1) Fees

###### (A) Registration Fee

A registration, if required, shall not be deemed complete unless the registration fee has been paid in full.

###### (i) Locally Approvable Projects

A registration fee of \$625.00 shall be submitted to the Department with the registration form.

###### (ii) Locally Exempt Projects

A registration fee shall be submitted with a registration form as follows:

- (a) For sites with total disturbance of between one (1) and fifteen (15) acres, the fee shall be \$3,000.

- (b) For sites with total disturbance equal to or greater than fifteen (15) acres and less than fifty (50) acres, the fee shall be \$4,000.
- (c) For sites with total disturbance equal to or greater than fifty (50) acres, the fee shall be \$5,000.

The fees for municipalities shall be half of those indicated in subsections (a), (b) and (c) above pursuant to section 22a-6(b) of the Connecticut General Statutes. State and Federal agencies shall pay the full fees specified in this subsection.

(iii) Re-registration

- (a) For sites that registered under the previous version of the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities prior to August 1, 2018 and for which no Notice of Termination has been submitted pursuant to the “Termination Requirements” section (Section 6), the re-registration fee shall be \$625 payable with submission of the re-registration form within one hundred twenty (120) days from the effective date of this general permit. If a Notice of Termination is submitted prior to January 1, 2020, no re-registration or associated fee are required.
- (b) For sites that registered under the previous version of the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities on or after August 1, 2018 and for which no Notice of Termination has been submitted pursuant to the “Termination Requirements” section (Section 6), the permittee shall re-register and there is no re-registration fee.

(B) The registration fee shall be paid electronically or by check or money order payable to the Department of Energy & Environmental Protection in accordance with the instructions on the registration form.

(C) The registration fee is non-refundable.

(2) Registration Form

A registration shall be filed electronically on forms prescribed and provided by the commissioner (available at: [www.ct.gov/dep/stormwater](http://www.ct.gov/dep/stormwater)) and shall include, but not be limited to, the following:

- (A) Legal name, address, and telephone number of the registrant. If the registrant is a person (as defined in Section 2 of this permit) transacting business in Connecticut and is registered with the Connecticut Secretary of the State, provide the exact name as registered with the Connecticut Secretary of the State.
- (B) Legal name, address and telephone number of the owner of the property on which the construction activity will take place.
- (C) Legal name, address and telephone number of the primary contact for departmental correspondence and inquiries, if different from the registrant.
- (D) Legal name, address and telephone number of the developer of the property on which the construction activity is to take place.

- (E) Legal name, address and daytime and off-hours telephone numbers of the general contractor(s) or other representative(s), if different from the developer.
- (F) Legal name, address and telephone number of any consultant(s), engineer(s) or landscape architect(s) retained by the permittee to prepare the registration and Stormwater Pollution Control Plan.
- (G) Location address or description of the site for which the registration is filed.
- (H) The estimated duration of the construction activity.
- (I) Indication of the normal working hours of the site.
- (J) A brief description of the construction activity, including, but not limited to:
  - (i) Total number of acres to be disturbed, regardless of phasing.
  - (ii) Verification that construction is in accordance with the Guidelines and local erosion and sediment control ordinances, where applicable.
  - (iii) For sites in the Coastal Boundary, documentation that the DEEP Office of Long Island Sound Programs or local governing authority has issued a coastal site plan approval or a determination that the project is exempt from coastal site plan review (see Appendix D) in accordance with section 22a-92 and 22a-93(15) of the Connecticut General Statutes.
  - (iv) Documentation that the construction activity will not threaten the continued existence of any species listed pursuant to section 26-306 of the Connecticut General Statutes as endangered or threatened and will not result in the destruction or adverse modification of habitat designated as essential to such species (see Appendix A).
  - (v) For sites discharging to certain impaired waters, as specified in Section 3(b)(13), documentation that the construction activity meets the requirements of that section and Section 5(b)(3) for authorization under this general permit.
  - (vi) Verification that the construction activity is not located within an aquifer protection area (see Appendix C) as mapped under section 22a-354b of the Connecticut General Statutes or, if it is located within an aquifer protection area, that the construction activity will comply with regulations adopted pursuant to section 22a-354i of the Connecticut General Statutes.
  - (vii) For a proposed locally approvable project, a plan review certification from the appropriate District, qualified soil erosion and sediment control professional, and/or qualified professional engineer in accordance with Section 5(b)(10) or (11) or a notice from the District that they were unable to complete the Plan review within the time limits specified in the Memorandum of Agreement in Appendix E.
  - (viii) For development of solar arrays, verification that the Plan has been developed in accordance with the provisions of Appendix I.
- (K) A brief description of the stormwater discharge, including:
  - (i) The name of the municipal separate storm sewer system or immediate surface water body or wetland to which the stormwater runoff will discharge;

- (ii) Verification of whether or not the site discharges to a tidal wetland (that is not a freshwater tidal wetland) within 500 feet of the discharge point, to a high quality water or to an impaired water with or without a TMDL;
- (iii) The name of the watershed or nearest waterbody to which the site discharges.
- (iv) Location of the stormwater discharge(s) including latitude and longitude.

(L) The total effective impervious cover for the site before and after the proposed construction activity.

(M) Documentation that the proposed construction activity has been reviewed for consistency with state Historic Preservation statutes, regulations, and policies including identification of any potential impacts on property listed or eligible for listing on the Connecticut Register of Historic Places. A review conducted for an Army Corps of Engineers Section 404 wetland permit would meet this qualification. Refer to Appendix G for guidance on conducting the required review.

(N) Registrants shall submit an electronic copy of their Plan. The electronic Plan shall be in Adobe™ PDF format or similar publicly available format in common use. **DO NOT INCLUDE** in this Plan any pages or other material that do not pertain to stormwater management or erosion and sediment control (such as electrical and lighting plans, boundary or lot surveys, building plans, non-stormwater related detail sheets, etc.).

(O) The certification of the registrant and of the individual or individuals responsible for actually preparing the registration, in accordance with Section 3(b)(8).

(P) For all registrations, a design certification must be signed by a professional engineer or, where appropriate, a landscape architect in accordance with Section 3(b)(9).:

(Q) For registrations for locally approvable projects a review certification must be signed by either: (i) a District in accordance with Section 3(b)(10), or (ii) a qualified soil erosion and sediment control professional and/or qualified professional engineer in accordance with either Section 3(b)(11).

If the registrant is not capable of submitting electronically, contact the DEEP stormwater staff at DEEPstormwaterstaff@ct.gov.

(3) Re-Registration Form

For sites previously registered under any previous version of the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities and for which no Notice of Termination has been submitted pursuant to the “Termination Requirements” section (Section 6), a re-registration shall be filed electronically pursuant to Sections 3(c)(3) and 3(g) on forms prescribed and provided by the commissioner (available at: [www.ct.gov/deep/stormwater](http://www.ct.gov/deep/stormwater)) and shall include, but not be limited to, the following:

- (A) Legal name, address, and telephone number of the registrant. If the registrant is a person (as defined in Section 2 of this permit) transacting business in Connecticut and is registered with the Connecticut Secretary of the State, provide the exact name as registered with the Connecticut Secretary of the State.
- (B) The previously issued permit number (beginning with GSN).

- (C) Legal name, address and telephone number of the owner of the property on which the construction activity will take place.
- (D) Legal name, address and telephone number of the primary contact for departmental correspondence and inquiries, if different from the registrant.
- (E) Legal name, address and telephone number of the developer of the property on which the subject construction activity is to take place.
- (F) Legal name, address and daytime and off-hours telephone numbers of the general contractor(s) or other representative(s), if different from the developer.
- (G) Legal name, address and telephone number of any consultant(s) or engineer(s) retained by the permittee to prepare the registration and Stormwater Pollution Control Plan.
- (H) Location address or description of the site for which the re-registration is filed.
- (I) Indication of the normal working hours of the site.
- (J) The estimated duration of the construction activity.
- (K) The signature of the registrant and of the individual or individuals responsible for actually preparing the re-registration, each of who shall certify in writing as follows:

"I hereby certify that I am making this certification in connection with a registration under the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities, submitted to the commissioner by [INSERT NAME OF REGISTRANT] for an activity located at [INSERT ADDRESS OF PROJECT OR ACTIVITY] and that all terms and conditions of the general permit are being met for all discharges which have been initiated and such activity is eligible for authorization under such permit. I further certify that all designs and plans for such activity meet the current terms and conditions of the general permit in accordance with Section 5(b)(5)(C) of such general permit and that a system is in place to ensure that all terms and conditions of this general permit will continue to be met for all discharges authorized by this general permit at the site. I certify that the registration filed pursuant to this general permit is on complete and accurate forms as prescribed by the commissioner without alteration of their text. I certify that I have personally examined and am familiar with the information that provides the basis for this certification, including but not limited to all information described in Section 3(b)(8)(A) of such general permit, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining such information, that the information upon which this certification is based is true, accurate and complete to the best of my knowledge and belief. I also understand that knowingly making any false statement made in the submitted information and in this certification may be punishable as a criminal offense, including the possibility of fine and imprisonment, under section 53a-157b of the Connecticut General Statutes and any other applicable law."

If the registrant is not capable of submitting electronically, a paper form may be submitted in accordance with Section 4(d).

***(e) Where to File a Registration***

A registration (available at: [www.ct.gov/deep/stormwater](http://www.ct.gov/deep/stormwater)) shall be filed electronically with the commissioner in accordance with Section 3(c)(2) or (3).

***(f) Availability of Registration and Plan***

The commissioner shall post on the DEEP website a list of registrations submitted. Plans will be posted electronically with the corresponding registration. On or before thirty (30) days from the date of posting by the commissioner, members of the public may review and comment on a registration and/or Plan.

***(g) Additional Information***

The commissioner may require a permittee to submit additional information that the commissioner reasonably deems necessary to evaluate the consistency of the subject construction activity with the requirements for authorization under this general permit.

***(h) Additional Notification***

For discharges authorized by this general permit to a regulated municipal separate storm sewer system, a copy of the registration and all attachments thereto shall also be submitted to the owner and operator of that system.

For discharges authorized by this general permit to a DOT separate storm sewer system, a copy of the registration and all attachments thereto shall also be submitted to the DOT upon request.

For discharges within a public drinking water supply watershed or aquifer protection area, a copy of the registration and the Plan described in subsection 5(b) of this general permit shall be submitted to the water company.

For discharges to river components and tributaries which have been designated as Wild and Scenic under the Wild and Scenic Rivers Act, a copy of the registration and the Plan described in 5(b) of this general permit shall be submitted to the applicable Wild and Scenic Coordinating Committee. Please refer to Appendix H for additional guidance.

In addition, a copy of this registration and the Plan shall be available upon request to the local inland wetlands agency established pursuant to section 22a-42 of the Connecticut General Statutes, or its duly authorized agent.

***(i) Action by Commissioner***

- (1) The commissioner may reject without prejudice a registration if it does not satisfy the requirements of the “Contents of Registration” section (subsection 4(c)) of this general permit. Any registration refiled after such a rejection shall be accompanied by the fee specified in the “Fees” subsection (subsection 4(c)(1)) of this general permit.
- (2) The commissioner may disapprove a registration if it is inconsistent with the requirements for authorization under the “Requirements for Registration” section (Section 3(b)) of this general permit, or for any other reason provided by law.
- (3) Disapproval of a registration under this subsection shall constitute notice to the registrant that the subject construction activity must be authorized under an individual permit.
- (4) Rejection or disapproval of a registration shall be in writing.

## Section 5. Conditions of this General Permit

The permittee shall meet all requirements of this general permit at all times. In addition, a permittee shall be responsible for conducting authorized construction activities in accordance with the following conditions:

### *(a) General Conditions*

#### **(1) Structures and Dredging in Coastal and Tidal Areas**

Any person who or municipality that discharges stormwater into coastal tidal waters for which a permit is required under section 22a-361 of the Connecticut General Statutes (structures and dredging) or section 22a-32 of the Connecticut General Statutes (Tidal Wetlands Act), shall obtain such permit(s) from the commissioner. A tidal wetland permit is required for any regulated activity conducted within a tidal wetland, including, but not limited to, the placement of any sediment upon a tidal wetland, whether it is deposited directly or indirectly.

#### **(2) Discharges to Tidal Wetlands**

Any site which has a post-construction stormwater discharge to a tidal wetland (that is not a fresh-tidal wetland) where such discharge is within 500 feet of the tidal wetland, shall discharge such stormwater through a system designed to retain and infiltrate the volume of stormwater runoff generated by 1 inch of rainfall on the site. If there are site constraints that would prevent retention of this volume on-site (e.g., brownfields, capped landfills, bedrock, elevated groundwater, etc.), documentation must be submitted, for the commissioner's review and written approval, which explains the site limitations and offers an alternative retention volume. In such cases, the portion of 1 inch that cannot be retained must be provided with additional stormwater treatment so as to protect water quality. Any such treatment shall be designed, installed and maintained in accordance with the Stormwater Quality Manual.

For sites unable to comply with this section, the commissioner, at the commissioner's sole discretion, may require the submission of an individual permit in lieu of authorization under this general permit.

#### **(3) Toxicity to Aquatic and Marine Life**

The discharge shall not cause pollution due to acute or chronic toxicity to aquatic and marine life, impair the biological integrity of aquatic or marine ecosystems, or result in an unacceptable risk to human health.

#### **(4) Water Quality Standards**

The stormwater discharge shall not cause or contribute to an exceedance of the applicable Water Quality Standards in the receiving water.

#### **(5) High Quality Waters**

Any new or increased stormwater discharge to high quality waters shall be discharged in accordance with the Anti-Degradation Implementation requirements in the Water Quality Standards (Section 22a-426-8 of RCSA).

**(b) Stormwater Pollution Control Plan**

All registrants shall develop and maintain on-site a Stormwater Pollution Control Plan (“Plan” or “SWPCP”) for the construction activity authorized by this general permit. Once the construction activity begins, the permittee shall perform all actions required by such Plan and shall maintain compliance with the Plan at all times. The permittee shall ensure that the design and implementation of the Plan minimizes: (1) soil erosion and sedimentation during and after construction; and (2) stormwater pollution caused by use of the site after construction is completed.

**(1) Development and Contents of Plan**

(A) The Plan shall consist of site plan drawings and a narrative. The Plan shall be prepared in accordance with sound engineering practices, and shall be consistent with the Guidelines, the 2004 Connecticut Stormwater Quality Manual (available at <http://www.ct.gov/deep/stormwater>) and any applicable appendices of this general permit. The Plan shall also be consistent with any remedial action plan, closure plan or other plan required by any other DEEP permit.

(B) The Plan shall include, at a minimum, the following items:

**(i) Site Plan**

Site drawings indicating drainage patterns and approximate slopes anticipated after major grading activities, areas of soil disturbance, the location of major structural and non-structural controls (as specified in subsection 5(b)(2), below), the location of areas where stabilization practices are expected to occur, areas which will be vegetated following construction, surface waters, impaired waters (identifying those with and without a TMDL), high quality waters, inland wetlands, tidal wetlands, fresh-tidal wetlands, and locations where stormwater will be discharged to a surface water (both during and post-construction);

**(ii) Site Description**

- (a) A narrative description of the nature of the construction activity;
- (b) An estimate of the total area of the site and the total area of the site that is expected to be disturbed by construction activities;
- (c) An estimate of the average runoff coefficient of the site after construction activities are completed;
- (d) The name of the immediate receiving water(s) and the ultimate receiving water(s) of the discharges authorized by this general permit; and
- (e) Extent of wetland acreage on the site.

**(iii) Construction Sequencing**

The Plan shall clearly identify the expected sequence of all construction activities on the site and corresponding erosion and sediment controls and shall include an estimated timetable for all construction activities, which shall be revised as necessary to keep the Plan current. Wherever possible, site construction activities shall be phased to avoid the disturbance of over five acres at one time (or a lesser area of disturbance as required in

the “Impaired Waters” section (Section 5(b)(3)). The Plan shall clearly show the limits of total disturbance for the construction activity and for each phase.

(iv) Control Measures

The Plan shall include a description, in a separate narrative and on the site plan drawings, of appropriate control measures that will be performed at the site to minimize the discharge of pollutants to waters of the state. Control measures shall be implemented in accordance with Section 5(b)(2) below. In addition, the following information shall be provided:

- (a) Calculations supporting the design of sediment and floatables removal controls pursuant to Section 5(b)(2)(C)(ii)(b).
- (b) Calculations supporting the design of velocity dissipation controls pursuant to Section 5(b)(2)(C)(ii)(c).

(v) Runoff Reduction and Low Impact Development (LID) Information

Where runoff reduction practices and/or LID measures are utilized, the following information shall be included in the site plan and narrative (refer to Appendix B for guidance):

- (a) The location of the site’s streams, floodplains, all wetlands, riparian buffers, slopes 3:1 and steeper, and vegetation identified for preservation and non-disturbance during construction such as forested areas, hay fields, and old fields;
- (b) Natural drainage patterns, swales, and other drainage ways, that are not streams, floodplains, or wetland areas;
- (c) The location of all areas with soils suitable for infiltration<sup>1</sup> and areas of the site best suited for infiltration for the siting of runoff reduction practices and LID design measures;
- (d) The location of all areas unsuitable or least suitable for infiltration for the siting of areas of development/building;
- (e) The location of all post-construction stormwater management measures, runoff reduction practices and LID design measures developed pursuant to subsection 5(b)(2)(C)(i) below;
- (f) Identification of areas inappropriate for the infiltration of stormwater runoff from land uses with a significant potential for groundwater pollution;
- (g) A narrative describing the nature, purpose, implementation and long-term maintenance of the post-construction measures, runoff reduction practices and LID design measures;
- (h) Calculations, for measures developed pursuant to Section 5(b)(2)(C)(i), illustrating the retention of the water quality volume or half the water quality

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<sup>1</sup> Infiltration rates must be measured by a field permeability test. The measured field design infiltration rate is equal to one-half the field-measured infiltration rate.

volume for the site, as applicable, including a discussion of the impact of any runoff reduction and/or LID practices on these calculations.

- (i) A narrative describing any site constraints that prevent retention of the appropriate volume specified in Section 5(b)(2)(C)(i) including: an explanation of the site limitations; a description of the runoff reduction practices implemented; an explanation of why the amount retained constitutes the maximum extent achievable; an alternative retention volume; and a description of the measures used to provide additional stormwater treatment for sediment, floatables and nutrients above the alternate volume up to the water quality volume.
- (j) Calculations showing the proposed effective impervious cover for the site and, where necessary or appropriate for measures developed for linear projects pursuant to Section 5(b)(2)(C)(i), each outfall drainage area.

(vi) Inspections

(a) Plan Implementation Inspections

The Plan shall include a Plan Implementation inspection checklist and identification of the designing qualified professional engineer (and District personnel, as appropriate) conducting such inspections, their responsibilities and procedures pursuant to subsection 5(b)(4)(A) below. The Plan shall also include documentation of the qualifications of the inspector and the findings, actions and results of all inspections conducted at the site. For inspection requirements for solar arrays (as defined in Section 2), see Appendix I.

(b) Routine Inspections

The Plan shall include a routine inspection checklist and identification of the qualified inspector(s) conducting the routine inspections, their responsibilities and procedures pursuant to subsection 5(b)(4)(B) below. The Plan shall also include documentation of the qualifications of the inspector(s) and the findings, actions and results of all inspections conducted at the site.

At a minimum, such checklists shall include the information described in the checklist forms found at: [www.ct.gov/deep/stormwater](http://www.ct.gov/deep/stormwater). Such inspection checklists shall comply with the requirements and conditions of Section 5(b)(4) of the general permit, and include a space for the qualified professional's signature and professional stamp.

(vii) Contractors

- (a) The Plan shall clearly identify each contractor and subcontractor that will perform construction activities on the site that have the potential to cause pollution of the waters of the State. The Plan shall include a copy of the certification statement pursuant to "Other Requirements for Authorization" (Section 3(b)(15)), signed by each such contractor and subcontractor.

(b) Subdivisions

Where individual lots in a subdivision or other common plan of development are conveyed or otherwise the responsibility of another person or municipality, those individual lot contractors shall be required to comply with the provisions of this general permit and the Stormwater Pollution Control Plan, regardless of lot size or

disturbed area. In such cases, the permittee shall provide a copy of the Plan to each individual lot contractor, obtain signed certifications pursuant to Section 3(b)(15) from such contractors and retain all signed certifications in the Plan.

(viii) Impaired Waters

For construction activities that discharge to impaired waters, as specified in “New Discharges to Impaired Waters” (Section 3(b)(13)), the Plan shall include a description of the provisions for controlling the construction and post-construction stormwater discharges to these waters pursuant to subsection 5(b)(3) below.

(2) Stormwater Control Measures

Control Measures are required Best Management Practices (BMPs) that the permittee must implement to minimize the discharge of pollutants from the permitted activity. The term “minimize” is defined in Section 2 of this general permit.

