

2019

Department of Economic and
Community Development

ANNUAL REPORT

Economic Development



Tourism



Arts and Culture

Historic Preservation



Community Development

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I. BUSINESS ASSISTANCE TAX CREDITS

A. DECD Administered Business Assistance Tax Credits

For a detailed listing of DECD tax credit recipients, please visit:

<https://data.ct.gov/Business/Department-of-Economic-and-Community-Development-T/ihra-gwrn/data>

I. Connecticut Aerospace Reinvestment Act

i) Program Description

Under the terms of this Act, United Technologies Corporation (UTC) was required to:

- Construct a new Pratt & Whitney (P&W) corporate headquarters, which it will keep in Connecticut for a minimum of 15 years
- Construct a new P&W worldwide engineering center of excellence in Connecticut
- Keep Sikorsky corporate headquarters in Connecticut for a minimum of 5 years
- Create a customer training center at UTC Aerospace Systems (UTAS) in Windsor Locks
- Build new labs and infrastructure at United Technologies Research Center (UTRC)
- Invest in new research projects and capital investments at P&W, Sikorsky, UTAS and UTRC

In return for these investments, UTC is allowed to exchange approximately \$20 million per year of previously earned but unused tax credits to finance construction during the first five years. During these five years, the company also “earns” up to \$300 million in rights to monetize unused credits over the remaining 14 years of the term of the assistance agreement. The sum of those “earned credits” are then exchanged evenly over the remaining term. The exchanged credits will offset taxes during the final 14 years.

ii) Program Activity

UTC has to “earn” the use of the tax credits by achieving levels in four key areas: employment, payroll, engineering staff and capital expenditures (as indicated below). Through FY 2019, the Company has earned a total of \$335 million of the \$400 million of authorized credits for exchange.

Table 1: UTC Exchange Activity			
Fiscal Year	Amount Earned	Amount Awarded	Bankable Balance to be used by 6/30/2028
2015	\$73,843,630	\$20,000,000	\$53,843,630
2016	\$49,725,651	\$20,000,000	\$29,725,651
2017	\$121,636,463	\$20,000,000	\$101,636,463
2018	\$73,669,482	\$20,000,000	\$53,669,482
2019	\$16,775,097	\$20,000,000	\$(3,224,903)
TOTAL	\$335,650,323	\$100,000,000	\$235,650,323

Source: DECD

Note: In 2015 UTC sold Sikorsky to Lockheed Martin. This did not impact UTC's ability to deliver on its obligations under this Act.

Table 2: UTC Employment Levels as of 12/31/2018			
	P&W	Collins	UTRC
Engineers	4,129	1,119	350
Total Employment	12,186	4,503	449
Total CT Payroll	\$1,849,699,293		

Source: UTC

Table 3: UTC Expenditures as of 12/31/2018			
	P&W	Collins	UTRC
Capital Expenditures	\$233,818,250	\$33,698,514	\$32,722,143
R&D Expenditures	\$603,294,268	\$66,991,994	\$53,129,247
Qualified Project Capital Expenditures	\$(105,929)	\$29,412,118	\$0

Source: UTC

Note: Qualified Project Capital Expenditures are for the time period 7/1/2018-6/30/2019. Qualified projects are defined in UTC's contractual documents.

Methodological Note: Estimated Economic Impacts of Tax Credit Programs

The economic impact analyses of the tax credits below consist of either an estimated direct impact analysis or an estimated total impact analysis. The estimated direct impact is the tax revenue generated by the activity for which the tax credit is awarded: these are the estimated tax revenues generated by the newly created jobs and the construction and investment activity. New jobs generate new income taxes and the construction and investment generates new sales taxes. The direct net economic impact is the sum of the estimated income taxes and estimated sales taxes minus the lost tax revenues to the state due to the tax credit. Direct corporate taxes are not reported as we do not have a reliable method of estimating it. The direct impact is the most conservative estimate of the economic impact of the program under

review. The estimated net direct impact analysis does *not* include any potential downstream impacts in the economy from the additional spending or jobs created.

The estimated total impact is the sum of the direct, indirect and induced impact of the tax credit program. The total estimated fiscal revenues reported therefore incorporate the ‘direct’ additions to state net revenues (the “direct impact” explained above), the ‘indirect impacts’ (for example, the income taxes from the construction jobs needed to build a new building) and the ‘induced impact’ (for example, revenues generated when new employees at the company and those filling indirectly created jobs spend their income on food, clothing and other items which would generate sales tax revenue to the State). Estimated state expenditures incorporate the direct cost to the state of the tax credits, plus additional indirect and induced government spending due to the additional economic activity. We use the total economic impact evaluation methodology when we have insufficient data to conduct a direct impact analysis. This typically happens when the goal of the tax credit goes beyond job creation and investment; for example, enterprise zones which target development in the local community, and film tax credits which aim to build a film and digital media industry in the state. For these types of programs, we estimate the total economic impact using DECD’s REMI Tax-PI model.

2. Special Act- Lockheed Martin

i) Program Description

In FY 2017 DECD and Lockheed Martin Corporation entered into a Special Act contract for up to \$140,000,000 in grants and \$80,000,000 in sales and use tax exemptions to be funded evenly between 2019 and 2033. In exchange for this assistance Lockheed Martin will maintain its primary helicopter manufacturing operation and headquarters in Connecticut and produce up to 200 CH-53K (King Stallion) heavy lift helicopters in the state through at least June 2032. Please click [HERE](#) for additional details on the employment, in-state supply chain spending, and capital expenditure targets Lockheed Martin must achieve to earn the entire incentive package.

Based on the maximum contracted employment, the project’s financial assistance per job is shown in the table below.

Table 4: Financial Assistance per Job	
Total Assistance	\$220,000,000
Jobs to be created and retained	8,032
Cost per Job	\$27,390

Source: DECD

As of June 30, 2019 Lockheed Martin has offset \$5,714,000 in sales and use taxes. The table below shows the estimated revenues from Lockheed Martin in FY 2019, based on data reported by the company in its application for earned grant funding. The grant funding was released by DECD in FY 2020 and will be reported in the next annual report.

Table 5: Net Direct Economic Impact of Lockheed Martin FY 2019	
Direct New Jobs Reported	1,126
Amount of Sales Tax Offset	\$5,714,000
Income Taxes - Direct	\$6,831,941
Sales Taxes - Direct	\$5,308,757
Total State Revenue – Direct	\$12,140,698
Net State Revenue – Direct	\$6,426,698

Source: DECD Analysis

Note: Direct State Revenue does not include estimates of corporate business taxes or other direct taxes.

3. Stranded Tax Credit/ Sales and Use Tax Offset Program

i) Program Description

The Stranded Tax Credit program was established in June 2017 by Public Act 17-2. An accumulated credit is defined as non-incremental research and development tax credits (CGS Sec. 12-217n) that have not been taken through the business’s last complete income year prior to its program application date (therefore, the credits are “stranded”). This program is designed to allow businesses to offset such Accumulated R&D Credits against their sales and use tax liabilities so long as it undertakes a capital project that meets one of the following objectives:

- Expands the business’s scale or scope
- Increases employment at the business
- Generates a substantial return to the state’s economy

Per statute, the Stranded Tax Credit/ Sales and Use Tax Offset program is capped at \$50,000,000.

ii) Program Activity

As of FY 2019 DECD approved 1 application.

Table 6: Stranded Tax Credit Activity as of June 30, 2019				
Company	Contract Date	Credits Allocated	Credits Issued	Status of Capital Project
ASML US LLC	6/27/2018	\$6,000,000	\$0	Completed the Testing and Measurement Lab/ Model Shop, the office space renovation and the new parking garage
Program Balance		\$44,000,000		

Source: DECD

Note: ASML also received MAA funding. ASML's contractual job requirement is included in the MAA Financial Assistance per Job table later in this report.

iii) State Revenue Generated

As of FY 2019, no credits have been issued so DECD does not have the data to determine state revenue generated to date. DECD expects to issue the first certificate in FY 2020.

The table below shows the estimated revenues from the ASML project at the time of application. The results differ slightly from those reported in the 2018 Annual Report due to average salaries being updated to reflect the company's Assistance Agreement with DECD. The projections will be updated when the Company begins to claim credits.

Table 7: Sales and Use Tax Offset State Revenue Projection for ASML		
	5-Year Totals (Nominal \$)	10-Year Totals (Nominal \$)
Amount of Tax Credit	\$4,500,000	\$6,000,000
Income Taxes - Direct	\$9,944,226	\$25,327,002
Sales Taxes - Direct	\$1,783,703	\$2,105,942
Total State Revenue - Direct	\$11,727,929	\$27,432,944

Source: DECD Analysis

Note: Direct State Revenue does not include estimates of corporate business taxes or other direct taxes.

4. Urban and Industrial Site Reinvestment Tax Credit (URA)

i) Program Description

URA credits are designed to incent development of new jobs and economic activity by companies or developers across the state. The full tax credit is allowable over ten years as follows:

- The income year in which the investment was made and the two succeeding income years, 0%;
- The third full income year following the year in which the investment was made and the three succeeding income years, 10%; and,
- The seventh full income year following the year in which the investment occurred and the two succeeding income years, 20%.

The URA program is capped at \$950 million in awardable credits and individual projects may not exceed \$100 million in awardable credits. If a project exceeds \$20 million in awardable tax credits, it must be approved by the legislature. These credits may be assigned once by the holder.

ii) URA Program Activity

The table below shows the URA recipients over the last ten years along with the total tax credits awarded and earned (the state’s tax cost). This list contains companies that signed contracts within this time frame. The total credits awarded as presented below represent the potential credits the company may claim. Credits for which certificates were issued to companies by DECD from FY 2010 through FY 2019 are listed under “credits earned.”

Table 8: Urban and Industrial Site Reinvestment Tax Credits, FY 2010-FY 2019				
Applicant	Town	Contract Fiscal Year	Total Credits Awarded	Total Credits Earned
Aldi, Inc. (Connecticut)	South Windsor	2010	\$1,900,000	\$1,900,000
Burriss Logistics, Inc.	Rocky Hill	2010	\$1,800,000	\$1,800,000
CF Foods, LLC	New Britain	2010	\$200,000	\$200,000
Comcast of Connecticut, Inc.	Enfield	2010	\$5,000,000	\$5,000,000
Engineered Electric Company d/b/a DRS Vermont	Bridgeport	2010	\$10,000,000	\$4,000,000
Gen Re Corporation	Stamford	2010	\$19,500,000	\$19,500,000
Starwood Hotel & Resorts	Stamford	2010	\$60,000,000	\$60,000,000
Design Within Reach, Inc.	Stamford	2011	\$1,500,000	\$900,000

Table 8: Urban and Industrial Site Reinvestment Tax Credits, FY 2010-FY 2019				
Applicant	Town	Contract Fiscal Year	Total Credits Awarded	Total Credits Earned
Eppendorf Manufacturing Corporation - Phase 2	Enfield	2011	\$10,000,000	\$10,000,000
Gartner, Inc.	Stamford	2011	\$20,000,000	\$20,000,000
Nestle Waters North America	Stamford	2011	\$5,000,000	\$5,000,000
Chemtura Corporation	Middlebury	2012	\$8,000,000	\$6,400,000
CIGNA Health and Life Insurance Company	Bloomfield	2012	\$30,000,000	\$18,000,000
Dollar Tree Distribution, Inc.	Windsor	2012	\$20,000,000	\$8,000,000
FactSet Research Systems, Inc. (Project 2)	Norwalk	2012	\$8,000,000	\$4,800,000
Alexion Pharmaceuticals, Inc.	New Haven	2013	\$5,000,000	\$5,000,000
Plainfield Renewable Energy, LLC	Plainfield	2013	\$10,000,000	\$6,000,000
ESPN, Inc.	Bristol	2014	\$10,000,000	\$8,000,000
Frito-Lay, Inc.	Dayville	2014	\$3,000,000	\$1,800,000
HomeServe USA Corp.	Norwalk	2014	\$15,000,000	
Lee Company	Westbrook	2015	\$10,000,000	\$3,000,000
Pitney Bowes	Stamford	2015	\$10,000,000	\$2,000,000
Vineyard Vines	Stamford	2015	\$8,000,000	\$1,600,000
XL America, Inc.	Hartford	2015	\$7,255,184	\$725,518
Bridgewater Associates, LP	Westport	2016	\$30,000,000	
Conair Corporation	Stamford	2016	\$15,000,000	\$6,000,000
Fed Ex	Middletown	2016	\$20,000,000	\$2,000,000
Praxair, Inc.	Danbury	2016	\$16,000,000	
Bob's Discount Furniture	Manchester	2017	\$11,000,000	
Partner Reinsurance Company	Greenwich	2017	\$3,500,000	
Polamer Precision	New Britain	2017	\$10,000,000	
Regional Energy Holdings	Stamford	2017	\$2,000,000	
Trader Joe's East, Inc.	Bloomfield	2017	\$7,000,000	
Henkel of America, Inc.	Rocky Hill	2018	\$5,000,000	
Indeed, Inc.	Stamford	2018	\$15,000,000	
Charter Communications	Stamford	2019	\$15,000,000	
Charter Communications	Stamford	2019	\$8,000,000	
Indeed, Inc.	Stamford	2019	\$5,000,000	
World Wrestling Entertainment	Stamford	2019	\$8,500,000	
Total			\$450,155,184	\$201,625,518

Source: DECD

Note: The tax credit awards may have been reduced to account for reallocated funds. Companies that left the program without receiving a URA credit have been removed from this table.

iii) Estimated Direct Economic Impact of the URA Program

The table below presents the estimated direct economic impact of companies that entered the URA program over the last ten years. The data used to evaluate the fiscal impact is supplied by the companies during their annual certification process. The direct jobs data consists only of newly created jobs reported by the companies while active in the program and does not include retained jobs. The direct state revenue comprises income tax revenues generated by the new jobs plus sales tax revenues generated by the eligible capital expenses. Some companies were eligible for exemptions under the sales and use tax exemption program administered by Connecticut Innovations; these amounts were deducted from the sales tax estimations.

Table 9: Net Direct Fiscal Impact of URA Program in Nominal Dollars FY 2010-FY 2019	
	URA Direct Impact
Direct Jobs Reported – Annual Average*	4,242
Cumulative Direct State Revenue	\$485,265,842
Cumulative Direct State Costs (Tax Credits)	\$201,625,518
Cumulative Net Direct Revenue	\$283,640,324

Source: DECD

*Direct jobs are those reported by the companies to DECD while active in the program.

The table below shows the tax credit allocated per job to be created or retained.

Table 10: URA Tax Credits Allocated per Job to be Created or Retained Based on Maximum Contractual Job Obligations	
Tax Credits Allocated	\$450,155,184
Jobs to be Created or Retained	34,012
Tax Credit allocated per job	\$13,235

Source: DECD

Note: The number of jobs retained and created have been adjusted to account for duplication for companies that have received assistance from more than one program or more than one URA contract. If a company has an MAA/URA contract the jobs are included above, unless the company has left the URA program without receiving a tax credit.

iv) Recommendation:

We recommend maintaining the URA tax credit program because it has generated sizable net benefits. Moreover, qualifying firms are reviewed each year and may incur penalties and/or reduced tax credits if they do not meet job or net benefit requirements. This allows DECD to

closely monitor the program, track the economic activities and benefits associated with the program, and only provide tax benefits for value created.

5. Film, Television and Digital Media Tax Credits

i) Program Description

The Office of Film, Television & Digital Media assists film, television and digital media companies with three tax incentive programs based on qualified in-state expenditures.

During FY 2019, over \$157 million in tax credits were issued for \$531.4 million spent in Connecticut by qualified productions (the productions mostly occurred prior to FY 2019).

Table 11: DECD FY 2019 Film Tax Credit Activity			
Tax Credit Program	Company Spend	Tax Credits Issued	Number of Credits
Film, Television & Digital Media	\$512,180,316	\$153,453,046	37
Digital Animation Production Co.	\$0	\$0	0
Film Infrastructure	\$19,251,838	\$3,850,368	2
TOTAL	\$531,432,154	\$157,303,414	39

Source: DECD

ii) Estimated Economic and Fiscal Impacts of the Film Tax Credits

The following section evaluates the estimated total economic impact of the three film tax credits separately, using the REMI Tax-PI model. The estimated total economic impact includes the estimated direct, indirect and induced effects of each tax credit.

(1) Film & Digital Media Production Tax Credit

An eligible production company that produces a qualified production and incurs qualified production expenses or costs in excess of \$100,000 may apply for a tax credit equal to 10% to 30% of production expenses and costs incurred in Connecticut. Expenses claimed for the film and digital media production tax credit may not be used in claiming either the digital animation tax credit or the infrastructure tax credit (see below). This tax credit intends to attract more film, television, and digital media productions to the state than if the credit did not exist.

As of July 1, 2013, motion pictures are no longer a “qualified production” with the exception of any motion picture for which twenty-five per cent or more of the principal

photography shooting days are at a facility that receives not less than twenty-five million dollars in private investment and opens for business on or after July 1, 2013.

(a) Estimated Economic and Fiscal Impact of the Film & Digital Media Production Tax Credit

The annual average total credits issued over the 2010-2019 period was \$72,993,034 suggesting that the average ‘qualifying’ value of film, television and digital media production in the state was \$243,310,113 per year, or over \$2 billion in total for the period for such productions applying to DECD for the 30% credit. The microsimulation results for the estimated total economic impact of the film production tax credit are shown in the table below.

The estimated economic impact below covers production activity and credits issued through FY2019. Payroll, which is a significant portion of eligible expenses, is not included as an input because many workers associated with a production may not be state residents. Instead we include permanent jobs created in the industry by the applying productions in the state, but exclude those of establishments that already had a state presence (ESPN, WWE and CPTV) because we cannot ascertain how many of their jobs can be attributed to the availability of the tax credit.

Table 12: Estimated Economic Impact of the Film & Digital Media Production Tax Credit 2010-06/2019			
	Annual Average	Cumulative Total	Revenue earned per \$1 of credit
Total Estimated New Employment Change	3,316		
Total Credits Issued	\$72,993,034	\$729,930,340	
Estimated State Revenues	-\$46,523,185	-\$465,231,852	-\$0.64
Estimated State Expenditures	\$11,987,418	\$119,874,184	
Estimated Net State Revenue	-\$58,510,604	-\$585,106,036	

Source: DECD

Interpreting the results in the table above suggests that while there are gains in jobs, the additional revenues gained by the state do not compensate for the loss in state tax revenue due to the credits.

(b) Recommendation

Because we have omitted certain spending and full-time permanent employment due to certain companies' presence in the state prior to the film tax credit program, the reported results are conservative. Other benefits to the state are not captured in the results. For example, the three film tax credit programs stimulated investment in educational programs at both the state's community and private colleges to build the workforce required to support the film, television and digital animation industries. In particular the production tax credit has encouraged the relocation of major networks, digital media companies and production operations. The state's strengthened production infrastructure supported and continues to support a range of projects, further encouraging companies to carry out long-term productions in Connecticut.

The combination of the three film tax credit programs, the successful recruitment of various digital media companies, and the related investment in building a workforce lead us to recommend maintaining this program. We further recommend that we continue to collect job creation and capital expenditure data to better understand the impact of these programs on the state's economy and their efficiency in building this important industry. However, should negative results persist over the long run, it would be prudent to consider changing the level of tax credit to make the program deliver a better return for the state. Any adjustments should be done in the context of the competitive marketplace; several states also offer film tax credits so modifications to our credits might have adverse competitive implications to the Connecticut economy.

Based on the empirical performance, DECD is further analyzing the efficacy of the Film, Television and Digital Media tax incentives.

(2) Film Production Infrastructure Tax Credit

This tax credit is available to a taxpayer that invests in a state-certified entertainment infrastructure project. The credit intends to help establish a film and digital animation industry presence in Connecticut by incentivizing capital investment in plant and equipment for pre- and post-production facilities and investment in educational programs that produce the workforce needed by the film and digital animation industry.

(a) Activity of the Film Production Infrastructure Tax Credit

The table below shows the amounts issued to firms for the film production infrastructure tax credit.

Table 13: Film Production Infrastructure Tax Credit Eligible Expenditures and Credits Issued FY 2010-2019			
Applicant	CT Expenditures	Date Issued	Amount of Tax Credit
World Wrestling Entertainment, Inc.	\$25,313,556	2/1/2010	\$5,062,711
345 Ely, LLC	\$6,723,934	2/10/2010	\$1,344,787
Brand Gallery, LLC	\$193,604	2/19/2010	\$29,041
CFC Stillwater, LLC	\$11,236,612	6/17/2010	\$2,247,322
ESPN, Inc.	\$33,426,976	9/22/2011	\$6,685,395
Blue Sky Studios, Inc.	\$7,803,086	3/6/2012	\$1,570,618
Brand Gallery, LLC	\$3,298,184	5/2/2012	\$659,637
Brand Gallery, LLC	\$385,634	3/28/2013	\$77,127
ESPN, Inc.	\$72,153,302	9/12/2013	\$14,430,660
CFC Exit 9, LLC	\$14,287,426	12/27/2013	\$2,857,485
NBC Universal Media, LLC	\$117,727,906	12/27/2013	\$23,545,581
World Wrestling Entertainment, Inc.	\$5,043,689	2/7/2014	\$1,008,738
World Wrestling Entertainment, Inc.	\$12,865,135	2/7/2014	\$2,573,027
Back9Network, Inc.	\$6,127,395	5/5/2014	\$1,225,479
ESPN, Inc.	\$60,693,387	10/7/2014	\$12,138,677
Blue Sky Studios, Inc.	\$11,194,497	12/22/2014	\$2,238,899
CFC Stillwater, LLC	\$3,973,709	2/17/2015	\$794,742
CT Public Broadcasting, Inc.	\$4,708,965	3/17/2015	\$941,793
ESPN, Inc. DC-2 Phase 3	\$69,690,312	12/18/2015	\$13,938,062
NBCUniversal Media, LLC	\$32,822,618	2/11/2016	\$6,564,524
ESPN, Inc.	\$4,004,808	5/4/2016	\$800,962
The Wall Street Theater Company, Inc.	\$9,587,517	7/7/2017	\$1,917,503
World Wrestling Entertainment, Inc.	\$8,262,988	5/20/2019	\$1,652,598
NBCUniversal Media, LLC	\$10,988,850	6/21/2019	\$2,197,770
Total	\$532,514,090		\$106,503,138

Source: DECD

The total amount of credits issued from FY 2010 through FY 2019 exceeds \$106 million. Eligible construction and related spending totaled over \$532 million.

(b) Estimated Economic and Fiscal Impact Results for the Film Production

Infrastructure Tax Credit

The table below shows the microsimulation results for the film production infrastructure tax credit. Interpreting the results suggests that while there are gains in jobs, the additional revenues gained by the state do not compensate for the loss in state tax revenue due to the credits. The jobs created as a results of the activity incited by this tax credit are in the construction sector and are not permanent full-time positions. However, infrastructure investments are often followed by permanent job creation by the company earning the tax credits, which can benefit the state in the longer term, but are not captured by this analysis.

Table 14: Estimated Economic Impact of the Film Production Infrastructure Tax Credit from FY 2010-2019			
	Annual Average	Cumulative Total	Revenue earned per \$1 of credit
Total Estimated New Employment Change	444		
Total Credits Issued	\$10,650,314	\$106,503,138	
Estimated State Revenues	-\$7,779,597	-\$77,795,965	-\$0.73
Estimated State Expenditures	\$1,719,045	\$17,190,448	
Estimated Net State Revenue	-\$9,498,641	-\$94,986,413	

Source: DECD

We cannot model new permanent employment associated with the infrastructure project because credit applicants are not required to provide information on employment or procurement in the new facilities. The economic and fiscal impacts of construction and related activities dissipate quickly upon completion. Therefore, the analysis presented here represents a partial picture of the benefit of the infrastructure projects undertaken by the recipient firms. Because we have no knowledge of the totality of net new economic activity the infrastructure projects facilitate, we cannot determine the entire net benefit of the infrastructure tax credit program; here we analyze it in isolation.

(c) Recommendation

Given that the program is relatively new and we do not know what other benefits (such as net new jobs and procurement) accrue to the state, we recommend that this program continue and that we collect related job creation and operational data that the infrastructure tax credit program facilitates to better assess future credit usage. However, should negative results persist over the long run, it would be prudent to consider changing the level of tax credit to make the program generate a better return to the state. Any adjustments should be done in the context of the competitive marketplace; several states also offer film tax credits so modifications to our credits might have adverse competitive implications to the Connecticut economy.

Based on the empirical performance, DECD is further analyzing the efficacy of the Film, Television and Digital Media tax incentives.

(3) Digital Animation Tax Credit

A Digital Animation Tax Credit is available to state-certified digital animation production companies that engage in digital animation production activities on an ongoing basis. The credit intends to help establish a digital animation industry presence in Connecticut by incentivizing increased employment and capital investment in plant and equipment for digital animation facilities.

No new Digital Animation credits were issued in FY 2019.

Based on the empirical performance, DECD is further analyzing the efficacy of the Film, Television and Digital Media tax incentives.

6. Insurance Reinvestment Fund Tax Credits

i) Program Description

The Insurance Reinvestment Fund (IRF) tax credit was designed to stimulate investments in Connecticut's insurance businesses and businesses providing services to insurance companies to help them grow. The original program was modified by the legislature in the 2010 legislative session and now the two programs (the original version and the modified version) are known as the First and Second Insurance Reinvestment Fund tax credit programs. This

section details the common aspects of the two programs, and the following sections evaluate the performance of the two tax credit programs separately.

Tax credits are available to taxpayers making investments in an Insurance Reinvestment Fund that then reinvests in Connecticut companies in targeted industries. Investors could make debt or equity investments and receive a dollar for dollar tax credit equivalent to their investment prorated over ten years such that 10% of the credit could be claimed in years four through seven and 20% of the credit could be claimed in years eight through ten.

To obtain the credit, the insurance business in which the investment was made had to annually submit a request for eligibility with DECD to determine whether the requirements of the program were met. We do know the number of jobs created as a result of the investment in each company by comparing the number of jobs at application with the number of jobs reported during the annual eligibility process. The difference is assumed to be a result of the investment.

(1) First Insurance Reinvestment Fund Tax Credit

No new investments are eligible under the First Insurance Reinvestment Program; final year of eligibility was 2015. There was no new credits issued under the First IRF program in FY.

(2) Second Insurance Reinvestment Fund Tax Credit

In 2010, the legislature amended the Insurance Reinvestment Fund tax credit to provide a new tax credit which can only be applied against the taxes imposed under Chapters 207 (insurance, hospital and medical services corp. tax), and CGS §38a-743 (insurance premiums tax). This tax credit also has different investment criteria than the first Insurance Reinvestment Fund tax credit.

Fund investments under the Second IRF (shown below) are now spread across a variety of industries, as are the new jobs created as a result of the tax credit. We have removed the jobs of companies that had other loan programs with DECD to avoid double counting, unless the other programs specify that the same jobs not be counted. Credits issued for the Second IRF tax credit are shown in the table below. Only the insurance

industry can claim these tax credits as the Second IRF limits the type of taxes that the credits can be applied against.

Table 15: Second Insurance Reinvestment Fund Tax Credit Fund Managers' Investments and Job Creation 2011-2019	
Investments by Industry	Total
Construction	\$8,850,000
Food Manufacturing	\$500,000
Beverage and Tobacco Product Manufacturing	\$2,310,000
Paper Manufacturing	\$4,288,696
Petroleum and Coal Products Manufacturing	\$2,400,000
Chemical Manufacturing	\$9,000,000
Fabricated Metal Product Manufacturing	\$25,465,000
Computer and Electronic Product Manufacturing	\$13,250,000
Electrical Equipment and Appliance Manufacturing	\$10,200,000
Other Transportation Equipment Manufacturing	\$13,750,000
Furniture and Related Product Manufacturing	\$3,500,000
Miscellaneous Manufacturing	\$3,666,994
Merchant Wholesalers, Durable Goods	\$4,250,000
Merchant Wholesalers, Nondurable Goods	\$600,000
Publishing Industries Except Internet	\$9,389,280
Telecommunications	\$4,025,000
Data Processing, Hosting and Related Services	\$271,141
Other Information Services	\$1,790,000
Securities, Commodity Contracts and Other Financial	\$345,000
Professional and Technical Services	\$36,086,243
Administrative and Support Services	\$4,950,000
Waste Management and Remediation Services	\$16,276,830
Ambulatory Health Care Services	\$339,999
Social Assistance	\$150,000
Performing Arts, Spectator Sports	\$1,500,000
Repair and Maintenance Services	\$2,000,000
Personal Services	\$9,893,000
Total Investments	\$189,047,183
Job Creation* (across all industries)	2,290
Tax Credits Issued by DECD	\$170,420,000

Source: DECD

* Does not include jobs that were counted towards other DECD assistance.

i. Estimated Net Economic Impact of the Second Insurance Reinvestment Fund Tax Credit

The total economic impact of the Second IRF program was estimated using the REMI Tax-PI model. The table below reports the results of the economic simulation. These numbers represent the estimated net new economic activity in the Connecticut economy generated by the Second Insurance Reinvestment Tax Credit. The total impact includes estimated direct, indirect and induced economic activity.

Table 16: Estimated Economic Impact of the Second Insurance Reinvestment Fund Tax Credit from 2011-2019			
	Annual Average	Cumulative Total	Revenue earned per \$1 of credit
	Annual	Cumulative	Revenue
Total Estimated New Employment	5,163		
Total Credits Issued	\$18,935,556	\$170,420,000	
Estimated State Revenues	\$19,867,716	\$178,809,442	\$1.05
Estimated State Expenditures	\$17,642,340	\$158,781,058	

Source: DECD

ii. Recommendation:

This credit program requires close monitoring and penalties for not achieving a positive return to the state. Almost all allowable dollars have been invested through the eligible funds. Should the program be extended, we believe some minor changes could be made to make it more effective.

7. Property Tax Abatements for Investment in Enterprise Zones

There are currently 17 Targeted Investment Communities with Enterprise Zones in the following municipalities:

Bridgeport	Meriden	Norwich
Bristol	Middletown	Southington
East Hartford	New Britain	Stamford
Groton	New Haven	Waterbury
Hartford	New London	Windham
Hamden	Norwalk	

Companies that locate in an Enterprise Zone can apply for a five year, 80% abatement of local property taxes on qualifying real and personal property subject to the property being new to the grand list of the municipality as a direct result of a business expansion or renovation project or in the case of an existing building, having met the vacancy requirement. The firm’s property tax abatement is equal to 80% of the assessed value (which is 70% of market value) of new plant and equipment, multiplied by the appropriate mill rate. The municipality absorbs 50% of the abatement and the state has traditionally reimbursed the other 50%. The table below shows the dollar amounts claimed for newly certified eligible investments and the associated value of the investments by year for recent years. DECD certifies companies as being eligible for EZ benefits, and municipalities that grant the abatements file claims with the state’s Office of Policy and Management (OPM) for state reimbursement.

Table 17: Enterprise Zone New Certifications and Associated Investments						
	2014	2015	2016	2017	2018	Average
Claimed Abatements for Newly Certified Investments	\$961,130	\$462,409	\$1,248,258	\$2,536,355	\$6,282,713	\$2,298,173
Number of New Certifications	44	45	44	38	32	41
Associated Investment Value Based on Assessment	\$44,635,539	\$30,032,099	\$54,798,509	\$147,109,381	\$278,914,594	\$111,098,024

Source: OPM

Because we do not have employment and other relevant data from the companies that received this benefit, we analyze the estimated total economic impact of the enterprise zone abatements utilizing the REMI Tax-PI model. The estimated total impact is the sum of the direct, indirect and induced impact of the tax credit program. The program year for the EZ program is the Grand List Year (GLY), which runs October 1st through September 30th. We were able to obtain more detailed data (compared to previous years’ data) on the eligible investments from OPM for GLY 2018 (October 2018 through September 2019), and updated our modeling strategy accordingly. The results below are therefore only for GLY 2018.

We assume the investment would not have occurred in the region were not for the EZ program. Companies may claim abatements for two types of property new to the grand list: real estate and personal property. Real estate investments could either be acquisitions or construction and/or

renovations. Estimates provided to DECD by the companies seeking certification showed an average of 3% of real estate investment was targeted for acquisition, and 97% was targeted for construction and/or renovation. We assumed actual investments made followed the same plan, and applied these same percentages towards the market value of real estate claims. We assume the state will reimburse the municipalities for the full 50% of the abatements. The full estimated economic impact is shown below.

The estimated results suggest that the EZ abatement program has a positive impact on the state, with over four dollars earned for each dollar the state contributes to the program for investments in GLY 2018. This is a partial analysis as it does not include abatements made for projects beginning in prior years. Going forward we will be able to update the analysis with each year's new investments and carry each forward through the five years of eligibility.

Table 18: Estimated Economic Impact of New Investments Enterprise Zone Program 2019		
	Impact in 2019 (GLY 2018)	Revenue earned per \$1 of state contribution
Abatements Claimed for New Investments	\$6,282,713	
Assumed State Reimbursement to Towns	\$3,141,356	
Estimated State Revenues	\$13,583,800	\$4.32
Estimated State Expenditures	\$3,744,100	
Estimated Net State Revenue	\$9,839,700	

Source: DECD

It is important to note that the purpose of the enterprise zone program is to yield benefits to the designated community, and the return to the state is of secondary concern. The goal is that by locating in an area in which it normally would not, the company fuels local economic development by employing local residents and stimulating local businesses. The community's tax base is also strengthened.

Other areas may be designated as additional enterprise zones or enterprise corridor zones with certain benefits. Additional Enterprise zones include Contiguous Municipality Zones, Defense Plant Zones, Manufacturing Plant Zones, Airport Development Zones, Bioscience Enterprise Corridor Zones and Enterprise Corridor Zones. The Urban Jobs Program provides benefits to eligible companies with suitably induced projects located in a Targeted Investment Community but outside of the Enterprise Zone, which are not impacted by any of the newly designated Enterprise Zone level benefit areas described above. Claims data on all these programs are included in the Enterprise Zone data provided to DECD by OPM, and therefore are incorporated into the Enterprise Zone analysis above.

ii) Recommendation

Because of the significant economic impact when the full investment is taken into account, we recommend continuing the enterprise zone property tax abatement program with changes. Requirements should be added to the program to ensure that the benefits to the state, by way of increased revenue, offset the cost of the state's reimbursement to the municipality for the tax abatement. Additionally, this program should be funded by the state on an ongoing annual basis (where the state reimburses local communities for 50% of the abatement) through the General Fund versus bonded financing.

