

STATE OF CONNECTICUT DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION OFFICE OF ADJUDICATIONS

IN THE MATTER OF : APPLICATION NO. 202112384

THE RONCALLI

INSTITUTE, INC. : DECEMBER 11, 2023

PROPOSED FINAL DECISION

I. INTRODUCTION

The present matter concerns an application submitted by The Roncalli Institute, Inc. ("Applicant") for permission to retain existing unauthorized dock configurations in its marina basin and on the Connecticut River and install additional related structures, and whether those proposed activities comport with the requirements incumbent on structures, dredging, and fill set forth in General Statutes §§ 22a-359 through 22a-363. Following review of the application, the Department of Energy and Environmental Protection's Land and Water Resource Division ("Department") determined that the application complied with applicable statutory standards and accordingly issued a Notice of Tentative Determination to approve the application and issue a Draft License containing additional conditions to minimize environmental impacts. The Office of Adjudications thereafter received a timely petition from an adjacent property owner, The Middlesex Land Trust, Inc. ("Petitioner"), requesting a hearing on this matter, which was subsequently held.

I have reviewed the entire administrative record in this proceeding, including the documents and testimony entered into the record as evidence, as well as the Proposed Findings of Fact and Conclusions of Law filed by the Department and the Applicant. Likewise, I have fully reviewed the public comments and questions posed by the Petitioner and other members of the public throughout the pendency of this proceeding and taken such public comment into consideration in my evaluation of the totality of the



evidence and the relevant statutory standards. Based on my review of the entirety of the record, I conclude that, if conducted in accord with all terms and conditions of the Draft License, the Applicant's proposed regulated activities will be consistent with all applicable statutory standards and minimize potential environmental impacts. Accordingly, as set forth in greater detail in my Findings of Fact and Conclusions of Law, *infra*, I recommend that the Commissioner finalize and issue the Draft License.¹

II. PROCEDURAL HISTORY

The Parties to this proceeding are the Applicant and the Department. On May 22, 2023, the Office of Adjudications received a Petition for Hearing (dated May 19, 2023) from the Petitioner, receipt of which initiated the present proceeding. An initial Status Conference among the Parties was convened on June 12, 2023 at which time a schedule and procedures for the proceeding were established. The Hearing Officer thereafter conducted a site visit with the Parties on July 13, 2023, followed by a supplemental site visit with the Parties on August 31, 2023 due to flood conditions along the Connecticut River during the initial site visit. Following receipt of the Parties' Pre-Hearing Exchanges of Information, a Pre-Hearing Conference was convened on September 6, 2023. On September 13, 2023, the Hearing Officer presided over a Public Comment Hearing at which comments concerning the application were received from the Petitioner and members of the public. Additional written public comment was received through September 15, 2023. A full evidentiary hearing on the application was held before the Hearing Officer on September 26, 2023 at which time witnesses for both Parties provided oral and written testimony. Both Parties thereafter filed Post-Hearing Briefs, including Proposed Findings of Fact and Conclusions of Law, by November 13, 2023.

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¹ Both Parties submitted Post-Hearing Briefs, including Proposed Findings of Fact and Conclusions of Law, in this matter. Although I have not fully adopted either the Department's or Applicant's Proposed Findings of Fact and Conclusions of Law as part my Proposed Final Decision, I have extensively relied upon them and considered them in the issuance of this Proposed Final Decision.



III. FINDINGS OF FACT

- 1. On December 27, 2021, the Applicant filed Application No. 202112384 with the Department. The application sought the Department's approval to install two dolphins, a tie-off pile, and two seasonal debris booms as well as retain existing unauthorized dock configurations within the Applicant's marina and on the Connecticut River and establish a marina boundary within the marina basin. Ex. DEEP-05.
- 2. Alongside its application, the Applicant submitted to the Department a four-times the standard application fee due to the unauthorized dock configurations present at the site, which had resulted in the Department's prior issuance of Notice of Violation, which issuance of the Draft License and payment of the fee resolved. Exs. DEEP-03; DEEP-05.
- 3. Following a review of the application by Department staff, the Department determined that the application was insufficient and duly issued a Notice of Insufficiency to the Applicant on April 13, 2022. Ex. DEEP-09.
- 4. The Petitioner, The Middlesex Land Trust, Inc., owns property directly adjacent to the Applicant's property wherein the regulated activities that form the basis of the application will occur. Ex. DEEP-13. Following receipt of comments by the Petitioner regarding the application, Department staff met with representatives of the Petitioner on multiple occasions to understand and address the Petitioner's concerns. Exs. DEEP-13; DEEP-14.
- 5. Following revision of the original insufficient application materials, the Applicant resubmitted its application to the Department on November 30, 2022. Ex. DEEP-15.
- 6. Department staff reviewed the resubmitted application and published a Notice of Tentative Determination to Approve the Draft License on April 20, 2023. Ex. DEEP-21.
- 7. The Draft License authorizes the Applicant to conduct the regulated activities sought, namely the installation of two dolphins, a tie-off pile, and two seasonal debris booms; retain the existing dock



configurations within the marina and on the Connecticut River; and establish a marina boundary in the marina basin. Ex. DEEP-20. The Draft License contains additional conditions designed to minimize the environmental impact of the regulated activities. *Id*.

- 8. The Draft License conditions further minimize environmental impacts by requiring:
 - a. Seasonal soft start restrictions and pile driving parameters in order to protect potentially impacted fisheries;
 - Seasonal minimum protection zones and work restrictions in order to protect known extant populations of Bald Eagle (*Haliaeetus leucocephalus*) and nesting sites;
 - c. A prohibition on the overnight berthing of vessels on the Connecticut River pier;
 - d. Posting of visible signs indicating the availability of marine pumpout and the associated hours of operation, and;
 - e. Limitations on future potential reconfigurations of the marina within the marina boundary. Ex. DEEP-20.
- 9. The structures proposed in the application will not impact navigation on the Connecticut River nor will they interfere with any riparian rights vested in the adjacent property. Testimony of Y. Cooley (Sept. 26, 2023 at 43:50-47:22)²; Exs. DEEP-16; DEEP-24.
- 10. The structures proposed in the application will not pose a threat to the safety of paddlers and/or boaters utilizing small craft for recreational purposes in the same area. Testimony of Y. Cooley (Sept. 26, 2023 at 47:00-47:17).

² All citations to testimony provided at the evidentiary hearing in this matter refer to the Zoom recording of the hearing, a copy of which remains on file with the Office of Adjudications.



- 11. The previously unauthorized structures and dock configurations authorized under the Draft License are located entirely within the apparent riparian area associated with the Applicant's underlying real property. Testimony of B. Golembiewski (Sept. 26, 2023 at 37:00-38:22).
- 12. Department staff's review of the application included consideration of species-specific impacts under the Natural Diversity Data Base and other coastal resources, including fisheries, as reflected in the conditions imposed on the Draft License. Exs. DEEP-06; DEEP-07; DEEP-08; DEEP-15; DEEP-20.
- 13. Erosion currently occurring along the Connecticut River shoreline where the regulated activities set forth in the Draft License will occur is due to existing routine riparian activity, particularly wave action associated with general boating in the subject section of the Connecticut River given the relative proximity of the navigational channel to the shoreline in this area. Ex. DEEP-19.

