

**Juan F. v. Malloy Exit Plan
Status Report
October 1, 2015 – March 31, 2016
Civil Action No. 2:89 CV 859 (SRU)**

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Highlights

- The findings regarding the Exit Plan Outcome Measures indicate that the Department maintained compliance with 14 of the 22 measures for the Fourth Quarter 2015 and 16 of 22 measures for the First Quarter 2016. The summary chart on page 10 indicates that the Department's efforts regarding the three permanency measure (OM 7, OM 8, and OM 9) accounted for the difference in total measures met and where they missed meeting the measure it was by a small percentage. Of the measures that did not meet the established standards in the First Quarter, the most critical are the same as reported in the last status report and deal with the Department's case planning process, meeting children and families service needs, appropriate visitation with household and family members of the agency's in-home cases, and excessive caseloads for Social Work staff. The six measures not met include: Outcome Measure 3 (Case Planning), Outcome Measure 9 (Transfer of Guardianship), Outcome Measure 14 (Placement Within Licensed Capacity), Outcome Measure 15 (Children's Needs Met), Outcome Measure 17 (Worker-Child Visitation In-Home)¹, and Outcome Measure 18 (Caseload Standards).

Outcome Measure 10 (Sibling Placement) did not meet the measure for either quarter under the definitions set forth in the 2004 Exit Plan. However, with the recent expansion of the exception group to include sibling groups of three (3) or more siblings that was detailed in previous reports; the findings of the review of this cohort indicate that the Department met the measure for both the Fourth Quarter 2015 and First Quarter 2016.

- The fiscal challenges facing the state continue to put pressure on the Department to provide quality case management and treatment services. Ongoing meetings and discussions with *Juan F.* parties that included Secretary Ben Barnes from the Office of Policy Management have been fruitful in trying to resolve or mitigate the current challenges. Concurrent with the fiscal challenges, there has been an increase in reports being received at the Department's Carline. This increase has meant that despite the agency's best efforts to manage caseloads and the transfer of cases, some offices have struggled with the caseload/workload challenges.
- Despite ongoing workload/staffing issues and community resource challenges the Department posted very encouraging results for the First Quarter on the key measures involving Case Planning (OM 3) and Children's Needs Met (OM 15). The Department also sustained their efforts with the other Exit Plan measures as demonstrated in the summary. The results of the OM 3 and OM 15 review of 54 cases found that the

¹ Outcome Measure 17 Worker-Child Visitation In-Home - Current automated reporting indicates the measure as statistically achieved, however this does not accurately reflect performance findings. The Outcome Measure 17 Pre-Certification Review indicated that compliance is not achieved. While DCF reports are numerically accurate based upon the algorithms utilized, user error in selection of narrative entry types, and a failure to demonstrate that workers are meeting the specific steps called for with the definition of 'visit' calls into question the automated report findings. As such, the Monitor will not indicate achievement of the measure based solely on the current reporting.

Department achieved among the best findings ever on these measures, 66.7% and 70.4% respectively, and the Court Monitor review staff cited numerous examples of excellent case management, care coordination and service provision.

- Two exciting and critical initiatives have been making significant progress over the last two quarters. These include the Department's implementation of a self-directed CFSR process and the work to develop a new Connecticut Child Welfare computer system. They each involve the efforts of staff from a variety of work functions and levels from within the Department. Connecticut is one of only a handful of states that has received permission and successfully transformed from a federal Child and Family Services Review (CFSR) process that was conducted by federal reviewers to a CFSR process conducted by DCF staff. This was a huge undertaking, but underscored the value this administration has placed on becoming less dependent on outside review of their work. This new process will be posed to provide a good portion of the evaluation and analysis currently conducted by the Court Monitor's Office at the conclusion of the Juan F. Consent Decree. This new process has allowed DCF, the Federal Children's Bureau at the Administration for Children and Families, and the Court Monitor's Office to work jointly during every step of the conversion. The Department has leveraged the work of the Administrative Case Review (ACR) process as one of the primary foundation pillars for this effort along with the expertise and efforts of the Department's Office and Research and Evaluation. They have been critical to the success of this project. The ACR staff produce a huge volume of work (15,000 cases reviewed annually) and the considerable experience of the ACR staff with the CFSR process and other evaluation methodologies has proven invaluable. Along with the ACR staff, Court Monitor staff, and federal Children's Bureau staff the transformation has also focused the integration into this process of the regional QA staff, regional CPS staff, Juvenile Justice staff from CJTS, regional Clinical and Systems staff. Extensive training and support has been provided and the multi-layered QA structure put in place for this new process ensures that reliability and dependability are being fully addressed. All of this has led to improved communication, clarity in the use of the CFSR protocol, improved utilization of the review findings, a growing QA capacity within the agency and most importantly a process that will lead to significant improvements in the outcomes for children and families.

The Department's efforts have been equally impressive in the development and securing of a new Comprehensive Child Welfare Information System (CCWIS). The state has been required by law since 1993 to develop a State Automated Child Welfare Information System (SACWIS) now known as CCWIS. This effort is extremely important to stream-line and optimize agency processes and leverage new technology opportunities. The new system will enable streamlining of data entry and data review, reduce paperwork, improve reporting and allow intelligent workload management. It is an enormous resource effort but an absolutely necessary undertaking. The current LINK system is aged and struggles to meet the current needs of the agency. The plan is to release a Request For Proposal (RFP) during this summer. To prepare for the RFP the Department has spent considerable time in documenting and detailing the current system and simultaneously they are producing a vision for the future system by utilizing

hundreds of Subject Matter Experts (SME) from the regional, central office and facilities to articulate the future vision as well as identify functionality elements from the current system that they would keep. The CCWIS team has and will continue to provide regular updates on the progress of this effort.

- The results for the 54 case blind-sample of Outcome Measure 3 (Case Planning) and Outcome Measure 15 (Needs Met) for the Fourth Quarter 2015 and First Quarter 2016 are detailed below:

According to the 54 case, blind-sample conducted for the Fourth Quarter 2015 and First Quarter 2016, the Department's statewide result for Outcome Measure 3 (Case Plans), is 48.1% and 66.7% respectively. The results for the First Quarter is among the highest ever recorded and was achieved during a period of time when caseloads/workloads were very high.

Manchester, Meriden, Middletown, Norwalk, Torrington and Willimantic Offices each surpassed the benchmark standard of 90% or higher this quarter with 100% of reviewed cases meeting the standards set forth in the methodology during one of the quarters reported. No region achieved the measure. Regions VI and I achieved the highest regional level of performance with 85.7% and 83.3% during the First Quarter 2016 period.

Over the two quarter period, eight cases out of the 108 cases reviewed did not have Social Work Supervisor approval. The data regarding Outcome Measure 3 (Case Plans), indicates that the Department's assessment work is an area that still needs improvement along with a continued emphasis on better engagement of families and stakeholders.

Outcome Measure 15 requires that all needs be met within the case for 80% of the children and families served. **The Department's statewide result for Outcome Measure 15 (Needs Met), within the 54 case sample for the Fourth Quarter 2015 and First Quarter 2016 is calculated at a rate of 63.0% and 70.4% respectively. This is the second time the Department has achieved a finding higher than 70% at it was produced during a period of high caseload and excessive workload issues.**

Danbury, Manchester, Middletown, New Britain, New Haven, Waterbury, and Willimantic Area Offices met or exceeded the measure during the last six month period. Regions V and VI achieved the 80% measure First Quarter with percentages of 90% and 85.7%.

There were 157 unmet needs service needs captured in the Fourth Quarter 2015 and 179 identified in the First Quarter 2016 throughout our reviews of each sample (n=54). This is a total of 336 unmet needs: a decrease in comparison to our prior report which included 411 unmet needs. Additionally, there were 28 instances in which reviewers felt that the case management was marginal or poor due to the lack of assessment or untimely referrals. In these instances the reviewers identified the DCF case management as the service need. As with prior reports, the reported barrier to appropriate service provision

was due the result of wait- lists and internal provider issues, client refusal, or the lack of/delayed referrals. As previously reported, interviews and e-mail exchanges with Social Workers and Social Work Supervisors indicates that some percentage of the categories of “lack of referral” or “delayed referral” are due to staff having knowledge that certain services are not readily available. Thus, the number of cases with unmet needs due to waitlists and provider issues is understated.

As with previous reports, service needs noted through this methodology (pages 42-47), as well as other review activities, indicate that services that are not readily available in areas of the state include: in-home services (including the most intensive services), domestic violence services, extended day treatment, mentoring, substance abuse services, supportive housing vouchers, foster and adoptive care resources, and outpatient mental health services.

- The Division of Foster Care's monthly report for March 2016 indicates that there are 1995 licensed DCF foster homes. This is a decrease of 13 homes when compared with the previous status report. The number of approved private provider foster care homes is 853 which is an increase of 35 homes from the previous status report. The number of private provider foster homes currently available for placement is 108.
- The number of children with the goal of Other Planned Permanent Living Arrangement (OPPLA) has continued to decrease over the last two quarters. In November 2015 there were 251 children with an OPPLA goal and as of May 2016 there are now 185 children with this goal. While this goal may be appropriate for some youth, it is not a preferred goal due to its lack of formal permanent and stable relationships with an identified adult support, be it relative or kin. This has been on ongoing point of focus by the Department. Their increased efforts in implementing Permanency Teaming, which is a collaborative approach to permanency planning for children/youth in foster care or at risk of entering the foster care system, is making a difference. Permanency Teaming will be the primary means by which caseworkers engage a child's/youth natural network (birth parents, extended family, other important adults) in addition to professional supports and conduct ongoing case management activities. Individual conversations, joint meetings and large team meetings are being utilized (utilization still must be improved but is impacted by workload factors) in this effort and there is tremendous opportunity in implementing this effort to reduce the number of meetings currently held for other specific issues.
- As of May 2016, there were 99 *Juan F.* children placed in residential facilities. This is a decrease of 4 children compared with November 2015. The number of children residing in residential care for greater than 12 months was 32 which is an increase of 11 children from the total reported in November 2015.
- The Department continues to focus on the number of *Juan F.* children residing and receiving treatment in out-of-state residential facilities. Their efforts on this important issue have been consistent over the last two quarters. As of June 2016, the number of children is 7 children compared to the 6 children reported for December 2015.

- The number of children age 12 years old or younger in congregate care as of May 2016 was 12 children which is 9 less than November 2015. Of the current total, 7 are placed in residential care, 3 children are placed in group homes, one is placed in a shelter service and one is placed in a DCF facility.
- As of June 2016, there were three children aged 1 to 5 years of age residing in a Congregate Care placement. All three of the children were placed in medical care settings due to complex medical conditions.
- The number of children utilizing Short-term Family Integrated Treatment (SFIT) has increased as the Department has broadened access for referrals from Emergency Mobile Psychiatric Service and others. More than half of the 70 beds are being utilized. There were 96 admissions during January-March 2016. The admissions included 42 Caucasian, 25 African Americans and 23 Hispanic children. The average length of stay was 12 days during that period and there is some disparity noted in that African American and Hispanic children have longer lengths of stay. This is an issue that is being explored by the Department and Beacon Health (ASO). SFIT service is a residential crisis-stabilization program for children ages 12-17 with a goal of stabilizing a youth and their family, guardian or fictive kin to coordinate a reintegration back into the homes. The intended length of stay is 15 days or less.
- There were 29 youth in STAR/Shelter programs as of May 2016. This is 10 less than the 39 reported in November 2015. Nineteen (65.5% of these youth in STAR programs were in overstay status (>60 days) as of May 2016. There were five children with lengths of stay longer than six months as of May 2016. In the past, the lack of sufficient and appropriate treatment/placement services, especially family-based settings for older youth, hampered efforts to reduce the utilization of STAR services. Yet, over the couple years diversion efforts have reduced the utilization of STAR services. The question that remains unanswered is whether the children diverted from this service are receiving appropriate and timely community-based services.

- The Monitor’s quarterly review of the Department for the period of October 1, 2015 through March 31, 2016 indicates that as of the end of the First Quarter (March 2015) the Department did not achieve compliance with six (6) measures:
 - Case Planning (64.9%)
 - Transfer of Guardianship (67.2%)
 - Placement Within Licensed Capacity (93.5%)
 - Children's Needs Met (66.7%)
 - Worker-Child Visitation In-Home (N/A)²
 - Caseload Standards (98.1%)

- The Monitor’s quarterly review of the Department for the period of October 1, 2015 through March 31, 2016 indicates the Department has achieved compliance with the following 16 Outcome Measures:
 - Commencement of Investigations (95.2%)
 - Completion of Investigations (85.8%)
 - Search for Relatives (98.9%)
 - Repeat Maltreatment (6.6%)
 - Maltreatment of Children in Out-of-Home Cases (0.2%)
 - Reunification (65.6%)
 - Adoption (38.5%)
 - Sibling Placement (91.7%)
 - Re-Entry into DCF Custody (3.8%)
 - Multiple Placements (96.7%)
 - Foster Parent Training (100.0%)
 - Worker-Child Visitation Out-of-Home Cases (96.7% Monthly/99.3% Quarterly)
 - Residential Reduction (2.5%)
 - Discharge of Adolescents (86.9%)
 - Discharge to Adult Services (100.0%)
 - Multi-disciplinary Exams (95.7%)

² Outcome Measure 17 Worker-Child Visitation In-Home - Current automated reporting indicates the measure as statistically achieved, however this does not accurately reflect performance findings. The Outcome Measure 17 Pre-Certification Review indicated that compliance is not achieved. While DCF reports are numerically accurate based upon the algorithms utilized, user error in selection of narrative entry types, and a failure to demonstrate that workers are meeting the specific steps called for with the definition of 'visit' calls into question the automated report findings. As such, the Monitor will not indicate achievement of the measure based solely on the current reporting.

- The Department has maintained compliance for at least two (2) consecutive quarters³ with 13 of the Outcome Measures reported as achieved this quarter:
 - Commencement of Investigations
 - Completion of Investigations
 - Search for Relatives
 - Repeat Maltreatment of In-Home Children
 - Maltreatment of Children in Out-of-Home Care
 - Re-entry into DCF Custody
 - Multiple Placements
 - Foster Parent Training
 - Visitation Out-of-Home
 - Residential Reduction
 - Discharge of Youth (graduated , GED, working, or military)
 - Discharge of Youth to Adult Services
 - Multi-disciplinary Exams

A full copy of the Department's Fourth Quarter 2015 and First Quarter 2016 submission including the Commissioner's Highlights may be found on page 63.

³ The Defendants must be in compliance with all of the outcome measures, and in sustained compliance with all of the outcome measures for at least two consecutive quarters (six-months) prior to asserting compliance and shall maintain compliance through any decision to terminate jurisdiction.