B. Non-DECD Administered Business Assistance Tax Credits

In this section, we present publically available information on tax credit programs that target economic development but are not administered by DECD. We give details on the use of the credit, such as the number of claims and the amounts claimed by industry, which will give a portrait of the popularity of the credit and its use over time. The source of this data is the Department of Revenue

Services (DRS) unless specified otherwise. The analysis provides estimated activity incited by the credit, which is a measure of the direct economic activity associated with the credit.

We are unable to do an extended analysis of these credits because we do not have the necessary information. Companies utilizing these credits are not required to report on the economic activity that can be attributed to the credit, such as new jobs created or expenditures undertaken. Therefore we can only provide an estimate of the particular economic activity for which the credit was claimed.

I. Angel Investor Tax Credit

The Angel Investor tax credit is administered by Connecticut Innovations, Inc. (CI). It provides a credit for a cash investment of not less than \$100,000 (and not less than \$25,000 effective October 27, 2011) in the qualified securities of a Connecticut business by an angel investor. The credit is applicable to taxable years beginning on or after January 1, 2010 and is applicable to the investors' personal income tax. Qualified Connecticut businesses must have been in business for less than seven years and have less than 25 paid employees, 75% of whom must be Connecticut residents. Gross revenue must be less than \$1 million and management and their families must have majority ownership of the venture.

An *angel investor* is an accredited investor, as defined by the Securities and Exchange Commission, or network of accredited investors who review new or proposed businesses for potential investment who may seek active involvement, such as consulting and mentoring, in a Connecticut business. The angel investor may apply to CI to reserve a tax credit equal to 25 percent of the cash investment. The angel investor must choose from a list of Connecticut businesses that CI has determined are qualified to receive cash investments eligible for the angel investor tax credit. The angel investor must not have a majority ownership of the business it seeks to invest in.

The most current statistics for this program are shown below (2018 is the most recent tax year we have tax claims data for), followed by a summary of the investments by industry.

Table 19: Angel Investor Tax Credit Program (Inception through 12th December 2019)	
Number of Investments	970
Investments in Qualified Connecticut Businesses	\$83,353,030
Tax Credits Issued (through 12/12/2019)	\$20,838,257
Tax Credits Claimed (through 2018, from DRS)	\$15,249,601

Source: Connecticut Innovations and DRS

The businesses by broad industry category and the amounts invested in them are shown below.

Table 20: Angel Investments by Company Category and Year										
Company by Category	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Bioscience	\$850,005	\$1,955,000	\$6,143,058	\$3,395,271	\$2,334,999	\$2,568,135	\$3,133,501	\$2,182,000	\$3,718,169	\$5,128,788
Clean Technology	\$450,000	\$0	\$1,199,000	\$2,365,773	\$685,000	\$1,060,000	\$1,270,000	\$537,500	\$130,000	\$125,000
Information Technology	\$595,000	\$5,278,083	\$7,867,896	\$2,518,734	\$4,689,932	\$2,401,499	\$2,489,888	\$3,285,000	\$3,960,000	\$4,203,800
Advanced Materials	\$0	\$0	\$0	\$0	\$525,000	\$0	\$0	\$0	\$0	\$0
Other	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,633,000	\$3,942,000	\$732,000
Total	\$1,895,005	\$7,233,083	\$15,209,954	\$8,279,777	\$8,234,930	\$6,029,634	\$6,893,390	\$7,637,500	\$11,750,169	\$10,189,588

Source: Connecticut Innovations

Note: Numbers may not total due to rounding.

The Angel Investor tax credit was created to encourage investment in certain targeted industries and almost all qualified companies have so far received funds.

2. Sales and Use Tax Exemption

The Sales and Use Tax abatement is administered by Connecticut Innovations, Inc. (CI). The exemption is for a company's anticipated qualifying capital equipment and/or construction materials. This exemption will relieve the company and/or the developer from Connecticut sales tax, up to a CI Board-approved amount. Active deals as of 06/2019 are listed in the table below.

Table 21: FY 2019 Sales and Use Tax Exemption Active Deals				
Date Approved	Company Name	Approved Exemption	Eligible Purchase Total (based on 6.35% sales tax)	Exemption Used (through FY 2019)
09/28/12	Bridgewater Associates	\$5,000,000	\$78,740,157	\$59,468
01/27/16	Praxair	\$1,200,000	\$18,897,638	\$593,447
08/17/16	Bob's Discount Furniture	\$1,000,000	\$15,748,031	\$370,707
05/04/16	FedEx	\$5,000,000	\$78,740,157	\$5,000,000
02/08/17	Henkel	\$1,800,000	\$28,346,457	\$1,800,000
07/19/17	Trader Joe's	\$2,500,000	\$39,370,079	\$2,133,002
06/19/17	HBN Front Street	\$760,000	\$11,968,504	\$478,557
03/14/18	The Lee Company	\$500,000	\$7,874,016	\$407,490
06/19/17	Amazon	\$5,000,000	\$78,740,157	\$4,732,914
08/15/18	Raymour and Flanigan	\$850,000	\$13,385,827	\$288,673
06/18/18	Electric Boat	\$20,000,000	\$314,960,630	\$0
10/02/18	Walgreens	\$350,000	\$5,511,811	\$57,465
10/02/18	Charter Communications	\$8,400,000	\$132,283,465	\$821,683
06/26/18	Factset	\$2,000,000	\$31,496,063	\$432,402
06/26/18	Branson	\$1,400,000	\$22,047,244	\$0
	TOTAL	\$55,760,000	\$878,110,236	\$17,175,807

Source: Connecticut Innovations

Companies currently active in the program have an approved total of \$55.76 million in sales and use tax exemptions, of which \$17 million of have been claimed. The eligible construction and capital expenses amount to \$878 million.

3. Apprenticeship in Manufacturing, Plastics and Construction Tax Credit

A tax credit may be applied by corporations that employ apprentices who receive training in the manufacturing, plastics, plastics-related, or construction trades. Wages of pre-apprentices are not eligible for this tax credit. The maximum credit available is \$7,500 per apprentice available to firms in the manufacturing industries, \$4,800 per apprentice available to firms in the plastics industries and \$4,000 per apprentice available to firms in construction industries.

We cannot directly estimate the actual number of apprentices that were eligible over this period because it is a multi-year program; *i.e.* a company can file for the tax credit for the same apprentice for more than one year. In 2017 there were 16 claims filed for this tax credit totaling

\$172,443, suggesting a minimum of 23 apprentices that companies were eligible to file claims for in 2017, based on \$7,500 per apprentice.

4. Electronic Data Processing Equipment Property Tax Credit

Firms can claim a credit equal to 100% of the property tax they paid on electronic data processing equipment. The credit effectively reduces the cost of electronic data processing equipment. As this tax credit is based on the full property tax payment and not on incremental changes, we do not know if the property taxes are paid on new or existing equipment. A total of 936 claims for this tax credit was filed in 2017 against the corporation business tax, for a total of \$10.24 million. There were also 41 EDP tax credit claims in 2017 against the insurance premiums tax for a total of \$11.4 million, and 27 claims against the unrelated business income tax totaling \$16,476. For FY 2018-19 there were 7 claims for the EDP tax credit against the public service companies tax, totaling \$13.9 million.

5. Fixed Capital Investment Tax Credit

The fixed capital investment tax credit is 5% of the amount paid or incurred by a corporation for new fixed capital investment. The full value of the associated investment is therefore 20 times the tax credit. For the most recent year available (2017), the total associated investment is over \$815 million, estimated based on the year's 1,500 claims totaling \$40.76 million.

6. Machinery and Equipment Expenditure Tax Credit

The machinery and equipment expenditure tax credit is 5% or 10% of the incremental increase in expenditures for machinery and equipment acquired for and installed in a facility in Connecticut that exceeds the amount spent for such expenditures in the prior income year. A 5% credit is available to companies that employ between 251 and 800 full-time, permanent employees whose wages or other compensation are earned in Connecticut, and a 10% credit applies if it employs fewer than 250 such workers. We estimate the associated incremental machinery and equipment expenditures assuming a 10% tax credit to be more conservative (the expenditure is therefore 10 times the tax credit). For the most recent year available (2017), the total associated investment is at least \$7.2 million, based on the year's 50 claims totaling \$723,854. Note that this is an estimate of the company's *incremental* spending in this category, not total spending.

7. Research and Development Expenditures Tax Credit

This tax credit is available for research and development (R&D) expenses incurred in Connecticut. The tax credit varies from 1% to 6% based on the total R&D amount spent. For the most recent year available (2017), the full value of the associated investment therefore ranges between \$133 million and \$680 million, based on the year's 162 claims totaling \$6.8 million.

8. Research and Experimental (Incremental) Expenditures Tax Credit

This tax credit is equal to 20% of the *incremental* increase in research and experimental (R&E) expenditures incurred in Connecticut over the previous year's research and experimental expenditure. The estimated full value of the associated R&E expenditures is therefore five times the tax credit. For the most recent year available (2017), the total associated *incremental* investment is over \$165 million, based on the year's 231 claims totaling \$33.2 million.

9. Human Capital Tax Credit

This tax credit is 5% of the amount paid or incurred by the corporation as a human capital investment. These are costs incurred by a firm for a variety of human capital investments including employee training, donations to institutions of higher learning, day care facilities' construction and child care subsidies. The full value of the associated investment is therefore 20 times the tax credit. For the most recent year available (2017), the total associated investment is \$54.1 million, based on the year's 46 claims totaling \$2.7 million.

II. ECONOMIC DEVELOPMENT PROGRAMS AND ACTIVITIES

A. Economic Development Investment Analysis

A complete listing of DECD's Economic Development recipients over the last 10 fiscal years can be found at: <https://data.ct.gov/Business/Department-of-Economic-and-Community-Development-D/xnuc3-nytd>

The table below shows the various types of direct financial assistance DECD provided over the last 10 fiscal years (2010-2019).

Table 22: Active Direct Assistance Portfolio Value by Assistance Type				
	FY 2019		Portfolio	
Assistance Type	Assistance Amount	Percentage of Total	Assistance Amount	Percentage of Total
Loans	\$94,358,167	64.3%	\$958,125,997	66.8%
Grants	\$52,482,000	35.7%	\$475,440,763	33.2%
TOTAL	\$146,840,167	100.0%	\$1,433,566,760	100.0%

Source: DECD

Note: Numbers may not total due to rounding

The state's borrowing cost per the Office of the State Treasurer as of June 30, 2019 was 3.12% for 20-year tax-exempt bonds. The following table provides a breakdown of DECD's active portfolio by funding source. Please note that throughout this section MAA program data includes First Five companies that received direct financial assistance.

Table 23: Value by Funding Source						
	FY 2019			Portfolio		
Funding Source	No. of Companies	Assistance Amount	Percentage of Total	No. of Companies	Assistance Amount	Percentage of Total
MAA	20	\$113,315,000	77.2%	257	\$1,021,554,416	71.3%
EXP	67	\$13,525,167	9.2%	1,304	\$246,362,344	17.2%
Other	1	\$20,000,000	13.6%	5	\$165,650,000	11.6%
TOTAL	88	\$146,840,167	100.0%	1,566	\$1,433,566,760	100.0%
MAA- Lending Partners				107	\$8,552,306	n/a
MAA- CI Seamless				10	\$5,820,000	n/a
EXP- Lending Partners				305	\$36,380,770	n/a

Table 23: Value by Funding Source						
	FY 2019			Portfolio		
Funding Source	No. of Companies	Assistance Amount	Percentage of Total	No. of Companies	Assistance Amount	Percentage of Total
Inactive MAA Projects				19	\$50,930,199	n/a
Inactive EXP Projects				585	\$61,751,625	n/a
Inactive Other Projects				1	\$5,500,000	n/a

Source: DECD

Note: For MAA funding, only business assistance recipients are included in the count above. Companies may have received funding under more than one program or under more than one contract. Companies assisted by DECD's lending partners and MAA-CI Seamless recipients are not listed on Open Data since compliance is managed by our Partner entity. Inactive projects include companies that have gone out of business, repaid their assistance and left the program or completed all the contract requirements. A company may appear on the active and inactive list if it has multiple contracts. Inactive projects, lending partner projects and Seamless projects are not included in the tables that follow unless otherwise noted.

As a result of DECD's active business assistance investments of approximately \$1.4 billion, an additional \$6 billion was invested in Connecticut's economy. In other words, for every dollar invested by DECD, \$4.20 was invested by other sources into the same business projects.

Table 24: Active Portfolio Leverage Ratio			
	Leverage Ratio	Non-DECD Funds	DECD Investment
Active Portfolio	4.20	\$6,017,057,047	\$1,433,566,760

Source: DECD

Note: The table above includes \$1,184,000,000 in project costs for Lockheed Martin, a Special Act recipient. This is a \$140,000,000 grant with a total budget of \$19,341,402,994. Including the total \$19B budget would have skewed the leverage ratio.

Table 25 shows the industry mix of DECD's active business assistance portfolio as a percentage of the total investment.

Table 25: Active Portfolio Industrial Composition			
NAICS	Industry	Direct Assistance	%
31-33	Manufacturing	\$692,374,935	48.3%
52	Finance & Insurance	\$164,341,099	11.5%
54	Professional, Scientific, and Technical Services	\$134,184,553	9.4%
51	Information	\$88,585,297	6.2%
56	Administrative and Support/ Waste Management	\$62,908,353	4.4%
62	Health Care & Social Assistance	\$57,815,468	4.0%

Table 25: Active Portfolio Industrial Composition			
NAICS	Industry	Direct Assistance	%
44-45	Retail Trade	\$45,200,382	3.2%
42	Wholesale Trade	\$44,191,513	3.1%
81	Other Services (except Public Administration)	\$29,033,274	2.0%
48-49	Transportation and Warehousing	\$24,016,884	1.7%
72	Accommodation & Food Services	\$19,517,826	1.4%
23	Construction	\$18,814,017	1.3%
22	Utilities	\$12,980,000	0.9%
53	Real Estate and Rental & Leasing	\$9,875,780	0.7%
71	Arts, Entertainment & Recreation	\$8,185,250	0.6%
55	Management of Companies & Enterprises	\$9,100,000	0.6%
11	Agriculture, Forestry, Fishing & Hunting	\$6,032,300	0.4%
61	Educational Services	\$4,078,400	0.3%
21	Mining, Quarrying, and Oil & Gas Extraction	\$2,000,000	0.1%
92	Public Admin Total	\$331,430	0.0%
	TOTAL	\$1,433,566,760	100.0%

Source: DECD

Note: Numbers may not total due to rounding

B. Job Creation and Retention Analysis

The following information is a summary of job audits that have been conducted for companies in DECD's portfolio (Direct Assistance and URA Tax Credits) that have contractual employment obligations.

Table 26: Business Assistance Portfolio Job Audit Results as of 6/30/2019						
Job Goal Attainment Status	# of Audits Completed	Contract Requirements			Actual Jobs Per Audit	% of Contract Requirement Attained
		Jobs Retained	Jobs Created	Total		
Met	798	43,298	10,032	53,330	62,713	117.6%
Not Met	353	4,056	2,006	6,062	4,277	70.6%
TOTAL	1,151	47,354	12,038	59,392	66,990	112.8%

Source: DECD

Note: A company may have more than one audit. Duplicate jobs retained, created and jobs per audit have been removed from this analysis across contracts and programs. This table includes URA tax credit recipients with completed job audits. MAA and URA companies may have multiple audit requirements over a span of several years. The results above are not meant to show the results of the company's final job audit. It does not include companies that went out of business but includes audits for other inactive companies.

C. Wage Analysis

Tables 27 and 28 provide the results of a portfolio wage analysis based on survey results. According to survey responses, companies in DECD's active portfolio paid a weighted average annual salary of \$104,146.

Table 27: Active DECD Portfolio Wage Analysis Based on Survey Responses	
Weighted Average	\$104,146
High	\$373,439
Low	\$15,000
Median	\$50,000

Source: DECD

The following table shows the portfolio wage data, stratified by industry.

Table 28: Active DECD Portfolio Wages by Industry Based on Survey Responses			
NAICS Code	NAICS Category	# of Companies	Weighted Average
52	Finance and Insurance	18	\$171,656
51	Information	10	\$132,891
54	Professional, Scientific, and Technical Services	62	\$112,110

Table 28: Active DECD Portfolio Wages by Industry Based on Survey Responses			
NAICS Code	NAICS Category	# of Companies	Weighted Average
31-33	Manufacturing	144	\$86,764
56	Administrative and Support and Waste Management/Remediation	11	\$76,263
44-45	Retail Trade	35	\$72,772
42	Wholesale Trade	23	\$71,452
61	Other Services	4	\$64,684
22-23	Utilities/Construction	30	\$59,329
62	Health Care and Social Assistance	31	\$55,692
71	Arts, Entertainment and Recreation	12	\$48,087
81	Other Services	18	\$47,842
72	Accommodation and Food Service	18	\$43,372
11	Agriculture, Forestry, Fishing and Hunting	3	\$41,851
48-49	Transportation and Warehousing	3	\$39,874
53	Real Estate and Rental / Leasing	1	\$35,000

Source: DECD

D. Economic Development Direct Assistance Programs

I. Manufacturing Assistance Act Program (MAA)

The MAA program is DECD’s primary funding source for providing direct financial assistance to businesses. Per CGS Sec. 32-221, the goals of the MAA program are to:

- Promote the retention, expansion, and diversification of existing manufacturing and other economic base businesses in targeted industries;
- Encourage manufacturing and other economic base businesses from other geographic areas to locate into the state; and
- Enhance employment opportunity and the tax base of communities, particularly in the state’s more economically disadvantaged communities.

An analysis of the MAA portfolio shows these goals are being met. Approximately 76% of MAA active assistance has gone to businesses in DECD’s strategically targeted clusters and projects. 52 MAA recipients are located in the state’s distressed municipalities. Companies in DECD’s MAA

portfolio (active and inactive) have committed to create 17,249 jobs and retain 39,220 jobs. Please note these job numbers have been adjusted for duplication across contracts and programs.

Table 29 : MAA Financial Assistance per Job to be Created or Retained Based on Maximum Contractual Job Obligations	
Total Assistance	\$1,072,484,615
Jobs to be Retained or Created	56,469
Financial Assistance per Job	\$18,992

Notes: This calculation includes the Active and Inactive MAA projects. As noted above, this table reflects a company's maximum job obligation and does not reflect actual jobs created or retained. Duplicate jobs to be created/retained have been removed. Duplicates could be caused by a company having multiple MAA contracts or MAA/URA contracts. ASML also received a \$6,000,000 sales and use tax exemption. All the jobs for ASML are included in the table above.

a. Estimated Direct Economic Impact of the MAA Program:

The below table shows the cumulative direct impact of the MAA program over the most recent 10 years (FY 2010- FY 2019). The direct jobs are those reported to DECD as newly created jobs while active in the program. This data is obtained through company surveys and audits, and does not include retained jobs, newly created jobs not yet reported to DECD, and jobs of inactive companies after they left the program. The direct net state revenue estimated below is the difference between the direct revenues generated by the program and its direct expenses. The direct impact comes from the jobs created at the company and capital expenditures made by the recipients of the MAA funds, so the direct revenues under the program are the income taxes and sales taxes generated by each of these respectively. The direct expenses are the costs to the state government to fund the program, which is the debt service it pays on the bonds issued to finance MAA grants and loans. These costs are offset by the repayments of loans made by the recipients and any penalties they pay for not meeting required conditions after receiving the funds. Earned forgiveness reduces the loan repayment amounts and are incorporated into the totals as well. Some companies were eligible for exemptions under the sales and use tax exemption program administered by Connecticut Innovations; the exemptions claimed through FY2019 were deducted from the revenue estimations.

Table 30: MAA Estimated Direct Economic Impact FY 2010-2019	
Direct New Jobs Reported – Annual Average*	5,838
Average Direct Net State Revenue	\$13,225,301
Cumulative Direct Net State Revenue	\$132,253,015

Source: DECD analysis

*Direct jobs taken into account for the direct impact estimations only include data from company surveys and completed audits taken while the company was active in the program. Retained jobs are not included in this estimation.

The direct net revenue above shows that on *average* estimated direct revenues exceeded the costs of the program by over \$13 million a year over the last ten years, as the cumulative total of the net direct revenue it generated over this time period is over \$132 million (in nominal dollars). This analysis does not include any potential downstream (i.e. indirect and induced) impacts in the economy from the additional spending or jobs created.

b. First Five Plus Program

In January 2019 DECD released an analysis of the First Five Plus Program, a subset of the MAA program. The report can be found [HERE](#).

c. Recommended changes to the MAA program

Pending legislative approval, DECD will use Jobs CT as its primary incentive tool and minimize the use of MAA. Jobs CT is designed to be a simple, transparent, targeted, earn-as-you-grow incentive for businesses to expand and relocate to Connecticut. More information can be found [HERE](#).

Additionally, DECD plans to administer the Governor’s Closing Fund. This fund will support large companies that relocate to Connecticut and create new jobs with grant funding to offset relocation costs.

2. Small Business Express Program (EXP)

The goal of the EXP program is to provide the capital necessary to fuel small business growth. Since its inception in FY 2012, 3,795 companies have applied to the EXP program. The 1,889

companies funded through the EXP program have committed to create 7,569 jobs and retain an existing 20,177 jobs. (Please note these job numbers have been adjusted for duplication across contracts and programs.)

Table 31 : EXP Financial Assistance per Job to be Created or Retained Based on Contractual Requirements	
Total Assistance	\$308,113,969
Jobs to be Created & Retained	27,746
Financial Assistance per Job	\$11,105

Source: DECD

Note: This calculation includes the Active and Inactive EXP portfolios. As noted above, this table reflects a company's maximum job obligation and does not reflect actual jobs created or retained. Duplicate jobs to be created/retained have been removed. Duplicates could be caused by a company having multiple EXP contracts or an EXP and MAA contract. If a company has funding from both EXP and MAA the EXP job requirement was reduced to 0 regardless of the timing of the MAA and EXP contracts.

a. Estimated Direct Economic Impact of the EXP Program:

The table below shows the estimated direct impact of the EXP program from its inception through FY 2019. The direct jobs are those reported to DECD as newly created jobs while active in the program. This data is obtained through company surveys and audits, and does not include retained jobs and newly created jobs not yet reported to DECD. The direct net state revenue is defined as the difference between the direct revenues generated by the program and its direct costs. The direct impact comes from the jobs and capital expenses created by the recipients of the EXP funds, so the direct revenues under the program are the income taxes and sales taxes generated by each of these respectively. The direct costs are the costs to the state government to fund the program, which is the debt service it pays on the bonds issued to finance EXP grants and loans. These costs are offset by the repayments of loans made by the recipients and any penalties they pay for not meeting the required conditions after receiving the funds. Any loan forgiveness earned is incorporated into the analysis.

Table 32 : EXP Estimated Direct Economic Impact FY 2012-2019	
Direct New Jobs Reported – Annual Average	2,980
Average Direct Net State Revenue	\$5,548,062
Cumulative Direct Net State Revenue	\$44,384,493

Source: DECD analysis

Note: Direct jobs taken into account for the direct impact estimations only include data from company surveys and completed audits taken while the company was active in the program. Retained jobs are not included in this estimation.

The estimated direct net revenue shows that on average direct revenues exceeded the costs of the program by over \$5 million a year over the life of the program to date through FY 2019, and the cumulative total of the net direct revenue it generated is over \$44 million. This analysis does not include any potential downstream impacts (i.e. indirect and induced) in the economy from the additional spending or jobs created.

b. Recommended changes to the EXP program

The EXP program was created to help stimulate the economy after the great recession when banks were not lending. EXP was never meant to be a long-term program, rather a bridge until the banking sector regained its footing.

Pending legislative approval, DECD will partner with Connecticut Innovations (CI) and leverage its existing Capital Access for Business loan guarantee program. Secondly, we will work with Community Economic Development Institutions (CEDFIs) to provide investment in their revolving loan fund programs to build capacity and ensure sustainable capital for higher risk credit profiles.

The anticipated benefits of this new approach include:

- Partner with the private-sector to increase capacity and incentive rather than compete
- Leverage existing program expertise and lower the cost of starting a new program
- Better aligned state resources for greater efficiency with a customer centric approach
- No “new” fiscal impact, significantly lowers the risk to the state, and decrease upfront cash investment for the state.

- Targeted investment toward market gaps

3. Other Funding Sources

DECD has 5 active projects and 1 inactive project that received direct financial assistance from a program other than MAA or EXP. The funding sources are Brownfields, Special Act, Urban Act and Public Act. These are not business assistance programs but these projects are included in this section due to the uniqueness of their contracts.

The table below shows the financial assistance per job for 5 of the 6 business assistance projects from a funding source other than MAA or EXP. Lockheed Martin has been removed from this calculation since its total financial assistance per job is reported in the tax credit section of this report.

Table 33 : Financial Assistance per Job to be Created or Retained Based on Contractual Requirements	
Total Assistance	\$31,150,000
Jobs to be Retained or Created	909
Financial Assistance per Job	\$34,268

Source: DECD

E. Other Business Support Programs

1. Manufacturing Innovation Fund

The Manufacturing Innovation Fund (MIF) was created to support the growth, innovation and progress of Connecticut's advanced manufacturing sector. The MIF is capitalized with \$75 million in funding, offering manufacturing focused programs and initiatives in the areas of workforce and training, innovation, operational improvements and capital access. The MIF programs are centered on four principles: Accelerate growth, cultivate talent, develop talent, and facilitate innovation.

According to the 2019 MIF Annual Report, as of June 30 2019, the MIF had assisted approximately 1,675 companies and invested over \$60 million to help accelerate growth,

cultivate talent, and boost investments in innovation. The MIF is administered by DECD, the agenda and programming developed through the advice and counsel of a 10-member advisory board made up of senior leaders from the manufacturing industry. The Board’s 2019 MIF Annual Report can be found [HERE](#).

2. Minority Business Initiative

Financial assistance under this \$25,000,000 program is in the form of loans targeting minority businesses. HEDCO, Inc. was chosen to administer the program and will manage the program with \$5,000,000 per year for five years. As of June 30, 2019, HEDCO has received \$10,000,000 for loans and administrative expenses.

Table 34: MBI Program Activity as of June 30, 2019	
Loans Approved	60
Assistance Amount	\$4,107,000

Source: DECD and HEDCO

In 2017 the state legislature formally established the Minority Business Advisory Board (MBI Board). The legislature awarded the Board funding of \$2,000,000 for FY 18 and \$1,000,000 for FY 19 for programming and operation costs.

3. International Trade and Foreign Direct Investment

The role of the International and Domestic Business Development Team is to facilitate commercial international and out-of-state activities in Connecticut. Responsibilities include the following:

- Recruitment of foreign direct investment (FDI) to Connecticut by providing assistance to foreign companies interested in expanding and/or relocating their operations to the state;
 - Promote Connecticut abroad as an ideal business location;
 - Serve as the liaison to Connecticut’s foreign-owned companies;
 - Coordinate and lead business development missions and international trade shows;
- and

- Support protocol duties for members of the international diplomatic corps and foreign delegations visiting Connecticut in conjunction with the state’s economic development objectives.

Accomplishments during FY 2019 include:

- DECD submitted a successful State Trade and Export Promotion (STEP) grant application to the U.S. SBA and was awarded \$300,000 for federal FY 2019 funds to increase the number of small businesses that export, increase the value of exports for current small business exporters, and increase significant new trade opportunities for small businesses. FY 19 STEP is projected to assist 63 eligible small businesses with various export activities, including trade missions, foreign and domestic trade shows, business-to-business meetings, translations and international marketing efforts.
 - Medica 2018 in Dusseldorf, Germany - participation as part of the “Best of New England” booth at the world’s leading medical trade fair. Anticipated sales for Medica 2018 booth participants is \$400,000
 - Hannover Messe 2019 in Hannover, Germany - 2 companies participated in the Connecticut booth in the Energy Hall’s Hydrogen, Fuel Cell and Battery Technology exhibit, which resulted in \$51,000 in anticipated export sales for Connecticut sub-exhibitors in the booth
 - Paris Air Show 2019 in Paris, France - 11 companies participated in the Connecticut booth in one of the world’s largest aerospace and defense trade shows, which resulted in \$29,500,000 in anticipated sales for the Connecticut booth’s sub-exhibitors
- Connecticut participated in FDI lead generation opportunities at the 2019 SelectUSA Summit in Washington, D.C. where in addition to the companies that visited our booth, we met with Brazilian and Taiwanese delegations as well as 49 companies in scheduled matchmaking efforts.
- DECD also served on committees and boards of various internationally oriented organizations, including the Eastern Trade Council (ETC), New England Governors

and Eastern Canadian Premiers’ (NEG/ECP), State International Development Organizations (SIDO), and MetroHartford Alliance’s Global Business Committee.

- In FY 2019, DECD hosted and interfaced with several inbound delegations, including Spain, China and Taiwan.

4. Airport Development Zones

Claims data from companies located in Airport Development Zones is included in the Enterprise Zone data provided to DECD by OPM, and therefore are incorporated into the Enterprise Zone economic impact analysis in the tax credit section of this report.

Three companies, certified by DECD in Program Year 2018, applied for their first airport development zone benefits in Program Year 2019. The costs and benefits below are estimated based on data from OPM and information provided to DECD by the companies during their certification process. All 3 companies are located in the Bradley ADZ.

Table 35: New Applications for ADZ Benefits in 2019	
	Amount
	Amount
Market Value of Real Estate Additions to Grand List	\$8,673,400
Market Value of Personal Property Additions to Grand List	\$15,024,004
Total Abatement to Companies in 2019	\$425,510
Estimated Sales Tax on Personal Property and Construction Materials	\$1,123,659
Estimate Real Estate Conveyance Tax on Real Estate Acquisition	\$19,949
Total Estimated Revenue to State	\$1,143,607
Assumed State Reimbursement (50% of Abatement)	\$212,705
Net Revenue to State	\$930,902

Source: DECD, OPM

DECD does not have any recommended changes to the existing Airport Development zones.

During the period of October 1, 2018 – September 30, 2019, DECD approved one applicant, Roller Bearing Company of America, Inc. for Oxford ADZ benefits.

Table 36: Program Year 2019 Airport Development Zone Certifications	
Companies Approved for Eligibility	1
Total SF Built or Acquired	60,000
Total Anticipated Expenditures on Land Acquisition, Construction & Machinery and Equipment	\$13,531,354
Total Full-Time Jobs to be created/ retained	35

Source: DECD

Note: Anticipated expenditures and full-time jobs to be created and retained are provided by the zone on its application and have not been audited by DECD.

5. Qualified Opportunity Zones

Qualified Opportunity Zones (“OZ”) were established by the 2017 Federal Tax Cuts and Jobs Act to spur long-term private sector investments in low income communities nationwide. The OZ program offers significant tax incentives to investors who realize capital gains and subsequently reinvest those capital gains into OZs through a Qualified Opportunity Fund (“Fund”). Connecticut has 72 OZs approved by the U.S. Treasury. Please click [HERE](#) for more information.

In FY 2019 DECD worked diligently to launch the OZ program and prepare for a statewide OZ conference that was held in FY 2020. DECD will monitor this program’s activity in the coming years.

6. Tech Talent Fund

DECD in consultation with Technology Talent Advisory Committee, administers [Tech Talent Fund](#)- a resource for both workers and employers to ensure Connecticut has the workforce talent needed to fuel growth in its tech sectors. After issuing a comprehensive report on strategies to address the issue in December 2016, DECD then began making a series of strategic investments. The first was in the Tech Talent Bridge, a program which provides matching grants to companies hiring tech interns from local colleges and universities. The department also put out a RFP in 2018, and subsequently selected three organizations to begin specialized technology training programs focused on building capacity in the areas of Full Stack Development and Data Science & Analytics to meet a critical area of skills demand

by Connecticut companies. The organizations selected were: General Assembly Space, Inc., Tech Talent South, LLC and the Holberton School at the District Innovation and Venture Center, Inc. The training programs began in the second quarter of 2019 in the Hartford, New Haven and Stamford areas.

Table 37: Tech Talent Fund Activity as of 6/30/2019	
Recipient	Contract Amount
Tech Talent South LLC	\$1,250,000
District Innovation & Venture Center, Inc.	\$1,000,000
General Assembly Space Inc.	\$1,250,000

Source: DECD

7. Programs Administered by Connecticut Innovations

DECD works very closely with the quasi-public entity Connecticut Innovations (CI), which provides equity lending and seed / pre-seed funding to more than 25 startups and smaller entrepreneurial companies annually. One program of note is the Connecticut Bioscience Innovation Fund, a \$200 million fund that makes investments in the form of grants, equity investments and loans to increase and accelerate the number of bioscience breakthroughs brought to market. CTNext, a subsidiary of CI, manages the Innovation Places program that supports entrepreneurs and leaders developing places that will attract the talent high-growth enterprises need.

Read CI’s Annual Report in the Appendix of this report to learn more about how it supports high-tech development and entrepreneurship in Connecticut. It is important to note that CI and DECD fund some of the same companies. Combining CI and DECD’s numbers would likely lead to double counting in certain categories such as number of recipients, leveraged dollars and jobs to be created or retained.

F. Office of the Permit Ombudsman

The Office of the Permit Ombudsman was created within DECD to expedite regulatory state agency approvals for qualified economic development projects that need environmental, public health, and transportation permits. The Permit Ombudsman acts as a facilitator between state regulatory agencies and businesses to fast track projects through regulatory approvals and to resolve permitting

issues by maintaining an active relationship with many state agencies, including the Department of Energy and Environmental Protection, the Department of Consumer Protection, the Department of Transportation and the Department of Housing.