Control Measures shall be designed in accordance with the Guidelines, the Stormwater Quality Manual or the DOT Qualified Products List ([http://www.ct.gov/dot/lib/dot/documents/dresearch/ctdot\\_qualified\\_product\\_list\\_-\\_updated.pdf](http://www.ct.gov/dot/lib/dot/documents/dresearch/ctdot_qualified_product_list_-_updated.pdf)). Use of controls to comply with the “Erosion and Sediment Controls” section (subsection (A) below) of this general permit that are not included in such references must be approved by the commissioner. The narrative and drawings of controls shall address the following minimum components:

(A) Erosion and Sediment Controls

(i) Soil Stabilization and Protection

The Plan shall include a narrative and drawings of interim and permanent soil stabilization practices for managing disturbed areas and soil stockpiles, including a schedule for implementing the practices. The Permittee shall ensure that existing vegetation is preserved to the maximum extent practicable and that disturbed portions of the site are minimized and stabilized.

Where construction activities have permanently ceased or when final grades are reached in any portion of the site, stabilization and protection practices as specified in Chapter 5 of the Guidelines or as approved by the commissioner or his/ her designated agent shall be implemented within seven days. Areas that will remain disturbed but inactive for at least fourteen calendar days shall receive temporary seeding or soil protection within seven days in accordance with the Guidelines unless site conditions warrant shorter time periods for these provisions.

Areas that will remain disturbed beyond the seeding season as identified in the Guidelines, shall receive long-term, non-vegetative stabilization and protection sufficient to protect the site through the winter. In all cases, stabilization and protection measures shall be implemented as soon as possible in accordance with the Guidelines or as approved by the commissioner.

Temporary or permanent vegetation or other ground cover shall be maintained at all times to prevent erosion and soil compaction during construction activities.

A reverse slope bench is required for any slope steeper than 3:1 (horizontal: vertical) that exceeds 15 feet vertically, except when engineered slope stabilization structures or

measures are included or a detailed soil mechanics analysis has been conducted to verify stability. Engineered analyses and measures must be designed by a CT licensed Professional Engineer with experience in geotechnical engineering or soil mechanics.

(ii) Structural Measures

The Plan shall include a narrative and drawings of structural measures to divert flows away from exposed soils, store flows or otherwise limit runoff and minimize the discharge of pollutants from the site. Unless otherwise specifically approved in writing by the commissioner, or if otherwise authorized by another state or federal permit, structural measures shall be installed on upland soils.

For points of discharge from disturbed sites with a total contributing drainage area of between two to five acres, a temporary sediment trap or temporary sediment basin shall be installed in accordance with the Guidelines. For points of discharge from disturbed sites with a total contributing drainage area greater than five acres, a temporary sediment basin shall be designed and installed in accordance with the Guidelines. Such trap(s) or basin(s) must be maintained until final stabilization of the contributing area as defined in "Notice of Termination" (Section 6(a)).

The requirement for sediment traps or basins shall not apply to flows from off-site areas and flows from the site that are either undisturbed or have undergone final stabilization where such flows are diverted around the temporary sediment trap or basin. Any such exceptions must be approved in writing by the commissioner or his/her designated agent.

(iii) Maintenance

The Plan shall include a narrative of the procedures to maintain in good and effective operating conditions all erosion and sediment control measures, including vegetation, and all other protective measures identified in the site plan. Maintenance of all erosion and sediment controls shall be performed in accordance with the Guidelines, or more frequently as necessary, to protect the waters of the state from pollution.

(B) Dewatering Wastewaters

Dewatering wastewaters shall be managed in accordance with the Guidelines. Dewatering wastewaters discharged to surface waters shall be discharged in a manner that minimizes the discoloration of the receiving waters. The Plan shall include a narrative and drawings of the operational and structural measures that will be used to ensure that all dewatering wastewaters will not cause scouring or erosion or contain suspended solids in amounts that could reasonably be expected to cause pollution of surface waters of the State. Unless otherwise specifically approved in writing by the commissioner or his/ her designated agent, or if otherwise authorized by another state or federal permit, dewatering measures shall be installed on upland soils.

No discharge of dewatering wastewater(s) shall contain or cause a visible oil sheen, floating solids, or foaming water.

(C) Post-Construction Stormwater Management

The Plan shall include a narrative and drawings of measures that will be installed during the construction process to minimize the discharge of pollutants in stormwater discharges that will occur after construction operations have been completed. Post-construction stormwater

management measures shall be designed and implemented in accordance with the Stormwater Quality Manual, the DOT Qualified Products List or as approved by the commissioner or his/ her designated agent in writing. Unless otherwise specifically provided by the commissioner in writing, or authorized by another state or federal permit, structural measures shall be placed on upland soils. The Plan shall include provisions to address the long-term maintenance of any post-construction stormwater management measure installed.

(i) Post-Construction Performance Standards

The permittee shall utilize runoff reduction practices (as defined in Section 2) to meet runoff volume requirements based on the conditions below. For sites unable to comply with these conditions, the commissioner, at the commissioner's sole discretion, may require the submission of an individual permit application in lieu of authorization under this general permit.

(a) Redevelopment

For sites that are currently developed with an effective impervious cover of forty percent or more and for which the permittee is proposing redevelopment, the permittee shall design the site in such a manner as to retain on-site half the water quality volume (as defined in Section 2) for the site and provide additional stormwater treatment without retention for discharges up to the full water quality volume for sediment, floatables and nutrients to the maximum extent achievable using control measures that are technologically available and economically practicable and achievable in light of best industry practice. In cases where the permittee is not able to retain half the water quality volume (e.g., brownfields, capped landfills, bedrock, elevated groundwater, etc.), the permittee shall design the redevelopment to retain runoff volume to the maximum extent achievable using control measures that are technologically available and economically practicable and achievable in light of best industry practice. In such cases, additional stormwater treatment up to the full water quality volume is still required. Any such treatment shall be designed, installed and maintained in accordance with the Stormwater Quality Manual. If retention of the half the water quality volume is not achieved, the permittee shall submit a report for the commissioner's review and written approval describing: the measures taken to maximize runoff reduction practices on the site; the reasons why those practices constitute the maximum extent achievable; the alternative retention volume; and a description of the measures used to provide additional stormwater treatment above the alternate volume up to the water quality volume.

(b) Linear Redevelopment

In the case of linear redevelopment projects (e.g. roadway reconstruction or widening) for the developed portion of the right of way: (1) for projects that may be unable to comply with the full retention standard, the alternate retention and treatment provisions may also be applied as specified above, or (2) for projects that will not increase the effective impervious cover within a given watershed, the permittee shall implement the additional stormwater treatment measures referenced above, but will not be required to retain half of the water quality volume.

(c) Other Development

The following performance standard applies to all sites that are currently undeveloped or are currently developed with less than forty percent effective impervious cover. For these sites, the permittee shall design the site to retain the water quality volume for the site. If there are site constraints that would prevent retention of this volume on-site (e.g., brownfields, capped landfills, bedrock, elevated groundwater, etc.), documentation must be submitted, for the commissioner's review and written approval, which: explains the site limitations; provides a description of the runoff reduction practices implemented; provides an explanation of why this constitutes the maximum extent achievable; offers an alternative retention volume; and provides a description of the measures used to provide additional stormwater treatment for sediment, floatables and nutrients above the alternate volume up to the water quality volume. Any such treatment shall be designed, installed and maintained in accordance with the Stormwater Quality Manual. In the case of linear projects that do not involve impervious surfaces (e.g. electrical transmission rights-of-way or natural gas pipelines), retention of the water quality volume is not required as long as the post-development runoff characteristics do not differ significantly from pre-development conditions.

(ii) Post-Construction Control Measures

(a) Runoff Reduction and Low Impact Development (“LID”) Practices

The site design shall incorporate runoff reduction practices, low impact development (“LID”) practices or other measures to meet the performance standards in subsection (i) above, promote groundwater recharge and minimize post-construction impacts to water quality. Please refer to Appendix B for additional guidance information.

(b) Suspended Solids and Floatables Removal

The permittee shall install post-construction stormwater management measures designed to minimize the discharge of suspended solids and floatables (e.g. oil and grease, other floatable liquids, floatable solids, trash, etc.) from stormwater. A goal of 80 percent removal of the annual sediment load from the stormwater discharge shall be used in designing and installing stormwater management measures. The Plan shall provide calculations supporting the capability of such measures in achieving this goal and any third-party verification, as applicable, of the sediment removal efficiencies of such measures. This goal is not intended to limit local approval authorities from requiring a higher standard pursuant to local requirements.

(c) Velocity Dissipation

Velocity dissipation devices shall be placed at discharge locations and along the length of any outfall channel as necessary to provide a non-erosive velocity flow to the receiving watercourse so that the natural physical and biological characteristics and functions are maintained and protected.

(D) Other Controls

The following additional controls shall be implemented:

(i) Waste Disposal: Best management practices shall be implemented to minimize the discharge of litter, debris, building materials, hardened concrete waste, or similar materials to waters of the State. A narrative of these practices shall be provided in the Plan.

(ii) Washout Areas

Washout of applicators, containers, vehicles and equipment for concrete, paint and other materials shall be conducted in a designated washout area. There shall be no surface discharge of washout wastewaters from this area. Such washout shall be conducted: (1) outside of any buffers and at least 50 feet from any stream, wetland or other sensitive resource; or (2) in an entirely self-contained washout system. The permittee shall clearly flag off and designate areas to be used for washing and conduct such activities only in these areas. The permittee shall direct all washwater into a container or pit designed such that no overflows can occur during rainfall or after snowmelt.

In addition, dumping of liquid wastes in storm sewers is prohibited. The permittee shall remove and dispose of hardened concrete waste consistent with practices developed for the "Waste Disposal" section (subparagraph 5(b)(2)(D)(i), above). At least once per week, the permittee must inspect any containers or pits used for washout to ensure structural integrity, adequate holding capacity, and to check for leaks or overflows. If there are signs of leaks, holes or overflows in the containers or pits that could lead to a discharge, the permittee shall repair them prior to further use. For concrete washout areas, the permittee shall remove hardened concrete waste whenever the hardened concrete has accumulated to a height of  $\frac{1}{2}$  of the container or pit or as necessary to avoid overflows. A narrative of maintenance procedures and a record of maintenance and inspections shall be included in the Plan.

(iii) Off-site vehicle tracking of sediments and the generation of dust shall be minimized. Wet dust suppression shall be used, in accordance with section 22a-174-18(c) of the Regulations of Connecticut State Agencies, for any construction activity that causes airborne particulates. The volume of water sprayed for controlling dust shall be minimized so as to prevent the runoff of water. No discharge of dust control water shall contain or cause a visible oil sheen, floating solids, visible discoloration, or foaming in the receiving stream.

(iv) All post-construction stormwater structures shall be cleaned of construction sediment and any remaining silt fence shall be removed upon stabilization of the site.

(v) All chemical and petroleum product containers stored on the site (excluding those contained within vehicles and equipment) shall be provided with impermeable containment which will hold at least 110% of the volume of the largest container, or 10% of the total volume of all containers in the area, whichever is larger, without overflow from the containment area. All chemicals and their containers shall be stored under a roofed area except for those chemicals stored in containers of 100 gallon capacity or more, in which case a roof is not required. Double-walled tanks satisfy requirements for containment and roofing.

(vi) Solar Arrays

Solar arrays (as defined in Section 2) shall implement control measures in accordance with the provisions in Appendix I in addition to any other control measures required by this general permit.

(3) Additional Control Measures for Impaired Waters

For construction activities that discharge directly to impaired waters, as specified in “New Discharges to Impaired Waters” (Section 3(b)(13)), the Plan shall include the following provisions:

- (A) In lieu of the provisions of “Construction Sequencing” (Section 5(b)(1)(B)(iii)), no more than 3 acres may be disturbed at any one time. For those areas for which construction activity will be temporarily suspended for a period of greater than 14 days, temporary stabilization measures shall be implemented within 3 days of such suspension of activity. For all areas, permanent stabilization shall be implemented within 30 days of disturbance; **or**
- (B) The Plan shall document that measures are in place to ensure that there will be no discharge to the impaired water from rain events up to a 2-year, 24-hour rain event while construction activity is occurring; **or**
- (C) For discharges to impaired waters with an established TMDL:
  - (i) the Plan shall document that there is sufficient remaining Waste Load Allocation (WLA) in the TMDL to allow the discharge, **and**
  - (ii) measures shall be implemented to ensure the WLA will not be exceeded, **and**
  - (iii) stormwater discharges shall be monitored, if applicable, for any indicator pollutant identified in the TMDL for every rain event that produces a discharge to ensure compliance with the WLA. **or**
  - (iv) the specific requirements for stormwater discharges specified in the TMDL are met.

Construction activities discharging directly to impaired waters that do not comply with this subsection are not authorized by this general permit.

(4) Inspections

All construction activities submitting a registration for this general permit shall be inspected initially for Plan implementation and then weekly for routine inspections.

(A) Plan Implementation Inspections

Prior to commencement of each phase of the construction activity on the site, the permittee shall contact the designing qualified professional and, for solar arrays subject to Appendix I, the appropriate District to inspect the site. The site shall be inspected at least once within the first thirty (30) days of construction activity and no more than three times within the first ninety (90) days of construction activity to confirm compliance with the general permit and proper initial implementation of all control measures designated in the Plan for the initial phase of construction. The following conditions shall apply:

- (i) for all projects not conducted by a state agency and which disturb more than one (1) acre, the inspector shall be someone who:
  - (a) is not an employee, as defined by the Internal Revenue Service in the Internal Revenue Code of 1986, of the registrant, and
  - (b) has no ownership interest of any kind in the project for which the registration is being submitted.
- (ii) for projects conducted by a state agency and which disturb more than one (1) acre, the inspector shall be someone who:
  - (a) meets the requirements in subparagraph (i), above, or
  - (b) is included in the list of qualified professionals specified in Section 3(b)(12)(B).

**(B) Routine Inspections**

The permittee shall routinely inspect the site for compliance with the general permit and the Plan for the site until a Notice of Termination has been submitted. Inspection procedures for these routine inspections shall be addressed and implemented in the following manner:

- (i) The permittee shall maintain a rain gauge on-site to document rainfall amounts. At least once a week and within 24 hours of the end of a storm that generates a discharge, a qualified inspector (provided by the permittee), as defined in the “Definitions” section (Section 2) of this general permit, shall inspect, at a minimum, the following: disturbed areas of the construction activity that have not been finally stabilized; all erosion and sediment control measures; all structural control measures; soil stockpile areas; washout areas and locations where vehicles enter or exit the site. These areas shall be inspected for evidence of, or the potential for, pollutants entering the drainage system and impacts to the receiving waters. Locations where vehicles enter or exit the site shall also be inspected for evidence of off-site sediment tracking. For storms that end on a weekend, holiday or other time after which normal working hours will not commence within 24 hours, an inspection is required within 24 hours only for storms that equal or exceed 0.5 inches. For storms of less than 0.5 inches, an inspection shall occur immediately upon the start of the subsequent normal working hours. Where sites have implemented temporary stabilization, such inspection shall be conducted at least weekly until final stabilization has been achieved. Where sites have implemented final stabilization, such inspection shall be conducted at least once every month for three months.
- (ii) The qualified inspector(s) (as defined in Section 2) shall evaluate the effectiveness of erosion and sediment controls, structural controls, stabilization practices, and any other controls implemented to prevent pollution and determine if it is necessary to install, maintain, or repair such controls and/or practices to improve the quality of stormwater discharge(s).
- (iii) A report shall be prepared and retained as part of the Plan. A copy shall be submitted electronically in accordance with Section 5(c)(3). This report shall summarize: the scope of the inspection; name(s) and qualifications of personnel conducting the inspection; the date(s) of the inspection; weather conditions including precipitation information; major observations relating to erosion and sediment controls and the implementation of the Plan; a description of the stormwater discharge(s) from the site; and any water quality monitoring performed during the inspection. The report shall be

signed by the permittee or his/her authorized representative in accordance with the “Certification of Documents” section (subsection 5(i)) of this general permit.

The report shall include a statement that, in the judgment of the qualified inspector(s) conducting the site inspection, the site is either in compliance or out of compliance with the terms and conditions of the Plan and permit. If the site inspection indicates that the site is out of compliance, the inspection report shall include a summary of the remedial actions required to bring the site back into compliance. Non-engineered corrective actions (as identified in the Guidelines) shall be implemented on site within 24 hours and incorporated into a revised Plan within three (3) calendar days of the date of inspection unless another schedule is specified in the Guidelines. Engineered corrective actions (as identified in the Guidelines) shall be implemented on site within seven (7) calendar days and incorporated into a revised Plan within ten (10) calendar days of the date of inspection, unless another schedule is specified in the Guidelines or is approved by the commissioner. During the period in which any corrective actions are being developed and have not yet been fully implemented, interim measures shall be implemented to minimize the potential for the discharge of pollutants from the site.

- (iv) Inspectors from the DEEP and the appropriate District may inspect the site for compliance with this general permit at any time construction activities are ongoing and upon completion of construction activities to verify the final stabilization of the site and/or the installation of post-construction stormwater management measures pursuant to Section 6(a).

#### (5) Keeping Plans Current

The Permittee is responsible for keeping their Plan in compliance with this general permit at all times. This may involve any or all of the following:

- (A) The permittee shall amend the Plan if the actions required by the Plan fail to prevent pollution or fail to otherwise comply with any other provision of this general permit. The Plan shall also be amended whenever there is an addition of or a change in contractors or subcontractors at the site, or a change in design, construction, operation, or maintenance at the site which has not otherwise been addressed in the Plan. If the amount of disturbed area increases from the amount specified on the original registration for the site or there are changes to engineered or non-engineered construction or post-construction control measures, that have the potential to increase the quantity or amount of pollutants in the site’s stormwater discharges, the permittee shall submit a new registration to the commissioner in accordance with Section 4 of this general permit.
- (B) The commissioner may notify the permittee at any time that the Plan and/or the site do not meet one or more of the minimum requirements of this general permit. Within 7 days of such notice, or such other time as the commissioner may allow, the permittee shall make the required changes to the Plan and perform all actions required by such revised Plan. Within 15 days of such notice, or such other time as the commissioner may allow, the permittee shall submit to the commissioner a written certification that the requested changes have been made and implemented and such other information as the commissioner requires, in accordance with the ‘Duty to Provide Information’ and “Certification of Documents” sections (subsections 5(h) and 5(i)) of this general permit.
- (C) For any stormwater discharges authorized under any previous version of this general permit, the existing Plan shall be updated within one hundred twenty (120) days of the effective date of this permit, as applicable, in accordance with subsection 5(b)(1) (“Development and Contents of the Plan”), (“Stormwater Control Measures” (subsection 5(b)(2)), and “Routine

Inspections" (subsection 5(b)(4)(B)), except for the post-construction measures in subsection 5(b)(2)(C)(i)(a) & (b) and 5(b)(2)(C)(ii)(a). The permittee shall maintain compliance with such Plan thereafter. For previously authorized sites discharging to impaired waters or other sensitive areas, the commissioner may require additional control measures or provide authorization under an individual permit pursuant to Sections 4(h) and 3(i).

The permittee shall ensure that, if circumstances necessitate a revision to the Plan pursuant to this section, the permittee shall work with the designing qualified professional to ensure compliance with the terms and conditions of the general permit.

(6) Failure to Prepare, Maintain or Update Plan

In no event shall failure to complete, maintain or update a Plan, in accordance with the "Development of Contents of the Plan" and "Keeping Plans Current" sections (subsections 5(b)(1) and 5(b)(5)) of this general permit, relieve a permittee of responsibility to implement any actions required to protect the waters of the state and to comply with all conditions of the permit.

(7) Plan Signature

The Plan shall be signed and certified as follows:

- (A) The Plan shall be signed by the permittee in accordance with the "Certification of Documents" section (subsection 5(i)) of this general permit.
- (B) The Plan shall include certification by all contractors and subcontractors in accordance with the "Contractors" section (subsection 5(b)(1)(B)(viii)) of this general permit.
- (C) The Plan shall include a copy of the certification by a professional engineer or landscape architect made in accordance with Section 3(b)(9) of this general permit.

(8) Plan Review Certification

For a locally approvable project pursuant to Section 3(c) of this general permit, a copy of the Plan review certification made in accordance with either Section 3(b)(10) or (11) shall be maintained with the Plan. Construction activities reviewed and certified pursuant to those sections are still subject to the local erosion and sediment control and stormwater management regulations of the municipality in which the activity is conducted.

(9) Plan Submittal

The Plan shall be submitted to the commissioner and other certain parties under the following conditions:

- (A) All Locally Exempt Projects with greater than one acre of soil disturbance shall submit an electronic copy of the Plan and a completed Registration Form to the commissioner.
- (B) For Locally Approvable projects, the permittee shall provide an electronic copy of the Plan and a completed Registration Form to the commissioner. In addition, a completed Registration Form for this general permit shall be submitted to the following persons immediately upon request:
  - (i) The municipal planning commission, zoning commission and/or inland wetlands agency, or its respective enforcement officer or designated agent;

- (ii) In the case of a stormwater discharge through a municipal separate storm sewer system, the municipal operator of the system;
- (iii) In the case of a stormwater discharge located within a public drinking water supply watershed or aquifer area, the water company responsible for that water supply.

**DO NOT SUBMIT** any information that does not pertain to stormwater management or erosion and sediment control (such as electrical and lighting plans, boundary or lot surveys, building plans, non-stormwater related detail sheets, etc.). Any plans stamped “not for construction” will not be accepted.