Statewide Juan F. Exit Plan Report Outcome Measure Overview																												
Measure	Measure	Base-line	Q1 2016	Q4 2015	Q3 2015	Q2 2015	Q1 2015	Q4 2014	Q3 2014	Q2 2014	Q1 2014	Q4 2013	Q3 2013	Q2 2013	Q1 2013	Q4 2012	Q3 2012	Q2 2012	Q1 2012	Q4 2011	Q3 2011	Q2 2011	Q1 2011	Q4 2010	Q3 2010	Q2 2010	Q1 2010	
1: Commencement of Investigation	>=90%	X	95.2%	95.8%	95.7%	95.2%	95.1%	94.5%	93.8%	93.2%	93.6%	94.7%	96.0%	96.2%	95.5%	94.9%	95.7%	96.1%	96.6%	97.1%	97.3%	97.2%	97.2%	96.8%	97.4%	97.6%	97.4%	
2: Completion of the Investigation	>=85%	73.7%	85.8%	88.9%	86.0%	88.9%	85.6%	81.9%	78.6%	77.3%	77.6%	83.7%	92.5%	92.2%	89.1%	90.2%	92.5%	92.4%	91.9%	93.3%	94.0%	94.4%	92.7%	90.0%	91.5%	92.9%	93.7%	
3: Treatment Plans	>=90%	X	66.7%	48.1%	53.7%	37.0%	47.2%	41.5%	46.3%	46.3%	51.9%	N/A	65.5%	63.0%	56.4%	53.7%	47.8%	63.0%	39.6%	44.4%	50.9%	N/A	81.1%	67.9%	66.0%	75.5%	86.5%	
4: Search for Relatives	>=85%	58%	98.9%	98.3%	92.9%	92.9%	93.4%	89.3%	86.9%	85.1%	86.6%	88.3%	90.2%	85.3%	92.2%	87.3%	87.5%	89.5%	89.3%	92.8%	94.5%	94.5%	90.1%	88.8%	90.9%	91.2%	92.0%	
5: Repeat Maltreatment of In-Home Children	<=7%	9.3%	6.6%	6.1%	5.4%	5.0%	5.7%	6.7%	6.5%	5.8%	6.3%	4.5%	4.9%	5.7%	4.4%	4.9%	4.3%	4.1%	4.3%	6.0%	6.1%	5.4%	5.7%	6.2%	6.5%	6.5%	5.8%	
6: Maltreatment of Children in Out-of-Home Care	<=2%	1.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.3%	0.1%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.2%	0.1%	0.1%	0.2%	0.1%	0.1%	0.4%	0.2%	0.1%	0.2%	
7: Reunification	>=60%	57.8%	65.6%	57.4%	52.7%	64.2%	59.8%	65.2%	71.3%	73.9%	60.2%	62.5%	62.4%	62.8%	56.3%	57.6%	52.0%	61.1%	58.9%	65.8%	65.3%	73.1%	61.7%	64.9%	68.3%	67.1%	61.2%	
8: Adoption	>=32%	12.5%	38.5%	31.1%	35.5%	31.0%	32.9%	31.7%	30.2%	34.2%	44.0%	33.9%	32.8%	31.6%	29.5%	25.9%	39.0%	34.3%	23.7%	33.6%	40.0%	32.7%	35.6%	38.5%	25.8%	36.0%	34.7%	
9: Transfer of Guardianship	>=70%	60.5%	67.2%	69.6%	75.7%	66.7%	77.8%	72.5%	73.2%	65.2%	67.6%	63.8%	77.3%	65.6%	77.6%	76.5%	84.0%	76.7%	81.4%	83.1%	83.6%	78.4%	86.2%	87.3%	78.6%	74.6%	82.3%	
10: Sibling Placement	>=95%	57%	91.7%	92.1%	92.0%	91.4%	90.9%	90.6%	88.7%	89.3%	90.6%	89.9%	92.5%	88.0%	89.5%	87.5%	87.5%	89.2%	88.5%	91.8%	89.3%	85.8%	86.7%	83.3%	81.9%	84.8%	85.6%	
11: Re-Entry into DCF Custody	<=7%	6.9%	3.8%	3.7%	4.1%	5.8%	5.0%	3.8%	7.7%	8.0%	4.8%	4.9%	5.5%	8.6%	7.4%	7.0%	9.1%	6.8%	5.8%	6.4%	7.2%	4.4%	7.7%	6.3%	7.3%	6.7%	8.4%	
12: Multiple Placements	>=85%	X	96.7%	96.7%	96.5%	96.8%	96.7%	96.4%	96.5%	96.7%	96.8%	97.1%	96.6%	96.7%	96.4%	96.5%	96.4%	96.6%	96.6%	96.4%	96.4%	96.1%	96.1%	96.1%	95.7%	95.8%	95.9%	
13: Foster Parent Training	100%	X	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
14: Placement Within Licensed Capacity	>=96%	94.9%	93.5%	94.3%	95.5%	94.9%	95.4%	96.3%	95.3%	95.4%	96.0%	95.7%	96.2%	96.4%	97.1%	96.7%	95.8%	95.3%	97.7%	96.1%	95.2%	95.6%	96.8%	96.8%	95.4%	95.1%	96.9%	
15: Children's Needs Met	>=80%	X	70.4%	63.0%	57.4%	44.4%	47.2%	52.8%	64.8%	59.3%	57.4%	N/A	67.3%	74.1%	61.8%	53.7%	53.6%	61.1%	60.4%	55.6%	60.4%	N/A	58.5%	56.6%	58.5%	52.8%	67.3%	
16: Worker-Child Visitation (Out-of-Home)	>=85%(M)	X	96.7%	96.1%	94.9%	96.5%	94.9%	92.6%	93.4%	94.3%	94.9%	95.4%	94.6%	95.8%	95.9%	94.2%	93.6%	92.7%	95.1%	92.3%	95.0%	95.1%	95.8%	95.3%	95.3%	95.7%	96.2%	
	=100%(Q)	X	99.3%	99.4%	99.0%	99.6%	99.0%	98.4%	98.4%	98.9%	98.8%	99.0%	98.8%	99.0%	99.2%	99.1%	98.7%	98.7%	99.2%	98.6%	99.0%	99.2%	99.2%	98.9%	98.9%	99.3%	99.6%	
17: Worker-Child Visitation (In-Home)	>=85%	X	88.2%	88.7%	87.5%	89.2%	86.1%	83.3%	83.3%	83.9%	83.0%	85.3%	86.1%	88.6%	88.1%	84.1%	87.0%	85.8%	84.8%	85.9%	86.3%	89.7%	88.5%	89.7%	89.4%	89.7%	89.6%	
18: Caseload Standards	100%	69.2%	98.1%	99.7%	99.8%	100.0%	90.6%	87.3%	84.5%	83.6%	94.5%	97.6%	99.9%	99.9%	99.8%	99.9%	100.0%	99.7%	99.8%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	100.0%	100.0%	
19: Reduction in the Number of Children Placed in	<=11%	13.5%	2.5%	2.6%	2.8%	2.7%	2.8%	2.7%	2.7%	3.4%	4.0%	4.2%	4.3%	4.9%	5.1%	5.8%	6.3%	6.9%	7.5%	8.5%	8.8%	9.8%	10.0%	9.9%	9.4%	10.1%	10.0%	
20: Discharge Measures	>=85%	61%	86.9%	88.9%	95.5%	90.9%	83.7%	94.6%	93.8%	97.1%	90.9%	94.5%	85.7%	86.3%	86.5%	95.9%	89.2%	85.7%	86.9%	76.5%	88.0%	79.4%	82.9%	87.2%	88.5%	87.9%	86.0%	
21: Discharge of Mentally Ill or Mentally Retarded Children	100%	X	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	90.0%	100.0%	100.0%	100.0%	100.0%	100.0%	95.7%	92.0%	97.0%	96.1%	97.3%	98.1%	100.0%	
22: Multi-disciplinary Exams (MDE)	>=85%	5.6%	95.7%	97.5%	90.6%	96.4%	91.2%	93.3%	96.0%	91.8%	85.4%	85.1%	94.1%	93.6%	95.0%	89.7%	95.5%	93.8%	90.0%	93.4%	93.3%	96.3%	91.9%	97.5%	96.1%	96.4%	95.7%	

Juan F. Pre-Certification Review-Status Update (October 1, 2015 – March 31, 2016)

Under the Revised Exit Plan (¶5), the Court Monitor is required to conduct what the parties and the Court Monitor refer to as a “Certification” review as follows:

The Defendants must be in compliance with all of the outcome measures, and in sustained compliance with all of the outcome measures for at least two quarters (six months) prior to asserting compliance and shall maintain compliance through any decision to terminate jurisdiction. The Court Monitor shall then conduct a review of a statistically significant valid sample of case files at a 96% confidence level, and such other measurements as are necessary, to determine whether Defendants are in compliance. The Court Monitor shall then present findings and recommendations to the District Court. The parties shall have a meaningful opportunity to be heard by the Court Monitor before rendering his findings and recommendations.

In recognition of the progress made and sustained by the Department with respect to a number of Outcome Measures, and the fact that the well-being of the *Juan F.* class members will be promoted by the earliest possible identification and resolution of the any quantitative or qualitative problems affecting class members that may be identified by the review required by Revised Exit Plan ¶5, the parties and the Court Monitor agree that it is in the best-interests of the *Juan F.* class members to create a “Pre-Certification” review process. It is expected that this “pre-certification” process may, in certain instances, obviate the need to implement the full certification review for certain outcome measures after sustained compliance is achieved for all Outcome Measures.

The “Pre-Certification” process that parties and the Court Monitor have created, and to which they have agreed, is as follows:

If DCF has sustained compliance as required by the Revised Exit Plan for at least two consecutive quarters (6 months) for any Outcome Measure (“OM”), the Court Monitor may, in his discretion, conduct a “pre-certification review” of that OM (“Pre-Certification Review”). The purpose of the Pre-Certification Review is to recognize DCF’s sustained improved performance, to identify and provide a prompt and timely opportunity to remedy any problem areas that are affecting the well-being of *Juan F.* class members, and to increase the efficiency of DCF’s eventual complete compliance and exit from the Consent Decree.

Other than conducting the Pre-Certification Review earlier than the review mandated by Revised Exit Plan ¶5, the Pre-Certification Review will be conducted in accordance with the provision for review as described in the Revised Exit Plan ¶5 unless otherwise agreed upon by the parties and the Court Monitor.

If the Pre-Certification Review does not identify any material issues requiring remediation, and no assertions of noncompliance with the specific Outcome Measures(s) at issue are pending at the time Defendants assert sustained

compliance with all Outcome Measures, the Parties agree that the full review as per paragraph 5 of the Revised Exit Plan will not be required after the Defendants assert sustained compliance with all Outcome Measures. Upon Defendants' assertion of sustained compliance with all Outcome Measures, the parties, with the involvement and consent of the Court Monitor, agree to present for the Court's review, any agreement to conduct less than the full review process required by Revised Exit Plan (§5) for any specific Outcome Measures, as a proposed modification of the Revised Exit Plan.

As of this First Quarter Status Report 2016 no additional measures have been pre-certified but review work has been proceeding regarding the two investigation measures OM 1 and OM 2. There are 15 Outcome Measures certified thus far.

<i>Juan F.</i> Pre-Certification Review		
Outcome Measure	Statement of Outcome	Status
OM 4: Search for Relatives	If a child(ren) must be removed from his or her home, DCF shall conduct and document a search for maternal and paternal relatives, extended formal or informal networks, friends of the child or family, former foster parents, or other persons known to the child. The search period shall extend through the first six (6) months following removal from home. The search shall be conducted and documented in at least 85.0% of the cases.	Pre-Certified October 2013
OM 5: Repeat Maltreatment of Children	No more than 7% of the children who are victims of substantiated maltreatment during any six-month period shall be the substantiated victims of additional maltreatment during any subsequent six-month period. This outcome shall begin to be measured within the six-month period beginning January 1, 2004.	Pre-Certified* July 2014
OM6: Maltreatment of Children in Out-of-Home Care	No more than 2% of the children in out of home care on or after January 1, 2004 shall be the victims of substantiated maltreatment by substitute caregivers while in out of home care.	Pre-Certified October 2014
OM 7: Reunification	At least 60% of the children, who are reunified with their parents or guardians, shall be reunified within 12 months of their most recent removal from home.	Pre-Certified April 2015
OM 8: Adoption	At least 32% of the children who are adopted shall have their adoptions finalized within 24 months of the child's most recent removal from his/her home.	Pre-Certified January 2013
OM 9: Transfer of Guardianship	At least 70% of all children whose custody is legally transferred shall have their guardianship transferred within 24 months of the child's most recent removal from his/her home.	Pre-Certified January 2013
OM 10: Sibling Placement	At least 95% of siblings currently in or entering out-of-home placement shall be placed together unless there are documented clinical reasons for separate placements. Excludes Voluntary cases and children for whom TPR has been granted.	Pre-Certified April 2015

* Pre-Certification granted subject to verification of correction to ROM system reporting - release delayed to June 2014.

OM 11: Re-Entry into DCF Care	Of the children who enter DCF custody, seven (7) percent or fewer shall have re-entered care within 12 months of the prior out-of-home placement.	Pre-Certified January 2016
OM 12: Multiple Placements	Beginning on January 1, 2004, at least 85% of the children in DCF custody shall experience no more than three (3) placements during any twelve month period.	Pre-Certified April 2012
OM 14: Placement within Licensed Capacity	At least 96% of all children placed in foster homes shall be in foster homes operating within their licensed capacity, except when necessary to accommodate sibling groups.	Pre-Certified April 2012
OM 16: Worker/ Child Visitation (Child in Placement)	DCF shall visit at least 85% of all out-of-home children at least once a month, except for probate, interstate, or voluntary cases. All children must be seen by their DCF Social Worker at least quarterly.	Pre-Certified April 2012
OM 17: Worker-Child Visitation (In-Home)	DCF shall visit at least 85% of all in-home family cases at least twice a month, except for probate, interstate or voluntary cases. Definitions and Clarifications: 1. Twice monthly visitation must be documented with each active child participant in the case. Visitation occurring in the home, school or other community setting will be considered for Outcome Measure 17.	Not Pre-Certified January 2012
OM 19: Reduction in the Number of Children Placed in Residential Care	The number of children placed in privately operated residential treatment care shall not exceed 11% of the total number of children in DCF out-of-home care. The circumstances of all children in-state and out-of-state residential facilities shall be assessed after the Court's approval of this Exit Plan on a child specific basis to determine if their needs can be met in a less restrictive setting.	Pre-Certified December 2014
OM 20: Discharge Measures	At least 85.0% of all children age 18 or older shall have achieved one or more of the following prior to discharge from DCF custody: (a) Graduation from High School; (b) Acquisition of GED; (c) Enrollment in or completion of college or other post-secondary training program full-time; (d) Enrollment in college or other post-secondary training program part-time with part-time employment; (e) Full-time employment; (f) Enlistment full-time member of the military.	Pre-Certified September 2011
OM 21: Discharge of Mentally Ill or Developmentally Disabled Youth	DCF shall submit a written discharge plan to either/or DMHAS or DDS for all children who are mentally ill or developmentally delayed and require adult services.	Pre-Certified September 2011
OM22: Multi-disciplinary Exams	At least 85% of the children entering the custody of DCF for the first time shall have an MDE conducted within 30 days of placement.	Pre-Certified January 2013

Quarterly DCF Court Monitor Case Review Reporting for Outcome Measure 3 and Outcome Measure 15: Fourth Quarter 2015 and First Quarter 2016 Results

Statewide, the DCF performance result for Outcome Measure 3 (OM3) - Case Plans is 48.1% for the Fourth Quarter 2015 and 66.7% for the First Quarter 2016. The most recent data from First Quarter 2016 reflects a high performance in both measures statewide.

Crosstabulation 1: What is the social worker's area office assignment? * Overall Score for OM3				
Area Office		“Appropriate Case Plan” 4th Quarter 2015 (n=54)	“Appropriate Case Plan” 1st Quarter 2016 (n=54)	“Appropriate Case Plan” Combined 6- Month Results (n=108)
Region I	Bridgeport	25.0%	75.0%	50.0%
	Norwalk	50.0%	100.0%	75.0%
Region I		33.3%	83.3%	58.3%
Region II	Milford	25.0%	75.0%	50.0%
	New Haven	75.0%	60.0%	66.7%
Region II		50.0%	66.7%	58.8%
Region III	Middletown	50.0%	100.0%	75.0%
	Norwich	50.0%	40.0%	45.5%
	Willimantic	33.3%	100.0%	66.7%
Region III		45.5%	70.0%	57.1%
Region IV	Hartford	25.0%	37.5%	31.3%
	Manchester	100.0%	75.0%	87.5%
Region IV		50.0%	50.0%	50.0%
Region V	Danbury	50.0%	50.0%	50.0%
	Torrington	50.0%	100.0%	75.0%
	Waterbury	66.7%	50.0%	58.3%
Region V		60.0%	60.0%	60.0%
Region VI	Meriden	50.0%	100.0%	75.0%
	New Britain	40.0%	80.0%	60.0%
Region VI		42.9%	85.7%	64.3%
Statewide		48.1%	66.7%	57.4%

Manchester, Meriden, Middletown, Norwalk, Torrington and Willimantic Offices each surpassed the benchmark standard of 90% or higher this quarter with 100% of reviewed cases meeting the standards set forth in the methodology during one of the quarters reported. No region achieved the measure. Regions VI and I achieved the highest regional level of performance with 85.7% and 83.3% during the First Quarter 2016 period. Conversely, the lowest regional performance during the six-month period was also reported by Region I with 33.3% in the Fourth Quarter 2015.

