					DE - 9719	9001 - 2 Page	1
ENVIRON	IMENTAL	PRG					ARD
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Lonon	AGENOI					05/24/2010	Е
istance Am	endment	ASAP	METHOD:			ACH# 10109	i
		Las Vega	s Finance Ce	nter, P.O		, Las Vegas, NV	89193-8515
		PAYEE:					
		79 Elm St	reet	27			
EP	A PROJECT OFFICE	R	T	EPA GR	ANT SPECI	ALIST	
5 F Bo E-I	Post Office Square, Su ston, MA 02109-3912 Mail: veit.Cyntia@epa	2	205-2	Grants M 5 Post C E-Mail:	lanagement Office Squar Dillon.Monic	e, OARM16-2 que@epa.gov	
ng support to CT ogy. PROJECT PE	DEP's diesel emissio	ns reduction	plan that incl	udes a p	artnership w	AL PROJECT PER	etrofit state fleets
03/01/2009 -		I. in i			\$50,0	00.00	·
Is \$0. EPA agre I may be termina section and retur y be granted by	es to cost-share <u>100.0</u> ated by EPA without fu ming all pages of this a EPA. This agreement	<u>)0</u> % of all ap irther cause i agreement to t is subject to	proved budge f the recipien the Grants M applicable E ment and any	et period of t fails to p Aanagem PA statu y attachm	costs incurre provide time ent Office lis tory provisio nents.	ed, up to and not e ly affirmation of th sted below within ns. The applicabl	exceeding total ne award by 21 days after
MANAGEMENT	OFFICE)				APPROVAL		
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	TYPED NAME AND	TITLE				DA	TE 24/2010
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BY AND ON B	EHALF OF THE DES		D	RGANIZA	TION		
BY AND ON B		IGNATED RE		RGANIZA	TION	PA	S/10
	ISTANCE AM ISTANCE AM ISTANCE AM ISTANCE AM ISTANCE A ISTANCES - Maintenance V 010, this amend ted to retrofit CT ning \$20,903 to t t \$50,000, with t ng support to CT Days. PROJECT PE 03/01/2009 - 2009, including a IS \$0. EPA agred I may be termina Section and return y be granted by hapter B, and al MANAGEMENT ITED STATES C I Official	Cynthia Veit 5 Post Office Square, Su Boston, MA 02109-3912 E-Mail: veit.Cyntia@epi Phone: 617-918-1666 OF CHANGES - Maintenance Vehicle Project 010, this amendment is to revise the 3 ted to retrofit CT Department of Transp ning \$20,903 to the ConnDOT part of t t \$50,000, with the distribution being n ng support to CT DEP's diesel emission ogy. PROJECT PERIOD 03/01/2009 - 01/31/2011 NOTICE 0 2009, including all modifications and a Is \$0. EPA agrees to cost-share 100.0 I may be terminated by EPA without fur- section and returning all pages of this a y be granted by EPA. This agreement hapter B, and all terms and conditions MANAGEMENT OFFICE) TED STATES OF AMERICA BY THE TYPED NAME AND Monique B. Dillon, G	ENVIRONMENTAL FECTION AGENCY PRG DE - TYPE OF No Cost A istance Amendment PAYMENT ASAP istance Amendment Send Pay Las Vega: Tel: (702) 7 PAYEE: Connection 79 Elm St Hartford, 0 EPA PROJECT OFFICER Connection 79 Elm St Hartford, 0 EPA PROJECT OFFICER Connection 79 Elm St Hartford, 0 Connection 79 Elm St Hartford, 0 FAYEE: Connection 79 Elm St Hartford, 0 Connection 79 Elm St Hartford, 0 E-Mail: veit.Cyntia@epa.gov Phone: 617-918-1666 Portection 79 Elm St Hartford, 0 OF CHANGES - Maintenance Vehicle Project 010, this amendment is to revise the Scope of wor ted to retrofit CT Department of Transportation (Co ning \$20,903 to the ConnDOT part of the project to t\$50,000, with the distribution being now \$19,097 fn g support to CT DEP's diesel emissions reduction ogy. PROJECT PERIOD 03/01/2009 - 01/31/2011 TOTAL BU \$50,000.00 NOTICE OF AWAA 2009, including all modifications and amendments, ts \$0. EPA agrees to cost-share 100.00% of all ap d may be terminated by EPA without further cause i section and returning all pages of this agreement is subject to hapter B, and all terms and conditions of this agreement to y be granted by EPA. This agreement is subject to hapter B, and all terms and conditions of this agreement for y be granted by EPA. This agreement is subject to hapter B, and all terms and conditions of this agreement for y be granted by EPA. This agreement is subject to hapter B, and all terms and conditions of this agr	ENVIRONMENTAL FECTION AGENCY istance Amendment istance Amendment PAYMENT METHOD: ASAP istance Amendment PAYMENT METHOD: ASAP Send Payment Reque Las Vegas Finance Ce Tel: (702) 798-24005; Fax PAYEE: Connecticut D.E.P. 79 Elm Street Hartford, CT 06106-512 EPA PROJECT OFFICER Cynthia