



Brownfield Competitive Round 20

Walk-in Session #2

Assessment-Only Grant Program Highlights

Brownfield Competitive Round 20

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Office of Brownfield Remediation & Development

Connecticut Department of Economic & Community Development

August 14, 2024

Meeting Announcements

- Please remain on mute.
- Type into the chat: Name & Organization
- **Meeting will be recorded**
 - The presentation and recording will be posted on the OBRD website (www.ctbrownfields.gov)
- Enter questions and comments into the Chat and they will be answered at the end of the presentation during the Q&A
- FAQ document is available on the OBRD website
 - Will be updated with addendum on Friday, August 23, 2024.

Summary of Funding Availability

TOTAL AVAILABILITY – \$25 million

PROGRAM	MAX per application	MIN per application	Notes
Grant – Remediation/Limited Assessment	\$4 million	\$200,000	
Loan – Remediation/Limited Assessment	\$4 million	\$500,000	Min. is \$500K
Grant – Assessment-only (Land Banks/COGs)	\$500,000	\$100,000	Can apply for multiple projects/sites. Maximum per project - \$200K
Grant – Assessment-only (Others)	\$200,000	\$100,000	

Schedule

Presentations and Walk-in Teams Meetings	
Wed, July 31, 2024 (10 – 11AM)	Topic: Remediation/Limited Assessment Grant & Loan Program Highlights
Wed, Aug 14, 2024 (10- 11AM)	Topic: Assessment-Only Grant Program Highlights
Recording of previous webinar	Topic: Building your Redevelopment Project Capital Stack (a presentation from other public funding programs)
Recording of previous webinar	Topic: Public-private partnerships: Options available to brownfield developers and stakeholders
Questions on the NOFA	
Wed, Aug 21, 2024	Deadline for questions to DECD on this NOFA
Fri, Aug 23, 2024	Posting of FAQ Addendum (if any) on OBRD's Website
Application Deadline	
Wed, Sep 25, 2024 @ noon	Request for SharePoint folder
Friday, Sep 27, 2024 @ noon	Deadline to submit or upload Application Package
Round 19 Award Announcement	
Nov/Dec 2024	Award Announcements (tentative)

Application Process

Assessment-only Applications (AO)

Process

1. Request SharePoint link – send email to brownfields@ct.gov
 - Name of Applicant, Project Name, and 20(AO) in subject line
e.g.: *Gotham City_Downtown Area Assessment Project_20(AO)*
2. Upload application documents to SharePoint **and send email signaling submission completion**
 - Contact brownfields@ct.gov with any issues
3. Make sure you receive **a confirmation receipt from OBRD.**

Due Date

Applications will be accepted until **NOON** on **Friday, Sep 27, 2024.**

NOFA Overview

Assessment-only Program

- Value-driven
- Sowing the seeds for brownfield redevelopment
- Helps stakeholders understand issues
- Potential/Cost-benefit for redevelopment
- Potential end uses (including highest and best end use)
- Attracts developers
- Public information



Village Center, East Hampton

Assessment Plan:

Assess two parcels at 1 Watrous St. and 13 Summit St. to advance these sites for potential redevelopment

- Brownfields inventory identified 23 brownfields in the Village Center and these two adjacent sites were identified as having potential for redevelopment

Furniture Factory, Waterbury

Assessment Plan:

Investigate two sites at 194 and 196 Mill St. to determine type and extent of contaminants.

- Previous competitive rounds – property owner had applied and it was clear that there were many unanswered questions regarding the issues.
- Partnered with CT Brownfield Land Bank and the City to conduct investigations



Multiple sites (Southeast CT Region)

Assessment Plan:

SCCOG undertaking environmental assessment work of 3 distinct properties in three different municipalities.

- SCCOG: Waterford, Scotch Cap Terminal (municipal owned)
- SCCOG: Windham, ATC Mill #4 (economic development corporation)
- SCCOG: Windham, Giant Vac (municipal owned)

The logo for SCCOG (Southeast Connecticut Council of Governments) features the letters "SCCOG" in a white, serif font, centered on a dark blue square background.

SCCOG

Eligible Applicants for Grant Program

Per C.G.S. Section 32-760 (6), (12), (22)

Municipalities

Economic Development
Agencies / Corporations

Councils of
Governments

Connecticut
Brownfield Land
Banks

Entities responsible for the contamination are ineligible.

All applicants must provide proof of access to the site, site control or path to site control.

