

December 27 ,2023

Governor Ned Lamont
Office of the Governor
210 Capitol Avenue
State Capitol
Hartford, CT 06106

Dear Governor Lamont:

On behalf of the Board of Directors of Connecticut Innovations and CT Next and pursuant to the requirements of Connecticut General Statute Section 1-123, I am pleased to enclose the following information for the fiscal year 2023:

- A list of all projects receiving financial assistance
- A list of outside individuals and firms receiving in excess of \$5,000 in the form of loans, grants, or payment for services
- The Affirmative Action and Equal Opportunity Employment statement, workforce analysis and Annual Report on Affirmative Action Efforts
- Planned Activities for FY24

There were no bond issues for the year ended 6/30/23. The Balance Sheet, a listing of cumulative value of all bonds issued, the value of outstanding bonds, and the amount of the state's contingent liabilities have been previously filed in Connecticut Innovations Audited Financial Statements and are available on our website at www.ctinnovations.com. CT Next Audited Financial Statements are available on www.ctnext.com.

Should you require any additional information regarding Connecticut Innovations, please call Philip Siuta, Chief Operating Officer and Chief Financial Officer at 860-258-7822.

Sincerely,

Philip Siuta

Philip Siuta
COO and CFO

Connecticut Innovations
Section 1
New and Outstanding Financial Assistance FYE 6/30/23

Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
101 College Street, LLC	101 College Street, New Haven	Other	531120	No	7-Jun-20	Sales & Use	Tax Exemption	\$1,085,978	\$150,560,000	NA	Non-Qualified
1843 Capital, LLC	51 Mayo Ave, Greenwich	Venture Fund	523910	Yes	30-Jun-21	Fund of Fund	Venture Fund	\$281,902	\$1,085,978	No	Non-Qualified
1843 Capital, LLC	51 Mayo Ave, Greenwich	Venture Fund	523910	Yes	12-Jul-21	Fund of Fund	Venture Fund	\$152,784	\$3,815,813	No	Non-Qualified
1843 Capital, LLC	51 Mayo Ave, Greenwich	Venture Fund	523910	Yes	17-Jan-23	Fund of Fund	Venture Fund	\$3,607	\$89,442	Yes	Non-Qualified
1843 Capital, LLC	51 Mayo Ave, Greenwich	Venture Fund	523910	Yes	15-Nov-22	Fund of Fund	Venture Fund	\$5,399	\$136,601	Yes	Non-Qualified
1843 Capital, LLC	51 Mayo Ave, Greenwich	Venture Fund	523910	Yes	22-Jul-22	Fund of Fund	Venture Fund	\$23,418	\$590,745	Yes	Non-Qualified
1Touch.io. Inc.	290 Harbor Drive, Stamford	IT	541519	No	04-Sep-18	Eli Whitney	Equity	\$1,000,000	\$1,100,000	Yes	Non-Qualified
1Touch.io. Inc.	290 Harbor Drive, Stamford	IT	541519	No	28-May-19	Eli Whitney	Equity	\$250,000	\$1,150,000	Yes	Non-Qualified
1Touch.io. Inc.	290 Harbor Drive, Stamford	IT	541519	No	25-Sep-19	Eli Whitney	Equity	\$45,051	\$500,000	Yes	Non-Qualified
1Touch.io. Inc.	290 Harbor Drive, Stamford	IT	541519	No	31-May-22	Eli Whitney	Equity	\$0	\$3,000,000	Yes	Non-Qualified
2045 Studio, Inc.	78 Belden Hill Road, Wilton	IT	541000	Yes	1-May-23	Eli Whitney	SAFENote	\$400,000	\$2,862,000	Yes	Non-Qualified
3BC, Inc.	400 Farmington Ave, Farmington	Healthcare	325411	Yes	28-Apr-22	Proof Of Concept	Conv. Debt	\$150,000	\$350,000	Yes	Non-Qualified
85 South Satellite Road, LLC(f.k.a. CT Packaging)	85 S. Satellite Road, South Windsor	Distribution/Whsing	531120	No	9-Jun-14	WORKA	Loan	\$1,300,000.00	\$4,000,000.00	Yes	Non-Qualified
A.K. Boncek, LLC	1228 Farmington Avenue, West Hartford	Retail	445310	No	1-Nov-18	CAB	Guarantee	\$25,000.00	\$25,000.00	No	Non-Qualified
AbbraTech, Inc.	21 Business Park Drive, Ste 4, Branford	Healthcare	541714	Yes	04-Mar-21	CBIF	Conv. Debt	\$150,000	\$2,350,000	Yes	Non-Qualified
AbbraTech, Inc.	21 Business Park Drive, Ste 4, Branford	Healthcare	541714	Yes	29-Jun-22	CBIF	Conv. Debt	\$100,000	\$1,530,000	Yes	Non-Qualified
Acadian Ventures	470 James St, New Haven	Venture Fund	523910	No	12-Apr-21	Fund of Fund	Venture Fund	\$250,000	\$5,225,000	No	Non-Qualified
Acadian Ventures	470 James St, New Haven	Venture Fund	523910	No	30-Jun-22	Fund of Fund	Venture Fund	\$250,000	\$5,400,000	No	Non-Qualified
Aceiss, Inc.	381 Hoyt Farm Road, New Canaan	IT	541511	Yes	22-Oct-21	Eli Whitney	Conv. Debt	\$200,000	\$1,000,000	Yes	Non-Qualified
Aceiss, Inc.	381 Hoyt Farm Road, New Canaan	IT	541511	Yes	25-Mar-22	Eli Whitney	SAFENote	\$300,000	\$1,100,000	Yes	Non-Qualified
Actualmeds Corporation	222 Pitkin St, Rocky Hill	IT	541519	Yes	30-Jul-19	Eli Whitney	Conv. Debt	\$79,833	\$825,000	Yes	Non-Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	72111	No	31-Aug-04	WORKA-EQTY	Loan	\$189,330.00	\$45,500,000.00	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	72111	No	7-May-04	WORKA-EQTY	Loan	\$319,880.00	\$45,500,000.00	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	72111	No	16-Jan-04	WORKA-EQTY	Loan	\$181,750.00	\$45,500,000.00	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	72111	No	3-Sep-03	WORKA-EQTY	Loan	\$835,740.00	\$45,500,000.00	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	72111	No	30-Jul-04	WORKA-EQTY	Loan	\$181,750.00	\$45,500,000.00	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	72111	No	12-Nov-04	WORKA-EQTY	Loan	\$750.00	\$45,500,000.00	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	72111	No	19-Mar-04	WORKA-EQTY	Loan	\$218,100.00	\$45,500,000.00	No	Qualified
Adriaen's Landing Hotel	Columbus Blvd. & Grove Street, Hartford	Service	72111	No	28-Nov-03	WORKA-EQTY	Loan	\$72,700.00	\$45,500,000.00	No	Qualified
Advanced Telepsych, Inc.	760 Saybrook Rd, Middletown	Healthcare	621112	No	26-Apr-23	SSBCI 2.0- Equity	Equity	\$500,000	\$1,475,842	Yes	Non-Qualified
Aeromics, Inc.	470 James Street, Ste 007, New Haven	Healthcare	541714	No	06-Apr-16	Eli Whitney	Equity	\$250,000	\$2,450,000	Yes	Non-Qualified
Aeromics, Inc.	470 James Street, Ste 007, New Haven	Healthcare	541714	No	20-Jun-16	Eli Whitney	Equity	\$857,057	\$0	Yes	Non-Qualified
Aeromics, Inc.	470 James Street, Ste 007, New Haven	Healthcare	541714	No	05-Jun-17	CBIF	Equity	\$500,000	\$1,000,000	Yes	Non-Qualified
Aeromics, Inc.	470 James Street, Ste 007, New Haven	Healthcare	541714	No	12-Oct-17	CBIF	Equity	\$213,142	\$1,610,000	Yes	Non-Qualified
Aeromics, Inc.	470 James Street, Ste 007, New Haven	Healthcare	541714	No	19-Jun-18	CBIF	Equity	\$175,000	\$1,408,759	Yes	Non-Qualified
Aeromics, Inc.	470 James Street, Ste 007, New Haven	Healthcare	541714	No	30-Nov-18	CBIF	Equity	\$124,999	\$975,001	Yes	Non-Qualified
All Naural Pet Foods Store, LLC	869 Sullivan Avenue, South Windsor	Retail	453910	Female	12-Jun-18	CAB	Guarantee	\$45,000.00	\$45,000.00	No	Non-Qualified
Allyx Therapeutics, Inc.	470 James St, Ste 007, New Haven	Healthcare	541711	No	03-Apr-19	CBIF	Equity	\$150,000	\$75,000	Yes	Non-Qualified
Allyx Therapeutics, Inc.	470 James St, Ste 007, New Haven	Healthcare	541711	No	01-May-20	CBIF	Equity	\$100,000	\$100,000	Yes	Non-Qualified
Allyx Therapeutics, Inc.	470 James St, Ste 007, New Haven	Healthcare	541711	No	30-May-21	CBIF	Equity	\$129,687	\$0	Yes	Non-Qualified
Allyx Therapeutics, Inc.	470 James St, Ste 007, New Haven	Healthcare	541711	No	2-Feb-23	CBIF	Equity	\$250,000	\$2,058,568	Yes	Non-Qualified
Alphina Therapeutics, Inc.	36 Church Lane, Westport	Healthcare	541711	Yes	23-Nov-20	CBIF	Equity	\$150,000	\$1,630,000	Yes	Non-Qualified
Alphina Therapeutics, Inc.	36 Church Lane, Westport	Healthcare	541711	Yes	07-Sep-21	CBIF	Equity	\$849,157	\$14,213,598	Yes	Non-Qualified
AmpUp Inc.	1137 Main St, East Hartford	Climate Tech	513210	Yes	2-Jun-23	SSBCI 2.0- Equity	Equity	\$500,000	\$1,405,471	Yes	Non-Qualified
Amugrah, LLC	213 W. Town Street, Norwich	Retail	445310	Male	21-Jul-16	CAB	Guarantee	\$150,000.00	\$150,000.00	No	Non-Qualified
Arccos Golf LLC	700 Canal St, Ste 12B, Stanford	IT	51121	Yes	25-Nov-15	Eli Whitney	Conv. Debt	\$1,500,000	\$2,500,000	Yes	Non-Qualified
Arccos Golf LLC	700 Canal St, Ste 12B, Stanford	IT	51121	Yes	11-May-17	Eli Whitney	Equity	\$142,356	\$142,356	Yes	Non-Qualified
Arccos Golf LLC	700 Canal St, Ste 12B, Stanford	IT	51121	Yes	07-Jun-17	Eli Whitney	Equity	\$1,000,000	\$2,000,000	Yes	Non-Qualified
Arccos Golf LLC	700 Canal St, Ste 12B, Stanford	IT	51121	Yes	22-Feb-18	Eli Whitney	Conv. Debt	\$500,000	\$1,000,000	Yes	Non-Qualified
Arccos Golf LLC	700 Canal St, Ste 12B, Stanford	IT	51121	Yes	19-Jun-18	Eli Whitney	Conv. Debt	\$150,000	\$749,000	Yes	Non-Qualified
Artizan Biosciences, Inc.	150 Munson Street, Ste 210, New Haven	Healthcare	541714	No	21-Dec-20	CBIF	Equity	\$1,000,000	\$9,756,872	Yes	Non-Qualified
Artizan Biosciences, Inc.	150 Munson Street, Ste 210, New Haven	Healthcare	541714	No	06-Jun-22	CBIF	Equity	\$250,000	\$0	Yes	Non-Qualified
Artizan Biosciences, Inc.	150 Munson Street, Ste 210, New Haven	Healthcare	541714	No	30-Jun-23	CBIF	Equity		\$1,579,096	Yes	Non-Qualified
Arvinas Holding Co LLC	5 Science Park, New Haven	Healthcare	541711	No	16-Oct-15	Eli Whitney	Equity	\$1,143,792	\$40,466,207	Yes	Non-Qualified
Asarasi, Inc.	6 Blackstone Court, Danbury	Consumer	312112	No	16-Dec-15	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Asarasi, Inc.	6 Blackstone Court, Danbury	Consumer	312112	No	24-Mar-16	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Athletic Brewing Holdings, LLC	350 Long Beach Blvd, Stratford	Consumer	312120	No	27-Jan-23	Eli Whitney	Equity	\$1,999,949	\$73,000,051	Yes	Non-Qualified
AtlasXomics, Inc.	5 Science Park, New Haven	Healthcare	443142	No	24-Mar-22	CBIF	Equity	\$750,000	\$6,165,697	Yes	Non-Qualified
Aureus Tech, Inc.	20 Church Street, Hartford	Service	511210	NA	10-Apr-18	WORKA	Loan	\$150,000.00	\$0.00	No	Non-Qualified
Aureus Tech, Inc.	20 Church Street, Hartford	Service	511210	NA	23-May-18	WORKA	Loan	\$100,000.00	\$0.00	No	Non-Qualified
Aureus Tech, Inc.	20 Church Street, Hartford	IT	541519	No	20-Dec-18	Eli Whitney	Equity	\$750,000	\$2,368,056	Yes	Non-Qualified

* Leverage amounts for companies with multiple draws shown on first transaction line

Connecticut Innovations
Section 1
New and Outstanding Financial Assistance FYE 6/30/23

Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
Aureus Tech, Inc.	20 Church Street, Hartford	IT	541519	No	17-Jul-20	Eli Whitney	Equity	\$268,390	\$1,731,610	Yes	Non-Qualified
Aureus Tech, Inc.	20 Church Street, Hartford	IT	541519	No	12-May-22	Eli Whitney	Conv. Debt	\$150,000	\$200,000	Yes	Non-Qualified
Aureus Tech, Inc.	20 Church Street, Hartford	IT	541519	No	18-May-23	Eli Whitney	Conv. Debt	\$350,000	\$1,150,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	16-Oct-13	Eli Whitney	Equity	\$150,000	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	31-Mar-14	Eli Whitney	Equity	\$200,000	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	24-Oct-14	Eli Whitney	Equity	\$150,000	\$150,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	30-Jun-15	Eli Whitney	Equity	\$150,000	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	02-Sep-15	Eli Whitney	Equity	\$150,000	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	29-Oct-15	Eli Whitney	Equity	\$200,000	\$50,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	14-Dec-16	CBIF	Equity	\$250,000	\$100,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	30-Nov-17	CBIF	Equity	\$125,000	\$125,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	10-May-18	CBIF	Equity	\$125,000	\$125,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	26-Sep-19	CBIF	Equity	\$150,000	\$365,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	08-Jun-20	CBIF	Equity	\$200,000	\$325,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	27-Aug-21	CBIF	Equity	\$1,000,000	\$4,000,000	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	27-Aug-21	CBIF	Equity	\$231,733	\$0	Yes	Non-Qualified
Avitus Orthopaedics, Inc.	6 Armstrong Ste 107, Shelton	Healthcare	339113	No	6-Apr-23	SSBCI 2.0- Equity	Conv. Debt	\$400,000	\$800,000	Yes	Non-Qualified
Avridh Technologies, Inc.	470 James Street, New Haven	IT	87420101	No	30-Nov-21	Proof Of Concept	Conv. Debt	\$100,000	\$0	Yes	Non-Qualified
Azitra, Inc.	21 Business Park Drive, Branford	Healthcare	541714	No	05-Apr-18	CBIF	Equity	\$1,000,000	\$1,150,000	Yes	Non-Qualified
Azitra, Inc.	21 Business Park Drive, Branford	Healthcare	541714	No	29-Mar-19	CBIF	Equity	\$1,069,615	\$8,000,025	Yes	Non-Qualified
Azitra, Inc.	21 Business Park Drive, Branford	Healthcare	541714	No	17-Jan-21	CBIF	Equity	\$1,000,000	\$16,997,633	Yes	Non-Qualified
Azitra, Inc.	21 Business Park Drive, Branford	Healthcare	541714	No	16-Sep-22	CBIF	Equity	\$265,233	\$4,084,767	Yes	Non-Qualified
Azitra, Inc.	21 Business Park Drive, Branford	Healthcare	541714	No	16-Jun-23	CBIF	Equity	\$0	\$8,500,000	Yes	Non-Qualified
Bactana Corporation	400 Farmington Ave, Farmington	Healthcare	325412	No	21-Mar-17	Pre-Seed	Equity	\$75,000	\$37,500	Yes	Non-Qualified
Bactana Corporation	400 Farmington Ave, Farmington	Healthcare	325412	No	13-Jul-17	Pre-Seed	Equity	\$75,000	\$37,500	Yes	Non-Qualified
Bactana Corporation	400 Farmington Ave, Farmington	Healthcare	325412	No	25-Sep-18	CBIF	Equity	\$400,000	\$700,000	Yes	Non-Qualified
Bactana Corporation	400 Farmington Ave, Farmington	Healthcare	325412	No	25-Sep-18	Eli Whitney	Equity	\$71,031	\$0	Yes	Non-Qualified
Bactana Corporation	400 Farmington Ave, Farmington	Healthcare	325412	No	09-Jun-20	CBIF	Equity	\$150,000	\$150,000	Yes	Non-Qualified
Bactana Corporation	400 Farmington Ave, Farmington	Healthcare	325412	No	1-Jul-22	CBIF	Conv. Debt	\$125,000	\$275,000	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	9-Apr-04	GROWTH-PAR	Loan	\$16,502.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	30-Sep-03	GROWTH-PAR	Loan	\$2,826.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	11-Apr-03	GROWTH-PAR	Loan	\$900.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	19-Sep-03	GROWTH-PAR	Loan	\$32,987.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	5-Mar-04	GROWTH-PAR	Loan	\$22,781.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	31-Jan-04	GROWTH-PAR	Loan	\$2,826.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	7-Nov-03	GROWTH-PAR	Loan	\$11,737.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	28-Feb-03	GROWTH-PAR	Loan	\$4,067.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	13-Sep-02	GROWTH-PAR	Loan	\$16,308.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	20-Dec-02	GROWTH-PAR	Loan	\$4,804.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	4-Apr-03	GROWTH-PAR	Loan	\$4,046.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	9-May-03	GROWTH-PAR	Loan	\$14,982.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	30-Jun-03	GROWTH-PAR	Loan	\$8,961.00	\$650,000.00	Yes	Non-Qualified
Bagel Stop Production Co. (The)	19 John Fitch Boulevard, South Windsor	Retail	2051	No	24-Oct-03	GROWTH-PAR	Loan	\$6,272.00	\$650,000.00	Yes	Non-Qualified
Bauer, Inc.	175 Century Drive, Avon	Manufacturer	333900	No	29-Aug-17	WORKA-GTY	Guarantee	\$692,000.00	\$0.00	No	Non-Qualified
BEKHEALTH.com	129 Skiff Mountain Road, Kent	Healthcare	325412	No	10-Feb-23	CBIF	Equity	\$11,075	\$1,054,281	Yes	Non-Qualified
BEKHEALTH.com	129 Skiff Mountain Road, Kent	Healthcare	325412	No	10-Feb-23	CBIF	Equity	\$525,498	\$3,404,501	Yes	Non-Qualified
BEKHEALTH.com	129 Skiff Mountain Road, Kent	Healthcare	325412	No	24-Jan-20	CBIF	Equity	\$150,000	\$377,000	Yes	Non-Qualified
BEKHEALTH.com	129 Skiff Mountain Road, Kent	Healthcare	325412	No	26-May-21	CBIF	Equity	\$516,146	\$3,500,000	Yes	Non-Qualified
BEKHEALTH.com	129 Skiff Mountain Road, Kent	Healthcare	325412	No	12-May-22	CBIF	Equity	\$250,000	\$1,000,000	Yes	Non-Qualified
BEKHEALTH.com	129 Skiff Mountain Road, Kent	Healthcare	325412	No	31-May-22	CBIF	Equity	\$250,000	\$1,000,000	Yes	Non-Qualified
Better Rhodes	175 Fort Path Rd, Unit 107, Madison	Consumer	5961	No	25-May-21	Proof Of Concept	Conv. Debt	\$100,000	\$900,000	Yes	Non-Qualified
Better Rhodes	175 Fort Path Rd, Unit 107, Madison	Consumer	5961	No	1-May-23	Proof of Concept	Equity	\$8,493	\$	Yes	Non-Qualified
Better Rhodes	175 Fort Path Rd, Unit 107, Madison	Consumer	5961	No	1-May-23	Eli Whitney	Equity	\$150,000	\$820,000	Yes	Non-Qualified
BioHaven Pharmaceuticals	234 Church St, New Haven	Healthcare	541712	No	18-Jul-16	Eli Whitney	Equity	\$1,497,650	\$3,899,200	Yes	Non-Qualified
BiologicsMD, Inc.	470 James Street, New Haven	Healthcare	541715	No	05-Dec-18	CBIF	Conv. Debt	\$500,000	\$660,000	Yes	Non-Qualified
BiologicsMD, Inc.	470 James Street, New Haven	Healthcare	541715	No	26-Feb-21	CBIF	Conv. Debt	\$100,000	\$300,000	Yes	Non-Qualified
BioWave Corporation	8 Knight Street, Ste 201, Norwalk	Healthcare	334510	No	26-Mar-19	Eli Whitney	Equity	\$250,000	\$750,000	Yes	Non-Qualified
BioWave Corporation	8 Knight Street, Ste 201, Norwalk	Healthcare	334510	No	30-Jan-20	Eli Whitney	Equity	\$250,000	\$0	Yes	Non-Qualified
BioWave Corporation	8 Knight Street, Ste 201, Norwalk	Healthcare	334510	No	24-Mar-20	Eli Whitney	Equity	\$250,000	\$954,449	Yes	Non-Qualified
BioWave Corporation	8 Knight Street, Ste 201, Norwalk	Healthcare	334510	No	24-Mar-20	Venture Debt	DEBT	\$1,000,000	\$0	Yes	Non-Qualified
BioWave Corporation	8 Knight Street, Ste 201, Norwalk	Healthcare	334510	No	15-Oct-20	Eli Whitney	Equity	\$0	\$3,250,000	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
BioWave Corporation	8 Knight Street, Ste 201, Norwalk	Healthcare	334510	No	29-Jul-22	Venture Debt	DEBT	\$1,000,000	\$	Yes	Non-Qualified
Brass City / J Thruston Fuel, LLC	130 Washington Avenue, Waterbury	Retail	454310	No	30-Oct-15	CAB	Guarantee	\$105,000.00	\$245,000.00	Yes	Non-Qualified
Brownstone Exploration & Discovery Park, LLC	99 Powder Hill Road, Middlefield	A&E	713900	NA	3-Dec-13	GROWTH-PAR	Loan	\$400,000.00	\$1,313,063.00	No	Non-Qualified
Bullish Brand Partners	Greenwich	Venture Fund	523190	No	02-Aug-21	Fund of Fund	Venture Fund	\$225,000	N/A	No	Non-Qualified
Bullish Brand Partners	Greenwich	Venture Fund	523190	No	8-Sep-22	Fund of Fund	Venture Fund	\$225,000	\$	Yes	Non-Qualified
Bullish Brand Partners	Greenwich	Venture Fund	523190	No	1-Mar-23	Fund of Fund	Venture Fund	\$240,000	\$	Yes	Non-Qualified
Bullish Brand Partners	Greenwich	Venture Fund	523190	No	20-Jun-23	Fund of Fund	Venture Fund	\$300,000	\$	Yes	Non-Qualified
Butler & Butler, Inc	696 Main Street, Ansonia	Retail	447110	Female	20-Apr-17	CAB	Guarantee	\$150,000.00	\$330,000.00	No	Non-Qualified
CVZ Capital Partners II, LP	19 Hill St, Rye	Venture Fund	523190	No	9-Aug-22	Fund of Fund	Venture Fund	\$1,000,000	\$	Yes	Non-Qualified
Cadenza Innovation, Inc.	100 Reserve Road, Danbury	Climate Tech	335911	Yes	01-Jul-16	Eli Whitney	Equity	\$1,000,000	\$6,500,000	Yes	Non-Qualified
Cadenza Innovation, Inc.	100 Reserve Road, Danbury	Climate Tech	335911	Yes	17-Oct-17	Eli Whitney	Equity	\$750,000	\$2,250,000	Yes	Non-Qualified
Cadenza Innovation, Inc.	100 Reserve Road, Danbury	Climate Tech	335911	Yes	13-Dec-18	Eli Whitney	Equity	\$250,000	\$4,865,528	Yes	Non-Qualified
Cadenza Innovation, Inc.	100 Reserve Road, Danbury	Climate Tech	335911	Yes	21-May-19	Venture Debt	DEBT	\$384,472	\$0	Yes	Non-Qualified
Cadenza Innovation, Inc.	100 Reserve Road, Danbury	Climate Tech	335911	Yes	24-Jun-19	Venture Debt	DEBT	\$145,528	\$2,400,000	Yes	Non-Qualified
Cadenza Innovation, Inc.	100 Reserve Road, Danbury	Climate Tech	335911	Yes	13-Nov-19	Eli Whitney	Equity	\$83,365	\$1,896,634	Yes	Non-Qualified
Cadenza Innovation, Inc.	100 Reserve Road, Danbury	Climate Tech	335911	Yes	06-Dec-19	Eli Whitney	Equity	\$0	\$1,384,472	Yes	Non-Qualified
Cadenza Innovation, Inc.	100 Reserve Road, Danbury	Climate Tech	335911	Yes	28-Jan-21	Eli Whitney	Equity	\$500,000	\$4,600,000	Yes	Non-Qualified
Cambium Carbon	16192 Coastal Highway, Lewes	Climate Tech	541620	No	27-Apr-23	SSBCI 2.0- Equity	SAFENote	\$500,000	\$1,929,000	Yes	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	30-Jun-21	CBIF	Venture Fund	\$660,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	05-Aug-21	CBIF	Venture Fund	\$45,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	01-Oct-21	CBIF	Venture Fund	\$105,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	27-Oct-21	CBIF	Venture Fund	\$45,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	02-Nov-21	CBIF	Venture Fund	\$30,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	22-Dec-21	CBIF	Venture Fund	\$30,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	03-Jan-22	CBIF	Venture Fund	\$30,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	15-Feb-22	CBIF	Venture Fund	\$60,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	04-Apr-22	CBIF	Venture Fund	\$165,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	19-Apr-22	CBIF	Venture Fund	\$75,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	27-May-22	CBIF	Venture Fund	\$45,000	N/A	No	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	18-Jan-23	Fund of Fund	Venture Fund	\$30,000	N/A	Yes	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	6-Apr-23	Fund of Fund	Venture Fund	\$30,000	N/A	Yes	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	31-May-23	Fund of Fund	Venture Fund	\$30,000	N/A	Yes	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	7-Jul-22	Fund of Fund	Venture Fund	\$45,000	N/A	Yes	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	18-May-23	Fund of Fund	Venture Fund	\$45,000	N/A	Yes	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	15-Sep-22	Fund of Fund	Venture Fund	\$75,000	N/A	Yes	Non-Qualified
Canaan Partners	285 Riverside Dr Ste 250, Westport	Venture Fund	523190	No	31-Oct-22	Fund of Fund	Venture Fund	\$75,000	N/A	Yes	Non-Qualified
Cara Therapeutics	4 Stamford Plaza, Stamford	Healthcare	541711	No	30-Jun-14	Eli Whitney	Equity	\$295,481	\$0	Yes	Non-Qualified
CaroGen Corporation	400 Farmington Ave, Farmington	Healthcare	571714	Yes	16-Jul-13	Pre-Seed	Equity	\$150,000	\$75,000	Yes	Non-Qualified
CaroGen Corporation	400 Farmington Ave, Farmington	Healthcare	571714	Yes	30-Sep-16	Eli Whitney	Equity	\$58,500	\$0	Yes	Non-Qualified
CaroGen Corporation	400 Farmington Ave, Farmington	Healthcare	571714	Yes	23-Dec-16	CBIF	Equity	\$585,154	\$0	Yes	Non-Qualified
CaroGen Corporation	400 Farmington Ave, Farmington	Healthcare	571714	Yes	23-Dec-16	CBIF	Equity	\$1,000,000	\$1,000,000	Yes	Non-Qualified
CaroGen Corporation	400 Farmington Ave, Farmington	Healthcare	571714	Yes	09-Nov-18	Eli Whitney	Equity	\$150,000	\$50,000	Yes	Non-Qualified
CaroGen Corporation	400 Farmington Ave, Farmington	Healthcare	571714	Yes	29-Mar-19	CBIF	Conv. Debt	\$150,000	\$0	Yes	Non-Qualified
CaroGen Corporation	400 Farmington Ave, Farmington	Healthcare	571714	Yes	03-Nov-21	CBIF	Conv. Debt	\$50,000	\$0	Yes	Non-Qualified
CBS Manufacturing Company	35 Kripes Road, East Granby	Manufacturer	3728	No	28-Feb-08	WORKA	Loan	\$1,240,000.00	\$1,350,000.00	Yes	Non-Qualified
CBS Manufacturing Company	35 Kripes Road, East Granby	Manufacturer	3728	No	24-Nov-08	WORKA	Loan	\$496,800.00	\$1,350,000.00	Yes	Non-Qualified
Charter Communications Holding Company, LLC	Gateway Harbor Point, Stamford	Other	517311	NA	23-Sep-20	Sales & Use	Tax Exemption	\$7,000,000.00	\$16,760,000.00	NA	Non-Qualified
Cidra Concrete Systems, Inc.	50 Barnes Park Road North, Wallingford	Climate Tech	334513	No	18-Dec-20	Eli Whitney	Equity	\$1,317,807	\$7,000,000	Yes	Non-Qualified
Cidra Mineral Processing, Inc.	50 Barnes Park North, Wallingford	Climate Tech	334200	No	24-Jun-08	Eli Whitney	Equity	\$174,638	\$4,191,312	Yes	Non-Qualified
Cidra Mineral Processing, Inc.	50 Barnes Park North, Wallingford	Climate Tech	334200	No	24-Jun-08	Eli Whitney	Equity	\$233,418	\$12,324,360	Yes	Non-Qualified
Cidra Mineral Processing, Inc.	50 Barnes Park North, Wallingford	Climate Tech	334200	No	20-May-09	Eli Whitney	Equity	\$157,782	\$7,842,218	Yes	Non-Qualified
Cidra Mineral Processing, Inc.	50 Barnes Park North, Wallingford	Climate Tech	334200	No	17-Aug-11	Eli Whitney	Equity	\$371,876	\$9,628,124	Yes	Non-Qualified
Cinch Holdings, Inc.	2 Katie Lane, Guilford	IT	511210	No	07-Dec-20	Proof Of Concept	Conv. Debt	\$100,000	\$50,000	Yes	Non-Qualified
Cinch Holdings, Inc.	2 Katie Lane, Guilford	IT	511210	No	14-Nov-22	Eli Whitney	Conv. Debt	\$100,000	\$50,000	Yes	Non-Qualified
Cinch Holdings, Inc.	2 Katie Lane, Guilford	IT	511210	No	2-Feb-23	Eli Whitney	Conv. Debt	\$50,000	\$100,000	Yes	Non-Qualified
Cloud 9 Online, LLC	20 Church Street, Hartford	Healthcare	511210	Yes	12-Jul-19	CBIF	Conv. Debt	\$150,000	\$75,000	Yes	Non-Qualified
Cloud 9 Online, LLC	20 Church Street, Hartford	Healthcare	511210	Yes	31-Jul-20	CBIF	Conv. Debt	\$100,000	\$90,000	Yes	Non-Qualified
Cloud 9 Online, LLC	20 Church Street, Hartford	Healthcare	511210	Yes	01-Jun-22	Pre-Seed	Conv. Debt	\$0	\$505,000	Yes	Non-Qualified
CMW Tax Service, LLC	1001 Farmington Avenue, Bristol	Service	541213	Female	6-Jan-17	CAB	Guarantee	\$5,000.00	\$5,000.00	No	Non-Qualified
Compassion N Care LLC	50 Waterbury Road, Prospect	Service	621610	Male	17-Apr-13	CAB	Guarantee	\$30,000.00	\$70,000.00	No	Non-Qualified
Connecticut Physical Therapy Specialists, LLC	9 Mill Pond Road, Granby	Service	541990	Male	7-Aug-13	CAB	Guarantee	\$15,000.00	\$35,000.00	No	Non-Qualified

* Leverage amounts for companies with multiple draws shown on first transaction line

