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Affirmative Action/Equal Opportunity Employ

STATE OF CONNECTICUT

File #LIS-2018-4009-V

Date of Issuance: 4/15/2019

V

MAXINE VIGNEAULT

CONSENT ORDER

- A. The Commissioner of Energy & Environmental Protection ("the Commissioner") finds:
- Maxine Vigneault ("Respondent") is the owner of 28 Shorefront Park, Norwalk, CT ("the Property"). The Property is located adjacent to the Norwalk Harbor, a tidal, coastal and navigable water of the State and includes tidal wetlands as defined by section 22a-29 of the Connecticut General Statutes ("CGS").
- 2. On May 15, 2018, the Department issued Certificate of Permission (COP) #201801564 to Respondent to conduct work to the existing stone groin and modify floating docks at the Property referenced in paragraph A.1., above.
- 3. On or before September 6, 2018 without any other authorization and out of compliance with COP #201801564, Respondent: a) failed to post the required first page of the COP in a conspicuous space which was corrected on September 14, 2018; b) failed to flag the areas of tidal wetlands prior to the commencement of construction and allowing construction equipment to access the area required to be flagged, which was flagged on or before October 9, 2018; c) failed to submit 2 weeks prior to the commencement of work, the required "Work Commencement Form," which was submitted on October 9, 2018; d) stockpiled stone and earthen material along the beach on the southern side of the groin without any sedimentation and crosion control measures which was corrected as of September 14, 2018; e) replaced and modified rocks located around the entire perimeter of the existing stone groin; f) added approximately 46 cubic yards of new stone over an approximately 193 square foot area on the beginning of the southern side of the stone pier; and g) placed two large stones in tidal wetlands located along the northern side of

the stone pier waterward of the coastal jurisdiction line (CJL) and in tidal wetlands.

- The Property and the location of work described in paragraph A.3., of this Consent Order shall hereinafter be referred to as "the site."
- 5. In response to the unauthorized work, the Department issued Notice of Violation (NOV) #LIS-2018-4009 to the Respondent to submit a restoration plan to bring the site back into compliance with the issued COP and to continue to Stop Work at the site in accordance with FNOV #LIS-18-40350-FV issued in the field on September 6, 2018 until otherwise determined by the Department.
- 6. Respondent did not receive a certificate or permit from the Commissioner under sections 22a-361 and 22a-32 of the CGS for the work described in paragraph A.3.d. through A.3.g. of this Order at the site.
- 7. By virtue of the above, Respondent has violated sections 22a-361 and 22a-32 of the CGS.
- 8. By agreeing to this Consent Order, Respondent makes no admission of fact or law with respect to the matters addressed herein, other than the facts asserted in paragraphs A.1 and A.2., inclusive.
- B. With the agreement of the Respondent, the Commissioner, acting under CGS sections 22a-6 and 22a-361 and 22a-32, orders Respondent as follows:
- 1. Respondent may continue to complete the work authorized pursuant to Certificate of Permission (COP) #201801564. All work must be completed in accordance with the Terms and Conditions of that authorization.
- 2. Removal of Stone. Not later than April 30, 2019, unless otherwise authorized by the Commissioner in writing, Respondent shall remove to an upland location landward of the CJL the approximately 46 cubic yards of stone and 2 large stones as described in paragraphs A.3.f and A.3.g. of this Order, respectively. Respondent shall conduct the work during low water conditions and in accordance with the one page written proposal and site survey entitled "Improvement Location Survey Depicting Existing Condition," dated December 27, 2017 and revised to November 26, 2018, prepared by William W. Seymour & Associates, P.C. a copy of which is attached hereto as "Attachment A" identifying approximately 46 cubic yards of "New Rip Rap" and "Boulders To Be Removed."