Veit 5 Post Office Square, Suite 100, OEP05-2 Boston, MA 02109-3912 E-Mail: veit.Cyntia@epa.gov Phone: 617-918-1666 OF CHANGES - Maintenance Vehicle Project 010, this amendment is to revise the Scope of work with the foll ted to retrofit CT Department of Transportation (ConnDOT) equining \$20,903 to the ConnDOT part of the project to pay for retrofit \$50,000, with the distribution being now \$19,097 for CT DEP e 19 support to CT DEP's diesel emissions reduction plan that incl 2009, including all modifications and amendments, the United St is \$0. EPA agrees to cost-share 100.00% of all approved budge 1 may be terminated by EPA without further cause if the recipien section and returning all pages of this agreement to the Grants M y be granted by EPA. This agreement is subject to applicable E hapter B, and all terms and conditions of this agreement and an MANAGEMENT OFFICE) ORGANIZATION / ADI U.S. EPA, EPA New Er 5 Post Office Square, S Boston, MA 02109-391 TYPED NAME AND TITLE Monique B. Dillon, Grants Specialist	ENVIRONMENTAL FECTION AGENCY PRG DOC ID istance Amendment DE - 97199001 TYPE OF ACTION No Cost Amendment PAYMENT METHOD: ASAP istance Amendment PAYMENT METHOD: ASAP Send Payment Request to: Las Vegas Finance Center, P.C Tel: (702) 798-2406; Fax: (702) 7 PAYEE: Connecticut D.E.P. 79 Elm Street Cynthia Veit Monique 5 Post Office Square, Suite 100, OEP05-2 Boston, MA 02109-3912 Grants N 5 Post Office E-Mail: veit.Cyntia@epa.gov Phone: 617-918-1666 Phone: F-Mail: Phone: 617-918-1666 Of CHANGES - Maintenance Vehicle Project 010, this amendment is to revise the Scope of work with the following: C ted to retrofft CT Department of Transportation (ConDOT) equipment/ve ning \$20,903 to the ConDD T part of the project to pay for retroffts on elit \$50,000, with the distribution being now \$19,097 for CT DEP equipmen guy. PROJECT PERIOD 03/01/2009 - 01/31/2011 TOTAL BUDGET PERIOD COS \$50,000.00 NOTICE OF AWARD 2009, including all modifications and amendments, the United States acti is \$0. EPA agrees to cost-share 100.00% of all approved budget period 1 may be terminated by EPA without further cause if the recipient fails to 1 soo. EPA agrees to cost-share 100.00% of all approved budget period 1 may be terminated by EPA. This agreement to the Grants Managem y be granted by EPA. This agreement is subject to applicable EPA statu hapter B, and all terms and conditions of this agreement and any attachn bacton file Square, S	ENVIRONMENTAL FECTION AGENCY istance Amendment istance Amendment PAYMENT METHOD: ASAP Send Payment Request to: Las Vegas Finance Center, P. O. Box 98515 Tel: (702) 798-2406; Fax: (702) 798-2423 PAYEE: Connecticut D.E.P. 79 Elm Street Hartford, CT 06106-5127 EPA PROJECT OFFICER EPA GRANT SPECI Soston, MA 02109-3912 Boston, MA 02109-3912 E-Mail: veit Cyntia@epa.gov Phone: 617-918-1666 Phone: 617-918-167 OF CHANGES - Maintenance Vehicle Project 010, this a	ENVIRONMENTAL ECTION AGENCY PRG DOC ID AMEND# DATE OF AW 05/24/2010 istance Amendment DE - 97199001 - 2 05/24/2010 istance Amendment 05/24/2010 MAILING DAT 05/24/2010 istance Amendment 05/24/2010 ACH# 10109 Send Payment Request to: Las Vegas Finance Center, P.O. Box 98515, Las Vegas, NV Tel: (702) 798-2406; Fax: (702) 798-2423 ACH# 10109 PAYEE: Connectiout D.E.P. 79 Elm Street Hartford, CT 06106-5127 FAYEE: Cynthia Veit 5 Post Office Square, Suite 100, OEP05-2 Boston, MA 02109-3912 EPA GRANT SPECIALIST Monique Dillon 5 Post Office Square, OARM16-2 E-Mait: veit, Cyntha@epa.gov Phone: 617-918-1866 Grants Management Office 5 Post Office Square, OARM16-2 E-Mait: veit, Cyntha@epa.gov Phone: 617-918-1976 OF CHANCES Nationance Vehicle Project Moniquae Dillon Grants Management Vehicles, 820,903 was left from hing \$20,903 to the ConnDOT part of the project to pay for retroffs on eligible equipment/vehicles, and 330.080 for Cor g support to CT DEP's diesel emissions reduction plan that includes a partnership with ConnDOT to r 99. PROJECT PERIOD 03/01/2009 - 01/31/2011 TOTAL BUDGET PERIOD COST \$50,000.00 TOTAL PROJECT PER \$50,000.00 NOTICE OF AWARD Imay be terminated by EPA withou further cause if the recipient fails to provide timely affirmation of the section and returning all pages of this agreement is subject to applicable EPA statutory provisi