**(c) Reporting and Record Keeping Requirements**

- (1) For a period of at least five years from the date that construction is complete, the permittee shall retain copies of the Plan and all reports required by this general permit, and records of all data used to complete the registration for this general permit, unless the commissioner specifies another time period in writing. Inspection records must be retained as part of the Plan for a period of five (5) years after the date of inspection.
- (2) The permittee shall retain an updated copy of the Plan required by this general permit at the construction site from the date construction is initiated at the site until the date construction at the site is completed.
- (3) Reporting

**(A) Inspection Reports**

The following inspection reports shall be kept on-site with the Plan and submitted to the Commissioner upon request:

- (i) Plan Implementation Inspections conducted in accordance with Section 5(b)(4)(A) and recorded on checklist forms prepared pursuant to Section 5(b)(1)(B)(vi)(a).
- (ii) Routine Inspections conducted in accordance with Section 5(b)(4)(B) and recorded on checklist forms prepared pursuant to Section 5(b)(1)(B)(vi)(b).

**(B) Plan Modification**

The permittee shall document Plan modifications conducted pursuant to Section 5(b)(5). Such documentation shall be kept on-site with the Plan. For any Plan modification that results in an increase in disturbed area for the site or changes to engineered or non-engineered construction or post-construction control measures, that have the potential to increase the quantity or amount of pollutants in the site's stormwater discharges, the permittee shall submit a new registration to the commissioner in accordance with Section 4 and Section 5(b)(5) of this general permit.

**(C) NetDMR Reporting**

The permittee shall submit all reporting of inspections, Plan updates or other reporting electronically using NetDMR, a web-based tool that allows Permittees to electronically submit stormwater reports through a secure internet connection. Unless otherwise approved in writing by the commissioner, no later than thirty (30) days after authorization under this permit the Permittee shall begin reporting electronically using NetDMR. Specific requirements regarding subscription to NetDMR and submittal of data and reports in hard

copy form and for submittal using NetDMR are described below:

(i) Submittal of NetDMR Subscriber Agreement

At or before the time the Permittee submits a registration for this permit, the Permittee and/or the person authorized to sign the Permittee's reports ("Signatory Authority") as described in RCSA Section 22a-430-3(b)(2) shall contact the Department at [deep.netdmr@ct.gov](mailto:deep.netdmr@ct.gov) and initiate the NetDMR subscription process for electronic submission of Stormwater Report information. Information on NetDMR is available on the Department's website at [www.ct.gov/deep/netdmr](http://www.ct.gov/deep/netdmr). On or before the date of authorization under this permit the Permittee shall submit a signed and notarized copy of the *Connecticut DEEP NetDMR Subscriber Agreement* to the Department.

(ii) Submittal of Reports Using NetDMR

Unless otherwise approved by the commissioner, on or before thirty (30) days following authorization under this permit, the Permittee and/or the Signatory Authority shall electronically submit reports required under this permit to the Department using NetDMR in satisfaction of the SMR submission requirements of Sections 5(c)(3)(A) of this permit.

Reports shall be submitted electronically to the Department no later than fifteen (15) days following the completed reporting period. NetDMR is accessed from: <http://www.epa.gov/netdmr>.

(iii) Submittal of NetDMR Opt-Out Requests

If the Permittee is able to demonstrate a reasonable basis, such as technical or administrative infeasibility, that precludes the use of NetDMR for electronically submitting reports, the commissioner may approve the submission of reports in hard copy form ("opt-out request"). Opt-out requests must be submitted in writing to the Department for written approval on or before the Permittee's date of permit authorization. This demonstration shall be valid for twelve (12) months from the date of the Department's approval and shall thereupon expire. At such time, reports shall be submitted electronically to the Department using NetDMR unless the Permittee submits a renewed opt-out request and such request is approved by the Department.

All opt-out requests and requests for the NetDMR subscriber form should be sent to the following address or by email at [deep.netdmr@ct.gov](mailto:deep.netdmr@ct.gov):

**Attn: NetDMR Coordinator**

**Connecticut Department of Energy and Environmental Protection  
79 Elm Street  
Hartford, CT 06106-5127**

**(d) *Regulations of Connecticut State Agencies Incorporated into this General Permit***

The permittee shall comply with sections 22a-430-3 and 22a-430-4 of the Regulations of Connecticut State Agencies which are hereby incorporated into this general permit, as if fully set forth herein.

**(e) *Reliance on Registration***

In evaluating the registrant's registration, the commissioner has relied on information provided by the registrant. If such information proves to be false or incomplete, any authorization reliant on such

information may be suspended or revoked in accordance with law, and the commissioner may take any other legal action provided by law.

***(f) Duty to Correct and Report Violations***

Upon learning of a violation of any of the terms and conditions of this general permit, including, but not limited to, any failure to follow the Plan or any adverse impacts on wetlands, streams or other receiving waterbodies, a permittee shall immediately cease all construction activities and take all reasonable action to determine the cause of such violation, correct and mitigate the results of such violation, and prevent such violation from recurring. Construction activities shall not recommence until such reasonable action(s) have been taken and such violation and/or adverse impacts have been corrected. The permittee shall ensure that any violations of the terms and conditions of the general permit or the Plan, identified during an inspection or at any other time, that result in the potential to discharge pollutants to waters of the state are reported to the commissioner or the commissioner's designee within two (2) hours of becoming aware of such violation, or at the start of the next business day after becoming aware of such violation outside normal working hours. Furthermore, the permittee shall, within five (5) days of discovery of the violation, prepare and submit to the commissioner or the commissioner's designee a signed and stamped written report, which documents the cause of the violation, duration including dates and times, and corrective action taken to address the violation and any action taken or planned to prevent future occurrences. Such information shall be filed in accordance with the "Certification of Documents" section (Section 5(i)) of this general permit.

***(g) Duty to Provide Information***

If the commissioner requests any information pertinent to the construction activity or to compliance with this general permit or with the permittee's authorization under this general permit, the permittee shall provide such information within fifteen (15) days of such request or other time period as may be specified in writing by the commissioner.

***(h) Certification of Documents***

Unless otherwise specified in this general permit, any document, including but not limited to any notice, information or report, which is submitted to the commissioner under this general permit shall be signed by the permittee, or a duly authorized representative of the permittee, and by the individual or individuals responsible for actually preparing such document, each of whom shall certify in writing as follows:

"I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify that, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, the submitted information is true, accurate and complete to the best of my knowledge and belief. I understand that a false statement made in this document or its attachments may be punishable as a criminal offense, in accordance with section 22a-6 of the Connecticut General Statutes, pursuant to section 53a-157b of the Connecticut General Statutes, and in accordance with any other applicable statute."

***(i) Date of Filing***

For purposes of this general permit, the date of filing with the commissioner of any document is the date such document is received by the commissioner. The word "day" as used in this general permit means the calendar day; if any date specified in the general permit falls on a Saturday, Sunday, or legal holiday, such deadline shall be the next business day thereafter.

**(j) *False Statements***

Any false statement in any information submitted pursuant to this general permit may be punishable as a criminal offense, in accordance with section 22a-6 of the Connecticut General Statutes, pursuant to section 53a-157b of the Connecticut General Statutes.

**(k) *Correction of Inaccuracies***

Within fifteen (15) days after the date a permittee becomes aware of a change in any information in any material submitted pursuant to this general permit, or becomes aware that any such information is inaccurate or misleading or that any relevant information has been omitted, such permittee shall correct the inaccurate or misleading information or supply the omitted information in writing to the commissioner. Such information shall be filed in accordance with the certification requirements prescribed in Section 5(h) of this general permit.

**(l) *Transfer of Authorization***

Any authorization issued by the commissioner under this general permit is transferable only in accordance with the provisions of section 22a-6o of the General Statutes. Any person or municipality proposing to transfer any such authorization shall submit a license transfer form to the commissioner. For registrants of state projects proposing to transfer permit authorization, the registrant must be contractually authorized to conduct the transfer. The transferee is not authorized to conduct any activities under this general permit until the transfer is approved by the commissioner (typically 30 days). The transferee may adopt by reference the Plan developed by the transferor. The transferee shall update the Plan as required by the “Keeping Plans Current” Section 5(b)(5) of this general permit).

**(m) *Other Applicable Law***

Nothing in this general permit shall relieve the permittee of the obligation to comply with any other applicable federal, state and local law, including but not limited to the obligation to obtain any other authorizations or licenses required by such law.

**(n) *Other Rights***

This general permit is subject to and does not derogate any present or future rights or powers of the State of Connecticut and conveys no rights in real or personal property nor any exclusive privileges, and is subject to all public and private rights and to any federal, state, and local laws pertinent to the property or construction activity affected by such general permit. In conducting any construction activity authorized hereunder, the permittee may not cause pollution, impairment, or destruction of the air, water, or other natural resources of this state. The issuance of this general permit shall not create any presumption that this general permit should or will be renewed.

## **Section 6. Termination Requirements**

**(a) *Notice of Termination***

At the completion of a construction project registered pursuant to the “Registration Requirements” section (Section 4) of this general permit, a Notice of Termination must be filed with the commissioner. A project shall be considered complete after all post-construction measures are installed, cleaned and functioning and the site has achieved final stabilization (as defined in Section 2) for at least one full growing season (i.e. April through October) in the year following the cessation of construction activities. Final stabilization must be achieved **for all phases** before a Notice of Termination may be issued. Solar arrays must comply with the requirements of Appendix I.

(1) Post-Construction Inspection

- (A) For locally approvable projects, once all post-construction stormwater measures have been installed in accordance with the Post-Construction Stormwater Management section (subsection 5(b)(2)(C)) and cleaned of any construction sediment or debris, the registrant shall contact the appropriate Conservation District or a qualified soil erosion and sediment control professional and/or a qualified professional engineer, as appropriate, who will inspect the site to confirm compliance with these post-construction stormwater management requirements. This person(s) shall not be an employee, as defined by the Internal Revenue Service in the Internal Revenue Code of 1986, of the permittee and shall have no ownership interest of any kind in the project for which the site's registration was submitted.
- (B) For locally exempt projects, once all post-construction stormwater measures have been installed in accordance with the Post-Construction Stormwater Management section (subsection 5(b)(2)(C)) and cleaned of any construction sediment or debris, the registrant shall contact a qualified soil erosion and sediment control professional and/or a qualified professional engineer that is included on the list of such professionals approved by the commissioner pursuant to Section 3(b)(12), who will inspect the site to confirm compliance with these post-construction stormwater management requirements.

(2) Final Stabilization Inspection

For all projects, once the site has achieved final stabilization for at least one full growing season (April – October) following the end of construction, the registrant shall have the site inspected by a qualified inspector to confirm final stabilization. The registrant shall indicate compliance with this requirement on the Notice of Termination form.

***(b) Termination Form***

A termination notice shall be filed on forms prescribed and provided by the commissioner and shall include the following:

- (1) The permit number as provided to the permittee on the permit certificate.
- (2) The name of the registrant as reported on the general permit registration form (DEEP-PED-REG-015).
- (3) The address of the completed construction site.
- (4) The dates when:
  - (A) Construction has been completed; and
  - (B) All storm drainage structures were cleaned of construction debris pursuant to the "Other Controls" section (subsection 5(b)(2)(D)) of this general permit; and
  - (C) The post-construction inspection was conducted pursuant to subsection 6(a)(1), above ; and
  - (D) The final stabilization inspection has been conducted pursuant to subsection 6(a)(2), above.
- (5) A description of the post-construction activities at the site.

(6) Signatures of:

- (A) The permittee; and
- (B) The person certifying the post-construction inspection pursuant to subsection 6(a)(1), above.
- (C) The person certifying the final stabilization inspection.

**(c) *Where to File a Termination Form***

A termination form shall be filed with the commissioner at the following address:

CENTRAL PERMITS PROCESSING UNIT  
BUREAU OF MATERIALS MANAGEMENT & COMPLIANCE ASSURANCE  
DEPARTMENT OF ENERGY & ENVIRONMENTAL PROTECTION  
79 ELM STREET  
HARTFORD, CT 06106-5127

**Section 7. Commissioner's Powers**

**(a) *Abatement of Violations***

The commissioner may take any action provided by law to abate a violation of this general permit, including but not limited to penalties of up to \$25,000 per violation per day under Chapter 446k of the Connecticut General Statutes, for such violation. The commissioner may, by summary proceedings or otherwise and for any reason provided by law, including violation of this general permit, revoke a permittee's authorization hereunder in accordance with sections 22a-3a-2 through 22a-3a-6, inclusive, of the Regulations of Connecticut State Agencies. Nothing herein shall be construed to affect any remedy available to the commissioner by law.

**(b) *General Permit Revocation, Suspension, or Modification***

The commissioner may, for any reason provided by law, by summary proceedings or otherwise, revoke or suspend this general permit or modify to establish any appropriate conditions, schedules of compliance, or other provisions which may be necessary to protect human health or the environment.

**(c) *Filing of an Individual Permit Application***

If the commissioner notifies a permittee in writing that such permittee must obtain an individual permit if he wishes to continue lawfully conducting the construction activity, the permittee shall file an application for an individual permit within thirty (30) days of receiving the commissioner's notice. While such application is pending before the commissioner, the permittee shall continue to comply with the terms and conditions of this general permit. Nothing herein shall affect the commissioner's power to revoke a permittee's authorization under this general permit at any time.

Issued:

TBD

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Betsey Wingfield  
Deputy Commissioner

# General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities

## APPENDIX A

### Endangered and Threatened Species

In order to be eligible for coverage under the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities (“GP” or “the GP”), under section 3(b)(2) of the GP, a registrant must ensure that the construction activity, as defined in Section 2 of the GP, does not threaten the continued existence of any state or federal species listed as endangered or threatened (“listed species”) or result in the destruction or adverse modification of any habitat associated with such species.

In order to prevent significant, unforeseen delays in the processing of a registration under the GP, registrants should assess compliance with section 3(b)(2) early in the planning stages of a project. The Department of Energy and Environmental Protection (“the Department”) strongly recommends that this assessment *be initiated up to one year, or more*, prior to the projected construction initiation date, and even before the purchase of the site of the construction activity. At a minimum, registrants must assess compliance with section 3(b)(2) prior to submission of the Registration Form for the GP.

This Appendix describes the ways that a registrant can comply with section 3(b)(2) of the GP. In connection with the filing of a registration a registrant can perform a self-assessment described in Section 1, seek a limited two-year determination or a safe harbor determination from the Department’s Wildlife Division under Sections 2 or 3, respectively, or stipulate in writing to the presence of listed species or any habitat associated with such species and develop a mitigation plan pursuant to Section 5 of this Appendix. While some means of compliance are more limited than others, the options set out in this Appendix are not mutually exclusive and all options remain available to a registrant. For example, a registrant may perform a self-assessment under Section 1 and seek a safe harbor determination under Section 3 of this Appendix. Provided the requirements of this Appendix are met, the choice of how to proceed is the registrant’s.

### **Section 1. Self Assessment through Natural Diversity Database Map Review and Screening**

Before submission of a registration for coverage under this GP, a registrant must review the current versions of the Department’s Natural Diversity Data Base (“NDDB”) maps. Except as provided for in Sections 2, 3 or 5 of this Appendix, such review must occur no more than six months before such submission. Such review provides a method for screening whether the Department is already aware of listed species that may be present on the site of the construction activity. These maps can be viewed online at:

[CT DEEP Natural Diversity Data Base Maps](#)  
[CTECO Webpage](#) (in the interactive Simple Map Viewer)

## **Screening**

The site of the construction activity must be compared to the shaded areas depicted on the NDDB map to determine if the site is entirely or partially within a shaded area. If the site is entirely or partially within a shaded area for a listed species a registrant can only achieve compliance with section 3(b)(2) of the GP by obtaining a limited two-year determination under Section 2, a safe harbor determination under Section 3, or an approved mitigation plan under Section 5 of this Appendix from the Department's Wildlife Division.

If the site of the construction activity is not entirely or partially within a shaded area, then the Department is not aware of any listed species at the site of the construction activity. Based upon this screening, and provided the registrant has no reasonably available verifiable, scientific or other credible information that the construction activity could reasonably be expected to violate section 3(b)(2) of the GP, when completing the Registration Form for this GP a registrant may check the box that indicates that the construction activity will not impact federal or state listed species.

A registrant using only self-assessment under this section may utilize the results of any such self-assessment for up to, but no more than, six months from the date of such assessment. Note, however, that the NDDB maps are not the result of comprehensive state-wide field investigations, but rather serve as a screening tool. Using such maps as a screening tool does not provide a registrant with an assurance that listed species or their associated habitat may not be encountered at the site of the construction activity. Notwithstanding the NDDB screening results, if a listed species is encountered at the site of the construction activity, the registrant shall promptly contact the Department and may need to take additional action to ensure that the registrant does not violate section 3(b)(2) of the GP.

## **Section 2. Obtaining a Limited Two-Year Determination**

A registrant may seek a written determination from the Department's Wildlife Division, good for two years, that the proposed construction activity complies with section 3(b)(2) of the GP. To obtain this limited two-year determination, a registrant must, in addition to conducting the NDDB map review in Section 1 of this Appendix, provide the Department's Wildlife Division with (1) any reasonably available verifiable, scientific or other credible information about whether the construction activity could reasonably be expected to result in a violation of section 3(b)(2) of the GP, and (2) limited information about the site of the proposed construction activity, but less information than would be necessary for a safe harbor determination under Section 3 of this Appendix. The limited information necessary for a two-year determination is on the current "Request for Natural Diversity Database (NDDB) State Listed Species Review" form on the Department's website. The form and instructions for seeking such a limited two-year determination are available at [www.ct.gov/DEEP/nddbrequest](http://www.ct.gov/DEEP/nddbrequest).

Provided the registrant's information is accurate and the Department's Wildlife Division determines that the construction activity will not violate section 3(b)(2) of the GP, the registrant shall receive a limited two-year determination from the Department. Any such determination may indicate that the construction activity will not impact listed species or their associated habitat, or it may include specific conditions to be implemented to avoid or significantly minimize any impacts that may be encountered at the site of the construction activity. For purposes of submitting a registration for the GP, any such limited two-year determination can be relied upon by the person receiving such determination for two years from the date of such determination. Like, however, the NDDB screening procedure in Section 1 of this Appendix, a limited two-year determination does not provide a registrant with an assurance that listed species or their associated habitat may not be encountered at the site of the construction activity. If a listed species is encountered, the registrant shall promptly contact the Department and may need to take additional action to ensure that the construction activity does not violate section 3(b)(2) of the GP.

If a registrant receives a limited two-year determination from the Department, the registrant should check the limited two-year determination box on the GP registration form and include the Department's two-year limited determination letter with the GP Registration form. Checking the limited two-year determination box on the registration form and failing to provide the determination letter from the Department's Wildlife Division with the GP Registration form will delay and may prevent processing of a registration.

If based upon the information provided by a registrant seeking a limited two-year determination the Department's Wildlife Division determines that the construction activity could impact listed species or their associated habitat, or that the Department needs additional information to make a limited two-year determination, the registrant may still achieve compliance with section 3(b)(2) of the GP through providing additional information pursuant to Section 4 or developing a mitigation plan pursuant to Section 5 of this Appendix.

A registrant may request one or more one-year extensions to a limited two-year determination under this section. If the Department's Wildlife Division has prescribed a form for requesting an extension, any such request shall be made using the prescribed form. There is a presumption that requests for a one-year extension of a limited two-year determination shall be granted. However, this presumption can be rebutted if the Department determines that a change in any of the following has occurred since an initial limited two-year determination or any extension was granted: the construction activity affecting or potentially affecting listed species or their associated habitat; the NDDB maps for the site of the construction activity; the limited information upon which a limited two-year determination or any extension was granted; or other information indicative of a change in circumstance affecting listed species or their associated habitat. Any one-year extension granted under this paragraph shall run from the date the Department's Wildlife Division issues its determination to grant an extension and shall be treated under the same conditions as a limited two-year determination as provided for in this section. Any letter granting a one-year extension shall be included with a registration along with the original limited two-year determination as provided for in this section.

### **Section 3. Obtaining a Safe Harbor Determination**

A registrant may seek a written determination from the Department's Wildlife Division, good for three years, with the potential to be extended for an additional year, that proposed construction activity complies with section 3(b)(2) of the GP. Any such determination shall constitute a "safe harbor" for purposes of section 3(b)(2) of the GP.

To obtain a safe harbor determination, a registrant must, in addition to conducting the NDDB review in section 1 of this Appendix, provide the Department's Wildlife Division with any reasonably available verifiable, scientific or other credible information about whether the construction activity could reasonably be expected to result in a violation of section 3(b)(2) of the GP and specific information about the site of the construction activity. The specific information necessary for a safe harbor determination is listed in Attachment A to this Appendix. This information must be sufficient to allow the Wildlife Division to adequately assess the site for potential risks to listed species and their associated habitat. While the Department recognizes certain information is necessary to make a safe harbor determination, it also recognizes that a registrant may need to obtain a safe harbor determination early in its project's approval process in order to make prudent business decisions about purchasing a site or proceeding to final project designs. The form and instructions for seeking a safe harbor determination are available at [www.ct.gov/DEEP/nddbrequest](http://www.ct.gov/DEEP/nddbrequest).

