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STATE OF CONNECTICUT	)	Order No. 20377
	)	
VS.	)	
	)	
PROSPECT WATERBURY, INC.	)	

CONSENT ORDER

A. With the agreement of Prospect Waterbury, Inc. (Respondent), the Commissioner of Energy and Environmental Protection (Commissioner) finds the following:

1. The Respondent owns, leases, operates, or controls a hospital located at 64 Robbins Street in Waterbury, Connecticut (facility).

2. At the facility, the Respondent maintains and operates two (2) permitted 35 MMBtu/hr gas- and oil-fired Cleaver Brooks boilers, three (3) emergency generators, two (2) miscellaneous gas- and oil-fired heating units, and miscellaneous gas- & oil-fired food preparation equipment.

3. In accordance with Regs., Conn. State Agencies § 22a-174-3a, the Respondent operates the two (2) Cleaver Brooks boilers under New Source Review Permit Nos. 192-0105 and 192-0115.

4. The facility is located in a "severe non-attainment area for ozone," as defined in Regs., Conn. State Agencies § 22a-174-1.

5. On November 9, 2020, the Respondent submitted a Notification of Operation under Regs., Conn. State Agencies § 22a-174-33a, limiting premises-wide actual emissions to below 50 percent of the "Title V source thresholds," as defined at Regs., Conn. State Agencies § 22a-174-33(a)(10)(E) and, for severe non-attainment areas for ozone, Regs., Conn. State Agencies § 22a-174-33(a)(10)(F)(iii).

6. Pursuant to Regs., Conn. State Agencies § 22a-174-33a(f)(1)(A), "the owner or operator of any premises operating in accordance with this section shall submit to the commissioner on or before March 1st of each year, an annual compliance certification concerning such premises for the previous calendar year, or portion thereof."

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7. Whereas the Department of Energy and Environmental Protection ("Department") records indicated that the Respondent failed to submit annual compliance certifications for calendar years 2021, 2022, and 2023, the Respondent violated Regs., Conn. State Agencies § 22a-174-33a(f)(1)(A). Consequently, the Department issued Notice of Violation (NOV) No. 18428 on August 9, 2023.

8. On February 19, 2025, the Department received annual compliance certifications from the Respondent for calendar years 2021, 2022, 2023, and 2024.

9. Pursuant to Regs., Conn. State Agencies § 22a-174-33a(e)(1)(A) and Part IV of the New Source Review Permit Nos. 192-0105 and 192-0115, the Respondent shall keep records of the actual operating hours and monthly fuel usage of each emission unit, among other information and data, to accurately calculate the premises-wide monthly emissions.

10. On February 20, 2025, Department staff reviewed the annual compliance certifications referenced in paragraph A.8 of this Consent Order and determined that the Respondent neither submitted nor maintained records of the actual operating hours and monthly fuel usage of each emission unit. The Respondent obtained fuel usage data from its energy supplier to calculate the premises-wide emissions for calendar years 2021 through 2024. Whereas the Respondent failed to comply with applicable recordkeeping requirements relative to the actual operating hours and monthly fuel usage of each emission unit, the Respondent also violated Regs., Conn. State Agencies § 22a-174-33a(e)(1)(A) and Part IV of New Source Review Permit Nos. 192-0105 and 192-0115.

B. With the agreement of the Respondent, the Commissioner, acting under Conn. Gen. Stat. §§ 22a-6, 22a-171, 22a-174, 22a-177, and 22a-178, orders the Respondent as follows:

1. The Respondent shall comply with Regs., Conn. State Agencies § 22a-174-33a and New Source Review Permit Nos. 192-0105 and 192-0115.

2. The Respondent shall retain a professional environmental consultant, who is licensed to practice in Connecticut, to prepare and submit a compliance plan to resolve and prevent the recurrence of the violations identified in paragraph A.10 of this Consent Order. Such compliance plan shall be submitted for the Department's review within sixty (60) days after issuance of this Consent Order.

3. Full compliance. The Respondent shall not be considered in full compliance with this consent order until all actions required by this consent order have been completed as approved and to the Commissioner's satisfaction.

4. Approvals. The Respondent shall use best efforts to submit to the Commissioner all documents required by this order in a complete and approvable form. If the Commissioner notifies the Respondent that any document or other action is deficient, and does not approve it with conditions or modifications, it is deemed disapproved, and the Respondent shall correct the deficiencies and resubmit it within the time specified by the Commissioner or, if no time is specified by the Commissioner, within 30 days of the Commissioner's notice of

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deficiencies. In approving any document or other action under this consent order, the Commissioner may approve the document or other action as submitted or performed or with such conditions or modifications as the Commissioner deems necessary to carry out the purposes of this consent order. Nothing in this paragraph shall excuse noncompliance or delay.

5. Definitions. As used in this consent order, "Commissioner" means the Commissioner or a representative of the Commissioner.