EPA Funding Information

DE - 97199001 - 2 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 50,000	. \$0	\$ 50,000
EPA In-Kind Amount	\$0	\$	\$ O
Unexpended Prior Year Balance	\$0	\$	\$ O
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$0	\$	\$ 0
State Contribution	\$ O.	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 50,000	\$ 0	\$ 50,000

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.039 - National Clean Diesel Funding Assistance Program (B)	Energy Policy Act 2005 Public Law 109-58	40 CFR PART 31

				Fiscal					
Site Name	Req No	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
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DE - 97199001 - 2 Page 3

Budget Summary Page Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$50,000
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$50,000
10. Indirect Costs: % Base	\$0
11. Total (Share: Recipient 0.00 % Federal 100.00 %.)	\$50,000
12. Total Approved Assistance Amount	\$50,000
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$0
15. Total EPA Amount Awarded To Date	\$50,000

Administrative Conditions

All Administrative Conditions Remain the Same

Programmatic Conditions

All Programmatic Conditions Remain the Same

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1					ASSISTAN			DATE			
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RECIPIENT TYPE:	Ass	istance .	Amendment	PAYMEN ASAP	METHOD:			ACH# 10109			
RECIPIENT TYPE:				Send Pay	ment Requ	est to:					
State				Las Vega	s Finance C 798-2406; Fa	enter, P.O	. Box 985	15, Las Vega	s, NV 8	9193-8	515
RECIPIENT:				PAYEE:	90-2400, 17	ax. (102) 1	30-2423				
Connecticut D.E.P.				Connectio		7.					
79 Elm Street Hartford, CT 06106-512	7			79 Elm St Hartford,	reet CT 06106-51	127					
EIN: 06-6000798											
PROJECT MANAGER			EPA PROJECT OFFICE	R		EPA GR Monique	ANT SPE	CIALIST			
Tracy Babbidge 79 Elm Street			Cynthia Veit 1 Congress Street, Suite			Grants M	lanageme	nt Office			
Hartford, CT 06106-512		5	Boston, MA 02114-2023 E-Mail: Veit.Cynthia@ep				gress Stre	et, MGM nique@epa.g	iov		
E-Mail: tracy.babbidge Phone: 860-424-3027	@ci.gov		Phone: 617-918-1666	Ja.gov			617-918-1				
PROJECT TITLE AND E					all the second sec						
Northeast Diesel Collabo Per your application requ	viul, hated	9 2009 this	s cooperative agreement an	mendment is	being awar	ded to rev	ise the bu	dget categor	y, by mo	ving al	l funding
into the "contractual" but	dget catory. Th	is amendme	ent is to also revise the Sco ons remain unchanged and	pe of Work	and to exter	nd the proj	ject and bi	udget periods	s end da	te. All	the
administrative and progr	ammatic terms	and condition	ons remain unchanged and		anu aneci.						
BUDGET PERIOD		PROJECT			JDGET PEF	RIOD COS	111 STRATES	TAL PROJE	CT PER	OD CO	OST
03/01/2009 - 01/31/201	11	03/01/200	9 - 01/31/2011	\$50,000.0	0		\$50	,000.00			
			NOTICE (OF AWA	RD						
Racad on your applicatio	n dated 07/00/	2009 includ	ing all modifications and ar	nendments	the United S	States acti	na by and	through the	US Envi	ronmer	ntal
Protection Agency (FPA) hereby award	s \$0. EPA	agrees to cost-share 100.0	0% of all ap	proved budg	et period	costs incu	rred, up to ar	nd not ex	ceedin	ng total
signing under the Affirms	ation of Award s	ection and	minated by EPA without fur returning all pages of this a	areement to	the Grants	Managem	ent Office	listed below	within 2'	1 days	after
receipt, or any extension	of time, as ma	v be granted	by EPA. This agreement	is subject to	applicable	EPA statu	tory provis	ions. The ap	oplicable	regula	atory
provisions are 40 CFR C	hapter 1, Subcl	hapter B, an	d all terms and conditions	of this agree	ement and a	ny attachn	ients.				
ISSUING OFFI		MANAGEM	ENT OFFICE)				APPROV	AL OFFICE			
ORGANIZATION / ADD EPA New England	RESS				EPA New E						
1 Congress Street, Sui	te 1100			5.2	9 98. 						
Boston, MA 02114-202	2				s Street, Su A 02114-20						
D03(01), MA 02114-202		TED STATE	S OF AMERICA BY THE				TION AG	ENCY			
SIGNATURE OF AWAR	RD OFFICIAL		TYPED NAME AND	TITLE					DAT	E 3/2009	
Digital signature applied	by EPA Award		Monique B. Dillon, G			14			09/20	5/2009	
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EPA Funding Information

DE - 97199001 - 1 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 50,000	\$0	\$ 50,000
EPA In-Kind Amount	\$0	\$	\$ 0
Unexpended Prior Year Balance	\$0	\$	\$ 0
Other Federal Funds	\$0	\$	\$ 0
Recipient Contribution	\$0	\$	\$ 0
State Contribution	\$ 0	\$	\$ 0
Local Contribution	. \$0	\$	\$ 0
Other Contribution	\$0	\$	\$ 0
Allowable Project Cost	\$ 50,000	\$0	\$ 50,000

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.039 - National Clean Diesel Funding Assistance Program (B)	Energy Policy Act 2005 Public Law 109-58	40 CFR PART 31
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Fiscal									
Site Name	Req No	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
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	10 A								
				Code	Code Organization	Code Organization	Code Organization Class	Code Organization Class	Code Organization Class Organization

DE - 97199001 - 1 Page 3

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$50,000
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$50,000
10. Indirect Costs: % Base	\$0
11. Total (Share: Recipient 0.00 % Federal 100.00 %.)	\$50,000
12. Total Approved Assistance Amount	\$50,000
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$0
15. Total EPA Amount Awarded To Date	\$50,000