IV. CONCLUSIONS OF LAW

The present proceeding concerns the Department's issuance of a license to conduct a regulated activity and was therefore convened and conducted as a contested case pursuant to the parameters of the Connecticut Uniform Administrative Procedure Act; *see* Conn. Gen. Stat. §§ 4-166(8); 4-177, *et seq.*; and the Department's Rules of Practice. *See* Regs., Conn. State Agencies §§ 22a-3a-2—22a-3a-6. As this proceeding concerns an application, the burden of proof rests with the Applicant. Regs., Conn. State Agencies § 22a-3a-6(f).

During the present proceeding, both Parties offered the testimony of expert witnesses as evidence to be entered into the record. As a general matter, the finder of fact "is not required to believe unrebutted expert testimony, but may believe all, part or none on such unrebutted expert evidence." *Bancroft v. Commissioner of Motor Vehicles*, 48 Conn. App. 391, 405, 710 A.2d 807 (1998). The Applicant offered the expert testimony of Eugene Robida and the Department offered the expert testimonies of Farrah Ashe,



Brian Golembiewski, and Yolanda Cooley. As the Hearing Officer, I found the expert testimonies to be credible, convincing, and uncontradicted in the evidentiary record. Accordingly, I have relied upon the testimony of these expert witnesses in arriving at my decision in this matter. *See id.; Bain v. Inland Wetlands Commission*, 78 Conn. App. 808, 817, 829 A.2d 18 (2003); *see also Tanner v. Conservation Commission*, 15 Conn. App. 336, 340-41, 544 A.2d 258 (1988).

The central legal question in this matter is whether the application comports with the state statutes governing dredging, the erection of structures, and the placement of fill within the tidal, coastal, and navigable waters of the state, over which the Commissioner is given explicit statutory jurisdiction. *See* General Statutes § 22a-359(a). When the Department is called upon to evaluate an application implicating such activities, it must consider and make its decision with:

"...due regard for indigenous aquatic life, fish and wildlife, the prevention or alleviation of shore erosion and coastal flooding, the use and development of adjoining uplands, the improvement of coastal and inland navigation for all vessels, including small craft for recreational purposes, the use and development of adjacent lands and properties and the interests of the state, including pollution control, water quality, recreational use of public water and management of coastal resources, with proper regards for the rights and interests of all persons concerned."

General Statutes § 22a-359(a).

As such, any entity seeking to construct or maintain a structure within tidal, coastal, or navigable waters must obtain the approval of the Department and receive a permit and/or license authorizing such activity and the attendant activity required thereof. General Statutes § 22a-361(a)(1). In such instances the Department conducts its review in accordance with the principles set forth in the Coastal Management Act. See General Statutes §§ 22a-90 through 22a-112; see also Department's Post-Hearing Brief, In the Matter of the Roncalli Institute, Inc., p. 4 (Nov. 9, 2023).

First, based on the evidence in the record and governing law, I conclude that the activity proposed in the Draft License comports with the statutory requirements governing structures, dredging, and fill, as



reflected in the Findings of Fact, *supra*, and that the Applicant has satisfied its burden of proof.³ The regulated activity permitted by the Draft License will occur entirely within the Applicant's apparent riparian area. Likewise, the conditions affixed to the Draft License ensure that any impacts to wildlife, fisheries, shoreline erosion, and the use of small watercraft for personal recreation will not be impacted should the conditions be complied with fully. Notably, the Draft License contains significant restrictions on how and when work may be performed at the site in order to safeguard the vitality of wildlife and fisheries that may otherwise be impacted.

Second, the conditions imposed upon the Applicant in the Draft Licensure ensure that any impacts on the environment attributable to the regulated activities will be minimized. As set forth in my Findings of Fact, *supra*, Department staff reviewed the application and concluded that retention of the previously unauthorized dock configurations were not inconsistent with current law and would not inflict harm on the environment by remaining in place. Similarly, the testimony of Ms. Ashe indicated that shoreline erosion was due to existing conditions along the Connecticut River and would not be further impacted by the regulated activities authorized in the Draft License. The testimony of Ms. Cooley indicated that the regulated activity would not impact the safety of paddlers or other boaters and that the structures would not have an adverse impact on other navigation. The conditions attached to the Draft License also ensure that any activity pursuant to the Draft License will not impact fisheries or wildlife in the area. Accordingly, I conclude that the Applicant's compliance with the terms and conditions of the Draft License, which were arrived at after extensive environmental review by Department staff, will minimize environmental impacts to the extent possible. *See Briggs v. State Employees Retirement Commission*, 210 Conn. 214, 217-18, 554 A.2d 292 (1989) (agency composed of experts may rely on its own expertise

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³ In its Post-Hearing Brief, the Applicant adopted by reference the Proposed Findings of Fact and Conclusions of Law previously filed by the Department in this matter. *See* Response to Department's Post-Hearing Brief, *In the Matter of the Roncalli Institute, Inc.*, (Nov. 13, 2023).



within areas of professional competence); see also Connecticut Building Wrecking Co., Inc. v. Carothers, 218 Conn. 580, 593, 590 A.2d 447 (1991).

V. PUBLIC COMMENT

Over the course of this proceeding, the Petitioner and other members of the public submitted extensive public comment reflecting ongoing concern with the activities proposed by the Applicant and the impacts that such activities may inflict on the Petitioner's adjacent property if the Department permitted such activities to proceed. I have thoroughly and thoughtfully reviewed the public comment submitted in this matter and although it reflects legitimate concern on the part of the Petitioner and others, the public comment received does not demonstrate that the subject application is inconsistent with governing Connecticut law.

First, the Petitioner and other members of the public voice significant disagreement with the fact that the Applicant was able to resolve its previous Notice of Violation by paying a quadruple application fee when applying to have previously unauthorized structures be approved by the Department. Public Comment of The Middlesex Land Trust., Inc., p. 2-3 (Sept. 14, 2023). Ultimately, Connecticut law explicitly provides for this remedy, namely that those entities with previously unauthorized structures may cure such defects by paying a four-times the standard application fee so long as the structures are otherwise consistent with current statutory and regulatory requirements. *See* General Statutes § 22a-361(a)(2).⁴ I recognize that although the Petitioner and other members of the public may legitimately disagree with such an approach as a matter of public policy, the laws of the state explicitly provide for such relief and the Applicant has appropriately availed itself of—and the Department has accepted as sufficient—such relief in this matter.

⁴ General Statutes § 22a-361(a)(2) provides, in pertinent part: "The application fee for the retention of a structure built in violation of this subsection where such structure is ineligible for a certification of permission under section 22a-363b, shall be four times the fee calculated..."



Second, the Petitioner and other members of the public voiced concern about the potential use of the Applicant's Connecticut River pier by undetermined vessels and potential noise, shoreline erosion, and pollution associated with any such vessels. Public Comment of The Middlesex Land Trust, Inc., p. 3-4; 5 (Sept. 14, 2023); Public Comment of S. Winquist (Sept. 14, 2023). Upon review of the entire evidentiary record, I conclude that these concerns, although not implausible, are unfounded at this juncture. There exists no evidence in the record that the Applicant has authorized the docking of inappropriate or environmentally destructive vessels at its pier nor does there exist any evidence that the Applicant intends to do so. The Department's review concluded that maintaining the current dock configurations and approving the additional activity subject to the Draft License would not impact navigation on the Connecticut River channel nor would it impact the use of recreational watercraft or impacts upon shoreline erosion. In the event that noncompliance with the Draft License does occur, the Department retains its full authority to enforce against any potential violations.