In the last six months we have reviewed 108 cases. As with the last period reviewed, eight cases had no case plan approved by a SWS at the time of our review.

Table 1: Outcome Measure OM3 Regional Quarterly Performance Comparison							
<i>Standard: 90%</i>							
	Region I	Region II	Region III	Region IV	Region V	Region VI	Statewide
1st Quarter 2016	83.3%	66.7%	70.0%	50.0%	60.0%	85.7%	66.7%
4th Quarter 2015	33.3%	50.0%	45.5%	50.0%	60.0%	42.9%	48.1%
3rd Quarter 2015	66.7%	66.7%	50.0%	50.0%	40.0%	57.1%	53.7%
2nd Quarter 2015	16.7%	44.4%	66.7%	41.7%	40.0%	28.6%	37.0%
1st Quarter 2015	50.0%	50.0%	90.0%	41.7%	20.0%	28.6%	47.2%
4th Quarter 2014	33.3%	11.1%	70.0%	41.7%	11.1%	71.4%	41.5%
3rd Quarter 2014	28.6%	55.6%	40.0%	41.7%	44.4%	71.4%	46.3%
2nd Quarter 2014	71.4%	33.3%	80.0%	25.0%	33.3%	42.9%	46.3%
1st Quarter 2014	28.6%	66.7%	80.0%	41.7%	22.2%	71.4%	51.9%
4th Quarter 2013	28.6%	50.0%	50.0%	50.0%	33.3%	75.0%	48.1%
3rd Quarter 2013	57.1%	77.8%	90.0%	46.2%	67.7%	57.1%	65.5%
2nd Quarter 2013	42.9%	88.9%	60.0%	50.0%	66.7%	71.4%	63.0%
1st Quarter 2013	37.5%	77.8%	70.0%	41.7%	55.6%	71.4%	58.2%
4th Quarter 2012	71.4%	55.6%	60.0%	46.2%	50.0%	57.1%	55.6%
3rd Quarter 2012	55.6%	54.5%	33.3%	64.3%	36.4%	55.6%	49.3%
2nd Quarter 2012	57.1%	66.7%	80.0%	45.5%	77.8%	50.0%	63.0%

The table below provides a case by case summary of the individual scores for each area office/region. The eight domains and an indication related to supervisory approval are provided for reference. Court Monitor overrides are signified by an overall score reported in italics. The past two quarters there were 30 overrides granted for Outcome Measure 3. There were 15 granted in each quarter. The majority were granted related to family engagement or items in the domains of “present situation and assessment to date of review” or “determining the goals/objectives” in which elements were not fully documented within the case plan but it was demonstrated through other areas of the record or in conversations with the Area Office that issues were adequately assessed or addressed.

Table 2: Fourth Quarter 2015 Outcome Measure 3 Summary Domain Results

Region	Area Office	Case Type	Has the case plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region I	Bridgeport	VS In-Home Family	no	UTD	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Not an Appropriate Case Plan	
	Bridgeport	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
	Bridgeport	CPS CIP	yes	yes	Marginal	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan	
	Bridgeport	CPS CIP	yes	yes	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Optimal	<i>Appropriate Case Plan</i>	
	Bridgeport %		75.0%	75.0%	50.0%	75.0%	25.0%	25.0%	50.0%	50.0%	25.0%	75.0%	25.0%	
	Norwalk	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
	Norwalk	CPS CIP	yes	yes	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Appropriate Case Plan	
	Norwalk %		100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%
	Region I %		83.3%	83.3%	66.7%	83.3%	33.3%	50.0%	66.7%	66.7%	50.0%	83.3%	33.3%	

Region	Area Office	Case Type	Has the case plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OMB	
Region II	Milford	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Marginal	Very Good	Too early to note progress	Very Good	Very Good	<i>Appropriate Case Plan</i>	
	Milford	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Absent/Averse	Absent/Averse	Absent/Averse	Marginal	Not an Appropriate Case Plan	
	Milford	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Too early to note progress	Marginal	Very Good	Not an Appropriate Case Plan	
	Milford	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Optimal	Not an Appropriate Case Plan	
	Milford %		100.0%	100.0%	100.0%	100.0%	75.0%	0.0%	25.0%	50.0%	50.0%	75.0%	25.0%	
	New Haven	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
	New Haven	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>
	New Haven	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	<i>Appropriate Case Plan</i>
	New Haven	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>
	New Haven %		100.0%	100.0%	100.0%	100.0%	25.0%	50.0%	75.0%	75.0%	75.0%	100.0%	75.0%	
Region II %		100.0%	100.0%	100.0%	100.0%	50.0%	25.0%	50.0%	66.7%	62.5%	87.5%	50.0%		

Region	Area Office	Case Type	Has the case plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3
Region III	Middletown	CPS In-Home Family	no	UTD	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Not an Appropriate Case Plan
	Middletown	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Middletown %		50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%
	Norwich	CPS CIP	yes	yes	Optimal	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Optimal	<i>Appropriate Case Plan</i>
	Norwich	CPS CIP	yes	yes	Optimal	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Appropriate Case Plan
	Norwich	CPS CIP	yes	yes	Optimal	Optimal	Marginal	Marginal	Very Good	Very Good	Optimal	Very Good	<i>Appropriate Case Plan</i>
	Norwich	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
	Norwich	VS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Marginal	Not an Appropriate Case Plan
	Norwich	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Marginal	Very Good	Not an Appropriate Case Plan
	Norwich %		100.0%	100.0%	100.0%	100.0%	50.0%	33.3%	66.7%	83.3%	50.0%	83.3%	50.0%
	Willimantic	SPM CIP Case	yes	yes	Optimal	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Appropriate Case Plan
	Willimantic	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
	Willimantic	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
	Willimantic %		100.0%	100.0%	100.0%	100.0%	33.3%	33.3%	100.0%	100.0%	33.3%	100.0%	33.3%
	Region III %		100.0%	90.9%	90.9%	90.9%	45.5%	36.4%	72.7%	81.8%	45.5%	81.8%	45.5%

Region	Area Office	Case Type	Has the case plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly and Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region IV	Hartford	CPS CIP	no	yes	Very Good	Marginal	Marginal	Poor	Marginal	Poor	Marginal	Marginal	Not an Appropriate Case Plan	
	Hartford	CPS In-Home Family	yes	yes	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan	
	Hartford	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
	Hartford	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Very Good	Marginal	Not an Appropriate Case Plan	
	Hartford	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	
	Hartford	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	
	Hartford	SPM CIP Case	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Optimal	Not an Appropriate Case Plan	
	Hartford	CPS CIP	no	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Not an Appropriate Case Plan	
	Hartford %		75.0%	100.0%	100.0%	87.5%	25.0%	37.5%	37.5%	62.5%	62.5%	62.5%	25.0%	
	Manchester	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>
	Manchester	CPS In-Home Family	yes	yes	Very Good	Optimal	Marginal	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>
	Manchester	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>
	Manchester	CPS CIP	yes	yes	Optimal	Optimal	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Appropriate Case Plan
	Manchester %		100.0%	100.0%	100.0%	100.0%	50.0%	75.0%	100.0%	100.0%	100.0%	100.0%	100.0%	
Region IV %		83.3%	100.0%	100.0%	91.7%	33.3%	50.0%	58.3%	75.0%	66.7%	75.0%	50.0%		

Region	Area Office	Case Type	Has the case plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3
Region V	Danbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
	Danbury	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Danbury AO%		100.0%	100.0%	100.0%	100.0%	50.0%	50.0%	50.0%	100.0%	50.0%	100.0%	50.0%
	Torrington	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Marginal	Not an Appropriate Case Plan
	Torrington	CPS In-Home Family	yes	yes	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
	Torrington AO%		100.0%	100.0%	100.0%	100.0%	50.0%	50.0%	100.0%	100.0%	100.0%	50.0%	50.0%
	Waterbury	CPS CIP	no	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
	Waterbury	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Appropriate Case Plan
	Waterbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	<i>Appropriate Case Plan</i>
	Waterbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Waterbury	CPS In-Home Family	yes	UTD	Very Good	Very Good	Very Good	Marginal	Very Good	Marginal	Marginal	Marginal	Not an Appropriate Case Plan
	Waterbury	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>
	Waterbury AO %		83.3%	83.3%	100.0%	100.0%	83.3%	66.7%	66.7%	83.3%	50.0%	66.7%	66.7%
	Region V %		90.0%	90.0%	100.0%	100.0%	70.0%	60.0%	70.0%	90.0%	60.0%	80.0%	60.0%

Region	Area Office	Case Type	Has the case plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3
Region VI	Meriden	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan
	Meriden	VS CIP Case	yes	yes	Optimal	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>
	Meriden AO %		100.0%	100.0%	100.0%	100.0%	0.0%	0.0%	50.0%	50.0%	50.0%	100.0%	50.0%
	New Britain	CPS CIP	yes	yes	Optimal	Very Good	Marginal	Marginal	Marginal	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
	New Britain	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Optimal	Very Good	Optimal	<i>Appropriate Case Plan</i>
	New Britain	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Marginal	Absent/Averse	Marginal	Absent/Averse	Very Good	Not an Appropriate Case Plan
	New Britain	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
	New Britain	VS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Optimal	<i>Appropriate Case Plan</i>
	New Britain AO%		100.0%	100.0%	100.0%	100.0%	20.0%	20.0%	60.0%	80.0%	60.0%	100.0%	40.0%
	Region VI %		100.0%	100.0%	100.0%	100.0%	14.3%	14.3%	57.1%	71.4%	57.1%	100.0%	42.9%
Statewide OM3 Domain %			90.7%	94.4%	94.4%	94.4%	42.6%	40.7%	63.0%	76.9%	57.4%	83.3%	48.1%

Table 3: First Quarter 2016 Outcome Measure 3 Summary Domain Results

Region	Office	What is the type of case assignment noted in LINK?	Has the treatment plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3
Region I	Bridgeport	CPS CIP	yes	yes	Optimal	Optimal	Marginal	Marginal	Very Good	Very Good	Very Good	Optimal	Not an Appropriate Case Plan
	Bridgeport	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Bridgeport	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
	Bridgeport	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Too early to note progress	Very Good	Very Good	Appropriate Case Plan
	Area Office		100.0%	100.0%	100.0%	100.0%	75.0%	75.0%	100.0%	100.0%	100.0%	100.0%	75.0%
	Norwalk	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Very Good	Appropriate Case Plan
	Norwalk	CPS In-Home Family	no	UTD	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Appropriate Case Plan
	Area Office		50.0%	50.0%	100.0%	100.0%	50.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%
	Region I			83.3%	83.3%	100.0%	100.0%	66.7%	83.3%	83.3%	100.0%	100.0%	100.0%

Region	Office	What is the type of case assignment noted in LINK?	Has the treatment plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3
Region II	Milford	CPS CIP	yes	yes	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	<i>Appropriate Case Plan</i>
	Milford	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	<i>Appropriate Case Plan</i>
	Milford	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
	Milford	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
	Area Office		100.0%	100.0%	100.0%	100.0%	75.0%	75.0%	75.0%	100.0%	50.0%	100.0%	75.0%
	New Haven	CPS CIP	yes	yes	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Very Good	<i>Appropriate Case Plan</i>
	New Haven	CPS In-Home Family	yes	yes	Optimal	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Appropriate Case Plan
	New Haven	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Marginal	Optimal	Not an Appropriate Case Plan
	New Haven	CPS In-Home Family	yes	yes	Optimal	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan
	New Haven	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
Area Office		100.0%	100.0%	100.0%	100.0%	60.0%	60.0%	80.0%	100.0%	60.0%	100.0%	60.0%	
Region II			100.0%	100.0%	100.0%	100.0%	66.7%	66.7%	77.8%	100.0%	55.6%	100.0%	66.7%

Region	Office	What is the type of case assignment noted in LINK?	Has the treatment plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region III	Middletown	CPS CIP	yes	yes	Very Good	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	
	Middletown	CPS In-Home	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	
	Area Office		100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	
	Norwich	CPS In-Home	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Optimal	Very Good	Very Good	Not an Appropriate Case Plan	
	Norwich	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	
	Norwich	Voluntary Services In-Home	yes	yes	Very Good	Optimal	Marginal	Very Good	Very Good	Marginal	Very Good	Optimal	Appropriate Case Plan	
	Norwich	CPS CIP	yes	yes	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Appropriate Case Plan	
	Norwich	CPS In-Home Family	yes	UTD	Very Good	Very Good	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	
	Area Office		100.0%	80.0%	100.0%	100.0%	40.0%	40.0%	40.0%	80.0%	100.0%	100.0%	40.0%	
	Willimantic	CPS CIP	yes	yes	Very Good	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Willimantic	CPS In-Home	yes	yes	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan	
	Willimantic	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan	
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	
	Region III			100.0%	90.0%	100.0%	100.0%	60.0%	70.0%	70.0%	90.0%	100.0%	100.0%	70.0%

Region	Office	What is the type of case assignment noted in LINK?	Has the treatment plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3	
Region IV	Hartford	CPS In-Home	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Too early to note progress	Marginal	Very Good	Not an Appropriate Case Plan	
	Hartford	SPM CIP Case	yes	yes	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Appropriate Case Plan	
	Hartford	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Marginal	Not an Appropriate Case Plan	
	Hartford	CPS In-Home	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Very Good	Not an Appropriate Case Plan	
	Hartford	CPS In-Home	yes	yes	Marginal	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan	
	Hartford	CPS In-Home	yes	yes	Very Good	Very Good	Marginal	Marginal	Marginal	Marginal	Marginal	Very Good	Not an Appropriate Case Plan	
	Hartford	SPM CIP Case	yes	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan	
	Hartford	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Marginal	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>	
	Area Office			100.0%	100.0%	87.5%	100.0%	25.0%	37.5%	25.0%	57.1%	50.0%	87.5%	37.5%
	Manchester	CPS In-Home	yes	yes	Optimal	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Not an Appropriate Case Plan	
	Manchester	CPS CIP	yes	yes	Optimal	Optimal	Marginal	Very Good	Very Good	Very Good	Very Good	Optimal	<i>Appropriate Case Plan</i>	
	Manchester	CPS In-Home	yes	yes	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	<i>Appropriate Case Plan</i>	
	Manchester	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Appropriate Case Plan	
	Area Office			100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	75.0%	100.0%	100.0%	100.0%	75.0%
	Region IV			100.0%	100.0%	91.7%	100.0%	33.3%	58.3%	41.7%	72.7%	66.7%	91.7%	50.0%