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Administrative Conditions

All Administrative Conditions Remain the Same

Programmatic Conditions

All Programmatic Conditions Remain the Same

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40 67			PRG	DOC		END#	DATE OF	AWARD	
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	PROTECTIC	ON AGENCY		ACTION	- 100		MAILING	DATE	
			New	ACTION			02/10/200		
A ALLON	Cooperative	Agreement		T METHOD:			ACH#		
MAL PROTECT	Cooperative	Agreement	ASAP				10109		
RECIPIENT TYPE:			Send Pa	yment Reque as Finance Ce	st to:	08515	l as Venas	NV 89193	8515
State .			Tel: (702)	798-2406; Fax	c: (702) 798-2	423	Las regus	,	
RECIPIENT:			PAYEE:						
Connecticut D.E.P.				cut D.E.P.					
79 Elm Street			79 Elm S	CT 06106-51	27				
Hartford, CT 06106-5127 EIN: 06-6000798			Hardord,	01 00100-01					
PROJECT MANAGER		EPA PROJECT OFFIC	ER		EPA GRANT	SPECIA	LIST	14	
Tracy Babbldge		Cynthia Velt			Monique Dillo				
79 Elm Street		1 Congress Street, Suit	e 1100, COP	·	Grants Mana One Cogres	gement (MGM		
Hariford, CT 06106-5127 E-Mali: tracy.babbidge@c	1 004	Boston, MA 02114-202 E-Mail: Veit.Cynthia@		1	E-Mail: Dillo	n.Moniqu	le@epa.go	V	
Phone: 860-424-3027	1.90V	Phone: 617-918-1666			Phone: 617-	918-1970	3 7	18 ft	8 g
Department of Environment construction equipment for which contributes significan BUDGET PERIOD 03/01/2009 - 08/31/2009	tal Protection (CT DEP) their diesel oxidation ca atly to climate change. PROJEC	nce Vehicle Project 2008, this cooperative agree thirteen (13) of the 1994 r talysts (DOCs). Controllin T PERIOD 09 - 08/31/2009	nodel year o 1g diesel exh	aust also redu	ces the conce	entration	of black ca		ot particles,
Department of Environmen construction equipment for which contributes significan BUDGET PERIOD 03/01/2009 - 08/31/2009	tal Protection (CT DEP) their diesel oxidation ca ntly to climate change. PROJEC 03/01/200	2008, this cooperative agn thirteen (13) of the 1994 r talysts (DOCs). Controllin T PERIOD 09 - 08/31/2009 NOTICE	TOTAL E \$50,000.0	BUDGET PERI	OD COST	TOTA \$50,00	PROJEC	T PERIOD	COST
Department of Environmeni construction equipment for which contributes significan BUDGET PERIOD	tal Protection (CT DEP) their diesel oxidation ca atily to climate change. PROJEC 03/01/200 dated 09/17/2008, includ hereby awards \$50,000. ,000. Such award may l on of Award section and	2008, this cooperative agn thirteen (13) of the 1994 r talysts (DOCs). Controllin T PERIOD 09 - 08/31/2009 NOTICE ding all modifications and a EPA agrees to cost-shar be terminated by EPA with returning all pages of this d by EPA. This agreement	TOTAL E \$50,000.0 OF AW/ amendments e 100.00% o hout further c agreement to this subject i	BUDGET PERI BUDGET PERI 00 ARD a, the United S f all approved ause If the rec to the Grants M to applicable E sement and an	tates acting b budget period ipient fails to Anagement PA statutory y attachments	y and thi costs in \$50,00 y and thi costs in provide Diffice lis provision s.	D PROJEC DO.00 Ough the U curred, up imely affirm ed below w s. The app	T PERIOD	cost cost eental exceeding a award by a after
Department of Environmeni construction equipment for which contributes significant BUDGET PERIOD D3/01/2009 - 08/31/2009 Based on your application of Protection Agency (EPA), h otal federal funding of \$50, igning under the Affirmatic eccept, or any extension of provisions are 40 CFR Cha	tal Protection (CT DEP) their diesel oxidation ca atily to climate change. PROJEC 03/01/200 dated 09/17/2008, includ hereby awards \$50,000, ,000. Such award may I on of Award section and f time, as may be grante apter 1, Subchapter B, au E (GRANTS MANAGEM	2008, this cooperative agn thirteen (13) of the 1994 r talysts (DOCs). Controllin T PERIOD 09 - 08/31/2009 NOTICE ding all modifications and a EPA agrees to cost-shar be terminated by EPA with returning all pages of this d by EPA. This agreemen nd all terms and condition	TOTAL E \$50,000.0 OF AW/ amendments e 100.00% o hout further c agreement t nt is subject is s of this agree	ARD aust also redu BUDGET PERI 00 ARD a, the United S f all approved ause if the red to the Grants M to applicable E sement and an	tates acting b budget period cipient fails to Management d PA statutory y attachment	y and thi costs in \$50,00 y and thi costs in provide Diffice lis provision s.	D PROJEC DO.00 Ough the U curred, up imely affirm ed below w s. The app	T PERIOD	cost cost eental exceeding a award by a after
Department of Environmeni construction equipment for which contributes significant BUDGET PERIOD D3/01/2009 - 08/31/2009 Based on your application of Protection Agency (EPA), fo otal federal funding of \$50, igning under the Affirmatic eccipt, or any extension of provisions are 40 CFR Cha ISSUING OFFICE ORGANIZATION / ADDRE	tal Protection (CT DEP) their diesel oxidation ca atily to climate change. PROJEC 03/01/200 dated 09/17/2008, includ hereby awards \$50,000, ,000. Such award may I on of Award section and f time, as may be grante apter 1, Subchapter B, au E (GRANTS MANAGEM	2008, this cooperative agn thirteen (13) of the 1994 r talysts (DOCs). Controllin T PERIOD 09 - 08/31/2009 NOTICE ding all modifications and a EPA agrees to cost-shar be terminated by EPA with returning all pages of this d by EPA. This agreemen nd all terms and condition	TOTAL E \$50,000.0 OF AW/ amendments e 100.00% o hout further c agreement t nt is subject is s of this agree	BUDGET PERI BUDGET PERI DO ARD S, the United S f all approved sause if the red to the Grants M to applicable E sement and an	tates acting b budget period pipient fails to Management d PA statutory y attachment AWARD APF DRESS	y and thi costs in \$50,00 y and thi costs in provide Diffice lis provision s.	D PROJEC DO.00 Ough the U curred, up imely affirm ed below w s. The app	T PERIOD	cost cost eental exceeding a award by a after
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EPA Funding Information

