R-39 Rev. 03/2012 (Title page)

IMPORTANT: Read instructions on back of last page (Certification Page) before completing this form. Failure to comply with instructions may cause disapproval of proposed Regulations

State of Connecticut **REGULATION**

of

NAME OF AGENCY

Department of Energy and Environmental Protection

Concerning

SUBJECT MATTER OF REGULATION

Revision of the Low Emission Vehicle II and Adoption of the Low Emission Vehicle III programs

Section 1. Subsections (a) to (c), (e) to (h) and (i) of Section 22a-174-36b of the Regulations of Connecticut State Agencies are amended to read as follows:

Section 22a-174-36b. Low Emission Vehicle II Program.

- (a) Definitions and abbreviations. Provided that any term related to the administration of the Low Emission Vehicles II program not defined in this subsection shall be as defined or described in Title 13 of the California Code of Regulations, for the purposes of this section:
- (1) "Advanced technology vehicle" means any PZEV, AT PZEV or ZEV.
- (2) "Air contaminant emission control system" means the equipment designed for installation on a motor vehicle or motor vehicle engine for the purpose of reducing the air contaminants emitted from the motor vehicle or motor vehicle engine, or system or engine modification on a motor vehicle or motor vehicle engine which causes a reduction of air contaminants emitted from the motor vehicle or motor vehicle engine, including but not limited to exhaust control systems, fuel evaporation control systems, and crankcase ventilating systems.
- (3) "Alternative fuel" means any fuel that is commonly or commercially known or sold as one of the following: M-100 fuel methanol, M-85 fuel methanol, E-100 fuel ethanol, E-85 fuel ethanol, compressed natural gas, liquefied petroleum gas, or hydrogen.
- (4) "AT PZEV" means advanced technology partial zero emission vehicle.
- (5) "CARB" means the California Air Resources Board.

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- (6) "Certified" means the finding by CARB that a motor vehicle, motor vehicle engine, or motor vehicle engine family, or air contaminant emission control system has satisfied the criteria adopted by CARB for the control of specified air contaminants from motor vehicles.
- (7) "Dual-fuel" means a motor vehicle that is engineered and designed to be capable of operating on a petroleum fuel and on another fuel that is stored separately on-board the vehicle.
- (8) "Emergency vehicle" means any publicly owned vehicle operated by a peace officer in performance of his or her duties, any authorized vehicle used for fighting fires or responding to emergency fire calls, any publicly owned authorized vehicle used by emergency medical technicians or paramedics, or used for towing or servicing other vehicles, or repairing damaged lighting or electrical equipment, or an ambulance.
- (9) "Emission control label" means the permanent stickers required by CARB and affixed to all passenger cars, light duty trucks and medium-duty vehicles certified for sale in California.
- (10) "Emissions-related part" means any automotive part that affects any regulated emissions from a motor vehicle or motor vehicle engine that is subject to California or federal emissions standards, as set forth in California Code of Regulations, Title 13, section 1900(b)(3).
- (11) "EPA" means the United States Environmental Protection Agency.
- (12) "Executive Order" means an Executive Order of CARB.
- (13) "Fleet average emissions" means a motor vehicle manufacturer's average vehicle emissions of all non-methane organic gases and all greenhouse gases from all vehicles that are subject to this section, sold in the State of Connecticut in any applicable model year.
- (14) "Fuel-flexible" means an alternative fuel motor vehicle that is engineered and designed for operation using any alternative fuel mixture or blend.
- (15) "Greenhouse gas" means any of the following gases: carbon dioxide, methane, nitrous oxide, and hydrofluorocarbons.
- (16) "Greenhouse gas vehicle test group" means "greenhouse gas vehicle test group" as defined in California Code of Regulations, Title 13, section 1961.1.

- (17) "Heavy-duty vehicle" means any motor vehicle having a manufacturer's gross vehicle weight rating greater than 6,000 pounds, except passenger cars.
- (18) "Hybrid electric vehicle" or "HEV" means a motor vehicle which allows power to be delivered to the driver wheels solely by a battery powered electric motor but which also incorporates the use of a combustion engine to provide power to the battery, or any vehicle which allows power to be delivered to the drive wheels by either a combustion engine and/or by battery powered electric motor.
- (19) "Independent low volume manufacturer" means "independent low volume manufacturer" as defined in California Code of Regulations, Title 13, section 1900.
- (20) "Large volume manufacturer" means "large volume manufacturer" as defined in California Code of Regulations, Title 13, section 1900.
- [(20)] (21) "Light-duty truck" or "LDT" means any 2008 and subsequent model-year motor vehicle certified to the standards in California Code of Regulations, Title 13, section 1961(a)(1) having a gross vehicle weight rating of 8500 pounds or less, and any other motor vehicle rated at 6000 pounds or less, that is designed primarily for the purposes of transportation of property or is a derivative of such a vehicle, or is available with special features enabling off-street or off-highway operation and use.
- [(21)](22) "Loaded vehicle weight" or "LVW" means vehicle curb weight plus 300 pounds.
- [(22)] (23) "Low Emission Vehicle II program" means the standards for motor vehicles, motor vehicle engines and related provisions that the State of California has adopted and is permitted to adopt under 42 USC 7543 and that the Commissioner is permitted to adopt under 42 USC 7507 as required by section 22a-174g of the Connecticut General Statutes for the implementation of such program in Connecticut.
- [(23)] (24) "Medium-duty passenger vehicle" means "medium-duty passenger vehicle" as defined in California Code of Regulations, Title 13, section 1900.
- [(24)] (25) "Medium-duty vehicle" means "medium-duty vehicle" as defined in California Code of Regulations, Title 13, section 1900.
- [(25)] (26) "Military tactical vehicles and equipment" means those vehicles defined by California Code of Regulations, 13, section 1905.

- [(26)]"(27) Model year" means "model year" as defined in 40 CFR 85.2302 and determined in accordance with the provisions of 40 CFR 85.2301 through 40 CFR 85.2304, inclusive.
- [(27)] (28) "Neighborhood electric vehicle" or "NEV" means a motor vehicle certified to zero emission vehicle standards and meets the definition of "low speed vehicle" either in California Code of Regulations, Title 13, section 385.5 or in 49 CFR 571.500.
- [(28)] $\underline{(29)}$ "New vehicle" means any passenger car or light duty truck with 7,500 miles or fewer on its odometer.
- [(29)](30) "NMOG" means non-methane organic gas;
- [(30)] (31) "Passenger car" or "PC" means any motor vehicle designed primarily for transportation of persons having a design capacity of twelve persons or less.
- [(31)](32) "Offset vehicle" means a vehicle that has been certified by the State of California as set forth in the California Code of Regulations, Title 13, section 1960.5.
- [(32)](33) "PZEV" means partial ZEV as defined in California Code of Regulations, Title 13, section 1962.
- [(33)] (34) "Small volume manufacturer" means "small volume manufacturer" as defined in California Code of Regulations, Title 13, section 1900.
- [(34)] (35) "Travel provision" means the provision of the California Code of Regulations that entitles a manufacturer to full credit for each Type III ZEV placed in service prior to model year 2012 in California or any other state that has adopted the California ZEV mandate.
- [(35)](36) "Vehicle" means any motor vehicle.
- [(36)](37) "VECs" means vehicle equivalent credits.
- [(37)](38) "ZEV" means a zero emission vehicle.