Region	Office	What is the type of case assignment noted in LINK?	Has the treatment plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3
Region V	Danbury	CPS In-Home Family	no	UTD	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Absent/Averse	Not an Appropriate Case Plan
	Danbury	CPS CIP	yes	yes	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Optimal	Appropriate Case Plan
	Area Office		50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%	50.0%
	Torrington	CPS CIP	yes	yes	Optimal	Optimal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Appropriate Case Plan
	Torrington	CPS In-Home Family	yes	yes	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Marginal	Very Good	Appropriate Case Plan
	Area Office		100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	50.0%	100.0%	50.0%	100.0%	100.0%
	Waterbury	CPS CIP	yes	yes	Optimal	Very Good	Marginal	Marginal	Very Good	Marginal	Very Good	Optimal	Not an Appropriate Case Plan
	Waterbury	CPS In-Home Family	yes	yes	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Waterbury	CPS CIP	no	yes	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Marginal	Not an Appropriate Case Plan
	Waterbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Marginal	Not an Appropriate Case Plan
	Waterbury	CPS In-Home Family	yes	yes	Very Good	Very Good	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Waterbury	CPS CIP	yes	yes	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Area Office		83.3%	100.0%	100.0%	100.0%	50.0%	50.0%	83.3%	83.3%	83.3%	66.7%	50.0%
Region V			80.0%	90.0%	90.0%	90.0%	50.0%	60.0%	70.0%	80.0%	70.0%	70.0%	60.0%

Region	Office	What is the type of case assignment noted in LINK?	Has the treatment plan been approved by the SWS?	Was the family or child's language needs accommodated?	Reason for DCF Involvement	Identifying Information	Engagement of Child and Family (formerly Strengths, Needs and Other Issues)	Present Situation and Assessment to Date of Review	Determining the Goals/Objectives	Progress	Action Steps to Achieving Goals Identified for the Upcoming Six Month Period	Planning for Permanency	Overall Score for OM3
Region VI	Meriden	CPS In-Home Family	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Meriden	CPS CIP	yes	yes	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Marginal	Appropriate Case Plan
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%	100.0%
	New Britain	CPS In-Home Family	yes	yes	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Optimal	Very Good	<i>Appropriate Case Plan</i>
	New Britain	CPS In-Home Family	yes	yes	Marginal	Very Good	Marginal	Marginal	Very Good	Very Good	Marginal	Very Good	Not an Appropriate Case Plan
	New Britain	CPS In-Home Family	yes	yes	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Appropriate Case Plan
	New Britain	Voluntary Services CIP	yes	yes	Optimal	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Appropriate Case Plan
	New Britain	CPS CIP	yes	yes	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Appropriate Case Plan
	Area Office		100.0%	100.0%	83.3%	100.0%	60.0%	80.0%	100.0%	100.0%	80.0%	100.0%	80.0%
	Region VI			100.0%	100.0%	85.7%	100.0%	71.4%	85.7%	100.0%	100.0%	85.7%	85.7%
Statewide Results			94.4%	94.4%	94.4%	98.2%	55.6%	68.5%	74.1%	88.5%	80.8%	90.7%	66.7%

Outcome Measure 15

The Statewide Fourth Quarter sample results of 63.0% and First Quarter 2016 Result of 70.4% show a positive trend in Outcome Measure 15 for the last two quarters. However, individual Area Offices still remain significantly below the 80% requirement of Outcome Measure 15. Variance continues for area offices and regions of the state. Again, the measure states:

"At least 80.0% of all families and children shall have their medical, dental, mental health and other service needs provided as specified in the most recent case plan."⁴

Crosstabulation 2: What is the social worker's area office assignment? * Overall Score for OM15				
Area Office		"Needs Met" 4th Quarter 2015 (n=54)	"Needs Met" 1st Quarter 2016 (n=54)	"Needs Met" Combined 6- Month Results (n=108)
Region I	Bridgeport	50.0%	50.0%	50.0%
	Norwalk	50.0%	50.0%	50.0%
Region I		50.0%	50.0%	50.0%
Region II	Milford	50.0%	50.0%	50.0%
	New Haven	100.0%	80.0%	88.9%
Region II		75.0%	66.7%	70.6%
Region III	Middletown	100.0%	100.0%	100.0%
	Norwich	50.0%	40.0%	45.5%
	Willimantic	66.7%	100.0%	83.3%
Region III		63.6%	70.0%	66.7%
Region IV	Hartford	25.0%	50.0%	37.5%
	Manchester	100.0%	75.0%	87.5%
Region IV		50.0%	58.3%	54.2%
Region V	Danbury	100.0%	100.0%	100.0%
	Torrington	50.0%	50.0%	50.0%
	Waterbury	66.7%	100.0%	83.3%
Region V		70.0%	90.0%	80.0%
Region VI	Meriden	50.0%	50.0%	50.0%
	New Britain	80.0%	100.0%	90.0%
Region VI		71.4%	85.7%	78.6%
Statewide		63.0%	70.4	66.7%

The six month will be reported along with the quarterly totals for trend comparison.

⁴ Measure excludes Probate, Interstate and Subsidy only cases.

Danbury, Manchester, Middletown, New Britain, New Haven, Waterbury, and Willimantic Area Offices met or exceeded the measure during the last six month period. Regions V and VI achieved the 80% measure First Quarter with percentages of 90% and 85.7%.

Table 4: Outcome Measure 15 Regional Quarterly Performance Comparison							
<i>Standard: 80%</i>							
	Region I	Region II	Region III	Region IV	Region V	Region VI	Statewide
1st Quarter 2016	50.0%	66.7%	70.0%	58.3%	90.0%	85.7%	70.4%
4th Quarter 2015	50.0%	75.0%	63.6%	50.0%	70.0%	71.4%	63.0%
3rd Quarter 2015	83.3%	66.7%	60.0%	41.7%	40.0%	37.1%	57.4%
2nd Quarter 2015	66.7%	50.0%	60.0%	41.7%	40.0%	14.3%	44.4%
1st Quarter 2015	50.0%	37.5%	80.0%	50.0%	10.0%	42.9%	47.2%
4th Quarter 2014	50.0%	33.3%	70.0%	33.3%	55.6%	85.7%	52.8%
3rd Quarter 2014	85.7%	66.7%	60.0%	50.0%	55.6%	85.7%	64.8%
2nd Quarter 2014	85.7%	77.8%	80.0%	16.7%	44.4%	71.4%	59.3%
1st Quarter 2014	71.4%	55.6%	80.0%	25.0%	55.6%	71.4%	57.4%
4th Quarter 2013	28.6%	62.5%	60.0%	75.0%	33.3%	75.0%	57.4%
3rd Quarter 2013	57.1%	77.8%	90.0%	53.8%	66.7%	57.1%	67.3%
2nd Quarter 2013	85.7%	77.8%	80.0%	50.0%	100.0%	57.1%	74.1%
1st Quarter 2013	62.5%	77.8%	70.0%	41.7%	66.7%	71.4%	63.6%
4th Quarter 2012	71.4%	77.8%	50.0%	38.5%	50.0%	57.1%	55.6%
3rd Quarter 2012	33.3%	36.4%	60.0%	78.6%	27.3%	77.8%	53.6%
2nd Quarter 2012	71.4%	66.7%	70.0%	54.5%	77.8%	25.0%	61.1%

There have been 25 overrides granted for OM15 during the Second and Third Quarters 2015. The full table of case summaries is provided by area office below. The overrides are designated by individual case OM15 scores in italics.

Fourth Quarter 2015 Outcome Measure 15

	What is the social worker's area office assignment?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region I	Bridgeport	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Very Good	N/A to Case	Very Good	<i>Needs Met</i>
	Bridgeport	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Marginal	Marginal	N/A to Case	Marginal	Poor	Needs Not Met
	Bridgeport	N/A to Case	Very Good	Very Good	Very Good	Very Good	Marginal	Optimal	Optimal	Very Good	Optimal	Very Good	Needs Not Met
	Bridgeport	N/A to Case	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Needs Met
	Bridgeport AO %	100.0%	100.0%	100.0%	100.0%	100.0%	25.0%	75.0%	75.0%	100.0%	50.0%	75.0%	50.0%
	Norwalk	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Optimal	Optimal	Very Good	N/A to Case	Optimal	Needs Not Met
	Norwalk	N/A to Case	Optimal	Optimal	Optimal	Optimal	Marginal	Optimal	Very Good	Very Good	Optimal	Optimal	<i>Needs Met</i>
	Norwalk AO %	100.0%	100.0%	100.0%	100.0%	100.0%	0.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%
	Region I %	100.0%	100.0%	100.0%	100.0%	100.0%	16.7%	83.3%	83.3%	100.0%	75.0%	83.3%	50.0%

	What is the social worker's area office assignment?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region II	Milford	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Met
	Milford	N/A to Case	Very Good	Marginal	Very Good	Marginal	Marginal	Very Good	Poor	Marginal	Very Good	Very Good	Needs Not Met
	Milford	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	Milford	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met
	Milford AO %	100.0%	100.0%	50.0%	100.0%	50.0%	50.0%	100.0%	75.0%	50.0%	100.0%	100.0%	50.0%
	New Haven	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Met
	New Haven	N/A to Case	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Marginal	Very Good	Optimal	Needs Met
	New Haven	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Optimal	Optimal	Very Good	N/A to Case	Very Good	Needs Met
	New Haven	N/A to Case	Very Good	Optimal	Very Good	Optimal	Marginal	Very Good	Very Good	Very Good	Optimal	Optimal	Needs Met
	New Haven AO %	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%
Region II %	100.0%	100.0%	75.0%	100.0%	75.0%	50.0%	100.0%	87.5%	50.0%	100.0%	100.0%	75.0%	

	What is the social worker's area office assignment?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region III	Middletown	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Optimal	Optimal	Very Good	N/A to Case	Optimal	Needs Met
	Middletown	N/A to Case	Very Good	Very Good	Optimal	Very Good	Optimal	Marginal	Marginal	Very Good	Very Good	Optimal	<i>Needs Met</i>
	Middletown AO%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%	50.0%	100.0%	100.0%	100.0%	100.0%
	Norwich	N/A to Case	Very Good	Very Good	Very Good	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Needs Met
	Norwich	N/A to Case	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Very Good	Needs Met
	Norwich	N/A to Case	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Met
	Norwich	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Marginal	Poor	Marginal	N/A to Case	Marginal	Needs Not Met
	Norwich	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met
	Norwich	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Marginal	Marginal	Marginal	N/A to Case	Marginal	Needs Not Met
	Norwich AO %	100.0%	100.0%	100.0%	100.0%	100.0%	66.7%	66.7%	66.7%	50.0%	100.0%	66.7%	50.0%
	Willimantic	N/A to Case	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Met
	Willimantic	Marginal	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Marginal	Marginal	Very Good	N/A to Case	Very Good	Needs Not Met
	Willimantic	N/A to Case	Very Good	Very Good	Marginal	Optimal	Very Good	Optimal	Very Good	Very Good	Very Good	Optimal	<i>Needs Met</i>
	Willimantic AO %	0.0%	100.0%	100.0%	66.7%	100.0%	66.7%	66.7%	66.7%	100.0%	100.0%	100.0%	66.7%
	Region III %	80.0%	100.0%	100.0%	90.9%	100.0%	72.7%	63.6%	63.6%	72.7%	100.0%	81.8%	63.6%

	What is the social worker's area office assignment?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region IV	Hartford	N/A to Case	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Marginal	Optimal	Needs Not Met
	Hartford	N/A to Case	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Met
	Hartford	N/A to Case	Very Good	Optimal	Optimal	Optimal	Marginal	Very Good	Marginal	Marginal	Very Good	Very Good	Needs Not Met
	Hartford	N/A to Case	Very Good	Poor	Marginal	Marginal	Marginal	Very Good	Very Good	Very Good	Very Good	Optimal	Needs Not Met
	Hartford	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Optimal	Very Good	N/A to Case	N/A to Case	Needs Met
	Hartford	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Marginal	Very Good	Optimal	Very Good	N/A to Case	Very Good	Needs Not Met
	Hartford	Very Good	N/A to Case	N/A to Case	Marginal	N/A to Case	Marginal	Marginal	Marginal	Marginal	N/A to Case	Marginal	Needs Not Met
	Hartford	Optimal	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Not Met
	Hartford AO%	100.0%	100.0%	75.0%	75.0%	75.0%	37.5%	87.5%	75.0%	75.0%	75.0%	85.7%	25.0%
	Manchester	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Met
	Manchester	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Marginal	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	Manchester	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Met
	Manchester	N/A to Case	Very Good	Very Good	Optimal	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met
	Manchester AO%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	75.0%	100.0%	75.0%	100.0%	100.0%	100.0%
	Region IV %	100.0%	100.0%	83.3%	83.3%	83.3%	58.3%	83.3%	83.3%	75.0%	83.3%	90.9%	50.0%

	What is the social worker's area office assignment?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region V	Danbury	Very Good	N/A to Case	N/A to Case	Marginal	N/A to Case	Very Good	Optimal	Very Good	Very Good	N/A to Case	Optimal	Needs Met
	Danbury	N/A to Case	Optimal	Optimal	Optimal	Optimal	Very Good	Optimal	Optimal	Very Good	Optimal	Optimal	Needs Met
	Danbury AO%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
	Torrington	N/A to Case	Very Good	Marginal	Very Good	Very Good	Marginal	Optimal	Very Good	Very Good	Very Good	Very Good	Needs Not Met
	Torrington	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Optimal	Optimal	Very Good	N/A to Case	Optimal	Needs Met
	Torrington AO%	100.0%	100.0%	0.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%
	Waterbury	N/A to Case	Very Good	Very Good	Very Good	Optimal	Very Good	Optimal	Optimal	Very Good	Very Good	N/A to Case	Needs Met
	Waterbury	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Marginal	Marginal	Marginal	N/A to Case	Very Good	Needs Not Met
	Waterbury	N/A to Case	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Optimal	Very Good	Needs Met
	Waterbury	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	Waterbury	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Marginal	Needs Not Met
	Waterbury	N/A to Case	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Optimal	Very Good	Very Good	Very Good	Needs Met
	Waterbury AO%	100.0%	100.0%	100.0%	100.0%	100.0%	66.7%	83.3%	83.3%	66.7%	100.0%	80.0%	66.7%
	Region V %	100.0%	100.0%	80.0%	90.0%	100.0%	70.0%	90.0%	90.0%	80.0%	100.0%	88.9%	70.0%

	What is the social worker's area office assignment?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region VI	Meriden	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met
	Meriden	N/A to Case	Optimal	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Optimal	Optimal	Needs Met
	Meriden AO %	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	50.0%
	New Britain	N/A to Case	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Optimal	Needs Met
	New Britain	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	New Britain	N/A to Case	Optimal	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Marginal	Very Good	Optimal	Needs Met
	New Britain	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met
	New Britain	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	New Britain AO %	100.0%	100.0%	100.0%	100.0%	100.0%	80.0%	100.0%	100.0%	60.0%	100.0%	100.0%	80.0%
	Region VI %	100.0%	100.0%	100.0%	100.0%	100.0%	85.7%	100.0%	100.0%	57.1%	100.0%	100.0%	71.4%
4 Qtr 2015 Statewide OM15 Domain %	96.6%	100.0%	85.7%	92.6%	92.6%	61.1%	85.2%	83.3%	71.7%	92.9%	90.4%	63.0%	

First Quarter 2016 Outcome Measure 15

	Area Office	What is the type of case assignment noted in LINK?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region I	Bridgeport	CPS CIP	N/A to Case	Optimal	Very Good	Optimal	Optimal	Marginal	Marginal	Optimal	Marginal	Optimal	Very Good	Needs Not Met
	Bridgeport	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Marginal	Poor	Marginal	N/A to Case	Marginal	Needs Not Met
	Bridgeport	CPS CIP	N/A to Case	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Optimal	Very Good	Optimal	Needs Met
	Bridgeport	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	50.0%	50.0%	75.0%	50.0%	100.0%	75.0%	50.0%
	Norwalk	CPS CIP	N/A to Case	Very Good	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Very Good	Very Good	Very Good	Needs Met
	Norwalk	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Not Met
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	100.0%	100.0%	50.0%
Region I		100.0%	100.0%	100.0%	100.0%	100.0%	50.0%	66.7%	83.3%	66.7%	100.0%	83.3%	50.0%	

