STATE OF CONNECTICUT

ARCHITECTURAL LICENSING BOARD

-MINUTES-

OCTOBER 20, 2017

The six hundred seventy second meeting of the Architectural Licensing Board, held on October 20, 2017, was called to order by Acting Chairman Mr. David H. Barkin at 9:01 AM in Hearing Room J., 450 Columbus Boulevard, North Building, Hartford, Connecticut.

Board Members

Present: David H. Barkin Board Member, Architect

Angela D. Cahill Board Member, Architect Philip H. Cerrone Board Member, Architect

Twig Holland Board Member, Public Member

Board Members

Not Present: None

Vacancies: One(1) Public Member

DCP Staff Present: Robert M. Kuzmich License/App. Specialist, DCP

Richard M. Hurlburt Director, DCP

Others Present: John Neumon Director, DCP

Paulette Annon Staff Attorney, DCP Jerry Padula Staff Attorney, DCP

Michael Ayles AIA/CT, NCARB Architect

Licensing Advisor

Randall Anway AIA/CT/Board of Directors

Note: The administrative functions of the Boards, Commissions, and Councils are carried out by the Department of Consumer Protection, Occupational and Professional Licensing Division. For information, contact Richard M. Hurlburt, Director, at (860) 713-6135 or Fax (860) 706-1202.

Agency Website: www.ct.gov/dcp Division E-Mail: dcp. Division E-Mail: dcp.

Note: The Board voted to elect Mr. David H. Barkin as Acting Chairperson for today's meeting. (Cahill/Cerrone)

1. Review of minutes of the August 18, 2017 Architectural Licensing Board Meeting.

Mr. Barkin noted the following corrections to the draft of the minutes:

1. At the bottom of page one, the sentence shall read as follows

"Note: The Board voted to elect Mr. David H. Barkin as Acting Chairperson for todays' meeting." As such, the Board voted unanimously to approve the minutes as corrected herein. (Cahill/Cerrone) Ms. Holland abstained from the vote.

2. Comments or Concerns of any Person Present Today.

1. Mr. Ayles noted that he will be speaking at the University of Hartford on November 13, 2017 and at the Office of AIA/Connecticut on November 20, 2017. He will be addressing NCARB's *Architectural Experience Program (AXP)* and the Architectural Registration Examination.

3. Old Business

3A. Items for review and discussion at the request of Mr. David Barkin:

- 1. Continuing Education Unit (CEU) Requirements: Mr. Cerrone noted that this appears to be a very important issue with architects in Connecticut based upon the architects who have contacted him in the past. Mr. Barkin recently spoke with Ms. Julianne Avallone from the Department of Consumer Protection regarding this matter. Mr. Barkin would like the Board to further pursue this topic with her in the near future and keep this item on the future meeting agendas.
- <u>2. Enhanced Investigations</u>: Mr. Barkin would like to leave this item on the agenda for upcoming meetings since it may be addressed in the future by the Department of Consumer Protection's Investigation Division. The Board recognizes that realistically they cannot reach the level of enforcement/investigations that the State of Florida has in place due to limited staff and funding.

3. Increase fines available to ALB:

There was no specific discussion on this item.

4. Collaborate with AIAS on "Freedom by Design" program, provide mentoring?

Ms. Cahill referenced the letter received from the NCARB dated October 5, 2007 concerning *Volunteer With Your Local AIAS Freedom by Design Chapter* noted under

Agenda Item 4C. She stated that she recently met with members of AIA/Connecticut at their offices and one topic of discussion was the subject of this letter. In addition, Ms. Cahill spoke with students at the University of Hartford on October 19, 2017 regarding this matter. She was pleased with the student's level of enthusiasm and interest. She also indicated that it appears that NCARB will be providing financial support in the near future.

It was noted that the Board's role in this process is not clear at this point. Ms. Cahill will be in touch with NCARB for further direction which she will then communicate with the Board.

5. Have NCARB review model law against our CT Statutes / Regulations

Mr. Barkin stated that NCARB has completed an analysis of our Statutes and e-mailed their findings to him on October 17, 2017. Mr. Barkin asked that Mr. Kuzmich forward this communication to the Board Members and that discussion on this matter take place at the Board's December 15, 2017 meeting given the number of items on their agenda today.