(b) Applicability.

(1) This section shall apply to all 2008 [and subsequent] through 2014 model year passenger cars and light duty trucks sold, leased, offered for sale or lease, imported, delivered, purchased, rented, acquired or received, in the State of Connecticut except that this subdivision shall not apply to those vehicles listed in subsection (d) of this section.

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- (2) This section shall apply to all 2009 [and subsequent] through 2014 model year medium-duty vehicles sold, leased, offered for sale or lease, imported, delivered, purchased, rented, acquired or received, in the State of Connecticut except that this subdivision shall not apply to those vehicles listed in subsection (d) of this section.
- (3) The greenhouse gas emission standards set forth in [subsection (c) (1) (H)] subparagraph(c) (1) (G) of this section and related provisions in this section shall apply to all 2009 [and subsequent] through 2016 model year passenger cars, light-duty trucks and medium-duty passenger vehicles sold, leased, offered for sale or lease, imported, delivered, purchased, rented, acquired or received, in the State of Connecticut except that this subdivision shall not apply to those vehicles listed in subsection (d) of this section.

(c) Prohibitions and compliance requirements.

- (1) Unless subject to an exemption listed in subsection (d) of this section, no person shall sell or register, offer for sale or lease, import, deliver, purchase, rent, lease, acquire or receive a new 2008 [or subsequent] through 2014 model year passenger car or light duty truck or a 2009 [or subsequent] through 2014 model year medium-duty vehicle or medium-duty passenger vehicle in the State of Connecticut unless such vehicle is certified to California emission standards and meets:
 - (A) The exhaust emission standards set forth in the California Code of Regulations, Title 13, sections 1956.8(g) or (h), 1960.1, 1961(a), 1962(a) or 1962.1(a);
 - [(B)] [Until December 31, 2008, the emission control label and smog index label or environmental performance label requirements set forth in the California Code of Regulations, Title 13, section 1965;]
 - [(C)](B) The evaporative emission standards set forth in the California Code of Regulations, Title 13, section 1976;
 - [(D)] (C) The refueling emissions standards set forth in the California Code of Regulations, Title 13, section 1978;
 - [(E)](D) The malfunction and diagnostic system requirements set forth in the California Code of Regulations, Title 13, 1968.1;
 - [(F)](E) The assembly-line testing procedure requirements set forth in the California Code of Regulations, Title 13, section 2062;

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- [(G)] (F) The specifications for fill pipes and openings of motor vehicle fuel tanks set forth in the California Code of Regulations, Title 13, section 2235; and
- [(H)](G) The greenhouse gas emission standards set forth in the California Code of Regulations, Title 13, section 1961.1; and
- [(I)](H) On or after January 1, 2009, the emission control label and environmental performance label requirements, including smog and greenhouse gas index scores, set forth in the California Code of Regulations, Title 13, section 1965[.] or the Federal Fuel Economy and Emission Label, set forth in 40 CFR parts 85,86, and 600.

(2) **ZEV mandate.**

- (A) [In] <u>For</u> the 2008 <u>through 2017</u> model [year] <u>years</u>, each manufacturer's sales fleet of passenger cars and light duty trucks produced and delivered for sale in the State of Connecticut shall contain at least the same percentage of ZEVs subject to the same requirements, including early credit, banking, and travel provisions, set forth in the California Code of Regulations, Title 13, section 1962 using Connecticut specific vehicle numbers.
- (B) Alternative compliance mechanisms. As an alternative means of compliance with the requirements of subparagraph (A) of this subdivision, an automobile manufacturer may instead opt to comply with the provisions of subsection (m) of this section.
- (C) Until such time that NEVs can be legally registered in Connecticut and operated with restrictions no more stringent than imposed by the State of California, manufacturers that generate ZEV credits in California through the sale of NEVs shall receive Connecticut credits for those sales. Such credits shall be transferred annually using the ZEV credit account transfer ratio determined in accordance with subsection (m)(3), as applicable to the manufacturer.
- (D) [Beginning with] For the 2009 through 2017 model [year] years, each manufacturer's sales fleet of passenger cars and light duty trucks produced and delivered for sale in the State of Connecticut shall contain at least the same percentage of ZEVs subject to the same requirements, including early credit, banking, and travel provisions, set forth in the California Code of Regulations, Title 13, section 1962.1 using Connecticut specific vehicle numbers.

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- (E) Optional Section 177 State Compliance Path. Large volume manufacturers and intermediate volume manufacturers that elect the optional path set forth in the California Code of Regulations, Title 13, subdivision 1962.1(d)(5)(E)(3) shall inform the commissioner in writing of such election no later than September 1, 2014.
- (3) All vehicle manufacturers shall comply with the fleet average, warranty, recall and other applicable requirements set forth in subsections (e), (f), (g), (h), (i), (j), (k), and (n) of this section.
- (d) Exemptions. The following vehicles shall not be subject to this section:
 - (1) A vehicle transferred by inheritance;
 - (2) A vehicle transferred by decree of divorce, dissolution or legal separation entered by a court of competent jurisdiction;
 - (3) A vehicle purchased by a nonresident prior to establishing residency in the State of Connecticut;
 - (4) A vehicle sold for the purpose of being wrecked or dismantled;
 - (5) A vehicle sold directly from one dealer to another dealer;
 - (6) A vehicle sold for registration out of state;
 - (7) A vehicle sold <u>or</u> designed exclusively for off-highway use;
 - (8) A vehicle that has been certified to standards promulgated pursuant to the authority contained in 42 U.S.C. 7521 and which is in the possession of a rental agency in Connecticut and is next rented with a final destination outside of Connecticut;
 - (9) An emergency vehicle;
 - (10) A military tactical vehicle;
 - (11) A vehicle exempted by California Health and Safety Code, section 43656; or
 - (12) A vehicle acquired by a resident of this state for the purpose of replacing a vehicle registered to such

resident that was damaged or became inoperative beyond reasonable repair or was stolen while out of this state, provided that such replacement vehicle is acquired out of state at the time the previously owned vehicle was either damaged or became inoperative or was stolen.