During FY 2019 the Office of the Permit Ombudsman has:

- Responded to numerous inquiries for businesses and companies seeking to understand state applicable regulatory framework and permitting processes. Helped set up pre-application meetings with the key regulatory decision makers to map out the process and timeline for obtaining critical permits and approvals.
- Coordinated and facilitated regulatory permitting meetings with the Department of Energy and Environmental Protection, Department of Transportation, and Department of Public Health.
- Provided assistance to the Department of Housing in accordance with the MOU between agencies in compliance with the Connecticut Environmental Policy Act, the National Environmental Policy Act and the National Historic Preservation Act.

Table 38: Office of the Permit Ombudsman FY 2019 Activity							
Municipality	Applicant's Name	Date of Request	Reasons for eligibility	Jobs/ Housing Units	Participating Agencies	Permit Granted/ Denied Date	Reason for Disqualification
Baltic	Amgraph	1/28/2019	DECD funding, Economic Development	retain 103 jobs & create up to 50	DOT/OSTA	2/22/2019	
S. Windsor	Proposed Coca-Cola Distribution Center	10/1/2018	Economic Development		SW DEEP	11/23/2018	
Bridgeport	Barnum Landing Ferry Terminal	6/11/2018	Economic Development		OSTA/DEEP	ongoing	due to complexity of the project/ insufficient application submission
statewide	CSL Plasma	7/30/2018	Economic Development		DPH	no resolution	client doesn't want to submit application until regulations on plasma center changes
Preston	Norwich State Hospital	3/7/2019	DECD brownfield funding		DEEP-FMC	3/18/2019	

Source: DECD, Office of the Permit Ombudsman

G. Office of Brownfield Remediation and Development

A brownfield is any abandoned or underutilized site where redevelopment and reuse has not occurred due to the presence of pollution in the buildings, soil or groundwater that requires remediation before or in conjunction with the restoration, redevelopment or reuse of the property.

Information on DECD's Brownfield programs can be found at:

https://portal.ct.gov/DECD/Content/Community-Development/01_Project_Type/Connecticut-Office-of-Brownfield-Remediation-and-Development

A listing of DECD's Brownfields program recipients can be found online at: <https://data.ct.gov/Business/Department-of-Economic-and-Community-Development-B/t2xi-dmhg>

The Office of Brownfield Remediation and Development (OBRD) is a dedicated office within DECD with the primary mission to be a one-stop resource for brownfield development in Connecticut. As such, OBRD coordinates the state's response for brownfield assistance to communities and businesses. Brownfield redevelopment projects are usually long term and have a variety of complicated environmental, legal, and financial obstacles to overcome.

Since FY 2010, DECD has funded 251 brownfield projects spanning over 74 municipalities with approximately \$210 million in funding through a mix of loans and grants. This funding has leveraged approximately \$3 billion in other funds, resulting in a leverage ratio of approximately \$14.76 invested by non-DECD partners for every dollar of OBRD funding.

Table 39: Brownfield Funding Activity					
	# of Projects	Total DECD Investment	Non- DECD Funds	Leverage Ratio	Acreage
FY 2019	16	\$9,695,095	\$122,650,467	12.65	60.72
Brownfield Portfolio	251	\$209,985,085	\$3,100,793,114	14.76	3,272

Source: DECD/ OBRD

Note: Brownfield Program Activity statistics also include the federal EPA RLF program. The number of projects and acreage columns may have been adjusted to eliminate duplication for sites that have received funding in multiple phases or under multiple contracts.

OBRD collaborates with state agency partners such as the CT Department of Energy and Environmental Protection, the CT Department of Public Health, federal agency partners such as the

Environmental Protection Agency and the regional council of governments to bring back brownfields into economic use.

The table below shows DECD's brownfield funding activity by program.

Table 40: Brownfield Funding Activity by Program				
Program Name	FY 2019		Brownfield Portfolio	
	# of Projects	Total DECD Investment	# of Projects	Total DECD Investment
Remedial Action and Redevelopment Municipal Grant	5	\$4,505,000	155	\$ 84,847,637
Targeted Brownfield Development Loan	2	\$3,452,000	49	\$86,910,001
Brownfield Area-Wide Revitalization Planning Grant	7	\$1,200,000	13	\$2,240,000
State-Owned Brownfield Properties Program	1	\$30,595	4	\$15,690,595
Special Contaminated Property Remediation and Insurance Fund Revolving Loan	1	\$507,500	12	\$1,607,050
Urban Action Program & Special Act	0	\$0	5	\$12,100,000
U.S. EPA Revolving Loan Fund	0	\$0	8	\$2,177,500
MAA	0	\$0	3	\$1,884,827
Urban Sites Remedial Action	0	\$0	2	\$2,527,475
TOTAL	16	\$9,695,095	251	\$209,985,085

Note: Brownfield Program Activity statistics also include the federal EPA RLF program. The number of projects and acreage columns may have been adjusted to eliminate duplication of sites that have received funding in multiple phases or under multiple contracts. The Urban Sites Remedial Action total does not include \$2,000,000 awarded to Higher One since it is included in the Business Assistance section of this report.

DECD has several non-funding Brownfield programs. Activity for those programs is below.

Table 41: Non-funding Brownfield Program Activity		
Program	2019 Approvals	FY 2010-2019 Approvals
Brownfield Remediation and Revitalization Liability Relief Program	2	36
Abandoned Brownfield Cleanup Liability Relief Program	4	19
Connecticut Brownfields Land Banks Program	1	2

Source: DECD

DECD's Office of Brownfield Remediation and Development also manages the state's Dry Cleaning Remediation Fund. The table below outlines the FY 2019 activity for that program.

Table 42: Dry Cleaning Remediation Funds FY 2019 Activity		
Applicant	Dry Cleaning Establishment/Address	Grant Amount
Shippan Professional Cleaners, LLC	Shippan Professional Cleaners (195 Shippan Avenue, Stamford)	\$250,000
Hoyt Bedford Associates, Limited Partnership	ValueClean Cleaners (949 Bedford Street, Stamford)	\$150,000
TOTAL		\$400,000

Source: OBRD, DECD

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III. COMMUNITY DEVELOPMENT PROGRAMS

A. Community Development Impact

DECD’s broad community development portfolio includes a wide variety of project types including arts and entertainment, economic development planning and technical program support. Community development activities create the environment necessary for sustainable economic growth, stable neighborhoods and healthy communities. Community development activities address the quality-of-life issues that create and reinforce the foundation that effective economic and housing development depend upon for success.

B. Capital Projects

A complete listing of DECD’s Capital Projects recipients can be found at:

<https://data.ct.gov/Business/DECD-Capital-Projects-Portfolio/5jrt-794p>

DECD’s Capital Projects Portfolio includes projects funded through a variety of programs including the Small Town Economic Assistance Program and Urban Action Grant Program. In general, DECD acts only as the administrator of these programs, since OPM has primary decision-making responsibility. In FY 2019 the state invested over \$47 million into 19 community development projects bringing total active investment oversight by DECD to over \$531 million.

Table 43: DECD Community Development Activity				
	# of Projects	Leverage Ratio	Total Project Cost	State Investment
FY 2019	19	.1	\$51,870,102	\$47,014,810
CD Portfolio	463	.60	\$857,134,722	\$531,153,720

Source: DECD
Note: Electric Boat’s \$20 million 2019 infrastructure Special Act grant is included in the Business Assistance section of this report.

C. State Historic Preservation Office (SHPO)

1. Tax Credits

A complete listing of DECD's SHPO tax credit recipients can be on DECD's Tax Credit Portal at: <https://data.ct.gov/Tax-and-Revenue/Department-of-Economic-and-Community-Development-T/ihra-gwrn>

Under its two tax credit programs, in FY 2019 SHPO issued over \$11 million in tax credit vouchers to 73 recipients.

Table 44: FY 2019 SHPO Tax Credit Activity		
Tax Credit Program	Number of Vouchers Issued	Voucher Amount
State Historic Structures	9	\$9,836,953
Historic Homes Rehabilitation	64	\$1,478,914
TOTAL	73	\$11,315,867

Source: DECD

Additionally, SHPO processed 36 new projects under the federal historic rehabilitation tax credit program. For annual reports of the federal historic tax credit program visit the National Park Service's website at <https://www.nps.gov/tps/tax-incentives.htm>.

2. Grants

In FY 2018 SHPO granted \$1,245,789 in grants to 36 eligible recipients through its various grant programs. A complete listing of DECD's SHPO grant recipients can be found at:

<https://data.ct.gov/Housing-and-Development/DECD-Arts-and-SHPO-Grant-Recipients/ud2k-2im4>

Table 45: FY 2019 SHPO Grant Activity		
Program	Number of Recipients	Grant Amount
Certified Local Government	4	\$70,000
Historic Restoration Fund	7	\$227,949
Institutional Support for Capacity Building	2	\$200,000
Partners in Preservation	6	\$509,440
Supplemental Certified Local Government	1	\$30,000
Historic Preservation Survey & Planning	16	\$208,400
TOTAL	36	\$1,245,789

Source: DECD

D. Connecticut Office of the Arts (COA)

The Connecticut Office of the Arts uses the lenses of relevance, equity, access, diversity, and inclusion (READI) to guide programmatic and investment decisions within a framework of artistic excellence. Equity, inclusion and access involving all populations are critical to the vitality of our neighborhoods, towns, and cities. We are committed to supporting and fully engaging diverse members of our communities in arts policy, practice, and decision making. Continually changing demographics invite opportunity for responsible and responsive social change by attracting new perspectives that connect minds to a vision and hands to a purpose through the arts.

During FY 2019, COA granted \$6,415,934 to 511 recipients. A complete listing of DECD's Arts grant recipients can be found [HERE](#).

Table 46: FY 2019 Arts Grant Activity		
Program	Number of Recipients	Grant Amount
Arte Accessible	15	\$41,521
Artist Fellowship	50	\$150,000
Arts Education	1	\$50,000
Arts Learning- Access	21	\$20,104
Arts Learning- Connection	4	\$17,409
Arts Learning- Integration	6	\$46,570
Arts Project	24	\$332,300
Arts Workforce	37	\$191,250
Arts Endowment	167	\$787,661
Heritage Cultural Arts	1	\$11,500
State Poet Laureate	1	\$2,500
State Troubadour	1	\$2,500
Designated Regional Service	6	\$204,000
Directed Local Funds	41	\$3,702,249
Elizabeth Mahaffey Fellowship	8	\$15,350
Poetry Out Loud	1	\$18,500
Regional Initiative Grant	36	\$130,520
Strategic Initiative Partnership	13	\$238,000
Supporting Arts	78	\$454,000
TOTAL	511	\$6,415,934

Source: DECD

E. Connecticut Office of Tourism

Released in 2019, The Economic Impact of Travel in Connecticut report revealed that all business sectors of the Connecticut economy benefit from tourism activity directly and/or indirectly. The report can be found [HERE](#). The report indicated that tourism:

- Generated \$15.5 billion in business sales supported by traveler spending in Connecticut in 2017;
- Generated \$2.2 billion in tax revenues, including \$960 million in state/local tax revenue, from visitor activity in 2017; and
- Supported 84,254 jobs directly, and 123,521 jobs indirectly (jobs supported by tourism activities) in 2017.

In fiscal year 2019, as in the prior year, the Office of Tourism took a number of strategic steps — rooted in extensive research — to ensure the Connecticut tourism industry continues to grow. These efforts involved hundreds of tourism and industry partners from across the state and engaged dozens of local representatives through its Regional Tourism Marketing Program.

With the Tourism Industry’s support, in fiscal year 2019, the Office of Tourism:

- Continued to enhance the statewide tourism industry website, CTvisit.com, a mobile-responsive, content-marketing-optimized, extremely effective, statewide tourism website;
- Represented over 4,000 industry partners on CTvisit.com;
- Increased the traffic to the website to a record-breaking 5.6 million visits (11.4% over FY2018);
- Earned significant public relations coverage with over 1 billion PR Impressions via 1,060 PR placements
- Generated 142 million social media impressions, a 21% increase over FY2018, while also increasing engagement by 40%;
- Generated 3.2 million referrals from CTvisit.com for Tourism Industry Partners (clicks to partner websites, calls or e-mails); and

- Featured well over 1,100 Tourism Partners in Office of Tourism PR, Advertising & Content Marketing.

The Office of Tourism manages an award-winning content marketing program that helped drive interest in the state. The program, which included the development of hundreds of thought-provoking and timely articles about where to eat, stay, and play in Connecticut, drove over half of the web traffic to CTvisit.com.

In FY 2019, the Office of Tourism's supported statewide Connecticut Convention & Sports Bureau (CTCSB) partnership contracted 196 current and future meetings, conventions and sports events which are expected to generate more than \$62.9 million in local spending and over \$4.2 million in sales tax revenue for the state. In addition, there were 495 leads issued accounting for 272,421 lead room nights.

Overall, the Office of Tourism maximized marketing efficiencies in FY2019, and drove more website traffic, promoted more partners, and generated more lodging tax revenues than in the previous year.

IV. EMPLOYMENT INDICATORS AND GROSS STATE PRODUCT (GSP)

A. Industry Employment

Table 47 provides the Connecticut employment by industry at the two-digit North American Industry Classification System (NAICS) code level. Health Care and Social Assistance is the largest industry in terms of annual average employment which accounted for 16.0% of total employment in 2018, followed by Government sector at 13.4% and Retail Trade industry at 10.8%.

NAICS Code	Industry	2018 Annual Average Employment	% of Total
62	Health care and social assistance	268,584	16.0%
	Total Government	224,852	13.4%
44-45	Retail trade	180,699	10.8%
31-33	Manufacturing	160,500	9.6%
72	Accommodation and food services	129,220	7.7%
52	Finance and insurance	103,664	6.2%
54	Professional and technical services	96,341	5.8%
56	Administrative and waste management	91,067	5.4%
81	Other services, except public administration	66,907	4.0%
42	Wholesale trade	61,611	3.7%
23	Construction	58,769	3.5%
61	Educational services	58,228	3.5%
48-49	Transportation and warehousing	48,694	2.9%
51	Information	31,734	1.9%
55	Management of companies and enterprises	33,619	2.0%
71	Arts, entertainment, and recreation	28,489	1.7%
53	Real estate and rental and leasing	19,991	1.2%
11	Agriculture, forestry, fishing and hunting	4,748	0.3%
22	Utilities	5,172	0.3%
21	Mining	524	0.0%
99	Nonclassifiable establishments	456	0.0%
	Statewide total	1,673,867	100.0%

Source: Connecticut Department of Labor, Labor Market Information, 2018 QCEW Program Data

Note: Numbers may not total due to rounding.

B. Unemployment Rate

According to the U.S. Bureau of Labor Statistics, Connecticut and the United States both had an average unemployment rate of 3.8% in FY 2019.

C. Gross State Product (GSP) by Industry

The Real Estate, Rental and Leasing industry accounted 14.5% of the total state economy in 2018, followed by the Finance and Insurance industry with 13.7% and Manufacturing with 10.8%. The Government and Health Care and Social Assistance sectors rounded the top five with 10.1% and 8.0%, respectively.

Table 48: 2018 Connecticut GSP by Industry (millions of current \$)		
Industry	GSP	% of Total
Real estate and rental and leasing	40,028	14.5%
Finance and insurance	37,671	13.7%
Manufacturing	29,659	10.8%
Government and government enterprises	27,833	10.1%
Health care and social assistance	22,169	8.0%
Professional, scientific, and technical services	19,127	6.9%
Wholesale trade	17,690	6.4%
Retail trade	13,835	5.0%
Information	13,551	4.9%
Construction	8,166	3.0%
Administrative and support and waste management and remediation services	7,757	2.8%
Management of companies and enterprises	6,837	2.5%
Accommodation and food services	6,832	2.5%
Educational services	6,430	2.3%
Other services (except government and government enterprises)	5,352	1.9%
Transportation and warehousing	5,076	1.8%
Utilities	4,621	1.7%
Arts, entertainment, and recreation	2,555	0.9%
Agriculture, forestry, fishing and hunting	331	0.1%
Mining, quarrying, and oil and gas extraction	209	0.1%
Total	275,727	100.0%

Source: U.S. Bureau of Economic Analysis

V. APPENDIX

A. The REMI Model

The Connecticut REMI Tax-PI model is a dynamic, multi-sector, regional economic model developed and maintained for the Department of Economic and Community Development by Regional Economic Models, Inc. of Amherst, Massachusetts. This model provides detail on all eight counties in the State of Connecticut and any combination of these counties. The REMI model includes the major inter-industry linkages among 466 private industries, aggregated into 67 major industrial sectors. With the addition of farming and three public sectors (state and local government, civilian federal government, and military), there are 70 sectors represented in the model for the eight Connecticut counties.♣

The REMI model is based on a national *input-output* (I/O) model that the U.S. Department of Commerce (DoC) developed and continues to maintain. Modern input-output models are largely the result of groundbreaking research by Nobel laureate Wassily Leontief. Such models focus on the inter-relationships between industries and provide information about how changes in specific variables—whether economic variables such as employment or prices in a certain industry or other variables like population affect factor markets, intermediate goods production, and final goods production and consumption.

The REMI Connecticut model takes the U.S. I/O “table” results and scales them according to traditional regional relationships and current conditions, allowing the relationships to adapt at reasonable rates to changing conditions. Listed below are some salient structural characteristics of the REMI model:

REMI determines consumption on an industry-by-industry basis, and models real disposable income in Keynesian fashion, that is, with prices fixed in the short run and GDP (Gross Domestic Product) determined solely by aggregate demand.

The demand for labor, capital, fuel, and intermediate inputs per unit of output depends on relative prices of inputs. Changes in relative prices cause producers to substitute cheaper inputs for relatively more expensive inputs.

♣ The seminal reference is George I. Treyz (1993), Regional Economic Modeling: A Systematic Approach to Economic Forecasting and Policy Analysis, Kluwer Academic Publishers, Boston.

- Supply of and demand for labor in a sector determine the wage level, and these characteristics are factored by regional differences. The supply of labor depends on the size of the population and the size of the workforce.
- Migration—that affects population size—depends on real after-tax wages as well as employment opportunities and amenity value in a region relative to other areas.
- Wages and other measures of prices and productivity determine the cost of doing business. Changes in the cost of doing business will affect profits and/or prices in a given industry. When the change in the cost of doing business is specific to a region, the share of the local and U.S. market supplied by local firms is also affected. Market shares and demand determine local output.
- “Imports” and “exports” between states are related to relative prices and relative production costs.
- Property income depends only on population and its distribution adjusted for traditional regional differences, *not* on market conditions or building rates relative to business activity.
- Estimates of transfer payments depend on unemployment details of the previous period, and total government expenditures are proportional to population size.
- Federal military and civilian employment is exogenous and maintained at a *fixed* share of the corresponding total U.S. values, unless specifically altered in the analysis.

Because each variable in the REMI model is related, a change in one variable affects many others. For example, if wages in a certain sector rise, the relative prices of inputs change and may cause the producer to substitute capital for labor. This changes demand for inputs, which affects employment, wages, and other variables in those industries. Changes in employment and wages affect migration and the population level that in turn affect other employment variables. Such chain-reactions continue in time across all sectors in the model. Depending on the analysis performed, the nature of the chain of events cascading through the model economy can be as informative for the policymaker as the final aggregate results. Because REMI generates extensive sectoral detail, it is possible for experienced economists in this field to discern the dominant causal linkages involved in the results.

The REMI model is a structural model, meaning that it clearly includes cause-and-effect relationships. The model shares two key underlying assumptions with mainstream economic theory: *households maximize utility* and *producers maximize profits*. In the model, businesses produce goods to

sell to other firms, consumers, investors, governments and purchasers outside the region. The output is produced using labor, capital, fuel and intermediate inputs. The demand for labor, capital and fuel per unit output depends on their relative costs, because an increase in the price of one of these inputs leads to substitution away from that input to other inputs. The supply of labor in the model depends on the number of people in the population and the proportion of those people who participate in the labor force. Economic migration affects population size and its growth rate. People move into an area if the real after-tax wage rates or the likelihood of being employed increases in a region.

Supply of and demand for labor in the model determine the real wage rate. These wage rates, along with other prices and productivity, determine the cost of doing business for each industry in the model. An increase in the cost of doing business causes either an increase in price or a cut in profits, depending on the market supplied by local firms. This market share combined with the demand described above determines the amount of local output. The model has many other feedbacks. For example, changes in wages and employment impact income and consumption, while economic expansion changes investment and population growth impacts government spending.

In order to understand how the model works, it is critical to know how the key variables in the model interact with one another and how policy changes are introduced into the model. To introduce a policy change, one begins by formulating a policy question. Next, select a baseline forecast that uses the baseline assumptions about the external policy variables and then generate an alternative forecast using an external variable set that includes changes in the external values, which are affected by the policy issue.

Figure B2 shows how this process would work for a policy change called Policy X. In order to understand the major elements in the model and their interactions, subsequent sections examine the various blocks and their important variable types, along with their relationships to each other and to other variables in the other blocks. The only variables discussed are those that interact with each other in the model. Variables determined outside of the model include:

- Variables determined in the U.S. and world economy (e.g., demand for computers).
- Variables that may change and affect the local area, but over which the local area has no control (e.g., an increase in international migration).
- Variables that are under control of local policy (e.g., local tax rates).

For simplicity, the last two categories are called policy variables. Changes in these variables are automatically entered directly into the appropriate place in the model structure. Therefore, the diagram showing the model structure also serves as a guide to the organization of the policy variables (see Figure B3).

Figure 1

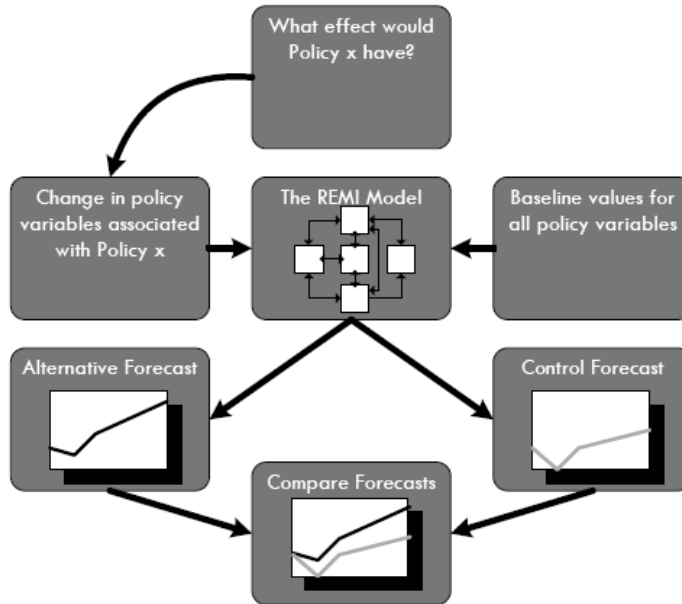
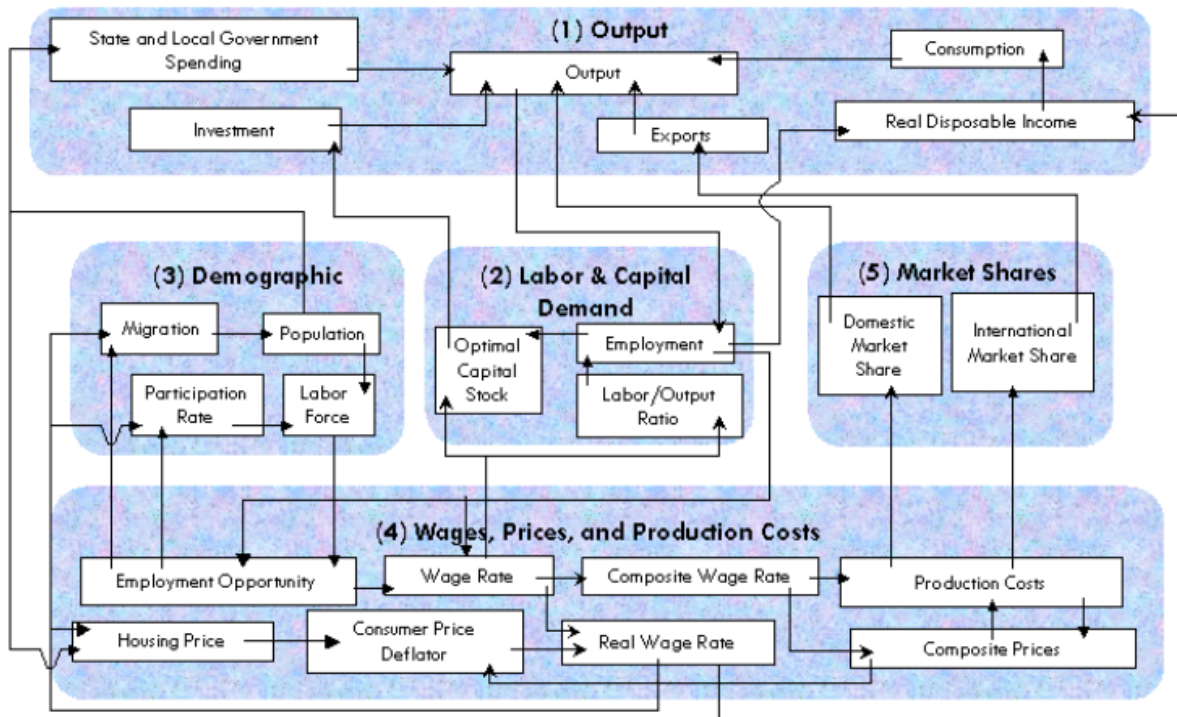


Figure 2

REMI Model Linkages (Excluding Economic Geography Linkages)



B. Connecticut Innovations Annual Report

Please see attached report

RE: Financial Assistance Annual Report

In accordance with Section 32-47a of the General Statutes of the State of Connecticut, I am pleased to submit the fiscal year 2019 annual financial assistance report for Connecticut Innovations, Incorporated (CI). This report covers information for all new and outstanding financial assistance provided by CI to recipients between July 1, 1990 and June 30, 2019.

CI's job creation or retention requirements call for each recipient of financial assistance to use their best efforts to create jobs and employ Connecticut residents. It is required that each recipient of financial assistance maintain a Connecticut presence.

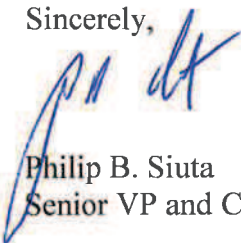
The economic benefit criteria used in determining which applications are approved are as follows:

- Formation and development of emerging technology companies with high potential to grow and create employment and vendor opportunities in Connecticut.
- Expansion of the level of economic activity and related employment and vendor activity by emerging technology companies in Connecticut.
- Retention of existing jobs in Connecticut.
- Attraction of new high technology businesses and workers to Connecticut.
- Stimulation of university/industry collaborations to foster the development and transfer of new technology to create and expand, as well as to increase, enhance and update the capacity of businesses in Connecticut.
- Leveraging of non-state investment in high tech enterprises in Connecticut.
- Review of the credit risk and compare it to the economic benefit received.
- Review of the financial strength of the recipient.

The audited financial statements for CI may be found on our website www.ctinnovations.com.

Please feel free to contact me at (860) 258-7822 should you have any questions.

Sincerely,



Philip B. Siuta
Senior VP and Chief Financial Officer

CONNECTICUT INNOVATIONS
2019 ANNUAL REPORT
CGS 32-47a

**Connecticut Innovations
Year End June 30, 2019
Legislative Report CGS - 32-47a**

Section 1 – Name, Address, Location, Business Activity, Standard Industrial Classification Manual Code, minority or woman owned status, type and amount of financial assistance, amount leveraged by assistance, job creation or retention requirements, and high performance organization status of any new or outstanding financial assistance.

Section 2 – Total number of employees at application, number of jobs at application to be created and total current jobs. A comparison of the total number of jobs retained and the total number of jobs at application and total number of jobs created as compared to the number of jobs to be created for any new or outstanding financial assistance.

Section 3 – Gross Revenue Information

Section 4 – Average Wage rates at application for retained jobs and average anticipated wage rate at application for jobs to be created, as compared to current average wage rates for retained jobs, and current average wage rates for created jobs.

Section 1

**Connecticut Innovations
Section 1
New and Outstanding Financial Assistance FYE 6/30/19**

Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
110 Buckland Hills, LLC	110 Buckland Hills Road, Manchester	Restaurant	722110	No	23-Jul-12	CAB	Guarantee	\$90,000.00	\$210,000	No	Non-Qualified
1Touch	290 Harbor Drive Stamford	Information Technology	541519	No	28-May-19	ElIWhitney	Conv. Debt	\$250,000.00	\$1,150,000	Yes	Non-Qualified
1Touch	290 Harbor Drive Stamford	Information Technology	541519	No	04-Sep-18	ElIWhitney	Conv. Debt	\$1,000,000.00	\$1,100,000	Yes	Non-Qualified
A.K. Boncek, LLC	1228 Farmington Avenue, West Hartford	Retail	445310	No	01-Nov-18	CAB	Guarantee	\$25,000.00	\$25,000	No	Non-Qualified
Aberdeen (fka The Big Willow)	372 Danbury Rd Wilton	Information Technology	518210	No	19-Jul-17	ElIWhitney	Conv. Debt	\$15,416.00	\$600,000	Yes	Non-Qualified
Aberdeen (fka The Big Willow)	372 Danbury Rd Wilton	Information Technology	518210	No	19-Aug-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Aberdeen (fka The Big Willow)	372 Danbury Rd Wilton	Information Technology	518210	No	01-Nov-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Accelerando	184 Stoddard Rd Lakeside	Information Technology	611710	Yes	03-Nov-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Accelerando	184 Stoddard Rd Lakeside	Information Technology	611710	Yes	14-Dec-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Accillion Pharmaceuticals, Inc.	VEVA Bldg #14, Ste 200, 177 Sentry Parkway W Blue Bell	Biotechnology	325412	No	20-Oct-01	ElIWhitney	Equity	\$3,000,000.00	\$364,380,000	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St East Hartford	Information Technology	541519	Yes	21-Dec-15	ElIWhitney	Equity	\$250,000.00	\$250,000	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St East Hartford	Information Technology	541519	Yes	16-Mar-18	ElIWhitney	Conv. Debt	\$25,000.00	\$60,000	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St East Hartford	Information Technology	541519	Yes	20-Apr-17	ElIWhitney	Conv. Debt	\$125,000.00	\$926,849	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St East Hartford	Information Technology	541519	Yes	18-Sep-18	ElIWhitney	Conv. Debt	\$573,151.00	\$125,000	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St East Hartford	Information Technology	541519	Yes	16-Sep-16	ElIWhitney	Conv. Debt	\$500,000.00	\$400,000	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St East Hartford	Information Technology	541519	Yes	25-Apr-14	PreSeed	Conv. Debt	\$200,000.00	\$1,600,000	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St East Hartford	Information Technology	541519	Yes	30-Sep-11	PreSeed	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St East Hartford	Information Technology	541519	Yes	04-Oct-17	ElIWhitney	Conv. Debt	\$175,000.00	\$575,000	Yes	Non-Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	721111	No	03-Sep-03	WORKA-EQTY	Loan	\$835,740.00	\$835,740.00	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	721111	No	31-Aug-04	WORKA-EQTY	Loan	\$189,330.00	*	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	721111	No	07-May-04	WORKA-EQTY	Loan	\$319,880.00	*	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	721111	No	16-Jan-04	WORKA-EQTY	Loan	\$181,750.00	*	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	721111	No	30-Jul-04	WORKA-EQTY	Loan	\$181,750.00	*	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	721111	No	28-Nov-03	WORKA-EQTY	Loan	\$72,700.00	*	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	721111	No	12-Nov-04	WORKA-EQTY	Loan	\$750.00	*	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	721111	No	19-Mar-04	WORKA-EQTY	Loan	\$218,100.00	\$45,500,000	No	Qualified
Advanced Vein Associates	2020 Norwich New London Tnpke, Uncasville	Service	621111	Male	05-Feb-19	CAB	Guarantee	\$150,000.00	\$150,000	No	Non-Qualified
Aeromics, Inc.	PO Box 810 Middletown	Biotechnology	541714	No	30-Nov-18	CBIF	Equity	\$124,999.00	\$975,001	Yes	Non-Qualified
Aeromics, Inc.	PO Box 810 Middletown	Biotechnology	541714	No	20-Jun-16	ElIWhitney	Conv. Debt	\$750,000.00	\$750,000.00	Yes	Non-Qualified
Aeromics, Inc.	PO Box 810 Middletown	Biotechnology	541714	No	06-Apr-16	ElIWhitney	Conv. Debt	\$2,450,000	\$2,450,000	Yes	Non-Qualified
Aeromics, Inc.	PO Box 810 Middletown	Biotechnology	541714	No	12-Oct-17	CBIF	Equity	\$213,142.00	\$1,610,000	Yes	Non-Qualified
Aeromics, Inc.	PO Box 810 Middletown	Biotechnology	541714	No	19-Jun-18	CBIF	Equity	\$175,000.00	\$1,403,759	Yes	Non-Qualified
Aeromics, Inc.	PO Box 810 Middletown	Biotechnology	541714	No	05-Jun-17	CBIF	Conv. Debt	\$500,000.00	\$1,000,000	Yes	Non-Qualified
Affinmark Technologies, Inc.	PO Box 123 Guilford	Biotechnology	325412	No	12-May-12	ElIWhitney	Equity	\$425,000.00	\$254,107	Yes	Non-Qualified
Affinmark Technologies, Inc.	PO Box 123 Guilford	Biotechnology	325412	No	12-May-14	ElIWhitney	Equity	\$225,000.00	\$200,000	Yes	Non-Qualified
Affinmark Technologies, Inc.	PO Box 123 Guilford	Biotechnology	325412	No	14-Nov-14	ElIWhitney	Equity	\$25,000.00	\$100,000	Yes	Non-Qualified
Affinmark Technologies, Inc.	PO Box 123 Guilford	Biotechnology	325412	No	28-Nov-18	ElIWhitney	Conv. Debt	\$58,000.00	\$0	Yes	Non-Qualified
Affinmark Technologies, Inc.	PO Box 123 Guilford	Biotechnology	325412	No	10-Apr-19	ElIWhitney	Conv. Debt	\$15,000.00	\$10,000	Yes	Non-Qualified
Affinmark Technologies, Inc.	PO Box 123 Guilford	Biotechnology	325412	No	28-Sep-10	ElIWhitney	Equity	\$425,000.00	\$145,963	Yes	Non-Qualified
All Naural Pet Foods Store, LLC	869 Sullivan Avenue, South Windsor	Retail	453910	Female	12-Jun-18	CAB	Guarantee	\$45,000.00	\$45,000	No	Non-Qualified
Allomek Therapeutics LLC	4 Research Drive Woodbridge	Biotechnology	541711	Yes	27-Sep-17	CBIF	Conv. Debt	\$200,000.00	\$0	Yes	Non-Qualified
Allomek Therapeutics LLC	4 Research Drive Woodbridge	Biotechnology	541711	Yes	01-Feb-17	ElIWhitney	Conv. Debt	\$115,976.00	\$0	Yes	Non-Qualified
Allomek Therapeutics LLC	4 Research Drive Woodbridge	Biotechnology	541711	Yes	25-Oct-11	PreSeed	Conv. Debt	\$125,000.00	\$0	Yes	Non-Qualified
Allomek Therapeutics LLC	4 Research Drive Woodbridge	Biotechnology	541711	Yes	13-Mar-15	ElIWhitney	Conv. Debt	\$150,105.00	\$0	Yes	Non-Qualified
Allomek Therapeutics LLC	4 Research Drive Woodbridge	Biotechnology	541711	Yes	22-Apr-13	PreSeed	Conv. Debt	\$194,383.00	\$75,000	Yes	Non-Qualified
Allomek Therapeutics LLC	4 Research Drive Woodbridge	Biotechnology	541711	Yes	10-Feb-11	PreSeed	Conv. Debt	\$75,000.00	\$150,000	Yes	Non-Qualified
Allomek Therapeutics LLC	4 Research Drive Woodbridge	Biotechnology	541711	Yes	21-Dec-16	CBIF	Conv. Debt	\$300,000.00	\$375,000	Yes	Non-Qualified
Alyxx	470 James St, Ste 007 New Haven	Biotechnology	541711	No	03-Apr-19	CBIF	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
Amazon.com.DEDC, LLC	409 Washington Ave, North Haven	Other	451100	No	18-Jun-18	Sales & Use	Tax Exemption	\$5,000,000.00	\$0	Yes	Non-Qualified
Anugrah, LLC	213 W. Town Street, Norwich	Retail	445310	Male	21-Jul-16	CAB	Guarantee	\$150,000.00	\$150,000	No	Non-Qualified
Aperture Optical Sciences, Inc.	27 Parson Lane, Durham	Manufacturer	333314	No	01-Jun-12	WORKA	Loan	\$350,000.00	\$289,000	Yes	Qualified
APT Foundation, Inc.	495 Congress Avenue, New Haven	Service	621420	No	31-Aug-16	WORKA	Loan	\$100,320.13	\$0	Yes	Non-Qualified
APT Foundation, Inc.	496 Congress Avenue, New Haven	Service	621420	No	02-Dec-16	WORKA	Loan	\$184,341.95	\$0	Yes	Non-Qualified
APT Foundation, Inc.	497 Congress Avenue, New Haven	Service	621420	No	01-Jun-16	WORKA	Loan	\$115,337.92	\$0	Yes	Non-Qualified
APT Foundation, Inc.	495 Congress Avenue, New Haven	Service	621420	No	28-Feb-16	WORKA	Loan	\$540,000.00	\$1,890,000	Yes	Non-Qualified
Arcanatura LLC	37 Skyline Dr Groton	Biotechnology	424600	No	20-Jul-11	PreSeed	Conv. Debt	\$150,000.00	\$212,500	Yes	Non-Qualified
Arccos Golf LLC	700 Canal St, Ste 12B Stamford	Information Technology	511211	Yes	22-Feb-18	ElIWhitney	Conv. Debt	\$500,000.00	\$1,000,000	Yes	Non-Qualified