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Section 1
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Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
Connecticut Solid Surface, LLC	7 Johnson Avenue, Plainville	Manufacturer	327991	No	16-Dec-09	WORKA	Loan	\$500,000.00	\$1,015,000.00	Yes	Non-Qualified
Coolt Systems USA Inc	290 Harbor Dr, Stamford	IT	334118	Yes	03-Aug-16	Eli Whitney	Equity	\$1,000,000	\$5,000,000	Yes	Non-Qualified
Coolt Systems USA Inc	290 Harbor Dr, Stamford	IT	334118	Yes	30-Jan-17	Eli Whitney	Equity	\$500,000	\$0	Yes	Non-Qualified
Cooperative Systems, LLC	80 Lambertson Road, Windsor	IT	541519	NA	31-Aug-20	WORKA	Loan	\$500,000.00	\$0.00	No	Non-Qualified
CopyLeaks Technologies, Ltd.	700 Canal, Stamford	IT	519190	No	15-Aug-17	Eli Whitney	Equity	\$250,000	\$250,000	Yes	Non-Qualified
CopyLeaks Technologies, Ltd.	700 Canal, Stamford	IT	519190	No	08-Mar-18	Eli Whitney	Equity	\$275,000	\$275,000	Yes	Non-Qualified
CopyLeaks Technologies, Ltd.	700 Canal, Stamford	IT	519190	No	25-Nov-19	Eli Whitney	Conv. Debt	\$150,000	\$600,000	Yes	Non-Qualified
CopyLeaks Technologies, Ltd.	700 Canal, Stamford	IT	519190	No	03-Mar-22	Eli Whitney	Equity	\$1,000,000	\$5,000,000	Yes	Non-Qualified
CoreChain Technologies, Inc.	70 Ledgewood Dr, Weston	Healthcare	541511	Yes	28-Dec-20	Proof Of Concept	Equity	\$100,000	\$1,150,000	Yes	Non-Qualified
CoreChain Technologies, Inc.	70 Ledgewood Dr, Weston	Healthcare	541511	Yes	29-Dec-21	Pre-Seed	Equity	\$50,000	\$0	Yes	Non-Qualified
CoreChain Technologies, Inc.	70 Ledgewood Dr, Weston	Healthcare	541511	Yes	25-Mar-22	Eli Whitney	Equity	\$110,344	\$4,100,000	Yes	Non-Qualified
CoRISMA MCS Systems, Inc.	496 NewHall St 3104, Hamden	Healthcare	334510	Yes	26-Feb-19	CBIF	Equity	\$150,000	\$75,000	Yes	Non-Qualified
CoRISMA MCS Systems, Inc.	496 NewHall St 3104, Hamden	Healthcare	334510	Yes	20-Mar-20	CBIF	Equity	\$0	\$175,000	Yes	Non-Qualified
CoRISMA MCS Systems, Inc.	496 NewHall St 3104, Hamden	Healthcare	334510	Yes	10-Jun-20	CBIF	Equity	\$100,000	\$50,000	Yes	Non-Qualified
CoRISMA MCS Systems, Inc.	496 NewHall St 3104, Hamden	Healthcare	334510	Yes	04-Aug-21	CBIF	Equity	\$0	\$4,000,000	Yes	Non-Qualified
CoRISMA MCS Systems, Inc.	496 NewHall St 3104, Hamden	Healthcare	334510	Yes	29-Apr-22	CBIF	Equity	\$37,074	\$8,000,000	Yes	Non-Qualified
Covr Financial Technologies, Inc.	1 American Row, Hartford	IT	524210	No	10-Dec-19	Eli Whitney	Equity	\$1,500,000	\$4,500,000	Yes	Non-Qualified
Covr Financial Technologies, Inc.	1 American Row, Hartford	IT	524210	No	27-Jan-20	Eli Whitney	Equity		\$1,000,000	Yes	Non-Qualified
Covr Financial Technologies, Inc.	1 American Row, Hartford	IT	524210	No	17-Jun-21	Eli Whitney	Equity	\$850,000	\$8,650,000	Yes	Non-Qualified
Covr Financial Technologies, Inc.	1 American Row, Hartford	IT	524210	No	21-Dec-21	Eli Whitney	Equity	\$34,838	\$0	Yes	Non-Qualified
Covr Financial Technologies, Inc.	1 American Row, Hartford	IT	524210	No	21-Dec-21	Eli Whitney	Equity	\$244,274	\$0	Yes	Non-Qualified
Covr Financial Technologies, Inc.	1 American Row, Hartford	IT	524210	No	21-Dec-21	Eli Whitney	Equity	\$1,500,000	\$13,420,000	Yes	Non-Qualified
COVR Financial Technologies, Inc.	1 American Row, Hartford	IT	524210	No	24-Apr-23	SBCI 2.0- Equity	Conv. Debt	\$350,000	\$3,892,815	Yes	Non-Qualified
Cybrexa Therapeutics, Inc.	5 Science Park, New Haven	Healthcare	5417143	No	23-May-17	Eli Whitney	Equity	\$1,000,000	\$5,075,000	Yes	Non-Qualified
Cybrexa Therapeutics, Inc.	5 Science Park, New Haven	Healthcare	5417143	No	07-Nov-18	CBIF	Equity	\$500,000	\$12,876,321	Yes	Non-Qualified
Cybrexa Therapeutics, Inc.	5 Science Park, New Haven	Healthcare	5417143	No	06-Mar-20	CBIF	Equity	\$500,000	\$8,428,000	Yes	Non-Qualified
Cybrexa Therapeutics, Inc.	5 Science Park, New Haven	Healthcare	5417143	No	29-Dec-20	CBIF	Equity	\$32,658	\$0	Yes	Non-Qualified
Cybrexa Therapeutics, Inc.	5 Science Park, New Haven	Healthcare	5417143	No	24-Jun-22	CBIF	Equity	\$850,000	\$0	Yes	Non-Qualified
Cytosolix, Inc.	97 Robbie Rd, Tolland	Healthcare	541715	No	25-Jun-19	CBIF	Conv. Debt	\$150,000	\$75,000	Yes	Non-Qualified
Cytosolix, Inc.	97 Robbie Rd, Tolland	Healthcare	541715	No	12-Jun-23	Pre-Seed	Equity	\$53,611	\$4,319,978	Yes	Non-Qualified
CytoVeris, Inc.	400 Farmington Ave, Farmington	Healthcare	334510	No	26-Oct-18	CBIF	Equity	\$250,000	\$2,600,006	Yes	Non-Qualified
CytoVeris, Inc.	400 Farmington Ave, Farmington	Healthcare	334510	No	24-Apr-20	CBIF	Equity	\$1,000,000	\$0	Yes	Non-Qualified
CytoVeris, Inc.	400 Farmington Ave, Farmington	Healthcare	334510	No	28-Oct-21	CBIF	Conv. Debt	\$1,000,000	\$4,251,000	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road, Sunnysvale	IT	541519	No	15-May-18	Eli Whitney	Equity	\$100,000	\$0	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road, Sunnysvale	IT	541519	No	03-Jul-18	Eli Whitney	Equity	\$400,000	\$2,906,164	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road, Sunnysvale	IT	541519	No	17-Sep-18	Eli Whitney	Equity	\$25,000	\$0	Yes	Non-Qualified
Davra Networks	440 Nth Wolfe Road, Sunnysvale	IT	541519	No	15-Mar-19	Eli Whitney	Equity	\$115,000	\$1,099,400	Yes	Non-Qualified
Day Hill Dome	875 Day Hill Road, Windsor	A&E	0	No	1-Sep-21	Sales & Use	Tax Exemption	\$350,000.00	\$0.00	NA	Non-Qualified
Dayville Property Development, LLC	581 Hartford Pike, Killingly	Investor/Developer	531120	No	24-Aug-09	BROWN-TIF	Loan	\$1,500,000.00	\$40,000,000.00	No	Non-Qualified
DeepLook	470 James St, New Haven	Healthcare	541512	Yes	15-Mar-23	SBCI 2.0- Equity	Conv. Debt	\$200,000	\$250,000	Yes	Non-Qualified
DIANT PHARMA INC	UConn Tip, Farmington	Healthcare	325412	No	20-Jan-23	Pre-Seed	Equity	\$9,704	\$0	Yes	Non-Qualified
DIANT PHARMA INC	UConn Tip, Farmington	Healthcare	325412	No	28-Oct-21	CBIF	Conv. Debt	\$100,000	\$0	Yes	Non-Qualified
Dicom Director	470 James Street, New Haven	Healthcare	511210	No	23-Jan-20	Proof Of Concept	Conv. Debt	\$100,000	\$100,000	Yes	Non-Qualified
Direct Color, Inc.	99 Hammer Mill Rd, Rocky Hill	Other	323111	No	30-Sep-16	Venture Debt	DEBT	\$500,000	\$0	Yes	Non-Qualified
Direct Color, Inc.	99 Hammer Mill Rd, Rocky Hill	Other	323111	No	10-Feb-17	Venture Debt	DEBT	\$500,000	\$0	Yes	Non-Qualified
Dream Payments (USA) Inc.	171 East Liberty Street, Suite 201, Liberty Village Toronto	IT	518210	Yes	17-Jan-23	Future Fund	Equity	\$33	\$0	Yes	Non-Qualified
Dream Payments (USA) Inc.	171 East Liberty Street, Suite 201, Liberty Village Toronto	IT	518210	Yes	5-Apr-23	Future Fund	Equity	\$500,000	\$7,200,000	Yes	Non-Qualified
Dream Payments (USA) Inc.	171 East Liberty Street, Suite 201, Liberty Village Toronto	IT	518210	Yes	23-Nov-16	Eli Whitney	Equity	\$1,000,000	\$0	Yes	Non-Qualified
Dream Payments (USA) Inc.	172 East Liberty Street, Suite 201, Liberty Village Toronto	IT	518210	Yes	06-Feb-17	Eli Whitney	Equity	\$538,231	\$6,000,000	Yes	Non-Qualified
Dream Payments (USA) Inc.	173 East Liberty Street, Suite 201, Liberty Village Toronto	IT	518210	Yes	14-Dec-17	Eli Whitney	Conv. Debt	\$1,000,000	\$1,562,500	Yes	Non-Qualified
Driver Technologies Inc.	PO Box 1481, Southampton	IT	513210	No	30-Mar-23	Eli Whitney	Conv. Debt	\$500,000	\$1,565,452	Yes	Non-Qualified
Driver Technologies Inc.	PO Box 1481, Southampton	IT	513210	No	11-Apr-23	Eli Whitney	Conv. Debt	\$500,000	\$1,829,398	Yes	Non-Qualified
Dugri Inc	125 Hillandale Rd, Westport, NY	Healthcare	622	No	13-Jul-21	CBIF	SAFENote	\$150,000	\$1,350,000	Yes	Non-Qualified
EatOcco Co	480 Barnum Ave, Bldg 5, Ste 15, Bridgeport	Consumer	311942	Yes	27-Mar-20	Eli Whitney	Conv. Debt	\$150,000	\$690,000	Yes	Non-Qualified
EatOcco Co	480 Barnum Ave, Bldg 5, Ste 15, Bridgeport	Consumer	311942	Yes	25-May-21	Proof Of Concept	Conv. Debt	\$100,000	\$0	Yes	Non-Qualified
Edge2Web, Inc.	4 Roxbury Rd, Woodbury	IT	511210	No	04-Oct-17	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Edge2Web, Inc.	4 Roxbury Rd, Woodbury	IT	511210	No	20-Apr-18	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Electric Boat Corp.	75 Eastern Point Road, Groton	Manufacturer	336610	No	19-Oct-18	Sales & Use	Tax Exemption	\$20,000,000.00	\$0.00	NA	Non-Qualified
Elidah, Inc.	810 Main St. Ste C, Monroe	Healthcare	334510	Yes	06-Jun-18	Pre-Seed	Conv. Debt	\$150,000	\$410,000	Yes	Non-Qualified
Elidah, Inc.	810 Main St. Ste C, Monroe	Healthcare	334510	Yes	6-Jun-23	Pre-Seed	Equity	\$59,900	\$1,373,000	Yes	Non-Qualified
Ellis Brooklyn, LLC	11 Forest St, New Canaan	Consumer	456120	Yes	5-May-23	SBCI 2.0- Equity	Conv. Debt	\$500,000	\$1,650,000	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
Elm Street Ventures	33 Whitney Ave, New Haven	Venture Fund	523190	No	20-May-21	CBIF	Venture Fund	\$1,233,668	N/A	No	Non-Qualified
Elm Street Ventures	33 Whitney Ave, New Haven	Venture Fund	523190	No	16-Nov-21	CBIF	Venture Fund	\$198,750	N/A	No	Non-Qualified
Elm Street Ventures	33 Whitney Ave, New Haven	Venture Fund	523190	No	12-May-22	CBIF	Venture Fund	\$142,500	N/A	No	Non-Qualified
Elm Street Ventures	33 Whitney Ave, New Haven	Venture Fund	523190	No	19-Dec-22	Fund of Fund	Venture Fund	\$120,000	N/A	Yes	Non-Qualified
Encapsulate, LLC	50 Morgan St, Hartford	Healthcare	62151	Yes	28-Apr-22	CBIF	Conv. Debt	\$150,000	\$83,900	Yes	Non-Qualified
Encore Budderfly	2 Trapp Falls, Shelton	Climate Tech	541690	No	31-Jul-22	Venture Debt	Equity	\$1,865,035	\$94,134,965	Yes	Non-Qualified
Enko Chem, Inc.	62 Maritime Drive, Mystic	Healthcare	541714	Yes	11-Mar-21	CBIF	Equity	\$2,200,000	\$47,800,000	Yes	Non-Qualified
Enko Chem, Inc.	62 Maritime Drive, Mystic	Healthcare	541714	Yes	15-Jul-22	CBIF	Equity	\$1,632,409	\$78,367,591	Yes	Non-Qualified
Enko Realty	62 Maritime Drive, Mystic	Climate Tech	541714	No	26-May-21	Venture Debt	DEBT	\$2,000,000	\$0	Yes	Non-Qualified
Enrich Therapeutics, Inc.	21 Business Park Drive, Branford	Healthcare	541714	Yes	11-May-20	CBIF	Equity	\$150,000	\$75,000	Yes	Non-Qualified
Enrich Therapeutics, Inc.	21 Business Park Drive, Branford	Healthcare	541714	Yes	16-May-22	CBIF	Equity	\$832,000	\$2,925,000	Yes	Non-Qualified
Enviro Power, LLC	220 Burnham Street, South Windsor	Climate Tech	333611	No	18-Jan-17	Pre-Seed	Equity	\$75,000	\$37,500	Yes	Non-Qualified
Enviro Power, LLC	5 Turnberry Lane, Sandy Hook	Climate Tech	541330	No	12-Jun-17	Pre-Seed	Equity	\$75,000	\$37,500	Yes	Non-Qualified
Enviro Power, LLC	220 Burnham Street, South Windsor	Climate Tech	333611	No	05-Jul-18	Eli Whitney	Equity	\$122,825	\$125,000	Yes	Non-Qualified
Enviro Power, LLC	220 Burnham Street, South Windsor	Climate Tech	333611	No	03-Oct-19	Eli Whitney	Equity	\$500,000	\$1,600,000	Yes	Non-Qualified
Enviro Power, LLC	220 Burnham Street, South Windsor	Climate Tech	333611	No	03-Oct-19	Eli Whitney	Equity	\$40,632	\$0	Yes	Non-Qualified
Enviro Power, LLC	220 Burnham Street, South Windsor	Climate Tech	333611	No	04-Jun-20	Eli Whitney	Equity	\$250,000	\$250,000	Yes	Non-Qualified
Enviro Power, LLC	220 Burnham Street, South Windsor	Climate Tech	333611	No	04-Feb-21	Eli Whitney	Equity	\$250,000	\$250,000	Yes	Non-Qualified
Enviro Power, LLC	220 Burnham Street, South Windsor	Climate Tech	333611	No	01-Oct-21	Eli Whitney	Conv. Debt	\$500,000	\$660,000	Yes	Non-Qualified
Environmental Energy Services, Inc.	5 Turnberry Lane, Sandy Hook	Climate Tech	541330	No	10-Aug-07	Eli Whitney	Equity	\$354,082	\$500,000	Yes	Non-Qualified
Environmental Energy Services, Inc.	5 Turnberry Lane, Sandy Hook	Climate Tech	541330	No	15-Apr-10	Eli Whitney	Equity	\$29,507	\$0	Yes	Non-Qualified
Environmental Energy Services, Inc.	5 Turnberry Lane, Sandy Hook	Climate Tech	541330	No	07-Jun-13	Eli Whitney	Equity	\$2	\$0	Yes	Non-Qualified
Environmental Energy Services, Inc.	5 Turnberry Lane, Sandy Hook	Climate Tech	541330	No	21-Mar-19	Eli Whitney	Equity	\$175,085	\$0	Yes	Non-Qualified
Epicure, Inc.	60 Franklin Street, Norwich	Manufacturer	312120	Female	26-Feb-16	CAB	Guarantee	\$150,000.00	\$150,000.00	No	Non-Qualified
Eve Nexus Inc.	12 Wooddale Road, Greenwich	Climate Tech	336111	No	20-Sep-21	Proof Of Concept	Conv. Debt	\$100,000	\$9,900,000	Yes	Non-Qualified
Eve Nexus Inc.	12 Wooddale Road, Greenwich	Climate Tech	336111	No	20-Sep-21	Pre-Seed	Conv. Debt	\$100,000	\$9,900,000	Yes	Non-Qualified
EyeControl US, Inc.	1007 N Orange St, 4th Fl, Wilmington	IT	339112	No	04-Jun-20	CBIF	Conv. Debt	\$1,000,000	\$2,405,000	Yes	Non-Qualified
Fintron, Inc.	8 Orbit Lane 3135 Easton Tnpk, Hopewell Junction	IT	551112	No	03-Nov-21	Proof Of Concept	Conv. Debt	\$100,000	\$0	Yes	Non-Qualified
Fintron, Inc.	9 Orbit Lane 3135 Easton Tnpk, Hopewell Junction	IT	551112	No	28-Mar-22	Eli Whitney	Equity	\$1,000,000	\$4,500,000	Yes	Non-Qualified
Flexi-International Software, Inc.	One Research Drive, Shelton	Service	0	No	1-Dec-97	GROWTH-EQT	Loan	\$600,000.00	\$0.00	No	Non-Qualified
Flow ID, Inc.	Broad St, Windsor	IT	511210	No	29-Oct-21	Proof Of Concept	Conv. Debt	\$50,000	\$420,000	Yes	Non-Qualified
Freshbev LLC	26 Kendall Street, New Haven	Manufacturer	3121	No	12-Jun-15	WORKA	Loan	\$1,300,000.00	\$0.00	Yes	Non-Qualified
Friendable/Artist Republic	5 Beach Rd E, Old Saybrook	IT	811410	Yes	11-Aug-20	Eli Whitney	Equity	\$100,000	\$540,000	Yes	Non-Qualified
Frito-Lay North America, Inc.	1886 Upper Maple Street, Dayville	Manufacturer	311919	No	24-May-21	Sales & Use	Tax Exemption	\$5,500,000.00	\$0.00	NA	Non-Qualified
futuresTHRIVE LTD	67 Deepwood Rd, Darien	IT	621111	Yes	10-Aug-21	Proof Of Concept	Conv. Debt	\$100,000	\$200,000	Yes	Non-Qualified
GeneDx	333 Ludlow St, South Tower, 3rd Fl, Stamford	Healthcare	541714	No	01-Aug-19	Eli Whitney	Equity	\$1,000,034	\$126,599,966	Yes	Non-Qualified
GeneDx	333 Ludlow St, South Tower, 3rd Fl, Stamford	Healthcare	541714	No	27-Aug-20	Eli Whitney	Equity	\$1,000,000	\$120,000,000	Yes	Non-Qualified
GeneDx	333 Ludlow St, South Tower, 3rd Fl, Stamford	Healthcare	541714	No	27-Jul-21	Eli Whitney	Equity	\$0	\$500,000,000	Yes	Non-Qualified
Globekeeper Inc.	1303 Habersham Mill Road, Demorest	IT	541511	No	1-Nov-17	WORKA	Loan	\$150,000.00	\$0.00	No	Non-Qualified
GoNation	125 Old Gate Lane, Milford	IT	518210	No	09-Nov-17	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
GoNation	125 Old Gate Lane, Milford	IT	518210	No	12-Jan-18	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
GoNation	125 Old Gate Lane, Milford	IT	518210	No	03-Aug-18	Eli Whitney	Conv. Debt	\$200,000	\$200,000	Yes	Non-Qualified
GoNation	125 Old Gate Lane, Milford	IT	518210	No	20-Nov-18	Eli Whitney	Conv. Debt	\$50,000	\$50,000	Yes	Non-Qualified
GoodSam P.B.C, Inc.	14 Francine Drive, Greenwich	Consumer	311352	Yes	26-Jan-22	Pre-Seed	Conv. Debt	\$100,000	\$500,000	Yes	Non-Qualified
GoodSam P.B.C, Inc.	14 Francine Drive, Greenwich	Consumer	311352	Yes	26-Jan-22	Proof Of Concept	Conv. Debt	\$100,000	\$500,000	Yes	Non-Qualified
Goodwin College	745 Burnside Avenue, East Hartford	Higher Ed	611210	No	7-Sep-07	BROWN-TIF	Loan	\$3,000,000.00	\$21,660,000.00	No	Non-Qualified
Gynion, LLC	286 Stroebel Rd, Trumbull	Healthcare	339112	No	26-Mar-19	CBIF	Conv. Debt	\$75,000	\$100,000	Yes	Non-Qualified
Gynion, LLC	286 Stroebel Rd, Trumbull	Healthcare	339112	No	16-May-20	CBIF	Conv. Debt	\$41,000	\$20,500	Yes	Non-Qualified
Halda Therapeutics	115 Munson St, Ste 101, New Haven	Healthcare	541714	No	21-Feb-19	CBIF	Equity	\$123,762	\$6,126,981	Yes	Non-Qualified
Halda Therapeutics	115 Munson St, Ste 101, New Haven	Healthcare	541714	No	24-Jan-20	CBIF	Equity	\$178,218	\$8,775,247	Yes	Non-Qualified
Halda Therapeutics	115 Munson St, Ste 101, New Haven	Healthcare	541714	No	11-Mar-21	CBIF	Equity	\$198,020	\$9,747,772	Yes	Non-Qualified
Halda Therapeutics	115 Munson St, Ste 101, New Haven	Healthcare	541714	No	01-Dec-21	CBIF	Equity	\$1,400,000	\$39,590,000	Yes	Non-Qualified
Hally Hair, Inc.	1757 Ponus Ridge Rd, New Canaan	Consumer	454111	Yes	8-Nov-22	Future Fund	Equity	\$1,000,000	\$4,674,996	Yes	Non-Qualified
Hally Hair, Inc.	1757 Ponus Ridge Rd, New Canaan	Consumer	454111	Yes	30-Jun-21	Pre-Seed	Conv. Debt	\$50,000	\$3,100,000	Yes	Non-Qualified