(e) Emission standards, warranty, recall and miscellaneous provisions.

Each manufacturer and each new 2008 through 2017 [and subsequent] model year passenger car and light-duty truck that is subject to this section shall comply with each applicable standard set forth in Table 36b-1 and incorporated by reference herein:

in Table 36b-1 and incorporated by reference herein:			
Table 36b-1			
California Code of Regulations (CCR)			
	Title 13		
Pro	visions Incorporated by Referen	ice	
Title 13 CCR	Title	Section	
		Amended Date	
Chapter 1	Motor Vehicle Pollution Contro	l Devices	
	Article 1 General Provisions		
Section 1900	Definitions	[04/17/09]	
		12/31/12	
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	val of Motor Vehicle Pollution	Control	
Devices	(New Vehicles)		
Section	Exhaust Emission Standards	[10/11/07]	
1956.8(g) and	and Test Procedures - 1985	12/31/12	
(h)	and Subsequent Model Heavy		
	Duty Engines and Vehicles		
Section 1960.1	Exhaust Emission Standards	[03/26/04]	
	and Test Procedures - 1981	12/31/12	
	and through 2006 Model		
	Passenger Cars, Light-Duty		
and Medium-Duty Vehicles			
Section 1961	Exhaust Emission Standards	[06/16/08]	
	and Test Procedures - 2004	12/31/12	
	[and Subsequent] through 2019		
	Model Passenger Cars, Light-		
	Duty Trucks and Medium-Duty		
	Vehicles		

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Section 1961.1	Greenhouse Gas Exhaust	[01/01/06]
	Emission Standards and Test	8/7/12
	Procedures - 2009 [and	
	Subsequent] through 2016	
	Model Passenger Cars, Light-	
	Duty Trucks and Medium-Duty	
	Vehicles.	
Section 1962	Zero Emission Vehicle	[4/17/09]
	Standards for 2005 through	2/13/10
	2008 Model Year Passenger	
	Cars, Light-Duty Trucks and	
	Medium-Duty Vehicles	
	Zero Emission Vehicle	
Section 1962.1	Standards for 2009 [and	[4/17/09]
	Subsequent] through 2017	12/31/12
	Model Year Passenger Cars,	· · · · · · · · · · · · · · · · · · ·
	Light-Duty Trucks, and	
	Medium-Duty Vehicles	
Section 1965	Emission Control and, Smog	
	Index, and Environmental	[06/16/08]
	Performance Labels - 1979 and	8/7/12
	Subsequent Model Year	
	Vehicles	
Section 1968.1	Malfunction and Diagnostic	11/27/99
	System Requirements - 1994	, , ,
	and Subsequent Model Year	
	Passenger Cars, Light-Duty	
	Trucks and Medium-Duty	
	Vehicles	
Section 1968.2	Malfunction and Diagnostic	[11/09/07]
	System Requirements - 2004	8/7/12
	and Subsequent Model Year	
	Passenger Cars, Light-Duty	
	Trucks and Medium-Duty	
	Vehicles	
Section 1968.5	Enforcement of Malfunction	
2300.0	and Diagnostic System	[11/09/07]
	Requirements for 2004 and	8/7/12
	Subsequent Model Year	-, -,
	Passenger Cars, Light-Duty	
	Trucks, and Medium-Duty	
	Vehicles and Engines	
Section 1976	Standards and Test Procedures	[01/04/08]
	for Motor Vehicle Fuel	12/31/12
	Evaporative Emissions	<u> </u>
Section 1978	Standards and Test Procedures	[01/04/08]
	for Vehicle Refueling	8/7/12
	Emissions	0/ // ±2
7x+iala	e 6 Emission Control System War	ranty
Section 2035	Purpose, Applicability and	11/09/07
DECCTOH 7033	Definitions	±±/∪୬/∪/
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Section 2036	Defects Warranty Requirements	5/15/99
	for 1979 through 1989 Model	
	Year Passenger Cars, Light-	
	Duty Trucks and Medium-Duty	
	Vehicles; 1979 and Subsequent	
	Model Year Motorcycles and	
	Heavy-Duty Vehicles; and	
	Motor Vehicle Engines Used in	
	Such Vehicles.	
Section 2037	Defects Warranty Requirements	[11/00/07]
Section 2037	for 1990 and Subsequent Model	
	Year Passenger Cars, Light-	0/ // 12
	Duty Trucks and Medium-Duty	
	Vehicles and Motor Vehicle	
	Engines Used in Such Vehicles	
Section 2038	Performance Warranty	
Section 2036	Requirements for 1990 and	[11/09/07]
	=	8/7/12
	Subsequent Model Year	0/1/12
	Passenger Cars, Light-Duty Trucks and Medium-Duty	
	Vehicles and Motor Vehicle	
Section 2039	Engines Used in Such Vehicles	12/26/90
Section 2039	Emission Control System	12/26/90
0	Warranty Statement.	10/06/00
Section 2040	Vehicle Owner Obligations	12/26/90
Section 2046	Defective Catalyst	1/16/79
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Chapter 2 E	nforcement of Vehicle Emission and Enforcement Testing.	Standards
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(f) Fleet average requirements, reporting and projections, and delivery reporting requirements.

- (1) Effective for 2008 [and subsequent] through 2014 model years, the fleet average NMOG gas emission values from passenger cars and light-duty trucks vehicles produced and delivered for sale in the State of Connecticut by a manufacturer for each model year shall not exceed the fleet average numbers set forth in California Code of Regulations, Title 13, sections 1960.1(g)(2) and 1961(b)(1), except as provided in section 1960.1(g)(2) and 1961(b)(1). Effective for 2008 and subsequent model years, manufacturers may earn and bank NMOG credits in accordance with California Code of Regulations, Title 13, section 1961, except NMOG credits earned prior to model year 2011 shall be treated as though they were earned in model year 2011 and no debits shall be carried forward after model year 2011.
- (2) Effective for 2009 [and subsequent] through 2014 model years, each manufacturer shall comply with the medium-duty vehicle phase-in requirements and, for 2004 and subsequent model years, may earn and bank VECs, both in accordance with California Code of Regulations, Title 13, section 1961, except VECs earned prior to model year 2012 shall be treated as though they were earned in model year 2012.
- (3) A manufacturer that certifies vehicles equipped with direct ozone reduction technologies is eligible to receive NMOG credits for use in fleet average compliance determinations. A manufacturer shall submit to the commissioner a CARB Executive Order, obtained in accordance with California Code of Regulations Title 13, section 1960.1(g)(1), which shall determine the value of such credits for vehicles delivered for sale in the State of Connecticut, when the manufacturer submits its annual NMOG fleet average report.
- (4) Credits and debits may be accrued and utilized based upon each manufacturer's sales of vehicles subject to this part in the State

of Connecticut, pursuant to the provisions set forth in the California Code of Regulations Title 13, sections 1960.1(g)(2) and 1961(c).