Third, the public raised concerns with the potential impact on the competing riparian property rights of the Petitioner's property and the Applicant, given the adjacent locations of the underlying real properties. Public Comment of S. Winquist (Sept. 14, 2023). Consistent with the provisions of the Coastal Management Act, in the course of the Department's review of the application, the Department determined that the regulated activities subject to the Draft License would occur entirely within the apparent riparian area of the Applicant's underlying property. *See* Testimony of Y. Cooley (Sept. 26, 2023 at 43:50-47:22); Exs. DEEP-16; DEEP-24. To the extent that any entity contests the Applicant's use of its property against the rights of adjacent property owners, the actual enforcement of such property rights and/or privileges is incumbent upon the impacted property owner and not upon the Department.

In summation, the evidentiary record reflects that in the course of its review of the application, the Department made reasonable efforts to address the Petitioner's concerns and the Draft License reflects those efforts. Exs. DEEP-13; DEEP-14. As the Hearing Officer, it is clear to me that the Petitioner and



other members of the public have extensive concerns with the activity authorized by the Draft License. As set forth above, however, the Department has addressed such concerns as it reasonably could within the conditions of the Draft License and many of the public comments noted are beyond the Department's ability to address or unfounded and speculative at this time.

VI. CONCLUSION AND RECOMMENDATION

If conducted as proposed and in accord with the terms and conditions of the Draft License, the proposed activities to be regulated will be consistent with all applicable statutes and regulations and minimize any impact to the environment. I therefore recommend that the Commissioner finalize and issue the requested Draft License⁵ forthwith.

/s/Hndrew W. Minikowski

Andrew W. Minikowski, Esq. Hearing Officer

Dated: December 11, 2023

cc: Service List

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⁵ A copy of the Draft License is attached hereto as "Attachment I."



SERVICE LIST

In the Matter of The Roncalli Institute, Inc. – Proposed Final Decision (Application No. 202112384)

SERVICE VIA ELECTRONIC MAIL

December 11, 2023

<u>Applicant – The Roncalli Institute, Inc.</u>

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<u>Petitioner – The Middlesex Land Trust, Inc.</u>

David Brown, Executive Director 27 Washington Street Middletown, Connecticut 06457 dbrown@middlesexlandtrust.org





ATTACHMENT I

Bureau of Water Protection and Land Reuse Land & Water Resources Division

79 Elm Street • Hartford, CT 06106-5127

portal.ct.gov/DEEP

Affirmative Action/Equal Opportunity Employer

Connecticut Department of Energy and Environmental Protection License*

Structures, Dredging & Fill Permit

Licensee(s): The Roncalli Institute, Inc. Licensee Address(s): PO Box 427 Portland, CT 06480 License Number(s): 202112384-SDF Municipality: East Hampton **Project Description:** Retain unauthorized dock configurations, install dolphins and seasonal debris booms, and establish marina boundary for marine commercial use. Project Address/Location: 49 Oakum Dock Road Waters: Connecticut River Authorizing CT Statute(s) CGS Section 22a-359 to 363g and/or Federal Law: **Applicable Regulations of CT State Agencies:** Agency Contact: Land & Water Resources Division, Bureau of Water Protection & Land Reuse, 860-424-3019 **License Expiration:** Five (5) years from the date of issuance of this license. Project Site Plan Set: Proposed Pilings and Debris Booms, 7 sheets, prepared by Robida Engineering, LLC, dated January 1, 2021 and revised November 25, 2022. License Enclosures: NDDB Determination 202104801 Letter; LWRD Compliance Certification Form; Land Record Filing; LWRD General Conditions; Site Plan Set; LWRD Work Commencement Form

License Number(s): 202112384-SDF

Authorized Activities:

The Licensee is hereby authorized to conduct the following work as described in application # 202112384 and as depicted on any site plan sheets / sets cited herein:

- 1. Retain the existing dock configuration and associated piles in the marina basin which are as follows:
 - a. A 5' wide by 143' long main float with four (4), 4' wide and 30' long finger floats at the western side of the basin;
 - b. A 5' wide by 137' long main float with four (4), 4' wide and 30' long finger floats at the eastern side of the basin;
 - c. An irregular float and connection float approximately 502 square feet (sf) at the southern portion of the basin connecting to the eastern float;
 - d. An irregular float approximately 280 sf at the northern end of the basin connecting the eastern and western floats; and
 - e. A 4' wide 28' long ramp leading to a 4' by 10' platform connecting to the continued 4' wide 22' long ramp and associated approximately 200 sf landing float;
- 2. Retain 300 sf of a fixed pier on the Connecticut River and expand the water-dependent use of the structure for embarking and disembarking large vessels;
- 3. Install two (2), four-pile dolphins and one tie-off pile adjacent to the pier on the Connecticut River as depicted on site plan set sheet 5 of 7;
- 4. Install bumpers and cleats to the existing fixed pier on the Connecticut River;
- 5. Install two seasonal debris booms (approximately 83' long and approximately 97' long) at the marina basin entrance and from the northernmost dolphin to the shore as depicted on sheet 5 of 7 of the site plan set; and
- 6. Establish a marina boundary as depicted on site plan set sheet 5 of 7.

Failure to comply with the terms and conditions of this license shall subject the Licensee and / or the Licensee's contractor(s) to enforcement actions and penalties as provided by law.

This license is subject to the following Terms and Conditions:

- 1. **License Enclosure(s) and Conditions.** The Licensee shall comply with all applicable terms and conditions as may be stipulated within the License Enclosure(s) listed above.
- 2. **Fisheries Protection.** A soft start shall be required between May 1 and October 31 when using impact hammers. A soft start shall be used at the beginning of each shift that requires pile driving. Pile driving shall commence with an initial set of three strikes by the hammer at 40% energy, followed by a one minute wait period, then two subsequent three-strike sets at 40% energy, with one minute waiting period before initiating continuous impact driving.
- 3. **Bald Eagle Protection Measures.** The Licensee shall adhere to the following protection measures for bald eagle (*Haliaeetus leucocephalus*) outlined in the September 19, 2021 NDDB Determination 202104801 letter from Dawn McKay attached herein.

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License Number(s): 202112384-SDF Page 3 of 3

4. Overnight Use of Connecticut River Pier. The Licensee shall prohibit the berthing of vessels overnight at the expanded pier authorized herein on the Connecticut River.

- 5. Marine Pumpout Signage. The Licensee shall post a sign in a visible location stating the availability of the marine pumpout and the hours of operation.
- 6. Marina Reconfiguration. The Licensee may reconfigure within the area of the marina boundary established in activity 6 herein, in accordance with DEEP-OLISP-GP-2015-02 (Section 5(a)), https://portal.ct.gov/-/media/DEEP/Permits and Licenses/LandUse General Permits/Long Island Sound Gen eral Permits/CoastalMaintenancegppdf.pdf

Issued under the authority of the Commissioner of Energy and Environmental Protection on:				
Date	Tracy Babbidge			
	Acting Deputy Commissioner			
	Acting Deputy Commissioner			



September 19, 2021

Mr. Eugene W. Robida Robida Engineering, LLC P.O. Box 327 Chester, CT 06412 Red.llc@comcast.net

Project: Installation of 4 Dolphins and Debris Boom at St. Clements Castle Marina, 49 Oakum

Dock Road in East Hampton, Connecticut NDDB Final Determination No.: 202104801

Dear Eugene Robida,

I have reviewed Natural Diversity Database maps and files regarding the area delineated on the map provided for Installation of 4 Dolphins and Debris Boom at St. Clements Castle Marina, 49 Oakum Dock Road in East Hampton, Connecticut. According to our records there are known extant populations of Federal and State Listed fish, State Threatened *Haliaeetus leucocephalus* (Bald eagle), State Threatened Field paspalum (*Paspalum leave*) and freshwater mussels that occur within the vicinity of this project site. I do not anticipate any adverse impacts to state listed plants or state listed freshwater mussels from the specific project under review.