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FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$	\$ 50,000	\$ 50,000
EPA In-Kind Amount	\$	\$	\$ 0
Jnexpended Prior Year Balance	\$	\$	\$0
Other Federal Funds	\$	\$	\$0
Recipient Contribution	\$	\$	\$ 0
State Contribution	\$	\$	\$ 0
Local Contribution	\$	\$	\$0
Other Contribution	\$	\$	\$0
Allowable Project Cost	\$0	\$ 50,000	\$ 50,000

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority			
66.039 - National Clean Diesel Funding Assistance	Energy Policy Act 2005 Public Law 109-58	40 CFR PART 31			
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Site Name	Req No	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
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Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost			
1. Personnel	. \$0			
2. Fringe Benefits	\$0			
3. Travel	\$0			
4. Equipment	\$44,316			
5. Supplies	\$0			
6. Contractual	\$5,134			
7. Construction	\$0			
B. Other	\$550			
9. Total Direct Charges	\$50,000			
10. Indirect Costs: % Base	\$0			
11. Total (Share: Recipient 0.00 % Federal 100.00 %.)	\$50,000			
12. Total Approved Assistance Amount	\$50,000			
13. Program Income	\$0			
14. Total EPA Amount Awarded This Action	\$50,000			
15. Total EPA Amount Awarded To Date	\$50,000			

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Administrative Conditions

1. METHOD OF PAYMENT

No payment will be made to the recipient until the executed assistance agreement is returned to the Grants Management Office.

The recipient enrolled in the Automated Standard Application for Payment (ASAP) System should request cash drawdowns based on the recipient's projected cash requirements, not to exceed those amounts necessary for immediate disbursement needs, typically 3 to 5 days.

If the recipient fails to comply with the above conditions the recipient's payment method may be changed to reimbursement.

FEDERAL CASH TRANSACTION REPORT

The recipient agrees to submit a Federal Cash Transaction Report SF272_and continuation for multiple grants SF272a_annually within 15 working days following the end of each calendar year for the reporting period January 1 ending December 31 (not required for international recipients).

Completed reports should be forwarded to the Las Vegas Finance Center (LVFC), P.O. Box 98515, as Vegas, NV 89193 or faxed to 702-798-2423. For instructions on how to complete this form can be found at the following website:

http://www.epa.gov/ocfo/finservices/payinfo.htm

2. EPA'S FINANCIAL OBLIGATIONS

EPA's financial obligations to the recipient are limited by the amount of federal funding awarded to date as shown on line 15 in its EPA approved budget. If the recipient incurs costs in anticipation of receiving additional funds from EPA, it does so at its own risk.

3. PAYMENT TO CONSULTANTS

EPA participation in the salary rate (excluding overhead) paid to individual consultants retained by recipients or by a recipient's contractors or subcontractors shall be limited to the maximum daily rate for a Level IV of the Executive Schedule (formerly GS-18), to be adjusted annually. This limit applies to consultation services of designated individuals with specialized skills who are paid at a daily or hourly rate. As of January 1, 2009, the limit is \$587.20 per day and \$73.40 per hour. This rate does not include transportation and subsistence costs for travel performed (the recipient will pay these in accordance with their normal travel reimbursement practices).

Subagreements with firms for services which are awarded using the procurement requirements in 40 CFR 30 or 31, as applicable, are not affected by this limitation unless the terms of the contract provide the recipient with responsibility for the selection, direction, and control of the individuals who will be providing services under the contract at an hourly or daily rate of compensation. See 40 CFR 31.36(j) or

30.27(b).

4. UTILIZATION OF SMALL, MINORITY AND WOMEN'S BUSINESS ENTERPRISES GENERAL COMPLIANCE, 40 CFR, Part 33

The recipient agrees to comply with the requirements of EPA's Program for Utilization of Small, Minority and Women's Business Enterprises in procurement under assistance agreements, contained in 40 CFR, Part 33.

FAIR SHARE OBJECTIVES, 40 CFR, Part 33, Subpart D

A recipient must negotiate with the appropriate EPA award official, or his/her designee, fair share objectives for MBE and WBE (MBE/WBE) participation in procurement under the financial assistance agreements.

Current Fair Share Objective/Goal

The award amount of this assistance agreement is \$250,000, or less; or the total dollar amount of all of the recipient's financial assistance agreements from EPA in the current Federal fiscal year is \$250,000, or less. Therefore, the recipient of this assistance agreement is exempt from the fair share objective requirements of 40 CFR, Part 33, Subpart D, and is not required to negotiate a fair share objective/goal for the utilization of MBE/WBEs in its procurements.