* Leverage amounts shown on first transaction line

Connecticut Innovations
Section 1
New and Outstanding Financial Assistance FYE 6/30/19

Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Arcoss Golf LLC	700 Canal St, Ste 12B Stamford	Information Technology	51121	Yes	07-Jun-17	ElIWhitney	Equity	\$1,000,000.00	\$2,000,000	Yes	Non-Qualified
Arcoss Golf LLC	700 Canal St, Ste 12B Stamford	Information Technology	51121	Yes	25-Nov-15	ElIWhitney	Conv. Debt	\$1,500,000.00	\$2,500,000	Yes	Non-Qualified
Arcoss Golf LLC	700 Canal St, Ste 12B Stamford	Information Technology	51121	Yes	19-Jun-18	ElIWhitney	Conv. Debt	\$150,000.00	\$749,000	Yes	Non-Qualified
Arcoss Golf LLC	700 Canal St, Ste 12B Stamford	Information Technology	51121	Yes	11-May-17	ElIWhitney	Equity	\$142,356.00	\$142,356	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	03-Jul-13	ElIWhitney	Equity	\$233,333.00	\$3,278,334	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	16-Oct-15	ElIWhitney	Equity	\$4,143,792.00	\$40,466,207	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	31-Jul-14	BF	Debt	\$678,989.48	\$0	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	22-Dec-15	BF	Debt	\$27,003.00	\$0	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	28-Feb-14	ElIWhitney	Equity	\$333,334.00	\$4,788,333	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	10-Aug-15	BF	Debt	\$31,795.00	\$0	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	18-Jul-18	ElIWhitney	Equity	\$75,000.00	\$123,200,000	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	07-Jan-15	BF	Debt	\$12,212.19	\$0	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park New Haven	Biotechnology	541711	No	11-Dec-14	ElIWhitney	Equity	\$433,333.53	\$6,175,001	Yes	Non-Qualified
Asarasi, Inc.	54 Hat Shop Hill Road Bridgewater	Other	312112	No	16-Dec-15	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Asarasi, Inc.	54 Hat Shop Hill Road Bridgewater	Other	312112	No	24-Mar-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Aureus Analytics	20 Church Street Hartford	Information Technology	541519	No	20-Dec-18	ElIWhitney	Equity	\$750,000.00	\$2,368,056	Yes	Non-Qualified
Aureus Tech, Inc.	20 Church Street, Hartford	Service	511210	No	23-May-18	WORKA	Loan	\$100,000.00	\$0	No	Non-Qualified
Aureus Tech, Inc.	20 Church Street, Hartford	Service	511210	No	10-Apr-18	WORKA	Loan	\$150,000.00	\$0	No	Non-Qualified
Aventri	13 Marshall St Norwalk	Computer Software	511210	No	25-Apr-16	ElIWhitney	Equity	\$2,000,000.00	\$21,000,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	10-May-18	CBIF	Conv. Debt	\$125,000	\$125,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	14-Dec-16	CBIF	Conv. Debt	\$250,000.00	\$100,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	24-Oct-14	ElIWhitney	Equity	\$150,000.00	\$150,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	31-Mar-14	ElIWhitney	Equity	\$200,000.00	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	29-Oct-15	ElIWhitney	Equity	\$200,000.00	\$50,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	02-Sep-15	ElIWhitney	Equity	\$150,000.00	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	16-Oct-13	ElIWhitney	Equity	\$150,000.00	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	30-Jun-15	ElIWhitney	Equity	\$150,000.00	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	400 Farmington Ave, Room 1717 Farmington	Biotechnology	339113	No	30-Nov-17	CBIF	Conv. Debt	\$125,000.00	\$125,000	Yes	Non-Qualified
Avon Village Family Dentistry PC	32 E. Main Street, Avon	Service	621210	Male	29-Aug-18	CAB	Guarantee	\$150,000.00	\$150,000	No	Non-Qualified
Azitra, Inc.	400 Farmington Ave, Farmington	Biotechnology	541714	No	05-Apr-18	CBIF	Conv. Debt	\$1,000,000.00	\$1,150,000	Yes	Non-Qualified
Azitra, Inc.	400 Farmington Ave, Farmington	Biotechnology	541714	No	29-Mar-19	CBIF	Equity	\$1,069,600.00	\$8,000,025	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	25-Sep-18	ElIWhitney	Equity	\$71,031.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	25-Sep-18	CBIF	Equity	\$400,000.00	\$700,000	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	13-Jul-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	21-Mar-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	04-Apr-03	GROWTH-PAR	Loan	\$4,046.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	31-Jan-04	GROWTH-PAR	Loan	\$2,826.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	11-Apr-03	GROWTH-PAR	Loan	\$900.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	07-Nov-03	GROWTH-PAR	Loan	\$11,737.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	24-Oct-03	GROWTH-PAR	Loan	\$6,272.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	30-Sep-03	GROWTH-PAR	Loan	\$2,826.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	28-Feb-03	GROWTH-PAR	Loan	\$4,067.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	09-May-03	GROWTH-PAR	Loan	\$14,982.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	05-Mar-04	GROWTH-PAR	Loan	\$22,781.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	13-Sep-02	GROWTH-PAR	Loan	\$16,308.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	20-Dec-02	GROWTH-PAR	Loan	\$4,804.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	09-Apr-04	GROWTH-PAR	Loan	\$16,502.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	19-Sep-03	GROWTH-PAR	Loan	\$32,987.00	\$0	Yes	Non-Qualified
Bactiana Corp	400 Farmington Ave Farmington	Biotechnology	325412	No	30-Jun-03	GROWTH-PAR	Loan	\$8,961.00	\$650,000	Yes	Non-Qualified
Bauer, Inc.	175 Century Drive, Avon	Manufacturer	333900	No	29-Aug-17	WORKA-GTY	Guarantee	\$692,000.00	\$0	No	Non-Qualified
Better Air North America LLC	3801 Hollywood Blvd, Hollywood	Other	423600	Female	11-Jan-18	WORKA	Loan	\$150,000.00	\$0	No	Non-Qualified
Bioarray Genetics, Inc.	400 Farmington Ave Farmington	Biotechnology	813212	Yes	14-Apr-15	ElIWhitney	Equity	\$371,738.00	\$809,678	Yes	Non-Qualified
Bioarray Genetics, Inc.	400 Farmington Ave Farmington	Biotechnology	813212	Yes	30-Jun-16	ElIWhitney	Equity	\$205,000.00	\$705,000	Yes	Non-Qualified
Bioarray Genetics, Inc.	400 Farmington Ave Farmington	Biotechnology	813212	Yes	10-May-17	CBIF	Conv. Debt	\$500,000.00	\$700,000	Yes	Non-Qualified
Bioarray Genetics, Inc.	400 Farmington Ave Farmington	Biotechnology	813212	Yes	23-Apr-13	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Bioarray Genetics, Inc.	400 Farmington Ave Farmington	Biotechnology	813212	Yes	01-Jul-13	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Bioarray Genetics, Inc.	400 Farmington Ave Farmington	Biotechnology	813212	Yes	11-May-16	ElIWhitney	Equity	\$81,915.00	\$40,958	Yes	Non-Qualified

* Leverage amounts shown on first transaction line

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Biolaray Genetics, Inc.	400 Farmington Ave Farmington	Biotechnology	813212	Yes	04-Dec-13	PreSeed	Conv. Debt	\$207,611.00	\$0	Yes	Non-Qualified
Biolaray Genetics, Inc.	400 Farmington Ave Farmington	Biotechnology	813212	Yes	14-Sep-17	CBIF	Equity	\$1,010,932.00	\$3,000,000	Yes	Non-Qualified
BioHaven Pharmaceuticals	234 Church St New Haven	Biotechnology	541712	No	16-Feb-17	CBIF	Equity	\$249,996.00	\$233,250,004	Yes	Non-Qualified
BioHaven Pharmaceuticals	234 Church St New Haven	Biotechnology	541712	No	18-Jul-16	ElIWhitney	Equity	\$1,497,650.00	\$3,899,200	Yes	Non-Qualified
BiologicsMD	470 James Street New Haven	Biotechnology	541715	No	05-Dec-18	CBIF	Conv. Debt	\$500,000.00	\$660,000	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	30-Oct-14	ElIWhitney	Equity	\$427,944.00	\$1,232,056	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	30-Oct-15	ElIWhitney	Equity	\$427,944.00	\$863,216	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	19-Nov-13	ElIWhitney	Equity	\$580,946.00	\$1,213,722	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	12-Dec-14	SSBICI	Equity	\$391,015.00	\$1,108,985	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	30-Oct-15	SSBICI	Equity	\$39,1015.00	\$1,108,985	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	21-Sep-11	ElIWhitney	Equity	\$750,000.00	\$500,000	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	30-Jun-09	ElIWhitney	Equity	\$750,000.00	\$1,750,000	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	13-Apr-10	ElIWhitney	Equity	\$500,000.00	\$0	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	04-Feb-13	ElIWhitney	Equity	\$250,000.00	\$100,000	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	14-Jun-16	ElIWhitney	Debt	\$200,000.00	\$0	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	28-Feb-17	CBIF	Equity	\$500,000.00	\$1,059,500	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	04-Oct-16	ElIWhitney	Equity	\$30,000.00	\$0	Yes	Non-Qualified
Biorez Inc.	470 James St, Suite 14 New Haven	Biotechnology	541990	No	22-Nov-16	ElIWhitney	Equity	\$505,578.00	\$750,000	Yes	Non-Qualified
Biowave Corporation	8 Knight Street, Ste 201 Norwalk	Biotechnology	334510	No	26-Mar-19	ElIWhitney	Equity	\$250,000.00	\$750,000	Yes	Non-Qualified
Bob's Discount Furniture, Inc.	428 Tolland Turnpike, Manchester	Retail	442110	No	16-Sep-16	Sales & Use	Tax Exemption	\$1,000,000.00	\$0	Yes	Non-Qualified
Bonde Innovations	14 Lacey Road Bethany	Biotechnology	334510	Yes	26-Feb-19	CBIF	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
Boundless Inc.	20 Church Street Mezzanine Level, Hartford	Service	812999	No	13-Aug-18	WORKA	Loan	\$250,000.00	\$0	No	Non-Qualified
Bow's and Brandon's Grooming Salon, LLC	1 Lenola Drive, Farmington	Service	812910	Female	06-Feb-17	CAB	Guarantee	\$12,500.00	\$12,500	No	Non-Qualified
Branson Ultrasonics Corporation	120 Park Ridge Road, Brookfield	Manufacturer	335999	No	22-May-19	Sales & Use	Tax Exemption	\$1,400,000.00	\$0	Yes	Qualified
Brass City / J Thruston Fuel, LLC	130 Washington Avenue, Waterbury	Retail	454310	No	30-Oct-15	CAB	Guarantee	\$105,000.00	\$245,500	No	Non-Qualified
Bridgewater Associates LP	45 Glover Avenue, Norwalk	Investor/Developer	523999	No	20-Nov-13	Sales & Use	Tax Exemption	\$5,000,000.00	\$0	Yes	Non-Qualified
Budderfly, Inc.	Brownstone Hill Road, Middlefield	Arts & Entertainment	713900	No	03-Dec-13	GROWTH-PAR	Loan	\$400,000.00	\$1,313,063	No	Non-Qualified
Butler & Butler, Inc	2 Trapp Falls Rd. Ste 500 Shelton	Clean Technology	541690	No	12-Jun-19	ElIWhitney	Equity and CD	\$1,091,750.00	\$27,839,623	Yes	Non-Qualified
C8 Sciences	696 Main Street, Ansonia	Retail	447110	Female	20-Apr-17	CAB	Guarantee	\$150,000.00	\$330,000	No	Non-Qualified
C8 Sciences	101 Whitney Ave, Suite 2R New Haven	Information Technology	511210	No	31-Aug-13	ElIWhitney	Equity	\$69,742.00	\$0	Yes	Non-Qualified
C8 Sciences	101 Whitney Ave, Suite 2R New Haven	Information Technology	511210	No	15-Jul-12	PreSeed	Conv. Debt	\$100,000.00	\$220,000	Yes	Non-Qualified
C8 Sciences	101 Whitney Ave, Suite 2R New Haven	Information Technology	511210	No	28-Jul-11	PreSeed	Conv. Debt	\$75,000.00	\$335,500	Yes	Non-Qualified
C8 Sciences	101 Whitney Ave, Suite 2R New Haven	Information Technology	511210	No	05-Jan-12	PreSeed	Conv. Debt	\$75,000.00	\$0	Yes	Non-Qualified
C8 Sciences	101 Whitney Ave, Suite 2R New Haven	Information Technology	511210	No	10-May-12	PreSeed	Conv. Debt	\$100,000.00	\$0	Yes	Non-Qualified
Cadanza Innovation, Inc.	1 Duracell Dri, Ste A-102 Bethel	Clean Technology	335911	Yes	17-Oct-17	ElIWhitney	Equity	\$750,000.00	\$2,250,000	Yes	Non-Qualified
Cadanza Innovation, Inc.	1 Duracell Dri, Ste A-102 Bethel	Clean Technology	335911	Yes	24-Jun-19	VMD	Debt	\$145,528.00	\$2,400,000	Yes	Non-Qualified
Cadanza Innovation, Inc.	1 Duracell Dri, Ste A-102 Bethel	Clean Technology	335911	Yes	13-Dec-18	ElIWhitney	Equity	\$250,000.00	\$4,865,528	Yes	Non-Qualified
Cadanza Innovation, Inc.	1 Duracell Dri, Ste A-102 Bethel	Clean Technology	335911	Yes	21-May-19	VMD	Debt	\$384,472.00	\$0	Yes	Non-Qualified
Cara Therapeutics	4 Stamford Plaza Stamford	Biotechnology	541711	No	30-Jun-14	ElIWhitney	Equity	\$1,000,000.00	\$6,500,000	Yes	Non-Qualified
Careall, LLC	924 Farmington Avenue, West Hartford	Service	621610	Male	25-Jun-18	CAB	Guarantee	\$75,000.00	\$0	Yes	Non-Qualified
Carogen Corporation	400 Farmington Ave Farmington	Biotechnology	571714	Yes	16-Jul-13	PreSeed	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
Carogen Corporation	400 Farmington Ave Farmington	Biotechnology	571714	Yes	29-Mar-19	CBIF	Conv. Debt	\$150,000.00	\$0	Yes	Non-Qualified
Carogen Corporation	400 Farmington Ave Farmington	Biotechnology	571714	Yes	09-Nov-18	ElIWhitney	Conv. Debt	\$150,000.00	\$50,000	Yes	Non-Qualified
Carogen Corporation	400 Farmington Ave Farmington	Biotechnology	571714	Yes	23-Dec-16	CBIF	Equity	\$1,000,000.00	\$1,000,000	Yes	Non-Qualified
Carogen Corporation	400 Farmington Ave Farmington	Biotechnology	571714	Yes	30-Sep-16	ElIWhitney	Equity	\$58,500.00	\$0	Yes	Non-Qualified
CaroGen Corporation	400 Farmington Ave Farmington	Biotechnology	571714	Yes	23-Dec-16	CBIF	Equity	\$85,154.00	\$0	Yes	Non-Qualified
Cawthorx	925 Sherman Ave Hamden	Biotechnology	541700	No	20-Apr-17	CBIF	Equity	\$163,065.00	\$0	Yes	Non-Qualified
CBS Manufacturing Company	35 Kripes Road, East Granby	Manufacturer	3728	No	24-Nov-08	WORKA	Loan	\$496,800.00	*	Yes	Qualified
CBS Manufacturing Company	35 Kripes Road, East Granby	Manufacturer	3728	No	28-Feb-08	WORKA	Loan	\$1,240,000.00	\$1,350,000	Yes	Qualified
Change, Inc	1251 S. Main Street, Middletown	Service	624190	Male	26-Apr-18	CAB	Guarantee	\$125,000.00	\$125,000	No	Non-Qualified
Charter Communications Holding Company, L	406-424 Washington Blvd, Stamford	Other	517311	No	11-Feb-19	Sales & Use	Tax Exemption	\$8,400,000.00	\$211,600,000	Yes	Non-Qualified
Chiefstaff.com, LLC	750 Main St Hartford	Information Technology	423430	Yes	26-Jan-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Chiefstaff.com, LLC	750 Main St Hartford	Information Technology	423430	Yes	19-Aug-15	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Christie's Quilting Boutique	176 Main Street, Norwalk	Retail	453998	Female	24-Apr-17	CAB	Guarantee	\$34,000.00	\$34,000	No	Non-Qualified
CiDra Holdings, LLC	50 Barnes Park North Wallingford	Industrial Manufacturing	551112	No	20-May-09	ElIWhitney	Equity	\$157,782.00	\$7,842,218	Yes	Non-Qualified
CiDra Holdings, LLC	50 Barnes Park North Wallingford	Industrial Manufacturing	551112	No	17-Aug-11	ElIWhitney	Equity	\$371,876.00	\$9,628,124	Yes	Non-Qualified

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GDra Holdings, LLC	50 Barnes Park North Wallingford	Industrial Manufacturing	551112	No	24-Jun-08	ElIWhitney	Equity	\$513,515.00	\$12,324,360	Yes	Non-Qualified
GDra Holdings, LLC	50 Barnes Park North Wallingford	Industrial Manufacturing	551112	No	24-Jun-08	ElIWhitney	Equity	\$174,638.00	\$4,191,312	Yes	Non-Qualified
Clickers, LLC	114 Woodlawn Road, Berlin	Retail	541430	Female	06-Jan-17	CAB	Guarantee	\$10,000.00	\$10,000.00	No	Non-Qualified
GMW Tax Service, LLC	1001 Farmington Avenue, Bristol	Service	621610	Female	06-Jan-17	CAB	Guarantee	\$5,000.00	\$5,000.00	No	Non-Qualified
Compassion N Care LLC	50 Waterbury Road, Prospect	Service	621610	No	17-Apr-13	CAB	Guarantee	\$30,000.00	\$70,000.00	No	Non-Qualified
Connecticut Center for the Performing Arts	61 Savitt Way, Hartford	Arts & Entertainment	7922	No	12-Sep-94	OPER	Loan	\$9,088,750.00	\$16,545,446	No	Non-Qualified
Connecticut Mulch Distributors, Inc.	70 Mullien Road, Enfield	Distribution/Whsing	424910	No	24-Apr-14	WORKA	Loan	\$600,000.00	\$2,057,315	Yes	Non-Qualified
Connecticut Packaging Materials, Inc.	85 S. Satellite Road, South Windsor	Distribution/Whsing	531120	No	09-Jun-14	WORKA	Loan	\$1,300,000.00	\$4,000,000.00	Yes	Non-Qualified
Connecticut Physical Therapy Specialists, LLC	9 Mill Pond Road, Granby	Service	541990	Male	20-Mar-12	CAB	Guarantee	\$15,000.00	\$35,000.00	No	Non-Qualified
Connecticut Physical Therapy Specialists, LLC	9 Mill Pond Road, Granby	Service	541990	Male	07-Aug-13	CAB	Guarantee	\$15,000.00	\$35,000.00	No	Non-Qualified
Connecticut Plywood Corp.	189 Pepe's Farms Road, Milford	Wholesale Only	5031	Male	31-Oct-01	GROWTH-PAR	Loan	\$480,000.00	*	Yes	Non-Qualified
Connecticut Plywood Corp.	189 Pepe's Farms Road, Milford	Wholesale Only	5031	No	13-Dec-02	GROWTH-PAR	Loan	\$69,784.00	\$650,000.00	Yes	Non-Qualified
Connecticut Solid Surface, LLC	7 Johnson Avenue, Plainville	Manufacturer	327991	No	16-Dec-09	WORKA	Loan	\$500,000.00	\$1,033,500.00	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	10-Sep-10	ElIWhitney	Equity	\$250,000.00	\$33,500.00	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	13-Jul-18	ElIWhitney	Conv. Debt	\$150,000.00	\$250,000.00	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	30-Jun-16	ElIWhitney	Equity	\$5,000,000.00	\$5,000,000.00	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	23-May-14	ElIWhitney	Conv. Debt	\$200,000.00	\$100,000.00	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	09-Jan-14	ElIWhitney	Conv. Debt	\$25,000.00	\$25,000.00	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	12-Dec-13	ElIWhitney	Conv. Debt	\$275,000.00	\$275,000.00	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	12-Aug-14	ElIWhitney	Equity	\$580,530.44	\$9,439,646	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	29-Jun-12	ElIWhitney	Equity	\$1,061,126.00	\$1,500,000.00	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	05-Jun-09	ElIWhitney	Equity	\$500,000.00	\$3,470,585	Yes	Non-Qualified
Continuity Engine, Inc.	59 Elm St. Ste 210 New Haven	Information Technology	541519	No	12-Jul-11	ElIWhitney	Equity	\$250,000.00	\$25,000.00	Yes	Non-Qualified
Convexity Scientific, LLC	418 Meadow Street Fairfield	Biotechnology	334510	No	25-Apr-16	PreSeed	Conv. Debt	\$100,000.00	\$100,000.00	Yes	Non-Qualified
Convexity Scientific, LLC	418 Meadow Street Fairfield	Biotechnology	334510	No	13-Jul-16	PreSeed	Conv. Debt	\$50,000.00	\$150,000.00	Yes	Non-Qualified
Convexity Scientific, LLC	418 Meadow Street Fairfield	Biotechnology	334510	No	02-Jan-19	CBIF	Equity	\$150,000.00	\$0	Yes	Non-Qualified
Convexity Scientific, LLC	418 Meadow Street Fairfield	Biotechnology	334510	No	29-Sep-17	CBIF	Equity	\$1,250,000.00	\$2,225,000.00	Yes	Non-Qualified
Convexity Scientific, LLC	418 Meadow Street Fairfield	Biotechnology	334510	No	29-Sep-17	ElIWhitney	Equity	\$24,400.00	\$0	Yes	Non-Qualified
Coollt Systems USA Inc	290 Harbor Dr Stamford	Information Technology	334118	Yes	08-Aug-16	ElIWhitney	Equity	\$1,000,000.00	\$5,000,000.00	Yes	Non-Qualified
Coollt Systems USA Inc	290 Harbor Dr Stamford	Information Technology	334118	Yes	30-Jan-17	ElIWhitney	Equity	\$500,000.00	\$0	Yes	Non-Qualified
CopyLeak Technologies, Ltd.	700 Canal Stamford	Information Technology	519190	No	15-Aug-17	ElIWhitney	Equity	\$250,000.00	\$250,000.00	Yes	Non-Qualified
CopyLeak Technologies, Ltd.	700 Canal Stamford	Information Technology	519190	No	08-Mar-18	ElIWhitney	Equity	\$275,000.00	\$275,000.00	Yes	Non-Qualified
Craft Bakeshop, llc dba Sift Real Estate, LLC	5 Water Street, Mystic	Service	311800	No	19-Jul-19	WORKA	Loan	\$12,862.74	*	Yes	Non-Qualified
Craft Bakeshop, llc dba Sift Real Estate, LLC	5 Water Street, Mystic	Service	311800	No	06-May-19	WORKA	Loan	\$32,287.00	*	Yes	Non-Qualified
Craft Bakeshop, llc dba Sift Real Estate, LLC	5 Water Street, Mystic	Service	311800	No	25-Jan-19	WORKA	Loan	\$30,000.00	*	Yes	Non-Qualified
Craft Bakeshop, llc dba Sift Real Estate, LLC	5 Water Street, Mystic	Service	311800	No	13-May-19	WORKA	Loan	\$36,996.00	*	Yes	Non-Qualified
Craft Bakeshop, llc dba Sift Real Estate, LLC	5 Water Street, Mystic	Service	311800	No	30-May-19	WORKA	Loan	\$34,072.00	*	Yes	Non-Qualified
Craft Bakeshop, llc dba Sift Real Estate, LLC	5 Water Street, Mystic	Service	311800	No	15-Mar-19	WORKA	Loan	\$26,818.26	*	Yes	Non-Qualified
Craft Bakeshop, llc dba Sift Real Estate, LLC	5 Water Street, Mystic	Service	311800	No	22-Apr-19	WORKA	Loan	\$56,964.00	\$500,000.00	Yes	Non-Qualified
CrowdFlk	24 Evergreen Westport	Information Technology	517210	No	10-May-18	PreSeed	Conv. Debt	\$75,000.00	\$75,000.00	Yes	Non-Qualified
CrowdFlk	24 Evergreen Westport	Information Technology	517210	No	07-Apr-17	PreSeed	Conv. Debt	\$45,000.00	\$37,500.00	Yes	Non-Qualified
Curacity	700 Canal St, 1st Fl Stamford	Information Technology	721199	No	03-Apr-17	ElIWhitney	Equity	\$750,000.00	\$2,760,000.00	Yes	Non-Qualified
Curacity	700 Canal St, 1st Fl Stamford	Information Technology	721199	No	08-Mar-19	ElIWhitney	Equity	\$325,000.00	\$750,000.00	Yes	Non-Qualified
Curacity	700 Canal St, 1st Fl Stamford	Information Technology	721199	No	14-May-19	ElIWhitney	Equity	\$125,000.00	\$0	Yes	Non-Qualified
Curacity	700 Canal St, 1st Fl Stamford	Information Technology	721199	No	13-Feb-18	ElIWhitney	Equity	\$300,000.00	\$650,000.00	Yes	Non-Qualified
Cybrexa, Inc.	5 Science Park New Haven	Biotechnology	541700	No	07-Nov-18	CBIF	Equity	\$500,000.00	\$12,876,321	Yes	Non-Qualified
Cybrexa, Inc.	5 Science Park New Haven	Biotechnology	541700	No	23-May-17	ElIWhitney	Equity	\$1,000,000.00	\$5,075,000.00	Yes	Non-Qualified
Cyrosolix	97 Robbie Rd Tolland	Biotechnology	541715	No	25-Jun-19	CBIF	Conv. Debt	\$150,000.00	\$75,000.00	Yes	Non-Qualified
Cyrosolix	400 Farmington Ave Farmington	Biotechnology	334510	No	26-Oct-18	CBIF	Equity	\$2,600,006.00	\$2,600,006.00	Yes	Non-Qualified
Daticon, Inc.	11 Stott Avenue, Norwich	Service	8111	No	18-Oct-02	BROWNI-TIF	Loan	\$1,000,000.00	\$9,000,000.00	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road Sunnyvale	Information Technology	541519	No	03-Jul-18	ElIWhitney	Equity	\$400,000.00	\$0	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road Sunnyvale	Information Technology	541519	No	15-May-18	ElIWhitney	Equity	\$115,000.00	\$0	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road Sunnyvale	Information Technology	541519	No	15-May-18	ElIWhitney	Equity	\$100,000.00	\$0	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road Sunnyvale	Information Technology	541519	No	17-Sep-18	ElIWhitney	Equity	\$25,000,000.00	\$0	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road Sunnyvale	Information Technology	541519	No	24-Aug-09	BROWNI-TIF	Loan	\$1,500,000.00	\$40,000,000.00	No	Non-Qualified
Daville Property Development, LLC	581 Hartford Pike, Killingly	Investor/Developer	531120	No	10-Jun-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500.00	Yes	Non-Qualified
dB Diagnostic Systems, Inc.	17 Sachem Rd Weston	Biotechnology	334510	No	10-Jun-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500.00	Yes	Non-Qualified
dB Diagnostic Systems, Inc.	17 Sachem Rd Weston	Biotechnology	334510	No	07-Nov-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500.00	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Dermatologic Cosmetic Laboratories LTD.	20 Commerce Street, East Haven	Manufacturer	325620	Female	16-Jun-17	WORKA	Loan	\$500,000.00	\$2,762,000	Yes	Non-Qualified
Dermatologic Cosmetic Laboratories LTD.	20 Commerce Street, East Haven	Manufacturer	325620	Female	10-Jun-15	WORKA	Loan	\$375,000.00	*	Yes	Non-Qualified
Dermatologic Cosmetic Laboratories LTD.	20 Commerce Street, East Haven	Manufacturer	325620	Female	05-May-17	WORKA	Loan	\$2,000,000.00	*	Yes	Non-Qualified
Dermatologic Cosmetic Laboratories LTD.	20 Commerce Street, East Haven	Manufacturer	325620	Female	25-Jun-12	GROWTH	Loan	\$500,000.00	*	Yes	Non-Qualified
Dermatologic Cosmetic Laboratories LTD.	20 Commerce Street, East Haven	Manufacturer	325620	Female	25-Jun-12	GROWTH	Loan	\$500,000.00	*	Yes	Non-Qualified
Devon Woodhill, LLC	16 Woodhill Road, Westport	Manufacturer	339911	Female	04-Aug-16	CAB	Guarantee	\$25,000.00	\$25,000	No	Non-Qualified
DIA Imaging Analysis	865 Brook St Rocky Hill	Information Technology	541519	Yes	18-May-18	CBIF	Equity	\$1,500,000.00	\$5,800,000	Yes	Non-Qualified
Diameter Health, Inc.	10 Executive Dr, 2nd Fl Farmington	Information Technology	541519	No	30-Jan-19	ElIWhitney	Equity	\$999,995.00	\$8,600,000	Yes	Non-Qualified
Direct Color Systems	99 Hammer Mill Rd Rocky Hill	Information Technology	541519	No	17-Feb-16	SSBCI	Equity	\$500,001.00	\$1,347,894	Yes	Non-Qualified
Direct Color Systems	99 Hammer Mill Rd Rocky Hill	Other	32311	No	30-Sep-16	VMD	Loan	\$500,000.00	\$0	Yes	Non-Qualified
Direct Color Systems	99 Hammer Mill Rd Rocky Hill	Other	32311	No	10-Feb-17	VMD	Loan	\$500,000.00	\$0	Yes	Non-Qualified
DreamPayments	171 East Liberty St, Suite 201 Toronto	Information Technology	518210	No	06-Feb-17	ElIWhitney	Equity	\$538,231.00	\$6,000,000	Yes	Non-Qualified
DreamPayments	171 East Liberty St, Suite 201 Toronto	Information Technology	518210	No	23-Nov-16	ElIWhitney	Equity	\$1,000,000.00	\$0	Yes	Non-Qualified
DreamPayments	171 East Liberty St, Suite 201 Toronto	Information Technology	518210	No	14-Dec-17	ElIWhitney	Conv. Debt	\$1,000,000.00	\$1,562,500	Yes	Non-Qualified
Edge2Web	800 Main Street, South, Suite 121 Southbury	Information Technology	511210	No	04-Oct-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Edge2Web	800 Main Street, South, Suite 121 Southbury	Information Technology	511210	No	20-Apr-18	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Electric Boat Corp.	75 Eastern Point Road, Groton	Manufacturer	336610	No	19-Oct-18	Sales & Use	Tax Exemption	\$5,000,000.00	\$0	Yes	Non-Qualified
Elidash, Inc.	810 Main St. Ste C Monroe	Biotechnology	334510	Yes	06-Jun-18	PreSeed	Conv. Debt	\$150,000.00	\$410,000	Yes	Non-Qualified
Engage121, Inc.	20 Glover Ave, 2nd Fl Norwalk	Information Technology	541519	No	31-Aug-00	ElIWhitney	Equity	\$1,000,000.00	\$6,000,000	Yes	Non-Qualified
Enviro Power, LLC	3580 Main St, Bldg 10 Hartford	Clean Technology	333414	No	05-Jul-18	ElIWhitney	Conv. Debt	\$122,825.00	\$125,000	Yes	Non-Qualified
Enviro Power, LLC	5 Turnberry Lane Sandy Hook	Clean Technology	541330	No	12-Jun-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Enviro Power, LLC	3580 Main St, Bldg 10 Hartford	Clean Technology	333414	No	18-Jan-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Environmental Energy Services	5 Turnberry Lane Sandy Hook	Clean Technology	541330	No	25-Jul-06	ElIWhitney	Conv. Debt	\$1,006,660.00	\$0	Yes	Non-Qualified
Environmental Energy Services	5 Turnberry Lane Sandy Hook	Clean Technology	541330	No	10-Aug-07	ElIWhitney	Debt	\$354,082.00	\$500,000	Yes	Non-Qualified
Environmental Energy Services	5 Turnberry Lane Sandy Hook	Clean Technology	541330	No	21-Mar-19	ElIWhitney	Equity	\$105,651.00	\$0	Yes	Non-Qualified
Environmental Energy Services	5 Turnberry Lane Sandy Hook	Clean Technology	541330	No	15-Apr-10	ElIWhitney	Equity	\$29,507.00	\$0	Yes	Non-Qualified
Environmental Energy Services	5 Turnberry Lane Sandy Hook	Clean Technology	541330	No	07-Jun-13	ElIWhitney	Equity	\$2	\$0	Yes	Non-Qualified
Epicure, Inc.	60 Franklin Street, Norwich	Manufacturer	312120	Female	26-Feb-16	CAB	Guarantee	\$150,000.00	\$150,000	No	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	13-Jun-13	ElIWhitney	Equity	\$250,000.00	\$750,000	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	12-Sep-14	ElIWhitney	Equity	\$150,000.00	\$654,474	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	23-Dec-11	ElIWhitney	Equity	\$1,028,219.00	\$500,000	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	17-May-10	ElIWhitney	Equity	\$900,000.00	\$357,000	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	15-Sep-15	ElIWhitney	Equity	\$366,549.00	\$2,495,191	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	07-May-14	ElIWhitney	Equity	\$350,000.00	\$1,050,000	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	12-Mar-10	ElIWhitney	Equity	\$100,000.00	\$0	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	24-Oct-11	ElIWhitney	Equity	\$100,000.00	\$0	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	22-Jan-14	ElIWhitney	Equity	\$125,000.00	\$125,000	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	05-May-17	ElIWhitney	Equity	\$372,099.00	\$1,127,901	Yes	Non-Qualified
EpiEp, Inc.	315 Old Ivy Way Charlottesvile	Medical Devices	334510	No	29-Aug-13	ElIWhitney	Equity	\$474,411.00	\$750,000	Yes	Non-Qualified
EpiTomize	2537 Post Rd Southport	Information Technology	518210	No	23-Mar-18	PreSeed	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
EZPack Inc.	20 Church Street, Mezzanine Level, Hartford	Retail	237110	No	26-Jul-18	WORKA	Loan	\$250,000.00	\$0	No	Non-Qualified
Facset Research Systems, Inc.	45 Glover Ave, Norwalk	Information Technology	6289	No	14-Mar-19	Sales & Use	Tax Exemption	\$4,000,000.00	\$0	Yes	Non-Qualified
Fall Call Solutions	16 Surrey Lane Trumbull	Information Technology	541511	No	15-Mar-18	ElIWhitney	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Fall Call Solutions	16 Surrey Lane Trumbull	Information Technology	541511	No	19-Jul-18	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Fall Call Solutions	16 Surrey Lane Trumbull	Information Technology	541511	No	02-Jan-19	CAB	Guarantee	\$87,500.00	\$87,500	No	Non-Qualified
Fat Orange Cat Brew Co., LLC	1000 Middle Drive, Middletown	Service	339900	Female	07-Oct-16	Sales & Use	Tax Exemption	\$5,000,000.00	\$0	Yes	Non-Qualified
FeDEX Ground Packaging System, Inc.	5 Science Park New Haven	Information Technology	512100	No	19-Nov-18	CBIF	Conv. Debt	\$500,000.00	\$750,000	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	23-Dec-16	ElIWhitney	Conv. Debt	\$150,000.00	\$150,000	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	22-Dec-17	CBIF	Equity	\$250,000.00	\$250,000	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	22-Aug-17	CBIF	Equity	\$500,000.00	\$2,250,000	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	22-Aug-17	ElIWhitney	Equity	\$35,012.00	*	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	01-Nov-12	TechStart	Conv. Debt	\$25,000.00	\$0	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	24-Feb-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	22-Dec-15	PreSeed	Conv. Debt	\$79,049.00	\$37,500	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	10-May-17	ElIWhitney	Conv. Debt	\$50,000.00	\$50,000	Yes	Non-Qualified
Fitscript, LLC	5 Science Park New Haven	Information Technology	512100	No	05-Aug-16	ElIWhitney	Conv. Debt	\$262,103.00	\$250,000	Yes	Non-Qualified
Flex-International Software, Inc.	One Research Drive, Shelton	Service	0	No	01-Dec-97	GROWTH-EQT	Loan	\$600,000.00	\$0	No	Non-Qualified