Hally Hair, Inc.	1757 Ponus Ridge Rd, New Canaan	Consumer	454111	Yes	30-Jun-21	Proof Of Concept	Conv. Debt	\$100,000	\$0	Yes	Non-Qualified
Health Outlook Corporation	555 Madison Ave, New York	Healthcare	541714	No	06-Jun-22	CBIF	Equity	\$150,000	\$5,484,780	Yes	Non-Qualified
Heritage Auto Works, LLC DMC Property Mgmt	68 Diamond Ave, Plainville	Service	811111	No	19-Jun-18	CAB	Guarantee	\$82,500.00	\$82,500.00	No	Non-Qualified
HighCape Partners	36 Church St, Westport	Venture Fund	523190	No	07-Jul-21	CBIF	Venture Fund	\$1,241,603	N/A	No	Non-Qualified
HighCape Partners	36 Church St, Westport	Venture Fund	523190	No	01-Nov-21	CBIF	Venture Fund	\$390,000	N/A	No	Non-Qualified
HighCape Partners	36 Church St, Westport	Venture Fund	523190	No	31-May-23	Fund of Fund	Venture Fund	\$54,000	N/A	Yes	Non-Qualified
HighCape Partners	36 Church St, Westport	Venture Fund	523190	No	19-Dec-22	Fund of Fund	Venture Fund	\$256,500	N/A	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
Holisto, Ltd	500 West Putnam Ave, Greenwich	IT	541519	No	20-Nov-19	Eli Whitney	Equity	\$600,000	\$5,750,000	Yes	Non-Qualified
Holisto, Ltd	501 West Putnam Ave, Greenwich	IT	541519	No	14-Sep-20	Eli Whitney	Equity	\$330,000	\$2,270,000	Yes	Non-Qualified
Holisto, Ltd	502 West Putnam Ave, Greenwich	IT	541519	No	14-Jun-22	Eli Whitney	SAFENote	\$1,500,000	\$3,500,000	Yes	Non-Qualified
Holisto, Ltd	502 West Putnam Ave, Greenwich	IT	541519	No	11-Nov-22	Venture Debt	DEBT	\$2,500,000	\$2,500,000	Yes	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	05-Oct-21	Fund of Fund	Venture Fund	\$883,932	\$50,028,092	No	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	19-Oct-21	Fund of Fund	Venture Fund	\$33,778	\$1,966,222	No	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	01-Nov-21	Fund of Fund	Venture Fund	\$38,259	\$2,361,741	No	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	18-Nov-21	Fund of Fund	Venture Fund	\$0	\$3,067,500	No	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	30-Nov-21	Fund of Fund	Venture Fund	\$36,774	\$2,263,226	No	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	20-Dec-21	Fund of Fund	Venture Fund	\$191,865	\$11,808,135	No	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	24-Jan-22	Fund of Fund	Venture Fund	\$244,628	\$15,055,372	No	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	04-Feb-22	Fund of Fund	Venture Fund	\$203,057	\$12,496,943	No	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	30-Jan-23	Fund of Fund	Venture Fund	\$57,350	\$3,742,661	Yes	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	1-Dec-22	Fund of Fund	Venture Fund	\$87,534	\$5,712,469	Yes	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	8-Sep-22	Fund of Fund	Venture Fund	\$225,000	\$14,683,500	Yes	Non-Qualified
HSCM Ventures Fund	100 Park Ave, New York	Venture Fund	523190	No	31-May-23	Fund of Fund	Venture Fund	\$233,928	\$15,266,109	Yes	Non-Qualified
Hudson Baylor, Corp.	300 Rye Street Nutmeg Recycling, South Windsor	Service	4953	No	13-Aug-04	BROWN-TIF	Loan	\$470,000.00	\$0.00	No	Non-Qualified
Hy LaBonne and Sons, Inc.	238 Main Street South, Woodbury	Retail	445110	NA	1-Dec-14	WORKA-PART	Loan	\$1,000,000.00	\$0.00	No	Non-Qualified
Imphycte, Inc.	241 Mountville Avenue, Haddonfield	Healthcare	541714	No	16-Jul-19	CBIF	Conv. Debt	\$150,000	\$75,000	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	24-Apr-23	Eli Whitney	Equity	\$2,339,525	\$18,160,475	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	03-Mar-14	Pre-Seed	Equity	\$75,000	\$37,500	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	28-Aug-14	Pre-Seed	Equity	\$75,000	\$37,500	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	23-Dec-16	Eli Whitney	Equity	\$861,020	\$750,000	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	09-Apr-18	Eli Whitney	Equity	\$250,000	\$250,000	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	27-Dec-18	Eli Whitney	Equity	\$250,000	\$250,000	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	26-Mar-19	Eli Whitney	Equity	\$250,000	\$1,130,000	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	20-Feb-20	Eli Whitney	Equity	\$564,070	\$2,935,930	Yes	Non-Qualified
Inbox Health Corp.	55 Church Street, New Haven	IT	511210	No	01-Mar-21	Eli Whitney	Equity	\$1,000,000	\$14,000,000	Yes	Non-Qualified
IntelliBoard, Inc.	20 Aspetuck Lane, Monroe	IT	541511	No	24-Apr-20	Eli Whitney	Equity	\$1,000,000	\$2,415,000	Yes	Non-Qualified
IntelliBoard, Inc.	20 Aspetuck Lane, Monroe	IT	541511	No	13-Jan-22	Eli Whitney	Equity	\$1,000,000	\$1,000,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	16-Feb-11	Eli Whitney	Equity	\$300,000	\$200,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	16-Feb-11	Eli Whitney	Equity	\$300,000	\$200,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	30-Jun-11	Eli Whitney	Equity	\$31,200	\$0	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	06-Sep-12	Eli Whitney	Equity	\$101,750	\$399,666	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	13-Dec-12	Eli Whitney	Equity	\$73,250	\$426,750	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	10-Jun-13	Eli Whitney	Equity	\$104,880	\$495,120	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	21-Jan-14	Eli Whitney	Equity	\$85,000	\$315,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	10-Jul-14	Eli Whitney	Conv. Debt	\$58,735	\$391,265	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	22-Jan-15	Eli Whitney	Equity	\$74,060	\$172,585	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	12-Aug-15	Eli Whitney	Equity	\$100,000	\$200,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	20-May-16	Eli Whitney	Equity	\$50,000	\$200,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	31 Lakeview Ave, New Canaan	IT	519130	No	27-Jun-17	Eli Whitney	Conv. Debt	\$100,000	\$300,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	11-Jan-18	Eli Whitney	Conv. Debt	\$25,000	\$25,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	23-Feb-18	Eli Whitney	Conv. Debt	\$15,000	\$15,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	21-Mar-18	Eli Whitney	Conv. Debt	\$10,000	\$10,000	Yes	Non-Qualified
Intelligent Clearing Network, Inc.	110 Washington Ave, North Haven	IT	541519	No	13-Nov-19	Eli Whitney	Equity	\$65,312	\$0	Yes	Non-Qualified
Intellihealth, Inc.	69 Grove Street, 2nd floor New Canaan	Healthcare	621112	Yes	04-Nov-21	CBIF	Equity	\$1,000,000	\$7,400,000	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	19-Oct-15	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	11-Mar-16	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	09-Nov-16	CBIF	Conv. Debt	\$250,000	\$0	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	07-Dec-17	Eli Whitney	Conv. Debt	\$35,225	\$35,225	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	07-Dec-17	CBIF	Conv. Debt	\$581,834	\$500,000	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	24-May-19	Eli Whitney	Equity	\$31,970	\$0	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	29-May-19	CBIF	Equity	\$404,758	\$325,000	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	24-Jul-19	CBIF	Equity	\$250,000	\$825,000	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	14-May-20	CBIF	Equity	\$250,000	\$250,000	Yes	Non-Qualified
Intus Biosciences	400 Farmington Ave, Farmington	Healthcare	541714	No	21-Jan-22	CBIF	Conv. Debt	\$25,000	\$225,000	Yes	Non-Qualified
Invea Therapeutics, Inc	2614 Boston Post Rd, Guilford	Healthcare	541714	Yes	22-Feb-23	CBIF	Equity	\$499,997	\$5,944,996	Yes	Non-Qualified
Invivium Access, Inc.	111 Gordon Baker Rd, Ste 300, North York, ON	IT	541613	Yes	29-Mar-19	Eli Whitney	Equity	\$653,000	\$850,000	Yes	Non-Qualified
Invivium Access, Inc.	111 Gordon Baker Rd, Ste 300, North York, ON	IT	541613	Yes	28-May-20	Eli Whitney	Equity	\$347,000	\$2,100,764	Yes	Non-Qualified
Iridia, Inc.	5937 Darwin Ct, Ste 109, Carlsbad	IT	334112	Yes	24-May-17	Eli Whitney	Equity	\$250,000	\$250,000	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
Iridia, Inc.	5937 Darwin Ct, Ste 109, Carlsbad	IT	334112	Yes	15-Dec-17	Eli Whitney	Equity	\$250,000	\$3,750,000	Yes	Non-Qualified
Iridia, Inc.	5937 Darwin Ct, Ste 109, Carlsbad	IT	334112	Yes	01-Mar-21	Eli Whitney	Equity	\$0	\$21,000,000	Yes	Non-Qualified
Iridia, Inc.	5937 Darwin Ct, Ste 109, Carlsbad	IT	334112	Yes	03-Nov-21	Eli Whitney	Equity	\$0	\$6,000,000	Yes	Non-Qualified
JC Franchising Group LLC	20 Church Street, Hartford	Other	454390	NA	11-Oct-18	WORKA	Loan	\$250,000.00	\$0.00	No	Non-Qualified
JR Multiple Services, LLC	39 Peggy Lane, Farmington	Service	561720	No	21-Sep-12	CAB	Guarantee	\$4,500.00	\$10,500.00	No	Non-Qualified
Kibu	32 Cutler Rd, Greenwich	Healthcare	81	Yes	27-Sep-22	Pre-Seed	SAFENote	\$50,000	\$350,000	Yes	Non-Qualified
Kibu	32 Cutler Rd, Greenwich	Healthcare	81	Yes	27-Sep-22	Proof of Concept	SAFENote	\$100,000	\$500,000	Yes	Non-Qualified
Kinaptic LLC	30 Renees Way, Madison	Healthcare	334510	No	13-Mar-17	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Kinaptic LLC	30 Renees Way, Madison	Healthcare	334510	No	06-Sep-17	Pre-Seed	Conv. Debt	\$50,000	\$25,000	Yes	Non-Qualified
Kinaptic LLC	30 Renees Way, Madison	Healthcare	334510	No	21-Nov-17	Pre-Seed	Conv. Debt	\$25,000	\$12,500	Yes	Non-Qualified
Kinaptic LLC	30 Renees Way, Madison	Healthcare	334510	No	24-Jun-20	Eli Whitney	Conv. Debt	\$25,000	\$25,000	Yes	Non-Qualified
KR Restaurant d/b/a The Old Fashion, LLC	686 Main Street, Middletown	Service	722511	NA	16-Aug-16	CAB	Guarantee	\$150,000.00	\$195,000.00	No	Non-Qualified
LambdaVision Incorporated	400 Farmington Ave MC 6409, Farmington	Healthcare	541714	Yes	29-Sep-11	Pre-Seed	Equity	\$40,000	\$20,000	Yes	Non-Qualified
LambdaVision Incorporated	400 Farmington Ave MC 6409, Farmington	Healthcare	541714	Yes	07-Mar-13	Pre-Seed	Equity	\$66,588	\$29,450	Yes	Non-Qualified
LambdaVision Incorporated	400 Farmington Ave MC 6409, Farmington	Healthcare	541714	Yes	22-Jan-14	Pre-Seed	Equity	\$51,100	\$25,550	Yes	Non-Qualified
LambdaVision Incorporated	400 Farmington Ave MC 6409, Farmington	Healthcare	541714	Yes	14-Sep-17	CBIF	Equity	\$500,000	\$0	Yes	Non-Qualified
LambdaVision Incorporated	400 Farmington Ave MC 6409, Farmington	Healthcare	541714	Yes	17-Sep-17	Eli Whitney	Equity	\$105,969	\$0	Yes	Non-Qualified
Landsdowne Labs	1073N. Benson Road, Fairfield	Healthcare	541715	Yes	23-Jan-18	CBIF	Equity	\$500,000	\$1,100,000	Yes	Non-Qualified
Landsdowne Labs	1073N. Benson Road, Fairfield	Healthcare	541715	Yes	26-Mar-18	CBIF	Equity	\$500,000	\$500,000	Yes	Non-Qualified
Landsdowne Labs	1073N. Benson Road, Fairfield	Healthcare	541715	Yes	01-Oct-20	CBIF	Conv. Debt	\$125,000	\$338,950	Yes	Non-Qualified
LBI, Inc.	973 North Road, Groton	Manufacturer	336610	NA	19-Feb-15	WORKA	Loan	\$315,642.00	\$0.00	Yes	Non-Qualified
LBI, Inc.	973 North Road, Groton	Manufacturer	336610	NA	24-Nov-15	WORKA	Loan	\$351,271.00	\$0.00	Yes	Non-Qualified
LBI, Inc.	973 North Road, Groton	Manufacturer	336610	NA	28-May-15	WORKA	Loan	\$324,922.00	\$0.00	Yes	Non-Qualified
LBI, Inc.	973 North Road, Groton	Manufacturer	336610	NA	19-Dec-14	WORKA	Loan	\$1,214,787.73	\$0.00	Yes	Non-Qualified
LBI, Inc.	973 North Road, Groton	Manufacturer	336610	NA	23-Dec-14	WORKA	Loan	\$191,153.50	\$0.00	Yes	Non-Qualified
LBI, Inc.	973 North Road, Groton	Manufacturer	336610	NA	11-Feb-15	WORKA	Loan	\$193,846.50	\$0.00	Yes	Non-Qualified
LBI, Inc.	973 North Road, Groton	Manufacturer	336610	NA	23-Dec-14	WORKA	Loan	\$443,376.22	\$0.00	Yes	Non-Qualified
LensesOnly, LLC	812 Park Avenue, Bloomfield	Retail	621320	No	27-Feb-18	CAB	Guarantee	\$50,000.00	\$50,000.00	No	Non-Qualified
LessonBee, Inc.	31 Palmer Ave, Mount Vernon	IT	611710	Yes	08-Jun-21	Proof Of Concept	Conv. Debt	\$100,000	\$900,000	Yes	Non-Qualified
Lessonbee, Inc.	31 Palmer Ave, Mount Vernon	IT	611710	Yes	22-Jul-22	Pre-Seed	Conv. Debt	\$150,000	\$1,200,000	Yes	Non-Qualified
LifeStyleCX, Inc.	64 Horseshoe Rd, Guilford	IT	541511	Yes	25-Jun-18	Pre-Seed	Conv. Debt	\$75,000	\$75,000	Yes	Non-Qualified
LifeStyleCX, Inc.	64 Horseshoe Rd, Guilford	IT	541511	Yes	19-Sep-18	Eli Whitney	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
LifeStyleCX, Inc.	64 Horseshoe Rd, Guilford	IT	541511	Yes	03-Jan-20	Eli Whitney	Conv. Debt	\$100,000	\$500,000	Yes	Non-Qualified
Look Optic, LLC	55 Post Road West, Westport	Consumer	446130	No	21-Dec-21	Eli Whitney	Conv. Debt	\$400,000	\$0	Yes	Non-Qualified
LRI LLC	29 S. Main Street, West Hartford	Financial Services	523920	Female	24-Oct-17	CAB	Guarantee	\$150,000.00	\$150,000.00	No	Non-Qualified
Lumeda, Inc.	101 Hammer Mill Road, Rocky Hill	Healthcare	541714	No	20-Feb-20	CBIF	Equity	\$150,000	\$150,001	Yes	Non-Qualified
Lumeda, Inc.	101 Hammer Mill Road, Rocky Hill	Healthcare	541714	No	15-May-20	CBIF	Equity	\$350,000	\$389,999	Yes	Non-Qualified
Lumeda, Inc.	101 Hammer Mill Road, Rocky Hill	Healthcare	541714	No	28-Jan-21	CBIF	Equity	\$1,000,000	\$3,300,000	Yes	Non-Qualified
M & M Media	700 Canal Street, Stamford	IT	511210	No	28-Nov-17	Eli Whitney	Equity	\$999,998	\$6,000,002	Yes	Non-Qualified
M & M Media	700 Canal Street, Stamford	IT	511210	No	24-May-19	Eli Whitney	Conv. Debt	\$714,885	\$2,585,115	Yes	Non-Qualified
M & M Media	700 Canal Street, Stamford	IT	511210	No	01-Jul-20	Eli Whitney	Conv. Debt	\$500,000	\$1,000,000	Yes	Non-Qualified
Matrix Rental Solutions Inc	14 Riverview Court, Greenwich	IT	541514	Yes	3-Mar-23	SSBCI 2.0- Equity	SAFENote	\$275,000	\$275,000	Yes	Non-Qualified
Medtel.com, Inc.	One Park Ave, Old Greenwich	Healthcare	541990	No	30-Jun-23	CBIF	Equity	\$1,327,512	\$1,233,847	Yes	Non-Qualified
Medtel.com, Inc.	One Park Ave, Old Greenwich	Healthcare	541990	No	10-Apr-19	CBIF	Conv. Debt	\$150,000	\$1,350,000	Yes	Non-Qualified
Mental Canvas	61 Hartford Ave, Madison	IT	511210	Yes	27-Nov-17	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Mental Canvas	61 Hartford Ave, Madison	IT	511210	Yes	31-Jan-18	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Meter Health	Farmington	Healthcare		No	15-Jul-22	Proof of Concept	Conv. Debt	\$100,000	\$700,000	Yes	Non-Qualified
Mike's Organic Delivery, LLC	377 Fairfield Ave, Stamford	Consumer	445110	No	01-Oct-21	Proof Of Concept	Conv. Debt	\$100,000	\$4,058,683	Yes	Non-Qualified
Millennium Builders, Inc.	50 Inwood Road, Rocky Hill	Service	236220	NA	7-Aug-17	CAB	Guarantee	\$150,000.00	\$350,000.00	No	Non-Qualified
MindNest Health	132 Drinkwater Road, Hampton Falls	Healthcare	621999	No	03-Apr-20	CBIF	Conv. Debt	\$80,000	\$40,000	Yes	Non-Qualified
MindNest Health	132 Drinkwater Road, Hampton Falls	Healthcare	621999	No	09-Feb-21	CBIF	Conv. Debt	\$70,000	\$35,000	Yes	Non-Qualified
Mitotherapeutix, LLC	400 Farmington Ave, Farmington	Healthcare	541714	No	22-Apr-15	Pre-Seed	Conv. Debt	\$75,000	\$84,000	Yes	Non-Qualified
Mitotherapeutix, LLC	400 Farmington Ave, Farmington	Healthcare	541714	No	30-Jul-15	Pre-Seed	Conv. Debt	\$75,000	\$0	Yes	Non-Qualified
Mitotherapeutix, LLC	400 Farmington Ave, Farmington	Healthcare	541714	No	11-Dec-17	Eli Whitney	Conv. Debt	\$45,050	\$0	Yes	Non-Qualified
Mitotherapeutix, LLC	400 Farmington Ave, Farmington	Healthcare	541714	No	11-Dec-17	CBIF	Conv. Debt	\$500,000	\$50,000	Yes	Non-Qualified
Mitotherapeutix, LLC	400 Farmington Ave, Farmington	Healthcare	541714	No	24-Jan-20	CBIF	Conv. Debt	\$250,000	\$250,000	Yes	Non-Qualified
Mitotherapeutix, LLC	400 Farmington Ave, Farmington	Healthcare	541714	No	07-Apr-21	CBIF	Conv. Debt	\$100,000	\$100,000	Yes	Non-Qualified
Modifi Biosciences, Inc.	36 Church Lane, Westport	Healthcare	541714	No	28-Oct-21	CBIF	Equity	\$150,000	\$950,000	Yes	Non-Qualified
Modifi Biosciences, Inc.	36 Church Lane, Westport	Healthcare	541714	No	07-Apr-22	CBIF	Equity	\$500,000	\$3,924,033	Yes	Non-Qualified
Modifi Biosciences, Inc.	36 Church Lane, Westport	Healthcare	541714	No	07-Apr-22	CBIF	Equity	\$5,367	\$0	Yes	Non-Qualified
My Gene Counsel, LLC	PO Box 612, Branford	Healthcare	519130	Yes	23-Nov-16	CBIF	Conv. Debt	\$250,000	\$0	Yes	Non-Qualified