Provided the registrant's information is accurate and the Department's Wildlife Division determines that the construction activity will not violate section 3(b)(2) of the GP, the registrant shall receive a safe harbor determination from the Department. A safe harbor determination may indicate that the construction activity

will not impact listed species or their associated habitat, or it may include specific conditions to be implemented to avoid or significantly minimize any impacts that may be encountered at the site of the construction activity. The Department shall honor the safe harbor determination for three years from the date it is issued, meaning that unlike the NDDB review in Section 1 or the limited two-year determination in Section 2 of this Appendix, if the Department makes a safe harbor determination and a registrant remains in compliance with any conditions in any such determination, irrespective of what may be found at the site of the construction activity, a registrant shall be considered in compliance with section 3(b)(2) of the GP. However, a safe harbor determination shall not be effective if a construction activity may threaten the continued existence of any federally listed species or its critical habitat under federal law. If a federally listed species or its critical habitat is encountered on the site of the construction activity, the registrant shall promptly contact the Department and may need to take additional action to ensure that the construction activity does not violate federal law or section 3(b)(2) of the GP.

If a registrant receives a safe harbor determination from the Department, the registrant should check the safe harbor determination box on the GP registration form and include the Department's safe harbor determination with the GP Registration form. Checking the safe harbor box on the registration form and failing to provide the safe harbor determination letter from the Department's Wildlife Division with the GP Registration form will delay and may prevent processing of a registration.

If based upon the information provided by a registrant seeking a safe harbor determination the Department's Wildlife Division determines that the construction activity could impact listed species or their associated habitat, or that the Department needs additional information to make a safe harbor determination, the registrant may still achieve compliance with section 3(b)(2) of the GP through providing additional information pursuant to Section 4 or developing a mitigation plan pursuant to Section 5 of this Appendix.

If a registrant receives a safe harbor determination from the Department's Wildlife Division, anytime during the third year of such safe harbor, a registrant may request a one-year extension of that safe harbor. If the Department's Wildlife Division has prescribed a form for requesting an extension, any such request shall be made using the prescribed form. There is a presumption that a request for a one-year extension of a safe harbor shall be granted. However, this presumption can be rebutted if the Department determines that a change in any of the following has occurred since the safe harbor was granted: the construction activity affecting or potentially affecting listed species or their associated habitat; the NDDB maps for the site of the construction activity; the information upon which the safe harbor was granted; or other information indicative of a change in circumstance affecting listed species or their associated habitat. A registrant may seek only one extension, for one year, to a safe harbor determination. Any one-year extension granted under this paragraph shall run from the date of the Department's Wildlife Division issues its determination to grant an extension and shall be honored by the Department in the same manner as a safe harbor determination noted above. Any letter granting a one-year extension shall be included with a registration along with the original safe harbor determination as provided for in this section.

#### **Section 4. Providing Additional Information**

For the Department's Wildlife Division to make a limited two-year determination under Section 2 or a safe harbor determination under section 3 of this Appendix, limited additional information may be required to determine if the construction activity would impact listed species or their associated habitat. If the species in question is a state listed endangered or threatened species under section 26-306 of the general statutes, a registrant shall, in consultation with the Department's Wildlife Division, provide the limited additional information requested by the Department's Wildlife Division. Such information may include, but is not limited to, a survey of specific listed species in question. If the species in question is a federally listed threatened or endangered species, in addition to the Department's Wildlife Division, a registrant shall also consult with the

U.S. Fish and Wildlife Service and shall provide any additional information requested by that agency. A registrant that initially sought or obtained a limited two-year determination may, after providing the additional information required under this section request a safe harbor determination under Section 3 of this Appendix.

At any time, as an alternative to proceeding under Section 2, 3 or 4 of this Appendix, a registrant may stipulate, in writing, to the presence of one or more listed species or their associated habitat. A registrant choosing this alternative shall proceed to develop a mitigation plan under Section 5 of this Appendix.

If based upon any additional information provided to the Department's Wildlife Division, and as applicable, the U.S. Fish & Wildlife Service, the Department's Wildlife division determines that construction activity will be in compliance with section 3(b)(2) of the GP, a registrant shall receive a limited two-year determination under Section 2 or a safe harbor determination under Section 3 of this Appendix, as applicable.

If the Department's Wildlife Division determines that additional information is necessary to determine if the construction activity has the potential to impact listed species or their associated habitat, and a registrant chooses to not provide such information, a registrant shall proceed with the self-assessment through an NDDB review under Section 1 of this Appendix, or stipulate to the existence of a listed species or associated habitat and develop a mitigation plan under Section 5 or such registrant shall not be eligible to register under the GP.

## **Section 5. Developing a Mitigation Plan**

The Department's Wildlife Division may determine that the construction activity has the potential to adversely impact listed species or their associated habitat. However, it may be possible to modify the construction activity or undertake certain on-site measures to avoid or significantly minimize such impacts. If the species or associated habitat in question is a state listed endangered or threatened species under section 26-306 of the general statutes, a registrant shall consult with the Department's Wildlife Division to determine if an acceptable mitigation plan can be developed so impacts can be avoided or minimized such that a registrant remains in compliance with section 3(b)(2). If the species in question is a federally listed threatened or endangered species, any such consultation shall also include the U.S. Fish and Wildlife Service.

If a registrant in consultation with the Department's Wildlife Division, and as applicable, the U.S. Fish & Wildlife Service, develops a mitigation plan that is approved by the Department's Wildlife Division, or as applicable, the U.S. Fish & Wildlife Service, the registrant shall receive a limited two-year determination under Section 2 or a safe harbor determination under Section 3 of this Appendix. In this situation, in addition to checking the two-year determination box or the safe harbor determination box, as applicable, on the registration form, the registrant shall also check the box on the registration form indicating that it has an approved mitigation plan and provide a status update on the registration form as to whether it has completed or is still in the process of implementing the approved mitigation plan.

If an approved mitigation plan has not been fully implemented by the time a registration is submitted, completing all remaining tasks in the plan shall become an enforceable condition of any registration issued to the registrant.

If the Department determines that the construction activity has the potential to adversely impact listed species or their associated habitat and the registrant and the Department, and as applicable, the U.S. Fish & Wildlife Service, are not able to agree on an acceptable mitigation plan that is approved by the Department, and as applicable, the U.S. Fish & Wildlife Service, any such registrant shall not be eligible to register under the GP.

## **APPENDIX A** **ATTACHMENT A**

### **Specific Information Needed to Apply for a Safe Harbor Determination**

A Safe Harbor Determination will be made upon the submission of a detailed report that fully addresses the matters noted below. For the Department's Wildlife Division to make a safe harbor determination, the report should synthesize and analyze this information, not simply compile information. Those providing synthesis and analysis need appropriate qualifications and experience. A request for a safe harbor determination shall include:

- 1) Habitat Information, including GIS mapping overlays, identifying:
  - wetlands, including wetland cover types;
  - plant community types;
  - topography;
  - soils;
  - bedrock geology;
  - floodplains, if any;
  - land use history; and
  - water quality classifications/criteria.
- 2) Photographs - The report should also include photographs of the site, including all reasonably available aerial or satellite photographs and an analysis of such photographs.
- 3) Inspection - The report should include a visual inspection(s) of the site, preferably when the ground is visible. This inspection can also be helpful in confirming or further evaluating the items noted above.
- 4) Biological Surveys - The report should include all biological surveys of the site where construction activity will take place that are reasonably available to a registrant. A registrant shall notify the Department's Wildlife Division of biological studies of the site where construction activity will take place that a registrant is aware of but are not reasonably available to the registrant.
- 5) Based on items #1 through 4 above, the report shall include a Natural Resources Inventory of the site of the construction activity. This inventory should also include a review of reasonably available scientific literature and any recommendations for minimizing adverse impacts from the proposed construction activity on listed species or their associated habitat.
- 6) In addition, to the extent the following is available at the time a safe harbor determination is requested, a request for a safe harbor determination shall include and assess:
  - Information on Site Disturbance Estimates/Site Alteration information
  - Vehicular Use
  - Construction Activity Phasing Schedules, if any; and
  - Alteration of Drainage Patterns

## APPENDIX B

### Connecticut Department of Energy & Environmental Protection Inland Water Resources Division Fact Sheet Considering Low Impact Development Principles in Site Design

In order to reduce the impact of development and address stormwater quality issues, the Department strongly encourages the use of Low Impact Development (LID) measures. LID is a site design strategy intended to maintain or replicate predevelopment hydrology through the use of small-scale controls, integrated throughout the site, to manage stormwater runoff as close to its source as possible. Infiltration of stormwater through LID helps to remove sediments, nutrients, heavy metals, and other types of pollutants from runoff.

#### Key Strategies for LID

Key strategies for effective LID include: infiltrating, filtering, and storing as much stormwater as feasible, managing stormwater close to where the rain/snow falls, managing stormwater at multiple locations throughout the landscape, conserving and restoring natural vegetation and soils, preserving open space and minimizing land disturbance, designing the site to minimize impervious surfaces, and providing for maintenance and education. Water quality and quantity benefits are maximized when multiple techniques are grouped together. In areas of compacted and/or possibly contaminated soils, soil suitability should be further investigated prior to selecting optimum treatment and/or remediation measures. Where soil conditions permit, the DEEP encourages the utilization of one, or a combination of, the following measures:

- the use of pervious pavement or grid pavers (which are very compatible for parking lot and fire lane applications), or impervious pavement without curbs or with notched curbs to direct runoff to properly designed and installed infiltration areas;
- the use of vegetated swales, tree box filters, and/or infiltration islands to infiltrate and treat stormwater runoff (from building roofs, roads, and parking lots);
- the minimization of access road widths and parking lot areas to the maximum extent possible to reduce the area of impervious surface;
- the use of dry wells to manage runoff from building roofs;
- incorporation of proper physical barriers or operational procedures for special activity areas where pollutants could potentially be released (e.g. loading docks, maintenance and service areas, dumpsters, etc.);
- the installation of rainwater harvesting systems to capture stormwater from building roofs for the purpose of reuse for irrigation (i.e. - rain barrels for residential use and cisterns for larger developments);
- the use of residential rain gardens to manage runoff from roofs and driveways;
- the use of vegetated roofs (green roofs) to detain, absorb, and reduce the volume of roof runoff; and
- providing for pollution prevention measures to reduce the introduction of pollutants to the environment.

The [2004 Stormwater Quality Manual LID Appendix](#) and the [2002 Erosion and Sediment Control Guidelines LID Appendix](#) both provide guidance on implementing LID measures. A guide to LID resources can also be found in the [DEEP Low Impact Development Resources Factsheet \(PDF\)](#).

#### LID in Urban Areas

If the proposed site is located in a highly urbanized area, it is likely underlain by urban land complex soils. The Natural Resources Conservation Service (NRCS) Soil Web Survey (<http://websoilsurvey.nrcs.usda.gov/app/HomePage.htm>) provides information on soil textures, parent materials, slopes, height of seasonal high water table, depth to restrictive layer, and permeability. In highly developed areas, infiltration may be limited due to the high percentage of impervious cover. However, infiltration practices may be suitable at urban sites depending on:

- Potential contamination of soils in historically industrialized areas. The siting of areas for infiltration must consider any existing soil or groundwater contamination.

- Site specific soil conditions. NRCS mapping consists of a minimum 3 acres map unit and soils may vary substantially within each mapping unit. Test pits should be dug in areas planned for infiltration practices to verify soil suitability and/or limitations.
- Investigation of areas of compacted soils and the utilization of proper construction staging. Planning should insure that areas to be used for infiltration are not compacted during the construction process by vehicles or machinery.

Even if infiltration is limited at a site, it is still possible to implement LID practices. Specifically, potential exists for the installation of green roofs on buildings and/or the use of cisterns to capture and reuse rainwater.

### **LID in Areas with a High Seasonal Water Table or Hardpan Layer**

- The impact of stormwater runoff to any streams and/or wetlands near the site should be considered. Water quality treatment is influenced by hydraulic conductivity and time of travel. If stormwater infiltration is limited by an impermeable layer close to the surface, the water may run laterally through the ground and discharge to the stream or wetlands, providing limited water quality treatment. However, a longer time of travel may provide sufficient treatment. Proper soil testing for infiltration potential will increase the likelihood of successful BMP design.
- In areas with a high seasonal water table, bioretention areas/rain gardens should be planted with water tolerant/wetland plants. The presence of a high seasonal water table suggests that water may drain slowly or not at all during certain parts of the year. Planting native wetland vegetation will help to ensure plant survival and increase the effectiveness of bioretention practices. Information on native plantings that are both drought tolerant and tolerant of wet conditions can be found in The UConn Cooperative Extension System's guide to building a rain garden at [http://nemo.uconn.edu/publications/rain\\_garden\\_broch.pdf](http://nemo.uconn.edu/publications/rain_garden_broch.pdf). Native plant lists for Connecticut can also be found at <http://www.fhwa.dot.gov/environment/rdsduse/ct.htm>.

### **LID Guidance for Federal Projects**

- LID techniques have been utilized by Department of Defense (DoD) agencies during the last several years. The effectiveness of these projects in managing runoff as well as reducing construction and maintenance costs has created significant interest in LID. The DoD has created a Unified Facilities Criteria document, Low Impact Development that provides guidelines for integrating LID planning and design into a facility's regulatory and resource protection programs. It is available on-line at: [http://www.wbdg.org/ccb/DOD/UFC/ufc\\_3\\_210\\_10.pdf](http://www.wbdg.org/ccb/DOD/UFC/ufc_3_210_10.pdf).
- Section 438 of the Energy Independence and Security Act (EISA) of 2007 requires federal agencies to reduce stormwater runoff from federal development projects to protect water resources. In December 2009, the EPA developed a technical guidance document on implementing the stormwater runoff requirements for federal projects under Section 438 of EISA. The document contains guidance on how compliance with Section 438 can be achieved, measured and evaluated and can be found at: [http://www.epa.gov/owow/NPS/lid/section438/pdf/final\\_sec438\\_eisa.pdf](http://www.epa.gov/owow/NPS/lid/section438/pdf/final_sec438_eisa.pdf).

**For more information contact the CT DEEP Watershed Management/Low Impact Development Program call (860)424-3716.**

## List of Runoff Reduction/LID Practices

Re-Forestation
Disconnection of Rooftop Runoff
Disconnection of Non-Rooftop Runoff
Sheetflow to Conservation Areas
Green Roof
Permeable Pavement
Rainwater Harvesting
Submerged Gravel Wetlands
Micro-Infiltration
Rain Gardens
Bioretention
Landscape Infiltration
Grass Swales
Bio-swales
Wet Swales
Stormwater Ponds
Stormwater Wetlands
Stormwater Filtering Systems
Stormwater Infiltration



## General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities

### APPENDIX C

#### AQUIFER PROTECTION AREAS AND OTHER GROUNDWATER DRINKING SUPPLY AREAS GUIDANCE INFORMATION

The Stormwater Pollution Control Plan (“the Plan”) should consider measures to reduce or mitigate potential impacts to both ground water (aquifers) and surface waters, taking into consideration both quantity and quality of the runoff. The emphasis should be to minimize, to the extent possible, changes between pre-development and post-development runoff rates and volumes.

The basic stormwater principals for Aquifer Protection Areas (and other groundwater drinking supply areas) are to prevent inadvertent pollution discharges/releases to the ground, while encouraging recharge of stormwater where it does not endanger groundwater quality. Measures include:

- prevent illicit discharges to storm water, including fuel/chemical pollution releases to the ground;
- minimize impervious coverage and disconnect large impervious areas with natural or landscape areas;
- direct paved surface runoff to aboveground type land treatment structures – sheet flow, surface swales, depressed grass islands, detention/retention and infiltration basins, and wet basins. These provide an opportunity for volatilization of volatile organic compounds to the extent possible before the stormwater can infiltrate into the ground;
- provide necessary impervious pavement in high potential pollutant release areas. These “storm water hot spots” include certain land use types or storage and loading areas, fueling areas, intensive parking areas and roadways (see table below);
- only use subsurface recharge structures such as dry wells, galleries, or leaching trenches, to directly infiltrate clean runoff such as rooftops, or other clean surfaces. These structures do not adequately allow for attenuation of salts, solvents, fuels or other soluble compounds in groundwater that may be contained in runoff; and
- restrict pavement deicing chemicals, or use an environmentally suitable substitute such as sand only, or alternative de-icing agents such as calcium chloride or calcium magnesium.

**Infiltration** of stormwater should be **restricted** under the following site conditions:

- **Land Uses or Activities with Potential for Higher Pollutant Loads:** Infiltration of stormwater from these land uses or activities (refer to Table 7-5 below), also referred to as stormwater “hotspots,” can contaminate public and private groundwater supplies. Infiltration of stormwater from these land uses or activities may be allowed by the review authority with appropriate pretreatment. Pretreatment could consist of one or a combination of the primary or secondary treatment practices described in the Stormwater Quality Manual provided that the treatment practice is designed to remove the stormwater contaminants of concern.
- **Subsurface Contamination:** Infiltration of stormwater in areas with soil or groundwater contamination such as brownfield sites and urban redevelopment areas can mobilize contaminants.
- **Groundwater Supply and Wellhead Areas:** Infiltration of stormwater can potentially contaminate groundwater drinking water supplies in immediate public drinking water wellhead areas.

**Land Uses or Activities with Potential for Higher Pollutant Loads**  
Table 7-5 of the 2004 Stormwater Quality Manual

<u>Land Use/Activities</u>
<ul style="list-style-type: none"><li>• Industrial facilities subject to the DEEP Industrial Stormwater General Permit or the U.S. EPA National Pollution Discharge Elimination System (NPDES) Stormwater Permit Program</li><li>• Vehicle salvage yards and recycling facilities</li><li>• Vehicle fueling facilities (gas stations and other facilities with on-site vehicle fueling)</li><li>• Vehicle service, maintenance, and equipment cleaning facilities</li><li>• Fleet storage areas (cars, buses, trucks, public works)</li><li>• Commercial parking lots with high intensity use (shopping malls, fast food restaurants, convenience stores, supermarkets, etc.)</li><li>• Public works storage areas</li><li>• Road salt storage facilities (if exposed to rainfall)</li><li>• Commercial nurseries</li><li>• Flat metal rooftops of industrial facilities</li><li>• Facilities with outdoor storage and loading/unloading of hazardous substances or materials, regardless of the primary land use of the facility or development</li><li>• Facilities subject to chemical inventory reporting under Section 312 of the Superfund Amendments and Reauthorization Act of 1986 (SARA), if materials or containers are exposed to rainfall</li><li>• Marinas (service and maintenance)</li><li>• Other land uses and activities as designated by the review authority</li></ul>

For further information regarding the design of stormwater collection systems in Aquifer Protection Areas, contact the Aquifer Protection Area Program at (860) 424-3020 or visit [www.ct.gov/deep/aquiferprotection](http://www.ct.gov/deep/aquiferprotection).



## General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities

### APPENDIX D

#### Coastal Management Act Determination Form

For sites within the Coastal Boundary, please attach this form and written approval from the local governing authority (or verification of exemption) to the Registration Form for the Discharge of Stormwater and Dewatering Wastewaters From Construction Activities.

#### SITE INFORMATION

Future Permittee \_\_\_\_\_  
Mailing Address \_\_\_\_\_  
Business Phone \_\_\_\_\_ ext.: \_\_\_\_\_ Fax: \_\_\_\_\_  
Contact Person \_\_\_\_\_ Title: \_\_\_\_\_  
Site Name \_\_\_\_\_  
Site Address/ Location \_\_\_\_\_  
Site Latitude and Longitude \_\_\_\_\_  
Receiving Water (name, basin) \_\_\_\_\_  
Project Description \_\_\_\_\_

#### STATEMENT OF REVIEW:

The above referenced project is consistent with the goals and policies in section 22a-92 of the Connecticut General Statutes and will not cause adverse impacts to coastal resources as defined in section 22a-93(15) of the Connecticut General Statutes.

Date of Coastal Site Plan Approval: \_\_\_\_\_

- Copy of written approval attached, or
- Verification of exemption attached

## APPENDIX E

**Memorandum of Agreement  
Between  
The Connecticut Department of Energy & Environmental Protection  
and the  
the Five Conservation Districts of Connecticut  
for  
Technical Assistance for Locally Approvable Stormwater Construction General Permits**

**WHEREAS**, the Commissioner of the Department of Energy and Environmental Protection (“Department” or “DEEP”) is authorized by section 22a-6(a)(2)(3) and (4) of the Connecticut General Statutes (“CGS”) to enter into this Agreement; and

**WHEREAS**, the five Conservation Districts of Connecticut (collectively, the “Districts”), are not-for-profit corporations duly authorized, organized and existing under the laws of the State of Connecticut and are authorized by section 22a-315 of the CGS and section 22a-315-14 of the Regulations of Connecticut State Agencies to enter into this Agreement; and

**WHEREAS**, section 22a-430b of the Connecticut General Statutes authorizes the Department to regulate stormwater discharges from construction activities under the General Permit for the Discharge of Stormwater and Dewatering Wastewaters Associated with Construction Activities (“the Construction General Permit” or “CGP”), which has been or shall be issued on October 1, 2019. The Construction General Permit requires the implementation of erosion and sediment controls to control the discharge of sediment from construction and post-construction discharges; and

**WHEREAS**, Construction General Permits require the preparation and implementation of a Stormwater Pollution Control Plan (“Plan” or “SWPCP”) to prevent erosion and the discharge of sediment to the waters of the state; and

**WHEREAS**, pursuant to section 22a-315 of the CGS, soil and water conservation districts and boards were established to advise the Commissioner on matters of soil and water conservation and erosion and sediment control and to assist the Commissioner in implementing programs related to soil and water conservation and erosion and sediment control; and

**WHEREAS**, pursuant to section 22a-315 of the CGS, the soil and water conservation districts and boards may receive funds from private sources for services provided to promote soil and water conservation and to assist the Commissioner in the implementation of related programs; and

**WHEREAS**, section 22a-326 of the CGS declares the policy of the state “to strengthen and extend its erosion and sediment control activities and programs and to establish and implement, through the Council on Soil and Water Conservation, soil and water conservation districts, the municipalities and the Commissioner of Energy and Environmental Protection, a state-wide coordinated erosion and sediment control program which shall reduce the danger from storm water runoff, minimize nonpoint sediment pollution from land being developed and conserve and protect the land, water, air and other environmental resources of the state;” and

**WHEREAS**, the Districts have understanding and experience in reviewing erosion and sediment control plans because of their longstanding participation in the municipal approval process, as required by section 22a-329 of the CGS; and

**WHEREAS**, DEEP and the Districts are jointly dedicated to protecting the waters of the state by controlling the discharge of sediment and the pollution resulting from stormwater runoff.