Funding Request: Maximum & Minimum

Round 19 Assessment-Only Applications

Municipalities and Eligible Economic Development Agencies/Corporations:

- Maximum request: \$200,000
- Minimum request: \$100,000

Councils of Governments (COGs) and Brownfield Land Banks (BLBs)

- Total Grant requests up to \$500,000 using one single application form
 - Example:
 - XCOG is requesting for 3 different projects and asking up to a maximum of \$400,000
 - Project 1: \$200K
 - Project 2: \$100K
 - Project 3: \$100K

Eligible Uses of Funds

Assessment-Only Projects

- **Costs Associated with:**

- Soil, groundwater assessment and investigation (Environmental Site assessment reports)
- Hazardous building materials survey/design and other infrastructure investigation
- Planning (Conceptual Drawings, Market Demand Studies, etc)
 - (Maximum of 25% of grant funds)
- Engineering and environmental consulting
- Limited clearing activities to conduct investigation activities
- Limited structural investigation
- Attorney fees related to non-DECD contract related legal work
 - Example: Property title and ownership search, environmental use restrictions, etc.
 - No DECD legal expenses involved

- **Maximum of 5% for admin soft costs**

Threshold Requirements

As per C.G.S. Section 32-760

- Proof site is a brownfield
- No Liability (direct or related)
- Ownership or Access Agreement

Brownfield Definition

As per C.G.S. Section 32-760

1. Abandoned or underutilized
2. No Development, Reuse, or Expansion due to pollutants
3. Requires investigation or remediation to enable redevelopment, reuse, or expansion

Application Review & Award Criteria

Assessment-Only Applications

- **Clarity on Assessment Needs**
 - Knowledge of contamination and site history
 - Primary investigation
 - Project Description
 - Budget Feasibility / Cost-efficiency
 - Project is in distressed municipality
 - **Economic and Community Development Impact**
 - Project in Opportunity Zone
 - Locational benefits / merits
 - **Non-DECD Support**
 - Developer interest and non-DECD support
 - Non-DECD funds committed
 - Market demand / Studies for the site
 - **Applicant Experience**
 - Applicant experience with completing similar projects on time and within budget
-

Application Overview

Before you Begin...



- Take Notice of the Application Type

- Make sure the application says **Assessment Only**

- COG/Land Bank Cover Sheets

- Applicable only for Landbanks or COGS who are allowed to apply for 500K for multiple parcels and/or municipalities
- Can also submit separately
- [Link](#)

NEW: Assessment-Only Grants – Round 20 Materials

- [Assessment-Only Grant: Notice of Funding Availability - 20\(AO\)](#) 
- [Application Form Assessment-Only Grant – 20\(AO\)](#) 
 - For Councils of Governments and Land Banks
 - [Multiple-Project Application Cover Sheet – 20\(AO\)](#) 
- [FAQ – Frequently Asked Questions - 20\(AO\)](#) 



State of Connecticut

Department of Economic and Community Development

Office of Brownfield Remediation and Development

Municipal Brownfield Grant Program Application

Assessment-Only

Program Description

The purpose of the Municipal Brownfield Grant Program as per [C.G.S Section 32-763](#), is to provide grants to municipalities, Connecticut brownfield land banks and economic development agencies for the eligible costs of brownfield remediation and assessment projects.

Application Overview

- Part A – Section I & II (Eligibility Criteria)
 - Basic Application Questions
 - Don't forget your FEIN#
- Section I Q.2 – Eligibility Statute – "Type of Organization"
- Please refer to C.G.S. Sec. 32-760 for definitions.
- If your applicant type is not clear, please let us know why you are an eligible entity – You can use the "other" box and explain

PART A (THRESHOLD ELIGIBILITY SECTION)

SECTION I APPLICANT & PROJECT INFORMATION

1. Applicant:
Federal Employer Identification Number (FEIN):
Business Address:
City: ZIP Code:
Contact Name: Title:
Telephone: Email:
2. Type of Organization (please refer to [C.G.S. Sec. 32-760](#) for definitions)
 - Municipality Municipal Economic Development Agency
 - Non-Profit ED Corporation formed by municipality(ies)
 - Non-Profit Corporation or LLC formed by a municipality or related entity
 - Regional Council of Government Land Bank
 - Other, describe
3. Project Name:
4. Amount of Financial Assistance requested: \$
5. Project Address:
City: ZIP Code:
Total Site Acreage: # of Parcels:

Application Overview

- Part B – Section I (Project Details)
 - This gives us the full explanation of the project
 - Please read all of the instructions
 - This is an important section that will be scored
 - Note: There will be multi-part questions from time to time – Please tell us as much as you can based on what you know