<u>6. Review late fees and rules for years lapsed - rescinding licenses</u>: Mr. Cerrone gave the Board a summary of how New York and New Jersey handle reinstating lapsed architectural licenses. Mr. Cerrone offered to put together a matrix summarizing the requirements of the surrounding states including how they handle continuing education associated with lapsed licenses. He will have this information ready for the next Board meeting

7. Discussion: Corporate Practices of Architecture – how do we know that firms maintain compliance with requirements (such as stock ownership)

Mr. Kuzmich gave the Board a template of the renewal form currently being used by the Department for corporate renewals. After some brief discussion, it was determined that the this form is sufficient in providing the Board and Department the information needed to ensure that corporate entities maintain compliance with statutory requirements.

8. NECARB surplus discussion and ideas:

Mr. Barkin suggested the possibility a competitive block grant for which states can apply for. This process can potentially address the individual State's needs. NECARB can then set up methods by which to evaluate these applications.

4. New Business

4A. Attorney General Opinion 86-094 regarding Design Firms.

Ms. Paulette Annon addressed the Board noting that she wants to ensure that the Board is aware of this opinion and agrees with the same. She highlighted the syllabus

of the Opinion to the Board. In addition, Mr. Neumon noted that the stimulus for this discussion was an architect-based complaint involving the use of the term "architect".

Discussions with Department personnel lead into a broader dialogue involving the statutory exemptions as they pertaining to the practice of architecture. As such, this is why this item is before the Board today. Ms. Annon noted to the Board that she will be speaking to them more extensively in the near future regarding the use of the term "architect".

4B. Discussion regarding NECARB related items.

1. The Board reviewed, in detail, a draft of the proposed 2018 <u>New England Council of Architectural Registration Boards (NECARB) By-Laws and Policies</u> sent to Mr. Barkin by e-mail on October 10, 2017. This document will be acted upon at the upcoming 2017 Fall Regional Meeting of New England Conference of Architectural Registration Boards to be held October 27, 2017 through October 29, 2017 in Portland, Maine.

4C. NCARB Letter dated October 5, 2007 concerning Volunteer With Your Local AIAS Freedom by Design Chapter.

Discussion on this item is noted under 3A. (4) in these minutes.

4D. NCARB Tri-National Mutual Recognition Agreement for International Practice.

The Board reviewed this agreement in detail. After some discussion, the Board voted unanimously to authorize Mr. Barkin to sign the agreement. (Cerrone/Cahill) Mr. Barkin signed the agreement at this meeting and dated the same October 20, 2017.

- 4E. The following candidates have passed the *Architect Registration Examination* and are recommended by the Department of Consumer Protection for licensing as Architects in the State of Connecticut; the Board voted, unanimously, to approve the following individuals for licensing as architects in Connecticut and offered their congratulations on achieving this professional milestone. (Holland/Cerrone)
- 1. Lindsay M. Brown
- 2. Amy M. Rogalski

The Board voted to add the following application to their meeting agenda today (Cahill/Cerrone):

- 1. Michael J. Berger
- 4F. Applications for licensing by waiver of examination; the following individuals were approved under Section 21a-8 of the General Statutes by the Department of Consumer Protection for licensing as architects in the State of Connecticut on the basis of waiver

of examination with an NCARB Certificate Record or by Direct Endorsement; the Board acknowledged the Department's actions on the below listed applications.

1	Ashworth, Paul V.	Waiver of Examination; Virginia	(NCARB File No. 81687)
2	Bendert, Maxwell	Waiver of Examination; New York	(NCARB File No. 401733)
3	Berhanu-Haile, Demeke	Waiver of Examination; Wisconsin	(NCARB File No. 74872)
4	Boyle, Brian E.	Waiver of Examination; New York	(NCARB File No. 48808)
5	De Miguel, Pablo Jesus	Waiver of Examination; New York	Direct
6	Donahoe, Colin P.	Waiver of Examination; New York	(NCARB File No. 494853)
7	Dordai, Philippe C.	Waiver of Examination; New York	(NCARB File No. 45317)
8	Firmstone, Chad R.	Waiver of Examination; New York	(NCARB File No. 549993)
9	Garapolo, Louis J.	Waiver of Examination; Illinois	(NCARB File No. 57869)
10	Gow, Jeffrey	Waiver of Examination; Pennsylvania	(NCARB File No. 141701
11	Grieco, Peter A.	Waiver of Examination; New Jersey	(NCARB File No. 116986)
12	Hayes, Jennifer M.	Waiver of Examination; Massachusetts	(NCARB File No. 142853)
13	Hikida, Tomi K.	Waiver of Examination; New York	(NCARB File No. 829610)
14	Hofmann, Eric C.	Waiver of Examination; New York	(NCARB File No. 98962)
15	Koshiyama, Yoshiyuki	Waiver of Examination; Wisconsin	(NCARB File No. 95264)
16	Mijalli, Nabil N.	Waiver of Examination; New York	(NCARB File No. 85113)
17	Rookstool, Kyle A.	Waiver of Examination; Mississippi	(NCARB File No. 696442)
18	Roveto, Dominick	Waiver of Examination; Massachusetts	(NCARB File No. 60646)
19	Shah, Nayan	Waiver of Examination; Pennsylvania	(NCARB File No. 95610)
20	Slicker, Joseph M.	Waiver of Examination; Texas	(NCARB File No. 106373)
21	Speranza-Kelly, Amanda V.	Waiver of Examination; New York	(NCARB File No. 673993)
22	Stark, Anthony J.	Waiver of Examination; New York	Direct
23	Velozo, Nicolas J.	Waiver of Examination; Massachusetts	(NCARB File No. 455333)