SIX GOOD FAITH EFFORTS, 40 CFR, Part 33, Subpart C

Pursuant to 40 CFR, Section 33.301, the recipient agrees to make the following good faith efforts whenever procuring construction, equipment, services and supplies under an EPA financial assistance agreement, and to require that sub-recipients, loan recipients, and prime contractors also comply. Records documenting compliance with the six good faith efforts shall be retained:

(a) Require DBEs are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities. For Indian Tribal, State and Local and Government recipients, this will include placing DBEs on solicitation lists and soliciting them whenever they are potential sources.

(b) Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process. This includes, whenever possible, posting solicitations for bids or proposals for a minimum of 30 calendar days before the bid or proposal closing date.

(c) Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs. For Indian Tribal, State and local Government recipients, this will include dividing total requirements when economically feasible into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.

(d) Encourage contracting with a consortium of DBEs when a contract is too

large for one of these firms to handle individually.

(e) Use the services and assistance of the SBA and the Minority Business Development Agency of the Department of Commerce.

(f) If the prime contractor awards subcontracts, require the prime contractor to take the steps in paragraphs (a) through (e) of this section.

MBE/WBE REPORTING, 40 CFR, Part 33, Sections 33.502 and 33.503

The recipient agrees to complete and submit EPA Form 5700-52A, "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements and Interagency Agreements" beginning with the Federal fiscal year reporting period the recipient receives the award, and continuing until the project is completed. Only procurements with certified MBE/WBEs are counted toward a recipient's MBE/WBE accomplishments. The reports must be submitted semiannually for the periods ending March 31st and September 30th for:

Recipients of financial assistance agreements that capitalize revolving loan programs (CWSRF, DWSRF, Brownfields); and

All other recipients not identified as annual reporters (40 CFR Part 30 and 40 CFR Part 35, Subpart A and Subpart B recipients are annual reporters).

The reports are due within 30 days of the end of the semiannual reporting periods (April 30th and October 30th). Reports should be sent to: Environmental Protection Agency One Congress Street Suite 1100 Code MGM Boston, MA. 02114 Attn: Valerie Batalle

. Final MBE/WBE reports must be submitted within 90 days after the project period of the grant ends. Your grant cannot be officially closed without all MBE/WBE reports.

EPA Form 5700-52A may be obtained from the EPA Office of Small Business Program's Home Page on the Internet at <u>www.epa.gov/osbp</u>.

CONTRACT ADMINISTRATION PROVISIONS, 40 CFR, Section 33.302

The recipient agrees to comply with the contract administration provisions of 40 CFR, Section 33.302.

BIDDERS LIST, 40 CFR, Section 33.501(b) and (c)

Recipients and sub recipients of a Continuing Environmental Program Grant or other annual reporting grant, agree to create and maintain a bidders list. Recipients of an EPA financial assistance agreement to capitalize a revolving loan fund also agree to

5. DRUG FREE WORKPLACE CERTIFICATION

The recipient organization of this EPA assistance agreement must make an ongoing, good faith effort to maintain a drug-free workplace pursuant to the specific requirements set forth in Title 40 CFR 36.200 - 36.230. Additionally, in accordance with these regulations, the recipient organization must identify all known workplaces under its federal awards, and keep this information on file during the performance of the award.

Those recipients who are individuals must comply with the drug-free provisions set forth in Title 40 CFR 36.300.

The consequences for violating this condition are detailed under Title 40 CFR 36,510. Recipients can access the Code of Federal Regulations (CFR) Title 40 Part 36 at <u>http://www.access.gpo.gov/nara/cfr/waisidx_06/40cfr36_06.html</u>.

6. HOTEL-MOTEL FIRE SAFETY

Pursuant to 40 CFR 30.18, if applicable, and 15 USC 2225a, the recipient agrees to ensure that all space for conferences, meetings, conventions, or training seminars funded in whole or in part with federal funds complies with the protection and control guidelines of the Hotel and Motel Fire Safety Act (PL 101-391, as amended). Recipients may search the Hotel-Motel National Master List at

http://www.usfa.dhs.gov/applications/hotel/ to see if a property is in compliance (FEMA ID is currently not required), or to find other information about the Act.

7. LOBBYING AND LITIGATION - ALL RECIPIENTS

The chief executive officer of this recipient agency shall ensure that no grant funds awarded under this assistance agreement are used to engage in lobbying of the Federal Government or in litigation against the United States unless authorized under existing law. The recipient shall abide by its respective OMB Circular (A-21, A-87, or A-122), which prohibits the use of federal grant funds for litigation against the United States or for lobbying or other political activities.

8. LOBBYING

The recipient agrees to comply with Title 40 CFR Part 34, *New Restrictions on Lobbying*. The recipient shall include the language of this provision in award documents for all subawards exceeding \$100,000, and require that subrecipients submit certification and disclosure forms accordingly.

In accordance with the Byrd Anti-Lobbying Amendment, any recipient who makes a prohibited expenditure under Title 40 CFR Part 34 or fails to file the required certification or lobbying forms shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure.

9. MANAGEMENT FEES

Management fees or similar charges in excess of the direct costs and approved indirect rates are not allowable. The term "management fees or similar charges" refers to expenses added to the direct costs in order to accumulate and reserve funds for

ongoing business expenses, unforeseen liabilities, or for other similar costs which are not allowable under this assistance agreement. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work.