A. Knowledge of Contamination History/Background.

(Brief site operational history - previous use of property and dates; "Potentially Responsible Party(ies)" for contamination present on site if available; high level understanding of potential areas of concern identified based on operational history; knowledge of/potential for additional constituents of concern (including emerging contaminants) based on operational history; description of potential hazardous building materials; other site specific details identified that would help understand the plan and estimated costs):

B. Preliminary Environmental Investigation.

(Has any preliminary environmental investigation taken place. Are there any Phase I Environmental Assessment Studies or other initial environmental studies available? Please attach all available studies.):

C. Project Need and Description.

(Explain why the Applicant is taking up the assessment activities on the site. Is there any interest for development of the property? Provide a comprehensive description of the assessment plan and activities. Is the assessment plan consistent with the Remediation Standard Regulations (RSRs), the Site Characterization Guidance Document (SCGD), the DPH/DEEP/EPA protocol etc.):

D. Other Supplemental Site Information, if any – this section will not be scored.

(Does the site include any historic or known archaeological resources? Please include any DEEP or EPA enforcement actions including consent orders and notice of violations related to the site. Does the property fall under a State or Federal cleanup program? Has the project site been enrolled in a regulatory program - Voluntary Remediation Program, ABC, BRRP, Transfer Act etc.):

Application Overview

- Part B – Section I (Project Details)

Budget

- List Other Sources (Not just DECD)
- Snapshot of what you are requesting funds for (lets us know what kind of issues the site has) used to start your budget attachment
- Not mandatory to have any preliminary assessments
- You may not know anything at this point – That is OK!!

E. Estimated Costs and Budget.

(Please provide a detailed budget in the table below. Explain how the estimates were arrived at. Highlight any non-DECD funding that have been or will be committed to the project. Details can be provided under Question III.2)

Project Activity (Use of Fund)	Source of Fund						Total
	DECD	Other State	Federal	Local	Private	Developer	
Land acquisition							\$ 0
Other Pre-dev							\$ 0
Assessment Details							
Phase I ESA							\$ 0
Phase II ESA							\$ 0
Phase III ESA							\$ 0
RAP							\$ 0
HBM Survey							\$ 0
Asbestos Survey							\$ 0
Lead Survey							\$ 0
Demo Survey							\$ 0
Remedial Design							\$ 0

Application Overview

Part B – Section II (Economic & Community Development Impact)

Questions 1 & 2

(Distressed/Enterprise Zone OZ)

- Use the links provided for reference if needed


Question 3

- Location Location Location!!
 - Here you get to boast about your project and its potential
 - This is very important – the value of a project has a lot to do with location – there are many BF properties – why did you choose this one? – is it location? – if so let us know

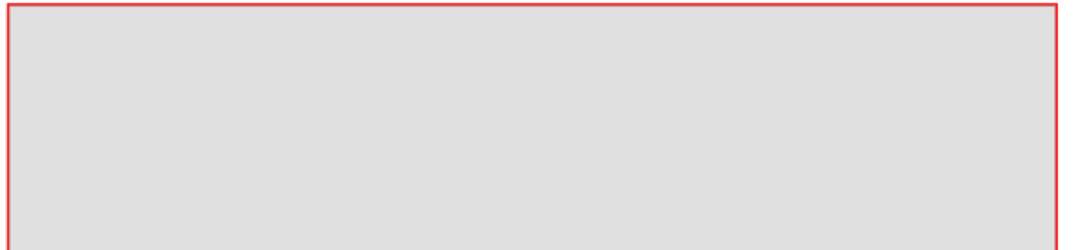
1. **Is the project currently located within a designated Distressed Municipality or Enterprise Zone? Describe.:** For more information on designations and definitions, please visit the following links - for [Distressed-Municipalities](#); [Enterprise Zones](#);



2. **Is any part of the project located within a designated Opportunity Zone (OZ) or adjacent to an OZ? Is this project related to an OZ project? Explain:**
Visit map of [Designated Opportunity Zones and Locations](#)



3. **Describe the locational benefits of the project site in relation to the local community, municipality, region, and state. What is the potential of the site in terms of generating community benefits and economic value?:**



Application Overview

Part B – Section II Continued (Transportation Related)

- Location Location Location!!
- Accessibility
- Proximity to Bus & Rail, Highways, Waterfront, Downtown, Urban/Rural Centers and regional hubs

A. Is the site located within half-mile radius of one of the existing or planned stations on AMTRAK, MetroNorth, Shore Line East, CTfastrak transit lines, or other bus stations with frequent daily service? Please describe:

B. Is the site easily accessible by road and highway? Describe:

C. Does the site have a waterfront and accessible to any waterways? Describe:

D. Is the project site within a downtown, urban/rural center or a regional hub? Describe.:

Application Overview

Part B – Section II Continued (Planning Related)

Questions E., F., G

- Is this an important site that people have been planning for?
- Use the provided Link for Reference
- Regional or State District Hubs
 - See Categories

E. Is the project site identified in the state and local plans for development? Describe:

F. Is the project site identified in the regional [Comprehensive Economic Development Strategy](#) report as a priority site?