Bald eagle:

Eagles are easily disturbed during the winter while loafing and while nesting. All Contractors, subcontractors, and their employees must be informed that bald eagles are protected from disturbance by state and federal laws and that certain construction activities may constitute disturbance when bald eagles are present within or near the project site. Activities that may disturb nesting eagles include, but are not limited to: clearing of trees, loud noises or even leaving exposed trash or hazardous materials within the 600 foot area around their nesting site.

Recommended Protection Measures:

The following protection measures should be adhered to in order to minimize potential impacts to this species:

February 1st through August 1st (bald eagle breeding season)

- Any machinery or equipment should maintain, at a minimum, a 660' protection zone around any nest site.
- If a bald eagle is found to be nesting on or with 660' of an active area, work should be halted immediately until after the breeding season.
- If bald eagles are observed nesting these observations should be reported to the Natural Diversity Data Base (nddbrequestdeep@ct.gov).

December 31st through March 1st (wintering bald eagles)

Any machinery or equipment should maintain, at a minimum, a 600' protection zone around areas of high eagle use, particularly winter roosting sites.

State Listed Fish Species:

According to our information, there are records for Federal and State Endangered shortnose sturgeon (*Acipenser brevirostrum*) and Atlantic sturgeon (*Acipenser oxyrinchus*) and State Special Concern blueback herring (*Alosa aestivalis*) in the vicinity of this project. Please be advised that a DEEP Fisheries Biologist will review the permit applications you may submit to DEEP regulatory programs to determine if your project could adversely affect sturgeon and blueback herring. DEEP Fisheries Biologists are routinely involved in pre-application consultations with regulatory staff and applicants in order to identify potential fisheries issues and work with applicants to mitigate negative effects, including to endangered species. If you have not already talked with a Fisheries Biologist about your project, you may contact the Permit Analyst assigned to process your application for further information, including the contact information for the Fisheries Biologist assigned to review your application.

This determination is good for two years. Please re-submit an NDDB Request for Review if the scope of work changes or if work has not begun on this project by September 19, 2023.

Natural Diversity Database information includes all information regarding critical biological resources available to us at the time of the request. This information is a compilation of data collected over the years by the Department of Energy and Environmental Protection's Natural History Survey, cooperating units of DEEP, landowners, private conservation groups and the scientific community. This information is not necessarily the result of comprehensive or site-specific field investigations. Consultations with the NDDB should not be substitutes for onsite surveys necessary for a thorough environmental impact assessment. The result of this review does not preclude the possibility that listed species may be encountered on site and that additional action may be necessary to remain in compliance with certain state permits.

Please contact me if you have further questions at (860) 424-3592, or <u>deep.nddbrequest@ct.gov</u> Thank you for consulting the Natural Diversity Data Base.

Sincerely,

Dawn M. McKay

Environmental Analyst 3

Dawn M. mokan



79 Elm Street • Hartford, CT 06106-5127

portal.ct.gov/DEEP

Affirmative Action/Equal Opportunity Employer

Compliance Certification Form

The following certification must be signed by the licensee working in consultation with a Connecticut-licensed design professional and must be submitted to the address indicated at the end of this form within ninety (90) days of completion of the authorized work.

1. Licensee Name:The Roncalli Institute, Inc					
DEEP License Number(s):202112384-SDF					
Municipality in which project is occurring: <u>East Hampton</u>					
2. Check one:					
(a) "I certify that the final site conditions and / or structures are in general conformance with the approved site plans". Identify and describe any deviations and attach to this form.					
(b) The final site conditions and / or structures are not in general conformance with the approved site plans. The enclosed "as-built" plans note the modifications".					
3. "I understand that any false statement in this certification is punishable as a criminal offence under section 53a-157b of the General Statutes and under any other applicable law."					
Signature of Licensee Date					
Name of Licensee (print or type)					
Signature of CT-Licensed Design Professional Date					
Name of CT-Licensed Design Professional (print or type)					
Drafassianal Licansa Number (if applicable)					
Professional License Number (if applicable) Affix Stamp Here					
 As-built plans shall include: elevations or tidal datums, as applicable, and structures, including any proposed elevation views and cross sections included in the approved license plans. Such as-built plans shall be the original ones and be signed and sealed by an engineer, surveyor or architect, as applicable, who is licensed in the State of Connecticut. 					
• The Licensee will be notified by staff of the Land and Water Resources Division (LWRD) if further compliance review is necessary. Lack of response by LWRD staff does not imply compliance.					
Submit this completed form to:					
DEEP.LWRDRegulatory@ct.gov or Regulatory Section					
Department of Energy and Environmental Protection					
Land & Water Resources Division					
79 Elm Street Hartford, CT 06106-5127					



Bureau of Water Protection & Land Reuse Land & Water Resources Division

79 Elm Street • Hartford, CT 06106-5127

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Affirmative Action/Equal Opportunity Employer

Land Record Filing*

<u>To:</u> DO NOT FILE

Signature and

NOTE: Due to the electronic delivery of this license and the legal requirement to have a live signature on this document, the "Land Record Filing" as detailed in General Condition #1 will be sent to the Licensee via U.S. Mail for the Licensee to file with the city/town clerk.

Date :		
Subject:		
	License #	

If you have any questions pertaining to this matter, please contact the Land & Water Resources Division at 860-424-3019.

Return to:

Land & Water Resources Division
State of Connecticut
Department of Energy & Environmental Protection
79 Elm Street
Hartford, CT 06106-5127

^{*}The Licensee shall file the Land Record Filing on the land records of the municipality in which the subject property is located not later than thirty (30) days after license issuance pursuant to Connecticut General Statutes (CGS) Section 22a-363g. A copy of the Notice with a stamp or other such proof of filing with the municipality shall be submitted to the Commissioner no later than sixty (60) days after license issuance.