	Area Office	What is the type of case assignment noted in LINK?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region II	Milford	CPS CIP	N/A to Case	Very Good	Very Good	Optimal	Very Good	Marginal	Optimal	Marginal	Marginal	Very Good	Very Good	Needs Not Met
	Milford	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Optimal	Very Good	Very Good	N/A to Case	N/A to Case	Needs Met
	Milford	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Optimal	Very Good	Very Good	N/A to Case	Optimal	Needs Met
	Milford	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Marginal	N/A to Case	Marginal	Marginal	Marginal	Marginal	N/A to Case	Marginal	Needs Not Met
	Area Office		100.0%	100.0%	100.0%	75.0%	100.0%	50.0%	75.0%	50.0%	50.0%	100.0%	66.7%	50.0%
	New Haven	CPS CIP	N/A to Case	Very Good	Very Good	Absent/Averse	Very Good	Marginal	Very Good	Marginal	Very Good	Very Good	Very Good	Needs Met
	New Haven	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Optimal	Optimal	Very Good	N/A to Case	Optimal	Needs Met
	New Haven	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Very Good	N/A to Case	N/A to Case	Needs Met
	New Haven	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	New Haven	CPS CIP	N/A to Case	Very Good	Very Good	Optimal	Optimal	Marginal	Very Good	Optimal	Very Good	Optimal	Very Good	Needs Not Met
Area Office		100.0%	100.0%	100.0%	80.0%	100.0%	40.0%	100.0%	80.0%	100.0%	100.0%	100.0%	80.0%	
Region II		100.0%	100.0%	100.0%	77.8%	100.0%	44.4%	88.9%	66.7%	77.8%	100.0%	66.7%	66.7%	

	Area Office	What is the type of case assignment noted in LINK?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region III	Middletown	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	N/A to Case	Needs Met
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
	Norwich	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	N/A to Case	N/A to Case	Marginal	Marginal	Marginal	Very Good	N/A to Case	Very Good	Needs Not Met
	Norwich	CPS CIP	N/A to Case	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Very Good	Needs Not Met
	Norwich	Voluntary Services In-Home Family	Optimal	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	Norwich	CPS CIP	N/A to Case	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Optimal	Very Good	Margin al	Very Good	Needs Met
	Norwich	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Marginal	N/A to Case	Margin al	Needs Not Met
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	40.0%	80.0%	80.0%	80.0%	50.0%	80.0%	40.0%
	Willimantic	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Optimal	Very Good	N/A to Case	Very Good	Needs Met
	Willimantic	CPS CIP	N/A to Case	Very Good	Optimal	Very Good	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Optimal	Needs Met
	Willimantic	CPS CIP	N/A to Case	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Optimal	Optimal	Very Good	Needs Met
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Region III		100.0%	100.0%	100.0%	100.0%	100.0%	70.0%	90.0%	90.0%	90.0%	80.0%	88.9%	70.0%	

	Area Office	What is the type of case assignment noted in LINK?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region IV	Hartford	CPS In-Home	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	Hartford	CPS In-Home	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Marginal	Marginal	Marginal	N/A to Case	Very Good	Needs Not Met
	Hartford	SPM CIP Case	N/A to Case	Very Good	Optimal	Optimal	Optimal	Very Good	Very Good	Optimal	Optimal	Optimal	Optimal	Needs Met
	Hartford	CPS CIP	N/A to Case	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Very Good	Marginal	Very Good	Marginal	Needs Not Met
	Hartford	CPS CIP	N/A to Case	Very Good	Very Good	Marginal	Very Good	Very Good	Marginal	Very Good	Very Good	Very Good	Very Good	Needs Met
	Hartford	CPS In-Home	Poor	N/A to Case	N/A to Case	Marginal	N/A to Case	Marginal	Very Good	Marginal	Marginal	N/A to Case	Marginal	Needs Not Met
	Hartford	CPS In-Home	Marginal	N/A to Case	N/A to Case	Marginal	N/A to Case	Poor	Very Good	Optimal	Marginal	N/A to Case	Very Good	Needs Not Met
	Hartford	SPM CIP Case	N/A to Case	Very Good	Very Good	Marginal	Very Good	Very Good	Poor	Poor	Very Good	Very Good	Very Good	Needs Met
	Area Office		50.0%	100.0%	100.0%	50.0%	100.0%	62.5%	62.5%	62.5%	50.0%	100.0%	75.0%	50.0%
	Manchester	CPS In-Home	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Marginal	Optimal	Optimal	Optimal	N/A to Case	Very Good	Needs Met
	Manchester	CPS In-Home	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Marginal	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met
	Manchester	CPS CIP	N/A to Case	Optimal	Optimal	Optimal	Optimal	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Needs Met
	Manchester	CPS CIP	N/A to Case	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Optimal	Optimal	Optimal	Needs Met
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	75.0%	75.0%	100.0%	75.0%	100.0%	100.0%	75.0%
	Region IV		66.7%	100.0%	100.0%	66.7%	100.0%	66.7%	66.7%	75.0%	58.3%	100.0%	83.3%	58.3%

	Area Office	What is the type of case assignment noted in LINK?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region V	Danbury	CPS CIP	N/A to Case	Optimal	Optimal	Optimal	Optimal	Very Good	Optimal	Very Good	Very Good	Optimal	Very Good	Needs Met
	Danbury	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
	Torrington	CPS CIP	N/A to Case	Very Good	Very Good	Optimal	Very Good	Very Good	Very Good	Marginal	Marginal	Very Good	Marginal	Needs Met
	Torrington	CPS In-Home Family	Marginal	N/A to Case	N/A to Case	Marginal	N/A to Case	Very Good	Very Good	Very Good	Marginal	N/A to Case	Very Good	Needs Not Met
	Area Office		0.0%	100.0%	100.0%	50.0%	100.0%	100.0%	100.0%	50.0%	0.0%	100.0%	50.0%	50.0%
	Waterbury	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	Waterbury	CPS CIP	N/A to Case	Very Good	Very Good	Very Good	Very Good	Marginal	Very Good	Optimal	Optimal	Optimal	Optimal	Needs Met
	Waterbury	CPS CIP	N/A to Case	Very Good	Optimal	Optimal	Optimal	Very Good	Marginal	Optimal	Very Good	Very Good	Very Good	Needs Met
	Waterbury	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Very Good	Optimal	Optimal	Very Good	N/A to Case	Very Good	Needs Met
	Waterbury	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Very Good	Very Good	Optimal	Very Good	N/A to Case	Optimal	Needs Met
	Waterbury	CPS CIP	N/A to Case	Optimal	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Very Good	Optimal	Very Good	Needs Met
	Area Office		100.0%	100.0%	100.0%	100.0%	100.0%	83.3%	83.3%	100.0%	100.0%	100.0%	100.0%	100.0%
Region V		80.0%	100.0%	100.0%	90.0%	100.0%	90.0%	90.0%	90.0%	80.0%	100.0%	90.0%	90.0%	

	Area Office	What is the type of case assignment noted in LINK?	Risk: In-Home	Risk: Child In Placement	Permanency: Securing the Permanent Placement - Action Plan for the Next Six Months	Permanency: DCF Case Mgmt - Legal Action to Achieve the Permanency Goal During the Prior Six Months	Permanency: DCF Case Mgmt - Recruitment for Placement Providers to Achieve the Permanency Goal during the Prior Six Months	Permanency: DCF Case Mgmt - Contracting or Providing Services to Achieve the Permanency Goal during the Prior Six Months	Well-Being: Medical Needs	Well-Being: Dental Needs	Well-Being: Mental Health, Behavioral and Substance Abuse Services	Well-Being: Child's Current Placement	Well-Being: Education	Overall Score for Outcome Measure 15
Region VI	Meriden	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Optimal	Marginal	N/A to Case	Very Good	Needs Not Met
	Meriden	CPS CIP	N/A to Case	Optimal	Very Good	Marginal	Very Good	Very Good	Very Good	Optimal	Optimal	Optimal	Optimal	Needs Met
	Area Office		100.0%	100.0%	100.0%	50.0%	100.0%	50.0%	100.0%	100.0%	50.0%	100.0%	100.0%	50.0%
	New Britain	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Optimal	N/A to Case	Marginal	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	New Britain	CPS In-Home Family	Optimal	Very Good	N/A to Case	Optimal	N/A to Case	Very Good	Optimal	Very Good	Very Good	N/A to Case	Optimal	Needs Met
	New Britain	CPS In-Home Family	Very Good	N/A to Case	N/A to Case	Very Good	N/A to Case	Marginal	Very Good	Very Good	Very Good	N/A to Case	Very Good	Needs Met
	New Britain	Voluntary Services Child in Placement Case	N/A to Case	Very Good	Very Good	Absent/Av erse	Very Good	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Needs Met
	New Britain	CPS CIP	N/A to Case	Very Good	Very Good	Very Good	Very Good	Very Good	Optimal	Optimal	Optimal	Very Good	Optimal	Needs Met
	Area Office		100.0%	100.0%	100.0%	80.0%	100.0%	60.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Region VI		100.0%	100.0%	100.0%	71.4%	100.0%	57.1%	100.0%	100.0%	85.7%	100.0%	100.0%	85.7%	
Statewide Results		89.7%	100.0%	100.0%	83.0%	100.0%	64.8%	83.3%	83.3%	75.9%	96.0%	88.2%	70.4%	

There are 157 unmet needs captured in the Fourth Quarter 2015 and 179 in the First Quarter 2016 samples reviewed during each quarter of the period. This is a total of 336 unmet needs; a decrease in comparison to our prior report which included 411 unmet needs.

Table 5: Unmet Needs during Fourth Quarter 2015(n=54) & First Quarter 2016 (n=54)

Need	Barrier	Fourth Quarter 2015	First Quarter 2016	Six Month Total
Adoption Supports (PPSP)	Client Refused Services	2	0	2
Anger Management	Client Refused Service	0	1	1
Anger Management	Delay in Referral by DCF	1	0	1
ARG Consults	DCF failed to properly assess child/family related to this need during the Period Under Review	0	2	2
ARG Consults	Delay in Referral	0	1	1
ARG Consults	Lack of Communication	0	1	1
ARG Consults	No Referral Made During the Period	0	6	6
Community Housing Assistance (CHAP)	Delay in Referral by DCF	1	0	1
Dental or Orthodontic Service	Other: Father Incarcerated	1	0	1
Dental Screening or Evaluation	Client Refused Service	3	5	8
Dental Screening or Evaluation	DCF failed to properly assess child related to this need during the Period Under Review	2	1	3
Dental Screening or Evaluation	Insurance Issues	0	2	2
Dental Screening or Evaluation	No Referral Made by DCF during the Period Under Review	2	1	3
Dental Screening or Evaluation	No Service Identified to Meet this Need	0	1	1
Dental Screening or Evaluation	UTD from the Case Plan or Narrative/Area Office Did Not Respond	1	2	3
Developmental Screening or Evaluation	DCF failed to properly assess child related to this need during the Period Under Review	0	1	1
Developmental Screening or Evaluation	Delay in Referral by DCF	0	1	1
Developmental Screening or Evaluation	Insurance Issues	0	1	1
Developmental Screening or Evaluation	No Referral by DCF during the Period	1	1	2
Domestic Violence Prevention Program	Delay in Referral by Worker	1	1	2
Domestic Violence Services for Perpetrators	Client Refused Service	0	1	1
Domestic Violence Services for Perpetrators	Delay in Referral by DCF	2	0	2

Need	Barrier	Fourth Quarter 2015	First Quarter 2016	Six Month Total
Domestic Violence Services for Victims	Client Refused Service	2	4	6
Domestic Violence Services for Victims	No Service Identified to Meet this Need	1	0	1
Drug & Alcohol Testing – Parent	Client Refused Service	1	1	2
Drug & Alcohol Testing – Parent	No Referral by DCF During the Period	1	0	1
Educational Screening or Evaluation	No Referral by DCF during the Period	0	1	1
Educational Screening or Evaluation	Provider Issues – Staffing, lack of follow through, etc.	0	1	1
Emergency Adult/Family Shelter	Client Refused Service	1	1	2
Extended Day Treatment	Client Refused Service	0	1	1
Family or Marital Counseling	Client Refused Service	2	0	2
Family or Marital Counseling	No Referral Made by DCF during the Period	2	1	3
Family or Marital Counseling	Service Not Available in Primary Language	1	0	1
Family or Marital Counseling	Transportation Unavailable	0	1	1
Family Preservation Services	Client Refused Services	1	0	1
Family Reunification Services	No Referral Made by DCF During the Period	1	0	1
Family Reunification Services	Referred Service Unwilling to Engage Client	0	1	1
Flex Funds for Basic Needs	Delay in Referral by DCF	0	1	1
Foster Care Supports	No Referral Made by DCF during the Period	1	0	1
Group Home	Other: Youth’s Behavior	0	1	1
Head Start	Client Refused Service	1	0	1
Health or Medical Screening/Evaluation	Client Refused Service	3	1	4
Health or Medical Screening/Evaluation	Delay in Referral by DCF	1	1	2
Health or Medical Screening/Evaluation	No Referral by DCF during the Period	1	3	4
Health or Medical Screening/Evaluation	UTD from Case Plan or Narratives	3	2	5

Need	Barrier	Fourth Quarter 2015	First Quarter 2016	Six Month Total
Housing Assistance (Section 8)	Client Refused	1	0	1
Housing Assistance (Section 8)	Delay in Referral by DCF	1	2	3
Housing Assistance (Section 8)	No Referral by DCF during the Period	0	1	1
Housing Assistance (Section 8)	Placed on Waiting List	0	2	2
Housing Assistance (Section 8)	Service Deferred Pending Completion of Another	0	1	1
Housing Assistance (Section 8)	UTD from Case Plan or Narratives	1	0	1
IEP Programming	Client Refused Service	0	2	2
IEP Programming	No Referral by DCF during the Period	0	1	1
IEP Programming	No Slot Available	1	0	1
IEP Programming	Service Deferred Pending Completion of Another	0	1	1
In Home Parent Education and Support	Client Refused Service	4	4	8
In Home Parent Education and Support	Delay in Referral by DCF	0	2	2
In Home Parent Education and Support	No Referral Made by DCF during the Period	1	0	1
In Home Treatment	UTD from Case Plan or Narrative	1	0	1
Individual Counseling – Child	Client Refused Service	0	5	5
Individual Counseling – Child	Delay in Referral by DCF	1	0	1
Individual Counseling – Child	No Referral Made by DCF during the Period	6	0	6
Individual Counseling – Child	Placed on Wait List	2	0	2
Individual Counseling – Parent	Client Refused Service	7	6	13
Individual Counseling – Parent	Insurance Issues	0	1	1
Individual Counseling – Parent	No Referral Made by DCF during the Period	0	1	1
Individual Counseling – Parent	UTD from Case Plan or Narrative	1	0	1
Individual Counseling – Parent	Placed on Wait List	1	0	1
In-Home Treatment	Client Refused Service	2	5	7
In-Home Treatment	No Referral Made by DCF during the Period	1	0	1
In-Home Treatment	No Slot Available	0	1	1
In-Home Treatment	Placed on Wait List	1	1	2
Job Coaching/Placement	Client Refused Service	0	1	1
Life Skills Training	Client Refused Service	0	2	2