- (5) Commencing with the 2008 model year, each manufacturer shall report to the commissioner, using the same format used to report such information to CARB, the average emissions of its fleet delivered for sale in the State of Connecticut. The report shall be submitted to the commissioner, or the commissioner's designee, no later than March $1^{\rm st}$ of the calendar year succeeding the end of the model year. Commencing with the 2009 model year, such report shall include medium-duty vehicles.
- (6) Delivery reporting requirements. For the purposes of determining compliance with the requirements of this section, commencing with the 2008 model year, each manufacturer shall submit annually, to the commissioner, by March 1st of the calendar year succeeding the end of the model year, a report documenting total deliveries for sale of vehicles in each engine family over that model year in the State of Connecticut. Commencing with the 2009 model year, such report shall include medium-duty vehicles.
- (7) The fleet average greenhouse gas exhaust emission levels for passenger cars, light-duty trucks, and medium-duty passenger vehicles that are produced and delivered for sale in the State of Connecticut by a large volume manufacturer for each 2009 [and subsequent] through 2016 model year are established as, and shall be determined in accordance with, the provisions set forth in California Code of Regulations, Title 13, sections 1961.1.
- (8) The fleet average greenhouse gas exhaust emission levels for passenger cars, light-duty trucks, and medium-duty passenger vehicles that are produced and delivered for sale in the State of Connecticut by a small volume manufacturer or an independent low volume manufacturer [for each 2016 and subsequent] through model year 2016 are established as, and shall be determined in accordance with, the provisions set forth in California Code of Regulations, Title 13, sections 1961.1.
- (9) Greenhouse gas credits and debits may be accrued and used based on each manufacturer's sale of vehicles subject to the greenhouse gas provisions of this section in the State of Connecticut in accordance with the provisions set forth in California Code of Regulations, Title 13, section 1961.1.

(g) Fleet Average Emissions Reporting Requirements.

(1) For the purposes of determining compliance with the requirements of subsections (c)(3) and (e) of this section, [commencing with] for the 2008 through 2014 model years, each manufacturer shall submit annually to the Department, by March 1st of the calendar year succeeding the end of the model year, a report

which demonstrates that such manufacturer has met the fleet average emissions requirements for its fleet delivered for sale in Connecticut. Commencing with the 2009 model year, such report shall include medium-duty vehicles.

- [(2) Prior to the commencement of each model year, commencing with the 2008 model year, each manufacturer shall submit, to the Department, a projection of the fleet average emissions for vehicles to be delivered for sale in Connecticut during such model year. Commencing with the 2009 model year, such report shall include medium-duty vehicles.]
- [(3)](2) [Commencing with] For the 2009 through 2016 model [year] years, each manufacturer shall report the average greenhouse gas emissions of its fleet delivered for sale in the State of Connecticut, using the same format used to report such information to CARB. Such report shall be filed with the commissioner by [March 1st] May 1st of the calendar year succeeding the end of the model year and shall include the number of greenhouse gas vehicle test groups certified pursuant to subsection (m)(5) of this section, delineated by model type, delivered for sale into the State of Connecticut.
- (h) Fleet average enforcement. If, commencing with the 2011 model year and for each [subsequent] applicable model year thereafter, the report issued by a manufacturer pursuant to subsection (g) of this section demonstrates noncompliance with the fleet average emission standards incorporated by reference into this section and set forth in Table 36b-1 of this section, during a model year, the manufacturer [must] shall within sixty (60) days file a Fleet Average Enforcement Report with the commissioner documenting such noncompliance. The Fleet Average Enforcement Reports [must] shall identify all vehicle models delivered for sale into the State of Connecticut and their corresponding certification standards and the percentage of each model delivered for sale into the State of Connecticut and California in relation to total fleet sales in the respective state. Enforcement of the medium-duty vehicle phase-in requirements shall begin in the 2012 model year.

(i) Reporting and offset vehicle reporting.

- (1) The manufacturer shall [submit one] <u>make available upon</u> request a copy of the California Executive Order and Certificate of Conformity relating to certification of new motor vehicles for each engine family to be sold in the State of Connecticut. [to the commissioner within thirty (30) days of receiving the Executive Order from CARB.] To the extent such reports are available electronically, the manufacturer shall submit such records in an electronic format acceptable to the commissioner.
- (2) For the purposes of determining compliance with this section, the commissioner may require any vehicle manufacturer subject to

this section to submit any documentation the commissioner deems necessary to the effective administration and enforcement of this section including all certification materials submitted to CARB.

(3) Offset vehicle reporting. Commencing with the 2008 model year, by March 1st of the calendar year succeeding the end of the model year, each manufacturer shall report to the commissioner the number of offset vehicles, categorized by model type, delivered for sale into the State of Connecticut during such model year. The report shall also include the total number of the manufacturer's fleet delivered for sale into the State of Connecticut.

Sec 2. The Regulations of Connecticut State Agencies are amended by adding section 22a-174-36c, as follows:

Section 22a-174-36c. Low Emission Vehicle III Program. (NEW)

- (a) Definitions and abbreviations. Provided that any term related to the administration of the Low Emission Vehicles III program not defined in this subsection shall be as defined or described in Title 13 of the California Code of Regulations and in Section 22a-174-36b of the Regulations of Connecticut State Agencies, for the purposes of this section:
- (1) "Transitional Zero Emission Vehicle" or ("TZEV") means transitional Zero emission vehicle as defined in California Code of Regulations, Title 13, section 1962.2.
- (2) "East Region Pool" means east region pool as defined in California Code of Regulations, Title 13, section 1962.2.

(b) Applicability.

This section shall apply to all 2015 and subsequent model year passenger cars, light duty trucks, and medium-duty passenger vehicles sold, leased, offered for sale or lease, imported, delivered, purchased, rented, acquired or received, in the State of Connecticut except that this subdivision shall not apply to those vehicles listed in subsection (d) of this section.

(c) Prohibitions and compliance requirements.