Bureau of Water Protection and Land Reuse Land & Water Resources Division

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LWRD General Conditions

- 1. Land Record Filing (for Structures Dredging & Fill, Tidal Wetlands, Certificate of Permission, and Long Island Sound General Permit Licenses only). The Licensee shall file the Land Record Filing on the land records of the municipality in which the subject property is located not later than thirty (30) days after license issuance pursuant to Connecticut General Statutes (CGS) Section 22a-363g. A copy of the Notice with a stamp or other such proof of filing with the municipality shall be submitted to DEEP.LWRDRegulatory@ct.gov no later than sixty (60) days after license issuance. If a Land Record Filing form is not enclosed and the work site is not associated with an upland property, no filing is required.
- 2. Contractor Notification. The Licensee shall give a copy of the license and its attachments to the contractor(s) who will be carrying out the authorized activities prior to the start of construction and shall receive a written receipt for such copy, signed and dated by such contractor(s). The Licensee's contractor(s) shall conduct all operations at the site in full compliance with the license and, to the extent provided by law, may be held liable for any violation of the terms and conditions of the license. At the work site, the contractor(s) shall, whenever work is being performed, have on site and make available for inspection a copy of the license and the authorized plans.
- **3.** Work Commencement¹. Not later than two (2) weeks prior to the commencement of any work authorized herein, the Licensee shall submit to DEEP.LWRDRegulatory@ct.gov, on the Work Commencement Form attached hereto, the name(s) and address(es) of all contractor(s) employed to conduct such work and the expected date for commencement and completion of such work, if any.
 - For water diversion activities authorized pursuant to 22a-377(c)-1 of the Regulations of Connecticut State Agencies, the Licensee shall also notify the Commissioner in writing two weeks prior to initiating the authorized diversion.
 - For emergency activities authorized pursuant Connecticut General Statutes Section 22a-6k, the Licensee shall notify the Commissioner, in writing, of activity commencement at least one (1) day prior to construction and of activity completion no later than five (5) days after conclusion.
- **4. For Coastal Licenses Only License Notice.** The Licensee shall post the first page of the License in a conspicuous place at the work area while the work authorized therein is undertaken.
- 5. Unauthorized Activities. Except as specifically authorized, no equipment or material, including but not limited to, fill, construction materials, excavated material or debris, shall be

Revised: October, 2017

¹ The Work Commencement condition and the need for a Work Commencement Form is not applicable to Flood Management Certification approvals.

deposited, placed or stored in any wetland or watercourse on or off-site. The Licensee may not conduct work within wetlands or watercourses other than as specifically authorized, unless otherwise authorized in writing by the Commissioner. Tidal wetlands means "wetland" as defined by section 22a-29 and "freshwater wetlands and watercourses" means "wetlands" and "watercourses" as defined by section 22a-38.

- **6. Management of Materials.** Any materials removed from the site shall be managed in accordance with all federal, state, and local requirements, including Chapter 446K Water Pollution Control, Chapter 445 Hazardous Waste, and Chapter 446d Solid Waste of the Connecticut General Statutes.
- 7. Unconfined Instream Work. Unless otherwise noted in a condition of the license, the following conditions apply to projects in non-coastal waters:
 - Unconfined instream work is limited to the period June 1 through September 30.
 - Confinement of a work area by cofferdam techniques using sand bag placement, sheet pile installation (vibratory method only), portadam, or similar confinement devices is allowed any time of the year. The removal of such confinement devices is allowed any time of the year.
 - Once a work area has been confined, in-water work within the confined area is allowed any time of the year.
 - The confinement technique used shall completely isolate and protect the confined area from all flowing water. The use of silt boom/curtain or similar technique as a means for confinement is prohibited.
- 8. For State Actions Only Material or Equipment Storage in the Floodplain. Unless approved by a Flood Management Exemption, the storage of any materials at the site which are buoyant, hazardous, flammable, explosive, soluble, expansive, radioactive, or which could in the event of a flood be injurious to human, animal or plant life, below the elevation of the five-hundred (500) year flood is prohibited. Any other material or equipment stored at the site below said elevation by the Licensee or the Licensee's contractor must be firmly anchored, restrained or enclosed to prevent flotation. The quantity of fuel stored below such elevation for equipment used at the site shall not exceed the quantity of fuel that is expected to be used by such equipment in one day. In accordance with the licensee's Flood Contingency Plan, the Licensee shall remove equipment and materials from the floodplain during periods when flood warnings have been issued or are anticipated by a responsible federal, state or local agency. It shall be the Licensee's responsibility to obtain such warnings when flooding is anticipated.
- 9. Temporary Hydraulic Facilities for Water Handling. If not reviewed and approved as a part of the license application, temporary hydraulic facilities shall be designed by a qualified professional and in accordance with the Connecticut Guidelines for Soil Erosion and Sediment Control, the 2004 Connecticut Stormwater Quality Manual, or the Department of Transportation's ConnDOT Drainage Manual, as applicable. Temporary hydraulic facilities may include channels, culverts or bridges which are required for haul roads, channel relocations, culvert installations, bridge construction, temporary roads, or detours.

- **10. Excavated Materials.** Unless otherwise authorized, all excavated material shall be staged and managed in a manner which prevents additional impacts to wetlands and watercourses.
- 11. Best Management Practices. The Licensee shall not cause or allow pollution of any wetlands or watercourses, including pollution resulting from sedimentation and erosion. In constructing or maintaining any authorized structure or facility or conducting any authorized activity, or in removing any such structure or facility, the Licensee shall employ best management practices to control storm water discharges, to prevent erosion and sedimentation, and to otherwise prevent pollution of wetlands and other waters of the State. For purposes of the license, "pollution" means "pollution" as that term is defined by CGS section 22a-423. Best Management Practices include, but are not limited, to practices identified in the Connecticut Guidelines for Soil Erosion and Sediment Control as revised, 2004 Connecticut Stormwater Quality Manual, Department of Transportation's ConnDOT Drainage Manual as revised, and the Department of Transportation Standard Specifications as revised.
- 12. In-Water Work Vessel Staging and Storage. (for Structures Dredging & Fill, Tidal Wetlands, Certificate of Permission, and Long Island Sound General Permit Licenses only). For any barge, vessel, skiff or floating work platform ("work vessels") utilized in the execution of the work authorized herein, the Licensee shall ensure that such work vessels:
 - do not rest on, or come in contact with, the substrate at any time, unless specifically authorized in the license.
 - are not stored over intertidal flats, submerged aquatic vegetation or tidal wetland vegetation or in a location that interferes with navigation. In the event any work vessel is grounded, no dragging or prop dredging shall occur to free it.
- 13. Work Site Restoration. Upon completion of any authorized work, the Licensee shall restore all areas impacted by construction, or used as a staging area or accessway in connection with such work, to their condition prior to the commencement of such work.
- **14. Inspection.** The Licensee shall allow any representative of the Commissioner to inspect the project location at reasonable times to ensure that work is being or has been conducted in accordance with the terms and conditions of this license.

15. Change of Use. (Applies only if a use is specified within the License "Project Description")

- a. The work specified in the license is authorized solely for the purpose set forth in the license. No change in purpose or use of the authorized work or facilities as set forth in the license may occur without the prior written approval of the Commissioner. The Licensee shall, prior to undertaking or allowing any change in use or purpose from that which is authorized by this license, request permission from the Commissioner for such change. Said request shall be in writing and shall describe the proposed change and the reason for the change.
- b. A change in the form of ownership of any structure authorized herein from a rental/lease commercial marina to a wholly-owned common interest community or dockominium may constitute a change in purpose as specified in paragraph (a) above.
- 16. De Minimis Alteration. The Licensee shall not deviate from the authorized activity without

prior written approval from the Commissioner. The Licensee may request a de minimis change to any authorized structure, facility, or activity. A de minimis alteration means a change in the authorized design, construction or operation that individually and cumulatively has minimal additional environmental impact and does not substantively alter the project as authorized.