Need	Barrier	Fourth Quarter 2015	First Quarter 2016	Six Month Total
Maintaining Family Ties	DCF failed to properly assess child/family related to this need during the Period Under Review	0	1	1
Matching/Placement/Processing (Includes ICO)	Delay in Referral by DCF	0	1	1
Medication Management – Child	Client Refused Service	1	2	3
Medication Management – Child	Delay in Referral by DCF	1	0	1
Medication Management - Parent	Lack of Communication between DCF and Provider	1	1	2
Mental Health Screening or Evaluation – Child	Client Refused Service	3	1	4
Mental Health Screening or Evaluation – Child	No Referral Made by DCF during the Period	0	1	1
Mental Health Screening or Evaluation – Child	No Service Identified to Meet this Need	1	1	2
Mental Health Screening or Evaluation – Child	Other: Lack of referral by parents	1	0	1
Mental Health Screening or Evaluation – Child	Provider Issues – Staffing, lack of Follow Through, etc.	1	0	1
Mental Health Screening or Evaluation - Parent	No Service Identified to Meet This Need	0	1	1
Mental Health Screening or Evaluation – Parent	Client Refused Service	5	1	6
Mental Health Screening or Evaluation – Parent	DCF failed to properly assess child/family related to this need during the Period Under Review	0	2	2
Mental Health Screening or Evaluation – Parent	Delay in Referral by DCF	2	0	2
Mentoring	Client Refused Service	1	0	1
Mentoring	Delay in Referral by DCF	0	2	2
Mentoring	Gender Specific Service Not Available (Male)	1	0	1
Mentoring	No Referral by DCF During the Period	1	0	1
Mentoring	Placed on Wait List	1	1	2
Mentoring	Provider Issue : Attempting to Locate appropriate match	1	0	1

Need	Barrier	Fourth Quarter 2015	First Quarter 2016	Six Month Total
Other IH Support – FBR	No Slot Available	0	1	1
Other Medical Intervention: Bloodwork	No Service Identified to Meet This Need	1	0	1
Other Medical Intervention: Mother’s Seizure Activity Required Medical Attention/Monitoring	UTD from Case Plan or Narratives	0	1	1
Other Medical Intervention: Neuropsychological	Client Refused Service	1	0	1
Other Medical Intervention: Parent TBI	DCF failed to properly assess child/family related to this need during the Period Under Review	1	0	1
Other Medical Intervention: Transfer of Medical Records	Provider Issues – Staffing, lack of Follow Through	1	0	1
Other Medical Intervention: Vision Examination	No Service Identified to Meet This Need	1	0	1
Other Mental Health Need - Parent: Trauma Assessment	No Referral Made by DCF During the Period	1	0	1
Other Mental Health Need: Coaching	No Referral Made by DCF	1	0	1
Other Mental Health Need: Play Therapy	UTD from Case Plan or Narratives	0	1	1
Other Mental Health Need: SFIT Program	Client Refused Service	0	1	1
Other OOH Services: File Petitions, File Voluntary Probate	Not referred by DCF during the Period.	0	2	2
Other OOH Services: Filing TOG/STOG TPR	DCF failed to properly assess child/family related to this need during the Period Under Review	3	0	3
Other State Agency Programs (DMR, DMHAS, MSS)	Lack of Communication between DCF and Provider	0	1	1
Other State Agency Programs (DMR, DMHAS, MSS)	Placed on Wait List	0	1	1
Other State Agency Programs (DMR, DMHAS, MSS)	Service Deferred Pending Completion of Another	0	1	1
Outpatient Substance Abuse Treatment – Parent	Client Refused Service	4	3	7
Parenting Classes	Delay in Referral by DCF	1	0	1
Parenting Classes	UTD from Case Plan or Narrative	0	1	1
Positive Youth Development Programming	Client Refused Service	0	1	1
Positive Youth Development Programming	Placed on Wait List	0	1	1
Preparation for Adult Living Services	Client Refused Service	0	1	1

Need	Barrier	Fourth Quarter 2015	First Quarter 2016	Six Month Total
Problem Sexual Behavior Evaluation	No Referral by DCF During the Period Under Review	1	0	1
Psychiatric Evaluation – Parent	Client Refused Service	0	1	1
Psychiatric Evaluation – Parent	DCF failed to properly assess child/family related to this need during the Period Under Review	0	1	1
Psychological or psychosocial evaluation – Parent	Client Refused Service	0	1	1
Psychosocial or Psychological Evaluation - Parent	Client Refused	1	0	1
Relapse Prevention Program – Parent	Client Refused Service	0	1	1
Relapse Prevention Program – Parent	No Referral Made by DCF During the Period	1	0	1
Residential Facility	Referred Service is Unwilling to Engage Client	1	0	1
Respite Service	No Referral Made by DCF	1	0	1
Sexual Abuse Therapy – Victim	Client Refused Service	1	0	1
Substance Abuse Screening – Child	No Referral Made by DCF During the Period	1	2	3
Substance Abuse Screening – Parent	Client Refused Service	5	6	11
Substance Abuse Screening – Parent	Delay in Referral by DCF	0	1	1
Substance Abuse Screening – Parent	No Referral Made by DCF During the Period	0	1	1
Supervised Visitation	No Referral Made by DCF During the Period	1	1	2
Supportive Housing	Delay in Referral by DCF	1	0	1
Supportive Housing	Placed on Wait List	0	3	3
SW/Client Visitation	Client Refused Service	3	3	6
SW/Client Visitation – Child	SW Visitation Did not Meet Standard/Marginal Assessment Quality of Visitation	6	8	14
SW/Client Visitation – Parent	Client Refused Service	3	5	8
SW/Client Visitation – Parent	SW Visitation Did not Meet Standard/Marginal Assessment Quality of Visitation	9	11	20
SW/Provider Contacts	Delay in Referrals/Contacts	2	7	9
SW/Provider Contacts	General Lack of Communication/UTD cause	10	5	15
SW/Provider Contacts	No Contact by DCF	0	1	1
SW/Provider Contacts	Provider Refused	0	1	1
Therapeutic Foster Care	Provider Issues – Staffing, Lack of Follow Through, Etc.	1	0	1
Transitional Living Program (TLAP)	No Referral by DCF	1	0	1
		157	179	336

During both the Fourth Quarter 2015 and First Quarter 2016 the level of engagement with families in case planning to achieve scores of Very Good or Optimal within our methodology as documented within the ACR documentation, case planning documentation and visitation documentation continued to be an area needing improvement and was at a statewide level of 42.6% and 55.6% respectively each quarter.. (See Table 2 for details).

The reviewers noted that the ACR, case planning documentation and case plan did document a discussion of all (34.4%), or some (47.3%) of the needs that were identified as unmet in the prior six month period and were necessary to be incorporated into action steps going forward. There were 12 cases (12.9%) in which the reviewers indicated that there were no unmet needs carried forward from the prior period. There were five cases (5.4%) in which none of the needs and services were incorporated into the case plan action steps going forward. There were 15 cases for which this was the initial case plan and these were not included in the percentage calculations it was too soon to rate these cases.

In 25 of 48 cases in which SDM tools were incorporated (52.1%) there were identical needs indicated on the prior case plan assessment. This would indicate that the unmet objective or need has been in place for the child or individual greater than six months.

In 52.8% of the 108 cases sampled, there were one or more instances where there was an identified need referenced in the documentation or identified at the ACR or other meetings related to case planning that did not get captured appropriately as an objective with defined action steps within the case plan approved by the SWS. This is a decline from the prior quarter when results indicated a rate of 59%.

Are there service needs not identified on the case plan that should have been as a result of documentation or meeting attended?					
		Frequency	Percent	Valid Percent	Cumulative Percent
Valid	yes	57	52.8	52.8	52.8
	no	51	47.2	47.2	100.0
	Total	108	100.0	100.0	

There were 139 instances across the 108 cases reviewed in the two quarters, where reviewers pointed to specific needs that were significant and should have been captured within the case planning process. This is a slight decrease from last period, when 150 were identified.

Table 6: Needs Not Incorporated into the Case Plans Developed for Upcoming Six Month Period - Second Quarter 2015 and Third Quarter 2015

Unmet Need	Barrier	4Q 16	1Q 16	6 Month Total
Adoption Supports (PPSP)	No Service Identified to Meet this Need	2	0	2
Anger Management	No Service Identified to Meet this Need	1	1	2
ARG Consultation	No Service Identified to Meet this Need	0	4	4
Case Management/Support/Advocacy	No Service Identified to Meet this Need	0	3	3
Dental or Orthodontic Service	UTD from Case Plan or Narratives	3	1	4
Dental Screening or Evaluation	No Service Identified to Meet this Need	3	5	8
Developmental Screening or Evaluation	DCF Failed to Assess Child related to this Need	0	1	1
Developmental Screening or Evaluation	No Service Identified to Meet this Need	1	1	2
Domestic Violence Services – Perpetrator	No Service Identified to Meet this Need	1	1	2
Domestic Violence Services for Victims	No Service Identified to Meet this Need	2	2	4
Educational Screening or Evaluation	No Service Identified to Meet this Need	1	2	3
Emergency Adult/Family Shelter	No Service Identified to Meet this Need	0	1	1
Family or Marital Counseling	No Service Identified to Meet this Need	3	3	6
Family Preservation Services	No Service Identified to Meet this Need	2	0	2
Family Reunification Service	No Service Identified to Meet this Need	1	1	2
Foster Care Supports	No Service Identified to Meet this Need	1	0	1
Health/Medical Screening or Evaluation	No Service Identified to Meet this Need	7	5	12
Housing Assistance (Section 8)	Service Deferred Pending Completion of Another	1	0	1
Housing Assistance (Section 8)	No Service Identified to Meet this Need	2	4	6
Housing Assistance (Section 8)	UTD from Treatment Plan or Narrative	0	1	1
IEP Programming	No Service Identified to Meet this Need	0	1	1
IEP Programming	No Referral Made by DCF	0	1	1
Individual Counseling: Child	No Service Identified to Meet this Need	6	1	7
Individual Counseling: Parent	DCF Failed to Properly Assess Child/Family Related to this need During the PUR	0	1	1
Individual Counseling: Parent	No Service Identified to Meet this Need	3	1	4
In-Home Parent Education and Support	No Service Identified to Meet this Need	1	1	2
In-Home Treatment	UTD from Case Plan or Narrative	1	0	1
In Home Treatment	No Service Identified to Meet this Need	2	0	2
In-Home Treatment	Client Refused Service	1	0	1
Job Coaching/Placement	No Service Identified to Meet this Need	0	1	1
Mental Health Screening or Evaluation: Child	No Service Identified to Meet this Need	2	0	2
Mental Health Screening or Evaluation: Child	No Service Identified to Meet this Need	0	2	2
Mental Health Screening or Evaluation: Parent	Delay in Referral by DCF	1	0	1

Unmet Need	Barrier	4Q 15	1Q 16	6 Month Total
Mental Health Screening or Evaluation: Parent	No Service Identified to Meet this Need	1	1	2
Mentoring	No Service Identified to Meet this Need	4	1	5
Other IH Service: BSF/FBR	No Slots Available	0	1	1
Other Medical Intervention: Home Health Aide (parent)	No Service Identified to Meet this Need	0	1	1
Other Mental Health Need: Coaching (Parent)	No Service Identified to Meet this Need	1	0	1
Other Mental Health Need: Play Therapy (Child)	No Service Identified to Meet this Need	1	0	1
Other Mental Health Need: Trauma Focused Therapy	No Service Identified to Meet this Need	1	0	1
Other OOH Service: Voluntary Service Probate Filing, STOG Filing, TOG Filing	No Service Identified to Meet this Need	3	1	4
Parenting Classes	DCF Failed to Properly Assess Child/Family Related to this need During the PUR	1	0	1
Psychological or Psychosocial Evaluation – Child	No Service Identified to Meet this Need	1	0	1
Psychological or Psychosocial Evaluation – Parent	No Service Identified to Meet this Need	1	0	1
Psychiatric Hospitalization – Child	Placed on Wait List	0	1	1
Residential Facility	No Service Identified to Meet this Need	1	0	1
Respite Services	No Service Identified to Meet this Need	1	0	1
Social Recreational Programs	No Service Identified to Meet this Need	1	0	1
Substance Abuse Services – Screening/Evaluation – Parent	No Service Identified to Meet this Need	1	2	3
Substance Abuse Services – Screening/Evaluation - Child	No Referral Made by DCF	0	2	2
Substance Abuse Services – Screening/Evaluation - Child	No Service Identified to Meet this Need	0	1	1
Substance Abuse Services: Drug/Alcohol Testing – Parent	No Service Identified to Meet this Need	1	1	2
Substance Abuse Services: Outpatient Parent	No Service Identified to Meet this Need	1	1	2
Supportive Housing	No Service Identified to Meet this Need	0	1	1
Supervised Visitation	No Service Identified to Meet this Need	1	1	2
SW/Child Visitation	UTD from Case Plan or Narrative	0	1	1
SW/Parent Visitation	No Service Identified to Meet this Need	3	1	4
SW/Provider Contacts	No Service Identified to Meet this Need	1	2	3
		73	66	139

JUAN F. ACTION PLAN MONITORING REPORT

May 2016

This report includes data relevant to the permanency and placement issues and action steps embodied within the Action Plan. Data provided comes from the monthly point-in-time information from LINK and the Chapin Hall database.

A. PERMANENCY ISSUES

Progress Towards Permanency:

The following table developed using the Chapin Hall database provides a longitudinal view of permanency for annual admission cohorts from 2004 through 2016.

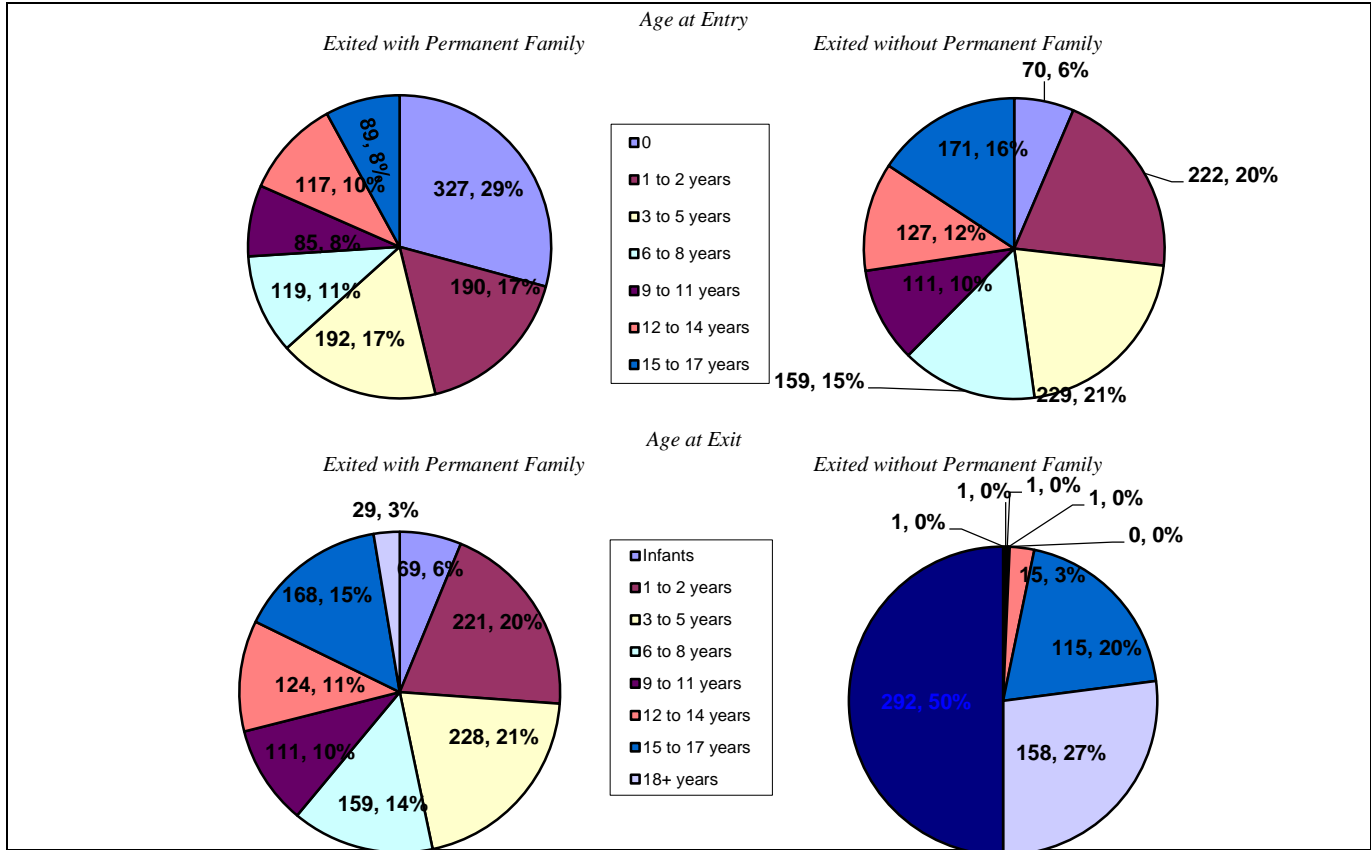
Figure 1: Children Exiting With Permanency, Exiting Without Permanency, Unknown Exits and Remaining In Care (Entry Cohorts)