* Leverage amounts for companies with multiple draws shown on first transaction line

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Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
My Gene Counsel, LLC	PO Box 612, Branford	Healthcare	519130	Yes	21-Jul-17	CBIF	Conv. Debt	\$150,000	\$0	Yes	Non-Qualified
My Gene Counsel, LLC	PO Box 612, Branford	Healthcare	519130	Yes	11-Apr-18	CBIF	Conv. Debt	\$250,000	\$375,000	Yes	Non-Qualified
My Gene Counsel, LLC	PO Box 612, Branford	Healthcare	519130	Yes	13-Mar-19	CBIF	Conv. Debt	\$250,000	\$500,000	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	11-Aug-20	WORKA	Loan	\$68,509.24	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	23-Oct-15	WORKA	Loan	\$13,680.00	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	10-Sep-15	WORKA	Loan	\$19,451.25	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	9-Dec-15	WORKA	Loan	\$23,888.48	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	27-May-16	WORKA	Loan	\$90,591.53	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	29-Jul-15	WORKA	Loan	\$64,156.44	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	4-Mar-16	WORKA	Loan	\$32,335.54	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	12-Jul-16	WORKA	Loan	\$60,201.60	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	31-Oct-14	WORKA	Loan	\$401,541.42	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	19-Nov-15	WORKA	Loan	\$27,767.28	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	10-Aug-16	WORKA	Loan	\$24,482.02	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	11-Jun-15	WORKA	Loan	\$51,107.63	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	26-Apr-16	WORKA	Loan	\$83,816.72	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	5-Feb-16	WORKA	Loan	\$26,248.50	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	4-Oct-16	WORKA	Loan	\$45,416.53	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	4-May-17	WORKA	Loan	\$29,651.50	\$1,475,000.00	Yes	Non-Qualified
Mystic River Residential Care, Inc.	14 Godfrey Street, Mystic	Other	531120	Female	8-Nov-16	WORKA	Loan	\$52,371.27	\$1,475,000.00	Yes	Non-Qualified
Nanonix, Inc.	400 Farmington Ave, Farmington	Healthcare	325180	No	24-Nov-21	Proof Of Concept	Conv. Debt	\$100,000	\$550,000	Yes	Non-Qualified
Nest Collaborative Nursing and Lactation Services, P.C.	#8 Hatters Lane, Farmington	Healthcare	621411	Yes	25-Jan-21	Eli Whitney	Equity	\$250,000	\$1,250,000	Yes	Non-Qualified
Nest Collaborative Nursing and Lactation Services, P.C.	#8 Hatters Lane, Farmington	Healthcare	621411	Yes	14-Feb-22	Eli Whitney	Equity	\$264,712	\$1,750,000	Yes	Non-Qualified
Nest Collaborative Nursing and Lactation Services, P.C.	#8 Hatters Lane, Farmington	Healthcare	621411	Yes	19-Oct-22	SSBCI 2.0- Equity	Equity	\$500,000	\$3,000,000	Yes	Non-Qualified
Next Generation Properties, LLC	1155 New Britain Avenue, West Hartford	Other	722513	Male	20-Apr-17	CAB	Guarantee	\$150,000.00	\$200,000.00	No	Non-Qualified
Normunity, Inc.	285 Riverside Avenue, Suite 250, Westport	Healthcare	541714	No	11-Jan-22	CBIF	Equity	\$646,154	\$41,451,153	Yes	Non-Qualified
North Haven Commons Development, LP	300 Universal Drive, North Haven	Investor/Developer	531120	No	21-Jan-10	BROWN-TIF	Loan	\$2,300,000.00	\$39,500,000.00	No	Non-Qualified
Noteworthy AI, Inc	9 W Broad St, Stamford	Climate Tech		No	12-Jul-22	Pre-Seed	SAFENote	\$50,000	\$350,000	Yes	Non-Qualified
Noteworthy AI, Inc	9 W Broad St, Stamford	Climate Tech		No	12-Jul-22	Proof of Concept	SAFENote	\$100,000	\$1,900,000	Yes	Non-Qualified
NourishedRx	279 June Rd, Stamford	IT	621999	Yes	19-Aug-20	Pre-Seed	Conv. Debt	\$150,000	\$1,800,000	Yes	Non-Qualified
NourishedRx	279 June Rd, Stamford	IT	621999	Yes	17-Dec-21	CBIF	SAFENote	\$850,000	\$5,150,000	Yes	Non-Qualified
Nuka Enterprises, LLC	9690 Dallas Street, Henderson	Consumer	541990	No	29-May-23	Eli Whitney			\$41,000,000	Yes	Non-Qualified
Nuka Enterprises, LLC	9690 Dallas Street, Henderson	Consumer	541990	No	16-Feb-22	Eli Whitney	Equity	\$1,250,000	\$4,750,000	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	6-Mar-15	WORKA	Loan	\$5,755.56	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	25-Nov-14	WORKA	Loan	\$67,677.78	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	13-Jun-14	WORKA	Loan	\$159,545.57	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	31-Jul-14	WORKA	Loan	\$228,155.25	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	28-Jan-15	WORKA	Loan	\$104,566.20	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	26-Aug-14	WORKA	Loan	\$189,108.67	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	6-May-14	WORKA	Loan	\$291,792.75	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	26-Mar-14	WORKA	Loan	\$342,979.03	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	23-Dec-13	WORKA	Loan	\$215,650.00	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	27-Jun-14	WORKA	Loan	\$193,288.64	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	3-Feb-15	WORKA	Loan	\$51,532.08	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	5-May-14	WORKA	Loan	\$206,721.82	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	1-Oct-14	WORKA	Loan	\$176,107.10	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	22-May-14	WORKA	Loan	\$233,882.10	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	25-Nov-14	WORKA	Loan	\$137,928.42	\$3,925,225.00	Yes	Non-Qualified
Nuovo Pasta, LLC	1330 Honespot Road, Stratford	Manufacturer	311991	NA	16-Jul-14	WORKA	Loan	\$136,309.03	\$3,925,225.00	Yes	Non-Qualified
Oil Purification Systems, Inc.	2176 Thomaston Ave, Waterbury	Climate Tech	336390	No	29-Aug-14	Eli Whitney	Conv. Debt	\$160,000	\$0	Yes	Non-Qualified
OmniCyte, LLC	23 Business Park Drive, Branford	Healthcare	541711	Yes	28-Jun-17	Pre-Seed	Conv. Debt	\$75,000	\$160,000	Yes	Non-Qualified
OmniCyte, LLC	23 Business Park Drive, Branford	Healthcare	541711	Yes	05-Oct-18	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
OmniCyte, LLC	23 Business Park Drive, Branford	Healthcare	541711	Yes	08-Apr-20	CBIF	Conv. Debt	\$66,000	\$0	Yes	Non-Qualified
OncoSynergy, Inc.	850 Pacific Street, Unit 1802, Stamford	Healthcare	541711	No	19-Apr-18	CBIF	Equity	\$1,000,000	\$1,000,000	Yes	Non-Qualified
OncoSynergy, Inc.	850 Pacific Street, Unit 1802, Stamford	Healthcare	541711	No	14-Dec-18	CBIF	Equity	\$500,000	\$500,000	Yes	Non-Qualified
OncoSynergy, Inc.	850 Pacific Street, Unit 1802, Stamford	Healthcare	541711	No	10-May-19	CBIF	Equity	\$100,000	\$0	Yes	Non-Qualified
OncoSynergy, Inc.	850 Pacific Street, Unit 1802, Stamford	Healthcare	541711	No	28-Jun-19	CBIF	Equity	\$350,000	\$350,000	Yes	Non-Qualified
OncoSynergy, Inc.	850 Pacific Street, Unit 1802, Stamford	Healthcare	541711	No	02-Aug-19	CBIF	Equity	\$300,000	\$150,000	Yes	Non-Qualified
OneWayLimo.com, Inc.	42 Oliver Terrace, Shelton	Service	561599	NA	11-Jul-16	WORKA	Loan	\$150,000.00	\$0.00	No	Non-Qualified
OneWayLimo.com, Inc.	42 Oliver Terrace, Shelton	Service	561599	NA	14-Mar-23	WORKA	Loan	\$110,974.79	\$0.00	No	Non-Qualified
Onsert Media Group	18 South Main, Norwalk	IT	541870	Yes	12-Mar-18	Eli Whitney	Conv. Debt	\$150,000	\$180,000	Yes	Non-Qualified

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Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
Onsert Media Group	18 South Main, Norwalk	IT	541870	Yes	28-Feb-20	Eli Whitney	Conv. Debt	\$25,000	\$0	Yes	Non-Qualified
Onsert Media Group	18 South Main, Norwalk	IT	541870	Yes	11-Mar-21	Eli Whitney	Conv. Debt	\$25,000	\$25,000	Yes	Non-Qualified
Onsert Media Group	18 South Main, Norwalk	IT	541870	Yes	30-Nov-21	Eli Whitney	Conv. Debt	\$25,000	\$0	Yes	Non-Qualified
Ontrack Rehabilitation	468 Main St, Westport	Healthcare	519190	Yes	27-Jul-21	Proof Of Concept	Conv. Debt	\$100,000	\$50,000	Yes	Non-Qualified
Oova, Inc.	23 Lantern Circle, Stamford	Healthcare	339110	Yes	28-Oct-21	CBIF	SAFENote	\$150,000	\$650,000	Yes	Non-Qualified
Oova, Inc.	23 Lantern Circle, Stamford	Healthcare	339110	Yes	18-Aug-22	CBIF	Equity	\$1,000,000	\$6,189,172	Yes	Non-Qualified
Oxitone Medical	20 Church Street, Mezzanine Level, Hartford	Other	339112	NA	6-Sep-18	WORKA	Loan	\$250,000.00	\$0.00	No	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	19-Mar-13	Eli Whitney	Equity	\$860,932	\$350,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	19-Mar-14	Eli Whitney	Equity	\$583,312	\$500,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	18-Feb-15	SSBCI	Conv. Debt	\$149,902	\$200,098	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	09-Jun-15	SSBCI	Conv. Debt	\$107,073	\$142,936	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	27-Aug-15	Eli Whitney	Conv. Debt	\$100,000	\$100,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	04-Nov-15	Eli Whitney	Conv. Debt	\$100,000	\$100,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	27-Jan-16	Eli Whitney	Conv. Debt	\$100,000	\$100,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	13-May-16	Eli Whitney	Conv. Debt	\$100,000	\$166,500	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	26-Aug-16	Eli Whitney	Equity	\$25,000	\$3,574,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	10-Feb-17	Eli Whitney	Equity	\$824,947	\$5,175,053	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	11-Dec-18	Eli Whitney	Conv. Debt	\$286,369	\$1,467,000	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	30-May-19	Eli Whitney	Conv. Debt	\$200,000	\$799,999	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	25-Jul-19	Eli Whitney	Conv. Debt	\$173,371	\$726,629	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	04-Oct-19	Eli Whitney	Conv. Debt	\$51,047	\$1,048,955	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	25-Oct-19	Eli Whitney	Conv. Debt	\$275,581	\$0	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	02-Feb-21	Eli Whitney	Equity	\$150,000	\$23,419,718	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	06-Jun-22	Eli Whitney	Conv. Debt	\$320,000	\$2,540,941	Yes	Non-Qualified
P2 Science, Inc.	4 Research Dr, Woodbridge	Climate Tech	325900	No	18-Nov-22	Eli Whitney	Equity	\$76,684	\$1,125,000	Yes	Non-Qualified
Pangolin Therapeutics, Inc.	75 Ruggles Rd, Guilford	Healthcare	541714	Yes	28-May-21	CBIF	Conv. Debt	\$150,000	\$200,000	Yes	Non-Qualified
Panluminate, Inc.	290 Congress Ave New Haven	Healthcare	541380	No	12-Jan-22	CBIF	Conv. Debt	\$100,000	\$0	Yes	Non-Qualified
Paygiant, Inc.	470 James Street, Ste 8, New Haven	IT	541519	No	14-Aug-19	Eli Whitney	Equity	\$750,000	\$2,100,000	Yes	Non-Qualified
Paymentus Holdings	470 James St, New Haven	IT	541519	No	14-Aug-19	Eli Whitney	Equity	\$6,074,364	N/A	Yes	Non-Qualified
PDC International Corp.	Sheehan Avenue, Norwalk	Manufacturer	333993	No	3-Oct-08	WORKA	Loan	\$750,000.00	\$2,100,000.00	Yes	Non-Qualified
Plazmod Technologies	22 Richard Sweet Drive, Woodbridge	IT	541715	No	06-May-22	Proof Of Concept	Conv. Debt	\$250,000	\$70,000	Yes	Non-Qualified
Point Pickup Technologies	333 Ludlow St, 3rd Fl, Stamford	IT	541511	No	14-Apr-20	Eli Whitney	Conv. Debt	\$1,500,000	\$3,950,000	Yes	Non-Qualified
Point Pickup Technologies	333 Ludlow St, 3rd Fl, Stamford	IT	541511	No	13-May-21	Eli Whitney	Equity	\$2,000,000	\$20,150,000	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	17-Jul-13	WORKA	Loan	\$573,773.44	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	14-Mar-13	WORKA	Loan	\$381,915.28	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	1-Apr-14	WORKA	Loan	\$250,695.19	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	31-May-14	WORKA	Loan	\$232,279.50	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	16-May-14	WORKA	Loan	\$196,994.85	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	26-Feb-14	WORKA	Loan	\$192,897.58	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	3-Feb-14	WORKA	Loan	\$444,536.49	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	17-Jul-13	WORKA	Loan	\$437,569.96	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	23-Apr-13	WORKA	Loan	\$311,576.57	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	11-Oct-13	WORKA	Loan	\$173,989.40	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	10-Dec-13	WORKA	Loan	\$388,730.50	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	Pinnacle Road, New Britain	Manufacturer	336413	No	10-Jan-14	WORKA	Loan	\$413,041.25	\$5,000,000.00	Yes	Non-Qualified
Polamer Realty, LLC	655 Alton Brooks Way, New Britain	Manufacturer	336413	No	30-Jun-22	WORKA	Loan	\$900,000.00	\$0.00	No	Non-Qualified
Populi, Inc.	400 Farmington Ave, Farmington	Healthcare	541714	No	10-Mar-21	CBIF	Conv. Debt	\$1,850,000	\$1,850,000	Yes	Non-Qualified
Populi, Inc.	400 Farmington Ave, Farmington	Healthcare	541714	No	28-Jan-22	CBIF	Conv. Debt	\$250,000	\$750,000	Yes	Non-Qualified
Populi, Inc.	400 Farmington Ave, Farmington	Healthcare	541714	No	1-Nov-22	Venture Debt	DEBT	\$1,000,000	\$0	Yes	Non-Qualified
Populi, Inc.	400 Farmington Ave, Farmington	Healthcare	541714	No	11-Oct-22	Eli Whitney	Conv. Debt	\$600,000	\$1,400,000	Yes	Non-Qualified
Populi, Inc.	400 Farmington Ave, Farmington	Healthcare	541714	No	3-Apr-23	Eli Whitney	Conv. Debt	\$550,000	\$1,450,000	Yes	Non-Qualified
Precipio Diagnostics, LLC	4 Science Park, New Haven	Healthcare	621511	No	02-Jun-17	Eli Whitney	Equity	\$25,000	\$0	Yes	Non-Qualified
Predictably Human, Inc.	679 Post Rd, Darien	Healthcare	339112	No	8-Jul-22	CBIF	Equity	\$150,000	\$1,900,000	Yes	Non-Qualified
Project First Corporation	2 Bouldercrest Lane, Vernon	IT	541512	No	28-Sep-17	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Project First Corporation	2 Bouldercrest Lane, Vernon	IT	541512	No	17-May-18	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
ProteoWise, Inc.	85 Willow St, #7, New Haven	Healthcare	541714	No	20-Mar-20	CBIF	Equity	\$1,000,000	\$5,270,940	Yes	Non-Qualified
ProteoWise, Inc.	85 Willow St, #7, New Haven	Healthcare	541714	No	01-Apr-21	CBIF	Equity	\$0	\$2,653,559	Yes	Non-Qualified
Protos Biologics Inc.	38 Old Sherman Hill Road, Woodbury	Healthcare	541714	No	22-Jul-21	CBIF	Conv. Debt	\$150,000	\$3,600,000	Yes	Non-Qualified
QCDx LLC	400 Farmington Ave, R 1809, Farmington	Healthcare	541714	No	15-Dec-17	Pre-Seed	Conv. Debt	\$75,000	\$50,000	Yes	Non-Qualified
QCDx LLC	400 Farmington Ave, R 1809, Farmington	Healthcare	541714	No	06-Feb-18	Pre-Seed	Conv. Debt	\$75,000	\$50,000	Yes	Non-Qualified
QCDx LLC	400 Farmington Ave, R 1809, Farmington	Healthcare	541714	No	20-Dec-19	CBIF	Conv. Debt	\$50,000	\$0	Yes	Non-Qualified

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QCDx LLC	400 Farmington Ave, R 1809, Farmington	Healthcare	541714	No	05-Feb-21	CBIF	Conv. Debt	\$54,756	\$50,000	Yes	Non-Qualified
QENB LLC	35 Pearl Street, New Britain	Service	621210	NA	20-Feb-18	CAB	Guarantee	\$58,000.00	\$118,000.00	No	Non-Qualified
Quigler, Inc.	143 Hoyt St, 7 L, Stamford	IT	531210	Yes	14-Jul-17	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Quigler, Inc.	143 Hoyt St, 7 L, Stamford	IT	531210	Yes	01-Nov-17	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Quigler, Inc.	143 Hoyt St, 7 L, Stamford	IT	531210	Yes	28-Sep-18	Eli Whitney	Conv. Debt	\$60,000	\$100,000	Yes	Non-Qualified
Quigler, Inc.	143 Hoyt St, 7 L, Stamford	IT	531210	Yes	09-Nov-18	Eli Whitney	Conv. Debt	\$40,000	\$20,000	Yes	Non-Qualified
Raise Green	548 Orange St, New Haven	Climate Tech	523120	No	15-May-23	Climate Tech	SAFENote	\$300,000	\$0	Yes	Non-Qualified
Rallybio Corporation	234 Church Street, New Haven	Healthcare	551112	No	20-Apr-18	CBIF	Equity	\$162,161	\$5,837,839	Yes	Non-Qualified
Rallybio Corporation	234 Church Street, New Haven	Healthcare	551112	No	05-Apr-19	CBIF	Equity	\$243,240	\$9,356,756	Yes	Non-Qualified
Rallybio Corporation	234 Church Street, New Haven	Healthcare	551112	No	23-Jul-19	CBIF	Equity	\$270,272	\$9,729,728	Yes	Non-Qualified
Rallybio Corporation	234 Church Street, New Haven	Healthcare	551112	No	08-Oct-19	CBIF	Equity	\$324,325	\$11,675,673	Yes	Non-Qualified
Rallybio Corporation	234 Church Street, New Haven	Healthcare	551112	No	27-Mar-20	CBIF	Equity	\$1,999,999	\$143,200,001	Yes	Non-Qualified
Rallybio Corporation	234 Church Street, New Haven	Healthcare	551112	No	22-Jul-21	CBIF	Equity	\$0	\$80,600,000	Yes	Non-Qualified
Ranpak Corp	57 Waterview Drive, Shelton	Manufacturer	424130	NA	21-Dec-21	Sales & Use	Tax Exemption	\$750,000.00	\$0.00	NA	Qualified
Rellevate, Inc.	700 Canal St, Stamford	IT	511210	No	21-Aug-20	Pre-Seed	Conv. Debt	\$150,000	\$1,350,000	Yes	Non-Qualified
Rellevate, Inc.	700 Canal St, Stamford	IT	511210	No	21-Apr-21	Eli Whitney	Conv. Debt	\$350,000	\$600,000	Yes	Non-Qualified
Rellevate, Inc.	700 Canal St, Stamford	IT	511210	No	09-May-22	Eli Whitney	Equity	\$52,560	\$0	Yes	Non-Qualified
Rellevate, Inc.	700 Canal St, Stamford	IT	511210	No	09-May-22	Eli Whitney	Equity	\$750,000	\$3,250,000	Yes	Non-Qualified
ReRent	33 Eaton St, West Haven	IT	721199	Yes	22-Oct-21	Proof Of Concept	Conv. Debt	\$100,000	\$80,000	Yes	Non-Qualified
Revalia Bio, Inc.	65 Country Club Dr, Woodbridge	Healthcare	541714	Yes	18-Jan-23	SSBCI 2.0- Equity	SAFENote	\$200,000	\$2,700,000	Yes	Non-Qualified
RevMedica	175 Fort Path Road, Madison	Healthcare	339100	No	02-May-19	CBIF	Conv. Debt	\$150,000	\$650,000	Yes	Non-Qualified
RevMedica	175 Fort Path Road, Madison	Healthcare	339100	No	05-Jan-21	CBIF	Conv. Debt	\$100,000	\$450,000	Yes	Non-Qualified
Revmedica	175 Fort Path Road, Madison	Healthcare	339100	No	24-May-23	CBIF	Equity	\$69,304	\$11,430,691	Yes	Non-Qualified
RFJ Productions LLC	860 Silas Deane Highway, Wethersfield	Service	711190	Female	23-Jul-18	CAB	Guarantee	\$25,000.00	\$25,000.00	No	Non-Qualified
Richael, Inc.	379 East Main Street, Branford	Service	811192	No	20-Jun-08	CAB	Guarantee	\$90,000.00	\$210,000.00	No	Non-Qualified
RIGimmune, Inc.	23 Business Park Dr, Branford	Healthcare	541714	Yes	06-May-21	CBIF	Conv. Debt	\$150,000	\$140,000	Yes	Non-Qualified
RIGimmune, Inc.	23 Business Park Dr, Branford	Healthcare	541714	Yes	01-Feb-22	CBIF	Equity	\$500,000	\$5,200,000	Yes	Non-Qualified
RIGimmune, Inc.	23 Business Park Dr, Branford	Healthcare	541714	Yes	01-Feb-22	CBIF	Equity	\$9,133	*	Yes	Non-Qualified
RIGimmune, Inc.	23 Business Park Dr, Branford	Healthcare	541714	Yes	1-Sep-22	CBIF	Equity	\$500,000	*	Yes	Non-Qualified
RIGimmune, Inc.	23 Business Park Dr, Branford	Healthcare	541714	Yes	27-Oct-22	CBIF	Conv. Debt	\$0	\$4,580,000	Yes	Non-Qualified
River Rock Physical Therapy	744 Middletown Road, Colchester	Service	621340	Female	20-Aug-20	CAB	Guarantee	\$47,250.00	\$87,750.00	No	Non-Qualified
Roundtrip EV Solutions Inc.	700 Canal St, Stamford	Climate Tech	541620	No	9-Dec-22	Proof of Concept	Conv. Debt	\$100,000	*	Yes	Non-Qualified
Roundtrip EV Solutions Inc.	700 Canal St, Stamford	Climate Tech	541620	No	9-Dec-22	SSBCI 2.0- Equity	Conv. Debt	\$150,000	\$2,000,000	Yes	Non-Qualified
S & S Worldwide, Inc.	75 Mill Street, Colchester	IT	423920	No	06-Apr-22	Venture Debt	DEBT	\$6,000,000	\$4,100,000	Yes	Non-Qualified
Scalable Robotics	34 Ellsworth Lane, Ellington	IT	511210	No	28-Sep-22	Eli Whitney	Equity	\$750,000	\$1,750,000	Yes	Non-Qualified
Science Park Development Corp.	Five Science Park, New Haven	Development	0	No	27-May-94	WORKA	Loan	\$5,150,000.00	\$0.00	Yes	Non-Qualified
SedMed, Inc.	1 Bradley Rd, Woodbridge	Healthcare	339113	No	13-Dec-22	SSBCI 2.0- Equity	SAFENote	\$100,000	\$265,000	Yes	Non-Qualified
SedMed, Inc.	1 Bradley Rd, Woodbridge	Healthcare	339113	No	13-Dec-22	Proof of Concept	SAFENote	\$100,000	\$396,000	Yes	Non-Qualified
Sequin, Inc.	80 Sequin Drive, Glastonbury	IT	512110	No	29-Jun-21	Pre-Seed	Conv. Debt	\$150,000	\$525,000	Yes	Non-Qualified
ServiceInsight	50 Mungertown Rd, Unit 18, Madison	IT	511210	No	21-Dec-20	Pre-Seed	Conv. Debt	\$150,000	\$850,000	Yes	Non-Qualified
ServiceInsight	50 Mungertown Rd, Unit 18, Madison	IT	511210	No	16-Nov-21	Pre-Seed	Conv. Debt	\$0	\$200,000	Yes	Non-Qualified
ServiceInsight	50 Mungertown Rd, Unit 18, Madison	IT	511210	No	19-May-22	Proof Of Concept	Conv. Debt	\$100,000	\$100,000	Yes	Non-Qualified
Shelf, Inc.	50 Forest #801, Stamford	IT	511210	No	10-Feb-16	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Shelf, Inc.	50 Forest #801, Stamford	IT	511210	No	05-Apr-16	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Shelf, Inc.	50 Forest #801, Stamford	IT	511210	No	05-Jan-17	Eli Whitney	Equity	\$767,083	\$750,000	Yes	Non-Qualified
Shelf, Inc.	50 Forest #801, Stamford	IT	511210	No	22-Jun-17	Eli Whitney	Equity	\$60,000	\$60,000	Yes	Non-Qualified
Shelf, Inc.	50 Forest #801, Stamford	IT	511210	No	18-Jun-18	Eli Whitney	Conv. Debt	\$375,000	\$625,000	Yes	Non-Qualified
Shelf, Inc.	50 Forest #801, Stamford	IT	511210	No	13-Jun-19	Eli Whitney	Conv. Debt	\$125,000	\$150,000	Yes	Non-Qualified
Shelf, Inc.	50 Forest #801, Stamford	IT	511210	No	09-Mar-20	Eli Whitney	Equity	\$486,142	\$3,575,000	Yes	Non-Qualified
Siduma Therapeutics, Inc.	286 Livingston Street, New Haven	Healthcare	541714	No	15-Nov-21	CBIF	Equity	\$1,000,000	\$30,208,518	Yes	Non-Qualified
SIFI Networks East Hartford, LLC	East Hartford	Service	517919	NA	20-Jan-22	Sales & Use	Tax Exemption	\$450,000.00	\$0.00	NA	Non-Qualified
Simcha Therapeutics, Inc.	504 Chapel St, F12, New Haven	Healthcare	339113	No	05-Jul-18	CBIF	Equity	\$1,000,000	\$3,400,534	Yes	Non-Qualified
Simcha Therapeutics, Inc.	504 Chapel St, F12, New Haven	Healthcare	339113	No	18-May-20	CBIF	Equity	\$901,748	\$9,210,525	Yes	Non-Qualified
Simcha Therapeutics, Inc.	504 Chapel St, F12, New Haven	Healthcare	339113	No	26-Apr-21	CBIF	Equity	\$710,526	\$8,289,472	Yes	Non-Qualified
Simcha Therapeutics, Inc.	504 Chapel St, F12, New Haven	Healthcare	339113	No	26-Aug-21	CBIF	Equity	\$0	\$40,000,000	Yes	Non-Qualified
Sirona Medical Technologies, Inc.	360 Bloomfield Ave, Windsor	Healthcare	339112	Yes	07-Jun-16	SSBCI	Equity	\$650,000	\$1,300,000	Yes	Non-Qualified
Sirona Medical Technologies, Inc.	360 Bloomfield Ave, Windsor	Healthcare	339112	Yes	06-Jun-17	SSBCI	Equity	\$300,000	\$300,000	Yes	Non-Qualified
Sirona Medical Technologies, Inc.	360 Bloomfield Ave, Windsor	Healthcare	339112	Yes	09-Jan-18	Eli Whitney	Equity	\$50,000	\$650,000	Yes	Non-Qualified
Sirona Medical Technologies, Inc.	360 Bloomfield Ave, Windsor	Healthcare	339112	Yes	09-Jan-18	CBIF	Equity	\$440,002	\$0	Yes	Non-Qualified
Sirona Medical Technologies, Inc.	360 Bloomfield Ave, Windsor	Healthcare	339112	Yes	28-Mar-22	CBIF	Conv. Debt	\$50,000	\$300,000	Yes	Non-Qualified
Skyview Ventures	114 South Pearl St, Port Chester	Venture Fund	523190	No	21-Dec-22	Fund of Fund	Venture Fund	\$333,300	\$11,333,367	Yes	Non-Qualified