3. COP Application Decision.

- a. No later than ninety (90) days after issuance of this Consent Order, Respondent shall submit a COP application to authorize the work completed to replace the stones located along the perimeter of the groin described in paragraph A.3.e., above. Such application shall include all information required on forms prescribed by the Commissioner, including a justification for the purpose and need for the modifications made to the stone groin and its consistency with all applicable state statutes and policies.
- b. In the event that the Commissioner's final determination on the COP application submitted pursuant to paragraph B.3.a., above, requires modification or removal of a portion of the groin, the Respondent shall, not later than 30 days following issuance of a final decision, submit a timetable to the Commissioner for his review and written approval for the modification or removal of the existing structure(s) in accordance with the terms and conditions of such final decision. Upon the Commissioner's written approval, the Respondent shall modify or remove such existing structure(s) in accordance with the approved timetable and final decision.
- 4. <u>No Additional Work</u>. Respondent shall not conduct any work waterward of the coastal jurisdiction line or in tidal wetlands at the site without prior written authorization of the Commissioner in accordance with CGS sections 22a-361 and 22a-32.
- Full compliance. Respondent shall not be considered in full compliance with this
 Consent Order until all actions required by this Consent Order have been completed as
 approved and to the satisfaction of the Commissioner.
- 6. <u>Penalty for past violations</u>. On or before 30 days after issuance of this Consent Order, the Respondent shall pay a civil penalty of Three Thousand Dollars (\$3,000) for the violations of CGS sections 22a-361 and 22a-32 described in paragraph A.3., above.
- 7. Payment of penalties. Payment of penalties under this Consent Order shall be mailed or personally delivered to the "Connecticut Department of Energy & Environmental Protection," Financial Management Office, 79 Elm Street, Hartford, CT 06106-5127, and shall be by certified or bank check payable to the Connecticut Department of Energy & Environmental Protection. The check shall state on its face, "Land and Water Resources Division, Consent Order No. LIS-2018-4009-V."
- 8. <u>Supplemental Environmental Project.</u> In addition to the civil penalty referenced in paragraph B.6, above, Respondent shall fund the following supplemental environmental project ("SEP") and make payment as follows:

- a. The Respondent have agreed to fund a supplemental environmental project ("SEP") or projects as selected by the Department according to its February 15, 1996 "Policy on Supplemental Environmental Projects." Therefore, on or before thirty (30) days after the date of issuance of this Consent Order, the Respondent shall pay \$2,000 to the Statewide SEP Account. The payment shall be mailed or personally delivered to the Department of Energy & Environmental Protection, Bureau of Financial and Support Services, Accounts Receivable Office, 79 Elm Street, Hartford, Connecticut 06106-5127, and shall be by certified or bank check payable to the "Treasurer, State of Connecticut," with notation thereon "Statewide SEP Account" and "Consent Order No. LIS-2018-4009-V."
- b. If the Respondent fails to fund the SEP in accordance with paragraph 8.a. above, the Respondent shall immediately pay a civil penalty of \$2,750. The Respondent shall pay such civil penalty in accordance with the provisions of paragraph B.7 of this Consent Order.
- c. The Respondent shall not claim or represent that any SEP payment made pursuant to this Consent Order constitutes an ordinary business expense or charitable contribution or any other type of tax deductible expense, and the Respondent shall not seek or obtain any other tax benefit such as a tax credit as a result of the payment under this paragraph.
- d. If and when the Respondent disseminate any publicity, including but not limited to any press releases regarding funding a SEP, the Respondent shall include a statement that such funding is in partial settlement of an enforcement action brought by the Commissioner.
- 9. Approvals. Respondent shall use best efforts to submit to the Commissioner all documents required by this Consent Order in a complete and approvable form. If the Commissioner notifies the Respondent that any document or other action is deficient, and does not approve it with conditions or modifications, it is deemed disapproved, and Respondent shall correct the deficiencies and resubmit it within the time specified by the Commissioner or, if no time is specified by the Commissioner, within thirty days of the Commissioner's notice of deficiencies. In approving any document or other action under this Consent Order, the Commissioner may approve the document or other action as submitted or performed or with such conditions or modifications as the Commissioner deems necessary to carry out the purposes of this Consent Order. Nothing in this paragraph shall excuse noncompliance or delay.
- 10. <u>Definitions</u>. As used in this Consent Order, "Commissioner" means the Commissioner of Energy & Environmental Protection or an agent of the Commissioner. The date of "issuance" of this Consent Order is the date the Order is deposited in the mail or

personally delivered to the Respondent, whichever is earlier.

- 11. <u>Dates.</u> The date of submission to the Commissioner of any document required by this Consent Order shall be the date such document is received by the Commissioner. The date of any notice by the Commissioner under this Consent Order, including but not limited to notice of approval or disapproval of any document or other action, shall be the date such notice is personally delivered or the date three days after it is mailed by the Commissioner, whichever is earlier. Except as otherwise specified in this Consent Order, the word "day" as used in this Order means calendar day. Any document or action which is required by this Order to be submitted or performed by a date which falls on a Saturday, Sunday or a Connecticut or federal legal holiday shall be submitted or performed on or before the next day which is not a Saturday, Sunday, or Connecticut or federal holiday.
- 12. Certification of documents. Any document, including but not limited to any notice, which is required to be submitted to the Commissioner under this consent order shall be signed by Respondent or, if Respondent are not individuals, by Respondent's chief executive officers or a duly authorized representatives of such officers, as those terms are defined in §22a-430-3(b)(2) of the Regulations of Connecticut State Agencies, and by the individual(s) responsible for actually preparing such document, and each such individual shall certify in writing as follows:

"I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, that the submitted information is true, accurate and complete to the best of my knowledge and belief. I understand that any false statement made in the submitted information may be punishable as a criminal offense under §53a-157b of the Connecticut General Statutes and any other applicable law."