- For diversion activities authorized pursuant to 22a-377(c)-2 of the Regulations of Connecticut State Agencies, a de minimis alteration means an alteration which does not significantly increase the quantity of water diverted or significantly change the capacity to divert water.
- 17. Extension Request. The Licensee may request an extension of the license expiration date. Such request shall be in writing and shall be submitted to DEEP.LWRDRegulatory@ct.gov at least thirty (30) days prior to the license expiration. Such request shall describe the work done to date, what work still needs to be completed, and the reason for such extension. The Commissioner may extend the expiration date of this license for a period of up to one year, in order for the Licensee to complete the authorized activities. It shall be at the Commissioner's sole discretion to grant or deny such request. No more than three (3) one-year extensions will be granted under this license.
- **18. Compliance Certification.** Not later than 90 days after completion of the authorized work, the Licensee shall prepare and submit to DEEP.LWRDRegulatory@ct.gov, the attached Compliance Certification Form. Such Compliance Certification shall be completed, signed, and sealed by the Licensee and a Connecticut Licensed Design Professional. If non-compliance is indicated on the form, or the Commissioner has reason to believe the activities and/or structures were conducted in non-compliance with the license, the Commissioner may require the Licensee to submit as-built plans as a condition of this license.
- **19. Maintenance.** The Licensee shall maintain all authorized structures or work in optimal condition or shall remove such structures or facility and restore the affected waters to their prework condition. Any such maintenance or removal activity shall be conducted in accordance with applicable law and any additional approvals required by law.
- **20.** No Work After License Expiration. Work conducted after the license expiration date is a violation of the license and may subject the licensee to enforcement action, including penalties, as provided by law.
- **21. License Transfer.** The license is not transferable without prior written authorization of the Commissioner. A request to transfer a license shall be submitted in writing and shall describe the proposed transfer and the reason for such transfer. The Licensee's obligations under the license shall not be affected by the passage of title to the license site to any other person or municipality until such time as a transfer is approved by the Commissioner.
- **22. Document Submission.** Any document required to be submitted to the Commissioner under the license or any contact required to be made with the Commissioner shall, unless otherwise specified in writing by the Commissioner, be directed to:

DEEP.LWRDRegulatory@ct.gov or

Regulatory Section
Land & Water Resources Division
Department of Energy and Environmental Protection
79 Elm Street
Hartford, Connecticut 06106-5127
860-424-3019

- 23. Date of Document Submission. The date of submission to the Commissioner of any document required by the license shall be the date such document is received by the Commissioner. The date of any notice by the Commissioner under the license, including but not limited to notice of approval or disapproval of any document or other action, shall be the date such notice is personally delivered or the date three (3) days after it is mailed by the Commissioner, whichever is earlier. Except as otherwise specified in the license, the word "day" as used in the license means calendar day. Any document or action which is required by the license to be submitted or performed by a date which falls on a Saturday, Sunday or a Connecticut or federal holiday shall be submitted or performed on or before the next day which is not a Saturday, Sunday, or a Connecticut or federal holiday.
- **24.** Certification of Documents. Any document, including but not limited to any notice, which is required to be submitted to the Commissioner under the license shall be signed by the Licensee and by the individual or individuals responsible for actually preparing such document, each of whom shall certify in writing as follows: "I have personally examined and am familiar with the information submitted in this document and all attachments and certify that based on reasonable investigation, including my inquiry of those individuals responsible for obtaining the information, the submitted information is true, accurate and complete to the best of my knowledge and belief, and I understand that any false statement made in this document or its attachments may be punishable as a criminal offense."
- 25. Accuracy of Documentation. In evaluating the application for the license, the Commissioner has relied on information and data provided by the Licensee and on the Licensee's representations concerning site conditions, design specifications and the proposed work, including but not limited to representations concerning the commercial, public or private nature of the work or structures, the water-dependency of said work or structures, its availability for access by the general public, and the ownership of regulated structures or filled areas. If such information proves to be false, deceptive, incomplete or inaccurate, the license may be modified, suspended or revoked, and any unauthorized activities may be subject to enforcement action.
- **26.** Limits of Liability. In granting the license, the Commissioner has relied on all representations of the Licensee, including information and data provided in support of the Licensee's application. Neither the Licensee's representations nor the issuance of the license shall constitute an assurance by the Commissioner as to the structural integrity, the engineering feasibility or the efficacy of such design.
- 27. Reporting of Violations. In the event that the Licensee becomes aware that they did not or may not comply, or did not or may not comply on time, with any provision of this license or of any document incorporated into the license, the Licensee shall immediately notify the

agency contact specified within the license and shall take all reasonable steps to ensure that any noncompliance or delay is avoided or, if unavoidable, is minimized to the greatest extent possible. In so notifying the agency contact, the Licensee shall provide, for the agency's review and written approval, a report including the following information:

- a. the provision(s) of the license that has been violated;
- b. the date and time the violation(s) was first observed and by whom;
- c. the cause of the violation(s), if known;
- d. if the violation(s) has ceased, the duration of the violation(s) and the exact date(s) and times(s) it was corrected;
- e. if the violation(s) has not ceased, the anticipated date when it will be corrected;
- f. steps taken and steps planned to prevent a reoccurrence of the violation(s) and the date(s) such steps were implemented or will be implemented; and
- g. the signatures of the Licensee and of the individual(s) responsible for actually preparing such report.

If the violation occurs outside of normal business hours, the Licensee shall contact the Department of Energy and Environmental Protection Emergency Dispatch at 860-424-3333. The Licensee shall comply with any dates which may be approved in writing by the Commissioner.

- **28. Revocation/Suspension/Modification.** The license may be revoked, suspended, or modified in accordance with applicable law.
- **29. Other Required Approvals.** License issuance does not relieve the Licensee of their obligations to obtain any other approvals required by applicable federal, state and local law.
- **30. Rights.** The license is subject to and does not derogate any present or future property rights or powers of the State of Connecticut, and conveys no property rights in real estate or material nor any exclusive privileges, and is further subject to any and all public and private rights and to any federal, state or local laws or regulations pertinent to the property or activity affected hereby.
- **31. Condition Conflicts.** In the case where a project specific special condition listed on the license differs from, or conflicts with, one of the general conditions listed herein, the project specific special condition language shall prevail. It is the licensee's responsibility to contact the agency contact person listed on the license for clarification if needed prior to conducting any further regulated activities.

PROPOSED PILINGS AND DEBRIS BOOMS

ST. CLEMENT'S CASTLE MARINA 49 OAKUM DOCK ROAD EAST HAMPTON, CONNECTICUT

DRAWING LIST				
SHEET NO.	TITLE SHEET	ORIGINAL DATE	REVISION DATE	
1 OF 7	SHEET TITLE	1/1/21	11/25/22	
2 OF 7	USGS TOPOGRAPHIC SHEET	1/1/21		
3 OF 7	TAX ASSESSOR'S MAP	1/1/21	12/20/21	
4 OF 7	EXISTING CONDITIONS PLAN	1/1/21	6/22/22	
5 OF 7	PROPOSED CONDITIONS PLAN	1/1/21	11/25/22	
6 OF 7	CROSS SECTION A-A - EXISTING CONDITIONS	1/1/21		
7 OF 7	CROSS SECTION A-A - PROPOSED CONDITIONS	1/1/21	10/19/22	

PROJECT NOTES:

- 1. REDERENCE IS MADE TO THE FOLLOWING MAPS:
 - A: "MARINA EXISTING CONDITIONS LOCATION PLAN" PREPARED FOR RONCALLI INSTITUTE,

 49 OAKUM DOCK ROAD M/B/L 02/9A/4, EAST HAMPTON, CONNECTICUT. SCALE 1" = 20' JULY 1, 2019, REVISED

 10/11/19. PREPARED BY DUTCH & ASSOCIATES LAND SURVEYORS.
- 2. A SUBMERGED AQUATIC VEGETATION (SAV) SURVEY WAS PERFORMED BY RICHARD SNARSKI (PROFESSIONAL WETLAND SCIENTIST #13910 ON AUGUST 20/2020.
- 3. THE WETLANDS WERE FLAGGED BY RICHARD SNARSKI, CERTIFIED SOIL SCIENTIST ON DECEMBER 1, 2020.