* Leverage amounts shown on first transaction line

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
ForeSite	1 Hartfield Blvd, Suite 300 East Windsor	Information Technology	541600	No	28-Sep-17	VMD	Debt	\$1,000,000.00	\$0	Yes	Non-Qualified
Freshbev LLC	26 Kendall Street, New Haven	Manufacturer	3121	No	12-Jun-15	WORKA	Loan	\$1,300,000.00	\$0	Yes	Non-Qualified
FreshNation	383 Main Ave, Ste 703 Norwalk	Information Technology	115110	No	28-Aug-19	ElIWhitney	Equity	\$1,017,197.00	\$2,150,000	Yes	Non-Qualified
FreshNow	383 Main Ave, Ste 703 Norwalk	Information Technology	115110	No	14-Jul-17	ElIWhitney	Conv. Debt	\$2,500,000.00	\$2,225,000	Yes	Non-Qualified
frewo, Inc.	500 East Main St Branford	Computer Software	511210	No	11-Jul-08	ElIWhitney	Equity	\$200,000.00	\$0	Yes	Non-Qualified
frewo, Inc.	500 East Main St Branford	Computer Software	511210	No	17-Dec-07	ElIWhitney	Equity	\$300,000.00	\$0	Yes	Non-Qualified
GemSheif, Inc.	175 Atlantic Ave Stamford	Information Technology	511210	No	05-Apr-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
GemSheif, Inc.	175 Atlantic Ave Stamford	Information Technology	511210	No	10-Feb-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
GemSheif, Inc.	175 Atlantic Ave Stamford	Information Technology	511210	No	18-Jun-18	ElIWhitney	Conv. Debt	\$375,000.00	\$625,000	Yes	Non-Qualified
GemSheif, Inc.	175 Atlantic Ave Stamford	Information Technology	511210	No	13-Jun-19	ElIWhitney	Conv. Debt	\$125,000.00	\$150,000	Yes	Non-Qualified
GemSheif, Inc.	175 Atlantic Ave Stamford	Information Technology	511210	No	05-Jan-17	ElIWhitney	Equity	\$767,083.00	\$750,000	Yes	Non-Qualified
GemSheif, Inc.	175 Atlantic Ave Stamford	Information Technology	511210	No	22-Jun-17	ElIWhitney	Equity	\$60,000.00	\$60,000	Yes	Non-Qualified
Giggles & Grins Child Care Center, LLC	21 Cummings Street, Southington	Service	8351	Female	26-Jul-01	CAB	Guarantee	\$30,000.00	\$70,000	No	Non-Qualified
Giggles & Grins Child Care Center, LLC	21 Cummings Street, Southington	Service	8351	Female	02-Nov-00	CAB	Guarantee	\$72,000.00	\$168,000	No	Non-Qualified
Gilman Brothers Co.	38 Gilman Road, Gliman	Manufacturer	326100	No	09-Apr-13	GROWTH	Loan	\$750,000.00	\$3,750,000	Yes	Non-Qualified
Globekeeper Inc.	20 Chrucl Street, Hartford	Information Technology	541511	No	31-Oct-17	WORKA	Loan	\$150,000.00	\$0	No	Non-Qualified
GoNation	125 Old Gate Lane Milford	Information Technology	518210	No	09-Nov-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
GoNation	125 Old Gate Lane Milford	Information Technology	518210	No	03-Aug-18	ElIWhitney	Conv. Debt	\$200,000.00	\$200,000	Yes	Non-Qualified
GoNation	125 Old Gate Lane Milford	Information Technology	518210	No	20-Nov-18	ElIWhitney	Conv. Debt	\$50,000.00	\$50,000	Yes	Non-Qualified
GoNation	125 Old Gate Lane Milford	Information Technology	518210	No	12-Jan-18	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Goodwin College	745 Burnside Avenue, East Hartford	Information Technology	61210	No	07-Sep-07	BROWN-TIF	Loan	\$3,000,000.00	\$21,660,000	No	Non-Qualified
Greater Hartford Physical Therapy, PC	36 Woodland Street, Hartford	Service	621340	No	20-Oct-13	CAB	Guarantee	\$18,000.00	\$42,000	No	Non-Qualified
Greenworks Lending	28 Thorndal Circle Darien	Clean Technology	522292	Yes	11-Dec-17	ElIWhitney	Debt	\$1,100,000.00	\$3,300,000	Yes	Non-Qualified
Greenworks Lending	28 Thorndal Circle Darien	Clean Technology	522292	Yes	22-Dec-17	ElIWhitney	Debt	\$400,000.00	\$1,200,000	Yes	Non-Qualified
Greenworks Lending	28 Thorndal Circle Darien	Clean Technology	522292	Yes	08-Nov-18	ElIWhitney	Equity	\$162,500.00	\$0	Yes	Non-Qualified
GSFG Holdings, LLC	397 Broad Street, Manchester	Retail	445310	Male	21-Sep-17	CAB	Guarantee	\$112,000.00	\$112,000	No	Non-Qualified
Gymion	286 Stroebel Rd Trumbull	Biotechnology	339112	No	26-Mar-19	CBIF	Conv. Debt	\$75,000.00	\$100,000	Yes	Non-Qualified
Halda Therapeutics	23 Business Park Dr Branford	Biotechnology	541714	No	21-Feb-19	CBIF	Equity	\$123,762.00	\$6,126,981	Yes	Non-Qualified
Haly Press, Inc. (The)	25 James Street, New Haven	Manufacturer	323110	No	31-May-12	CAB	Guarantee	\$150,000.00	\$350,000	No	Non-Qualified
HBN Front Street District, Inc.	Front Street District, Hartford	Investor/Developer	531110	No	09-Nov-17	Sales & Use	Tax Exemption	\$760,000.00	\$0	No	Qualified
Henkel of America, Inc	200 Elm Street, Stamford	Manufacturer	325199	No	17-Apr-17	Sales & Use	Tax Exemption	\$1,800,000.00	\$0	Yes	Non-Qualified
Heritage Auto Works, LLC	68 Diamond Ave, Plainville	Service	811111	No	19-Jun-18	CAB	Guarantee	\$82,500.00	\$82,500	No	Non-Qualified
Hudson Bayor, Corp.	300 Rye Street Nutmeg Recycling, South Windsor	Service	4953	No	13-Aug-04	BROWN-TIF	Loan	\$470,000.00	\$0	No	Non-Qualified
HyLaBonne and Sons, Inc.	238 Main Street South, Woodbury	Retail	445110	No	01-Dec-14	WORKA-PART	Loan	\$1,000,000.00	\$2,775,000	No	Non-Qualified
InBox Health Corporation	770 Chapel St, Ste 4B New Haven	Information Technology	511210	No	09-Apr-18	ElIWhitney	Conv. Debt	\$250,000.00	\$250,000	Yes	Non-Qualified
InBox Health Corporation	770 Chapel St, Ste 4B New Haven	Information Technology	511210	No	27-Dec-18	ElIWhitney	Equity	\$250,000.00	\$250,000	Yes	Non-Qualified
InBox Health Corporation	770 Chapel St, Ste 4B New Haven	Information Technology	511210	No	23-Dec-16	ElIWhitney	Equity	\$861,020.00	\$750,000	Yes	Non-Qualified
InBox Health Corporation	770 Chapel St, Ste 4B New Haven	Information Technology	511210	No	28-Aug-14	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
InBox Health Corporation	770 Chapel St, Ste 4B New Haven	Information Technology	511210	No	26-Mar-19	PreSeed	Equity	\$250,000.00	\$1,130,000	Yes	Non-Qualified
InBox Health Corporation	770 Chapel St, Ste 4B New Haven	Information Technology	511210	No	03-Mar-14	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Industrial Rigging, Inc.	300 Chase River Road, Waterbury	Service	484110	No	05-May-14	CAB	Guarantee	\$120,000.00	\$280,000	No	Non-Qualified
InMedia Digital Mobile Solutions	PO Box 7361 Bloomfield	Information Technology	519130	Yes	22-Jan-13	PreSeed	Conv. Debt	\$50,000.00	\$50,000	Yes	Non-Qualified
Insalveo Inc.	20 Church Street Mezzanine Level, Hartford	Service	511210	No	17-Aug-18	WORKA	Loan	\$250,000.00	\$0	No	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	20-May-16	ElIWhitney	Conv. Debt	\$50,000.00	\$200,000	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	16-Feb-11	ElIWhitney	Equity	\$300,000.00	\$200,000	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	10-Jun-13	ElIWhitney	Conv. Debt	\$104,880.00	\$495,120	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	16-Feb-11	ElIWhitney	Equity	\$300,000.00	\$200,000	Yes	Non-Qualified
Intelligent Clearing Networks	31 Lakeview Ave New Canaan	Information Technology	519130	No	27-Jun-17	ElIWhitney	Conv. Debt	\$100,000.00	\$300,000	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	10-Jul-14	ElIWhitney	Equity	\$58,735.00	\$391,265	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	22-Jan-15	ElIWhitney	Conv. Debt	\$74,060.00	\$172,585	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	13-Dec-12	ElIWhitney	Conv. Debt	\$73,250.00	\$426,750	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	12-Aug-10	ElIWhitney	Conv. Debt	\$100,000.00	\$200,000	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	21-Mar-18	ElIWhitney	Conv. Debt	\$15,000.00	\$10,000	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	23-Feb-18	ElIWhitney	Conv. Debt	\$15,000.00	\$15,000	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	11-Jan-18	ElIWhitney	Conv. Debt	\$25,000.00	\$25,000	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	30-Jun-11	ElIWhitney	Equity	\$31,200.00	\$0	Yes	Non-Qualified
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	21-Jan-14	ElIWhitney	Conv. Debt	\$85,000.00	\$315,000	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Intelligent Clearing Networks	110 Washington Ave North Haven	Computer Software	541519	No	06-Sep-12	EI/Whitney	Equity	\$10,750.00	\$399,666	Yes	Non-Qualified
International Package Store	11 Revere Road, North Haven	Retail	531120	Male	16-Aug-12	CAB	Guarantee	\$55,500.00	\$129,500	No	Non-Qualified
Invisium Access, Inc.	50 Acadia Ave, Ste 310 Markham ON	Information Technology		No	29-Mar-19	EI/Whitney	Equity	\$663,000.00	\$850,000	Yes	Non-Qualified
IQ Telcom, LLC	78 Beaver Road, Wethersfield	Service	541313	Female	06-Feb-17	CAB	Guarantee	\$100,000.00	\$100,000	No	Non-Qualified
Iridia, Inc.	3156 Lionshead Ave, Ste #1 Carlsbad	Information Technology	334112	No	24-May-17	EI/Whitney	Equity	\$250,000.00	\$250,000	Yes	Non-Qualified
Iridia, Inc.	3156 Lionshead Ave, Ste #1 Carlsbad	Information Technology	334112	No	15-Dec-17	EI/Whitney	Equity	\$250,000.00	\$3,750,000	Yes	Non-Qualified
Isoplexis, Inc.	35NE Industrial Rd Branford	Biotechnology	54173	No	14-Jul-14	EI/Whitney	Equity	\$300,000.00	\$1,001,432	Yes	Non-Qualified
Isoplexis, Inc.	35NE Industrial Rd Branford	Biotechnology	54173	No	10-Feb-15	EI/Whitney	Equity	\$75,000.00	\$275,000	Yes	Non-Qualified
Isoplexis, Inc.	35NE Industrial Rd Branford	Biotechnology	54173	No	28-May-15	SSBCI	Equity	\$750,000.00	\$2,800,000	Yes	Non-Qualified
Isoplexis, Inc.	35NE Industrial Rd Branford	Biotechnology	54173	No	17-Jun-16	EI/Whitney	Equity	\$1,500,000.00	\$3,595,000	Yes	Non-Qualified
Isoplexis, Inc.	35NE Industrial Rd Branford	Biotechnology	54173	No	20-Jul-17	EI/Whitney	Equity	\$110,162.00	\$1,485,976	Yes	Non-Qualified
Isoplexis, Inc.	35NE Industrial Rd Branford	Biotechnology	54173	No	07-Nov-18	EI/Whitney	Equity	\$749,973.00	\$24,250,000	Yes	Non-Qualified
Isoplexis, Inc.	35NE Industrial Rd Branford	Biotechnology	54173	No	20-Jul-17	CBIF	Equity	\$889,815.00	\$4,744,028	Yes	Non-Qualified
Isoplexis, Inc.	35NE Industrial Rd Branford	Biotechnology	54173	No	25-Jan-17	CBIF	Equity	\$250,000.00	\$1,250,000	Yes	Non-Qualified
JC Franchising Group LLC	20 Church Street, Hartford	Franchising	454390	No	11-Oct-18	WORKA	Loan	\$250,000.00	\$0	No	Non-Qualified
Joining Technologies, Inc	17 Connecticut South Drive, East Granby	Manufacturer	541990	No	28-Mar-19	WORKA	Loan	\$1,000,000.00	\$0	No	Non-Qualified
Joining Technologies, Inc	17 Connecticut South Drive, East Granby	Manufacturer	541990	No	25-Mar-15	WORKA-PART	Loan	\$273,750.00	*	No	Non-Qualified
Joining Technologies, Inc	17 Connecticut South Drive, East Granby	Manufacturer	541990	No	08-Oct-15	WORKA-PART	Loan	\$134,970.00	*	No	Non-Qualified
Joining Technologies, Inc	17 Connecticut South Drive, East Granby	Manufacturer	541990	No	09-Dec-14	WORKA-PART	Loan	\$41,280.00	\$450,000	No	Non-Qualified
Kinaptic LLC	30 Renees Way Madison	Biotechnology	334510	No	21-Nov-17	PreSeed	Conv. Debt	\$25,000.00	\$12,500	Yes	Non-Qualified
Kinaptic LLC	30 Renees Way Madison	Biotechnology	334510	No	13-Mar-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Kleo Pharmaceuticals, Inc.	30 Renees Way Madison	Biotechnology	334510	No	06-Sep-17	PreSeed	Conv. Debt	\$50,000.00	\$25,000	Yes	Non-Qualified
Kleo Pharmaceuticals, Inc.	25 Science Park, Ste 2D New Haven	Biotechnology	541714	No	22-Nov-16	CBIF	Equity	\$1,000,000.00	\$31,200,000	Yes	Non-Qualified
KR Restaurant/d/b/a The Old Fashion, LLC	25 Science Park, Ste 2D New Haven	Biotechnology	541714	No	09-May-19	BF	Debt	\$600,000.00	\$0	Yes	Non-Qualified
Krouzon Pharmaceuticals	686 Main Street, Middletown	Service	722511	No	16-Aug-16	CAB	Guarantee	\$150,000.00	\$195,000	No	Non-Qualified
Kwambi, IO, Inc.	195 Church St, 7th Fl New Haven	Biotechnology	541714	No	08-Feb-18	PreSeed	Conv. Debt	\$150,000.00	\$150,000	Yes	Non-Qualified
Lambda Vision Incorporated	I Constitution Plaza, Hartford	Other	541512	No	08-May-19	WORKA	Loan	\$150,000.00	\$0	No	Non-Qualified
Lambda Vision Incorporated	400 Farmington Ave MC 6409 Farmington	Biotechnology	541714	Yes	07-Mar-13	PreSeed	Conv. Debt	\$66,588.00	\$29,450	Yes	Non-Qualified
Lambda Vision Incorporated	400 Farmington Ave MC 6409 Farmington	Biotechnology	541714	Yes	17-Sep-17	EI/Whitney	Equity	\$105,969.00	\$0	Yes	Non-Qualified
Lambda Vision Incorporated	400 Farmington Ave MC 6409 Farmington	Biotechnology	541714	Yes	22-Jan-14	PreSeed	Conv. Debt	\$51,100.00	\$25,550	Yes	Non-Qualified
Lambda Vision Incorporated	400 Farmington Ave MC 6409 Farmington	Biotechnology	541714	Yes	29-Sep-11	PreSeed	Conv. Debt	\$40,000.00	\$20,000	Yes	Non-Qualified
Landsdowne Labs	400 Farmington Ave MC 6409 Farmington	Biotechnology	541714	Yes	14-Sep-17	CBIF	Equity	\$500,000.00	\$0	Yes	Non-Qualified
Landsdowne Labs	1073 Benson Road Fairfield	Bioscience/Advcd Materials	304	No	23-Jan-18	CBIF	Equity	\$500,000.00	\$1,100,000	Yes	Non-Qualified
Landsdowne Labs	1073 Benson Road Fairfield	Bioscience/Advcd Materials	304	No	26-Mar-18	CBIF	Equity	\$500,000.00	\$400,000	Yes	Non-Qualified
LanstatCare, Inc	101 Merritt Blvd, Trumbull	Service	541512	No	13-Jul-17	CAB	Guarantee	\$150,000.00	\$350,000	No	Non-Qualified
LB1, Inc.	973 North Road, Groton	Manufacturer	336610	No	23-Dec-14	WORKA	Loan	\$191,153.50	\$0	Yes	Non-Qualified
LB1, Inc.	973 North Road, Groton	Manufacturer	336610	No	28-May-15	WORKA	Loan	\$324,922.00	\$0	Yes	Non-Qualified
LB1, Inc.	973 North Road, Groton	Manufacturer	336610	No	24-Nov-15	WORKA	Loan	\$351,271.00	\$0	Yes	Non-Qualified
LB1, Inc.	973 North Road, Groton	Manufacturer	336610	No	19-Dec-14	WORKA	Loan	\$1,214,787.73	\$0	Yes	Non-Qualified
LB1, Inc.	973 North Road, Groton	Manufacturer	336610	No	19-Feb-15	WORKA	Loan	\$315,642.00	\$0	Yes	Non-Qualified
LB1, Inc.	973 North Road, Groton	Manufacturer	336610	No	11-Feb-15	WORKA	Loan	\$193,846.50	\$0	Yes	Non-Qualified
Lee Company (The)	2 Pequot Park Road, Westbrook	Manufacturer	336610	No	23-Dec-14	WORKA	Loan	\$44,376.22	\$0	Yes	Non-Qualified
Legion Square Associates, LLC	1163 Farmington Avenue, Berlin	Investor/Developer	3823	No	29-Mar-18	Sales & Use	Tax Exemption	\$7,874,015.00	\$0	Yes	Qualified
LensesOnly, LLC	812 Park Avenue, Bloomfield	Retail	621320	No	16-Nov-16	CAB	Guarantee	\$37,500.00	\$37,500	No	Non-Qualified
LensesOnly, LLC	812 Park Avenue, Bloomfield	Retail	621320	No	27-Feb-18	CAB	Guarantee	\$50,000.00	\$50,000	No	Non-Qualified
LifeStyleCX	64 Horseshoe Rd Guilford	Information Technology	541511	Yes	19-Sep-18	EI/Whitney	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
LifeStyleCX	64 Horseshoe Rd Guilford	Information Technology	541511	Yes	25-Jun-18	PreSeed	Conv. Debt	\$75,000.00	\$75,000	Yes	Non-Qualified
LindaCare, Inc.	20 Church St, Ste 1780 Hartford	Information Technology	511210	No	17-May-17	CBIF	Conv. Debt	\$400,000.00	\$400,000	Yes	Non-Qualified
LindaCare, Inc.	20 Church St, Ste 1780 Hartford	Information Technology	511210	No	24-Apr-17	CBIF	Conv. Debt	\$100,000.00	\$100,000	Yes	Non-Qualified
LindaCare, Inc.	20 Church St, Ste 1780 Hartford	Information Technology	511210	No	22-Jan-18	CBIF	Equity	\$739,926.00	\$5,765,000	Yes	Non-Qualified
LogicBroker	1 Enterprise Dr Shelton	Information Technology	541511	No	20-Jan-15	EI/Whitney	Equity	\$550,000.00	\$850,000	Yes	Non-Qualified
LogicBroker	1 Enterprise Dr Shelton	Information Technology	541511	No	03-Jul-13	EI/Whitney	Equity	\$750,000.00	\$850,000	Yes	Non-Qualified
LogicBroker	1 Enterprise Dr Shelton	Information Technology	541511	No	17-Jun-14	EI/Whitney	Equity	\$450,000.00	\$750,000	Yes	Non-Qualified
LogicBroker	1 Enterprise Dr Shelton	Information Technology	541511	No	10-Nov-15	EI/Whitney	Conv. Debt	\$58,000.00	\$0	Yes	Non-Qualified
LRI LLC	29 S. Main Street, West Hartford	Financial Services	523920	No	24-Oct-17	CAB	Guarantee	\$25,000.00	\$275,000	No	Non-Qualified
Lymbur	18 South Main St Norwalk	Biotechnology/IT	713940	No	18-Apr-18	EI/Whitney	Conv. Debt	\$150,000.00	\$2,850,000	Yes	Non-Qualified

* Leverage amounts shown on first transaction line

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Matthew's Bakery	71 W. Broad Street, Stamford	Retail	311811	Female	24-Apr-17	CAB	Guarantee	\$150,000.00	\$250,000	No	Non-Qualified
MediaCrossing	9 West Broad St, Ste 250 Stamford	Information Technology	541810	Yes	15-Feb-13	Seed	Equity	\$150,000.00	\$875,000	Yes	Non-Qualified
MediaCrossing	9 West Broad St, Ste 250 Stamford	Information Technology	541810	Yes	08-Oct-13	Seed	Equity	\$599,914.00	\$4,625,000	Yes	Non-Qualified
MediaCrossing	9 West Broad St, Ste 250 Stamford	Information Technology	541810	Yes	05-Aug-13	Seed	Equity	\$267,435.00	\$500,000	Yes	Non-Qualified
Medically Home Group, Inc.	191 Post Road West Westport	Information Technology	5410990	No	27-Mar-18	CBIF	Equity	\$500,000.00	\$22,035,000	Yes	Non-Qualified
Medically Home Group, Inc.	191 Post Road West Westport	Information Technology	5410990	No	23-Jun-17	CBIF	Equity	\$500,000.00	\$3,500,000	Yes	Non-Qualified
Mental, Inc.	One Park Ave Old Greenwich	Biotechnology	541511	No	10-Apr-19	CBIF	Conv. Debt	\$150,000.00	\$1,350,000	Yes	Non-Qualified
Mental Canvas	61 Hartford Ave Madison	Information Technology	511210	Yes	27-Nov-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Mental Canvas	61 Hartford Ave Madison	Information Technology	511210	Yes	31-Jan-18	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
MIFCOR, Inc.	154 Elm St, 3rd Fl New Haven	Biotechnology	541711	Yes	05-Apr-19	CBIF	Conv. Debt	\$50,000.00	\$0	Yes	Non-Qualified
MIFCOR, Inc.	154 Elm St, 3rd Fl New Haven	Biotechnology	541711	Yes	04-Aug-16	CBIF	Conv. Debt	\$100,000.00	\$0	Yes	Non-Qualified
Millennium Builders, Inc.	50 Inwood Road, Rocky Hill	Service	236220	No	07-Aug-17	CAB	Guarantee	\$150,000.00	\$350,000	No	Non-Qualified
Mininvasive	195 Church St New Haven	Biotechnology	339110	No	27-Feb-19	CBIF	Equity	\$314,815.00	\$1,385,185	Yes	Non-Qualified
Mininvasive	195 Church St New Haven	Biotechnology	339110	No	02-Oct-18	CBIF	Equity	\$185,185.00	\$814,815	Yes	Non-Qualified
Mininvasive	195 Church St New Haven	Biotechnology	339110	No	28-Aug-17	CBIF	Equity	\$1,500,000.00	\$4,500,000	Yes	Non-Qualified
Mitotherapeutic, LLC	400 Farmington Ave Farmington	Biotechnology	541714	No	30-Jul-15	PreSeed	Conv. Debt	\$75,000.00	\$0	Yes	Non-Qualified
Mitotherapeutic, LLC	400 Farmington Ave Farmington	Biotechnology	541714	No	11-Dec-17	CBIF	Conv. Debt	\$50,000.00	\$50,000	Yes	Non-Qualified
Mitotherapeutic, LLC	400 Farmington Ave Farmington	Biotechnology	541714	No	22-Apr-15	PreSeed	Conv. Debt	\$75,000.00	\$84,000	Yes	Non-Qualified
Mitotherapeutic, LLC	400 Farmington Ave Farmington	Biotechnology	541714	No	11-Dec-17	Eli Whitney	Conv. Debt	\$45,050.00	\$0	Yes	Non-Qualified
mk North America, Inc.	105 125 Highland Park Drive, Bloomfield	Manufacturer	333922	No	27-Apr-12	WORKA	Loan	\$850,000.00	\$0	Yes	Qualified
mk North America, Inc.	105 125 Highland Park Drive, Bloomfield	Manufacturer	333922	No	27-Apr-12	WORKA	Loan	\$300,000.00	\$0	Yes	Qualified
Modern tire WH, LLC	7 Raymond Road, West Hartford	Retail	811111	No	02-Aug-18	CAB	Guarantee	\$25,000.00	\$25,000	No	Non-Qualified
Moffly Publications	205 Main Street, Westport	Service	541613	No	28-Aug-16	CAB	Guarantee	\$78,750.00	\$78,750	No	Non-Qualified
Moran 4, Inc.	40 Stonehill Drive, Killingworth	Service	812990	Female	18-Nov-16	CAB	Guarantee	\$185,000.00	\$185,000	No	Non-Qualified
Mountain Laurel Enterprises, Inc.	21 C. Andover Drive, West Hartford	Service	236118	Female	27-Feb-12	CAB	Guarantee	\$15,000.00	\$35,000	No	Non-Qualified
Mountain Laurel Enterprises, Inc.	21 C. Andover Drive, West Hartford	Service	236118	Female	03-Apr-18	CAB	Guarantee	\$50,000.00	\$50,000	No	Non-Qualified
My Gene Counsel, LLC	PO Box 612 Branford	Biotechnology	519130	Yes	21-Jul-17	CBIF	Conv. Debt	\$150,000.00	\$0	Yes	Non-Qualified
My Gene Counsel, LLC	PO Box 612 Branford	Biotechnology	519130	Yes	11-Apr-18	CBIF	Conv. Debt	\$250,000.00	\$375,000	Yes	Non-Qualified
My Gene Counsel, LLC	PO Box 612 Branford	Biotechnology	519130	Yes	13-Mar-19	CBIF	Conv. Debt	\$500,000.00	\$500,000	Yes	Non-Qualified
My Gene Counsel, LLC	PO Box 612 Branford	Biotechnology	519130	Yes	23-Nov-16	CBIF	Conv. Debt	\$250,000.00	\$0	Yes	Non-Qualified
Mystic Marinlife Aquarium	55 Coogan Blvd., Mystic	Arts & Entertainment	712130	No	13-Dec-13	WORKA	Loan	\$3,000,000.00	\$13,000,000	No	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	31-Oct-14	WORKA	Loan	\$401,541.42	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	04-May-17	WORKA	Loan	\$29,651.50	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	04-Mar-16	WORKA	Loan	\$32,335.54	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	23-Oct-15	WORKA	Loan	\$13,680.00	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	11-Jun-15	WORKA	Loan	\$51,107.63	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	10-Sep-15	WORKA	Loan	\$19,451.25	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	10-Aug-16	WORKA	Loan	\$24,482.02	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	29-Jul-15	WORKA	Loan	\$64,156.44	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	09-Dec-15	WORKA	Loan	\$23,888.48	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	27-May-16	WORKA	Loan	\$90,591.53	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	19-Nov-15	WORKA	Loan	\$27,767.25	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	04-Oct-16	WORKA	Loan	\$45,416.53	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	08-Nov-16	WORKA	Loan	\$52,371.27	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	05-Feb-16	WORKA	Loan	\$26,248.50	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	12-Jul-16	WORKA	Loan	\$60,201.60	*	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	26-Apr-16	WORKA	Loan	\$83,816.72	\$1,475,000	Yes	Non-Qualified
Nason Partners, LLC	53 John Street, Torrington	Service	485510	No	30-Jan-17	CAB	Guarantee	\$150,000.00	\$150,000	No	Non-Qualified
New England Seating Company LLC	138 Haven Street, New Haven	Manufacturer	337127	No	12-Jul-10	WORKA	Loan	\$620,000.00	\$0	Yes	Non-Qualified
Newland, LLC	877 North Colony Road, Meriden	Service	561730	No	31-Mar-03	CAB	Guarantee	\$9,000.00	\$21,000	No	Non-Qualified
Next Generation Properties, LLC	1155 New Britain Avenue, West Hartford	Other	722513	Male	20-Apr-17	CAB	Guarantee	\$150,000.00	\$200,000	No	Non-Qualified
Niktor, LLC	146 New Britain Avenue, Plainville	Service	523920	Female	06-Feb-17	CAB	Guarantee	\$25,000.00	\$25,000	No	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	12-Nov-09	GROWTH-PAR	Loan	\$109,653.59	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	04-Oct-10	GROWTH-PAR	Loan	\$36,657.91	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	11-Mar-10	GROWTH-PAR	Loan	\$58,689.06	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	16-Dec-10	GROWTH-PAR	Loan	\$59,531.48	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	12-Jan-10	GROWTH-PAR	Loan	\$131,741.55	*	Yes	Non-Qualified