**NOW, THEREFORE**, in consideration of the mutual covenants and conditions hereinafter stated, the Parties agree as follows:

### **Special Conditions**

#### **I. REQUIREMENTS FOR TECHNICAL ASSISTANCE BY DISTRICTS**

- A. SWPCP technical assistance shall be conducted by a District representative having one or more of the following minimum qualifications: (i) a bachelor's degree in hydrology, engineering (agricultural, civil, environmental, or chemical), landscape architecture, geology, soil science, environmental science, natural resources management, or a related field and two years of professional and field experience, or (ii) the EnviroCert International, Inc. designation as a Certified Professional in Erosion and Sediment Control (CPESC), Certified Erosion, Sediment and Stormwater Inspector (CESSWI), or a Certified Professional in Stormwater Quality (CPSWQ).
- B. All technical assistance on SWPCPs undertaken by a District shall be conducted in accordance with the guidelines and procedures established by DEEP in consultation with the Districts, as further described below.

#### **II. LOCALLY APPROVABLE PROJECTS**

For locally approvable projects, as defined in the Construction General Permit, with five (5) or more acres of soil disturbance, the appropriate District (as indicated in Exhibit 2 of this agreement) shall review Stormwater Pollution Control Plans submitted to the District in accordance with Section 3(b)(10) of the CGP, shall determine whether each such SWPCP is consistent with the requirements of the CGP, and shall advise the Commissioner in writing of its determination regarding the SWPCP's consistency. The appropriate District may request assistance from another District or re-assign a project to another District upon mutual consent of the Districts. The Commissioner will be notified in writing of any re-assignment.

##### **A. Components of the SWPCP Review by the Districts**

###### **1. Requirements for Conducting a Review:**

The District shall begin a SWPCP review upon the receipt of the all of following: the developer's request for review, two hard copies and a digital copy of the proposed SWPCP, a non-refundable down payment from the permittee as per the Fee Schedule in Exhibit 1 and the written permission of the developer to enter onto and inspect the project site. Once the District is in receipt of all the documents and the fee as delineated above, the developer's SWPCP shall be considered submitted to the District.

###### **2. Determinations of Consistency by the District after Review of the SWPCP and Subsequent Procedures**

###### **(a) If the District determines the developer's SWPCP is:**

(i) Consistent with the requirements of the Construction General Permit, the District shall issue an affirmative determination notice to both the developer or such developer's designee and to DEEP in order to advise them of the adequacy of the SWPCP. The District shall also provide a copy of the SWPCP to DEEP if requested by the Commissioner.

(ii) Not consistent with the requirements of the Construction General Permit, the District shall provide a written notice of such inconsistency to the developer or such developer's designee; such notice shall include a list of the SWPCP's deficiencies and any appropriate explanatory comments.

- (b) If the developer's SWPCP is found to be inconsistent with the CGP, the developer may revise the SWPCP (the "Revised SWPCP") to address any deficiencies noted by the District and resubmit its Revised SWPCP to the District for review.
- (c) If the District receives a Revised SWPCP in accordance with subsection (b) above, the District shall perform a review of the Revised SWPCP. If the Revised SWPCP is deemed:
  - (i) Consistent with the requirements of the Construction General Permit, the District shall (1) issue an affirmative determination notice to both the project developer or such project developer's designee and to DEEP to advise them of the adequacy of the SWPCP and (2) provide a copy of the SWPCP to the DEEP if requested by the Commissioner; or
  - (ii) Not consistent with the requirements of the CGP after this review, the District shall provide a written notice of such inconsistency to the developer or such developer's designee. This notice shall include a list of all remaining SWPCP deficiencies and any explanatory comments as appropriate.
- (d) In the event the District determines after review of the Revised SWPCP in accordance with subsection (c), above, that the Revised SWPCP remains inconsistent with the requirements of the Construction General Permit, the developer shall continue to resubmit a Revised SWPCP in accordance with subsection (c), above, until such time as the District determines that the SWPCP is consistent with the requirements of the Construction General Permit and issues an affirmative determination notice. As such, the resubmitted Revised SWPCP shall be reviewed by the District in accordance with the timeframes set forth in Section II.B., and other applicable sections of this document, and the fee shall remain in accordance with the Fee Schedule in Exhibit 1.
- (e) Revisions to a SWPCP subsequent to the District's prior approval of developer's SWPCP

In the event the developer revises a SWPCP after the District has determined that the developer's SWPCP, prior to this revision, was consistent with the requirements of the Construction General Permit, the SWPCP shall be considered a Post-Approval Resubmission. In such a case, the District shall review the SWPCP in accordance with the timeframes set forth in Section II.B., and other applicable sections of this document, and the fee shall be in accordance with the Fee Schedule in Exhibit 1.

## **B. Plan Review Timeframes**

1. The District shall review a new submission of a SWPCP submitted by a developer or such developer's designee and provide review comments or issue an affirmative determination notice within thirty (30) calendar days of the date of a complete submission as specified in Section II.A.1.
2. If the District identifies deficiencies in the SWPCP, the District shall allow the developer or such developer's designee the opportunity to revise their SWPCP and resubmit it to the District within fifteen (15) calendar days after the date of mailing or delivery of the District's written comments to the developer or such developer's designee.
3. The District shall review any SWPCP revised in accordance with subsection II.B.2., above, and provide a written determination of the SWPCP's consistency or inconsistency within fifteen (15) calendar days after the submission of the revised SWPCP. Subsequent resubmissions of a revised SWPCP shall be in accordance with the same timeframes.
4. At the request of the District or the developer and with the agreement of both the District and the developer, the deadlines stated in subsections 1. – 3., above, may be extended. However, any such

extensions shall be limited to no more than double the original amount of time allowed above for the relevant action.

5. Expedited review of a SWPCP may be requested by a developer. However, the Districts shall have complete discretion to accept or decline such request for an expedited review based on the District's circumstances, including, but not limited to: their existing workload, vacation schedules and staffing. If a District grants an expedited review, the timeframe shall be reduced to no more than one third of the timeframes noted in subsection 1. – 3., above, and the fee and non-refundable down payment shall be twice those amounts listed in Exhibit 1.
6. In the event a District does not complete the review of the SWPCP within sixty (60) days (or within the time allowed under any resubmissions or authorized extension pursuant to subsections B.3 and B.4, above, but in no circumstance later than 120 days) of the date the SWPCP was initially submitted to the District, and provided such delay is not the result of the developer's or such developer's designee's failure to address SWPCP deficiencies as noted in subsection B.2, above, the District shall:
  - (a) not later than three (3) days after the District's deadline, notify the DEEP that the developer shall be initiating the registration process for the Construction General Permit in accordance with section II.B of this Agreement, for completion of the SWPCP review, and;
  - (b) provide to the DEEP, upon request, the District's complete file, including supporting documentation the developer's SWPCP consistency determination, including, but not limited to, the SWPCP, any other documentation submitted to the District by or on behalf of a developer, and any analysis already performed by the District; and
  - (c) not later than seven (7) days after the District's deadline, in accordance with section II.B of this Agreement, for completion of the SWPCP review, transfer to the DEEP all fees that were originally submitted by the developer.

#### **C. Inspections of the Project Site**

1. Prior to the commencement of project construction and during the course of the SWPCP review process, the District shall conduct at least one inspection of the project site.
2. Once the construction of the project has begun, the District shall make at least one, but not more than three, inspection(s) of the project site to verify that the developer's SWPCP is being implemented as approved by the District. The District shall report the results of the inspection(s) to the developer or such developer's designee and to DEEP in a manner prescribed by the Commissioner.
3. Upon notification from the developer or developer's designee, in accordance with Section 6(a)(1) of the CGP, that construction of the stormwater collection and management system is complete, the District shall conduct one inspection of the project site to verify that the post-construction stormwater management measures were completed in accordance with the approved SWPCP. The District shall report the results of this inspection to DEEP in a manner prescribed by the Commissioner.

#### **D. Audits**

The District agrees that all records pertaining to this Agreement shall be maintained for a period of not less than five (5) years. Such records shall be made available to the DEEP and to the state auditors upon request. For the purposes of this Agreement, "Records" are all working papers and such information and materials as may have been accumulated by the District in performing the Agreement, including, but not limited to, documents, data, analysis, plans, books, computations, drawings, specifications, notes, reports, records, estimates, summaries and correspondence, kept or stored in any form.

### **III. FEE SCHEDULE.**

- A.** A District may assess fees for the services it renders in conjunction with its SWPCP reviews. Such fees shall be assessed in accordance with the Fee Schedule in Exhibit 1. All fees shall be submitted by the developer to the District with the developer's request for review and inspections. These fees are non-refundable.
- B.** The Fee Schedule shall be reviewed annually by the Parties. The Fee Schedule may be adjusted as warranted, without a formal amendment to this Agreement, by mutual agreement between the Districts and the Commissioner.

### **IV. RESPONSIBILITIES OF DEEP.**

- A.** In accordance with the Construction General Permit requirements for SWPCP reviews of locally approvable projects by a third party, DEEP shall conduct outreach to inform the development community that a District may review SWPCPs for consistency with the requirements of the Construction General Permit. DEEP shall also inform the development community that a registration form for authorization of a locally approvable project under the Construction General Permit may only be submitted to DEEP if: the District, or other third party in accordance with Section 3(b)(11) of the CGP, determines that the SWPCP is consistent with the requirements of the CGP, or in the event the time schedule is exceeded for a District review as described in section II.B.6, above.
- B.** In order to institute standard SWPCP review guidelines and procedures, DEEP shall coordinate with the Districts to prepare a SWPCP checklist. The standard review guidelines and procedures established shall be consistent with the requirements of the Construction General Permit, the 2002 CT Guidelines for Soil Erosion and Sediment Control, and the 2004 Stormwater Quality Manual, as respectively amended. The Commissioner shall have final approval of the review guidelines and procedures.
- C.** DEEP shall provide initial training regarding SWPCP requirements for District staff involved in SWPCP reviews. The frequency of subsequent training shall be determined by the Commissioner.
- D.** DEEP shall retain final decision making authority regarding the determination that a SWPCP is or is not consistent with the requirements of the Construction General Permit and shall oversee the permitting process for Construction General Permit coverage.
- E.** Once a SWPCP has been approved, DEEP shall oversee any subsequent compliance and/or enforcement matters related to a developer's adherence to the requirements of the Construction General Permit.
- F.** DEEP shall have the discretion to review any of the Districts' records pertaining to any aspect this Agreement.

### **V. POINTS OF CONTACT.**

The following shall be points of contact for this Agreement unless otherwise agreed to by all Parties, notwithstanding section VI. All notices, demands, requests, consents, approvals or other communications required or permitted to be given or which are given with respect to this Agreement (for the purpose of this section collectively called "Notices") shall be deemed to have been effected at such time as the notice is placed in the U.S. mail, first class and postage prepaid, return receipt requested, or, placed with a recognized, overnight express delivery service that provides for a return receipt. All such Notices shall be in writing and shall be addressed as follows:

**A. DEEP**

Director  
Water Permitting & Enforcement Division  
Bureau of Material Management & Compliance Assurance  
Department of Energy & Environmental Protection  
79 Elm St.  
Hartford, CT 06106  
Phone: 860-424-3018  
Fax: 860-424-4074

**B. Conservation District**

Board Chairperson  
Address & Phone of appropriate District:

Northwest Conservation District  
1185 New Litchfield Street  
Torrington, CT 06790  
Ph: 860-626-7222  
Fax: 860-626-7222  
Email: info@nwcd.org

Eastern Connecticut Conservation District  
238 West Town Street  
Norwich, CT 06360-2111  
Ph: 860-319-8806  
Email: Dan.Mullins@comcast.net

Connecticut River Coastal Conservation District, Inc.  
deKoven House Community Center  
27 Washington Street  
Middletown, CT 06457  
Ph: 860-346-3282  
Email: ctrivercoastal@conservect.org

Southwest Conservation District  
51 Mill Pond Road  
Hamden, CT 06514  
Ph: 203-287-8179 Fax: 203-288-5077  
Email: swcd43@sbcglobal.net

North Central Conservation District  
24 Hyde Avenue  
Vernon, CT 06066  
Ph: 860-875-3881  
Email: tollandc@snet.net

**General Conditions**

**VI. EXECUTIVE ORDERS AND ANTI-DISCRIMINATION.** This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which

are incorporated into and are made a part of the Contract as if they had been fully set forth in it. The Contract may also be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services and to Executive Order No. 49 of Governor Dannel P. Malloy, promulgated May 22, 2015, mandating disclosure of certain gifts to public employees and contributions to certain candidates for office. If Executive Order 14 and/or Executive Order 49 are applicable, they are deemed to be incorporated into and are made a part of the Contract as if they had been fully set forth in it. At the Contractor's request, the Client Agency or DAS shall provide a copy of these orders to the Contractor.

**VII. AMENDMENTS.** Either the DEEP or the Districts may recommend revisions to this Agreement as circumstances may warrant; however, any revisions must be upon mutual agreement of DEEP and all five Conservation Districts. Unless otherwise stated in this Agreement, formal written amendment is required for changes to any of the terms and conditions specifically stated in the Agreement, any prior amendments to the Agreement, and any other Agreement revisions determined material by the Department.

**VIII. SEVERABILITY.** The provisions of this Agreement are severable. If any part of it is found unenforceable, all other provisions shall remain fully valid and enforceable, unless the unenforceable provision is an essential element of the bargain.

**IX. SOVEREIGN IMMUNITY.** The Parties acknowledge and agree that nothing in the Agreement shall be construed as a modification, compromise or waiver by the State of any rights or defenses of any immunities provided by federal law or the laws of the State of Connecticut to the State or any of the State's, which they may have had, now have or shall have with respect to all matters arising out of the Agreement. To the extent that this section conflicts with any other section, this section shall govern.

**X. FORUM AND CHOICE OF LAW.** The Agreement shall be deemed to have been made in the City of Hartford, State of Connecticut. Both Parties agree that it is fair and reasonable for the validity and construction of the Agreement to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by federal law or the laws of the State of Connecticut do not bar an action against the State or the Districts, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Districts waive any objection which they may now have or shall have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

**XI. TERMINATION.** Notwithstanding any provisions in this Agreement, DEEP, through a duly authorized employee, may terminate the Agreement whenever the Agency makes a written determination that such Termination is in the best interests of the State. The Agency shall notify the Districts in writing sent by certified mail, return receipt requested, which notice shall specify the effective date of Termination and the extent to which the Districts must complete its Performance under the Agreement prior to such date; or (b) The Districts may terminate the Agreement for good cause. The Districts shall notify DEEP by written notice at least one hundred eighty (180) days prior to the effective date of termination. In order for the Districts to terminate this Agreement, (1) there must be a consensus between all five Conservation Districts that each District shall be terminating this Agreement with the DEEP; (2) such proof of consensus shall be submitted to the DEEP in the form of a letter signed by the duly authorized agent for each District by certified mail, return receipt requested, at least one hundred eighty (180) days prior to the Districts' intention to cancel or terminate. Upon the Termination of this Agreement by either Party, the Districts shall deliver to the Agency copies of all Records no later than thirty (30) days after the Termination of the Agreement, or fifteen (15) days after the Non-terminating Party receives a written request from the Terminating Party for the Records. The Districts shall deliver those Records that exist in electronic, magnetic or other intangible form in a non-proprietary format, such as, but not limited to, PDF, ASCII or .TXT. Upon receipt of a written notice of

Termination from the Agency, the Districts shall cease operations as the Agency directs in the notice, and take all actions that are necessary or appropriate, or that the Agency may reasonably direct, for the protection, and preservation of records. Except for any work which the Agency directs the Districts to Perform in the notice prior to the effective date of Termination, and except as otherwise provided in the notice, the Districts shall terminate or conclude all existing subcontracts and purchase orders and shall not enter into any further subcontracts, purchase orders or commitments. Upon Termination of the Agreement, all rights and obligations shall be null and void, so that no Party shall have any further rights or obligations to any other Party, except with respect to the sections which survive Termination. All representations, warranties, agreements and rights of the Parties under the Agreement shall survive such Termination to the extent not otherwise limited in the Agreement and without each one of them having to be specifically mentioned in the Agreement. Termination of the Agreement pursuant to this section shall not be deemed to be a breach of Agreement by the Agency.

**XII. DURATION OF AGREEMENT.** This Agreement shall be effective on July 1, 2019 or on the date of the last signature below, whichever is later, and shall continue in force unless canceled or terminated by either party in accordance with paragraph XI above.

**XIII. VOID AB INITIO.** Notwithstanding paragraphs XI and XII, the Agreement shall be void *ab initio* if the Construction General Permit is reissued, revoked or modified to eliminate the need for the Districts to review the SWPCP pursuant to such general permit's terms and conditions or if the Construction General Permit expires and is not reissued.

**XIV. INTERPRETATION.** The Agreement contains numerous references to statutes and regulations. For purposes of interpretation, conflict resolution and otherwise, the content of those statutes and regulations shall govern over the content of the reference in the Agreement to those statutes and regulations.

**XV. ENTIRETY OF AGREEMENT.** This Agreement is the entire agreement between the Parties with respect to its subject matter, and supersedes all prior agreements, proposals, offers, counteroffers and understandings of the Parties, whether written or oral. The Agreement has been entered into after full investigation, neither Party relying upon any statement or representation by the other unless such statement or representation is specifically embodied in the Agreement.

## **XVI. PROTECTION OF STATE CONFIDENTIAL INFORMATION.**

- A.** The Districts or District Parties, at their own expense, have a duty to and shall protect from a Confidential Information Breach any and all Confidential Information which they come to possess or control, wherever and however stored or maintained, in a commercially reasonable manner in accordance with current industry standards. Confidential Information is any information that a party claims to be exempt from the state Freedom of Information Act (Section 1-210 et seq of the Connecticut General Statutes, also called FOIA) as specified in that Act.
- B.** Each District or District Party shall develop, implement and maintain a comprehensive data-security program for the protection of Confidential Information. The safeguards contained in such program shall be consistent with and comply with the safeguards for protection of Confidential Information, and information of a similar character, as set forth in all applicable federal and state law and written policy of the Department or State concerning the confidentiality of Confidential Information. Such data-security program shall include, but not be limited to, the following:
  1. A security policy for employees related to the storage, access and transportation of data containing Confidential Information;
  2. Reasonable restrictions on access to records containing Confidential Information, including access to any locked storage where such records are kept;

3. A process for reviewing policies and security measures at least annually;
4. Creating secure access controls to Confidential Information, including but not limited to passwords; and
5. Encrypting of Confidential Information that is stored on laptops, portable devices or being transmitted electronically.

C. The District and District Parties shall notify the Department and the Connecticut Office of the Attorney General as soon as practical, but no later than twenty-four (24) hours, after they become aware of or suspect that any Confidential Information which Parties have come to possess or control has been subject to a Confidential Information Breach. If a Confidential Information Breach has occurred, the District shall, within three (3) business days after the notification, present a credit monitoring and protection plan to the Commissioner of Administrative Services, the Department and the Connecticut Office of the Attorney General, for review and approval. Such credit monitoring or protection plan shall be made available by the District at its own cost and expense to all individuals affected by the Confidential Information Breach. Such credit monitoring or protection plan shall include, but is not limited to, reimbursement for the cost of placing and lifting one (1) security freeze per credit file pursuant to Connecticut General Statutes §36a-701a. Such credit monitoring or protection plans shall be approved by the State in accordance with this Section and shall cover a length of time commensurate with the circumstances of the Confidential Information Breach. The District's costs and expenses for the credit monitoring and protection plan shall not be recoverable from the Department, any State of Connecticut entity or any affected individuals.

D. The District shall incorporate the requirements of this Section in all subAgreements requiring each District Party to safeguard Confidential Information in the same manner as provided for in this Section.

E. Nothing in this Section shall supersede in any manner the District's and/ or the District Parties' obligations pursuant to HIPAA or the provisions of this Agreement concerning the obligations of the District as a Business Associate of the Department.

**XVII. AMERICANS WITH DISABILITIES ACT.** The Districts shall be and remain in compliance with the Americans with Disabilities Act of 1990 ("Act"), to the extent applicable, during the term of the Agreement. The DEEP may cancel the Agreement if the District and District Parties fail to comply with the Act.