Notification of noncompliance. In the event that Respondent become aware that they did not or may not comply, or did not or may not comply on time, with any requirement of this Consent Order or of any document required hereunder, Respondent shall immediately notify the Commissioner and shall take all reasonable steps to ensure that any noncompliance or delay is avoided or, if unavoidable, is minimized to the greatest extent possible. In so notifying the Commissioner, Respondent shall state in writing the reasons for the noncompliance or delay and propose, for the review and written approval of the Commissioner, dates by which compliance will be achieved, and Respondent shall comply with any dates which may be approved in writing by the Commissioner. Notification by Respondent shall not excuse noncompliance or delay. The Commissioner's approval of any revised compliance dates shall not excuse noncompliance or delay unless specifically so stated by the Commissioner in writing.

- 14. <u>Noncompliance</u>. This Consent Order is a final order of the Commissioner with respect to the matters addressed herein, and is nonappealable and immediately enforceable. Failure to comply with this Consent Order may subject Respondent to an injunction and penalties under Chapters 439, and 446i of the General Statutes.
- 15. <u>False statements</u>. Any false statement in any information submitted pursuant to this Consent Order may be punishable as a criminal offense in accordance with CGS section 22a-6, under CGS section 53a-157b.
- 16. Notice of transfer; liability of Respondent and others. Until Respondent have fully complied with this Consent Order, Respondent shall notify the Commissioner in writing no later than fifteen days after transferring all or any portion of the site, structures, obstructions, encroachments, fill, operations or facilities which are the subject of this Consent Order, or obtaining a new mailing or location address. Respondent's obligations under this Consent Order shall not be affected by the passage of title to the site to any other person or municipality. A future owner of the site may be subject to the issuance of an Order from the Commissioner.
- 17. Commissioner's powers. Nothing in this Consent Order shall affect the Commissioner's authority to institute any proceeding or take any action to prevent or abate violations of law, prevent or abate pollution, recover costs and damages for adverse impacts to natural resources and to impose penalties for violations of law, including but not limited to violations of any permit issued by the Commissioner. If at any time the Commissioner determines that the actions taken by Respondent pursuant to this Consent Order have not successfully corrected all violations, the Commissioner may institute any proceeding to require Respondent to undertake further investigation or further action to correct violations.
- 18. <u>Respondent' obligations under law.</u> Nothing in this Consent Order shall relieve Respondent of other obligations under applicable federal, state and local law.
- 19. No assurance by Commissioner. No provision of this Consent Order or inaction by the Commissioner shall be construed to constitute an assurance by the Commissioner that the corrective actions taken by Respondent pursuant to this Order will result in compliance with regard to any statute, regulation, permit, order or other authorization not identified hereunder.
- 20. Access to site. Any representative of the Department of Energy & Environmental Protection may enter the site without prior notice for the purposes of monitoring and enforcing the actions required or allowed by this Consent Order.

- 21. <u>No effect on rights of other persons</u>. This Consent Order shall neither create nor affect any rights of persons who or municipalities which are not parties to this Consent Order.
- 22. <u>Notice to Commissioner of changes</u>. Within fifteen days of the date Respondent become aware of a change in any information submitted to the Commissioner under this Consent Order, or that any such information was inaccurate or misleading or that any relevant information was omitted, Respondent shall submit the correct or omitted information to the Commissioner.
- 23. <u>Submission of documents</u>. Any document required to be submitted to the Commissioner under this Consent Order or any contact required to be made with the Commissioner shall, unless otherwise specified in writing by the Commissioner, be directed to:

Kevin Zawoy
Department of Energy & Environmental Protection
Land and Water Resources Division
79 Elm Street
Hartford, CT 06106-5127
(860) 424-3626
Fax # (860) 424-4054

Respondent consent to the issuance of this Consent Order without further notice.

Maxine Vigneault

Maxine Vigneault

7/2/19 Date

Issued as a final order of the Commissioner of Energy & Environmental Protection on

4m/15, 2019.

Katie S. Dykes Commissioner 2

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