* Leverage amounts shown on first transaction line

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	06-Apr-10	GROWTH-PAR	Loan	\$43,334.22	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	02-Jul-10	GROWTH-PAR	Loan	\$100,649.28	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	04-Feb-10	GROWTH-PAR	Loan	\$102,239.57	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	10-May-10	GROWTH-PAR	Loan	\$208,406.37	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	04-Jun-10	GROWTH-PAR	Loan	\$202,740.34	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	07-Dec-09	GROWTH-PAR	Loan	\$83,747.00	*	Yes	Non-Qualified
Norpaco, Inc.	1 Bysiewicz Drive, Middletown	Distribution/Whsing	311342	No	24-Jun-09	GROWTH-PAR	Loan	\$156,906.75	\$2,650,000	Yes	Non-Qualified
North Haven Commons Development, LP	300 Universal Drive, North Haven	Investor/Developer	531120	No	21-Jan-10	BROWN-TIF	Loan	\$2,300,000.00	\$39,500,000	No	Non-Qualified
Northpage Inc.	555 Heritage Rd, Ste 100 Southbury	Information Technology	541511	No	30-Nov-18	ElIWhitney	Equity	\$362,514.00	\$1,142,713	Yes	Non-Qualified
Northpage Inc.	555 Heritage Rd, Ste 100 Southbury	Information Technology	541511	No	17-Jan-18	ElIWhitney	Conv. Debt	\$150,000.00	\$600,000	Yes	Non-Qualified
Northpage Inc.	555 Heritage Rd, Ste 100 Southbury	Information Technology	541511	No	10-Apr-15	SSBCI	Equity	\$1,499,998.00	\$5,200,000	Yes	Non-Qualified
Northpage Inc.	555 Heritage Rd, Ste 100 Southbury	Information Technology	541511	No	27-Mar-13	ElIWhitney	Equity	\$500,000.00	\$1,500,000	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	31-Jul-14	WORKA	Loan	\$228,155.25	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	23-Dec-13	WORKA	Loan	\$215,650.00	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	25-Nov-14	WORKA	Loan	\$67,677.78	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	06-Mar-15	WORKA	Loan	\$5,755.56	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	27-Jun-14	WORKA	Loan	\$193,288.64	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	26-Aug-14	WORKA	Loan	\$189,108.67	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	28-Jan-15	WORKA	Loan	\$104,566.20	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	16-Jul-14	WORKA	Loan	\$136,309.03	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	13-Jun-14	WORKA	Loan	\$159,545.57	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	05-May-14	WORKA	Loan	\$206,721.82	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	06-May-14	WORKA	Loan	\$291,792.75	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	22-May-14	WORKA	Loan	\$233,882.10	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	01-Oct-14	WORKA	Loan	\$176,107.10	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	03-Feb-15	WORKA	Loan	\$51,532.08	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	25-Nov-14	WORKA	Loan	\$137,928.42	*	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honeyspot Road, Stratford	Manufacturer	311991	No	26-Mar-14	WORKA	Loan	\$342,979.03	\$3,925,225	Yes	Non-Qualified
Obsidian Advanced Manufacturing LLC	900 Grand Ave, Ste A New Haven	Biotechnology	541715	No	13-Feb-19	PreSeed	Conv. Debt	\$60,000.00	\$300,000	Yes	Non-Qualified
OD Realty	10 S. Constitution Boulevard, Shelton	Manufacturer	339110	No	30-Jan-14	WORKA	Loan	\$284,732.20	*	Yes	Non-Qualified
OD Realty	10 S. Constitution Boulevard, Shelton	Manufacturer	339110	No	04-Nov-13	WORKA	Loan	\$117,267.80	\$4,860,000	Yes	Non-Qualified
Odin Text, LLC	154 Cold Spring Rd, Ste 80 Stamford	Information Technology	541910	No	01-Mar-19	ElIWhitney	Conv. Debt	\$100,000.00	\$300,000	Yes	Non-Qualified
Odin Text, LLC	154 Cold Spring Rd, Ste 80 Stamford	Information Technology	541910	No	04-Jan-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Odin Text, LLC	154 Cold Spring Rd, Ste 80 Stamford	Information Technology	541910	No	11-Jun-15	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	28-Apr-09	CT	Equity	\$40,000.00	\$160,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	21-Feb-14	ElIWhitney	Conv. Debt	\$150,000.00	\$0	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	29-Aug-14	ElIWhitney	Conv. Debt	\$160,000.00	\$0	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	19-Dec-11	CT	Conv. Debt	\$23,364.00	\$105,636	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	26-Sep-11	CT	Conv. Debt	\$19,306.00	\$83,657	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	11-Jul-11	CT	Conv. Debt	\$18,750.00	\$81,250	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	14-Feb-11	CT	Conv. Debt	\$44,444.00	\$88,888	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	22-May-09	CT	Equity	\$140,000.00	\$560,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	03-Nov-08	ElIWhitney	Equity	\$250,000.00	\$1,000,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	31-Jan-08	ElIWhitney	Equity	\$750,000.00	\$2,750,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	24-May-10	ElIWhitney	Equity	\$10,000.00	\$40,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	15-Apr-10	CT	Equity	\$20,000.00	\$80,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	23-Mar-10	CT	Equity	\$20,000.00	\$80,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	01-Dec-09	CT	Equity	\$60,000.00	\$240,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	27-Oct-09	CT	Equity	\$60,000.00	\$240,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	10-Aug-09	CT	Equity	\$60,000.00	\$240,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	24-Mar-11	CAB	Conv. Debt	\$375,000.00	\$25,000	Yes	Non-Qualified
Oil Purification Systems	2176 Thomaston Ave Waterbury	Clean Technology	336390	No	06-Feb-17	CAB	Guarantee	\$10,000.00	\$10,000	No	Non-Qualified
Olympic Taekwondo Academy, Inc	45 S. Main Street, Unionville	Service	611620	Female	24-Mar-11	CAB	Guarantee	\$37,500.00	\$37,500	Yes	Non-Qualified
OmniCyt	3 Indian Cove Rd Guilford	Biotechnology	541711	Yes	28-Jun-17	PreSeed	Conv. Debt	\$75,000.00	\$160,000	Yes	Non-Qualified
OmniCyt	3 Indian Cove Rd Guilford	Biotechnology	541711	Yes	05-Oct-18	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
OncoSnergy, Inc.	929 Riverside Drive, North Grovesnordale	Service	7993	No	09-May-08	CAB	Guarantee	\$30,000.00	\$30,000	No	Non-Qualified
OncoSnergy, Inc.	380 Greenwich Ave Greenwich	Biotechnology	541711	No	19-Apr-18	CBIF	Equity	\$1,000,000.00	\$1,000,000	Yes	Non-Qualified
OncoSnergy, Inc.	380 Greenwich Ave Greenwich	Biotechnology	541711	No	10-May-19	CBIF	Equity	\$100,000.00	\$0	Yes	Non-Qualified

* Leverage amounts shown on first transaction line

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
OncoSynergy, Inc.	380 Greenwich Ave Greenwich	Biotechnology	541711	No	28-Jun-19	CBIF	Equity	\$350,000.00	\$350,000	Yes	Non-Qualified
OncoSynergy, Inc.	380 Greenwich Ave Greenwich	Biotechnology	541711	No	14-Dec-18	CBIF	Equity	\$500,000.00	\$500,000	Yes	Non-Qualified
OneWayLimo.com, Inc.	42 Oliver Terrace, Shelton	Service	561599	No	11-Jul-16	WORKA	Loan	\$150,000.00	\$0	No	Non-Qualified
Orshott Media Group	23 South Main St South Norwalk	Information Technology	541870	No	12-Mar-18	WORKA	Conv. Debt	\$150,000.00	\$180,000	Yes	Non-Qualified
Orthozon Technologies	175 Atlantic Ave Stamford	Biotechnology	339112	No	15-Mar-16	PreSeed	Conv. Debt	\$150,000.00	\$37,500	Yes	Non-Qualified
Orthozon Technologies	175 Atlantic Ave Stamford	Biotechnology	339112	No	13-Sep-13	PreSeed	Conv. Debt	\$208,190.00	\$100,000	Yes	Non-Qualified
Oxitone Medical	20 Church Street, Mezzanine Level, Hartford	Manufacturer	339112	No	06-Sep-18	WORKA	Loan	\$250,000.00	\$0	No	Non-Qualified
P & P Auto Repair	174 West Center Street, Manchester	Service	811111	No	30-Nov-17	CAB	Guarantee	\$50,000.00	\$50,000	No	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	27-Jan-16	ELIWhitney	Conv. Debt	\$100,000.00	\$100,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	19-Mar-13	ELIWhitney	Equity	\$860,932.00	\$350,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	18-Feb-15	SSBCI	Conv. Debt	\$149,902.08	\$200,098	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	09-Jun-15	SSBCI	Conv. Debt	\$107,072.92	\$142,936	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	19-Mar-14	ELIWhitney	Equity	\$583,312.00	\$500,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	04-Nov-15	ELIWhitney	Conv. Debt	\$100,000.00	\$100,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	13-May-16	ELIWhitney	Conv. Debt	\$100,000.00	\$166,500	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	10-Feb-17	ELIWhitney	Equity	\$824,947.00	\$5,175,053	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	26-Aug-16	ELIWhitney	Equity	\$25,000.00	\$3,574,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	11-Dec-18	ELIWhitney	Conv. Debt	\$286,369.00	\$1,467,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	30-May-19	ELIWhitney	Conv. Debt	\$200,000.00	\$799,999	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr Woodbridge	Other	325998	No	27-Aug-15	ELIWhitney	Conv. Debt	\$100,000.00	\$100,000	Yes	Non-Qualified
Pastandh, LLC	31 Sheridan Drive, Naugatuck	Manufacturer	326100	No	02-Jan-14	WORKA	Loan	\$98,492.76	*	Yes	Non-Qualified
Pastandh, LLC	31 Sheridan Drive, Naugatuck	Manufacturer	326100	No	27-Nov-13	WORKA	Loan	\$228,922.00	*	Yes	Non-Qualified
Pastandh, LLC	31 Sheridan Drive, Naugatuck	Manufacturer	326100	No	07-Feb-14	WORKA	Loan	\$72,585.24	\$400,000	Yes	Non-Qualified
Pa-Tech Spring Company, LLC	137 Vincent P. Kelly Road, Bristol	Manufacturer	332612	No	19-Oct-12	WORKA-GTY	Guarantee	\$400,000.00	\$0	No	Qualified
Patient Wisdom	770 Chapel St New Haven	Information Technology	423430	No	28-Jul-17	ELIWhitney	Equity	\$7,298.00	\$0	Yes	Non-Qualified
Patient Wisdom	770 Chapel St New Haven	Information Technology	423430	No	28-May-18	CBIF	Equity	\$250,000.00	\$250,000	Yes	Non-Qualified
Patient Wisdom	770 Chapel St New Haven	Information Technology	423430	No	28-Jul-17	CBIF	Equity	\$500,000.00	\$1,000,000	Yes	Non-Qualified
Patient Wisdom	770 Chapel St New Haven	Information Technology	423430	No	05-Oct-16	ELIWhitney	Conv. Debt	\$150,000.00	\$1,000,000	Yes	Non-Qualified
Pattern Genomics	22 Alex Dr Madison	Information Technology	541714	No	04-Nov-13	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Pattern Genomics	22 Alex Dr Madison	Information Technology	541714	No	16-Jan-13	PreSeed	Conv. Debt	\$75,000.00	\$275,000	Yes	Non-Qualified
Payeris LLC	110 Court St, Ste 3B Cromwell	Information Technology	511210	No	24-Mar-17	ELIWhitney	Equity	\$358,470.00	\$2,641,530	Yes	Non-Qualified
Payeris LLC	110 Court St, Ste 3B Cromwell	Information Technology	511210	No	15-Sep-15	SSBCI	Equity	\$500,000.00	\$4,500,000	Yes	Non-Qualified
Payeris LLC	110 Court St, Ste 3B Cromwell	Information Technology	511210	No	28-Apr-16	SSBCI	Equity	\$500,000.00	\$1,000,000	Yes	Non-Qualified
Payeris LLC	110 Court St, Ste 3B Cromwell	Information Technology	511210	No	14-Jun-13	ELIWhitney	Equity	\$700,000.00	\$3,200,000	Yes	Non-Qualified
Payeris LLC	110 Court St, Ste 3B Cromwell	Information Technology	511210	No	10-May-18	ELIWhitney	Conv. Debt	\$135,010.00	\$864,990	Yes	Non-Qualified
Payeris LLC	110 Court St, Ste 3B Cromwell	Information Technology	511210	No	05-Sep-18	ELIWhitney	Equity	\$135,010.00	\$2,114,990	Yes	Non-Qualified
Payeris LLC	110 Court St, Ste 3B Cromwell	Information Technology	511210	No	08-Jan-19	ELIWhitney	Equity	\$608,954.00	\$2,786,420	Yes	Non-Qualified
Payeris LLC	110 Court St, Ste 3B Cromwell	Information Technology	511210	No	28-Apr-16	SSBCI	Equity	\$250,000.00	\$2,250,000	Yes	Non-Qualified
PDC International Corp.	Sheehan Avenue, Norwalk	Manufacturer	333993	No	03-Oct-08	WORKA	Loan	\$750,000.00	\$2,100,000	Yes	Non-Qualified
Pentation Analytics Inc.	20 Church Street, Mezzanine Level, Hartford	Service	541618	No	26-Apr-18	WORKA	Loan	\$150,000.00	\$0	No	Non-Qualified
Pentation Analytics Inc.	20 Church Street, Mezzanine Level, Hartford	Service	541618	No	24-May-18	WORKA	Loan	\$100,000.00	\$0	No	Non-Qualified
Phlatbed	66 Fort Point St, 2nd Fl Norwalk	Information Technology	484210	Yes	03-Jul-18	PreSeed	Conv. Debt	\$70,000.00	\$0	Yes	Non-Qualified
Phlatbed	66 Fort Point St, 2nd Fl Norwalk	Information Technology	484210	Yes	04-Jan-18	PreSeed	Conv. Debt	\$400,000.00	\$20,000	Yes	Non-Qualified
Phlatbed	66 Fort Point St, 2nd Fl Norwalk	Information Technology	484210	Yes	14-May-19	ELIWhitney	Conv. Debt	\$100,000.00	\$50,000	Yes	Non-Qualified
Phlatbed	66 Fort Point St, 2nd Fl Norwalk	Information Technology	484210	Yes	18-Jan-19	ELIWhitney	Conv. Debt	\$108,690.00	\$50,000	Yes	Non-Qualified
Phlatbed	66 Fort Point St, 2nd Fl Norwalk	Information Technology	484210	Yes	18-Apr-18	PreSeed	Conv. Debt	\$33,000.00	\$16,500	Yes	Non-Qualified
Phoenix Products Company	55 Container Drive, Terryville	Distribution/Whsing	424690	No	09-Feb-11	WORKA-PART	Loan	\$436,691.33	\$3,936,000	No	Non-Qualified
Pith Products, LLC	39 Nott Hwy, Ashford	Manufacturer	321920	No	21-Dec-12	GROWTH	Loan	\$16,112.00	\$0	No	Non-Qualified
Pith Products, LLC	39 Nott Hwy, Ashford	Manufacturer	321920	No	09-Nov-12	GROWTH	Loan	\$183,888.00	\$0	No	Non-Qualified
Point Pick Up Technologies, Inc	32 Field Point Road, Greenwich	Information Tech	541511	No	28-Nov-17	WORKA	Loan	\$150,000.00	\$0	No	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	17-Jul-13	WORKA	Loan	\$573,733.44	*	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	10-Dec-13	WORKA	Loan	\$388,730.50	*	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	10-Jan-14	WORKA	Loan	\$413,041.25	*	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	26-Feb-14	WORKA	Loan	\$119,289.57	*	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	23-Apr-13	WORKA	Loan	\$311,576.57	*	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	01-Apr-14	WORKA	Loan	\$250,695.19	*	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	17-Jul-13	WORKA	Loan	\$437,569.96	*	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Polarmer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	11-Oct-13	WORKA	Loan	\$173,989.40	*	Yes	Non-Qualified
Polarmer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	14-Mar-13	WORKA	Loan	\$381,915.28	*	Yes	Non-Qualified
Polarmer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	03-Feb-14	WORKA	Loan	\$446,536.48	*	Yes	Non-Qualified
Polarmer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	31-May-14	WORKA	Loan	\$232,279.50	*	Yes	Non-Qualified
Polarmer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	16-May-14	WORKA	Loan	\$196,994.85	*	Yes	Non-Qualified
Polarmer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	03-Feb-14	WORKA	Loan	\$444,536.49	\$5,000,000	Yes	Non-Qualified
Porcelain Ltd. CT, LLC	333 Welton Street, Hamden	Manufacturer	332812	No	12-Apr-02	WORKA	Loan	\$1,170,000.00	\$0	Yes	Qualified
Porcelain Ltd. CT, LLC	333 Welton Street, Hamden	Manufacturer	332812	No	02-Aug-02	WORKA	Loan	\$423,700.00	\$0	Yes	Qualified
Porcelain Ltd. CT, LLC	333 Welton Street, Hamden	Manufacturer	332812	No	06-Dec-02	WORKA	Loan	\$465,700.00	\$0	Yes	Qualified
Post n Track	2080 Silas Deane Hwy Rocky Hill	Information Technology	518210	No	28-Mar-05	ELIWhitney	Equity	\$700,000.00	*	Yes	Non-Qualified
Post n Track	2080 Silas Deane Hwy Rocky Hill	Information Technology	518210	No	30-Nov-04	ELIWhitney	Equity	\$300,000.00	\$2,000,000	Yes	Non-Qualified
Praxair, Inc.	12 Riverview Drive, Danbury	Manufacturer	325120	No	27-Jan-16	Sales & Use	Tax Exemption	\$1,200,000.00	\$0	Yes	Non-Qualified
Precipio Diagnostics	4 Science Park New Haven	Biotechnology	621511	No	14-May-14	ELIWhitney	Equity	\$170,000.00	\$580,000	Yes	Non-Qualified
Precipio Diagnostics	4 Science Park New Haven	Biotechnology	621511	No	21-Dec-15	ELIWhitney	Equity	\$100,000.00	\$100,000	Yes	Non-Qualified
Precipio Diagnostics	4 Science Park New Haven	Biotechnology	621511	No	15-Sep-15	ELIWhitney	Equity	\$75,000.00	\$100,000	Yes	Non-Qualified
Precipio Diagnostics	4 Science Park New Haven	Biotechnology	621511	No	28-Jun-13	ELIWhitney	Equity	\$750,000.00	\$1,199,108	Yes	Non-Qualified
Precipio Diagnostics	4 Science Park New Haven	Biotechnology	621511	No	02-Jun-17	ELIWhitney	Equity	\$25,000.00	\$0	Yes	Non-Qualified
Project First	2 Boldcrest Lane Vernon	Information Technology	541519	No	28-Sep-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Project First	2 Boldcrest Lane Vernon	Information Technology	541519	No	17-May-18	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Project Ray, LLC	20 Church Street, Hartford	Information Tech	624120	No	30-Aug-17	WORKA	Loan	\$150,000.00	\$0	No	Non-Qualified
ProTech Services, LLC nka Proguard, LLC	580 Lake Road, Andover	Service	23511	No	30-Sep-02	GROWTH	Loan	\$400,000.00	\$0	Yes	Non-Qualified
QCDC	400 Farmington Ave, R 1809 Farmington	Biotechnology	541714	No	06-Feb-18	PreSeed	Conv. Debt	\$75,000.00	\$50,000	Yes	Non-Qualified
QCDC	400 Farmington Ave, R 1809 Farmington	Biotechnology	541714	No	15-Dec-17	PreSeed	Conv. Debt	\$75,000.00	\$50,000	Yes	Non-Qualified
QENB LLC	35 Pearl Street, New Britain	Service	621210	No	20-Feb-18	CAB	Guarantee	\$58,000.00	\$118,000	No	Non-Qualified
Quadjobs	30 East Elm St, Greenwich	Information Technology	541990	Yes	20-May-16	ELIWhitney	Conv. Debt	\$50,000.00	\$150,000	Yes	Non-Qualified
Querali, Inc.	900 Chapel St, 10th Fl New Haven	Computer Software	511251	No	30-Jun-15	Seed	Equity	\$14,376.00	\$0	Yes	Non-Qualified
Querali, Inc.	900 Chapel St, 10th Fl New Haven	Computer Software	511251	No	05-Feb-13	PreSeed	Conv. Debt	\$100,000.00	\$500,000	Yes	Non-Qualified
Querali, Inc.	900 Chapel St, 10th Fl New Haven	Computer Software	511251	No	17-Nov-11	PreSeed	Conv. Debt	\$250,000.00	\$75,000	Yes	Non-Qualified
Quigler, Inc.	143 Hoyt St, 7 L Stamford	Information Technology	531210	No	09-Nov-18	ELIWhitney	Conv. Debt	\$400,000.00	\$20,000	Yes	Non-Qualified
Quigler, Inc.	143 Hoyt St, 7 L Stamford	Information Technology	531210	No	14-Jul-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Quigler, Inc.	143 Hoyt St, 7 L Stamford	Information Technology	531210	No	01-Nov-17	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Quigler, Inc.	143 Hoyt St, 7 L Stamford	Information Technology	531210	No	28-Sep-18	ELIWhitney	Conv. Debt	\$60,000.00	\$100,000	Yes	Non-Qualified
Quinnipiac Endomics, LLC	35 Pearl Street, New Britain	Service	621210	No	20-Feb-18	CAB	Guarantee	\$92,000.00	\$92,000	No	Non-Qualified
R & D Dynamics Corporation	47-59 West Dudleytown Road, Bloomfield	Manufacturer	333512	Male	12-Nov-09	WORKA	Loan	\$591,144.00	\$0	Yes	Qualified
R & D Dynamics Corporation	47-59 West Dudleytown Road, Bloomfield	Manufacturer	333512	Male	09-Jun-09	WORKA	Loan	\$324,200.00	\$0	Yes	Qualified
R & D Dynamics Corporation	47-59 West Dudleytown Road, Bloomfield	Manufacturer	333512	Male	31-Aug-09	WORKA	Loan	\$407,333.00	\$0	Yes	Qualified
R & D Dynamics Corporation	47-59 West Dudleytown Road, Bloomfield	Manufacturer	333512	Male	01-Jul-09	WORKA	Loan	\$296,772.00	\$0	Yes	Qualified
R & D Dynamics Corporation	47-59 West Dudleytown Road, Bloomfield	Manufacturer	333512	Male	12-Aug-09	WORKA	Loan	\$380,551.00	\$0	Yes	Qualified
R4 Technologies, LLC	38 Grove Street, Building C Ridgefield	Information Technology	5112	No	14-Dec-16	ELIWhitney	Equity	\$2,000,000.00	\$38,875,000	Yes	Non-Qualified
Rallybio	400 Farmington Ave, SuiteR2818 Farmington	Biotechnology	551112	No	20-Apr-18	CBIF	Equity	\$162,161.00	\$5,837,839	Yes	Non-Qualified
Rallybio	400 Farmington Ave, SuiteR2818 Farmington	Biotechnology	551112	No	05-Apr-19	CBIF	Equity	\$243,240.00	\$9,356,756	Yes	Non-Qualified
Rapid Oxygen Company	9 West Broad St, Ste 550 Stamford	Biotechnology	325120	No	28-Jun-17	SSBCI	Equity	\$250,000.00	\$250,000	Yes	Non-Qualified
Rapid Oxygen Company	9 West Broad St, Ste 550 Stamford	Biotechnology	325120	No	04-Aug-17	ELIWhitney	Conv. Debt	\$250,000.00	\$1,375,000	Yes	Non-Qualified
Rapid Oxygen Company	9 West Broad St, Ste 550 Stamford	Biotechnology	325120	No	28-Jun-16	SSBCI	Equity	\$500,000.00	\$625,000	Yes	Non-Qualified
Raymours Furniture Company, Inc	61 Chapel Road, Manchester	Retail	531120	No	11-Oct-18	Sales & Use	Tax Exemption	\$850,000.00	\$0	Yes	Non-Qualified
ReadyDock, Inc.	10 Grassmere Ave West Hartford	Biotechnology	334119	No	15-Jul-15	ELIWhitney	Conv. Debt	\$190,666.00	\$0	Yes	Non-Qualified
ReadyDock, Inc.	10 Grassmere Ave West Hartford	Biotechnology	334119	No	29-Jun-17	ELIWhitney	Equity	\$350,000.00	\$500,000	Yes	Non-Qualified
ReadyDock, Inc.	10 Grassmere Ave West Hartford	Biotechnology	334119	No	21-Dec-15	ELIWhitney	Conv. Debt	\$150,000.00	\$125,000	Yes	Non-Qualified
ReadyDock, Inc.	10 Grassmere Ave West Hartford	Biotechnology	334119	No	16-Jun-17	ELIWhitney	Equity	\$150,000.00	\$0	Yes	Non-Qualified
ReadyDock, Inc.	10 Grassmere Ave West Hartford	Biotechnology	334119	No	02-Dec-13	PreSeed	Conv. Debt	\$100,000.00	\$100,000	Yes	Non-Qualified
ReadyDock, Inc.	10 Grassmere Ave West Hartford	Biotechnology	334119	No	05-Aug-13	PreSeed	Conv. Debt	\$113,185.00	\$100,000	Yes	Non-Qualified
ReadyDock, Inc.	10 Grassmere Ave West Hartford	Biotechnology	334119	No	11-Apr-13	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
ReadyDock, Inc.	10 Grassmere Ave West Hartford	Biotechnology	334119	No	25-Jun-12	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
RenetX Bio	157 Church St, 19th Fl New Haven	Biotechnology	541711	No	28-Mar-18	CBIF	Conv. Debt	\$600,000.00	\$800,000	Yes	Non-Qualified
RenetX Bio	157 Church St, 19th Fl New Haven	Biotechnology	541711	No	14-Jul-17	ELIWhitney	Conv. Debt	\$75,000.00	\$75,000	Yes	Non-Qualified
RenetX Bio	157 Church St, 19th Fl New Haven	Biotechnology	541711	No	18-Apr-17	ELIWhitney	Conv. Debt	\$75,000.00	\$25,000	Yes	Non-Qualified
RenetX Bio	157 Church St, 19th Fl New Haven	Biotechnology	541711	No	30-Aug-18	CBIF	Equity	\$400,000.00	\$580,000	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
RevMedica	73 Oak Terrace Durham	Biotechnology	561990	No	02-May-19	CBIF	Conv. Debt	\$150,000.00	\$200,000	Yes	Non-Qualified
RFJ Productions LLC	860 Silas Deane Highway, Wethersfield	Service	711190	Female	23-Jul-18	CAB	Guarantee	\$25,000.00	\$25,000	No	Non-Qualified
Richard, Inc.	379 East Main Street, Branford	Service	811192	No	20-Jun-08	CAB	Guarantee	\$90,000.00	\$210,000	No	Non-Qualified
Richards Machine Tool Co., Inc.	189 Starrrm Road, Newington	Manufacturer	332710	Female	02-Mar-17	CAB	Guarantee	\$50,000.00	\$50,000	No	Non-Qualified
Richard, LLC	877 North Colony Road, Meriden	Service	561730	No	31-Mar-03	CAB	Guarantee	\$60,000.00	\$140,000	No	Non-Qualified
Ruckus Media Group Inc.	55 Tory Hill Ln Norwalk	Information Technology	334310	No	22-Feb-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Ruckus Media Group Inc.	55 Tory Hill Ln Norwalk	Information Technology	334310	No	08-Jun-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Salt & Pepper, LLC	820 Farmington Avenue, Bristol	Service	722110	Male	08-Sep-10	CAB	Guarantee	\$105,000.00	\$245,000	No	Non-Qualified
Science Park Development Corp.	Five Science Park, New Haven	Developer	237210	No	27-May-94	WORKA	Loan	\$5,150,000.00	\$0	Yes	Non-Qualified
Sea Greens Farms	100 West Point Ln, Bldg 10, Ste 105 Bliddeford	Other	424420	No	12-Oct-17	ElIWhitney	Conv. Debt	\$150,000.00	\$1,150,000	Yes	Non-Qualified
SecBl	845 Canal St Stamford	Information Technology	541511	No	21-Jun-16	ElIWhitney	Equity	\$1,500,000.00	\$3,500,000	Yes	Non-Qualified
SecBl	845 Canal St Stamford	Information Technology	541511	No	09-Nov-18	ElIWhitney	Conv. Debt	\$500,000.00	\$1,500,000	Yes	Non-Qualified
SeeClickFix	770 Chapel St New Haven	Information Technology	541512	No	29-Jun-15	SSBCI	Equity	\$200,000.00	\$30,000	Yes	Non-Qualified
SeeClickFix	770 Chapel St New Haven	Information Technology	541512	No	19-Jun-15	SSBCI	Equity	\$299,999.85	\$1,100,000	Yes	Non-Qualified
Sensible Solutions CT Health Insurance LLC	6 Meadow Farm Road, West Hartford	Service	524210	Female	26-Oct-15	CAB	Guarantee	\$45,000.00	\$10,500	No	Non-Qualified
Shoplandia, Inc.	100 Hope St, Unit 32 Stamford	Information Technology	454111	No	28-Apr-16	PreSeed	Conv. Debt	\$75,000.00	\$82,500	Yes	Non-Qualified
Shoplandia, Inc.	100 Hope St, Unit 32 Stamford	Information Technology	454111	No	09-Feb-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Shoreline Biome	400 Farmington Ave Farmington	Biotechnology	541714	No	24-May-19	ElIWhitney	Equity	\$31,970.00	\$0	Yes	Non-Qualified
Shoreline Biome	400 Farmington Ave Farmington	Biotechnology	541714	No	19-Oct-15	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Shoreline Biome	400 Farmington Ave Farmington	Biotechnology	541714	No	11-Mar-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Shoreline Biome	400 Farmington Ave Farmington	Biotechnology	541714	No	07-Dec-17	CBIF	Conv. Debt	\$581,834.00	\$500,000	Yes	Non-Qualified
Shoreline Biome	400 Farmington Ave Farmington	Biotechnology	541714	No	07-Dec-17	ElIWhitney	Conv. Debt	\$35,225.00	\$35,225	Yes	Non-Qualified
Shoreline Biome	400 Farmington Ave Farmington	Biotechnology	541714	No	29-May-19	CBIF	Equity	\$404,758.00	\$325,000	Yes	Non-Qualified
Shoreline Biome	400 Farmington Ave Farmington	Biotechnology	541714	No	09-Nov-16	CBIF	Conv. Debt	\$250,000.00	\$0	Yes	Non-Qualified
Shree Maruti, LLC	1153 Stratford Avenue, Bridgeport	Retail	445310	Male	02-Aug-18	CAB	Guarantee	\$150,000.00	\$50,000	No	Non-Qualified
Sight, LLC	17 S. Main Street, West Hartford	Service	621320	Female	14-Aug-18	CAB	Guarantee	\$100,000.00	\$100,000	No	Non-Qualified
Simcha Therapeutics Holding Company	504 Chapel St, F12 New Haven	Biotechnology	339113	No	05-Jul-18	CBIF	Conv. Debt	\$1,000,000.00	\$3,400,534	Yes	Non-Qualified
Sirona Medical Technologies Inc.	360 Bloomfield Ave Windsor	Biotechnology	339112	Yes	07-Jun-16	SSBCI	Equity	\$650,000.00	\$1,300,000	Yes	Non-Qualified
Sirona Medical Technologies Inc.	360 Bloomfield Ave Windsor	Biotechnology	339112	Yes	09-Jan-18	CBIF	Equity	\$440,002.00	\$0	Yes	Non-Qualified
Sirona Medical Technologies Inc.	360 Bloomfield Ave Windsor	Biotechnology	339112	Yes	09-Jan-18	ElIWhitney	Equity	\$50,000.00	\$650,000	Yes	Non-Qualified
Sirona Medical Technologies Inc.	360 Bloomfield Ave Windsor	Biotechnology	339112	Yes	06-Jun-17	SSBCI	Equity	\$300,000.00	\$300,000	Yes	Non-Qualified
Slooh	176 West Morris Rd Washington Depot	Information Technology	519130	No	27-Apr-17	ElIWhitney	Equity	\$312,950.00	\$150,000	Yes	Non-Qualified
Slooh	176 West Morris Rd Washington Depot	Information Technology	519130	No	12-Aug-16	ElIWhitney	Equity	\$150,000.00	\$350,000	Yes	Non-Qualified
Smartequip, Inc.	20 Glover Ave Norwalk	Information Technology	510190	No	31-Jan-12	ElIWhitney	Conv. Debt	\$750,000.00	\$9,000,000	Yes	Non-Qualified
Smartequip, Inc.	20 Glover Ave Norwalk	Information Technology	510190	No	13-Apr-12	ElIWhitney	Conv. Debt	\$250,000.00	\$0	Yes	Non-Qualified
Smartequip, Inc.	20 Glover Ave Norwalk	Information Technology	510190	No	30-Jun-12	ElIWhitney	Equity	\$375,000.00	\$0	Yes	Non-Qualified
Smartequip, Inc.	20 Glover Ave Norwalk	Information Technology	510190	No	27-Nov-01	ElIWhitney	Equity	\$500,000.00	\$6,400,000	Yes	Non-Qualified
SmartPay NewCo	176 Laming St Southington	Information Technology	524298	No	01-Apr-16	ElIWhitney	Equity	\$43,602.00	\$35,323	Yes	Non-Qualified
Source Defense	1266 E Main St Stamford	Information Technology	541511	No	25-Jun-18	ElIWhitney	Equity	\$1,000,000.00	\$2,500,000	Yes	Non-Qualified
Specialty Printing	4 Thompson Road, East Windsor	Manufacturer	323112	No	26-Sep-13	WORKA	Loan	\$2,000,000.00	\$5,500,000	Yes	Qualified
Sponsorcraft Limited (Hubbub)	700 Canal Street Stamford	Information Technology	541512	No	05-Jun-17	ElIWhitney	Equity	\$500,000.00	\$500,000	Yes	Non-Qualified
Sponsorcraft Limited (Hubbub)	700 Canal Street Stamford	Information Technology	541512	No	15-Jun-17	ElIWhitney	Equity	\$500,000.00	\$500,000	Yes	Non-Qualified
Stone Fabricators, LLC	234 Middle Street, Middletown	Manufacturer	327991	Female	18-Jun-09	GROWTH	Loan	\$258,000.00	\$0	No	Non-Qualified
Stone Fabricators, LLC	234 Middle Street, Middletown	Manufacturer	327991	Female	27-Aug-09	GROWTH	Loan	\$138,500.00	\$0	No	Non-Qualified
Studio 3 Salon	350 Silas Deane Highway, Wethersfield	Service	812112	Female	22-Aug-17	CAB	Guarantee	\$7,500.00	\$7,500	No	Non-Qualified
Summit Street Medical LLC	340 Main St Wallingford	Biotechnology	339112	No	21-Oct-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Summit Street Medical LLC	340 Main St Wallingford	Biotechnology	339112	No	12-Apr-17	PreSeed	Conv. Debt	\$37,500.00	\$18,750	Yes	Non-Qualified
Summit Street Medical LLC	340 Main St Wallingford	Biotechnology	339112	No	25-Oct-18	ElIWhitney	Conv. Debt	\$500,000.00	\$1,500,000	Yes	Non-Qualified
Summit Street Medical LLC	340 Main St Wallingford	Biotechnology	339112	No	29-Dec-17	ElIWhitney	Equity	\$17,831.00	\$0	Yes	Non-Qualified
Summit Street Medical LLC	340 Main St Wallingford	Biotechnology	339112	No	31-May-18	CBIF	Equity	\$250,000.00	\$0	Yes	Non-Qualified
Summit Street Medical LLC	340 Main St Wallingford	Biotechnology	339112	No	29-Dec-17	CBIF	Equity	\$500,000.00	\$1,250,000	Yes	Non-Qualified
Summit Street Medical LLC	340 Main St Wallingford	Biotechnology	339112	No	03-Mar-17	PreSeed	Conv. Debt	\$37,500.00	\$18,750	Yes	Non-Qualified
SunnyCor Incorporated	60 Napco Drive, Terryville	Manufacturer	332700	Female	19-May-09	WORKA	Loan	\$250,000.00	\$420,000	No	Non-Qualified
Supreme-Lake Mfg., Inc.	455 Atwater Street, Plantsville	Manufacturer	332721	No	31-May-12	WORKA	Loan	\$750,000.00	\$6,140,000	Yes	Qualified
Sustainable Real Estate Solutions, Inc.	100 Technology Drive Trumbull	Clean Technology	561110	No	29-Jan-10	CT	Equity	\$500,000.00	\$2,000,000	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	100 Technology Drive Trumbull	Clean Technology	561110	No	08-Jul-16	ElIWhitney	Equity	\$500,000.00	\$2,075,000	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	100 Technology Drive Trumbull	Clean Technology	561110	No	10-Oct-13	ElIWhitney	Equity	\$14,500.00	\$0	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Sustainable Real Estate Solutions, Inc.	100 Technology Drive Trumbull	Clean Technology	561110	No	17-Jun-11	EI/Whitney	Equity	\$400,000.00	\$450,000	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	100 Technology Drive Trumbull	Clean Technology	561110	No	12-Nov-13	EI/Whitney	Equity	\$250,000.00	\$850,000	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	100 Technology Drive Trumbull	Clean Technology	561110	No	14-Aug-12	EI/Whitney	Equity	\$250,000.00	\$250,003	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	100 Technology Drive Trumbull	Clean Technology	561110	No	15-May-13	EI/Whitney	Equity	\$120,000.00	\$57,829	Yes	Non-Qualified
Tamera Green, LLC	17 Wapping Road, Broad Brook	Other	454112	Female	01-Aug-17	CAB	Guarantee	\$144,000.00	\$144,000	No	Non-Qualified
Tangen Biosciences LLC	780 E Main St, Ste 1 Branford	Biotechnology	339113	No	01-Mar-17	CBIF	Conv. Debt	\$50,000.00	\$0	Yes	Non-Qualified
Tangen Biosciences LLC	780 E Main St, Ste 1 Branford	Biotechnology	339113	No	14-Mar-19	CBIF	Equity	\$1,067,406.00	\$4,049,970	Yes	Non-Qualified
Tangen Biosciences LLC	780 E Main St, Ste 1 Branford	Biotechnology	339113	No	14-Dec-15	EI/Whitney	Equity	\$532,326.00	\$500,000	Yes	Non-Qualified
Tangen Biosciences LLC	780 E Main St, Ste 1 Branford	Biotechnology	339113	No	31-May-17	CBIF	Conv. Debt	\$50,000.00	\$0	Yes	Non-Qualified
Tangen Biosciences LLC	780 E Main St, Ste 1 Branford	Biotechnology	339113	No	06-Apr-17	CBIF	Conv. Debt	\$100,000.00	\$0	Yes	Non-Qualified
Tangen Biosciences LLC	780 E Main St, Ste 1 Branford	Biotechnology	339113	No	28-Nov-16	CBIF	Conv. Debt	\$200,000.00	\$150,000	Yes	Non-Qualified
Tangen Biosciences LLC	780 E Main St, Ste 1 Branford	Biotechnology	339113	No	06-Feb-14	PreSeed	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
Tantulus Systems, Inc.	140 Rowayton Ave, Unit 2D Norwalk	Clean Technology	334512	No	01-Dec-16	EI/Whitney	Equity	\$1,250,000.00	\$4,500,000	Yes	Non-Qualified
Tastus Natural	57 North Street, Ste 216 Danbury	Biotechnology	311942	No	24-Aug-19	EI/Whitney	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
Technical Industries, Inc.	Lot #12 - Dowd Avenue, Canton	Manufacturer	326121	Female	01-Oct-04	GROWTH-PAR	Loan	\$220,500.00	\$1,780,000	Yes	Non-Qualified
TerraGreen, LLC	351 Courland Ave, Stamford	Service	115112	No	24-Mar-16	CAB	Guarantee	\$30,000.00	\$70,000	No	Non-Qualified
Thayer/Mahan	120 Leonard Dr Groton	Information Technology	334511	No	06-Jun-19	VMD	Debt	\$1,680,000.00	\$190,000	Yes	Non-Qualified
The Evenson Agency	312 Silas Deane Highway, Wethersfield	Financial Services	524210	Female	27-Feb-18	CAB	Guarantee	\$90,000.00	\$90,000	No	Non-Qualified
The Evenson Agency	312 Silas Deane Highway, Wethersfield	Financial Services	524210	Female	07-Mar-18	CAB	Guarantee	\$25,000.00	\$25,000	No	Non-Qualified
The Melick Group	25 Hanford Lane, New Canaan	Wholesale Only	424990	No	20-Jun-16	CAB	Guarantee	\$125,000.00	\$125,000	No	Non-Qualified
The Office Work, Inc	45 Corporate Avenue, Plainville	Retail	453210	No	30-Jun-14	CAB	Guarantee	\$120,000.00	\$280,000	No	Non-Qualified
Thets Pharmaceuticals, LLC	23 Business Park Dr Branford	Biotechnology	325412	No	04-Aug-11	EI/Whitney	Equity	\$500,000.00	\$750,000	Yes	Non-Qualified
Thets Pharmaceuticals, LLC	23 Business Park Dr Branford	Biotechnology	325412	No	06-Aug-18	EI/Whitney	Conv. Debt	\$250,000.00	\$250,000	Yes	Non-Qualified
Thets Pharmaceuticals, LLC	23 Business Park Dr Branford	Biotechnology	325412	No	17-Jun-16	SSBCI	Equity	\$500,000.00	\$2,350,000	Yes	Non-Qualified
Thets Pharmaceuticals, LLC	23 Business Park Dr Branford	Biotechnology	325412	No	29-Jan-16	SSBCI	Equity	\$500,000.00	\$650,000	Yes	Non-Qualified
Thets Pharmaceuticals, LLC	23 Business Park Dr Branford	Biotechnology	325412	No	03-May-13	EI/Whitney	Equity	\$250,000.00	\$850,000	Yes	Non-Qualified
Thets Pharmaceuticals, LLC	23 Business Park Dr Branford	Biotechnology	325412	No	03-Dec-12	EI/Whitney	Equity	\$1,081,891.00	\$3,400,000	Yes	Non-Qualified
Thomas C. Bresch Sales	5 Ellsworth Blvd, Kensington	Retail	424690	No	22-Jun-10	CAB	Guarantee	\$3,000.00	\$7,000	No	Non-Qualified
Torigen Pharmaceuticals	400 Farmington Ave, R1855 CB129 Farmington	Biotechnology	541000	Yes	04-Jan-19	CBIF	Equity	\$1,084,300.00	\$1,800,000	Yes	Non-Qualified
Torigen Pharmaceuticals	400 Farmington Ave, R1855 CB129 Farmington	Biotechnology	541000	Yes	23-Mar-17	EI/Whitney	Conv. Debt	\$75,000.00	\$100,000	Yes	Non-Qualified
Torigen Pharmaceuticals	400 Farmington Ave, R1855 CB129 Farmington	Biotechnology	541000	Yes	20-Oct-17	EI/Whitney	Conv. Debt	\$75,000.00	\$100,000	Yes	Non-Qualified
Torigen Pharmaceuticals	400 Farmington Ave, R1855 CB129 Farmington	Biotechnology	541000	Yes	19-May-18	EI/Whitney	Conv. Debt	\$300,000.00	\$300,000	Yes	Non-Qualified
Trader Joe's East, Inc.	800 S. Shamrock Avenue, Monrovia	Retail	445110	No	24-Aug-17	Sales & Use	Tax Exemption	\$2,500,000.00	\$0	Yes	Non-Qualified
Transaction Methods, Inc.	100 Roscommon Dr, Ste 100 Middletown	Information Technology	518210	Yes	01-Jul-01	EI/Whitney	Debt	\$600,000.00	\$0	Yes	Non-Qualified
Trebel	470 James St New Haven	Information Technology	511210	No	28-Nov-17	EI/Whitney	Equity	\$999,998.00	\$6,000,002	Yes	Non-Qualified
Trebel	470 James St New Haven	Information Technology	511210	No	24-May-19	EI/Whitney	Conv. Debt	\$714,885.00	\$2,585,115	Yes	Non-Qualified
Tristar Alliance, LLC	339 New London Turnpike, Glastonbury	Retail	445310	Male	02-Mar-17	CAB	Guarantee	\$75,000.00	\$75,000	No	Non-Qualified
TruOptik Data Corp	422 Summer St Stamford	Information Technology	518210	No	27-Mar-15	EI/Whitney	Conv. Debt	\$100,000.00	\$50,000	Yes	Non-Qualified
TruOptik Data Corp	422 Summer St Stamford	Information Technology	518210	No	28-Feb-19	EI/Whitney	Equity	\$1,145,609.00	\$7,000,000	Yes	Non-Qualified
TruOptik Data Corp	422 Summer St Stamford	Information Technology	518210	No	10-May-16	EI/Whitney	Conv. Debt	\$363,800.00	\$0	Yes	Non-Qualified
TruOptik Data Corp	422 Summer St Stamford	Information Technology	518210	No	14-Nov-16	EI/Whitney	Conv. Debt	\$200,000.00	\$1,100,000	Yes	Non-Qualified
TruOptik Data Corp	422 Summer St Stamford	Information Technology	518210	No	27-Feb-14	PreSeed	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
TruOptik Data Corp	422 Summer St Stamford	Information Technology	518210	No	05-Nov-14	EI/Whitney	Conv. Debt	\$111,925.00	\$50,000	Yes	Non-Qualified
Tullis Food Group, LLC	259 Preston Road, Jewitt City	Other	722511	No	02-Mar-19	CAB	Guarantee	\$100,000.00	\$0	No	Non-Qualified
Turbine Technologies, Inc.	126 Hyde Road, Farmington	Manufacturer	332900	No	27-Dec-17	WORKA	Loan	\$250,000.00	\$0	Yes	Non-Qualified
Turbine Technologies, Inc.	126 Hyde Road, Farmington	Manufacturer	332900	No	30-Jun-16	WORKA	Loan	\$500,000.00	\$0	Yes	Non-Qualified
Turbine Technologies, Inc.	126 Hyde Road, Farmington	Manufacturer	332900	No	27-Dec-17	WORKA	Loan	\$125,000.00	\$0	Yes	Non-Qualified
Two Roads Brewing Company LLC	1700 Stratford Avenue, Stratford	Manufacturer	312120	No	02-Aug-12	WORKA	Loan	\$500,000.00	\$3,100,000	Yes	Non-Qualified
Two Roads Brewing Company LLC	1700 Stratford Avenue, Stratford	Manufacturer	312120	No	25-Sep-12	WORKA	Loan	\$500,000.00	\$0	Yes	Non-Qualified
UniMetal Surface Finishing, LLC	135 S. Main Street, Thomaston	Manufacturer	332813	No	30-Sep-13	WORKA	Loan	\$500,000.00	\$0	Yes	Non-Qualified
Unisoft Medical Corporation	65 New Litchfield St Torrington	Biotechnology	339111	Yes	23-May-16	EI/Whitney	Conv. Debt	\$1,000,000.00	\$1,300,000	Yes	Non-Qualified
University of Hartford	200 Bloomfield Avenue, Hartford	Higher Education	611310	No	09-Nov-07	BROWN-TIF	Loan	\$150,000.00	\$10,000,000	No	Non-Qualified
Valley Press Publishing, Inc	540 Hopmeadow Street, Simsbury	Other	511120	No	18-Jan-18	CAB	Guarantee	\$25,000.00	\$25,000	No	Non-Qualified
Vesselon, Inc.	101 Merritt 7, Ste 300 Norwalk	Biotechnology	325412	Yes	06-Jun-16	EI/Whitney	Conv. Debt	\$75,000.00	\$150,000	Yes	Non-Qualified
Vision Electric, Inc	1400 E. Main Street, Torrington	Commercial	235311	No	26-Oct-16	EI/Whitney	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Vivara	1 Bradley Rd, Ste 401 Woodbridge	Biotechnology	541620	No	19-Dec-12	CAB	Guarantee	\$41,753.00	\$97,422	No	Non-Qualified
					08-Aug-18	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified

* Leverage amounts shown on first transaction line

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Company	Address	Nature of Business	NAICS Code or SIC Code	Minority/ Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirements	High Performance
Vistara	1 Bradley Rd, Ste 401 Woodbridge	Biotechnology	541620	No	02-May-19	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Vizium360	20 Tower Lane, Ste 500 Avon	Information Technology	541511	Yes	25-Feb-19	PreSeed	Conv. Debt	\$150,000.00	\$75,000	Yes	Non-Qualified
Voicett	290 Harbor Dr Stamford	Biotechnology	512100	Yes	25-Feb-19	CBIF	Equity	\$5,000,000.00	\$5,750,000	Yes	Non-Qualified
Vouchr	88 Homewood Ave Kitchener	Information Technology	541154	Yes	09-May-19	EliWhitney	Equity	\$150,000.00	\$0	Yes	Non-Qualified
VR Health USA Inc.	88 Homewood Ave Kitchener	Information Technology	541154	Yes	01-Mar-18	EliWhitney	Equity	\$650,000.00	\$1,800,000	Yes	Non-Qualified
Walgreen Eastern Co., Inc.	76 Summer St., Boston	Other	621340	No	26-Nov-18	WORKA	Loan	\$250,000.00	\$0	No	Non-Qualified
Wave Systems	5000 Forbes Road, Dayville	Retail	5912	No	19-Nov-18	Sales & Use	Tax Exemption	\$350,000.00	\$0	Yes	Non-Qualified
Wave Systems	408 Riverside Ave Westport	Information Technology	334511	No	15-Nov-18	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Wave Systems	408 Riverside Ave Westport	Information Technology	334511	No	26-Mar-19	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
WCP Properties, LLC	784 Farmington Avenue, West Hartford	Service	621420	No	04-Jan-19	WORKA	Loan	\$150,000.00	\$0	Yes	Non-Qualified
We Care Computers, LLC	2437 Albany Avenue Suite 101A, West Hartford	Service	811212	No	14-Mar-12	CAB	Guarantee	\$10,500.00	\$24,500	No	Non-Qualified
Weiden Hardware, LLC	280 Spelman Highway, Burlington	Retail	44413	No	09-Jul-12	CAB	Guarantee	\$37,500.00	\$87,500	No	Non-Qualified
Wellinks Inc.	770 Chapel St, Suite 2D New Haven	Biotechnology	541330	Yes	27-Jan-16	PreSeed	Conv. Debt	\$75,000.00	\$37,500	Yes	Non-Qualified
Wellinks Inc.	770 Chapel St, Suite 2D New Haven	Biotechnology	541330	Yes	16-Oct-15	PreSeed	Conv. Debt	\$75,000.00	\$175,000	Yes	Non-Qualified
West Hartford Landscaping, Inc.	770 Chapel St, Suite 2D New Haven	Biotechnology	541330	Yes	12-Oct-16	CBIF	Conv. Debt	\$500,000.00	\$500,000	Yes	Non-Qualified
Winnick Realty, LLC	705 Oakwood Avenue, West Hartford	Service	561730	No	30-Nov-17	CAB	Guarantee	\$15,000.00	\$15,000	No	Non-Qualified
World Fulfillment, LLC	624 Norwich Road, Plainfield	Retail	451120	No	21-Mar-14	CAB	Guarantee	\$67,500.00	\$157,500	No	Non-Qualified
Zorus	7 Johnson Ave, Plainville	Distribution/Whsing	488991	Female	20-Jun-16	CAB	Guarantee	\$150,000.00	\$200,000	No	Non-Qualified
Zorus	887 Main St Monroe	Information Technology	511210	No	20-Dec-18	EliWhitney	Conv. Debt	\$75,000.00	\$265,000	Yes	Non-Qualified
Zorus	887 Main St Monroe	Information Technology	511210	No	20-Jun-19	EliWhitney	Conv. Debt	\$75,000.00	\$265,000	Yes	Non-Qualified

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Section 2

**Connecticut Innovations
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Company Name	Jobs at Application	Jobs to be created at application	Actual jobs Retained	Actual jobs Created	Current Jobs at 6/30/19	Jobs to be Created vs. Actual Jobs Created	Jobs at Applications vs. Actual Jobs Retained
110 Buckland Hills, LLC	0	13	0	38	38	25	0
1Touch	0	15	0	3	3	(12)	0
A.K. Boncek, LLC	2	0	2	0	0	0	0
Aberdeen (fka The Big Willow)	6	0	6	7	13	7	0
Accelerando	2	18	2	0	2	(18)	0
Achillion Pharmaceuticals	1	49	1	55	56	6	0
Actualmeds Corporation	0	0	0	4	4	4	0
Adriaen's Landing Hotel	1	259	1	183	184	(76)	0
Advanced Vein Associates	0	6	0	3	3	(3)	0
Aeromics, Inc.	0	13	0	2	2	(11)	0
Affinimark Technologies, Inc.	1	5	0	0	0	(5)	(1)
All Naural Pet Foods Store, LLC	2	0	0	0	0	0	(2)
Allomek Therapeutics LLC	1	0	0	0	0	0	(1)
Allyx	0	5	0	1	1	(4)	0
Amazon.com.DEDC, LLC	876	1,830	876	713	1,589	(1,117)	0
Anugrah, LLC	1	1	1	2	3	1	0
Aperture Optical Sciences, Inc.	5	9	5	17	22	8	0
APT Foundation, Inc.	228	0	228	69	297	69	0
Arcanatura LLC	1	0	0	1	1	1	(1)
Arcos Golf LLC	22	38	18	0	18	(38)	(4)
Arvinas	0	20	0	93	93	73	0
Asarasi, Inc.	0	0	0	1	1	1	0
Aureus	0	0	0	0	0	0	0
Aureus Tech, Inc.	1	7	1	0	1	(7)	0
Aventri	13	53	13	85	98	32	0
Avitus Orthopaedics, Inc.	0	0	0	7	7	7	0
Avon Village Family Dentistry PC	11	3	11	0	11	(3)	0
Azitra, Inc.	0	6	0	8	8	2	0
Bactana Corp	0	10	0	4	4	(6)	0
Bagel Stop Production Co. (The)	8	4	8	6	14	2	0
Bauer, Inc.	40	0	40	50	90	50	0
Better Air North America LLC	1	0	1	0	1	0	0
Bioarray	0	0	0	1	1	1	0
BioHaven Pharmaceuticals	8	15	8	65	73	50	0
BiologicsMD	1	10	0	0	0	(10)	(1)
Biorez Inc.	0	17	0	7	7	(11)	0
BioWave Corporation	13	19	13	11	24	(9)	0
Bob's Discount Furniture, Inc.	263	80	263	865	1,128	785	0
Bonde Innovations	1	5	1	0	1	(5)	0
Boundless Inc.	0	0	0	0	1	1	0
Bow's and Bandana's Grooming Salon, LLC	5	0	5	0	5	0	0

* This category includes Development Companies, Holding Companies or Partnerships where the jobs associated with the project are not held by said entity.

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Company Name	Jobs at Application	Jobs to be created at application	Actual jobs Retained	Actual jobs Created	Current Jobs at 6/30/19	Jobs to be Created vs. Actual Jobs Created	Jobs at Applications vs. Actual Jobs Retained
Branson Ultrasonics Corporation	220	0	206	0	206	0	(14)
Brass City / J Thruston Fuel, LLC	7	2	6	0	6	(2)	(1)
Bridgewater Associates LP	1,402	750	1,402	204	1,606	(564)	0
Brownstone Exploration & Discovery Park, LLC	101	75	96	0	96	(75)	(5)
Budderfly, Inc.	40	105	40	1	41	(104)	0
Butler & Butler, Inc	3	0	3	2	5	2	0
C8 Sciences	4	0	2	0	2	0	(2)
Cadenza Innovation, Inc.	6	14	6	24	30	10	0
Cara Therapeutics	35	72	35	20	55	(52)	0
Careall, LLC	45	0	45	0	45	0	0
CaroGen Corporation	0	0	0	6	6	6	0
CavtheRx	0	0	0	0	0	0	0
CBS Manufacturing Company	35	14	34	0	34	(14)	(1)
Change, Inc	200	55	200	1	201	(54)	0
Charter Communications Holding Company, LLC	664	1,100	664	852	1,516	(248)	0
Chiefstaff.com, LLC	3	3	3	2	5	(1)	0
Christie's Quilting Boutique	0	0	0	0	1	1	0
CIDra Holdings, LLC	4	249	4	115	119	(135)	0
Clickers, LLC	1	0	1	0	1	0	0
CMW Tax Service, LLC	3	0	1	0	1	0	(2)
Compassion N Care LLC	0	7	0	15	15	8	0
Connecticut Center for the Performing Arts	6	0	6	210	216	210	0
Connecticut Mulch Distributors, Inc.	14	28	14	30	44	2	0
Connecticut Packaging Materials, Inc.	17	4	17	1	18	(3)	0
Connecticut Physical Therapy Specialists, LLC	0	1	0	4	4	3	0
Connecticut Plywood Corp.	37	2	28	0	28	(2)	(9)
Connecticut Solid Surface, LLC	23	2	23	7	30	5	0
Continuity Engine, Inc.	3	68	3	5	8	(63)	0
Convexity Scientific, LLC	0	10	0	5	5	(5)	0
Cook and The Bear, LLC	0	34	0	26	26	(8)	0
Coolt Systems USA Inc	0	4	0	0	0	(4)	0
CopyLeaks Technologies, Ltd.	0	2	0	1	1	(1)	0
Craft Bakeshop, llc dba Sift Real Estate, LLC	29	45	29	20	49	(25)	0
CrowdFlik, Inc.	0	0	0	1	1	1	0
Curacity	0	15	0	2	2	(13)	0
Cybrexa, Inc.	5	15	5	13	18	(2)	0
Cytosolix	0	20	0	0	0	(20)	0
CytoVeris	5	7	5	4	9	(3)	0
Daticon, Inc.	150	100	0	0	0	(100)	(150)
Davra Networks	0	0	0	1	1	1	0
Dayville Property Development, LLC	*	*	*	*	*	*	*

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**Connecticut Innovations
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Employment Information FYE 6/30/19**

Company Name	Jobs at Application	Jobs to be created at application	Actual jobs Retained	Actual jobs Created	Current Jobs at 6/30/19	Jobs to be Created vs. Actual Jobs Created	Jobs at Applications vs. Actual Jobs Retained
dB Diagnostic Systems, Inc.	0	3	0	0	0	(3)	0
Dermatologic Cosmetic Laboratories LTD.	46	0	26	0	26	0	(20)
Devon Woodhill, LLC	1	0	1	0	1	0	0
DIA Imaging Analysis	0	6	0	1	1	(5)	0
Diameter Health, Inc.	1	10	1	20	21	10	0
Direct Color Systems	40	8	40	11	51	3	0
DreamPayments	0	20	0	0	0	(20)	0
Edge2Web	0	30	0	0	0	(30)	0
Electric Boat Corp.	8,280	0	8,280	3,291	11,571	3,291	0
Elidah, Inc.	4	0	4	1	5	1	0
Engage121, Inc.	4	47	4	14	18	(34)	0
Enviro Power, LLC	2	3	2	5	7	2	0
Environmental Energy Services	14	45	14	0	14	(45)	0
Epicure, Inc.	0	1	0	0	0	(1)	0
EpiEp, Inc.	5	16	0	0	0	(16)	(5)
Epitomize	3	16	2	0	2	(16)	(2)
EZPack Inc.	0	2	0	0	0	(2)	0
Factset Research Systems, Inc.	356	180	356	597	953	417	0
Fall Call Solutions	2	14	0	0	0	(14)	(2)
Fat Orange Cat Brew Co., LLC	2	5	2	6	8	1	0
FedEx Ground Packaging System, Inc.	649	308	649	824	1,473	516	0
Fitscript, LLC	0	10	0	18	18	8	0
Flexi-International Software, Inc.	44	36	11	0	11	(36)	(33)
Foresite	8	5	8	5	13	0	0
Freshbev LLC	50	250	50	0	50	(250)	0
FreshNation	11	50	11	6	17	(45)	0
frevo, Inc.	8	26	8	6	14	(21)	0
GemShelf, Inc.	2	3	2	0	2	(3)	0
Giggles & Grins Child Care Center, LLC	0	4	0	7	7	3	0
Gilman Brothers Co.	25	0	25	78	103	78	0
Globekeeper Inc.	0	2	0	1	1	(1)	0
GoNation	11	22	9	0	9	(22)	(3)
Goodwin College	159	0	159	291	450	291	0
Greater Hartford Physical Therapy, PC	21	1	21	1	22	0	0
Greenworks Lending	12	9	12	8	20	(2)	0
GSPG Holdings, LLC	4	0	3	0	3	0	(1)
Gynion	1	1	1	2	2	1	(1)
Halda Therapeutics	0	29	0	12	12	(18)	0
Hardy Press, Inc. (The)	75	0	43	0	43	0	(32)
HBN Front Street District, Inc.	*	*	*	*	*	*	*
Henkel of America, Inc	678	266	678	292	970	26	0

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Company Name	Jobs at Application	Jobs to be created at application	Actual jobs Retained	Actual jobs Created	Current Jobs at 6/30/19	Jobs to be Created vs. Actual Jobs Created	Jobs at Applications vs. Actual Jobs Retained
Heritage Auto Works, LLC	1	5	1	0	1	(5)	0
Hudson Baylor, Corp.	60	35	60	30	90	(5)	0
Hy LaBonne and Sons, Inc.	305	0	186	0	186	0	(119)
InBox Health Corporation	2	0	2	16	18	16	0
Industrial Rigging, Inc.	38	0	31	0	31	0	(7)
InMedia Digital Mobile Solutions	1	0	0	0	0	0	(1)
Insalveo Inc.	0	2	0	0	1	(1)	0
Intelligent Clearing Networks	5	45	2	0	2	(45)	(3)
International Package Store	1	0	0	0	0	0	(1)
Invoxium Access, Inc.	0	0	0	0	0	0	0
IQ Telcom, LLC	11	0	11	2	13	2	0
Iridia, Inc.	0	6	0	0	0	(6)	0
Isoplexis, Inc.	5	20	5	57	62	37	0
JC Franchising Group LLC	2	0	2	2	2	0	0
Joining Technologies, Inc	62	4	62	16	78	12	0
Kinaptic LLC	2	13	1	0	1	(13)	(1)
Kleo Pharmaceuticals, Inc.	2	38	2	15	17	(23)	0
KR Restautant d/b/a The Old Fashion, LLC	14	18	11	0	11	(18)	(3)
Krouzon Pharmaceuticals	0	0	0	0	0	0	0
Kwamb.ID, Inc.	0	5	0	0	0	(5)	0
Lambda Vision Incorporated	2	0	2	1	3	1	0
Landsdowne Labs	0	0	0	5	5	5	0
Lanstatus, Inc	22	0	16	0	16	0	(6)
LBI, Inc.	8	21	8	12	20	(9)	0
Lee Company (The)	767	80	767	304	1,071	224	0
Legion Square Associates, LLC	*	*	*	*	*	*	*
LensesOnly, LLC	5	0	2	0	2	0	(3)
LifeStyleCX	0	7	0	5	5	(2)	0
LindaCare, Inc.	0	10	0	2	2	(8)	0
LogicBroker	12	50	12	7	19	(43)	0
LRI LLC	19	0	16	0	16	0	(3)
Lymbr	11	19	10	0	10	(19)	(1)
Matthew's Bakery	6	2	6	0	6	(2)	0
MediaCrossing	0	0	0	20	20	20	0
Medically Home Group, Inc.	3	13	2	0	2	(13)	(1)
Medtel, Inc.	3	1	0	0	0	(1)	(3)
Mental Canvas	2	11	2	2	4	(9)	0
MIFCOR, Inc.	0	7	0	0	0	(7)	0
Millennium Builders, Inc.	17	0	13	0	13	0	(4)
Mininvasive	0	0	0	1	1	1	0
Mitotherapeutix, LLC	0	0	0	4	4	4	0

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Company Name	Jobs at Application	Jobs to be created at application	Actual jobs Retained	Actual jobs Created	Current Jobs at 6/30/19	Jobs to be Created vs. Actual Jobs Created	Jobs at Applications vs. Actual Jobs Retained
mk North America, Inc.	31	18	31	35	66	17	0
Modern tire WH, LLC	6	0	6	0	6	0	0
Moffly Publications	34	6	27	0	27	(6)	(7)
Moran 4, Inc.	4	3	4	0	4	(3)	0
Mountain Laurel Enterprises, Inc	9	2	9	1	10	(1)	0
My Gene Counsel, LLC	0	4	0	4	4	0	0
Mystic Marinellife Aquarium	121	50	121	126	247	76	0
Mystic River Residential Care, Inc.	12	0	12	4	16	4	0
Nason Partners, LLC	34	7	28	0	28	(7)	(6)
New England Seating Company LLC	34	0	23	0	23	0	(11)
Newland, LLC	2	2	2	8	10	6	0
Next Generation Properties, LLC	4	0	4	0	4	0	0
Niktor, LLC	20	0	20	0	20	0	0
Norpaco, Inc.	15	5	15	80	95	75	0
North Haven Commons Development, LP	*	*	*	*	*	*	*
Northpage Inc.	2	19	2	19	21	0	0
Nuovo Pasta, LLC	86	27	86	52	138	25	0
Obsidian Advanced Manufacturing LLC	7	15	5	0	5	(15)	(3)
OD Realty	94	5	94	16	110	11	0
Odin Text, LLC	2	54	2	2	4	(53)	0
Oil Purification Systems	9	38	6	0	6	(38)	(4)
Olympic Taekwondo Academy, Inc	7	3	0	0	0	(3)	(7)
OmniCyte	0	15	0	1	1	(14)	0
On Deck, LLC	2	0	0	0	0	0	(2)
OncoSynergy, Inc.	0	18	0	6	6	(12)	0
OneWayLimo.com, Inc.	5	8	5	8	13	0	0
Onsert Media Group	3	5	0	0	0	(5)	(3)
Orthozon Technologies, LLC	0	0	0	1	1	1	0
Oxitone Medical	0	2	0	0	1	(1)	0
P & P Auto Repair	2	0	2	0	2	0	0
P2 Science, Inc.	4	6	4	9	13	3	0
Pastanch, LLC	25	7	24	0	24	(7)	(1)
Pa-Ted Spring Company, LLC	74	0	24	0	24	0	(50)
Patient Wisdom	8	39	8	4	12	(36)	0
Pattern Genomics	0	0	0	0	0	0	0
Payveris LLC	7	34	7	9	16	(25)	0
PDC International Corp.	55	2	54	0	54	(2)	(1)
Pentation Analytics Inc.	0	2	0	0	1	(1)	0
Phlatbed	1	34	1	2	3	(33)	0
Phoenix Products Company	36	2	36	0	36	(2)	0
Pith Products, LLC	13	2	12	0	12	(2)	(1)