6. Dates. The date of "issuance" of this consent order is the date the consent order is deposited in the U.S. mail or personally delivered, whichever is earlier. The date of submission to the Commissioner of any document required by this consent order shall be the date such document is received by the Commissioner. The date of any notice by the Commissioner under this consent order, including but not limited to notice of approval or disapproval of any document or other action, shall be the date such notice is deposited in the U.S. mail or is personally delivered, whichever is earlier. Except as otherwise specified in this consent order, the word "day" as used in this consent order means calendar day. Any document or action which is required by this consent order to be submitted or performed by a date which falls on a Saturday, Sunday or a Connecticut or federal holiday shall be submitted or performed by the next day which is not a Saturday, Sunday or Connecticut or federal holiday.

7. Certification of documents. Any document, including but not limited to any notice, which is required to be submitted to the Commissioner under this consent order shall be signed by the Respondent or, if the Respondent is not an individual, by the Respondent's chief executive officer or a duly authorized representative of such officer, as those terms are defined in Regs., Conn. State Agencies § 22a-174-2a(a), and by the individual(s) responsible for actually preparing such document, and the Respondent or the Respondent's chief executive officer and each such individual shall certify in writing as follows: "I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify, based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, that the submitted information is true, accurate and complete to the best of my knowledge and belief. I understand that any false statement made in the submitted information is punishable as a criminal offense under Section § 53a-157b of the Connecticut General Statutes and any other applicable law."

8. Noncompliance. This consent order is a final order of the Commissioner with respect to the matters addressed herein and is nonappealable and immediately enforceable. Failure to comply with this consent order may subject the Respondent to an injunction and penalties.

9. False statements. Any false statement in any information submitted pursuant to this consent order may be punishable as a criminal offense under Conn. Gen. Stat. § 53a-157b and any other applicable law.

10. Notice of transfer; liability of Respondent. Until the Respondent has fully complied with this consent order, Respondent shall notify the Commissioner in writing no later than 15 days after transferring all or any portion of the facility, the operations, the site or the business

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which is the subject of this consent order or after obtaining a new mailing or location address. The Respondent's obligations under this consent order shall not be affected by the passage of title to any property to any other person or municipality.

11. Commissioner's powers. Except as provided hereinabove with respect to payment of civil penalties, nothing in this consent order shall affect the Commissioner's authority to institute any proceeding or take any other action to prevent or abate violations of law, prevent or abate pollution, recover costs and natural resource damages, and to impose penalties for past, present, or future violations of law. If at any time the Commissioner determines that the actions taken by Respondent pursuant to this consent order have not successfully corrected all violations, fully characterized the extent or degree of any pollution, or successfully abated or prevented pollution, the Commissioner may institute any proceeding to require the Respondent to undertake further investigation or further action to prevent or abate violations or pollution.

12. Respondent's obligations under law. Nothing in this consent order shall relieve the Respondent of other obligations under applicable federal, state and local law.

13. No assurance by Commissioner. No provision of this consent order and no action or inaction by the Commissioner shall be construed to constitute an assurance by the Commissioner that the actions taken by the Respondent pursuant to this consent order will result in compliance.

14. Access to site. Any representative of the Department of Energy and Environmental Protection may enter the facility without prior notice for the purposes of monitoring and enforcing the actions required or allowed by this consent order.

15. No effect on rights of other persons. This consent order neither creates nor affects any rights of persons or municipalities that are not parties to this consent order.

16. Notice to Commissioner of changes. Within 15 days of the date the Respondent become aware of a change in any information submitted to the Commissioner under this consent order, or that any such information was inaccurate or misleading or that any relevant information was omitted, the Respondent shall submit the correct or omitted information to the Commissioner.

17. Notification of noncompliance. In the event that the Respondent becomes aware that it did not or may not comply, or did not or may not comply on time, with any requirement of this consent order or of any document required hereunder, the Respondent shall immediately notify by telephone the individual identified in the next paragraph and shall take all reasonable steps to ensure that any noncompliance or delay is avoided or, if unavoidable, is minimized to the greatest extent possible. Within five (5) days of the initial notice, the Respondent shall submit in writing the date, time and duration of the noncompliance and the reasons for the noncompliance or delay and propose, for the review and written approval of the Commissioner, dates by which compliance will be achieved, and the Respondent shall comply with any dates which may be approved in writing by the Commissioner. Notification by the Respondent shall not excuse noncompliance or delay, and the Commissioner's

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approval of any compliance dates proposed shall not excuse noncompliance or delay unless specifically so stated by the Commissioner in writing.

18. Submission of documents. Any document required to be submitted to the Commissioner under this consent order, unless otherwise specified in this consent order or in writing by the Commissioner, shall be directed to:

Samantha Amaral
Department of Energy and Environmental Protection
Bureau of Air Management
Air Enforcement Division
79 Elm Street
Hartford, Connecticut 06106-5127
(860) 424-3683

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Respondent consents to the issuance of this consent order without further notice. The undersigned certifies that he/she is fully authorized to enter into this consent order and to legally bind Respondent to the terms and conditions of the consent order.

Prospect Waterbury, Inc.

Signature: Signed by: Frank Vensel 93A14E2CE266481...

Type Name: Frank Vensel

Type Title: dir Engeneering

Date: 7/7/2025

Issued as a final order of the Commissioner of Energy and Environmental Protection.

Signed by: 1D46FF81817649B...

Emma Cimino, Deputy Commissioner
Department of Energy and Environmental Protection

7/8/2025
Date

CITY OF WATERBURY
LAND RECORDS