4G. Applications for the Corporate Practice of Architecture; the Department approved the following applications under Section 21a-8 of the General Statutes; the Board acknowledged the Department's actions on the below listed applications.

B.D. Nayak Architects & Planners Inc.
220 Forbes Road
Braintree, Massachusetts 02184

B.D. Nayak Connecticut Lic. No. 6584

2. KW Management LLC 5500 Fairway Road Faitway, Kansas 66205

William K. Harden Connecticut Lic. No. 13768

Khazadian & Associates, LLC,
Warren Road
Ashland, Massachusetts 01721

Raffe Khazadian Connecticut Lic. No. 13775

4. Woolpert Architecture, LLC 4454 Idea Center Boulevard Dayton, Ohio 45430

Denise M. Breunig Connecticut Lic. No. 13802 The Board voted to add the following application for the Joint Corporate Practice of Architecture and Engineering to their meeting agenda today (Cerrone/Holland):

LK Architecture and Engineering PC 345 Riverview Suite 200 Wichita, Kansas 67203

The Board voted unanimously to approve this application. (Cerrone/Cahill)

4H. DCP Investigation Division Complaint Status Report;

Mr. Neumon addressed the Board and gave the Board a general overview of the listed complaints. He also advised the Board of the Department's ability to revoke suspend or deny any registration if the respondent owes money to any account the Department uses. He clarified that in the case of licenses issued by the Board, such cases would go before the Board for their review and action. In the case of registrations not involving Board any Board approvals, the Department can act on its own to settle the matter in a timely manner.

Mr. Barkin advised Mr. Neumon that AIA/Connecticut has, in the past, generated many complaints that were submitted to the Department for action. He asked if the Department can provide the Board with a list of all such cases going back five (5) years.

The procedures by which applications are processed, that have disciplinary action listed, were discussed in response to a question from Ms. Holland.

41. 2018 Schedule for Board, Commission, and Council Meetings.

The Board acknowledged this document and reviewed their meeting dates for 2018 calendar year.

4J. Any correspondence and/or business received in the interim.

1. Mr. Jerry Padula addressed the Board regarding the proposed amendments to the Architectural Licensing Board's Regulation. He referred to a document which contains the changes and updates suggested in the Report of the Legislative Commissioner's Office ("LCO") dated 09-26-2017.

The Regulation Review Committee heeded the advice of LCO in "rejecting without prejudice" the regulation version that was presented to them. However, this action now allows the Department to re-file the regulation after implementing the suggestions within the LCO Report.

After some brief discussion, the Board voted, unanimously, to approve the Department's re-draft of their Regulation amendments. (Holland/Cerrone)

2. The Board discussed wall certificates which were given by the Department to all newly licensed architects in Connecticut. The Department no longer distributes this document.

The Board would like the Department to, again, reconsider producing and issuing these documents. It was suggested that perhaps the AIA/Connecticut Chapter could provide assistance in the production of these documents if the Department gave them their electronic template. In addition, the possibility of issuing wall certificates only to those first time licenses (by written examination) in Connecticut was discussed.

5. Comments or Concerns of any Person Present Today.

There were no further comments from anyone present at the meeting.

The meeting adjourned at 11:08 AM. (Holland/Cerrone) The next regular meeting of the Architectural Licensing Board is scheduled for <u>Friday, December 15, 2017 at 9:00 AM;</u> 450 Columbus Boulevard, North Building, Hearing Room J, Hartford, Connecticut 06103.

Respectfully Submitted,

Robert M. Kuzmich, R.A. Board Administrator

Upcoming Architectural Licensing Board Meeting Dates:

- 1. December 15, 2017
- 2. January 19, 2018
- 3. March 16, 2018
- 4. May 18, 2018
- 5. July 20, 2018
- 6. September 14, 2018
- 7. November 16, 2018