- (1) Unless subject to an exemption listed in subsection (d) of this section, no person shall sell or register, offer for sale or lease, import, deliver, purchase, rent, lease, acquire or receive a new 2015 or subsequent model year passenger car, light duty truck, or medium-duty passenger vehicle in the State of Connecticut unless such vehicle is certified to California emission standards and meets:
 - (A) The exhaust emission standards set forth in the California Code of Regulations, Title 13, sections 1956.8(h), 1961.2 or 1962.2;
 - (B) The evaporative emission standards set forth in the California Code of Regulations, Title 13, section 1961.2;
 - (C) The refueling emissions standards set forth in the California Code of Regulations, Title 13, section 1978;

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- (D) The malfunction and diagnostic system requirements set forth in the California Code of Regulations, Title 13, 1968.2;
- (E) The assembly-line testing procedure requirements set forth in the California Code of Regulations, Title 13, section 2062;
- (F) The specifications for fill pipes and openings of motor vehicle fuel tanks set forth in the California Code of Regulations, Title 13, section 2235;
- (G) Beginning with the 2017 model year, the greenhouse gas emission standards set forth in the California Code of Regulations, Title 13, section 1961.3; and
- (H) The emission control label and environmental performance label requirements, including smog and greenhouse gas index scores, set forth in the California Code of Regulations, Title 13, section 1965 or the Federal Fuel Economy and Emission Label, set forth in 40 CFR parts 85, 86, and 600.

(2) ZEV mandate.

- (A) Beginning with the 2018 model year, each manufacturer's sales fleet of passenger cars and light duty trucks produced and delivered for sale in the State of Connecticut shall contain at least the same percentage of ZEVs subject to the same requirements set forth in the California Code of Regulations, Title 13, section 1962.2 using Connecticut specific vehicle numbers.
- (B) Optional Section 177 State Compliance Path. Large Volume manufacturers and intermediate volume manufacturers that elect the optional path set forth in the California Code of Regulations, Title 13, subdivision 1962.1(d)(5)(E)(3) shall inform the commissioner in writing of such election no later than September 1, 2014.
- (C) Until such time that NEVs can be legally registered in Connecticut and operated with restrictions no more stringent than imposed by the State of California, manufacturers that generate ZEV credits in California through the sale of NEVs shall receive proportional credits for those sales.

- (3) All vehicle manufacturers shall comply with the fleet average, warranty, recall and other applicable requirements set forth in subsections (e), (f), (g), (h), (i), (j), and (k) of this section.
- (d) Exemptions. The following vehicles shall not be subject to this section:
 - (1) A vehicle transferred by inheritance;
 - (2) A vehicle transferred by decree of divorce, dissolution or legal separation entered by a court of competent jurisdiction;
 - (3) A vehicle purchased by a nonresident prior to establishing residency in the State of Connecticut;
 - (4) A vehicle sold for the purpose of being wrecked or dismantled;
 - (5) A vehicle sold directly from one dealer to another dealer;
 - (6) A vehicle sold for registration out of state;
 - (7) A vehicle sold or designed exclusively for off-highway use;
 - (8) A vehicle that has been certified to standards promulgated pursuant to the authority contained in 42 U.S.C. 7521 and which is in the possession of a rental agency in Connecticut and is next rented with a final destination outside of Connecticut;
 - (9) An emergency vehicle;
 - (10) A military tactical vehicle;
 - (11) A vehicle exempted by California Health and Safety Code, section 43656; or
 - (12) A vehicle acquired by a resident of this state for the purpose of replacing a vehicle registered to such resident that was damaged or became inoperative beyond reasonable repair or was stolen while out of this state, provided that such replacement vehicle is acquired out of state at the time the previously owned vehicle was either damaged or became inoperative or was stolen.

(e) Emission standards, warranty, recall and miscellaneous provisions.

Each manufacturer and each new 2015 and subsequent model year passenger car, light-duty truck and medium-duty vehicle shall comply with each applicable standard set forth in Table 36c-1 and incorporated by reference herein:

Table 36c-1		
California Code of Regulations (CCR)		
	Title 13	
Pro	visions Incorporated by Referen	ce
Title 13 CCR	Title	Section
		Amended Date
Chapter 1	Motor Vehicle Pollution Control	Devices
	Article 1 General Provisions	
Section 1900	Definitions	12/31/12
Article 2 Approva	al of Motor Vehicle Pollution Co (New Vehicles)	ntrol Devices
Section 1956.8(g) and (h)	Exhaust Emission Standards and Test Procedures - 1985 and Subsequent Model Heavy Duty Engines and Vehicles	12/31/12
Section 1960.1	Exhaust Emission Standards and Test Procedures - 1981 and through 2006 Model Passenger Cars, Light-Duty and Medium- Duty Vehicles	12/31/12
Section 1961	Exhaust Emission Standards and Test Procedures - 2004 through 2019 Model Passenger Cars, Light-Duty Trucks and Medium- Duty Vehicles	12/31/12

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Section 1961.1	Greenhouse Gas Exhaust	
	Emission Standards and Test	8/7/12
	Procedures - 2009 through 2016	
	and Subsequent Model Passenger	
	Cars, Light-Duty Trucks and	
	Medium-Duty Vehicles.	
Section 1961.2	Exhaust Emission Standards and	
	Test Procedures - 2015 and	
	Subsequent Model Passenger	
	Cars, Light-Duty Trucks, and	12/31/12
	Medium-Duty Vehicles	
Section 1961.3	Greenhouse Gas Exhaust	
	Emission Standards and Test	
	Procedures - 2017 and	
	Subsequent Model Passenger	12/31/12
	Cars, Light-Duty Trucks, and	
	Medium-Duty Vehicles	
Section 1962	Zero Emission Vehicle	2/13/10
	Standards for 2005 through	
	2017 Model Passenger Cars,	
	Light-Duty Trucks and Medium-	
	Duty Vehicles	
Section 1962.2	Zero Emission Vehicle	12/31/12
	Standards for 2018 and	
	subsequent Model Year	
	Passenger Cars, Light-Duty	
	Trucks, and Medium-Duty	
	Vehicles	
Section 1962.3	California Vehicle Charging	8/7/12
	Requirements	
Section 1965	Emission Control and Smog	8/7/12
	Index Labels - 1979 and	
	Subsequent Model Year Vehicles	
Section 1968.1	Malfunction and Diagnostic	11/27/99
	System Requirements - 1994 and	
	Subsequent Model Year	
	Passenger Cars, Light-Duty	
	Trucks and Medium-Duty	
	Vehicles	
Section 1968.2	Malfunction and Diagnostic	8/7/12
	System Requirements - 2004 and	
	Subsequent Model Year	
	Passenger Cars, Light-Duty	
	Trucks and Medium-Duty	
	Vehicles	
Section 1968.5	Enforcement of Malfunction and	8/7/12
	Diagnostic System Requirements	
	for 2004 and Subsequent Model	
	Year Passenger Cars, Light-	
	Duty Trucks, and Medium-Duty	
	Vehicles and Engines	
-	·	•