**XVIII. ADA PUBLICATION STATEMENT.** The following statement shall be incorporated into all publications prepared under the terms of this Agreement:

"The Department of Energy and Environmental Protection is an affirmative action/equal opportunity employer and service provider. In conformance with the Americans with Disabilities Act, DEEP makes every effort to provide equally effective services for persons with disabilities. Individuals with disabilities who need this information in an alternative format, to allow them to benefit and/or participate in the agency's programs and services, should call DEEP's Human Resources Office at (860) 424-3006, send a fax to (860) 424-3896, or email [DEEP.MedRecs@ct.gov](mailto:DEEP.MedRecs@ct.gov). Persons who are hearing impaired should call the State of Connecticut relay number 711."

When advertising any **public meetings** conducted under the terms of this Agreement, the above publications language should be used as well as the following statement:

**"Requests for accommodations must be made at least two weeks prior to the program date."**

All **videos** produced under the terms of this Agreement must be made available with closed captioning.

**XIX. PUBLICATION OF MATERIALS.** The District must obtain written approval from the State of Connecticut prior to distribution or publication of any printed material prepared under the terms of this Agreement. Unless specifically authorized in writing by the State, on a case by case basis, the District shall have no right to use, and shall not use, the name of the State of Connecticut, its officials, agencies, or employees or the seal of the State of Connecticut or its agencies: (1) in any advertising, publicity, promotion; or (2) to express or to imply any endorsement of District's products or services; or (3) to use the name of the State of Connecticut, its officials agencies, or employees or the seal of the State of Connecticut or its agencies in any other manner (whether or not similar to uses prohibited by (1) and (2) above), except only to manufacture and deliver in accordance with this Agreement such items as are hereby contracted for by the State. In no event may the Districts use the State Seal in any way without the express written consent of the Secretary of State.

**XX. CHANGES IN PRINCIPAL PROJECT STAFF.** Any changes in District staff qualified to review Plans must be requested in writing and approved in writing by the Commissioner at the Commissioner's sole discretion. In the event of any unapproved change in District staff, the Commissioner may, in the Commissioner's sole discretion, terminate this Agreement.

**XXI. FURTHER ASSURANCES.** The Parties shall provide such information, execute and deliver any instruments and documents and take such other actions as may be necessary or reasonably requested by the other Party which are not inconsistent with the provisions of this Agreement and which do not involve the vesting of rights or assumption of obligations other than those provided for in the Agreement, in order to give full effect to the Agreement and to carry out the intent of the Agreement.

**XXII. ASSIGNMENT.** The Districts shall not assign any of their rights or obligations under the Agreement, voluntarily or otherwise, in any manner without the prior written consent of the Agency. The Agency may void any purported assignment in violation of this section and declare the District in breach of this Agreement. Any termination by the Agency for a breach is without prejudice to the Agency's or the State's rights or possible Claims.

**XXIII. EXHIBITS.** All exhibits referred to in, and attached to, this Agreement are incorporated in this Agreement by such reference and shall be deemed to be a part of it as if they had been fully set forth in it.

**XXIV. FORCE MAJEUR.** Events that materially affect the cost of the Goods or Services or the time schedule within which to Perform and are outside the control of the party asserting that such an event has occurred, including, but not limited to, labor troubles unrelated to District(s), failure of or inadequate permanent power, unavoidable casualties, fire not caused by a District, extraordinary weather conditions, disasters, riots, acts of God, insurrection or war.

**XXV. INDEMNIFICATION.** The Districts shall indemnify, defend and hold harmless the State and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all (1) Claims arising, directly or indirectly, in connection with the Agreement, including the acts of commission or omission (collectively, the "Acts") of the District or District Parties; and (2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with Claims, Acts or the Agreement. The Districts obligations under this section to indemnify, defend and hold harmless against Claims includes Claims concerning confidentiality of any part of or all of the Districts' Records, any intellectual property rights, other proprietary rights of any person or entity, copyrighted or uncopied rights compositions, secret processes, patented or unpatented inventions, articles or appliances furnished or used in the Performance. The Districts shall not be responsible for indemnifying or holding the State harmless from any liability arising due to the negligence of the State or any other person or entity acting under the direct control or supervision of the State. The Districts shall reimburse the State for any and all damages to the real or personal property of the State caused by the Acts of the Districts or any District Parties. The State shall give the Districts reasonable notice of any such Claims. The Districts shall carry and maintain at all times during the term of the Agreement, and during the time that any

provisions survive the term of the Agreement, sufficient general liability insurance to satisfy its obligations under this Agreement. The Districts shall name the State as an additional insured on the policy and shall provide a copy of the policy to the Agency prior to the effective date of the Agreement. The Districts shall not begin Performance until the delivery of the policy to the Agency. The Agency shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that the Agency or the State is contributorily negligent. This section shall survive the Termination of the Agreement and shall not be limited by reason of any insurance coverage.

**XXVI. DISTRICT PARTIES.** A District's members, directors, officers, shareholders, partners, managers, principal officers, representatives, agents, servants, consultants, employees or any one of them or any other person or entity with whom the District is in privity of oral or written contract and the District intends for such other person or entity to Perform under the Agreement in any capacity

**XXVII. CAMPAIGN CONTRIBUTION RESTRICTION.** For all State contracts as defined in P.A. 07-1 having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Agreement expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice. See SEEC Form 11.

## Authorizing Signatures

For DEEP: \_\_\_\_\_

Katherine S. Dykes, Commissioner

Date

For Northwest Conservation District: \_\_\_\_\_

Signature

Date

\_\_\_\_\_  
Title

For Eastern Connecticut Conservation District: \_\_\_\_\_

Signature

Date

\_\_\_\_\_  
Title

For Connecticut River Coastal Conservation District, Inc.: \_\_\_\_\_

Signature

Date

\_\_\_\_\_  
Title

For Southwest Conservation District: \_\_\_\_\_

Signature

Date

\_\_\_\_\_  
Title

For North Central Conservation District: \_\_\_\_\_

Signature

Date

\_\_\_\_\_  
Title

Exhibit 1

Fee Schedule

The Districts will be paid \$120/hour for technical assistance work performed.

Non-refundable down payments required with submission:

\$2,500 for sites  $\leq$  20 acres

\$4,000 for sites  $>$  20 acres

DRAFT

Exhibit 2

**Conservation Districts of Connecticut  
Regional Delineations and Contact Information**

Northwest Conservation District  
1185 New Litchfield Street  
Torrington, CT 06790  
Ph: 860-626-7222  
Fax: 860-626-7222  
Email: [info@nwcd.org](mailto:info@nwcd.org)

Eastern Connecticut Conservation District  
238 West Town Street  
Norwich, CT 06360-2111  
Ph: 860-319-8806  
Email: [Dan.Mullins@comcast.net](mailto:Dan.Mullins@comcast.net)

Connecticut River Coastal Conservation District, Inc.  
deKoven House Community Center  
27 Washington Street  
Middletown, CT 06457  
Ph: 860-346-3282 Email: [ctrivercoastal@conservect.org](mailto:ctrivercoastal@conservect.org)

Southwest Conservation District  
51 Mill Pond Road  
Hamden, CT 06514  
Ph: 203-287-8179 Fax: 203-288-5077  
Email: [swcd43@sbcglobal.net](mailto:swcd43@sbcglobal.net)

North Central Conservation District  
24 Hyde Avenue  
Vernon, CT 06066  
Ph: 860-875-3881  
Email: [tollandc@snet.net](mailto:tollandc@snet.net)

<b>NORTHWEST</b>	<b>SOUTHWEST</b>	<b>NORTH CENTRAL</b>	<b>CT RIVER COASTAL</b>	<b>EASTERN</b>
Barkhamsted	Ansonia	Avon	Berlin	Andover
Bethel	Beacon Falls	Bloomfield	Chester	Ashford
Bethlehem	Bethany	Bolton	Clinton	Bozrah
Bridgewater	Branford	Bristol	Colchester	Brooklyn
Brookfield	Bridgeport	Burlington	Cromwell	Canterbury
Canaan	Cheshire	Canton	Deep River	Chaplin
Colebrook	Darien	Coventry	Durham	Columbia
Cornwall	Derby	East Granby	East Haddam	Eastford
Danbury	East Haven	East Hartford	East Hampton	East Lyme
Goshen	Easton	East Windsor	Essex	Franklin
Hartland	Fairfield	Ellington	Haddam	Griswold
Harwinton	Greenwich	Enfield	Hebron	Groton
Kent	Guilford	Farmington	Killingworth	Hampton
Litchfield	Hamden	Glastonbury	Lyme	Killingly
Morris	Meriden	Granby	Madison	Lebanon
New Fairfield	Middlebury	Hartford	Marlborough	Ledyard
New Hartford	Milford	Manchester	Middlefield	Lisbon
New Milford	Monroe	Plainville	Middletown	Mansfield
Newtown	Naugatuck	Simsbury	Newington	Montville
Norfolk	New Canaan	Somers	New Britain	New
North Canaan	New Haven	South Windsor	Old Lyme	London
Plymouth	North Branford	Stafford	Old Saybrook	North
Roxbury	North Haven	Suffield	Portland	Stonington
Salisbury	Norwalk	Tolland	Rocky Hill	Norwich
Sharon	Orange	Vernon	Salem	Plainfield
Sherman	Oxford	West Hartford	Westbrook	Pomfret
Southbury	Prospect	Wethersfield		Preston
Thomaston	Redding	Willington		Putnam
Torrington	Ridgefield	Windsor		Scotland
Warren	Seymour	Windsor Locks		Sprague
Washington	Shelton			Sterling
Watertown	Southington			Stonington
Winchester	Stamford			Thompson
Woodbury	Stratford			Union
	Trumbull			Voluntown
	Wallingford			Waterford
	Waterbury			Windham
	West Haven			Woodstock
	Weston			
	Westport			
	Wilton			
	Wolcott			
	Woodbridge			

### Exhibit 3

## CONSERVATION DISTRICT PLAN REVIEW CERTIFICATION

Registrations submitted to DEEP for which a Conservation District has performed the Plan review pursuant to Section 3(b)(10) of the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities shall include the following certification:

"I hereby certify that I am an employee of the [INSERT NAME OF DISTRICT] Conservation District and that I meet the qualifications to review Stormwater Pollution Control Plans as specified in the Memorandum of Agreement between the Connecticut Department of Energy & Environmental Protection and the Five Conservation Districts of Connecticut for Technical Assistance for Locally Approvable Construction General Permits. I am making this certification in connection with a registration under the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities, submitted to the commissioner by [INSERT NAME OF REGISTRANT] for an activity located at [INSERT ADDRESS OF PROJECT OR ACTIVITY]. I have personally examined and am familiar with the information that provides the basis for this certification, including but not limited to all information described in such general permit, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining such information, that the information upon which this certification is based is true, accurate and complete to the best of my knowledge and belief. I certify, based on my review of the requirements of such general permit and on the standard of care for such projects, that the Plan is in compliance with the requirements of the general permit. I understand that knowingly making any false statement in this certification may be punishable as a criminal offense, including the possibility of fine and imprisonment, under section 53a-157b of the Connecticut General Statutes and any other applicable law."

Registrations submitted to DEEP for which the District review was begun but could not be completed within the time limits specified in the Memorandum of Agreement shall include the following statement:

"I hereby certify that I am an employee of the [INSERT NAME OF DISTRICT] Conservation District and that I meet the qualifications to review Stormwater Pollution Control Plans as specified in the Memorandum of Agreement between the Connecticut Department of Energy & Environmental Protection and the Five Conservation Districts of Connecticut for Technical Assistance for Locally Approvable Construction General Permits. I am making this statement in connection with a registration under the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities, submitted to the commissioner by [INSERT NAME OF REGISTRANT] for an activity located at [INSERT ADDRESS OF PROJECT OR ACTIVITY]. I hereby state that the review of the Stormwater Pollution Control Plan (Plan) for such registration was not completed within the time frames specified in the Memorandum of Agreement. Consequently, I cannot certify that the Plan is in compliance with the requirements of the general permit."

## APPENDIX F

### Memorandum of Agreement Between The Connecticut Department of Energy & Environmental Protection and the Five Conservation Districts of Connecticut for Technical Assistance for Locally Exempt Stormwater Construction General Permits

WHEREAS, the Commissioner of the Department of Energy and Environmental Protection (“Department” or “DEEP”) is authorized by section 22a-6(a)(2)(3) and (4) of the Connecticut General Statutes (“CGS”) to enter into this Agreement; and

WHEREAS, the five Conservation Districts of Connecticut (collectively, the “Districts”), are not-for-profit corporations duly authorized, organized and existing under the laws of the State of Connecticut and are authorized by section 22a-315 of the CGS and section 22a-315-14 of the Regulations of Connecticut State Agencies to enter into this Agreement; and

WHEREAS, section 22a-430b of the Connecticut General Statutes authorizes the Department to regulate stormwater discharges from construction activities under the General Permit for the Discharge of Stormwater and Dewatering Wastewaters Associated with Construction Activities (“the Construction General Permit” or “CGP”), which has been or shall be issued on October 1, 2019. The Construction General Permit requires the implementation of erosion and sediment controls to control the discharge of sediment from construction and post-construction discharges; and

WHEREAS, the Construction General Permit requires the preparation and implementation of a Stormwater Pollution Control Plan (“Plan” or “SWPCP”) to prevent erosion and the discharge of sediment to the waters of the state; and

WHEREAS, pursuant to section 22a-315 of the CGS, soil and water conservation districts and boards were established to advise the Commissioner on matters of soil and water conservation and erosion and sediment control and to assist the Commissioner in implementing programs related to soil and water conservation and erosion and sediment control; and

WHEREAS, pursuant to section 22a-315 of the CGS, the soil and water conservation districts and boards may receive funds from private sources for services provided to promote soil and water conservation and to assist the Commissioner in the implementation of related programs; and

WHEREAS, section 22a-326 of the CGS declares the policy of the state “to strengthen and extend its erosion and sediment control activities and programs and to establish and implement, through the Council on Soil and Water Conservation, soil and water conservation districts, the municipalities and the Commissioner of Energy and Environmental Protection, a state-wide coordinated erosion and sediment control program which shall reduce the danger from storm water runoff, minimize nonpoint sediment

pollution from land being developed and conserve and protect the land, water, air and other environmental resources of the state;” and

WHEREAS, the Districts have understanding and experience in reviewing erosion and sediment control plans because of their longstanding participation in the municipal approval process, as required by section 22a-329 of the CGS; and

WHEREAS, DEEP and the Districts are jointly dedicated to protecting the waters of the state by controlling the discharge of sediment and the pollution resulting from stormwater runoff.

NOW, THEREFORE, in consideration of the mutual covenants and conditions hereinafter stated, the Parties agree as follows:

### **Special Conditions**

## **II. REQUIREMENTS FOR TECHNICAL ASSISTANCE BY DISTRICTS**

- A. SWPCP technical assistance shall be conducted by a District representative having one or more of the following minimum qualifications: (i) a bachelor’s degree in hydrology, engineering (agricultural, civil, environmental, or chemical), landscape architecture, geology, soil science, environmental science, natural resources management, or a related field and two years of professional and field experience, or (ii) the EnviroCert International, Inc. designation as a Certified Professional in Erosion and Sediment Control (CPESC), Certified Erosion, Sediment and Stormwater Inspector (CESSWI), or a Certified Professional in Stormwater Quality (CPSWQ).
- B. All technical assistance on SWPCPs undertaken by a District shall be conducted in accordance with the guidelines and procedures established by DEEP in consultation with the Districts, as further described below.

## **III. LOCALLY EXEMPT PROJECTS**

For locally exempt projects, as defined in the Construction General Permit, with five (5) or more acres of soil disturbance, the appropriate District (as specified in the CGP and as indicated in Exhibit 2 appended hereto) shall, upon request by DEEP, provide technical assistance to DEEP for ensuring implementation of the Stormwater Pollution Control Plan in compliance with the CGP.

### **A. SWPCP Compliance Technical Assistance**

1. The District shall be responsible for inspections to ensure that the SWPCP is properly implemented in accordance with the CGP by coordinating with the permittee (or designee) and conducting on-site inspections. Technical assistance will begin upon a written request from DEEP, the receipt of two copies of the approved SWPCP, and a down payment from the permittee as per the fee schedule in Exhibit 1. Once the District is in receipt of the documents and such down payment, the permittee’s SWPCP shall be considered submitted to the District and the District will begin the required review in accordance with this agreement and the CGP.

2. **Pre-construction Preparation:** The District will review the approved SWPCP and any other relevant site plans, conduct an on-site visit, and set a date for the pre-construction meeting.
3. **Pre-construction Meeting:** Before the start of any construction, including any clearing of vegetation or installation of erosion and sediment controls (E&S controls), the District will meet with the permittee (or designee), contractor(s) and the qualified professional engineer who designed the project (designing qualified professional engineer) to review E&S control plans for construction and post-construction stormwater controls. Such meeting will include review of the construction schedule/phasing plan, inspection schedule, exchange of contacts, and discussion of any potential problem areas. If construction begins prior to this meeting, the District shall notify DEEP of non-compliance with the CGP.
4. **Plan Implementation Inspection:** The permittee (or designee) will notify the District when the E&S controls are installed and coordinate with the designing qualified professional engineer to schedule the Plan Implementation Inspection. The District will conduct the initial on-site inspection accompanied by the permittee (or designee) and the designing qualified professional engineer. If it is determined that the controls are installed properly and are in compliance with the approved SWPCP, the District will issue a notice that construction may proceed according to the SWPCP phasing plan. If the controls are not installed properly, the District will provide written notification to the permittee (or designee) of any action needed to comply with the SWPCP. The District shall re-inspect the site upon notification by the permittee (or designee) that the site is ready for re-inspection and in accordance with the Plan Implementation Inspection requirements in the CGP. Once the controls are properly installed and are in compliance with the approved SWPCP, the District will issue a notice that construction may proceed according to the SWPCP phasing plan.
5. **Interim Inspections**
  - (a) As determined at the pre-construction meeting and according to the approved SWPCP or as otherwise directed by the Commissioner, interim inspections shall be conducted to verify compliance with the CGP and the SWPCP, including but not limited to, verification of site stabilization at the end of each construction phase and proper installation of controls prior to the beginning of the next phase of construction. Similar to the Plan Implementation Inspection, the permittee (or designee) will notify the District that an inspection is needed for either the closeout of one phase and/or the beginning of another.
  - (b) Random inspections shall be conducted at least every 6 weeks if needed between scheduled inspections.
  - (c) Additional inspections may be scheduled if E&S control objectives are not being met.
  - (d) A written report will be generated following each inspection noting site conditions and any action required to maintain proper E&S controls during construction. The report will note whether or not the site is in compliance with the SWPCP and the CGP.
6. **Post-Construction Inspection:** Once construction is completed the District will conduct a post-construction site inspection with the permittee (or designee), designing qualified professional engineer and contractor to verify that all post-construction stormwater measures

are installed properly in accordance with the CGP and the SWPCP. The District will conduct at least one follow-up site visit after the post-construction site inspection. Additional inspections may be needed if the site is not stable and remedial action is needed. Reports and required actions will follow the same protocol as outlined in II.A.5.(d), above.

7. Final Stabilization Inspection: A final site inspection with the District, contractor, and designing qualified professional engineer will be conducted to ensure the site has been fully stabilized and all post-construction stormwater Best Management Practices (BMPs) are in place and functioning. The final stabilization inspection shall not take place prior to the completion of one (1) full growing season (April – October) following a successful post-construction inspection. The District will notify DEEP to confirm the site has achieved final stabilization. Subsequent to such notification, the permittee shall submit a Notice of Termination in accordance with the CGP.

#### **B. Audits**

The District agrees that all records pertaining to this Agreement shall be maintained for a period of not less than five (5) years. Such records shall be made available to the DEEP and to the state auditors upon request. For the purposes of this Agreement, “Records” are all working papers and such information and materials as may have been accumulated by the District in performing the Agreement, including, but not limited to, documents, data, analysis, plans, books, computations, drawings, specifications, notes, inspection reports and records, estimates, summaries and correspondence, kept or stored in any form.

### **IV. FEE SCHEDULE**

- A. A District will assess fees for the services it renders in conjunction with its SWPCP technical assistance in accordance with the Fee Schedule provided in Exhibit 1 to this agreement. Fees will be calculated on an hourly basis and paid for by the permittee. A down payment will be required prior to the start of any assistance.
- B. The Fee Schedule shall be reviewed annually by the Parties. The Fee Schedule may be adjusted as warranted by mutual written agreement between the Districts and the Commissioner.

### **V. RESPONSIBILITIES OF DEEP**

- A. DEEP is responsible for formal review of all locally exempt SWPCPs submitted as part of the CGP and will require performance assurance (in accordance with the CGP) or similar financial mechanisms of the permittee to ensure payments will be made to Districts for technical assistance work.
- B. In order to institute standard SWPCP review guidelines and procedures, DEEP shall coordinate with the Districts to prepare a SWPCP checklist. The standard review guidelines and procedures established shall be consistent with the requirements of the Construction General Permit, the 2002 CT Guidelines for Soil Erosion and Sediment Control (as amended), and the 2004 Stormwater Quality Manual (as amended). The Commissioner shall have final approval of the review guidelines and procedures.