GENERAL NOTES:

- 1. THE ATTACHED DRAWINGS ARE INTENDED FOR PLANNING PURPOSES ONLY. THEY ARE NOT INTENDED FOR STRUCTURAL DESIGN, BID DOCUMENTS OR CONSTRUCTION.
- 2. THE DRAWINGS WERE PREPARED BASED ON RECORDED RESEARCH, FIELD OBSERVATIONS, DRAWINGS NOTED AND OTHER SOURCES.

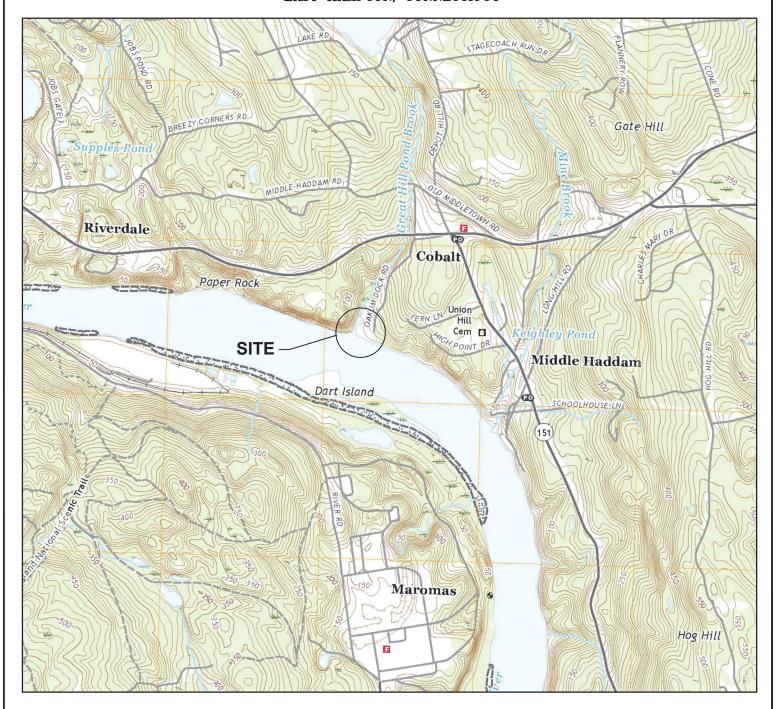
TITLE SHEET

ST. CLEMENT'S CASTLE MARINA
49 OAKUM DOCK ROAD
EAST HAMPTON, CONNECTICUT
DATE: JANUARY 01, 2021
SHEET 1 OF 7

ROBIDA ENGINEERING , LLC P.O. BOX 327 CHESTER, CT 06412

PROPOSED PILINGS AND DEBRIS BOOMS

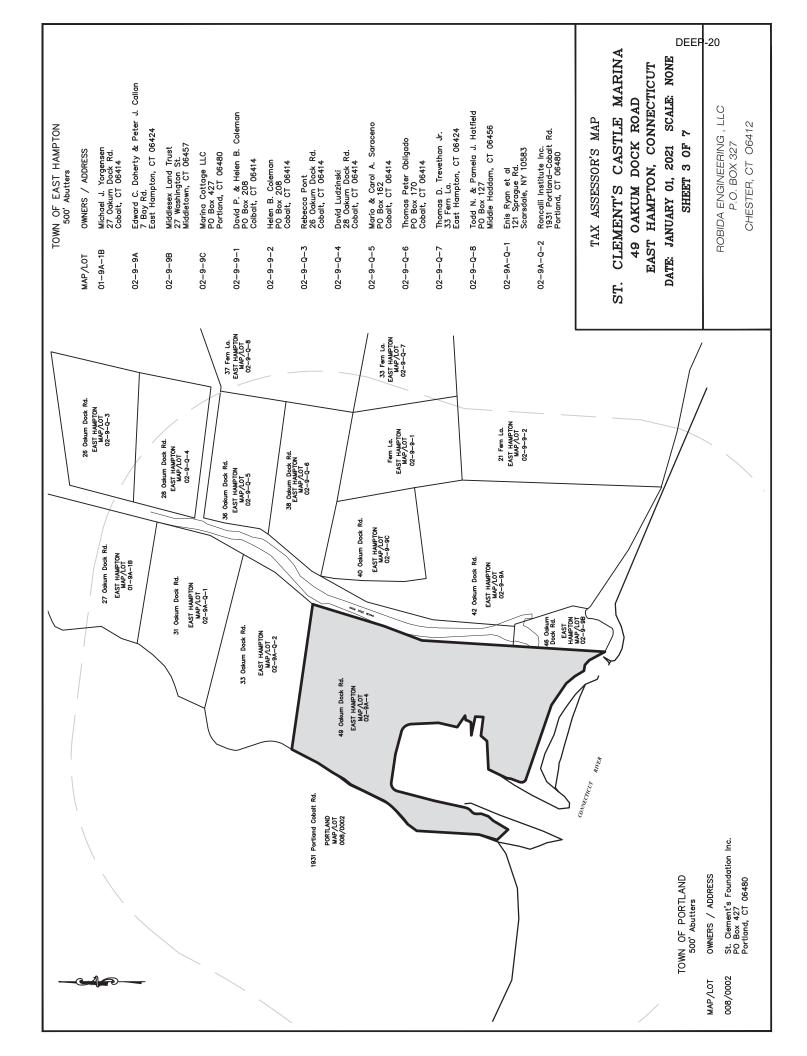
ST. CLEMENT'S CASTLE MARINA
49 OAKUM DOCK ROAD
EAST HAMPTON, CONNECTICUT

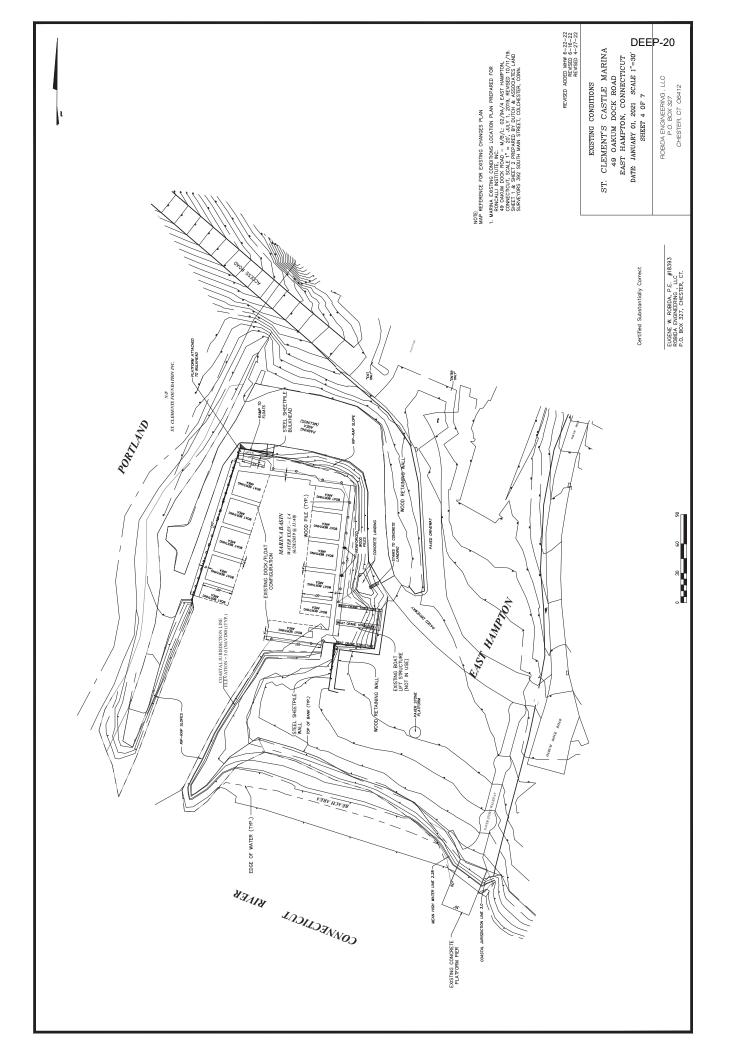


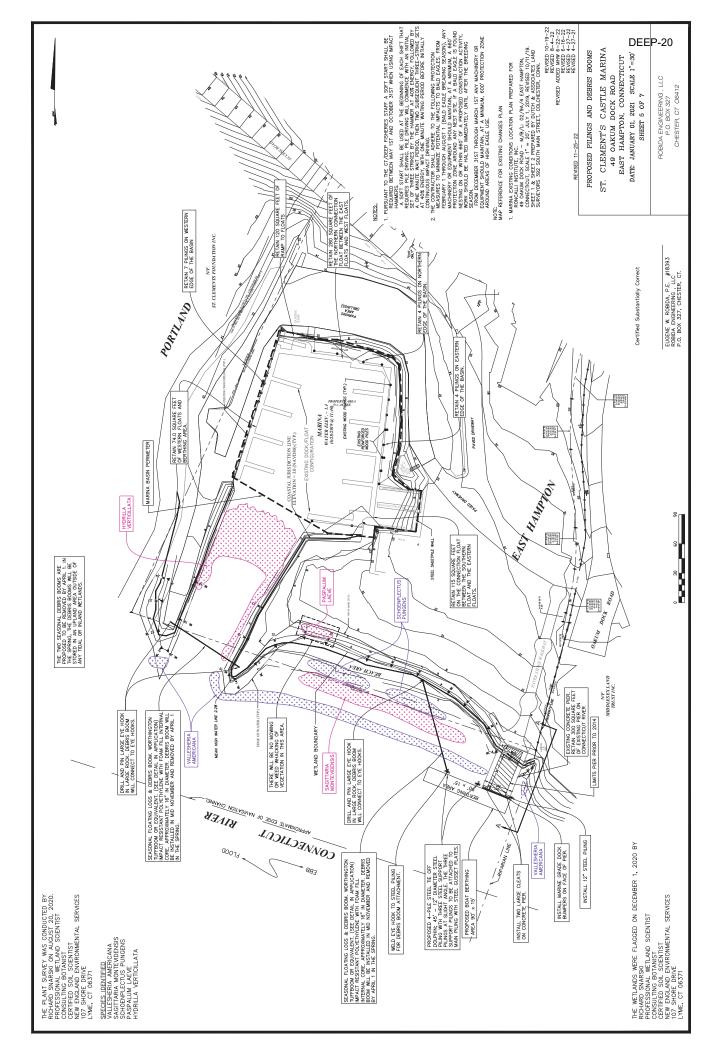
USGS TOPGRAPHIC QUADRANGLE MAP

ST. CLEMENT'S CASTLE MARINA
49 OAKUM DOCK ROAD
EAST HAMPTON, CONNECTICUT
DATE: JANUARY 01, 2021 SCALE 1"=2,000'
SHEET 2 OF 7

ROBIDA ENGINEERING , LLC P.O. BOX 327 CHESTER, CT 06412







-15 19 15 -10 ņ 2 0 GRADE EXISTING 12" STEEL I-BEAM PROPOSED PILINGS AND DEBRIS BOOMS ST. CLEMENT'S CASTLE MARINA 49 OAKUM DOCK ROAD EAST HAMPTON, CONNECTICUT EXISTING CONRETE PIER -SECTION A-A CROSS SECTION LOOKING WEST - EXISTING 12" PILING EXISTING 12" PILING EXISTING 10" STEEL I-BEAM MHW -10 -15 15 9 ιŲ 2

SECTION A-A
EXISTING CONDITIONS
ST. CLEMENT'S CASTLE MARINA
49 OAKUM DOCK ROAD
EAST HAMPTON, CONNECTICUT
DATE: JANUARY 01, 2021 SCALE 1"=5'-0"
SHEET 6 OF 7

ROBIDA ENGINEERING , LLC P.O. BOX 327 CHESTER, CT 06412