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Section 1976	Standards and Test Procedures	12/31/12
	for Motor Vehicle Fuel	
	Evaporative Emissions	
Section 1978	Standards and Test Procedures	8/7/12
	for Vehicle Refueling	
	Emissions	
Artic	le 6 Emission Control System War	ranty
Section 2035	Purpose, Applicability and	10/9/07
	Definitions	
Section 2036	Defects Warranty Requirements	5/15/99
	for 1979 through 1989 Model	
	Year Passenger Cars, Light-	
	Duty Trucks and Medium-Duty	
	Vehicles; 1979 and Subsequent	
	Model Year Motorcycles and	
	Heavy-Duty Vehicles; and Motor	
	Vehicle Engines Used in Such	
	Vehicles.	
Section 2037	Defects Warranty Requirements	8/7/12
	for 1990 and Subsequent Model	
	Year Passenger Cars, Light-	
	Duty Trucks and Medium-Duty	
	Vehicles and Motor Vehicle	
	Engines Used in Such Vehicles	
Section 2038	Performance Warranty	8/7/12
	Requirements for 1990 and	
	Subsequent Model Year	
	Passenger Cars, Light-Duty	
	Trucks and Medium-Duty	
	Vehicles and Motor Vehicle	
~	Engines Used in Such Vehicles	10/06/00
Section 2039	Emission Control System	12/26/90
0040	Warranty Statement.	10/06/00
Section 2040	Vehicle Owner Obligations	12/26/90
Section 2046	Defective Catalyst	1/16/79
Chapter 2	Enforcement of Vehicle Emission	Standards
	and Enforcement Testing.	
Section 2062	Article 1 Assembly Line Testing.	8/7/12
Section 2002	Assembly-line Test Procedures	0/1/12
	1998 and Subsequent Model-	
Antiala 2 En	years. forcement of New and In-use Vehic	alo Standardo
Section 2101	Compliance Testing and	11/27/99
Section 2101	Inspection - New Vehicle	11/2//99
	Selection, Evaluation and	
	Enforcement Action.	
Section 2109	New Vehicle Recall Provisions.	12/30/83
Section 2109 Section 2110		
SECCTOU 7110	Remedial Action for Assembly-	11/27/99
	Line Quality Audit Testing of Less than a Full Calendar	
	Quarter of Production Prior to	

			Page 23 c
		the 2001 Model-Year.	
Arti	cle 2.1 I	Procedures for In-Use Vehicle Vo Influenced Recalls.	oluntary and
Section :	2111	Applicability.	12/8/10
Section :	2112	Definitions.	8/7/12
		Appendix A to Article 2.1.	8/7/12
Section :	2113	Initiation and Approval of	1/26/95
		Voluntary and Influenced	
		Recalls.	
Section :	2114	Voluntary and Influenced	11/27/99
		Recall Plans.	
Section :	2115	Eligibility for Repair.	1/26/95
Section :	2116	Repair Label.	1/26/95
Section :	2117	Proof of Correction	1/26/95
		Certificate.	
Section :		Notification.	1/26/95
Section :	2119	Record keeping and Reporting	11/27/99
		Requirements.	
Section :		Other Requirements Not Waived.	1/26/95
Artic	le 2.2 Pr	ocedures for In-Use Vehicle Ord	ered Recalls.
Section :	2122	General Provisions.	12/8/10
Section :	2123	Initiation and Notification of	1/26/95
		Ordered Emission-Related	
		Recalls.	
Section :	2124	Availability of Public	1/26/95
		Hearing.	
Section :		Ordered Recall Plan.	1/26/95
Section :	2126	Approval and Implementation of	1/26/95
		Recall Plan.	
Section :		Notification of Owners.	1/26/95
Section :		Repair Label.	1/26/95
Section :	2129	Proof of Correction	1/26/95
		Certificate.	
Section :	2130	Capture Rates and Alternative	11/27/99
		Measures.	
Section :		Preliminary Tests.	1/26/95
Section :	2132	Communication with Repair	1/26/95
		Personnel.	. / 0.0 / 0.0
Section :	2133	Record keeping and Reporting	1/26/95
	01.05	Requirements.	1 /0 5 /0 5
Section :		Extension of Time.	1/26/95
		In-Use Vehicle Enforcement Test	
Section		General Provisions.	12/8/10
Section :		Vehicle Selection.	12/28/00
Section :		Restorative Maintenance.	11/27/99
Section :		Testing.	8/7/12
Section :	2140	Notification of In-Use	8/7/12
		Results.	

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Article 2.4 P	rocedures for Reporting Failure	of Emission-
Related Components.		
Section 2141	General Provisions.	12/8/10
Section 2142	Alternative Procedures.	2/23/90
Section 2143	Failure Levels Triggering	11/27/99
	Recall.	
Section 2144	Emission Warranty Information	12/8/10
	Report.	
Section 2145	Field Information Report.	8/7/12
Section 2146	Emissions Information Report.	11/27/99
Section 2147	Demonstration of Compliance	8/7/12
	with Emission Standards.	
Section 2148	Evaluation of Need for Recall.	8/7/12
Section 2149	Notification of Subsequent	2/23/90
	Action.	
Chapter 4.4 Specifications for Fill Pipes and Openings of Motor		
Vehicle Fuel Tanks.		
Section 2235	Specifications for Fill Pipes	8/8/12
	and Openings of Motor Vehicle	
	Fuel Tanks Requirements.	

(f) Fleet average requirements.

- (1) Effective for 2015 and subsequent model years, the fleet average NMOG plus NOx emission values from passenger cars, light-duty trucks and medium-duty vehicles produced and delivered for sale in the State of Connecticut by a manufacturer for each model year shall not exceed the fleet average numbers set forth in California Code of Regulations, Title 13, section 1961.2. Effective for 2015 and subsequent model years, manufacturers may earn and bank credits in accordance with California Code of Regulations, Title 13, section 1961.2.
- (2) Credits and debits may be accrued and utilized based upon each manufacturer's sales of vehicles subject to this part in the State of Connecticut, pursuant to the provisions set forth in the California Code of Regulations Title 13, sections 1961.2.

(g) Reporting requirements.

(1) Compliance and fleet average reporting requirements. For the purposes of determining compliance with the requirements set forth in subsection (c)(3) of this section, commencing with the 2015 model year, each manufacturer shall submit annually to the Department, by March $1^{\rm st}$ of the calendar year succeeding the end of the model year, a report which demonstrates that such manufacturer has met the fleet average emissions requirements for its fleet delivered for sale in Connecticut. Such report shall include the

average emissions of its fleet delivered for sale in the State of Connecticut.

- (2) Delivery reporting requirements. For the purposes of determining compliance with the requirements of this section, commencing with the 2015 model year, each manufacturer shall submit annually, to the Department, by March $1^{\rm st}$ of the calendar year succeeding the end of the model year, a report documenting total deliveries for sale of vehicles in each engine family over that model year in the State of Connecticut.
- (3) The manufacturer shall make available to the commissioner upon request a copy of the California Executive Order and Certificate of Conformity relating to certification of new motor vehicles for each engine family to be sold in the State of Connecticut. To the extent such reports are available electronically, the manufacturer shall submit such records in an electronic format acceptable to the commissioner.
- (4) For the purposes of determining compliance with this section, the commissioner may require any vehicle manufacturer subject to this section to submit any documentation the commissioner deems necessary to the effective administration and enforcement of this section including all certification materials submitted to CARB.