	Period of Entry to Care												
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Total Entries	3203	3091	3407	2854	2829	2628	2694	2299	1857	2005	1933	1984	586
Permanent Exits													
In 1 yr	1228 38.3%	1129 36.5%	1263 37.1%	1096 38.4%	1098 38.8%	1093 41.6%	1023 38.0%	708 30.8%	560 30.2%	523 26.1%	471 24.4%		
In 2 yrs	1805 56.4%	1740 56.3%	1973 57.9%	1676 58.7%	1676 59.2%	1582 60.2%	1376 51.1%	1053 45.8%	855 46.0%	819 40.8%			
In 3 yrs	2092 65.3%	2013 65.1%	2324 68.2%	1975 69.2%	1943 68.7%	1792 68.2%	1674 62.1%	1245 54.2%	1031 55.5%				
In 4 yrs	2262 70.6%	2158 69.8%	2499 73.3%	2091 73.3%	2033 71.9%	1895 72.1%	1775 65.9%	1354 58.9%					
To Date	2371 74.0%	2256 73.0%	2620 76.9%	2170 76.0%	2118 74.9%	1943 73.9%	1822 67.6%	1398 60.8%	1102 59.3%	993 49.5%	678 35.1%	296 14.9%	22 3.8%
Non-Permanent Exits													
In 1 yr	231 7.2%	289 9.3%	259 7.6%	263 9.2%	250 8.8%	208 7.9%	196 7.3%	138 6.0%	95 5.1%	119 5.9%	102 5.3%		
In 2 yrs	301 9.4%	371 12.0%	345 10.1%	318 11.1%	320 11.3%	267 10.2%	243 9.0%	187 8.1%	145 7.8%	168 8.4%			
In 3 yrs	366 11.4%	431 13.9%	401 11.8%	354 12.4%	363 12.8%	300 11.4%	274 10.2%	215 9.4%	181 9.7%				
In 4 yrs	403 12.6%	461 14.9%	449 13.2%	392 13.7%	394 13.9%	327 12.4%	302 11.2%	248 10.8%					
To Date	512 16.0%	570 18.4%	536 15.7%	451 15.8%	458 16.2%	370 14.1%	344 12.8%	263 11.4%	200 10.8%	186 9.3%	118 6.1%	83 4.2%	2 0.3%

	Period of Entry to Care												
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Unknown Exits													
In 1 yr	129 4.0%	83 2.7%	76 2.2%	61 2.1%	60 2.1%	75 2.9%	129 4.8%	205 8.9%	134 7.2%	122 6.1%	170 8.8%		
In 2 yrs	171 5.3%	124 4.0%	117 3.4%	97 3.4%	91 3.2%	139 5.3%	305 11.3%	400 17.4%	258 13.9%	357 17.8%			
In 3 yrs	208 6.5%	163 5.3%	140 4.1%	123 4.3%	125 4.4%	192 7.3%	386 14.3%	482 21.0%	350 18.8%				
In 4 yrs	234 7.3%	181 5.9%	167 4.9%	155 5.4%	167 5.9%	218 8.3%	414 15.4%	515 22.4%					
To Date	307 9.6%	238 7.7%	227 6.7%	200 7.0%	211 7.5%	262 10.0%	439 16.3%	523 22.7%	374 20.1%	419 20.9%	343 17.7%	156 7.9%	7 1.2%
Remain In Care													
In 1 yr	1615 50.4%	1590 51.4%	1809 53.1%	1434 50.2%	1421 50.2%	1252 47.6%	1346 50.0%	1248 54.3%	1068 57.5%	1241 61.9%	1190 61.6%		
In 2 yrs	926 28.9%	856 27.7%	972 28.5%	763 26.7%	742 26.2%	640 24.4%	770 28.6%	659 28.7%	599 32.3%	661 33.0%			
In 3 yrs	537 16.8%	484 15.7%	542 15.9%	402 14.1%	398 14.1%	344 13.1%	360 13.4%	357 15.5%	295 15.9%				
In 4 yrs	304 9.5%	291 9.4%	292 8.6%	216 7.6%	235 8.3%	188 7.2%	203 7.5%	182 7.9%					
To Date	13 0.4%	27 0.9%	24 0.7%	33 1.2%	42 1.5%	53 2.0%	89 3.3%	115 5.0%	181 9.7%	407 20.3%	794 41.1%	1449 73.0%	555 94.7%

The following graphs show how the ages of children upon their entry to care, as well as at the time of exit, differ depending on the overall type of exit (permanent or non-permanent).

FIGURE 2: CHARACTERISTICS OF CHILDREN EXITING WITH AND WITHOUT PERMANENCY (2015 EXIT COHORT)



Permanency Goals:

The following chart illustrates and summarizes the number of children (which excludes youth ages 18 and older) at various stages of placement episodes, and provides the distribution of Permanency Goals selected for them.

FIGURE 3: DISTRIBUTION OF PERMANENCY GOALS ON THE PATH TO PERMANENCY (CHILDREN IN CARE ON MAY 2, 2016⁵)

Is the child legally free (his or her parents' rights have been terminated)?			
Yes 591	No ↓ 2,899		
<i>Goals of:</i>	Has the child been in care more than 15 months?		
551 (93%)	No 1,905	Yes ↓ 994	
Adoption	Has a TPR proceeding been filed?		
30 (5%)	Yes 216		
APPLA	↓ 778		
8 (1%)	No ↓ 544		
Transfer of Guardianship	Is a reason documented not to file TPR?		
2 (<1%)	Yes 234		
Blank	No 544		
	<i>Goals of:</i>	<i>Documented Reasons:</i>	<i>Goals of:</i>
	161 (75%)	50%	196 (36%)
	Adoption	Compelling Reason	Reunify
	19 (9%)	25%	166 (31%)
	APPLA	Child is with relative	Trans. of Guardian:
	11 (5%)	18%	Sub/Unsub
	Reunify	Petition in process	109 (20%)
	23 (11%)	7%	Adoption
	Trans. of Guardian:	Services not provided	64 (12%)
	Sub/Unsub		APPLA
	2 (1%)		6 (1%)
	Blank		Relatives
	55 (24%)		3 (1%)
	APPLA		Blank
	54 (23%)		
	Reunify		
	52 (22%)		
	Adoption		
	4 (2%)		
	Relatives		

⁵ Children over age 18 are not included in these figures.

Preferred Permanency Goals:

Reunification	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children with Reunification goal, pre-TPR and post-TPR	1322	1275	1320	1389	1449	1491
Number of children with Reunification goal pre-TPR	1322	1271	1320	1389	1448	1491
<ul style="list-style-type: none"> Number of children with Reunification goal, pre-TPR, >= 15 months in care 	200	258	282	250	271	292
<ul style="list-style-type: none"> Number of children with Reunification goal, pre-TPR, >= 36 months in care 	45	36	36	38	42	36
Number of children with Reunification goal, post-TPR	0	4	0	0	1	0

Transfer of Guardianship (Subsidized and Non-Subsidized)	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR and post TPR	304	326	327	377	410	433
Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR	301	323	320	366	399	425
<ul style="list-style-type: none"> Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR, >= 22 months 	90	95	91	122	144	153
<ul style="list-style-type: none"> Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), pre-TPR, >= 36 months 	29	25	28	41	52	46
Number of children with Transfer of Guardianship goal (subsidized and non-subsidized), post-TPR	3	3	7	11	11	8

Adoption	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children with Adoption goal, pre-TPR and post-TPR	1030	1071	1047	1073	1058	1118
Number of children with Adoption goal, pre-TPR	518	514	489	521	557	567
Number of children with Adoption goal, TPR not filed, >= 15 months in care	156	140	170	163	172	161
<ul style="list-style-type: none"> Reason TPR not filed, Compelling Reason 	7	7	8	10	14	9
<ul style="list-style-type: none"> Reason TPR not filed, petitions in progress 	26	14	24	28	28	38
<ul style="list-style-type: none"> Reason TPR not filed, child is in placement with relative 	5	3	5	4	5	5
<ul style="list-style-type: none"> Reason TPR not filed, services needed not provided 	2	0	1	1	0	0
<ul style="list-style-type: none"> Reason TPR not filed, blank 	116	116	132	120	125	109
Number of cases with Adoption goal post-TPR	512	557	558	552	501	551
<ul style="list-style-type: none"> Number of children with Adoption goal, post-TPR, in care >= 15 months 	474	526	521	513	466	513
<ul style="list-style-type: none"> Number of children with Adoption goal, post-TPR, in care >= 22 months 	384	432	426	432	392	423
Number of children with Adoption goal, post-TPR, no barrier, > 3 months since TPR	13	17	13	14	10	17
Number of children with Adoption goal, post-TPR, with barrier, > 3 months since TPR	57	62	65	68	59	50

Adoption	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Number of children with Adoption goal, post-TPR, with blank barrier, > 3 months since TPR	245	244	224	259	215	254

Progress Towards Permanency:	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children, pre-TPR, TPR not filed, >=15 months in care, no compelling reason	567	589	598	574	610	544

Non-Preferred Permanency Goals:

Long Term Foster Care Relative:	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children with Long Term Foster Care Relative goal	50	47	44	40	23	24
Number of children with Long Term Foster Care Relative goal, pre-TPR	47	44	41	38	22	24
<ul style="list-style-type: none"> Number of children with Long Term Foster Care Relative goal, 12 years old and under, pre-TPR 	1	1	1	1	1	1
Long Term Foster Care Rel. goal, post-TPR	3	3	3	2	1	0
<ul style="list-style-type: none"> Number of children with Long Term Foster Care Relative goal, 12 years old and under, post-TPR 	0	0	0	0	0	0

APPLA*	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children with APPLA goal	421	380	300	251	204	185
Number of children with APPLA goal, pre-TPR	331	298	238	206	165	155
<ul style="list-style-type: none"> Number of children with APPLA goal, 12 years old and under, pre-TPR 	2	6	6	4	0	0
Number of children with APPLA goal, post-TPR	90	82	62	45	39	30
<ul style="list-style-type: none"> Number of children with APPLA goal, 12 years old and under, post-TPR 	5	3	2	1	1	1

* Columns prior to Aug 07 had previously been reported separately as APPLA: Foster Care Non-Relative and APPLA: Other. The values from each separate table were added to provide these figures. Currently there is only one APPLA goal.

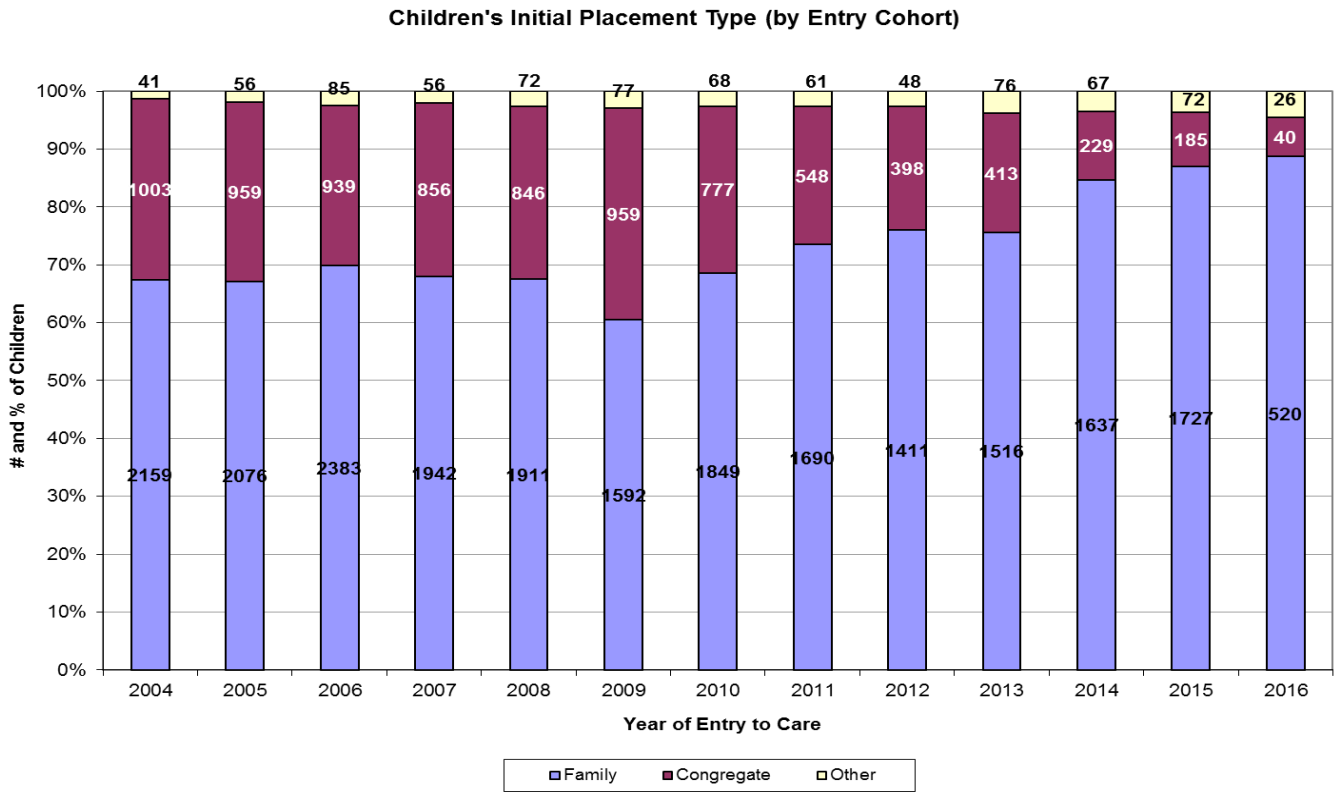
Missing Permanency Goals:

	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Number of children, with no Permanency goal, pre-TPR, >= 2 months in care	19	15	18	33	22	28
Number of children, with no Permanency goal, pre-TPR, >= 6 months in care	10	7	6	7	12	10
Number of children, with no Permanency goal, pre-TPR, >= 15 months in care	5	4	2	5	6	5
Number of children, with no Permanency goal, pre-TPR, TPR not filed, >= 15 months in care, no compelling reason	5	4	2	4	5	3

B. PLACEMENT ISSUES

Placement Experiences of Children

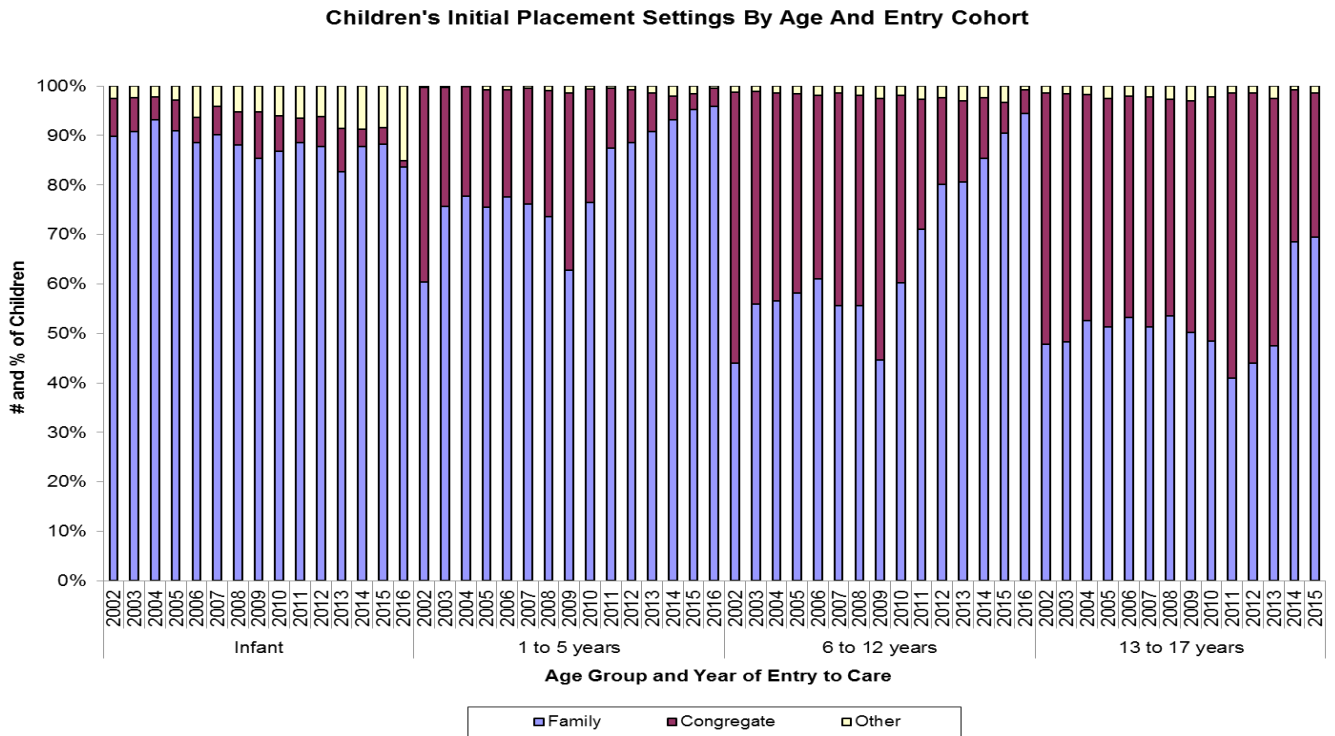
The following chart shows the change in use of family and congregate care for admission cohorts between 2004 and 2016.