G. Is the site within or adjacent to any planned local, regional or state district/hub?

Provide proof or justification:

(Advanced Manufacturing; Aerospace & Defense; BioScience & Healthcare; Film, TV, Digital Media; Financial Services; Green Energy; Insurance; Technology & Innovation; Tourism)

Application Overview

Part B – Section III

(Developer Interest/Non-DECD Support)

Questions 1-3

- Important Questions that will be scored
- **Q1** is a multi-part Question – don't forget to answer each question (3 sections)
Includes a question about zoning
- **Q2 & 3** – Non-DECD Funds Committed
 - We would like to give you credit for the use of Non-DECD funds for items such as Preliminary assessment, Predevelopment Expenses, Market Studies, Land Acquisition etc.

1. Is there interest in the property for future development purposes? Please provide details of any interested developers and future development plans. Has the Applicant done an RFP/RFQ for development of the site? Is the site zoned for the potential end use?:

2. **Please describe the non-DECD funds committed to the project:**
(Could include costs for preliminary assessment, market studies, property acquisition and other predevelopment expenses)

3. Have there been any market demand studies been done for the site or the surroundings? Please describe. If there are other ways that the Applicant has gauged the value for developing the property, please describe?:

Application Overview

Part B – Section IV (Applicant Experience)

- You can List Multiple Projects (Up to 5) and talk about your team

• Part B – Section V (Document Checklist)

- Only 1 Mandatory Document **Tax Assessors Card
- All other documents are "If Applicable/Available"
- Any additional documents that will boost your application, please provide

SECTION IV APPLICANT EXPERIENCE

1. Provide up to five examples of similar scale assessment/ remediation/brownfield redevelopment projects that the Applicant/Partners/Parent-entity has undertaken.

For each project: Provide project name; address; project scope; total project costs; details of funding sources; if DECD/other state agency funding was involved; project period; indication if project was completed on time and on budget; project issues and how they were resolved, and project testimonials and contact information.:

1.

SECTION V DOCUMENT CHECKLIST

Attach copies of the following required documentation:

Please attach copies of the following documentation, as applicable.

All documents with an asterisk* are required documents.

Please note: All documents should be numbered and named in accordance with the checklist items below PRIOR to submittal to DECD

- 1. Tax Assessor Property Card(s)*
- 2. Letter of Intent to Purchase Property/Purchase and Sales Agreement
- 3. Access Agreement or documentation from owner indicating willingness to provide access
- 4. Phase I ESA (if available)
- 5. Site Plans and other graphics/photos describing project
- 6. Any available preliminary assessment reports

Application Overview

Signature Page *Important*

- The document cannot be edited once a signature is applied using the sign option on Adobe Acrobat
- Please make sure the application is complete and ALL RESPONSES ARE VISIBLE

Signature:	Printed Name:
Date:	Title:

The document cannot be edited once a signature is applied using the sign option on Adobe Acrobat. Please make sure the application is complete and ALL RESPONSES ARE VISIBLE (start and end of response) prior to applying your signature.

Post-Funding Award Process Overview

Post-Funding Award Process

Assessment-Only Projects

- Project Manager (Contracts) - handles majority of the PM tasks for AO projects
- No DECD Outside Counsel
- All reports/project deliverables property of DECD/State (accessible by the public)

- Financial Assistance Proposal (Layman terms of DECD contract)
- Kick-off Meeting
- Assistance Agreement/DECD Contract
- Internal set-up for grant drawdown process
- Consultant Procurement
- Project Implementation
- Project Closing

Q&A

Frequently asked FAQs!

1. Can we apply for work already completed? (RG FAQ #16)
2. If successful, can my consultant get reimbursed for preparing the application? (RG FAQ #17)
3. Can a municipality (or other eligible-entity) apply for an assessment grant under the program for a privately-owned property? (RG FAQ #11)
4. Can a portion of the Assessment-only funds be used for planning activities to ready the project parcel for development? (RG FAQ #20)
5. Do I need to provide addresses of sites where work will be performed? Does there need to be a developer?



For additional questions:
Please send email to
brownfields@ct.gov
(preferred) or

Contact the dedicated OBRD
hotline number at
1.860.500.2395

Visit the OBRD Website to
access the Application
material:
www.ctbrownfields.gov



Website:

www.ctbrownfields.gov

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