(h) Fleet average enforcement.

If, commencing with the 2015 model year and for each subsequent model year thereafter, the report issued by a manufacturer pursuant to subsection (g) (1) of this section demonstrates noncompliance with the fleet average emission standards incorporated by reference into this section and set forth in Table 36c-1 of this section, during a model year, the manufacturer shall within sixty (60) days file a Fleet Average Enforcement Report with the commissioner documenting such noncompliance. The Fleet Average Enforcement Report shall identify all vehicle models delivered for sale into the State of Connecticut and their corresponding certification standards and the percentage of each model delivered for sale into the State of Connecticut and California in relation to total fleet sales in the respective state.

(i) Warranty requirements.

(1) For all 2015 and subsequent model year vehicles subject to the provisions of this section, each manufacturer shall provide a warranty to the ultimate purchaser and each subsequent purchaser that complies with the requirements set forth in California Code of Regulations, Title 13, sections 2035 through 2038, 2040 and 2046.

(2) For all 2015 and subsequent model year vehicles subject to the provisions of this section, each manufacturer shall include the emission control system warranty statement that complies with the requirements set forth in California Code of Regulations, Title 13, sections 2039 modified, as may be necessary, to inform Connecticut vehicle owners of the applicability of the California warranty. The manufacturer shall also provide a telephone number on such statement appropriate for the State of Connecticut.

(j) Recalls.

- (1) For all 2015 and subsequent model year vehicles subject to the provisions of this section, each manufacturer shall undertake an action equivalent to that required by any order or enforcement action taken by CARB, or any voluntary or influenced emission-related recall initiated by any manufacturer pursuant to or required by California Code of Regulations, Title 13, sections 2101 through 2120, 2122 through 2133, and 2135 through 2149, unless within thirty (30) days of CARB approval of such recall, the manufacturer demonstrates to the commissioner that such recall is not applicable to vehicles registered in the State of Connecticut.
- (2) For vehicles subject to an action pursuant to subdivision (1) of this subsection, each manufacturer shall notify owners of vehicles registered in the State of Connecticut in accordance with the requirements set forth in California Code of Regulations, Title 13, sections 2118 or 2127, provided that such notification shall contain a telephone number appropriate for use by vehicle owners or operators in the State of Connecticut.

(k) ZEV requirements and reporting.

- (1) Each manufacturer subject to the zero emission vehicle provisions of this section shall demonstrate compliance with such provisions as required by, and in accordance with, Code of California Regulations, Title 13, section 1962.2.
- (2) ZEV Compliance reporting. Each manufacturer shall submit a ZEV compliance report to the Department along with annual sales reports no later than May 1st following the completed model year. The compliance report shall include vehicle sales organized by engine family and identify the number and type of Connecticut credits earned. Such report may be amended based on late sales.
- (3) Optional 177 State Compliance Path. Manufacturers that choose the optional 177 state path set forth in 1962.1(d)(5)(E)(3) shall notify the Commissioner no later than September 1, 2014.

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- (4) Pooling Compliance reporting requirements. For the purposes of determining compliance with optional path set forth in Title 13, 1962.1(d) (5) (E) (3), each manufacturer electing the alternative compliance path shall submit a report to the Department no later than May $1^{\rm st}$ following the completed model year. The report shall include vehicles placed into service in the east region pool, organized by vehicle type.
- (5) Any manufacturer who fails to meet the requirements of its respective optional compliance path as determined by California in Title 13, subsection 1961.2(d)(5)(E)(3), shall be subject to the primary compliance path of the ZEV mandate provisions set forth in Title 13, section 1962.2(b) from the year following the first year of noncompliance.

(1) Greenhouse gas emission standards and related requirements.

- (1) Each manufacturer subject to the greenhouse gas provisions of this section shall demonstrate compliance with such provisions as required by, and in accordance with, California Code of Regulations, Title 13, section 1961.3.
- (2) For all 2009 and subsequent model year vehicles, manufacturers may demonstrate compliance based on the total number of passenger cars, light-duty trucks, and medium-duty passenger vehicles certified to the California exhaust emission standards in California Code of Regulations, Title 13, section 1961.1, which are produced and delivered for sale in Connecticut, California, and all other states that have adopted California's greenhouse gas emission standards pursuant to section 177 of the Clean Air Act. A manufacturer that fails to comply under the provisions of this subdivision shall be subject to applicable penalties and shall be required to comply with the greenhouse gas standards pursuant to subdivision (1) of this subsection.
- (3) National Compliance Option. For the 2012 through 2016 model years, a manufacturer may elect to demonstrate compliance with the California exhaust emissions standards by demonstrating compliance with the national greenhouse gas program pursuant to California Code of Regulations, Title 13, section 1961.1. A manufacturer with outstanding greenhouse gas debits at the end of the 2011 model year shall submit a plan to the Department describing how the debits will be offset utilizing credits earned under the national greenhouse gas program.
- (4) Greenhouse gas reporting requirements. For the purpose of determining compliance with the greenhouse gas requirements of this section, each manufacturer shall report the average greenhouse gas emissions of its fleet delivered for sale in the State of Connecticut, using the same format used to report such information

to CARB. If the voluntary compliance option described in subdivision (2) of this section is used, a manufacturer shall report separate data for the multi-state pool and the Connecticut portion of such pool. Such report shall be filed with the commissioner by May 1st of the calendar year succeeding the end of the model year.

(m) Incorporation by reference. Availability and interpretation of referenced material.

- (1) In accordance with the provisions of section 22a-174g of the Connecticut General Statutes, this section incorporates by reference certain sections of Title 13, California Code of Regulations relating to the implementation and the administration of the Low Emission Vehicle III program in the State of Connecticut. Table 36c-1 lists the sections of Title 13, California Code of Regulations incorporated by reference and the respective amended date for each section.
- (2) Copies of the relevant sections of Title 13, California Code of Regulations incorporated by reference in this section are available by contacting:

Connecticut Department of Energy and Environmental Protection Bureau of Air Management Planning & Standards Division 79 Elm Street Hartford, Connecticut 06106 (860) 424-3027

(3) For purposes of applying the incorporated sections of the California Code of Regulations, unless clearly inappropriate, "California" shall mean "Connecticut."

(n) Severability.

Each provision of this section is deemed severable, and in the event that any provision of this section is held to be invalid, the remainder of this section shall continue in full force and effect.