10. SINGLE AUDIT ACT OMB A- 133

In accordance with OMB Circular A-133, which implements the single Audit Act, the recipient hereby agrees to obtain a single audit from an independent auditor if it expends \$500,000 or more in total Federal funds in any fiscal year. Within nine months after the end of a recipient's fiscal year or 30 days after receiving the report from the auditor, the recipient shall submit a copy of the SF-SAC and a Single Audit Report Package. For fiscal periods 2002 to 2007 recipients are to submit hardcopy to the following address:

Federal Audit Clearinghouse 1201 East 10th Street Jeffersonville, IN 47132

For fiscal periods 2008 and beyond the recipient <u>MUST</u> submit a copy of the SF-SAC and a Single Audit Report Package, using the Federal Audit Clearinghouse's Internet Data Entry System. Complete information on how to accomplish the 2008 and beyond Single Audit Submissions you will need to visit the Federal Audit Clearinghouse Web site: http://harvester.census.gov/fac/

11. RESOURCE CONSERVATION AND RECOVERY ACT (RCRA)

In accordance with Section 6002 of the Resource Conservation and Recovery Act (RCRA) (42 U.S.C. 6962) any State agency or agency of a political subdivision of a State which is using appropriated Federal funds shall comply with the requirements set forth. Regulations issued under RCRA Section 6002 apply to any acquisition of an item where the purchase price exceeds \$10,000 or where the quantity of such items acquired in the course of the preceding fiscal year was \$10,000 or more. RCRA Section 6002 requires that preference be given in procurement programs to the purchase of specific products containing recycled materials identified in guidelines developed by EPA. These guidelines are listed in 40 CFR 247.

12. RECYCLE

In accordance with 40 CFR 30.16, State and local institutions of higher education, hospitals, and non-profit organizations that receive direct Federal funds shall give preference in their procurement programs funded with Federal funds to the purchase of recycled products pursuant to EPA's guidelines.

13. CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

Recipient shall fully comply with Subpart C of 2 CFR Part 180 and 2 CFR Part 1532, entitled "Responsibilities of Participants Regarding Transactions (Doing Business with Other Persons)." Recipient is responsible for ensuring that any lower tier covered transaction as described in Subpart B of 2 CFR Part 180 and 2 CFR Part 1532, entitled "Covered Transactions," includes a term or condition requiring compliance with Subpart C. Recipient is responsible for further requiring the inclusion of a similar term or condition in any subsequent lower tier covered transactions. Recipient acknowledges that failing to disclose the information as required at 2 CFR 180.335 may result in the delay or negation of this assistance agreement, or pursuance of legal remedies, including suspension and debarment.

Recipient may access the Excluded Parties List System at <u>www.epls.gov.</u> This term and condition supersedes EPA Form 5700-49, "Certification Regarding Debarment, Suspension, and Other Responsibility Matters."

14. TRAFFICKING VICTIMS PROTECTION ACT OF 2000

To implement requirements of Section 106 of the Trafficking Victims Protection Act of 2000, as amended, the following provisions apply to this award:

a. We, as the Federal awarding agency may unilaterally terminate this award, without penalty, if a subrecipient that is a private entity: (1) is determined to have violated an applicable prohibition in the Prohibition Statement below; or (2) has an employee who is determined by the agency official authorized to terminate the award to have violated an applicable prohibition in the Prohibition Statement below through conduct that is either: (a) associated with performance under this award; or (b) imputed to the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 2 CFR part 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," as implemented by our agency at 2 CFR part 1532. You must inform us immediately of any information you receive from any source alleging a violation of a prohibition in the Prohibition Statement below.

b. Our right to terminate unilaterally that is described in paragraph a of this award term: (1) implements section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA), as amended (22 U.S.C. 7104(g)), and (2) is in addition to all other remedies for noncompliance that are available to us under this award.

c. You must include the requirements of the Prohibition Statement below in any subaward you make to a private entity.

<u>Prohibition Statement</u> - You as the recipient, your employees, subrecipients under this award, and subrecipients' employees may not engage in severe forms of trafficking in persons during the period of time that the award is in effect; procure a commercial sex act during the period of time that the award is in effect; or use forced labor in the performance of the award or subawards under the award.

15. UNLIQUIDATED OBLIGATIONS FOR PART 31 RECIPIENTS

Pursuant to 40 CFR 31.41(b) and 31.50(b), EPA recipients shall submit a final Financial Status Report - also called the SF269 - to EPA's Las Vegas Finance Center (LVFC), within ninety (90) days after the expiration of the budget period end date. Completed SF269s must be faxed to 702-798-2423 or mailed to the following address: USEPA LVFC, P.O. Box 98515, Las Vegas, NV 89193-8515.

The LVFC will make adjustments, as necessary, to obligated funds after reviewing and accepting a final Financial Status Report. Recipients will be notified and instructed by EPA if they must complete any additional forms for the closeout of the assistance agreement.

EPA may take enforcement actions in accordance with 40 CFR 31.43 if the recipient does not comply with this term and condition.require entities receiving identified loans to create and maintain a bidders list if the recipient of the loan is subject to, or chooses to follow, competitive bidding requirements. Please see 40 CFR, Section 33.501 (b) and (c) for specific requirements and exemptions.