-15 15 -19 10 2 ι'n GRADE EXISTING 12" STEEL I-BEAM PROPOSED 12" DIAMETER STEEL PILING PROPOSED PILINGS AND DEBRIS BOOMS ST. CLEMENT'S CASTLE MARINA 49 OAKUM DOCK ROAD EAST HAMPTON, CONNECTICUT SECTION A-A EXISTING CONRETE PIER - EXISTING 12" PILING 9.0 EXISTING 12" PILING -EXISTING 10" STEEL I-BEAM MHW MLW -15 15 10 -10 ņ

SECTION A-A
PROPOSED CONDITIONS
ST. CLEMENT'S CASTLE MARINA
49 OAKUM DOCK ROAD
EAST HAMPTON, CONNECTICUT
DATE: JANUARY 01, 2021 SCALE 1"=5'-0"
SHEET ? OF ?

CROSS SECTION LOOKING WEST ROBIDA ENGINEERING , LLC P.O. BOX 327 CHESTER, CT 06412

REVISED 10-19-22



Bureau of Water Protection & Land Reuse Land & Water Resources Division

79 Elm Street • Hartford, CT 06106-5127

portal.ct.gov/DEEP

Affirmative Action/Equal Opportunity Employer

LWRD Work Commencement Form

To: <u>DEEP.LWRDRegulatory@ct.gov</u> or

Regulatory Section

Department of Energy and Environmental Protection

Land & Water Resources Division

79 Elm Street

Hartford, CT 06106-5127

Licensee Name:	The Roncalli Institute, Inc.		
Municipality in	which the project is occurring:	East Hampton	
DEEP License N	No(s): 202112384-SDF		
CONTRACTO	OR(s):		
# 1 Name:			
Address:			
Telephone:			
# 2 Name: _			
Address: _			
Telephone:			
E-mail: _			
Address: _			
Telephone:			
E-mail: _			
D-4- C4	.)		
,	s) received a copy l approved plans:		
of the ficefise and	approved plans.		
EXPECTED DA	TE OF COMMENCEMENT OF W	VORK:	_
EXPECTED DA	TE OF COMPLETION OF WORK	ζ:	_
LICENSEE:			
	(Signature)	(Date)	_