- C. DEEP shall provide initial training regarding SWPCP requirements for District staff involved in SWPCP technical assistance. The frequency of subsequent training shall be determined by the Commissioner.
- D. DEEP shall retain final decision making authority regarding the determination that a construction site is in compliance or not with the SWPCP requirements of the Construction General Permit and shall oversee the permitting process for Construction General Permit coverage.
- E. DEEP shall oversee any subsequent compliance and/or enforcement matters related to a permittee's adherence to the requirements of the Construction General Permit.
- F. DEEP shall have the discretion to review any of the Districts' records pertaining to any aspect this Agreement.

## **VI. POINTS OF CONTACT**

The following shall be points of contact for this Agreement unless otherwise agreed to by all Parties. All notices, demands, requests, consents, approvals or other communications required or permitted to be given or which are given with respect to this Agreement (for the purpose of this section collectively called "Notices") shall be deemed to have been effected at such time as the notice is emailed, or placed in the U.S. mail, first class and postage pre-paid, return receipt requested, or placed with a recognized overnight express delivery service that provides for a return receipt. All such Notices shall be in writing and shall be addressed as follows:

DEEP  
Director Water Permitting & Enforcement Division  
Bureau of Material Management & Compliance Assurance  
Department of Energy & Environmental Protection  
79 Elm St.  
Hartford, CT 06106  
Phone: 860-424-3018  
Fax: 860-424-4074

Conservation District Executive Director and/or Board Chairperson  
Address & Phone of appropriate District:

Northwest Conservation District  
1185 New Litchfield Street  
Torrington, CT 06790  
Ph: 860-626-7222  
Fax: 860-626-7222  
Email: [info@nwcd.org](mailto:info@nwcd.org)

Eastern Connecticut Conservation District  
238 West Town Street  
Norwich, CT 06360-2111  
Ph: 860-319-8806  
Email: [Dan.Mullins@comcast.net](mailto:Dan.Mullins@comcast.net)

Connecticut River Coastal Conservation District, Inc.  
deKoven House Community Center  
27 Washington Street  
Middletown, CT 06457  
Ph: 860-346-3282  
Email: [ctrivercoastal@conservect.org](mailto:ctrivercoastal@conservect.org)

Southwest Conservation District  
51 Mill Pond Road  
Hamden, CT 06514  
Ph: 203-287-8179      Fax: 203-288-5077  
Email: [swcd43@sbcglobal.net](mailto:swcd43@sbcglobal.net)

North Central Conservation District  
24 Hyde Avenue  
Vernon, CT 06066  
Ph: 860-875-3881  
Email: [tollandc@snet.net](mailto:tollandc@snet.net)

## **General Conditions**

### **VII. EXECUTIVE ORDERS AND ANTI-DISCRIMINATION.**

Executive Orders. . This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the Contract as if they had been fully set forth in it. The Contract may also be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services and to Executive Order No. 49 of Governor Dannel P. Malloy, promulgated May 22, 2015, mandating disclosure of certain gifts to public employees and contributions to certain candidates for office. If Executive Order 14 and/or Executive Order 49 are applicable, they are deemed to be incorporated into and are made a part of the Contract as if they had been fully set forth in it. At the Contractor's request, the Client Agency or DAS shall provide a copy of these orders to the Contractor.

### **VIII. AMENDMENTS.** Either the DEEP or the Districts may recommend revisions to this Agreement as circumstances may warrant; however, any revisions must be upon mutual agreement of DEEP and all five Conservation Districts. Unless otherwise stated in this Agreement, formal written amendment is required for changes to any of the terms and conditions specifically stated in the Agreement, any prior amendments to the Agreement, and any other Agreement revisions determined

material by the Department.

**IX. SEVERABILITY.** The provisions of this Agreement are severable. If any part of it is found unenforceable, all other provisions shall remain fully valid and enforceable, unless the unenforceable provision is an essential element of the bargain.

**X. SOVEREIGN IMMUNITY.** The Parties acknowledge and agree that nothing in the Agreement shall be construed as a modification, compromise or waiver by the State of Connecticut (“State”) of any rights or defenses of any immunities provided by federal law or the laws of the State of Connecticut to the State or any of the State’s, which they may have had, now have or shall have with respect to all matters arising out of the Agreement. To the extent that this section conflicts with any other section, this section shall govern.

**XI. FORUM AND CHOICE OF LAW.** The Agreement shall be deemed to have been made in the City of Hartford, State of Connecticut. Both Parties agree that it is fair and reasonable for the validity and construction of the Agreement to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by federal law or the laws of the State of Connecticut do not bar an action against the State or the Districts, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Districts waive any objection which they may now have or shall have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

**XII. TERMINATION.** Notwithstanding any provisions in this Agreement, DEEP, through a duly authorized employee, may terminate the Agreement whenever the Department makes a written determination that such Termination is in the best interests of the State. The Department shall notify the Districts in writing sent by certified mail, return receipt requested, which notice shall specify the effective date of Termination and the extent to which the Districts must complete its Performance under the Agreement prior to such date; or (b) The Districts may terminate the Agreement for good cause. The Districts shall notify DEEP by written notice at least one hundred eighty (180) days prior to the effective date of termination. In order for the Districts to terminate this Agreement, (1) there must be a consensus between all five Conservation Districts that each District shall be terminating this Agreement with the DEEP; (2) such proof of consensus shall be submitted to the DEEP in the form of a letter signed by the duly authorized agent for each District by certified mail, return receipt requested, at least one hundred eighty (180) days prior to the Districts’ intention to cancel or terminate. Upon the Termination of this Agreement by either Party, the Districts shall deliver to the Department copies of all Records no later than thirty (30) days after the Termination of the Agreement, or fifteen (15) days after the Non-terminating Party receives a written request from the Terminating Party for the Records. The Districts shall deliver those Records that exist in electronic, magnetic or other intangible form in a non-proprietary format, such as, but not limited to, PDF, ASCII or .TXT. Upon receipt of a written notice of Termination from the Department, the Districts shall cease operations as the Department directs in the notice, and take all actions that are necessary or appropriate, or that the Department may reasonably direct, for the protection, and preservation of records. Except for any work which the Department directs the Districts to Perform in the notice prior to the effective date of Termination, and except as otherwise provided in the notice, the

Districts shall terminate or conclude all existing subcontracts and purchase orders and shall not enter into any further subcontracts, purchase orders or commitments. Upon Termination of the Agreement, all rights and obligations shall be null and void, so that no Party shall have any further rights or obligations to any other Party, except with respect to the sections which survive Termination. All representations, warranties, agreements and rights of the Parties under the Agreement shall survive such Termination to the extent not otherwise limited in the Agreement and without each one of them having to be specifically mentioned in the Agreement. Termination of the Agreement pursuant to this section shall not be deemed to be a breach of Agreement by the Department.

**XIII. DURATION OF AGREEMENT.** This Agreement shall be effective on January 1, 2019 or on the date of the last signature below, whichever is later, and shall continue in force unless canceled or terminated by either party in accordance with paragraph X above.

**XIV. VOID AB INITIO.** Notwithstanding paragraphs X and XI, the Agreement shall be void ab initio if, in the Commissioner's sole discretion, the Construction General Permit is reissued, revoked or modified to eliminate the need for the Districts to review the SWPCP pursuant to such general permit's terms and conditions or if the Construction General Permit expires and is not reissued.

**XV. INTERPRETATION.** The Agreement contains numerous references to statutes and regulations. For purposes of interpretation, conflict resolution and otherwise, the content of those statutes and regulations shall govern over the content of the reference in the Agreement to those statutes and regulations.

**XVI. ENTIRETY OF AGREEMENT.** This Agreement is the entire agreement between the Parties with respect to its subject matter, and supersedes all prior agreements, proposals, offers, counteroffers and understandings of the Parties, whether written or oral. The Agreement has been entered into after full investigation, neither Party relying upon any statement or representation by the other unless such statement or representation is specifically embodied in the Agreement.

**XVII. PROTECTION OF STATE CONFIDENTIAL INFORMATION**

- A. The Districts or District Parties, at their own expense, have a duty to and shall protect from a Confidential Information Breach any and all Confidential Information which they come to possess or control, wherever and however stored or maintained, in a commercially reasonable manner in accordance with current industry standards.
- B. Each District or District Party shall develop, implement and maintain a comprehensive data-security program for the protection of Confidential Information. The safeguards contained in such program shall be consistent with and comply with the safeguards for protection of Confidential Information, and information of a similar character, as set forth in all applicable federal and state law and written policy of the Department or State concerning the confidentiality of Confidential Information. Such data-security program shall include, but not be limited to, the following:
  1. A security policy for employees related to the storage, access and transportation of data containing Confidential Information;

2. Reasonable restrictions on access to records containing Confidential Information, including access to any locked storage where such records are kept;
3. A process for reviewing policies and security measures at least annually;
4. Creating secure access controls to Confidential Information, including but not limited to passwords; and
5. Encrypting of Confidential Information that is stored on laptops, portable devices or being transmitted electronically.

C. The District and District Parties shall notify the Department and the Connecticut Office of the Attorney General as soon as practical, but no later than twenty-four (24) hours, after they become aware of or suspect that any Confidential Information which Parties have come to possess or control has been subject to a Confidential Information Breach. If a Confidential Information Breach has occurred, the District shall, within three (3) business days after the notification, present a credit monitoring and protection plan to the Commissioner of Administrative Services, the Department and the Connecticut Office of the Attorney General, for review and approval. Such credit monitoring or protection plan shall be made available by the District at its own cost and expense to all individuals affected by the Confidential Information Breach. Such credit monitoring or protection plan shall include, but is not limited to, reimbursement for the cost of placing and lifting one (1) security freeze per credit file pursuant to Connecticut General Statutes §36a-701a. Such credit monitoring or protection plans shall be approved by the State in accordance with this Section and shall cover a length of time commensurate with the circumstances of the Confidential Information Breach. The District's costs and expenses for the credit monitoring and protection plan shall not be recoverable from the Department, any State of Connecticut entity or any affected individuals.

D. The District shall incorporate the requirements of this Section in all subAgreements requiring each District Party to safeguard Confidential Information in the same manner as provided for in this Section.

E. Nothing in this Section shall supersede in any manner the District's and/ or the District Parties' obligations pursuant to HIPAA or the provisions of this Agreement concerning the obligations of the District as a Business Associate of the Department.

**XVIII. AMERICANS WITH DISABILITIES ACT.** The Districts shall be and remain in compliance with the Americans with Disabilities Act of 1990 ("Act"), to the extent applicable, during the term of the Agreement. The DEEP may cancel the Agreement if the District and District Parties fail to comply with the Act.

**XIX. ADA PUBLICATION STATEMENT.** The following statement shall be incorporated into all publications prepared under the terms of this Agreement:

"The Connecticut Department of Energy and Environmental Protection is an Affirmative Action/Equal Opportunity Employer that is committed to complying with the requirements of the Americans with Disabilities Act (ADA). Please contact us at (860) 418-5910 or [deep.accommodations@ct.gov](mailto:deep.accommodations@ct.gov) if you: have a disability and need a communication aid or service;

have limited proficiency in English and may need information in another language; or if you wish to file an ADA or Title VI discrimination complaint.”

When advertising any public meetings conducted under the terms of this Agreement, the above publications language should be used as well as the following statement:

“Requests for accommodations must be made at least two weeks prior to the program date.”

All videos produced under the terms of this Agreement must be made available with closed captioning.

**XX.** **PUBLICATION OF MATERIALS.** The District must obtain written approval from the State of Connecticut prior to distribution or publication of any printed material prepared under the terms of this Agreement. Unless specifically authorized in writing by the State, on a case by case basis, the District shall have no right to use, and shall not use, the name of the State of Connecticut, its officials, agencies, or employees or the seal of the State of Connecticut or its agencies: (1) in any advertising, publicity, promotion; or (2) to express or to imply any endorsement of District's products or services; or (3) to use the name of the State of Connecticut, its officials agencies, or employees or the seal of the State of Connecticut or its agencies in any other manner (whether or not similar to uses prohibited by (1) and (2) above), except only to manufacture and deliver in accordance with this Agreement such items as are hereby contracted for by the State. In no event may the Districts use the State Seal in any way without the express written consent of the Secretary of State.

**XXI.** **CHANGES IN PRINCIPAL PROJECT STAFF.** Any changes in the principal project staff must be requested in writing and approved in writing by the Commissioner at the Commissioner's sole discretion. In the event of any unapproved change in principal project staff, the Commissioner may, in the Commissioner's sole discretion, terminate this Agreement.

**XXII.** **FURTHER ASSURANCES.** The Parties shall provide such information, execute and deliver any instruments and documents and take such other actions as may be necessary or reasonably requested by the other Party which are not inconsistent with the provisions of this Agreement and which do not involve the vesting of rights or assumption of obligations other than those provided for in the Agreement, in order to give full effect to the Agreement and to carry out the intent of the Agreement.

**XXIII.** **ASSIGNMENT.** The Districts shall not assign any of their rights or obligations under the Agreement, voluntarily or otherwise, in any manner without the prior written consent of the Department. The Department may void any purported assignment in violation of this section and declare the District in breach of this Agreement. Any termination by the Department for a breach is without prejudice to the Agency's or the State's rights or possible Claims.

**XXIV.** **EXHIBITS.** All exhibits referred to in, and attached to, this Agreement are incorporated in this Agreement by such reference and shall be deemed to be a part of it as if they had been fully set forth in it.

**XXV.** **FORCE MAJEUR.** Events that materially affect the cost of the Goods or Services or the time schedule within which to Perform and are outside the control of the party asserting that such an event has occurred, including, but not limited to, labor troubles unrelated to District(s), failure of or inadequate permanent power, unavoidable casualties, fire not caused by a District, extraordinary

weather conditions, disasters, riots, acts of God, insurrection or war.

**XXVI. INDEMNIFICATION.** The Districts shall indemnify, defend and hold harmless the State and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all (1) Claims arising, directly or indirectly, in connection with the Agreement, including the acts of commission or omission (collectively, the "Acts") of the District or District Parties; and (2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with Claims, Acts or the Agreement. The Districts obligations under this section to indemnify, defend and hold harmless against Claims includes Claims concerning confidentiality of any part of or all of the Districts' Records, any intellectual property rights, other proprietary rights of any person or entity, copyrighted or uncopied rights compositions, secret processes, patented or unpatented inventions, articles or appliances furnished or used in the Performance. The Districts shall not be responsible for indemnifying or holding the State harmless from any liability arising due to the gross negligence of the State or any other person or entity acting under the direct control or supervision of the State. The Districts shall reimburse the State for any and all damages to the real or personal property of the State caused by the Acts of the Districts or any District Parties. The State shall give the Districts reasonable notice of any such Claims. The Districts shall carry and maintain at all times during the term of the Agreement, and during the time that any provisions survive the term of the Agreement, sufficient general liability insurance to satisfy its obligations under this Agreement. The Districts shall name the State as an additional insured on the policy and shall provide a copy of the policy to the Department prior to the effective date of the Agreement. The Districts shall not begin Performance until the delivery of the policy to the Department. The Department shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that the Department or the State is contributorily negligent. This section shall survive the Termination of the Agreement and shall not be limited by reason of any insurance coverage.

**XXVII. DISTRICT PARTIES.** A District's members, directors, officers, shareholders, partners, managers, principal officers, representatives, agents, servants, consultants, employees or any one of them or any other person or entity with whom the District is in privity of oral or written contract and the District intends for such other person or entity to Perform under the Agreement in any capacity

## Authorizing Signatures

For DEEP: \_\_\_\_\_  
Katherine S. Dykes, Commissioner \_\_\_\_\_ Date

For Northwest Conservation District:

Signature \_\_\_\_\_

Date \_\_\_\_\_

Title \_\_\_\_\_

For Eastern Connecticut Conservation District:

Signature \_\_\_\_\_

Date \_\_\_\_\_

Title \_\_\_\_\_

For Connecticut River Coastal Conservation District, Inc.:

Signature \_\_\_\_\_

Date \_\_\_\_\_

Title \_\_\_\_\_

For Southwest Conservation District:

Signature \_\_\_\_\_

Date \_\_\_\_\_

Title \_\_\_\_\_

For North Central Conservation District:

Signature \_\_\_\_\_

Date \_\_\_\_\_

Title \_\_\_\_\_

Exhibit 1

**Fee Schedule effective as of January 1, 2019**

The Districts will be paid \$120/hour for technical assistance work performed. Interim inspections must be estimated based on phasing and complexity of site.

Down payments:

\$2,500 for sites  $\leq$  20 acres

\$4,000 for sites  $>$  20 acres

DRAFT

## Exhibit 2

### Conservation Districts of Connecticut Regional Delineations and Contact Information

Northwest Conservation District  
1185 New Litchfield Street  
Torrington, CT 06790  
Ph: 860-626-7222  
Fax: 860-626-7222  
Email: [info@nwcd.org](mailto:info@nwcd.org)

Eastern Connecticut Conservation District  
238 West Town Street  
Norwich, CT 06360-2111  
Ph: 860-319-8806  
Email: [Dan.Mullins@comcast.net](mailto:Dan.Mullins@comcast.net)

Connecticut River Coastal Conservation District, Inc.  
deKoven House Community Center  
27 Washington Street  
Middletown, CT 06457  
Ph: 860-346-3282  
Email: [ctrivercoastal@conservect.org](mailto:ctrivercoastal@conservect.org)

Southwest Conservation District  
51 Mill Pond Road  
Hamden, CT 06514  
Ph: 203-287-8179      Fax: 203-288-5077  
Email: [swcd43@sbcglobal.net](mailto:swcd43@sbcglobal.net)

North Central Conservation District  
24 Hyde Avenue  
Vernon, CT 06066  
Ph: 860-875-3881  
Email: [tollandc@snet.net](mailto:tollandc@snet.net)

<b>NORTHWEST</b>	<b>SOUTHWEST</b>	<b>NORTH CENTRAL</b>	<b>CT RIVER COASTAL</b>	<b>EASTERN</b>
Barkhamsted	Ansonia	Avon	Berlin	Andover
Bethel	Beacon Falls	Bloomfield	Chester	Ashford
Bethlehem	Bethany	Bolton	Clinton	Bozrah
Bridgewater	Branford	Bristol	Colchester	Brooklyn
Brookfield	Bridgeport	Burlington	Cromwell	Canterbury
Canaan	Cheshire	Canton	Deep River	Chaplin
Colebrook	Darien	Coventry	Durham	Columbia
Cornwall	Derby	East Granby	East Haddam	Eastford
Danbury	East Haven	East Hartford	East Hampton	East Lyme
Goshen	Easton	East Windsor	Essex	Franklin
Hartland	Fairfield	Ellington	Haddam	Griswold
Harwinton	Greenwich	Enfield	Hebron	Groton
Kent	Guilford	Farmington	Killingworth	Hampton
Litchfield	Hamden	Glastonbury	Lyme	Killingly
Morris	Meriden	Granby	Madison	Lebanon
New Fairfield	Middlebury	Hartford	Marlborough	Ledyard
New Hartford	Milford	Manchester	Middlefield	Lisbon
New Milford	Monroe	Plainville	Middletown	Mansfield
Newtown	Naugatuck	Simsbury	Newington	Montville
Norfolk	New Canaan	Somers	New Britain	New
North Canaan	New Haven	South Windsor	Old Lyme	London
Plymouth	North Branford	Stafford	Old Saybrook	North
Roxbury	North Haven	Suffield	Portland	Stonington
Salisbury	Norwalk	Tolland	Rocky Hill	Norwich
Sharon	Orange	Vernon	Salem	Plainfield
Sherman	Oxford	West Hartford	Westbrook	Pomfret
Southbury	Prospect	Wethersfield		Preston
Thomaston	Redding	Willington		Putnam
Torrington	Ridgefield	Windsor		Scotland
Warren	Seymour	Windsor Locks		Sprague
Washington	Shelton			Sterling
Watertown	Southington			Stonington
Winchester	Stamford			Thompson
Woodbury	Stratford			Union
	Trumbull			Voluntown
	Wallingford			Waterford
	Waterbury			Windham
	West Haven			Woodstock
	Weston			
	Westport			
	Wilton			
	Wolcott			
	Woodbridge			



## General Permit for the Discharge of Stormwater and Dewatering Wastewaters Associated with Construction Activities

### APPENDIX G

#### Historic Preservation Review

Pursuant to Chapter 184a, Section 10-387 of the Connecticut General Statutes, the Department of Energy & Environmental Protection (DEEP) shall review, in consultation with the Connecticut Commission on Culture and Tourism, its policies and practices for consistency with the preservation and study of CT's archaeological and historical sites. Pursuant to this requirement, DEEP has outlined the following process for assessing the potential for and the presence of historic and/or archaeological resources at a proposed development site. DEEP advises a review for the resources identified below *be initiated up to one year* prior to registration for this permit (*or prior to property purchase if possible*) and in conjunction with the local project approval process. However, a review conducted for an Army Corps of Engineers Section 404 wetland permit would meet this requirement.

**Step 1:** Determine if the proposed site is within an area of significance by consulting the following resources:

1. CT Register of Historic Places found at the link below:  
<http://www.nationalregisterofhistoricplaces.com/CT/state.html#pickem>
2. The municipality of the proposed development site for its designations of local historic districts, including but not limited to, local Historic District and/or Property Statutes.