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SLOOH, LLC	136 Woodbury Rd, Washington Depot	IT	611710	No	12-Aug-16	Eli Whitney	Equity	\$150,000	\$350,000	Yes	Non-Qualified
SLOOH, LLC	136 Woodbury Rd, Washington Depot	IT	611710	No	27-Apr-17	Eli Whitney	Equity	\$312,950	\$150,000	Yes	Non-Qualified
Smart Feed Tech Inc.	76 Fuller Way, Berlin	Climate Tech	311119	No	13-Mar-23	Climate Tech	SAFENote	\$1,000,000	\$0	Yes	Non-Qualified
Smart Seal Incorporated	280 Phoenix St, Vernon	IT	518210	No	16-Nov-20	Proof Of Concept	Conv. Debt	\$100,000	\$80,000	Yes	Non-Qualified
Smart Seal Incorporated	280 Phoenix St, Vernon	IT	518210	No	12-May-22	Proof Of Concept	Conv. Debt	\$100,000	\$130,000	Yes	Non-Qualified
Smart Seal Incorporated	280 Phoenix St, Vernon	IT	518210	No	4-Apr-23	Pre-Seed	Conv. Debt	\$50,000	*	Yes	Non-Qualified
SmartPay NewCo	176 Laning St, Southington	IT	524298	No	01-Apr-16	Eli Whitney	Equity	\$43,602	\$35,323	Yes	Non-Qualified
Source Defense, Inc.	470 James Street Suite 007, New Haven	IT	541511	No	25-Jun-18	Eli Whitney	Equity	\$1,000,000	\$2,500,000	Yes	Non-Qualified
Source Defense, Inc.	470 James Street Suite 007, New Haven	IT	541511	No	04-Jan-22	Eli Whitney	Equity	\$661,000	\$18,339,000	Yes	Non-Qualified
Specialty Food Partners	68 Southfield Ave, Ste 100, Stamford	Consumer	541511	No	14-Jul-17	Eli Whitney	Conv. Debt	\$250,000	\$2,225,000	Yes	Non-Qualified
Specialty Food Partners	68 Southfield Ave, Ste 100, Stamford	Consumer	541511	No	28-Aug-19	Eli Whitney	Equity	\$1,017,197	\$2,150,000	Yes	Non-Qualified
Sponsorcraft Limited (Hubbub)	1 Brush Hill Rd, Ste 521, New Fairfield	IT	511219	No	05-Jun-17	Eli Whitney	Equity	\$500,000	\$500,000	Yes	Non-Qualified
Sponsorcraft Limited (Hubbub)	1 Brush Hill Rd, Ste 521, New Fairfield	IT	511219	No	15-Jun-17	Eli Whitney	Equity	\$500,000	\$500,000	Yes	Non-Qualified
Square 9 Softworks, Inc.	127 Church Street, New Haven	IT	541519	No	28-Jan-20	Venture Debt	DEBT	\$1,000,000	\$0	Yes	Non-Qualified
Stable Insurance, Inc.	245 8th Avenue #1111, NY	IT	524210	No	25-Mar-22	Pre-Seed	Conv. Debt	\$79,999	\$0	Yes	Non-Qualified
Stemify	400 Farmington Ave, Farmington	IT	541511	Yes	20-Mar-20	Eli Whitney	Conv. Debt	\$100,000	\$535,000	Yes	Non-Qualified
Stemify	400 Farmington Ave, Farmington	IT	541511	Yes	18-Nov-21	Eli Whitney	Conv. Debt	\$100,000	\$200,000	Yes	Non-Qualified
Storyhub A.I.	154 Cold Spring Rd, Ste 80, Stamford	IT	541910	No	11-Jun-15	Pre-Seed	Equity	\$75,000	\$37,500	Yes	Non-Qualified
Storyhub A.I.	154 Cold Spring Rd, Ste 80, Stamford	IT	541910	No	04-Jan-16	Pre-Seed	Equity	\$75,000	\$37,500	Yes	Non-Qualified
Storyhub A.I.	154 Cold Spring Rd, Ste 80, Stamford	IT	541910	No	01-Mar-19	Eli Whitney	Equity	\$100,000	\$300,000	Yes	Non-Qualified
Storyhub A.I.	154 Cold Spring Rd, Ste 80, Stamford	IT	541910	No	12-Mar-20	Eli Whitney	Equity	\$441,547	\$951,202	Yes	Non-Qualified
Storyhub A.I.	154 Cold Spring Rd, Ste 80, Stamford	IT	541910	No	01-Sep-21	Eli Whitney	Equity	\$50,000	\$100,000	Yes	Non-Qualified
Storyhub A.I.	154 Cold Spring Rd, Ste 80, Stamford	IT	541910	No	19-May-22	Eli Whitney	Equity	\$307,500	\$615,000	Yes	Non-Qualified
Stova fka Aventri	13 Marshall St, Norwalk	IT	511210	No	25-Apr-16	Eli Whitney	Equity	\$2,000,000	\$21,000,000	Yes	Non-Qualified
Stova fka Aventri	13 Marshall St, Norwalk	IT	511210	No	20-Mar-20	Eli Whitney	Equity	\$69,935	\$4,930,065	Yes	Non-Qualified
Stretch Out Studios, Inc. dba LYMBR	18 South Main St, Norwalk	Healthcare	621340	No	18-Apr-18	Eli Whitney	Equity	\$150,000	\$2,850,000	Yes	Non-Qualified
Stretch Out Studios, Inc. dba LYMBR	18 South Main St, Norwalk	Healthcare	621340	No	27-May-20	Eli Whitney	Equity	\$2,042	\$0	Yes	Non-Qualified
Stretch Out Studios, Inc. dba LYMBR	18 South Main St, Norwalk	Healthcare	621340	No	07-Oct-20	Eli Whitney	Equity	\$15,188	\$0	Yes	Non-Qualified
Surface Travel, Inc.	700 Canal St, 1st Fl, Stamford	IT	721199	No	03-Apr-17	Eli Whitney	Equity	\$750,000	\$2,760,000	Yes	Non-Qualified
Surface Travel, Inc.	700 Canal St, 1st Fl, Stamford	IT	721199	No	13-Feb-18	Eli Whitney	Equity	\$300,000	\$650,000	Yes	Non-Qualified
Surface Travel, Inc.	700 Canal St, 1st Fl, Stamford	IT	721199	No	08-Mar-19	Eli Whitney	Equity	\$325,000	\$750,000	Yes	Non-Qualified
Surface Travel, Inc.	700 Canal St, 1st Fl, Stamford	IT	721199	No	14-May-19	Eli Whitney	Equity	\$125,000	\$0	Yes	Non-Qualified
Surface Travel, Inc.	700 Canal St, 1st Fl, Stamford	IT	721199	No	03-Jan-20	Eli Whitney	Conv. Debt	\$400,000	\$700,000	Yes	Non-Qualified
Surface Travel, Inc.	700 Canal St, 1st Fl, Stamford	IT	721199	No	23-Jun-20	Eli Whitney	Conv. Debt	\$150,000	\$350,000	Yes	Non-Qualified
Surface Travel, Inc.	700 Canal St, 1st Fl, Stamford	IT	721199	No	25-Nov-20	Eli Whitney	Conv. Debt	\$350,000	\$620,000	Yes	Non-Qualified
Surface Travel, Inc.	700 Canal St, 1st Fl, Stamford	IT	721199	No	23-Jul-21	Eli Whitney	Equity	\$1,038,338	\$2,161,662	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	929 White Plains Rd #336, Trumbull	Climate Tech	561110	No	29-Jan-10	CT	Equity	\$500,000	\$400,000	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	929 White Plains Rd #336, Trumbull	Climate Tech	561110	No	17-Jun-11	Eli Whitney	Equity	\$400,000	\$450,000	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	929 White Plains Rd #336, Trumbull	Climate Tech	561110	No	14-Aug-12	Eli Whitney	Equity	\$250,000	\$250,003	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	929 White Plains Rd #336, Trumbull	Climate Tech	561110	No	15-May-13	Eli Whitney	Equity	\$120,000	\$57,829	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	929 White Plains Rd #336, Trumbull	Climate Tech	561110	No	10-Oct-13	Eli Whitney	Equity	\$14,500	\$0	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	929 White Plains Rd #336, Trumbull	Climate Tech	561110	No	12-Nov-13	Eli Whitney	Equity	\$250,000	\$850,000	Yes	Non-Qualified
Sustainable Real Estate Solutions, Inc.	929 White Plains Rd #336, Trumbull	Climate Tech	561110	No	08-Jul-16	Eli Whitney	Equity	\$500,000	\$2,075,000	Yes	Non-Qualified
Tamarack Global Opportunity Fund	12 Woodale Rd, Greenwich	Venture Fund	523190	No	21-Oct-21	Fund of Fund	Venture Fund	\$1,800,000	N/A	No	Non-Qualified
Tamarack Global Opportunity Fund	12 Woodale Rd, Greenwich	Venture Fund	523190	No	7-Mar-23	Fund of Fund	Venture Fund	\$300,000	N/A	Yes	Non-Qualified
Tamarack Global Opportunity Fund	12 Woodale Rd, Greenwich	Venture Fund	523190	No	6-Apr-23	Fund of Fund	Venture Fund	\$375,000	N/A	Yes	Non-Qualified
Tamarack Global Opportunity Fund	12 Woodale Rd, Greenwich	Venture Fund	523190	No	8-Jul-22	Fund of Fund	Venture Fund	\$450,000	N/A	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	06-Feb-14	Pre-Seed	Conv. Debt	\$150,000	\$75,000	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	14-Dec-15	Eli Whitney	Equity	\$532,326	\$500,000	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	28-Nov-16	CBIF	Conv. Debt	\$200,000	\$150,000	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	01-Mar-17	CBIF	Conv. Debt	\$50,000	\$0	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	06-Apr-17	CBIF	Conv. Debt	\$100,000	\$0	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	31-May-17	CBIF	Conv. Debt	\$50,000	\$0	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	14-Mar-19	CBIF	Equity	\$1,067,406	\$4,049,970	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	16-Jun-20	CBIF	Debt	\$500,000	\$5,100,000	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	19-Jun-20	CBIF	Equity	\$500,000	\$5,100,000	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	28-May-21	CBIF	Equity	\$74,999	\$0	Yes	Non-Qualified
Tangen Biosciences, Inc.	20 Commercial Street, Branford	Healthcare	325413	No	26-May-23	CBIF	Conv. Debt	\$100,000	\$363,423	Yes	Non-Qualified
Tantalus Systems, Inc.	3444 Gilmore Way, Ste 200, Burnaby	Climate Tech	334512	No	01-Dec-16	Eli Whitney	Equity	\$1,250,000	\$22,750,000	Yes	Non-Qualified
Tastes Natural, LLC	41B Eagle Rd, Danbury	Healthcare	311942	No	24-Aug-19	Eli Whitney	Conv. Debt	\$150,000	\$75,000	Yes	Non-Qualified
Tastes Natural, LLC	41B Eagle Rd, Danbury	Healthcare	311942	No	16-Apr-21	CBIF	Conv. Debt	\$100,000	\$300,000	Yes	Non-Qualified