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Company Name	Jobs at Application	Jobs to be created at application	Actual jobs Retained	Actual jobs Created	Current Jobs at 6/30/19	Jobs to be Created vs. Actual Jobs Created	Jobs at Applications vs. Actual Jobs Retained
Point Pick Up Technologies, Inc	1	16	1	5	6	(11)	0
Polamer Realty, LLC	72	50	72	119	191	69	0
Porcelen Ltd. CT, LLC	68	100	60	0	60	(100)	(8)
Post N Track	6	30	6	34	40	4	0
Praxair, Inc.	532	0	485	0	485	0	(47)
Precipio Diagnostics	9	13	9	33	42	20	0
Project First	0	30	0	2	2	(28)	0
Project Ray, LLC	0	4	0	5	5	1	0
ProTech Services, LLC nka Proguard, LLC	13	3	10	0	10	(3)	(3)
QCDX	0	56	0	0	0	(56)	0
QENB LLC	7	0	7	0	7	0	0
Quadjobs	6	1	3	0	3	(1)	(4)
Queralt, Inc.	8	0	0	0	0	0	(8)
Quigler, Inc.	2	6	1	0	1	(6)	(2)
Quinnipiac Endontics, LLC (jobs under QENB, LLC)	NA	NA	NA	NA	NA	NA	NA
R & D Dynamics Corporation	47	60	47	15	62	(45)	0
R4 Technologies, LLC	43	169	43	14	57	(156)	0
Rallybio	0	7	0	8	8	1	0
Rapid Oxygen Company	4	31	4	1	5	(30)	0
Raymours Furniture Company, Inc	457	40	457	46	503	6	0
ReadyDock, Inc.	0	0	0	3	3	3	0
RenetX Bio	3	17	3	3	6	(14)	0
RevMedica	2	10	2	0	2	(10)	0
RFJ Productions LLC	0	3	0	0	0	(3)	0
Richard, Inc.	1	1	1	0	1	(1)	0
Richards Machine Tool Co., Inc.	12	0	12	0	12	0	0
Richland, LLC	1	0	1	0	1	0	0
Ruckus Media Group, Inc.	1	0	1	1	2	1	0
Salt & Pepper, LLC	9	0	5	0	5	0	(4)
Science Park Development Corp.	*	*	*	*	*	*	*
Sea Greens Farms	3	197	0	0	0	(197)	(3)
SecBl	0	9	0	2	2	(7)	0
SeeClickFix	20	62	20	13	33	(49)	0
Sensible Solutions CT Health Insurance LLC	1	0	1	0	1	0	0
Shoplandia, Inc.	4	0	2	0	2	0	(3)
Shoreline Biome	0	5	0	8	8	3	0
Shree Maruti, LLC	1	0	1	1	2	1	0
Sight, LLC	10	1	10	0	10	(1)	0
Simcha Therapeutics Holding Company	0	7	0	0	0	(7)	0
Sirona Medical Technologies Inc.	0	4	0	1	1	(3)	0
Slooh	1	9	1	5	6	(4)	0

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Company Name	Jobs at Application	Jobs to be created at application	Actual jobs Retained	Actual jobs Created	Current Jobs at 6/30/19	Jobs to be Created vs. Actual Jobs Created	Jobs at Applications vs. Actual Jobs Retained
Smartequip, Inc.	4	210	4	44	48	(166)	0
Smartpay NewCo	0	0	0	0	0	0	0
Source Defense	0	6	0	1	1	(5)	0
Specialty Printing	133	10	133	35	168	25	0
Sponsorcraft Limited (Hubbub)	0	30	0	0	0	(30)	0
Stone Fabricators, LLC	32	10	32	64	96	54	0
Studio 3 Salon	1	0	1	0	1	0	0
Summit Street Medical LLC	0	0	0	1	1	1	0
SunnyCor Incorporated	10	3	10	10	20	7	0
Supreme-Lake Mfg., Inc.	130	0	82	0	82	0	(48)
Sustainable Real Estate Solutions, Inc.	1	31	1	14	15	(18)	0
Tamera Green, LLC	0	0	0	0	0	0	0
Tangen Biosciences LLC	0	0	0	18	18	18	0
Tantalus Systems, Inc.	1	9	1	3	4	(6)	0
Tastes Natural	0	0	0	3	3	3	0
Technical Industries, Inc.	7	6	7	15	22	9	0
TerraGreen, LLC	12	3	12	8	20	5	0
ThayerMahan	5	25	5	13	18	(12)	0
The Evenson Agency	2	0	2	0	2	0	0
The Mellick Group	2	2	2	0	2	(2)	0
The Office Work, Inc	21	6	21	1	22	(5)	0
Thetis Pharmaceuticals, LLC	1	4	1	4	5	0	0
Thomas C. Bresch Sales	1	0	1	0	1	0	0
Torigen Pharmaceuticals	0	8	0	8	8	(1)	0
Trader Joe's East Inc.	552	675	552	399	951	(276)	0
Transaction Methods, Inc.	3	144	1	0	1	(144)	(2)
Trebel	0	0	0	6	6	6	0
Tristar Alliance, LLC	1	1	1	2	3	1	0
Tru Optik Data Corp	0	0	0	27	27	27	0
Tullis Food Group, LLC	0	20	0	11	11	(9)	0
Turbine Technologies, Inc.	70	6	70	4	74	(2)	0
Two Roads Brewing Company LLC	0	45	0	150	150	105	0
UniMetal Surface Finishing, LLC	118	8	118	13	131	5	0
Unisoft Medical Corporation	0	14	0	8	8	(6)	0
University of Hartford	979	0	979	305	1,284	305	0
Valley Press Publishing, Inc	20	0	20	0	20	0	0
Vesselon, Inc.	2	5	2	0	2	(5)	0
Vision Electric, Inc	17	0	9	0	9	0	(8)
Vistara	1	19	1	0	1	(19)	(1)
Vizium360	4	16	4	1	5	(15)	0
Voiceitt	0	0	0	2	2	2	0

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Company Name	Jobs at Application	Jobs to be created at application	Actual jobs Retained	Actual jobs Created	Current Jobs at 6/30/19	Jobs to be Created vs. Actual Jobs Created	Jobs at Applications vs. Actual Jobs Retained
Vouchr	0	17	0	0	0	(17)	0
VR Health USA Inc.	0	1	0	0	0	(1)	0
Walgreen Eastern Co., Inc.	0	550	0	275	275	(275)	0
Wave Systems	3	24	0	0	0	(24)	(3)
WCP Properties, LLC	3	1	3	0	3	(1)	0
We Care Computers, LLC	4	1	4	2	6	1	0
Weiden Hardware, LLC	5	7	4	0	4	(7)	(1)
Wellinks Inc.	4	1	1	0	1	(1)	(3)
West Hartford Landscaping, Inc.	15	0	15	0	15	0	0
Winnick Realty, LLC	1	0	1	0	1	0	0
World Fulfilment, LLC	27	5	8	0	8	(5)	(19)
Zorus	7	43	7	1	8	(42)	0

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Section 3

Connecticut Innovations
Section 3
Gross Revenue Information FYE 6/30/19

Company Name	Revenue
Company 1	0
Company 2	0
Company 3	0
Company 4	0
Company 5	0
Company 6	0
Company 7	0
Company 8	0
Company 9	0
Company 10	0
Company 11	0
Company 12	0
Company 13	0
Company 14	0
Company 15	0
Company 16	0
Company 17	0
Company 18	0
Company 19	0
Company 20	0
Company 21	0
Company 22	0
Company 23	0
Company 24	0
Company 25	0
Company 26	0
Company 27	0
Company 28	0
Company 29	0
Company 30	0
Company 31	0
Company 32	0
Company 33	0
Company 34	0
Company 35	0
Company 36	0
Company 37	0
Company 38	0
Company 39	0
Company 40	0
Company 41	0
Company 42	0
Company 43	0
Company 44	0
Company 45	0
Company 46	0
Company 47	0
Company 48	0
Company 49	0
Company 50	0
Company 51	0
Company 52	0
Company 53	0
Company 54	0

Connecticut Innovations
Section 3
Gross Revenue Information FYE 6/30/19

Company Name	Revenue
Company 55	0
Company 56	0
Company 57	0
Company 58	0
Company 59	0
Company 60	0
Company 61	0
Company 62	0
Company 63	0
Achillion Pharmaceuticals	0
BioHaven Pharmaceuticals	0
Company 64	150
Company 65	270
Company 66	531
Company 67	700
Company 68	850
Company 69	1,200
Company 70	2,888
Company 71	4,842
Company 72	5,000
Company 73	5,200
Company 74	6,000
Company 75	6,744
Company 76	10,000
Company 77	11,316
Company 78	13,413
Company 79	26,145
Company 80	32,400
Company 81	33,985
Company 82	34,467
Company 83	36,000
Company 84	37,000
Company 85	38,400
Company 86	40,000
Company 87	60,000
Company 88	64,000
Company 89	65,000
Company 90	65,000
Company 91	67,000
Company 92	75,956
Company 93	90,000
Company 94	92,216
Company 95	93,245
Company 96	93,875
Company 97	100,000
Company 98	101,058
Company 99	120,000
Company 100	125,000
Company 101	131,000
Company 102	134,000
Company 103	135,500
Company 104	137,000
Company 105	160,000
Company 106	161,900

Connecticut Innovations
Section 3
Gross Revenue Information FYE 6/30/19

Company Name	Revenue
Company 107	163,000
Company 108	177,000
Company 109	186,690
Company 110	203,000
Company 111	205,000
Company 112	212,085
Company 113	213,145
Company 114	220,557
Company 115	250,000
Company 116	250,000
Company 117	280,000
Company 118	297,600
Company 119	300,400
Company 120	305,000
Company 121	308,301
Company 122	308,793
Company 123	310,804
Company 124	315,818
Company 125	327,755
Company 126	333,645
Company 127	335,481
Company 128	360,000
Company 129	376,000
Company 130	379,913
Company 131	387,884
Company 132	388,552
Company 133	390,830
Company 134	395,064
Company 135	400,000
Company 136	400,000
Company 137	440,341
Company 138	440,938
Company 139	445,750
Company 140	446,144
Company 141	475,385
Company 142	497,637
Company 143	500,000
Company 144	500,000
Company 145	536,838
Company 146	560,514
Company 147	565,816
Company 148	587,000
Company 149	594,926
Company 150	609,394
Company 151	622,777
Company 152	643,492
Company 153	645,915
Company 154	650,000
Company 155	662,677
Company 156	775,000
Company 157	776,000
Company 158	787,937
Company 159	792,590
Company 160	802,841

Connecticut Innovations
Section 3
Gross Revenue Information FYE 6/30/19

Company Name	Revenue
Company 161	850,000
Company 162	900,000
Company 163	933,800
Company 164	940,000
Company 165	1,000,000
Company 166	1,033,286
Company 167	1,128,674
Company 168	1,150,000
Company 169	1,176,336
Company 170	1,201,479
Company 171	1,357,295
Company 172	1,357,655
Company 173	1,371,000
Company 174	1,400,000
Company 175	1,410,403
Company 176	1,453,620
Company 177	1,463,620
Company 178	1,500,000
Company 179	1,517,883
Company 180	1,600,000
Company 181	1,627,000
Company 182	1,687,375
Company 183	1,696,069
Company 184	1,700,000
Company 185	1,734,807
Company 186	1,800,000
Company 187	1,808,333
Company 188	1,891,055
Company 189	1,929,735
Company 190	1,944,879
Company 191	1,973,482
Company 192	2,132,515
Company 193	2,169,000
Company 194	2,221,609
Company 195	2,319,734
Company 196	2,380,821
Company 197	2,400,000
Company 198	2,439,404
Company 199	2,450,645
Company 200	2,850,935
Company 201	2,864,000
Company 202	2,868,801
Company 203	2,872,000
Company 204	2,995,000
Company 205	3,002,210
Company 206	3,198,000
Company 207	3,225,555
Company 208	3,227,072
Company 209	3,303,066
Company 210	3,394,851
Company 211	3,500,000
Company 212	3,571,441
Company 213	3,597,257
Company 214	3,978,724

Connecticut Innovations
Section 3
Gross Revenue Information FYE 6/30/19

Company Name	Revenue
Company 215	4,021,534
Company 216	4,200,000
Company 217	4,500,000
Company 218	4,759,000
Company 219	5,100,000
Company 220	5,177,338
Company 221	5,282,000
Company 222	5,300,000
Company 223	5,336,358
Company 224	5,381,189
Company 225	5,400,000
Company 226	5,510,123
Company 227	5,555,100
Company 228	5,559,000
Company 229	5,564,000
Company 230	5,600,000
Company 231	5,733,029
Company 232	5,800,000
Company 233	5,858,000
Company 234	6,242,000
Company 235	6,317,000
Company 236	6,428,349
Company 237	6,457,211
Company 238	7,300,000
Company 239	7,308,351
Company 240	7,630,000
Company 241	8,776,130
Company 242	8,900,000
Company 243	9,930,086
Company 244	10,500,000
Company 245	11,000,000
Company 246	11,326,268
Company 247	11,499,475
Company 248	11,626,112
Company 249	12,000,000
Company 250	12,562,369
Company 251	13,008,231
Company 252	13,034,925
Company 253	13,106,984
Cara Therapeutics	13,469,000
Company 254	14,215,177
Arvinas	14,324,000
Company 255	14,649,255
Company 256	16,000,000
Company 257	16,545,446
Company 258	17,343,940
Company 259	17,447,168
Company 260	17,545,587
Company 261	17,979,188
Company 262	17,996,000
Company 263	18,000,000
Company 264	18,400,000
Company 265	20,600,000
Company 266	24,271,120

Connecticut Innovations
Section 3
Gross Revenue Information FYE 6/30/19

Company Name	Revenue
Company 267	24,897,000
Company 268	27,011,388
Company 269	29,560,000
Company 270	30,232,464
Company 271	32,552,236
Company 272	33,811,000
Company 273	36,551,849
Company 274	36,686,179
Company 275	39,297,284
Company 276	40,400,000
Company 277	41,000,000
Company 278	52,706,435
Company 279	82,057,000
Company 280	170,400,000
Company 281	273,230,607
Company 282	351,152,814
Company 283	1,127,092,000
Company 284	1,400,000,000
Company 285	1,458,171,195
Company 286	2,200,000,000
Company 287	6,037,000,000
Company 288	12,000,000,000
Company 289	15,932,800,000
Company 290	20,500,000,000
Company 291	43,634,000,000
Company 292	131,500,000,000
Amazon.com.DEDC, LLC	<178,000,000,000
Company 293	NA
Company 294	NA

Section 4

Connecticut Innovations
Section 4
Wage Rate Information FYE 6/30/19

Company Name	Average Wage Rate @ Applic. For Retained Jobs	Average Anticipated Wage Rate @ Applic. For Jobs to be Created	Current Average Wage Rate For Retained Jobs	Current Average Wage Rate For Created Jobs
110 Buckland Hills, LLC	0	NA	25,085	20,800
ITouch	N/A	180,000	N/A	115,000
A.K. Boncek, LLC	68,000	0	68,000	0
Aberdeen (fka The Big Willow)	N/A	TBD	97,500	97,500
Accelerando	70,000	90,000	60,000	N/A
Achillion Pharmaceuticals	*	*	*	*
Actualmeds Corporation	N/A	TBD	N/A	130,000
Adriaen's Landing Hotel	0	0	40,186	40,186
Advanced Vein Associates	0	107,258	83,824	36,400
Aeromics, Inc.	N/A	110,000	N/A	87,083
Affinimark Technologies, Inc.	N/A	TBD	N/A	N/A
All Naural Pet Foods Store, LLC	20,800	0	0	0
Allomek Therapeutics LLC	N/A	TBD	N/A	N/A
Alyx	N/A	150,000	N/A	150,000
Amazon.com.DEDC, LLC	0	42,800	42,255	44,052
Anugrah, LLC	0	0	24,960	21,008
Aperture Optical Sciences, Inc.	60,000	55,555	67,164	67,164
APT Foundation, Inc.	47,667	0	50,802	42,959
Arcanatura LLC	N/A	TBD	3,600	N/A
Arccos Golf LLC	80,000	80,000	116,500	N/A
Arvinas	N/A	TBD	N/A	150,000
Asarasi, Inc.	N/A	TBD	N/A	N/A
Aureus	N/A	TBD	N/A	N/A
Aureus Tech, Inc.	0	168,000	0	168,000
Aventri	66,509	65,315	105,000	105,000
Avitus Orthopaedics, Inc.	N/A	TBD	N/A	79,000
Avon Village Family Dentistry PC	72,909	28,571	72,909	28,571
Azitra, Inc.	80,000	120,000	N/A	145,000
Bactana Corp	N/A	125,000	N/A	75,000
Bagel Stop Production Co. (The)	19,864	16,120	24,606	21,008
Bauer, Inc.	0	0	79,000	70,000
Better Air North America LLC	41,600	0	41,600	0
BioArray	N/A	TBD	N/A	N/A
BioHaven Pharmaceuticals	N/A	TBD	N/A	N/A
BiologicsMD	100,000	160,000	N/A	N/A
Biorez Inc.	300,000	100,000	N/A	75,000
BioWave Corporation	55,000	TBD	63,786	63,786
Bob's Discount Furniture, Inc.	0	0	54,195	47,636
Bonde Innovations	N/A	150,000	20,000	N/A
Boundlss Inc.	0	0	0	0
Bow's and Bandana's Grooming Salon, LLC	24,311	0	24,311	0

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Wage Rate Information FYE 6/30/19

Company Name	Average Wage Rate @ Applic. For Retained Jobs	Average Anticipated Wage Rate @ Applic. For Jobs to be Created	Current Average Wage Rate For Retained Jobs	Current Average Wage Rate For Created Jobs
Branson Ultrasonics Corporation	82,301	0	82,471	65,532
Brass City / J Thruston Fuel, LLC	38,995	36,640	43,680	0
Bridgewater Associates LP	223,852	0	225,912	151,462
Brownstone Exploration & Discovery Park, LLC	30,240	37,500	45,500	45,500
Budderfly, Inc.	94,474	80,000	98,770	98,770
Butler & Butler, Inc	26,666	0	21,008	0
C8 Sciences	N/A	TBD	95,000	N/A
Cadenza Innovation, Inc.	119,000	130,000	111,659	111,659
Cara Therapeutics	45000	45,000	N/A	N/A
Careall, LLC	17,088	0	17,088	0
CaroGen Corporation	N/A	TBD	N/A	90,000
CavtherRx	N/A	TBD	N/A	N/A
CBS Manufacturing Company	41,600	30,160	50,835	41,600
Change, Inc	25,680	25,680	25,680	25,680
Charter Communications Holding Company, LLC	230,301	175,000	139,574	124,500
Chiefofstaff.com, LLC	N/A	TBD	40,000	N/A
Christie's Quilting Boutique	TBD	TBD	TBD	TBD
CiDra Holdings, LLC	N/A	TBD	102,545	102,545
Clickers, LLC	15,000	0	15,000	0
CMW Tax Service, LLC	25,333	0	70,000	0
Compassion N Care LLC	30,555	0	45,000	47,000
Connecticut Center for the Performing Arts	0	0	4,200	0
Connecticut Mulch Distributors, Inc.	37,440	46,000	43,680	43,680
Connecticut Packaging Materials, Inc.	80,407	49,209	178,464	178,464
Connecticut Physical Therapy Specialists, LLC	0	0	40,000	40,000
Connecticut Plywood Corp.	0	0	35,734	31,824
Connecticut Solid Surface, LLC	54,163	0	50,000	30,000
Continuity Engine, Inc.	95,000	58,000	120,300	120,300
Convexity Scientific, LLC	N/A	TBD	N/A	156,000
Cook and The Bear, LLC	24,960	24,960	24,960	24,960
Coolit Systems USA Inc	N/A	TBD	N/A	N/A
CopyLeaks Technologies, Ltd.	0	TBD	N/A	65,000
Craft Bakeshop, llc dba Sift Real Estate, LLC	22,449	23,148	22,880	22,880
Crowdfliik	N/A	TBD	N/A	N/A
Curacity	N/A	80,000	N/A	60,000
Cybrexa, Inc.	143,000	118,000	134,063	134,063
Cytosolix	N/A	130,000	N/A	200,000
CytoVeris	160,000	120,000	163,500	163,500
Daticon, Inc.	33,446	0	33,446	0
Davra Networks	N/A	TBD	N/A	80,000
Dayville Property Development, LLC	*	*	*	*

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Company Name	Average Wage Rate @ Applic. For Retained Jobs	Average Anticipated Wage Rate @ Applic. For Jobs to be Created	Current Average Wage Rate For Retained Jobs	Current Average Wage Rate For Created Jobs
dB Diagnostic Systems, Inc.	N/A	TBD	N/A	N/A
Dermatologic Cosmetic Laboratories LTD.	56,870	64,670	33,234	33,234
Devon Woodhill, LLC	0	0	0	0
DIA Imaging Analysis	N/A	120,000	N/A	103,200
Diameter Health, Inc.	20,800	145,600	119,614	119,614
Direct Color Systems	N/A	TBD	156,000	156,000
DreamPayments	N/A	100,000	N/A	N/A
Edge2Web	N/A	110,000	N/A	N/A
Electric Boat Corp.	67,142	0	82,927	55,435
Elidah, Inc.	75,000	TBD	70,000	70,000
Engage121, Inc.	N/A	TBD	112,000	112,000
Enviro Power, LLC	52,000	TBD	62,400	62,400
Environmental Energy Services	114,000	79,000	90,000	N/A
Epicure, Inc.	0	60,000	0	60,000
EpiEp, Inc.	N/A	118,000	N/A	N/A
Epitomize	91,000	77,000	20,000	N/A
EZPack Inc.	0	30,000		30,000
Factset Research Systems, Inc.	0	0	21,400	27,864
Fall Call Solutions	N/A	80,000	N/A	N/A
Fat Orange Cat Brew Co., LLC	0	0	55,000	55,000
FedEx Ground Packaging System, Inc.	58,289	52,437	40,007	29,680
Fitscript, LLC	N/A	TBD	N/A	78,040
Flexi-International Software, Inc.	0	0	101,861	98,000
Foresite	102,708	TBD	105,154	105,154
Freshbev LLC	32,000	30,000	32,000	30,000
FreshNation	70,000	70,000	108,457	108,457
frevwo, Inc.	N/A	TBD	N/A	N/A
GemShelf, Inc.	N/A	TBD	84,000	N/A
Giggles & Grins Child Care Center, LLC	0	0	24,960	0
Gilman Brothers Co.	0	0	51,979	48,922
Globekeeper Inc.	0	0	72,000	0
GoNation	50,000	67,000	45,842	N/A
Goodwin College	0	0	59,868	46,435
Greater Hartford Physical Therapy, PC	75,098	50,000	57,224	50,000
Greenworks Lending	98,333	107,449	92,288	92,288
GSPG Holdings, LLC	25,000	0	0	0
Gynion	N/A	270,000	N/A	N/A
Halda Therapeutics	N/A	133,966	N/A	161,333
Harty Press, Inc. (The)	63,797	0	45,760	45,760
HBN Front Street District, Inc.	0	0	0	0
Henkel of America, Inc	104,500	133,759	13,400	134,000

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Company Name	Average Wage Rate @ Applic. For Retained Jobs	Average Anticipated Wage Rate @ Applic. For Jobs to be Created	Current Average Wage Rate For Retained Jobs	Current Average Wage Rate For Created Jobs
Heritage Auto Works, LLC	0	0	0	0
Hudson Baylor, Corp.	16,827	0	23,634	17,160
Hy LaBonne and Sons, Inc.	17,165	0	36,795	22,880
InBox Health Corporation	N/A	TBD	N/A	81,120
Industrial Rigging, Inc.	73,800	0	71,427	37,440
InMedia Digital Solutions	0	TBD	N/A	N/A
Insalvo Inc.	0	80,000	0	80,000
Intelligent Clearing Networks	125,000	90,000	150,000	N/A
International Package Store	35,000	0	0	0
Invisium Access, Inc.	N/A	TBD	N/A	N/A
IQ Telcom, LLC	12,216	0	74,880	66,560
Iridia, Inc.	N/A	120,000	N/A	N/A
Isoplexis, Inc.	66,000	75,000	75,000	75,000
JC Franchising Group LLC	105,123	0	105,123	0
Joining Technologies, Inc	53,518	50,000	60,091	44,782
Kinaptic LLC	100,000	75,000	5,000	N/A
Kleo Pharmaceuticals, Inc.	N/A	142,750	N/A	181,211
KR Restautant d/b/a The Old Fashion, LLC	23,159	19,444	44,720	44,720
Krouzon Pharmaceuticals	N/A	TBD	N/A	N/A
Kwamb.IO, Inc.	0	48,000	0	48,000
Lambda Vision Incorporated	N/A	TBD	N/A	85,000
Landsdowne Labs	N/A	TBD	N/A	139,000
Lanstatus, Inc	62,363	0	73,934	73,934
LBI, Inc.	61,275	59,895	51,626	51,626
Lee Company (The)	68,557	60,008	77,000	51,000
Legion Square Associates, LLC	*	*	*	*
LensesOnly, LLC	28,400	35,000	40,000	40,000
LifeStyleCX	N/A	85,000	N/A	87,500
LindaCare, Inc.	N/A	145,000	N/A	100,000
LogicBroker	75,000	80,000	89,000	89,000
LRI LLC	43,157	0	115,080	0
Lymbr	N/A	TBD	N/A	92,000
Matthew's Bakery	13,681	13,681	13,681	13,681
MediaCrossing	0	TBD	N/A	127,142
Medically Home Group, Inc.	N/A	180,000	N/A	237,500
Medtel, Inc.	120,000	130,000	N/A	N/A
Mental Canvas	85,000	75,000	101,920	N/A
MIFCOR, Inc.	N/A	TBD	N/A	N/A
Millennium Builders, Inc.	101,857	0	110,843	0
Mininvasive	N/A	TBD	N/A	40,000
Mitotherapeutix, LLC	0	TBD	N/A	N/A

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Company Name	Average Wage Rate @ Applic. For Retained Jobs	Average Anticipated Wage Rate @ Applic. For Jobs to be Created	Current Average Wage Rate For Retained Jobs	Current Average Wage Rate For Created Jobs
ink North America, Inc.	64,580	47,778	45,760	33,280
Modern tire WH, LLC	39,910	0	39,910	0
Moffly Publications	70,588	70,000	70,000	36,000
Moran 4, Inc.	15,000	15,000	15,000	15,000
Mountain Laurel Enterprises, Inc	38,365	30,000	41,000	38,000
My Gene Counsel, LLC	N/A	TBD	N/A	120,000
Mystic Marineliffe Aquarium	0	0	29,744	42,557
Mystic River Residential Care, Inc.	26,750	30,000	31,616	0
Nason Partners, LLC	35,255	35,000	43,971	46,176
New England Seating Company LLC	29,923	0	41,600	22,880
Newland, LLC	29,037	0	30,160	26,000
Next Generation Properties, LLC	29,514	0	29,514	0
Niktor, LLC	44,900	0	44,900	0
Norpaco, Inc.	0	0	39,437	23,150
North Haven Commons Development, LP	0	0	0	0
Northpage Inc.	N/A	TBD	106,820	106,820
Nuovo Pasta, LLC	30,247	29,630	37,606	32,302
Obsidian Advanced Manufacturing LLC	95,680	104,000	69,000	N/A
OD Realty	55,707	52,000	55,744	68,515
Odin Text, LLC	130,000	78,000	114,400	114,400
Oil Purification Systems	67,500	87,400	72,176	N/A
Olympic Taekwondo Academy, Inc	28,114	24,000	28,114	24,000
OmniCyte	N/A	90,000	N/A	100,000
On Deck, LLC	0	0	0	0
OncoSynergy, Inc.	N/A	161,667	N/A	122,786
OneWayLimo.com, Inc.	30,000	20,000	60,000	60,000
Onsert Media Group	110,000	85,000	120,000	N/A
Orthozon Technologies, LLC	N/A	TBD	N/A	60,000
Oxitone Medical	0	70,000	0	0
P & P Auto Repair	70,356	0	70,356	0
P2 Science, Inc.	N/A	94,500	122,155	122,155
Pastanch, LLC	41,423	24,366	33,280	33,280
Pa-Ted Spring Company, LLC	0	0	49,608	533,696
Patient Wisdom	118,000	140,000	93,497	93,497
Pattern Genomics	N/A	TBD	N/A	N/A
Payveris LLC	88,200	89,100	99,700	99,700
PDC International Corp.	41,600	37,440	76,960	41,600
Pentation Analytics Inc.	0	192,500	0	192,500
Phlatbed	75,000	75,000	1,615	1,615
Phoenix Products Company	40,051	47,500	45,843	0
Pith Products, LLC	42,746	40,000	37,440	29,120

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Company Name	Average Wage Rate @ Applic. For Retained Jobs	Average Anticipated Wage Rate @ Applic. For Jobs to be Created	Current Average Wage Rate For Retained Jobs	Current Average Wage Rate For Created Jobs
Point Pick Up Technologies, Inc	65,000	85,000	110,000	71,000
Polamer Realty, LLC	68,034	68,034	65,000	65,000
Porcelen Ltd. CT, LLC	22,006	0	45,053	31,200
Post N Track	123,000	81000	N/A	N/A
Praxair, Inc.	277,913	0	118,941	65,528
Precipio Diagnostics	69,800	71200	69,006	\$35,360.00
Project First	N/A	65,000	N/A	41,600
Project Ray, LLC	0	0	40,000	0
ProTech Services, LLC nka Proguard, LLC	30,451	0	30,451	0
QCDX	N/A	77,600	N/A	75,000
QENB LLC	34,639	0	34,639	0
Quadjobs	45,000	TBD	39,000	N/A
Queralt, Inc.	N/A	TBD	N/A	N/A
Quigler, Inc.	75,000	75,000	28,500	N/A
Quinnipiac Endontics, LLC	37,113	0	41,600	37,440
R & D Dynamics Corporation	46,800	48,318	57,000	0
R4 Technologies, LLC	101,420	120,000	133,845	133,845
Rallybio	N/A	414,715	N/A	346,125
Rapid Oxygen Company	N/A	68,500	120,000	120,000
Raymours Furniture Company, Inc	53,284	42,007	53,040	41,163
ReadyDock, Inc.	N/A	TBD	N/A	40,000
RenetX Bio	N/A	139,118	150,000	150,000
RevMedica	70,000	150,000	125,000	N/A
RFJ Productions LLC	0	21,666	0	21,666
Richard, Inc.	27,830	0	45,000	0
Richards Machine Tool Co., Inc.	0	56,000	56,000	56,000
Richland, LLC	0	0	31,200	31,200
Ruckus Media	N/A	TBD	N/A	N/A
Salt & Pepper, LLC	21,556	0	21,008	0
Science Park Development Corp.	0	0	0	0
Sea Greens Farms	50,000	70,000	N/A	N/A
SecBI	N/A	89,400	N/A	102,500
SeeClickFix	98,000	96,000	94,252	94,252
Sensible Solutions CT Health Insurance LLC	0	0	44,000	0
Shoplandia, Inc.	36,000	TBD	N/A	N/A
Shoreline Biome	N/A	TBD	N/A	110,000
Shree Maruti, LLC	50,000	0	33,000	0
Sight, LLC	34,544	0	34,544	0
Simcha Therapeutics Holding Company	N/A	225,000	N/A	N/A
Sirona Medical Technologies Inc.	N/A	167,000	N/A	260,000
Slooh	N/A	TBD	60,000	60,000

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SmarPay NewCo	N/A	TBD	N/A	N/A
Smartequip, Inc.	117,000	80,043	121,577	121,577
Source Defense	N/A	105,000	N/A	110,000
Specialty Printing	53,771	70,000	53,940	45,850
Sponsorcraft Limited (Hubbub)	N/A	60,000	N/A	80,000
Stone Fabricators, LLC	40,810	29,120	53,269	31,200
Studio 3 Salon	0	0	0	0
Summit Street Medical LLC	N/A	TBD	N/A	62,400
SunnyCor Incorporated	33,280	0	45,599	44,681
Supreme-Lake Mfg., Inc.	0	0	42,432	33,280
Sustainable Real Estate Solutions, Inc.	N/A	70,000	129,000	129,000
Tamera Green, LLC	0	0	0	0
Tangen Biosciences LLC	N/A	TBD	N/A	119,000
Tantalus Systems, Inc.	80,000	90,000	213,544	213,544
Tastes Natural	N/A	TBD	N/A	60,000
Technical Industries, Inc.	0	0	47,902	24,960
TerraGreen, LLC	33,252	30,000	37,440	33,280
ThayerMahan	130,400	110,000	92,387	92,387
The Evenson Agency	0	0	0	0
The Mellick Group	40,000	24,000	40,000	24,000
The Office Work, Inc	46,048	51,666	59,140	59,480
Thetis Pharmaceuticals, LLC	90,000	120,000	150,000	150,000
Thomas C. Bresch Sales	0	0	15,000	0
Torigen Pharmaceuticals	N/A	65,000	N/A	80,000
Trader Joe's East Inc.	30,809	31,140	36,008	37,642
Transaction Methods, Inc.	64,183	66,000	250,000	N/A
Trebel	N/A	TBD	N/A	31,200
Tristar Alliance, LLC	0	45,000	84,000	0
Tru Optik	N/A	TBD	95,000	\$95,000.00
Tullis Food Group, LLC	0	0	20,000	20,000
Turbine Technologies, Inc.	78,684	75,353	63,193	36,400
Two Roads Brewing Company LLC	53,333	0	44,999	37,500
UniMetal Surface Finishing, LLC	41,290	32,450	30,264	27,040
Unisoft Medical Corporation	N/A	TBD	N/A	65,885
University of Hartford	0	0	63,586	28,590
Valley Press Publishing, Inc	49,650	0	49,650	0
Vesselon, Inc.	120,000	TBD	120,000	N/A
Vision Electric, Inc	109,505	0	61,194	29,120
Vistara	80,000	60,000	N/A	N/A
Vizium360	50,000	70,000	60,000	N/A
Voiceitt	N/A	TBD	N/A	80,000

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Vouchr	N/A	130,000	N/A	N/A
VR Health USA Inc.	100,000	0	100,000	0
Walgreen Eastern Co., Inc.	36,442	0	35,194	28,600
Wave Systems	N/A	71,000	N/A	N/A
WCP Properties, LLC	0	TBD	0	TBD
We Care Computers, LLC	48,183	65,000	59,400	48,000
Welden Hardware, LLC	29,000	33,333	39,290	39,000
Wellinks Inc.	40,000	TBD	52,000	52,000
West Hartford Landscaping, Inc.	66,556	0	66,556	0
Winnick Realty, LLC	35,000	0	35,000	0
World Fulfillment, LLC	20,072	0	59,800	59,800
Zorus	41,600	40,000	52,500	52,500