Statement of Purpose

Pursuant to CGS Section 4-170(b)(3), "Each proposed regulation shall have a statement of its purpose following the final section of the regulation." Enter the statement here.

Statement of Purpose

The Department of Energy and Environmental Protection is proposing to amend section 22a-174-36b and adopt section 22a-174-36c to make revisions to the Low Emission Vehicle (LEV) II program and adopt the LEV III program. The LEV II program establishes vehicle emission standards for passenger cars and light-duty trucks during the 2008-14 timeframe. The LEV II program also includes the Zero Emission Vehicle (ZEV) program, which requires the sale of cleaner vehicle in the state, and the vehicle greenhouse gas (GHG) program, that regulates GHG emissions from vehicles. The LEV III program will establish vehicle emission standards for the 2015-2025 timeframe and includes an extension of the ZEV program and vehicle GHG programs. The LEV programs are an integral part of Connecticut's clean air strategy, and are an integral part of the emissions control strategy for passenger vehicles.

R-39 Rev. 03/2012 (Certification page—see Instructions on back)

CERTIFICATION

This certification statement must be completed in full, including items 3 and 4, if they are applicable. 1) I hereby certify that the above (check one) Regulations Emergency Regulations are (check all that apply) 🛛 adopted 🔲 amended 🔲 repealed by this agency pursuant to the following authority(ies): (complete all that apply) a. Connecticut General Statutes section(s) 22a-174g. b. Public Act Number(s) (Provide public act number(s) if the act has not yet been codified in the Connecticut General Statutes.) 3) And I further certify that notice of intent to adopt, amend or repeal said regulations was published in the Connecticut Law Journal on 19 March 2013: (Insert date of notice publication if publication was required by CGS Section 4-168.) 4) And that a public hearing regarding the proposed regulations was held on 18 April 2013; (Insert date(s) of public hearing(s) held pursuant to CGS Section 4-168(a)(7), if any, or pursuant to other applicable statute.) 5) And that said regulations are EFFECTIVE (check one, and complete as applicable) ⊠ When filed with the Secretary of the State OR on (insert date) OFFICIAL TITLE, DULY AUTHORIZED DATE SIGNED (He d,/Agen¢y or/Commission) Commissioner, DEEP APPROVED by the Attorney General as to lagal sufficiency in accordance with CGS Section 4-169, as amended SIGNED (Attorney General or AG's designated representative) OFFICIAL TITLE, DULY AUTHORIZED ASSOC. ATTY, GEHERAL 08-10/1 Proposed regulations are DEEMED APPROVED by the Attorney General in accordance with CGS Section 4-169, as amended, if the attorney General fails to give notice to the agency of any legal insufficiency within thirty (30) days of the receipt of the proposed regulation. (For Regulation Review Committee Use ONLY) Rejected without prejudice Approved Approved with technical corrections Disapproved in part, (Indicate Section Numbers disapproved only) Deemed approved pursuant to CGS Section 4-170(c) By the Legislative Regulation Review DATE Administrator, Legislative Regulation Review Committee) Committee in accordance with CGS July 23,2013 Section 4-170, as amended Two certified copies received and filed and one such copy forwarded to the Commission on Official Legal Publications in accordance with CGS Section 4-172, as amended. DATE SIGNED (Secretary of the State) BY

(For Secretary of the State Use ONLY)

GENERAL INSTRUCTIONS

- 1. All regulations proposed for adoption, amendment or repeal, *except* emergency regulations, must be presented to the Attorney General for his/her determination of legal sufficiency. (See CGS Section 4-169.)
- 2. After approval by the Attorney General, the original and one electronic copy (in Word format) of all regulations proposed for adoption, amendment or repeal must be presented to the Legislative Regulation Review Committee for its action. (See CGS Sections 4-168 and 4-170 as amended by Public Act 11-150, Sections 18 and 19.)
- Each proposed regulation section must include the appropriate regulation section number and a section heading. (See CGS Section 4-172.)
- 4. New language added to an existing regulation must be in <u>underlining</u> or CAPITAL LETTERS, as determined by the Regulation Review Committee. (See CGS 4-170(b).)
- 5. Existing language to be deleted must be enclosed in brackets []. (See CGS 4-170(b).)
- 6. A completely new regulation or a new section of an existing regulation must be preceded by the word "(NEW)" in capital letters. (See CGS Section 4-170(b).)
- 7. The proposed regulation must have a statement of its purpose following the final section of the regulation. (See CGS Section 4-170(b).)
- 8. The Certification Statement portion of the form must be completed, including all applicable information regarding *Connecticut Law Journal* notice publication date(s) and public hearing(s). (See more specific instructions below.)
- **9.** Additional information regarding rules and procedures of the Legislative Regulation Review Committee can be found on the Committee's web site: http://www.cga.ct.gov/rr/.
- **10.** A copy of the Legislative Commissioners' Regulations Drafting Manual is located on the LCO website at http://www.cga.ct.gov/lco/pdfs/Regulations Drafting Manual.pdf.

CERTIFICATION STATEMENT INSTRUCTIONS

(Numbers below correspond to the numbered sections of the statement)

- 1. Indicate whether the regulation is a regular or an emergency regulation adopted under the provisions of CGS Section 4-168(f).
- 2. a) Indicate whether the regulations contains newly adopted sections, amendments to existing sections, and/or repeals existing sections. Check all cases that apply.
 - b) Indicate the specific legal authority that authorizes or requires adoption, amendment or repeal of the regulation. If the relevant public act has been codified in the most current biennial edition of the *Connecticut General Statutes*, indicate the relevant statute number(s) instead of the public act number. If the public act has not yet been codified, indicate the relevant public act number.
- 3. Except for emergency regulations adopted under CGS 4-168(f), and technical amendments to an existing regulation adopted under CGS 4-168(g), an agency must publish notice of its intent to adopt a regulation in the *Connecticut Law Journal*. Enter the date of notice publication.
- 4. CGS Section 4-168(a)(7) prescribes requirements for the holding of an agency public hearing regarding proposed regulations. Enter the date(s) of the hearing(s) held under that section, if any; also enter the date(s) of any hearing(s) the agency was required to hold under the provisions of any other law.
- 5. As applicable, enter the effective date of the regulation here, or indicate that it is effective upon filing with the Secretary of the State. Please note the information below.

Regulations are effective upon filing with the Secretary of the State or at a later specified date. See CGS Section 4-172(b) which provides that each regulation is effective upon filing, or, if a later date is required by statute or specified in the regulation, the later date is the effective date. An effective date may not precede the effective date of the public act requiring or permitting the regulation. Emergency regulations are effective immediately upon filing with the Secretary of the State, or at a stated date less than twenty days thereafter.