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Tastes Natural, LLC	41B Eagle Rd, Danbury	Healthcare	311942	No	16-Apr-21	Eli Whitney	Conv. Debt	\$32,197	\$0	Yes	Non-Qualified
Tastes Natural, LLC	41B Eagle Rd, Danbury	Healthcare	311942	No	14-Dec-21	Venture Debt	DEBT	\$225,000	\$0	Yes	Non-Qualified
Tastes Natural, LLC	41B Eagle Rd, Danbury	Healthcare	311942	No	7-Jul-22	Venture Debt	DEBT	\$525,000	\$	Yes	Non-Qualified
Technical Industries, Inc.	Lot #12 - Dowd Avenue, Canton	Manufacturer	326121	Female	1-Oct-04	GROWTH-PAR	Loan	\$220,500.00	\$1,780,000.00	Yes	Non-Qualified
TerraGreen, LLC	351 Courtland Ave, Stamford	Service	115112	No	24-Mar-16	CAB	Guarantee	\$30,000.00	\$70,000.00	No	Non-Qualified
Thayer Mahan, Inc.	120 Leonard Dr, Groton	IT	334511	No	06-Jun-19	Venture Debt	DEBT	\$1,680,000	\$190,000	Yes	Non-Qualified
Thayer Mahan, Inc.	120 Leonard Dr, Groton	IT	334511	No	28-Feb-20	Venture Debt	DEBT	\$1,100,000	\$0	Yes	Non-Qualified
Thayer Mahan, Inc.	120 Leonard Dr, Groton	IT	334511	No	15-Jan-21	Venture Debt	DEBT	\$900,000	\$0	Yes	Non-Qualified
The Amp Community dba Bags	165 Mercer St, New York	IT	541511	Yes	7-Nov-22	Eli Whitney	SAFENote	\$750,000	\$2,250,000	Yes	Non-Qualified
The Evenson Agency	312 Silas Deane Highway, Wethersfield	Financial Services	524210	Female	27-Feb-18	CAB	Guarantee	\$90,000.00	\$90,000.00	No	Non-Qualified
The Evenson Agency	312 Silas Deane Highway, Wethersfield	Financial Services	524210	Female	7-Mar-18	CAB	Guarantee	\$25,000.00	\$25,000.00	No	Non-Qualified
Thetis Pharmaceuticals	54 Danbury Rd, Ste 285, Ridgefield	Healthcare	541700	No	04-Aug-11	Eli Whitney	Equity	\$500,000	\$750,000	Yes	Non-Qualified
Thetis Pharmaceuticals	54 Danbury Rd, Ste 285, Ridgefield	Healthcare	541700	No	03-Dec-12	Eli Whitney	Equity	\$1,081,891	\$3,400,000	Yes	Non-Qualified
Thetis Pharmaceuticals	54 Danbury Rd, Ste 285, Ridgefield	Healthcare	541700	No	03-May-13	Eli Whitney	Equity	\$250,000	\$850,000	Yes	Non-Qualified
Thetis Pharmaceuticals	54 Danbury Rd, Ste 285, Ridgefield	Healthcare	541700	No	29-Jan-16	SSBCI	Equity	\$500,000	\$650,000	Yes	Non-Qualified
Thetis Pharmaceuticals	54 Danbury Rd, Ste 285, Ridgefield	Healthcare	541700	No	17-Jun-16	SSBCI	Equity	\$500,000	\$2,350,000	Yes	Non-Qualified
Thetis Pharmaceuticals	54 Danbury Rd, Ste 285, Ridgefield	Healthcare	541700	No	06-Aug-18	Eli Whitney	Conv. Debt	\$250,000	\$525,000	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	23-Mar-17	Eli Whitney	Conv. Debt	\$75,000	\$100,000	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	20-Oct-17	Eli Whitney	Conv. Debt	\$75,000	\$100,000	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	19-May-18	Eli Whitney	Conv. Debt	\$25,000	\$300,000	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	04-Jan-19	CBIF	Equity	\$1,084,300	\$1,800,000	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	02-Jun-20	CBIF	Conv. Debt	\$300,000	\$300,000	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	29-Sep-20	CBIF	Equity	\$200,000	\$2,689,089	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	22-Nov-21	CBIF	Equity	\$419,000	\$1,081,000	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	21-Dec-21	CBIF	Equity	\$81,000	\$0	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	28-Apr-22	CBIF	Equity	\$49,596	\$0	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	28-Apr-22	CBIF	Equity	\$10,466	\$0	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	28-Apr-22	CBIF	Equity	\$1,000,000	\$6,500,000	Yes	Non-Qualified
Torigen Pharmaceuticals, Inc.	400 Farmington Ave, R1855 CB129, Farmington	Healthcare	541000	Yes	22-Jun-23	Venture Debt	DEBT	\$1,000,000	\$3,000,000	Yes	Non-Qualified
Translura, Inc.	333 Cedar StNSB 387, New Haven	Healthcare	541714	No	18-Feb-22	CBIF	Conv. Debt	\$150,000	\$183,650	Yes	Non-Qualified
Trialmatch.me, Inc.	86 Maple Ave, Closter	Healthcare	511210	Yes	07-Oct-19	CBIF	Equity	\$699,999	\$1,500,001	Yes	Non-Qualified
Trialmatch.me, Inc.	86 Maple Ave, Closter	Healthcare	511210	Yes	20-May-21	CBIF	Equity	\$249,999	\$4,750,001	Yes	Non-Qualified
Trialmatch.me, Inc.	86 Maple Ave, Closter	Healthcare	511210	Yes	31-Jan-22	CBIF	Equity	\$0	\$32,500,000	Yes	Non-Qualified
Triax Technologies, Inc.	13 Mashall St, Suite 340, Norwalk	IT	511210	No	20-May-21	Eli Whitney	Equity	\$1,500,000	\$5,750,000	Yes	Non-Qualified
Triax Technologies, Inc.	13 Mashall St, Suite 340, Norwalk	IT	511210	No	19-Oct-22	Eli Whitney	Equity	\$500,000	\$3,500,000	Yes	Non-Qualified
Triumph Engine Control System, LLC	1 Charter Oak Boulevard, West Hartford	Manufacturer	336410	No	29-Jun-23	WORKA	Loan	\$2,000,000.00	\$0.00	Yes	Non-Qualified
Triumph Engine Control System, LLC	175 Addison Road, Windsor	Manufacturer	336410	No	29-Jun-23	Sales & Use	Tax Exemption	\$750,000.00	\$0.00	NA	Non-Qualified
TryCycle Data Systems Inc.	1296 Carling Ave, Ste 300, Ottawa	Healthcare	541514	No	26-Jul-19	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
TryCycle Data Systems Inc.	1296 Carling Ave, Ste 300, Ottawa	Healthcare	541514	No	07-Oct-19	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Tullis Food Group, LLC	259 Preston Road, Jewett City	Other	722511	No	2-Mar-19	CAB	Guarantee	\$100,000.00	\$0.00	No	Non-Qualified
Unisoft Medical Corporation	65 New Litchfield St, Torrington	Healthcare	326199	Yes	23-May-16	Eli Whitney	Conv. Debt	\$150,000	\$75,000	Yes	Non-Qualified
University of Hartford	200 Bloomfield Avenue, Hartford	Higher Ed	611310	No	9-Nov-07	BROWN-TIF	Loan	\$2,500,000.00	\$10,000,000.00	No	Non-Qualified
Upswing Health, Inc.	1177 High Ridge Rd, Stamford	Healthcare	511210	No	22-Feb-21	CBIF	Conv. Debt	\$150,000	\$350,000	Yes	Non-Qualified
Upswing Health, Inc.	1177 High Ridge Rd, Stamford	Healthcare	511210	No	13-Jan-22	CBIF	Equity	\$8,803	\$0	Yes	Non-Qualified
Upswing Health, Inc.	1177 High Ridge Rd, Stamford	Healthcare	511210	No	13-Jan-22	CBIF	Equity	\$833,333	\$2,166,667	Yes	Non-Qualified
Urgent Consult dba Referwell	68 Southfield Ave, Stamford	Healthcare	511210	No	06-Mar-20	CBIF	Equity	\$833,333	\$1,866,667	Yes	Non-Qualified
Urgent Consult dba Referwell	68 Southfield Ave, Stamford	Healthcare	511210	No	23-Apr-21	CBIF	Conv. Debt	\$149,360	\$2,150,640	Yes	Non-Qualified
Urgent Consult dba Referwell	68 Southfield Ave, Stamford	Healthcare	511210	No	13-Jun-22	CBIF	Conv. Debt	\$150,000	\$0	Yes	Non-Qualified
Valley Press Publishing, Inc	540 Hopmeadow Street, Simsbury	Other	511120	NA	18-Jan-18	CAB	Guarantee	\$25,000.00	\$25,000.00	No	Non-Qualified
VeraDermics, Inc.	400 Farmington Ave, Farmington	Healthcare	541714	Yes	15-Dec-20	CBIF	Conv. Debt	\$116,000	\$734,000	Yes	Non-Qualified
VeraDermics, Inc.	401 Farmington Ave, Farmington	Healthcare	541714	Yes	24-Sep-21	CBIF	Equity	\$6,966	\$0	Yes	Non-Qualified
VeraDermics, Inc.	402 Farmington Ave, Farmington	Healthcare	541714	Yes	24-Sep-21	CBIF	Equity	\$2,013,158	\$18,686,842	Yes	Non-Qualified
VeraDermics, Inc.	402 Farmington Ave, Farmington	Healthcare	541714	Yes	21-Dec-22	CBIF	Conv. Debt	\$988,749	*	Yes	Non-Qualified
VeraDermics, Inc.	402 Farmington Ave, Farmington	Healthcare	541714	Yes	25-Apr-23	SSBCI 2.0- Equity	Equity	\$2,399,998	\$12,600,002	Yes	Non-Qualified
Vesey Ventures Fund I, LP	New York	Venture Fund	523190	Yes	7-Oct-22	Fund of Fund	Venture Fund	\$600,000	N/A	Yes	Non-Qualified
Victory Genomics	263 Peddlers Lane, Guilford	Healthcare	541711	No	08-Apr-22	CBIF	SAFENote	\$150,000	\$155,000	Yes	Non-Qualified
Vistara Biosciences, LLC	4 Research Drive, Woodbridge	Healthcare	541714	Yes	08-Aug-18	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Vistara Biosciences, LLC	4 Research Drive, Woodbridge	Healthcare	541714	Yes	02-May-19	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
Vita Health Services, PLLC	4 Science Park, New Haven	Healthcare	621330	Yes	26-Jun-23	SSBCI 2.0- Equity	Equity	\$1,000,000	\$3,840,820	Yes	Non-Qualified
Vizium360	20 Tower Lane, Ste 500, Avon	IT	541990	Yes	25-Feb-19	Pre-Seed	Conv. Debt	\$150,000	\$75,000	Yes	Non-Qualified
Voicett, Inc.	700 Canal St, Stamford	Healthcare	512100	No	25-Feb-19	CBIF	Equity	\$500,000	\$5,750,000	Yes	Non-Qualified

* Leverage amounts for companies with multiple draws shown on first transaction line

Connecticut Innovations
Section 1
New and Outstanding Financial Assistance FYE 6/30/23

Company	Address	Nature of Business	NAICS or SIC Code	Minority /Woman Owned	Date Closed	Program	Type of Assistance	Investment Amount	Amount Leveraged	Job Creation or Retention Requirement	High Performance Workplace
Voiceitt, Inc.	700 Canal St, Stamford	Healthcare	512100	No	05-Sep-19	CBIF	Equity	\$500,000	\$0	Yes	Non-Qualified
Voiceitt, Inc.	700 Canal St, Stamford	Healthcare	512100	No	07-Nov-19	CBIF	Equity	\$25,000	\$0	Yes	Non-Qualified
Vouchr	15 John St, End FL, Toronto	IT	541990	Yes	01-Mar-18	Eli Whitney	Equity	\$650,000	\$1,800,000	Yes	Non-Qualified
Vouchr	15 John St, End FL, Toronto	IT	541990	Yes	09-May-19	Eli Whitney	Equity	\$150,000	\$0	Yes	Non-Qualified
WAVE Systems, Inc.	408 Riverside Ave, Westport	IT	334511	No	15-Nov-18	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
WAVE Systems, Inc.	408 Riverside Ave, Westport	IT	334511	No	26-Mar-19	Pre-Seed	Conv. Debt	\$75,000	\$37,500	Yes	Non-Qualified
WAVE Systems, Inc.	408 Riverside Ave, Westport	IT	334511	No	01-Apr-20	Eli Whitney	Conv. Debt	\$50,000	\$0	Yes	Non-Qualified
WCP Properties, LLC	784 Farmington Avenue, West Hartford	Service	621420	NA	4-Jan-19	WORKA	Loan	\$150,000.00	\$0.00	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	25-Apr-16	Pre-Seed	Conv. Debt	\$100,000	\$100,000	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	13-Jul-16	Pre-Seed	Conv. Debt	\$50,000	\$150,000	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	29-Sep-17	CBIF	Equity	\$1,250,000	\$2,225,000	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	29-Sep-17	Eli Whitney	Equity	\$24,400	\$0	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	02-Jan-19	CBIF	Equity	\$150,000	\$0	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	18-Sep-19	CBIF	Conv. Debt	\$1,000,000	\$2,303,589	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	15-Feb-21	CBIF	Equity	\$615,384	\$3,648,352	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	28-Sep-21	CBIF	Equity	\$384,615	\$3,615,385	Yes	Non-Qualified
Wellinks Inc fka Convexity Scientific	85 Willow Street, New Haven	Healthcare	541990	No	29-Oct-21	CBIF	Equity	\$575,000	\$24,425,000	Yes	Non-Qualified
Wheelhouse 360 Fund I LP	4 Star Point Floor 2, Stamford	Venture Fund	523190	No	21-Mar-22	Fund of Fund	Venture Fund	\$450,000	N/A	No	Non-Qualified
Wheelhouse 360 Fund I LP	4 Star Point Floor 2, Stamford	Venture Fund	523190	No	25-Apr-22	Fund of Fund	Venture Fund	\$180,000	N/A	No	Non-Qualified
Wheelhouse 360 Fund I LP	4 Star Point Floor 2, Stamford	Venture Fund	523190	No	9-Nov-22	Fund of Fund	Venture Fund	\$150,000	N/A	Yes	Non-Qualified
Winnick Realty, LLC	624 Norwich Road, Plainfield	Retail	451120	No	21-Mar-14	CAB	Guarantee	\$67,500.00	\$157,500.00	Yes	Non-Qualified
Winnow Inc d/b/a QuadJobs	125 Mason Street, Greenwich	IT	541990	Yes	20-May-16	Eli Whitney	Conv. Debt	\$50,000	\$150,000	Yes	Non-Qualified
Wise Owl Holdings aka TI Beverages LLC	135 W 29th Street, New York	Consumer	424810	Yes	14-May-21	Eli Whitney	Equity	\$1,000,000	\$7,500,000	Yes	Non-Qualified
Wizecare Technologies, LTD dba Videotherapy USA	1 American Row, Hartford	Other	621610	NA	6-Jul-20	WORKA	Loan	\$150,000.00	\$0.00	No	Non-Qualified
World Fulfilment, LLC	7 Johnson Ave, Plainville	Distribution/Whsing	488991	Female	20-Jun-16	CAB	Guarantee	\$150,000.00	\$200,000.00	No	Non-Qualified
World Wrestling Entertainment, Inc.	677 Washington Blvd, Stamford	A&E	711300	NA	28-Oct-21	Sales & Use	Tax Exemption	\$5,000,000.00	\$0.00	NA	Qualified
WRM Software	52 Eastlawn St, Fairfield	IT	541511	Yes	04-Jan-21	Pre-Seed	Conv. Debt	\$150,000	\$250,000	Yes	Non-Qualified
Zoneflow Reactor Technologies Offering	41 Mechanic Street, Windsor	Climate Tech	541700	No	02-Jun-22	Proof Of Concept	Conv. Debt	\$150,000	\$1,850,000	Yes	Non-Qualified
Zorus, Inc.	165 Water St, Ste 201, Norwalk	IT	541511	No	20-Dec-18	Eli Whitney	Conv. Debt	\$75,000	\$265,000	Yes	Non-Qualified
Zorus, Inc.	165 Water St, Ste 201, Norwalk	IT	541511	No	20-Jun-19	Eli Whitney	Conv. Debt	\$75,000	\$265,000	Yes	Non-Qualified
Zorus, Inc.	165 Water St, Ste 201, Norwalk	IT	541511	No	07-Jan-20	Eli Whitney	Conv. Debt	\$75,000	\$395,000	Yes	Non-Qualified
Zorus, Inc.	165 Water St, Ste 201, Norwalk	IT	541511	No	16-Nov-20	Eli Whitney	Conv. Debt	\$500,000	\$4,350,000	Yes	Non-Qualified
Zorus, Inc.	165 Water St, Ste 201, Norwalk	IT	541511	No	23-Nov-21	Eli Whitney	Equity	\$750,000	\$8,250,000	Yes	Non-Qualified

* Leverage amounts for companies with multiple draws shown on first transaction line

Connecticut Innovations
Vendor Payments over \$5,000
Fiscal Year 2023

Vendor name	Payment Amount
Greentown.org, Inc	480,000.00
Economic Development Corp of New Haven	305,642.55
M & T Bank	291,806.35
District NHV, LLC	262,034.18
Dept. of Economic & Community Development	259,293.15
Systems Integration, Inc	246,414.27
University of Connecticut	245,255.80
Design Technologies, LLC	239,400.00
Drakon Consulting, LLC	223,777.25
David Bruno	216,960.34
MetroHartford Alliance Dir.	199,393.34
Antonio Roberto	172,328.66
MetroHartford Alliance	171,445.00
Collinson Howe Venture Partners, Inc	164,000.00
Thames River Innovation Places	162,102.00
Edward Bradstreet, Jr.	154,750.00
In-Starting, LLC	153,009.54
Drew D'Alelio	147,827.92
Matthew Archuleta	146,208.21
Southern Connecticut State University	132,634.99
Smith Brothers Insurance	115,417.00
The Ronin Media, LLC	114,451.50
Shipman & Goodwin	109,080.07
BBC Entrepreneurial Training & Consulting, LLC	100,880.49
CG Operating Company, LLC	100,225.18
MahoneySabol	100,000.00
Updike, Kelly & Spellacy, PC	98,031.53
David M Wurzer	96,798.30
Naval and Maritime Consortium Inc	89,915.28
PitchBook Data, Inc.	77,000.00
AdvanceCT	75,000.00
Ryan Dings	73,388.00
SugarCRM, Inc	70,879.23
Cynthia Green	65,946.71
Umbrella United Unlimited	65,905.00
Akira Dunham	65,729.65
Two Brooks & a Barn Consulting, LLC	64,763.76
The Bananaland	62,867.00
Wheelhouse Entertainment, LLC	61,558.38
Collab Incorporated	60,000.00
BCRCS, LLC	49,230.00
MountainsCall Consulting, LLC	48,750.00

Connecticut Innovations
Vendor Payments over \$5,000
Fiscal Year 2023

Vendor name	Payment Amount
Medtel	47,500.00
Milestone C, LLC	46,799.66
Mintz & Hoke, Inc	45,007.78
Entrepreneurship Foundation, Inc	45,000.00
The Clarion Group, Ltd	45,000.00
Yale University	45,000.00
John Hoffert	43,929.50
BioCT	43,500.00
Stamford Partnership	42,000.00
GK Communications	41,050.00
The Narrative Project, LLC	39,000.00
Enviro Power, Inc.	36,800.00
Angel Investor Forum, Inc.	36,100.00
Tamara Nicholas	35,955.00
Known Coworking, LLC	33,000.00
Elidah, Inc	30,000.00
University of Bridgeport	30,000.00
Bactana Corp	29,385.66
Paul Mounds	29,125.00
New Haven Free Public Library Foundation	29,000.00
Linked In, Corp	28,975.74
Natalee Ramirez, LLC	28,762.64
The Lincoln Nat'l Life Ins Co	28,471.94
United Illuminating Company	28,207.59
Goodwin College Inc	28,011.00
Shaken and Stirred Catered Events	27,882.43
Eric Redding	25,725.00
Potoo Marketing, LLC	25,340.00
FinTron, Inc.	25,125.00
Encapsulate, LLC	25,000.00
Enrich Biosystems, Inc	25,000.00
EvolveImmune Therapeutics, Inc	25,000.00
Nalas Engineering Serv, Inc	25,000.00
XVIVO, LLC	25,000.00
Target Arm, LLC	24,976.05
Hobson & Motzer, Inc	24,005.00
Whittlesey & Hadley, PC	23,571.96
One Diversified, LLC	23,031.53
Machrotech, LLC	22,848.00
Sedentary Medical Solutions, LLC	22,500.00
Lessonbee, Inc	22,436.00
Mowgreen, LLC	22,060.00

Connecticut Innovations
Vendor Payments over \$5,000
Fiscal Year 2023

Vendor name	Payment Amount
Tidal River Fund 1, LLC	22,000.00
People's United Bank	21,569.25
Signature A, LLC	20,500.00
Amy Hourigan	20,437.50
Founders Entertainment, LLC	20,328.00
Delcon Maintenance Corp	20,304.13
Mindtrust Labs, LLC	20,037.50
Copyleaks, Inc	20,000.00
Zmimi Photography	19,350.00
Sema Alara Degirmenci	19,091.52
Innovative Computer Technology	18,750.00
Fanta Traore	18,239.36
KDM Advisors, LLC	18,125.00
RMI Associates, LLC	18,000.00
Chaston Associates, Inc	17,950.00
Astor Place, Inc.	17,760.00
Richard Cremin	17,750.00
Touchstone Research, Inc	16,898.66
BlueCastle IT Solutions, LLC	16,646.83
Bauer, Inc.	16,618.00
The Human Resource Consortium, LLC	15,750.00
Barker Adv. Specialty Co, Inc	15,128.97
GoNation, LLC	15,109.50
Girls For Technology	15,000.00
Middlesex County Chamber of Commerce	15,000.00
Norfield Labs, LLC	14,687.50
ReadyDock dba iCleanse	14,521.50
Healthy Mom, LLC	14,100.00
DSD Business Systems, Inc	14,050.81
Advanced Manufacturing	13,800.00
SilkRoad Technology, Inc	12,656.25
Luxy Technologies, Inc	12,633.32
Bofill Strauss, LLC	12,500.00
Cahoot Technologies	12,500.00
Elea, LLC	12,500.00
Milton & Goose	12,500.00
Rainmaker, LLC d/b/a MEA Mobile	12,500.00
Strathmore Financial, LLC	12,500.00
The Gammons Hoaglund Co.	12,500.00
Thetis Pharmaceuticals, LLC	12,500.00
Werner & Co d/b/a Think Synergy	12,500.00
Results Group, LLC	12,433.50