**Step 2:** Assess site characteristics to determine the presence of a potential archaeological site, sacred site, and/ or sacred object as described below:

Definitions:

1. "Archaeological site" means a location where there exists material evidence that is not less than fifty years old of the past life and culture of human beings in the state.
2. "Sacred site" or "sacred land" means any space, including an archaeological site, of ritual or traditional significance in the culture and religion of Native Americans that is listed or eligible for listing on the National Register of Historic Places (16 USC 470a, as amended) or the state register of historic places defined in section 10-410, including, but not limited to, marked and unmarked human burials, burial areas and cemeteries, monumental geological or natural features with sacred meaning or a meaning central to a group's oral traditions; sites of ceremonial structures, including sweat lodges; rock art sites, and sites of great historical significance to a tribe native to this state.
3. "Sacred object" means any archaeological artifact or other object associated with a sacred site.

Site Prescreening Criteria:

1. Does the proposed development site include lands within 300 feet of surface water features, such as streams, brooks, lakes, or marshes?

*If "yes", proceed to Criterion 2. If the answer to Criterion 1 is "no", then there is a low potential for prehistoric period archaeological resources - Proceed to Criterion 3.*

2. Does the area of anticipated construction or ground disturbance include soils classified by the Natural Resource Conservation Service as "Sandy Loam/ Loamy sand" or "Sandy Gravel Loam" not including "Fine Sandy Loam/ Loamy sand" with slopes less than or equal to 15%? (Soil mapping information is available for free from:

<http://websoilsurvey.nrcs.usda.gov/app/WebSoilSurvey.aspx>

*If the answer to Criterion 2 is no, then there is a low potential for prehistoric period archaeological resources - Proceed to Criterion 3. If yes, the project site may contain significant prehistoric period archaeological resources*

– assess all other criteria and proceed to Step 3.

3. Are there buildings or structures over 150 years in age with the project site?

*If no, proceed to Criterion 4. If yes, the project site may contain significant historic period archaeological resources – assess all other criteria and proceed to Step 3.*

4. Are there buildings or structures shown within or immediately adjacent to the project site on the 1850's Connecticut County maps?

Historic County maps are here:

Fairfield - <http://www.flickr.com/photos/uconnlibrariesmagic/3387034755/>

Hartford - <http://www.flickr.com/photos/uconnlibrariesmagic/3386955421/>

Litchfield - <http://www.flickr.com/photos/uconnlibrariesmagic/3387765290/>

Middlesex - <http://www.flickr.com/photos/uconnlibrariesmagic/3386956185/>

New Haven - <http://www.flickr.com/photos/uconnlibrariesmagic/3386956345/>

New London - <http://www.flickr.com/photos/uconnlibrariesmagic/3387766080/>

Tolland - <http://www.flickr.com/photos/uconnlibrariesmagic/3386957013/>

Windham - <http://www.flickr.com/photos/uconnlibrariesmagic/3387766950/>

To look for buildings and structures click on the appropriate county map link. From the “Actions” drop-down menu choose “View all sizes”. On the “Photo/All sizes” page, choose “Original” to view the county map at an enlarged scale.

*If no, there is a low potential for significant historic period archaeological resources. If yes, the site may contain significant historic period archaeological resources- assess all other criteria and proceed to Step 3.*

**Step 3:** If you answered yes to Criterion 2, 3, or 4, please contact the State Historic Preservation Office, Department of Economic and Community Development at (860)256-2761 for additional guidance.

**Step 4:** Report in the Registration Form for the General Permit for the Discharge of Stormwater and Dewatering Wastewaters Associated with Construction Activities that a review has been conducted and report the results of the review (i.e. the proposed site does not have the potential for historic/ archaeological resources, or that such potential exists and is being or has been reviewed by the Connecticut Commission on Culture and Tourism).

*Please note that DEEP will refer all proposed sites with a historic/ archaeological resource potential (as identified in Steps 1 & 2 above) to the State Historic Preservation Office at the Department of Economic and Community Development..*

## **Appendix H** **Wild & Scenic Rivers Guidance**

### **Overview: Wild and Scenic Rivers Act**

The Wild and Scenic Rivers Act (WSRA) charges administration of rivers in the National Wild and Scenic Rivers System (National System) to four federal land management agencies (Bureau of Land Management, National Park Service, U.S. Fish and Wildlife Service, and U.S. Forest Service). However, to protect and enhance river values as directed in the WSRA, it is essential to use the authorities of a number of other federal agencies in administering the water column, river bed/bank, and upland river corridor.

Congress declared a policy to protect selected rivers in the nation through the WSRA. The river-administering agencies are to protect the river's identified values, free-flowing condition, and associated water quality. Specifically, each component is to be "administered in such manner as to protect and enhance the (outstandingly remarkable) values (**ORVs**) which caused it to be included in said system. . . ."

The WSRA also directs other federal agencies to protect river values. It explicitly recognizes the Federal Energy Regulatory Commission, Environmental Protection Agency, Army Corps of Engineers and any other federal department or agency with lands on or adjacent to designated (or congressionally authorized study) rivers or that permit or assist in the construction of water resources projects.

### **Pertinent Sections of the Wild and Scenic Rivers Act**

The full Wild and Scenic Rivers Act can be found at the website: [www.rivers.gov](http://www.rivers.gov)

Pertinent Sections related to the mandate to protect river values through coordinated federal actions is found in several sections of the WSRA:

Section 1(b)  
Section 12(a)

Section 7(a)  
Section 12(c)

Section 10(a)

### **Designated Rivers under the Wild and Scenic Rivers Act and Contact Information**

The full listing of designated rivers can be found on the website [www.rivers.gov](http://www.rivers.gov)

As of the date of this publication, there are two designated rivers in Connecticut, both of which are managed under the Partnership Wild and Scenic Rivers Program, through a Coordinating Committee consisting of representatives from local communities and organizations, state government and the National Park Service. More information about these rivers, their watersheds, approved management plans, the Wild and Scenic Coordinating Committees and specific contact information can be found on the websites.

1. West Branch of the Farmington River: [www.farmingtonriver.org](http://www.farmingtonriver.org)
2. Eightmile River: [www.eightmileriver.org](http://www.eightmileriver.org)

## **APPENDIX I**

### **Stormwater Management at Solar Array Construction Projects**

Solar development has expanded over the last several years as Connecticut and other states have invested in this important resource to further greenhouse gas emission reductions and other renewable policy objectives. However, the large amount of impervious surface inherent in the construction of a large-scale solar array is unlike most other construction activities regulated under the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities (“general permit”) and entails challenges not encountered in traditional development projects. If not properly managed, stormwater discharged during and after the construction of solar arrays can be a significant source of pollution resulting from increased runoff, erosion, and sedimentation, which can adversely impact wetlands or other natural resources. It is vitally important to stabilize soil, minimize soil disturbance and soil compaction, and manage the total runoff volume and velocity. Proper stormwater management practices can significantly mitigate the loss of topsoil, erosion and sediment discharges from disturbed areas and stormwater outlets, and erosion along downstream channels and streambanks. The opportunities to properly manage runoff decrease as site imperviousness increases.

Therefore, in addition to the terms and conditions of the general permit, registrations for construction of a Solar Array (as that term is defined in Section 2 of the general permit) shall, at a minimum, adhere to the conditions listed below. Depending on site-specific conditions for a particular solar array construction project, additional analyses may be required.

#### **Design and construction requirements**

- (1) Roadways, gravel surfaces and transformer pads within the solar array are considered effective impervious cover for the purposes of calculating Water Quality Volume (WQV). In addition to these impervious surfaces, all solar panels in the array shall also be considered effective impervious cover for the purposes of calculating the WQV if the proposed post-construction slopes at a site are equal to or greater than 15% or if the post-construction slopes at a site are less than 15% and the conditions in (a) – (e), inclusive, below have not been met:
  - (a) The vegetated area receiving runoff between rows of solar panels (see Figures 1 and 2, below) is equal to or greater than the average width of the row of solar panels draining to the vegetated area;
  - (b) Overall site conditions and solar panel configuration within the array are designed and constructed such that the runoff remains as sheet flow across the entire site;
  - (c) The following conditions are satisfied regarding the design of the post-construction slope of the site:
    - For slopes less than or equal to 5%, appropriate vegetation shall be established as indicated in Figure 1, below; and
    - for slopes greater than 5%, but less than 10%, practices including, but not limited to, level spreaders, terraces or berms as described in Figure 2, below, shall be used to ensure long term sheet flow conditions; and
    - for sites with slopes greater than or equal to 8%, erosion control blankets or stump grindings or erosion control mix mulch or hydroseed with tackifier shall be applied within 72 hours of final grading, or when a rainfall of 0.5 inches or greater is predicted within 24 hours, whichever time period is less; and
    - for slopes equal to or greater than 10% and less than 15%, the Plan includes specific engineered stormwater control measures with detailed specifications that are designed to provide permanent stabilization and non-erosive conveyance of runoff to the property line of the site or downgradient from the site.

- (d) The solar panels shall be designed and constructed in such a manner as to allow the growth of vegetation beneath and between the panels.
- (e) A one-hundred (100) foot buffer shall be maintained between any part of the solar array and any of the following: "wetland" as defined in Conn. Gen. Stat. § 22a-29, "wetlands" as defined in Conn. Gen. Stat. § 22a-38, or "waters" as defined in Conn. Gen. Stat. § 22a-423, which shall include vernal or intermittent waters. The buffer shall consist of undisturbed existing vegetation or native shrub plantings.

(2) The lowest vertical clearance of the solar panels above the ground should not be greater than ten (10) feet. The panels shall, however, be at an adequate height to support vegetative growth and maintenance beneath and between the panels. If the lowest vertical clearance of the solar panels above the ground is greater than ten (10) feet, non-vegetative control measures will be required to prevent/control erosion and scour along the drip line or otherwise provide energy dissipation from water running off the panels.

(3) The registrant shall include staff from the appropriate District (see Appendix F) along with the design professional in a pre-construction meeting pursuant to Section 3(b)(15) of this general permit prior to commencement of any construction activity on the site. The date of such meeting and a report summarizing the meeting shall be included in the registrant's Plan.

(4) The registrant shall ensure that a qualified professional engineer serves as the qualified inspector for the purposes of the routine inspections in Section 5(b)(4) of this general permit. Unless otherwise approved in writing by the Commissioner, such qualified professional shall be retained for the duration of the construction project until the Notice of Termination has been submitted to the Commissioner and determined to be acceptable, as described below in paragraph (7) below. The registrant shall also ensure that the credentials for the qualified professional proposed by the registrant and the proposed inspection checklist prepared by such qualified professional are submitted for the review and approval of the Commissioner and are included with the registration for the general permit. No other professional may serve as the qualified professional without the prior submittal of relevant credentials and inspection checklist for the Commissioner's review and written approval.

(5) In addition to the requirements of this general permit regarding inspection checklists and inspection reports, the registrant shall ensure that a copy of all such checklists and reports are submitted electronically to the Department email (DEEP.stormwaterstaff@ct.gov) within three (3) days from the date an inspection of the site was performed.

(6) The permittee shall notify the appropriate District (see Appendix F of this general permit) when construction begins and when each phase of construction is complete. The District shall then conduct Plan Implementation, Interim, Post-Construction and Final Stabilization inspections in accordance with Appendix F and Section 6(a) of the general permit.

(7) The registrant shall ensure, after completion of a construction project, that a Notice of Termination is filed in compliance with Section 6 of this general permit, including the requirement that such Notice of Termination be signed by a District representative certifying that such District representative has personally conducted a Post-Construction Inspection and Final Stabilization Inspection in accordance with Section 6(a) of this general permit and verified compliance with the requirements of that section.

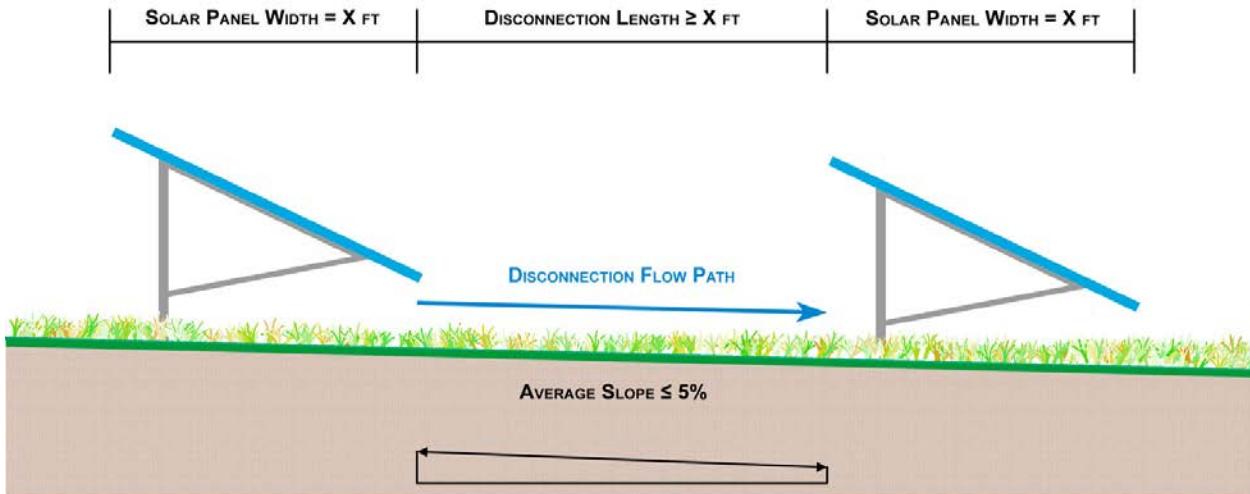
(8) Prior to undertaking construction activity, the registrant shall secure a letter of credit. The amount of the Letter of Credit shall be \$15,000.00 per acre of disturbance. The wording of such letter of credit must be identical to the wording specified in Appendix J of the general permit. The Permittee shall maintain such letter of credit in effect until the Commissioner notifies the permittee that the Notice of Termination, filed in compliance with Section 6 of the general permit has been accepted by the Commissioner.

**Design requirements for post-construction stormwater management measures.**

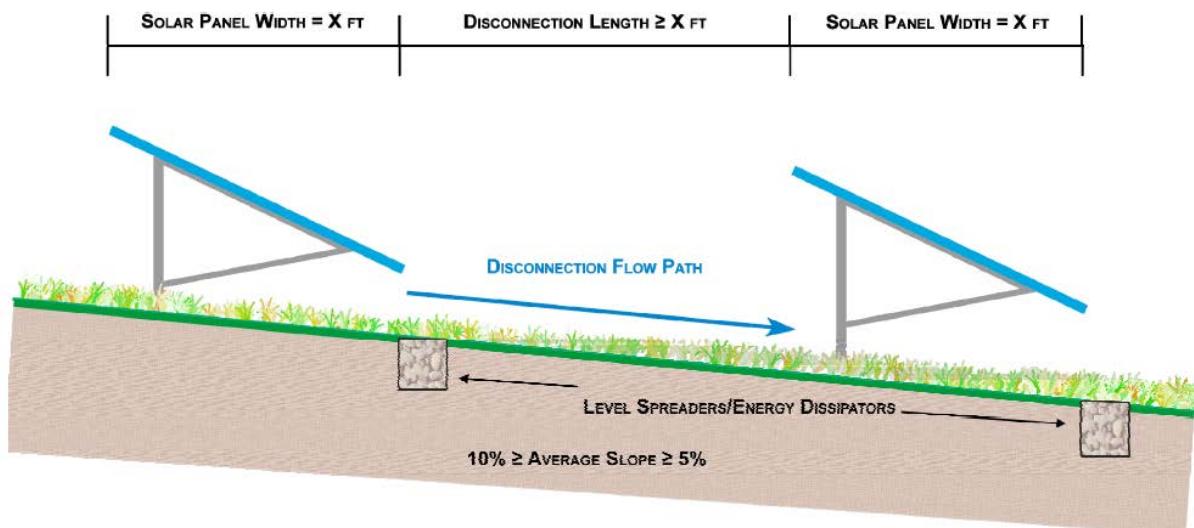
(1) Post-construction stormwater control measures shall be designed and constructed to provide permanent stabilization and non-erosive conveyance of runoff to the property line of the site or downgradient from the site.

- (2) Orientation of panels shall be considered with respect to drainage pattern, flow concentration, drainage area and velocity (i.e. rows perpendicular to the contours may result in higher runoff).
- (3) The permittee shall conduct a hydrologic analysis that:
  - (a) Evaluates 2, 25, 50 and 100-year storm post-construction stormwater flows; and
  - (b) Is based on site specific soil mapping to confirm soil types; and
  - (c) Is able to determine and confirm the infiltrative capacity of any stormwater management measures and, in addition, reflects a reduction of the Hydrologic Soil Group present on-site by one (1) step (e.g. soils of HSG B shall be considered HSG C) to account for the compaction of soils that results from extensive machinery traffic over the course of the construction of the array; and
  - (d) Is based on slope gradient, surveyed soil type (adjusted per subparagraph (c), above), infiltration rate, length of slope, occurrence of bedrock, and change in drainage patterns (see also page 23 at [https://www.ct.gov/dep/lib/dep/Permits\\_and\\_Licenses/Land\\_Use\\_Permits/Inland\\_Water\\_Permits/IWRD\\_inst.pdf](https://www.ct.gov/dep/lib/dep/Permits_and_Licenses/Land_Use_Permits/Inland_Water_Permits/IWRD_inst.pdf)); and
  - (e) For an engineered stormwater management system, demonstrates no net increase in peak flows, erosive velocities or volumes, or adverse impacts to downstream properties.

**Figure 1**  
Solar Panel Installation with Slopes  $\leq 5\%$



**Figure 2**  
Solar Panel Installation with Slopes  $> 5\%$  and  $\leq 10\%$



Source: Maryland Department of the Environment: Stormwater Design Guidance – Solar Panel Installations

**APPENDIX J**  
**CTDEEP Financial Assurance Irrevocable Letter of Credit**

**[NAME OF ISSUING BANK]**

**IRREVOCABLE STANDBY LETTER OF CREDIT NUMBER: [XXXX]**

**ISSUANCE DATE: [MONTH, DATE, YEAR]**

**TOTAL AMOUNT: U.S. \$[X,XXX.00]**

**BENEFICIARY:** Commissioner, Connecticut Department of Energy and Environmental Protection

**APPLICANT:** [APPLICANT NAME AND ADDRESS]

Commissioner  
Connecticut Department of Energy and Environmental Protection  
79 Elm Street  
Hartford, CT 06106-5127

Dear Sir or Madam:

We hereby establish our Irrevocable Standby Letter of Credit No. [XXXX] in your favor, at the request and for the account of the Applicant, [APPLICANT NAME AND ADDRESS], up to the aggregate total amount of [XXX] U.S. Dollars (\$[X,XXX].00). We hereby authorize the Commissioner of the Connecticut Department of Energy and Environmental Protection (“Commissioner”) to draw at sight on us, [NAME AND ADDRESS OF ISSUING BANK], an aggregate amount up to the total amount, available upon presentation of:

- (1) your sight draft, bearing reference to this Letter of Credit No. [XXXX], and
- (2) your signed, dated statement reading as follows: “I certify that the amount of the draft is payable because I have determined one or more of the following:
  - (a) one or more violations of the General Permit for the Discharge of Stormwater and Dewatering Wastewaters from Construction Activities issued by the Commissioner and which is currently in effect, and any other requirements or approvals applicable to the management of stormwater at or emanating from [ADDRESS OF SITE], have occurred, or
  - (b) stormwater at or emanating from the property located at [ADDRESS OF SITE] has become a potential source of pollution (as that term is defined in Conn. Gen. Stat. § 22a-423) which has not been remedied to my satisfaction within five (5) business days of receipt of a written notice from me that a pollution condition exists, or

(c) the Applicant no longer owns, leases or has an interest in the property, or owns or operates the solar array facility located at [ADDRESS OF SITE] or

(d) the issuing bank has notified me that it has decided not to extend this letter of credit beyond the current expiration date."

This letter of credit is effective as of [MONTH, DATE, YEAR] and shall expire on [MONTH, DATE, YEAR AT LEAST ONE YEAR LATER], but such expiration date shall be automatically extended for a period of one year and on each successive expiration date, unless, at least 120 days before the current expiration date, we notify both you and Applicant, [APPLICANT NAME], by certified mail or nationally recognized courier service that we have decided not to extend this letter of credit beyond the current expiration date. In the event you are so notified, any unused portion of this letter of credit shall be available upon presentation of your sight draft for 120 days after the date of receipt by you, as shown on the signed return receipts or evidence of courier delivery.

Multiple and partial draws on this letter of credit are expressly permitted, up to an aggregate amount not to exceed the total amount. Whenever this letter of credit is drawn on under and in compliance with the terms of this letter of credit, we shall duly honor such draft upon presentation to us, and we shall deposit the amount of the draft directly into a Connecticut Department of Energy and Environmental Protection dedicated account in accordance with your instructions.

All banking and other charges under this letter of credit are for the account of the Applicant.

This letter of credit is issued subject to the edition of the Uniform Customs and Practice for Documentary Credits, published and copyrighted by the International Chamber of Commerce, in effect on the date this Letter of Credit is issued.

By signing, the signatory below certifies, under penalty of law, that the issuing institution is an entity which has the authority to issue letters of credit and whose letter of credit operations are regulated and examined by a Federal or State agency.

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[SIGNATURE(S) OF OFFICIAL(S) OF ISSUING INSTITUTION]

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[TITLE(S) OF OFFICIAL(S) OF ISSUING INSTITUTION]

Date: [MONTH, DATE, YEAR]