Programmatic Conditions

PROGRAMMATIC TERMS AND CONDITIONS

1. PERFORMANCE REPORTS

In accordance with 40 C.F.R. §31.40, the recipient agrees to submit performance reports that include brief information on each of the following areas: 1) a comparison of actual accomplishments to the outputs/outcomes established in the assistance agreement workplan for the period; 2) the reasons for slippage if established outputs/outcomes were not met; and 3) additional pertinent information, including, when appropriate, analysis and

information of cost overruns or high unit costs.

In accordance with 40 C.F.R. § 31.40 (d), the recipient agrees to inform EPA as soon as problems, delays or adverse conditions become known which will materially impair the ability to meet the outputs/outcomes specified in the assistance agreement work plan.

2. Substantial Federal Involvement

EPA will provide substantial involvement in the form of technical assistance, development of outputs, and oversight. Specifically, substantial federal involvement will take the form of monitoring the project by EPA; participation and collaboration between EPA and the recipient in program content; review of project progress, and quantification and reporting of results; recipient agrees to comply with 40 C.F.R. 31 for assistance agreements.

3. Emissions Control Technologies

Certified engine configurations or verified technologies must be used for Emissions Control Projects funded by the recipient pursuant to this assistance agreement. Technologies are verified under EPA or California's Retrofit Verification Program. See <u>http://www.epa.gov/otaq/retrofit/retroverifiedlist.htm</u> for an updated list of EPA's verified

http://www.epa.gov/otaq/retroll/retroll/retrovermedist.htm for an updated list of EPA's vermed technologies and http://www.arb.ca.gov/diesel/verdev/vt/cvt.htm for a list of the California Air Resource Board's verified technologies. Any question as to the preference of a retrofit technology, including engine replacement and repowers, should be directed to the EPA Project Officer.

 Quarterly Reporting and Environmental Results Recipient agrees to submit quarterly progress reports to the EPA Project Officer within 30 days after the end of each reporting period. The reporting period begins at the project start date.

Format and content requirements may be subject to change during the project/budget period. Quarterly reports are considered project status reports and will address the progress made regarding achieving the work plan goals. Quarterly reports should contain:

- A complete reporting spreadsheet as provided by EPA
- Complete responses to narrative questions as provided by EPA

Please note that estimated and actual benefits calculations will be required.

5. Final Report:

The final project report will include actual results (including emissions calculations) in addition to the successes and lessons learned for the entire project and all categories of information required for quarterly reporting in the spreadsheet and narrative questions provided by EPA. This report shall be submitted to the Project Officer within 90 days as a maximum after the expiration or termination of the assistance agreement.

6 Use of Funds Restriction:

The recipient agrees that funds under this award cannot be used for emissions reductions that are mandated under Federal, State or local law. This refers to when the mandate takes effect not when it is passed. Funds can be used for measures that are included in the State Implementation Plan (SIP).

7. Delays or Favorable Developments:

The recipient agrees that it will promptly notify EPA of any problems, delays, or adverse conditions which may materially impair its ability to deliver on the outputs/outcomes specified in the work plan. This disclosure must include a statement of the action taken, or contemplated, and any assistance needed to resolve the situation.

The recipient agrees that it will also notify EPA of any favorable developments which may enable meeting time schedules and objectives sconer or at less cost than anticipated or producing more beneficial results than originally planned.

8. Procurement and Sub-grant Procedures:

The recipient must follow applicable procurement and sub-grant procedures. EPA will not be a party to these transactions. Approval of a funding proposal does not relieve recipients of their obligations to compete service contracts, conduct cost and price analyses, and use sub-grants only for financial assistance purposes, in accordance with Subpart B Section .210 of OMB Circular A-133.

9. Employee and/or Contractor Selection:

EPA will not help select employees or contractors hired by the recipient.

10. Scrappage: Recipient agrees to require scrappage of vehicles and/or engines in the case of engine and/or vehicle replacement for all projects funded by the recipient, including sub-awards and sub-grants. For engine and/or vehicle replacement or repower, the following criteria apply:

The vehicle, engine, or equipment being replaced will be scrapped, or the replaced engine would be returned to the original engine manufacturer for remanufacturing to a cleaner standard; The replacement vehicle, engine, or equipment will perform the same function as the vehicle, engine, or equipment that is being replaced (e.g., an excavator used to dig pipelines would be replaced by an excavator that continues to dig pipelines); and . The replacement vehicle, engine, or equipment will be of the same type and similar gross vehicle weight rating or horsepower as the vehicle, engine, or equipment being replaced (e.g., a 300 horsepower buildozer is replaced by a buildozer of similar horsepower).

In addition, this program funds the early replacement of vehicles, engines and/or equipment. Emission reductions that result from vehicle, engine, or equipment replacements that would have occurred through normal attrition are considered to be the result of normal fleet turnover and are not eligible for funding under this program. The purchase of new vehicles or equipment to expand a fleet is not covered by this program. To be considered a replacement, the purchase of new vehicles, engines, and equipment must be accompanied by the scrappage or remanufacturing of old vehicles, engines and equipment. Furthermore, for engine repowers, EPA requires that the engine being replaced must be scrapped, remanufactured by an original engine manufacturer to a cleaner emission standard or rendered permanently disabled. Drilling a hole in the engine block and manifold while retaining possession of the engine is an acceptable scrapping method. Other methods may be considered. Evidence of appropriate disposal is required in a final assistance agreement report submitted to EPA.

11. Equipment purchased with funds from this grant will remain with the recipient.
EPA will not seek the return of equipment purchased with this grant funding.