Connecticut Innovations
Vendor Payments over \$5,000
Fiscal Year 2023

Vendor name	Payment Amount
Element 119, LLC	12,376.00
Cision US Inc.	12,273.00
Sarefo, LLC	12,266.66
Nanoionix, LLC	12,250.00
Comcast Fiber Services	11,848.95
Barcelona	11,840.00
SHI International Corp	11,744.42
Engagement Solutions	11,676.00
TEconomy Partners, LLC	11,500.00
Liquidpiston, Inc	11,466.66
Canon Financial Services, Inc	11,262.72
Slooh, LLC	11,250.00
Thomas Gerson	11,215.00
Science Park Development Corp	11,172.75
Red Planet Ventures, LLC	11,124.51
Govenda	11,000.00
Wave Systems, LLC	10,920.00
Crown Castle Fiber, LLC	10,764.00
Precision Combustion, Inc	10,432.00
Wingsite Displays, Inc	10,143.00
Asare & Family, LLC	10,000.00
Breakfast Lunch & Dinner LLC	10,000.00
Connecticut Invention Convention, Inc	10,000.00
Lede Global, Inc	10,000.00
Maven Marketing d/b/a HAYVN	10,000.00
Sweets and Sounds Entertainment	10,000.00
Tezrec, LLC	10,000.00
The CT Black Expo, Inc	10,000.00
Toombs, LLC	10,000.00
Webquity, LLC	10,000.00
Western New England University	10,000.00
ePosterBoards, LLC	9,800.00
Black Tech Futures Media Co.	9,750.00
Halda Therapeutics OpCo, Imc	9,600.00
Nerac, Inc.	9,375.00
Olmo Kitchen	8,871.16
Hayvn	8,750.00
r4 Technologies, Inc	8,585.00
Pantheon, Inc	8,375.00
SEBASS Events & Entertainment	8,369.54
Homefield US, Inc	8,100.00
Colt Gateway	8,062.12

Connecticut Innovations
Vendor Payments over \$5,000
Fiscal Year 2023

Vendor name	Payment Amount
Biowave	8,000.00
Sensorworx	8,000.00
Comcast Business	7,884.75
PC Nametag	7,822.68
Breakfast Belle, LLC	7,500.00
Connecticut Entrepreneurs Forum, Inc	7,500.00
Northwest Connecticut Community College	7,500.00
Premier Strategy Box, LLX	7,500.00
TrinityPoint Wealth	7,466.66
Atelier Cho Thompson	7,433.17
Nicholson Events	7,338.62
RevMedica, Inc	7,210.00
Gayle Cvengros	7,166.25
Solution Spray Technologies, LLC	7,062.50
Lactation Innovations, LLC	7,000.00
Valbridge Property Advisors	7,000.00
Eventsquid, LLC	6,975.00
Dev Effect, LLC	6,933.33
Sprout	6,917.36
Hugo & Hobby, LLC	6,812.50
Dylan Gerstel	6,810.00
CPS Fluidics, LLC	6,720.00
Intelisent, LLC	6,720.00
RPM Communication Consulting, LLC	6,700.00
Amazon.com	6,692.92
Court Tradeshow Furnishings	6,680.00
Paceline Group, LLC	6,675.65
Yale University: Black Business Alliance	6,610.00
John Watts Associates	6,572.69
Miso, Inc	6,545.90
reSET Social Enterprise Trust	6,500.00
Village House, LLC	6,500.00
Pro Exteriors, LLC	6,450.00
Southern Connecticut Gas Co	6,103.78
Legacy Squad, LLC	6,050.00
Collab Exchange, Inc	6,000.00
Nel Hydrogen US	6,000.00
Proton Energy Systems	6,000.00
12-15 Molecular Diagnostics, LLC	5,933.50
ApplicantPro	5,895.52
Coffee Distributing Co.	5,886.94
Convexity Scientific, Inc	5,833.51

Connecticut Innovations
Vendor Payments over \$5,000
Fiscal Year 2023

Vendor name	Payment Amount
Gray Wolf Consulting	5,611.00
Getro	5,400.00
65 Broadway, LLC	5,355.00
Unisoft Medical Corporation	5,292.00
Capital Innovation Lab, Inc	5,230.39
JET Blue	5,218.00
William B. Meyer, Inc	5,104.05
Carta, Inc	5,050.00
Air Company Holding, Inc	5,000.00
Andre Oliveira	5,000.00
Andrew Ryland	5,000.00
Aust Business Solutions, LLC	5,000.00
Bailey Maxwell Willoughby Harris	5,000.00
Burke & Cheng Studios, LLC	5,000.00
Cal Pitruzzello	5,000.00
Cassie Zukauskas	5,000.00
Ceramics by Kiara Matos	5,000.00
Cheyenne Johnson	5,000.00
Conscious Capitalism Connecticut, Inc.	5,000.00
CourtVison, LLC	5,000.00
CtrlTrial, Inc	5,000.00
Daniel Speer	5,000.00
Dawson Hettrick	5,000.00
Gabriel Bitencorp	5,000.00
Heather Lee Francis	5,000.00
IoTecha	5,000.00
Irina Ialayants	5,000.00
Jasmine Tompkins	5,000.00
Jessica de Atienza	5,000.00
Julie Chmura	5,000.00
Kelly Finn	5,000.00
Kelsey Mitchell	5,000.00
Keshawn Smith	5,000.00
Kim Vu	5,000.00
Last Draft, Inc	5,000.00
Leana Mauricette	5,000.00
Linnea Geary	5,000.00
Living Ink Technologies, Inc	5,000.00
Love and Magic Company, Inc.	5,000.00
Maribeth Teves	5,000.00
Maxwell Laurans	5,000.00
Michael Neumann	5,000.00

Connecticut Innovations
Vendor Payments over \$5,000
Fiscal Year 2023

Vendor name	Payment Amount
Michael O'Hara	5,000.00
Miguel Orellana Vallejo	5,000.00
Mohammed A Akhtar	5,000.00
Morgan Dow	5,000.00
MSK Curbsider, LLC	5,000.00
Nicholas Bradley	5,000.00
Nichole Alley	5,000.00
Qi Foods, LLC	5,000.00
Sarah DeMaio	5,000.00
Startups and Scholars	5,000.00
Stephanie Sackeyfio	5,000.00
The Minority Construction Council, Inc	5,000.00
The Village Food and Beverage, LLC	5,000.00
Thomas Cotton	5,000.00
Victor Ezike	5,000.00
Yash Parag Shah	5,000.00
YWCA Hartford Region, Inc	5,000.00
	9,168,237.37

AFFIRMATIVE ACTION AND EQUAL OPPORTUNITY EMPLOYMENT POLICY STATEMENT

Connecticut Innovations is and always has been dedicated to the goal of providing equal employment opportunity for all persons.

Connecticut Innovations recognizes both the purpose and need for a strong affirmative action policy to overcome the effects of past societal practices, policies or barriers to equal employment opportunity. Connecticut Innovations is committed to achieving the full and fair participation of women, blacks, Hispanics and all other protected groups found to be underutilized in the workforce or affected by policies or practices having an adverse impact.

It is the policy of Connecticut Innovations, to recruit, hire, train and promote employees in all job classifications without regard to race, color, religious creed, age, sex, marital status, sexual orientation, national origin, ancestry, mental retardation, physical or learning disability (including, but not limited to blindness), or past or present history of mental disorder of an applicant for employment or an employee unless there is a bona fide occupational qualification excluding persons in one of the above protected groups.

Affirmative action policies will be applied throughout the employment process including but not limited to recruitment, hiring, training, upgrading and promotions. In addition, Connecticut Innovations' pledges that services and programs will be provided to clients in a fair and impartial manner.


Connecticut Innovations does, and will continue to take appropriate affirmative action to ensure that applicants and employees are treated fairly and in accordance with the law. Connecticut Innovations recruits qualified or qualifiable candidates including members of protected classes for vacant positions. To increase the number of applicants from protected classes, Connecticut Innovations, in addition to using traditional recruitment sources, recruits using various job boards which include: the workplace diversity network which encompasses the following job boards: DiversityInEducation.com, OutandEqual.com, LGBTconnect.com, DiversityinHighEducation.com, VeteranConnect.com, DisabilityConnect.com, HispanicDiversity.com, and DiversityMBA.com

Connecticut Innovations, recognizing the hiring difficulties experienced by the disabled in the labor market and by many older workers, will work to achieve the full and fair utilization of such persons in the workforce.

The Board of Directors of Connecticut Innovations has the overall responsibility for the establishment of the affirmative action policies of the agency.

Matt McCooe of Connecticut Innovations, maintains ultimate responsibility for the implementation of the Affirmative Action Policy for all staff, Christopher Baisden, Vice President, Director of Human Resources is charged with the day-to-day responsibility. Chris can be reached at (860) 258-7861 and their offices are located at 470 James Street – Suite 8, New Haven, CT 06513

The undersigned is committed to ensuring that Connecticut Innovations upholds the principles of equal employment opportunity and pursues an aggressive affirmative action policy in all aspects of the organization's operation.



Matt McCooe
CEO



AFFIRMATIVE ACTION AND EQUAL OPPORTUNITY EMPLOYMENT POLICY STATEMENT

CTNext is and always has been dedicated to the goal of providing equal employment opportunity for all persons.

CTNext recognizes both the purpose and need for a strong affirmative action policy to overcome the effects of past societal practices, policies or barriers to equal employment opportunity. CTNext is committed to achieving the full and fair participation of women, blacks, Hispanics and all other protected groups found to be underutilized in the workforce or affected by policies or practices having an adverse impact.

It is the policy of CTNext, to recruit, hire, train and promote employees in all job classifications without regard to race, color, religious creed, age, sex, marital status, sexual orientation, national origin, ancestry, mental retardation, physical or learning disability (including, but not limited to blindness), or past or present history of mental disorder of an applicant for employment or an employee unless there is a bona fide occupational qualification excluding persons in one of the above protected groups.

Affirmative action policies will be applied throughout the employment process including but not limited to recruitment, hiring, training, upgrading and promotions. In addition, CTNext pledges that services and programs will be provided to clients in a fair and impartial manner.

CTNext does, and will continue to take appropriate affirmative action to ensure that applicants and employees are treated fairly and in accordance with the law. CTNext recruits qualified or qualifiable candidates including members of protected classes for vacant positions in order to increase the number of applicants from protected classes. CTNext shall use diverse recruitment sources when recruiting for open positions.


CTNext, recognizing the hiring difficulties experienced by the disabled in the labor market and by many older workers, will work to achieve the full and fair utilization of such persons in the workforce.

The Board of Directors of CTNext has the overall responsibility for the establishment of the affirmative action policies of the agency.



Onyeka Obiocha of CTNext, maintains ultimate responsibility for the implementation of the affirmative action policy for all staff, Christopher Baisden, Vice President, Director of Human Resources is charged with the day-to-day responsibility. Chris can be reached at (860) 258-7861 and their offices are located at 120 Huyshope Avenue – Suite 403, Hartford, CT 06106.

The undersigned is committed to ensuring that CTNext upholds the principles of equal employment opportunity and pursues an aggressive affirmative action policy in all aspects of the organization's operation.


[Onyeka Obiocha \(Dec 12, 2022 10:01 EST\)](#)

Onyeka Obiocha
Executive Director

Connecticut Innovations and CTNext Workforce Analysis											
DATE: June 30,2022											
Category or class	Grand Total (1)	Total Male (2)	Total Female (3)	White Male (4)	White Female (5)	Black Male (6)	Black Female (7)	Hispanic Male (8)	Hispanic Female (9)	Other Male (10)	Other Female (11)
ALL CATEGORIES											
Officials/Managers	23	15	8	13	7	2	0	0	1	0	0
Professionals	13	3	10	1	8	2	2	0	0	0	0
Administrative - Clerical	4	1	3	1	2	0	0	0	0	0	1
TOTALS	40	19	21	15	17	4	2	0	1	0	1



**CONNECTICUT INNOVATIONS (CI) AND CTNEXT (CTN)
ANNUAL REPORT ON AFFIRMATIVE ACTION EFFORTS
July 1, 2022 THROUGH JUNE 30, 2023**

CT INNOVATIONS AND CTNEXT EVENTS, PROGRAMS AND SPONSORSHIPS

Connecticut Innovations and CTNext continue to provide assistance, sponsor/participate in events and programs throughout the state which are designed to feature and encourage small and minority business development. Such assistance, events and participation included:

Tidal River Fall Pitch Event

Tidal River Spring Pitch Event

Tidal River & Circa Virtual Pitch Event

Tidal River Sail Into Summer Pitch Event

CTNext Governor's Innovation Fellowship Kick-off Event

CTNext Governor's Innovation Fellowship: Professional Development Workshop

SBIR/STTR Consortium Breakfast Part I

CTNext Entrepreneur Innovation Awards: Food Edition

Provided Technology Talent Bridge Internship (TTB) grants to link small technology businesses with undergraduate, graduate, and post-doctoral students

Provided Strategic assistance to SBIR/STTR proposals for this group during targeted virtual workshops with outreach to minority and women-owned businesses

HAYVN hosted a women's pitch, where CTNext served as a judge

Connecticut Innovations invested in several women, minority and veteran led companies during this period. Such companies included:

Nest Collaborative Nursing and Lactation Services, P.C. (Woman led)

Aceiss, Inc. (Minority led)

Corechain Technologies, Inc. (Minority led)

3BC, Inc. (Veteran led)

Dugri, Inc. (Veteran led)

RECRUITMENT

Connecticut Innovations and CTNext are equal opportunity employers and strongly encourages the resumes/applications of women, minorities, and persons with disabilities. Job announcements are placed on-line with outreach to the minority community. Based on the position, CI also recruits at various colleges and universities throughout Connecticut. Job announcements are also posted on LinkedIn Recruiter, CI's ApplicantPro Jobs Platform, and a variety of diversity job boards to increase the number of women and minority applicants for open positions.

NEW HIRES

Connecticut Innovations and CTNext select new employees without regard to race, color, religion, sex (including pregnancy, sexual orientation, or gender identity), national origin, age, disability, or genetic information. Management is aware that the criteria for selection are to be bona fide qualifications only.

During this report period, Connecticut Innovations had four (4) hires. CTNext had nine (9) hires during this period. The hires were in the following job categories:

OFFICIALS/MANAGERS

One White Male (CI)
Four White Males (CTNext)
One Hispanic Female (CTNext)

PROFESSIONALS

One White Female (CI)
One Black Male (CI)
Two Black Females (CTNext)
One White Female (CTNext)

CLERICAL

One White Male (CTNext)

INTERNS

Two White Females (CI)
One Asian Female (CI)

PROMOTIONS

Connecticut Innovations and CTNext provide opportunities for career development and considers experience on the job in lieu of formal training as a path to promotions.

During this report period, Connecticut Innovations had three (3) promotions. CTNext had three (3) promotions. The promotions were in the following categories:

OFFICIALS/MANAGERS

Two White Females (CI)
One White Male (CI)

PROFESSIONALS

One White Female (CI)

TERMINATIONS

It is the policy of Connecticut Innovations and CTNext to conduct terminations on an impartial basis.

Connecticut Innovations experienced nine (9) terminations. CTNext experienced three (4) terminations. The terminations were in the following job categories:

OFFICIALS/MANAGERS

Three White Male (CI)
One White Female (CI)
One White Female (CTNext)
One White Male (CTNext)

PROFESSIONALS

Two White Females (CI)
One Asian Female (CI)
Two White Females (CTNext)

INTERNS

One Asian Female (CI)
One White Male (CI)

TRAINING

Connecticut Innovations and CTNext offer training to all staff on an equal opportunity basis.

Connecticut Innovations and CTNext encourage all employees to seek educational opportunities that will increase their job effectiveness as well as their professional and personal development. Connecticut Innovations continues to offer training courses in the following areas: computers, administrative, personal development, cyber security, human resources, sexual harassment, diversity, conflict resolution, health and wellness, workplace violence, ethics, and various other courses through the State of Connecticut Community Colleges Continuing Education Division in partnership with the Department of Administrative Services In-Service Training Program. All employees have access to the LinkedIn Learning platform. LinkedIn Learning is an online educational platform that helps employees discover and develop business, technology-related, and creative skills through expert-led course videos. With thousands of courses and personalized recommendations, employees can discover, complete, and track courses related to their field and interests.

Connecticut Innovations and CTNext offer tuition reimbursement to employees who have satisfactorily completed six months of service and are continuing their education in a job-related area, or in an area that will assist the employee in upward mobility or promotional opportunities.

CI – Planned Activities FY 2024

Description	FY23 Budget	FY23 Actual	FY24 Budget
CI Investment Activity:			
New Investments:			
Eli Whitney	\$5.0	\$7.0	\$4.0
Loan/Mezz Debt	\$2.0	\$2.0	\$2.0
Proof of Concept	\$2.0	\$0.5	\$0.5
Fund of Funds	\$2.0	\$2.4	\$0.3
Company Recruitment	\$1.0	\$0.0	\$0.5
Cimatech Fund	\$5.0	\$2.0	\$7.0
Futures Fund	\$3.0	\$1.3	\$2.5
New Investments: Subtotal	\$20.0	\$15.2	\$16.8
Follow-on Investments:			
Eli Whitney	\$10.0	\$8.5	\$9.0
Loan/Mezz Debt	\$6.0	\$6.3	\$5.5
Fund of Funds	\$4.0	\$2.1	\$2.2
Cimatech Fund	\$0.0	\$0.0	\$0.0
Futures Fund	\$0.0	\$1.5	\$1.5
Follow-on Investments: Subtotal	\$20.0	\$18.4	\$18.2
CBIF Investment Activity:			
New	\$6.0	\$0.6	\$4.0
Follow-on	\$13.0	\$5.4	\$5.0
Projects	\$0.5	\$0.0	\$0.0
Pre-seed	\$1.5	\$0.0	\$0.0
Fund of Funds	\$2.0	\$0.7	\$1.0
Total CBIF Investment Activity	\$23.0	\$6.7	\$10.0
Grand Total	\$63.0	\$40.3	\$45.0



BUDGET SUMMARY

FY 2023 Forecast vs. FY 2024 Budget
(000's omitted)

Description	Full-Year Board Approved Budget FYE 6/30/2023	Full-Year Forecast FYE 6/30/2023	Full-Year Proposed Budget FYE 6/30/2024
(000's omitted)			
Revenue:			
State Bonding	\$13,500	\$0	\$13,500
Stif Income		\$160	\$151
Other Income	\$25	\$250	\$0
FAST Grant	\$125	\$60	\$120
Proof of Concept	\$3,800	.	\$3,800
Upper Albany, ARPA	\$2,000	\$	\$2,000
Total Revenue:	\$19,450	\$470	\$19,571
Program Expenses			
Innovation Places and Higher Education (Places & Nexus in 2024)	\$11,207	\$786	\$4,197
Entrepreneurship Support Services:	\$1,910	\$1,583	\$4,058
Workforce Development:	\$1,300	\$837	\$1,800
Access to Capital:	\$3,025	\$102	\$1,801
Marketing	\$1,190	\$361	\$1,753
**Prof Fees	\$330	\$489	\$0
Total Program Expenses	\$18,962	\$4,159	\$13,610
Gen/Admin Expenses			
*Professional Fees:			\$101
Salaries & Fringe		\$1,307	\$2,559
Administrative Expenses (exclusive of IP)	\$2,085	\$521	\$568
Total Gen/Admin Expenses	\$2,085	\$1,828	\$3,228
Total Operating Expense:	\$21,047	\$5,987	\$16,838
Total Net (Loss) Gain:	(\$1,597)	(\$5,517)	\$2,733
*Professional fees associated with programs are in the program budgets for 2024			
**Forecast Prof Fees in 2023 contains consulting fees for Nexus			

BUDGET APPROVALS