The next table shows specific care types used month-by-month for entries between April 2015 and March 2016.

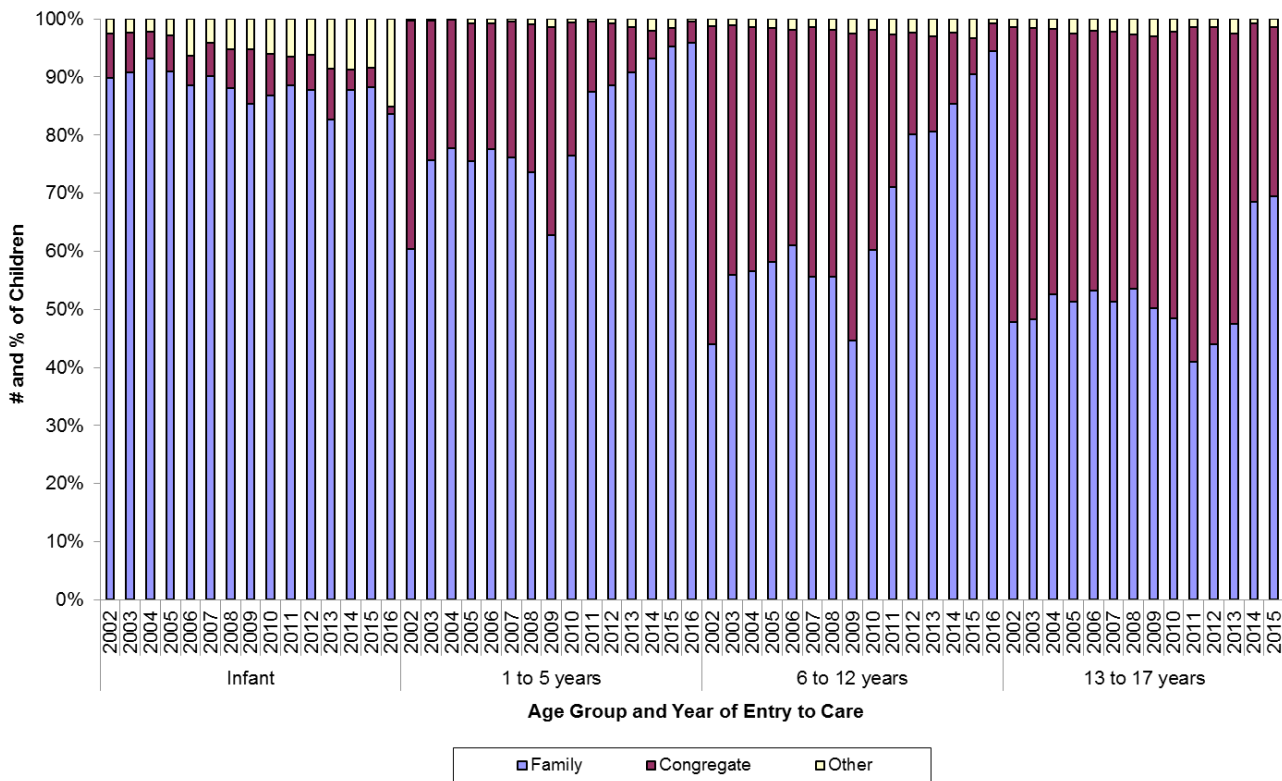
First placement type		enterApr15	enterMay15	enterJun15	enterJul15	enterAug15	enterSep15	enterOct15	enterNov15	enterDec15	enterJan16	enterFeb16	enterMar16
Residential	N	2	8	1	7	3	3	3	2	2	2	3	5
	%	1.4%	4.7%	0.6%	3.6%	1.3%	1.6%	2.1%	1.2%	1.2%	1.1%	1.6%	2.3%
DCF Facilities	N	2	4	2	3	3	3		2	4	1	2	3
	%	1.4%	2.3%	1.1%	1.5%	1.3%	1.6%		1.2%	2.4%	0.6%	1.1%	1.4%
Foster Care	N	63	83	58	75	85	75	76	91	88	84	90	99
	%	44.7%	48.3%	32.8%	38.7%	37.8%	41.0%	52.1%	53.8%	53.7%	47.2%	48.1%	44.8%
Group Home	N	3	3	6	5	5	4	2	2	1	4	1	3
	%	2.1%	1.7%	3.4%	2.6%	2.2%	2.2%	1.4%	1.2%	0.6%	2.2%	0.5%	1.4%
Relative Care	N	56	47	78	77	105	77	42	50	44	56	74	81
	%	39.7%	27.3%	44.1%	39.7%	46.7%	42.1%	28.8%	29.6%	26.8%	31.5%	39.6%	36.7%
Medical	N	5	6	7	5	7	6	6	4	5	11	4	11
	%	3.5%	3.5%	4.0%	2.6%	3.1%	3.3%	4.1%	2.4%	3.0%	6.2%	2.1%	5.0%
Safe Home	N			1		1	4		1				2
	%			0.6%		0.4%	2.2%		0.6%				0.9%
Shelter	N	3	7	10	5	5	3	4	4	6	7	5	2
	%	2.1%	4.1%	5.6%	2.6%	2.2%	1.6%	2.7%	2.4%	3.7%	3.9%	2.7%	0.9%
Special Study	N	7	14	14	17	11	8	13	13	14	13	8	15
	%	5.0%	8.1%	7.9%	8.8%	4.9%	4.4%	8.9%	7.7%	8.5%	7.3%	4.3%	6.8%
Total	N	141	172	177	194	225	183	146	169	164	178	187	221
	%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

The chart below shows the change in level of care usage over time for different age groups.



It is also useful to look at where children spend most of their time in DCF care. The chart below shows this for admission the 2004 through 2016 admission cohorts.

Children's Initial Placement Settings By Age And Entry Cohort



The following chart shows monthly statistics of children who exited from DCF placements between April 2015 and March 2016, and the portion of those exits within each placement type from which they exited.

Last placement type in spell (as of censor date)		exitApr15	exitMay15	exitJun15	exitJul15	exitAug15	exitSep15	exitOct15	exitNov15	exitDec15	exitJan16	exitFeb16	exitMar16
Residential	N	5	3	7	6	4	4	5	3	6	3	3	6
	%	2.9%	2.0%	3.5%	2.9%	1.6%	2.6%	2.9%	1.5%	4.4%	2.9%	2.7%	5.3%
DCF Facilities	N	2	2	4	2	7	2	2	2	2	3	4	1
	%	1.1%	1.3%	2.0%	1.0%	2.8%	1.3%	1.2%	1.0%	1.5%	2.9%	3.6%	0.9%
Foster Care	N	89	73	81	86	126	66	79	108	80	43	56	39
	%	51.1%	48.7%	40.5%	41.5%	51.0%	43.7%	46.2%	54.5%	58.4%	41.7%	50.5%	34.2%
Group Home	N	7	6	10	16	10	9	7	4	4	2	4	10
	%	4.0%	4.0%	5.0%	7.7%	4.0%	6.0%	4.1%	2.0%	2.9%	1.9%	3.6%	8.8%
Independent Living	N	3	4	4	6	6	6	3	1	1	6	1	
	%	1.7%	2.7%	2.0%	2.9%	2.4%	4.0%	1.8%	0.5%	0.7%	5.8%	0.9%	
Relative Care	N	46	43	76	66	68	51	54	61	34	36	33	39
	%	26.4%	28.7%	38.0%	31.9%	27.5%	33.8%	31.6%	30.8%	24.8%	35.0%	29.7%	34.2%
Medical	N	3	4	3	3	1	1	2		1	3		1
	%	1.7%	2.7%	1.5%	1.4%	0.4%	0.7%	1.2%		0.7%	2.9%		0.9%
Safe Home	N				1		3	1	1	1			1
	%				0.5%		2.0%	0.6%	0.5%	0.7%			0.9%
Shelter	N	5	3	6	8	5	2		1	1	2	2	4
	%	2.9%	2.0%	3.0%	3.9%	2.0%	1.3%		0.5%	0.7%	1.9%	1.8%	3.5%
Special Study	N	14	11	9	13	20	7	17	14	5	4	6	13
	%	8.0%	7.3%	4.5%	6.3%	8.1%	4.6%	9.9%	7.1%	3.6%	3.9%	5.4%	11.4%
Unknown	N		1					1	3	2	1	2	
	%		0.7%					0.6%	1.5%	1.5%	1.0%	1.8%	
Total	N	174	150	200	207	247	151	171	198	137	103	111	114
	%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

The next chart shows the primary placement type for children who were in care on November 2, 2015 organized by length of time in care.

Primary type of spell (>50%)		Category								Total
		30	90	180	< 365	< 545	< 1095	1095		
Residential	Count	5	5	8	19	13	18	26	94	
	% Row	5.3%	5.3%	8.5%	20.2%	13.8%	19.1%	27.7%	100.0%	
	% Col	2.4%	1.4%	2.0%	2.4%	2.8%	2.0%	3.7%	2.5%	
DCF Facilities	Count	4	3	7	10	4	4	0	32	
	% Row	12.5%	9.4%	21.9%	31.3%	12.5%	12.5%	0.0%	100.0%	
	% Col	1.9%	0.9%	1.7%	1.2%	0.9%	0.4%	0.0%	0.8%	
Foster Care	Count	91	148	168	253	194	465	431	1750	
	% Row	5.2%	8.5%	9.6%	14.5%	11.1%	26.6%	24.6%	100.0%	
	% Col	44.0%	42.7%	41.3%	31.5%	42.1%	51.6%	61.1%	45.7%	
Group Home	Count	2	6	4	24	8	36	68	148	
	% Row	1.4%	4.1%	2.7%	16.2%	5.4%	24.3%	45.9%	100.0%	
	% Col	1.0%	1.7%	1.0%	3.0%	1.7%	4.0%	9.6%	3.9%	
Independent	Count	0	0	0	1	0	2	2	5	
	% Row	0.0%	0.0%	0.0%	20.0%	0.0%	40.0%	40.0%	100.0%	
	% Col	0.0%	0.0%	0.0%	0.1%	0.0%	0.2%	0.3%	0.1%	
Relative Care	Count	82	142	139	385	187	251	62	1248	
	% Row	6.6%	11.4%	11.1%	30.8%	15.0%	20.1%	5.0%	100.0%	
	% Col	39.6%	40.9%	34.2%	47.9%	40.6%	27.9%	8.8%	32.6%	
Medical	Count	3	4	3	1	3	3	1	18	
	% Row	16.7%	22.2%	16.7%	5.6%	16.7%	16.7%	5.6%	100.0%	
	% Col	1.4%	1.2%	0.7%	0.1%	0.7%	0.3%	0.1%	0.5%	
Mixed (none)	Count	1	0	5	4	7	41	90	148	
	% Row	0.7%	0.0%	3.4%	2.7%	4.7%	27.7%	60.8%	100.0%	
	% Col	0.5%	0.0%	1.2%	0.5%	1.5%	4.6%	12.8%	3.9%	
Safe Home	Count	0	0	1	2	0	4	1	8	
	% Row	0.0%	0.0%	12.5%	25.0%	0.0%	50.0%	12.5%	100.0%	
	% Col	0.0%	0.0%	0.2%	0.2%	0.0%	0.4%	0.1%	0.2%	
Shelter	Count	2	9	10	12	2	2	0	37	
	% Row	5.4%	24.3%	27.0%	32.4%	5.4%	5.4%	0.0%	100.0%	
	% Col	1.0%	2.6%	2.5%	1.5%	0.4%	0.2%	0.0%	1.0%	
Special Study	Count	15	18	48	81	39	72	23	296	
	% Row	5.1%	6.1%	16.2%	27.4%	13.2%	24.3%	7.8%	100.0%	
	% Col	7.2%	5.2%	11.8%	10.1%	8.5%	8.0%	3.3%	7.7%	
Unknown	Count	2	12	14	11	4	3	1	47	
	% Row	4.3%	25.5%	29.8%	23.4%	8.5%	6.4%	2.1%	100.0%	
	% Col	1.0%	3.5%	3.4%	1.4%	0.9%	0.3%	0.1%	1.2%	
Total	Count	207	347	407	803	461	901	705	3831	
	% Row	5.4%	9.1%	10.6%	21.0%	12.0%	23.5%	18.4%	100.0%	
	% Col	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	

Congregate Care Settings

Placement Issues	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children 12 years old and under, in Congregate Care	22	22	27	21	22	12
• Number of children 12 years old and under, in DCF Facilities	1	0	0	1	1	1
• Number of children 12 years old and under, in Group Homes	8	8	11	9	8	3
• Number of children 12 years old and under, in Residential	7	7	11	8	10	7
• Number of children 12 years old and under, in Safe Home or SFIT	6	4	4	1	1	0
• Number of children 12 years old and under in Shelter	0	3	1	2	2	1
Total number of children ages 13-17 in Congregate Placements	313	294	288	290	286	260

Use of SAFE Homes, Shelters and PDCs

The analysis below provides longitudinal data for children (which may include youth ages 18 and older) who entered care in Safe Homes, Permanency Diagnostic Centers and Shelters.

	Period of Entry to Care												
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Total Entries	3203	3091	3407	2854	2829	2628	2694	2299	1857	2005	1933	1984	586
SAFE Homes/SFIT	453	394	395	382	335	471	331	146	68	56	30	9	2
	14%	13%	12%	13%	12%	18%	12%	6%	4%	3%	2%	0%	0%
Shelters	147	178	114	136	144	186	175	194	169	175	91	58	14
	5%	6%	3%	5%	5%	7%	6%	8%	9%	9%	5%	3%	2%
Total	600	572	509	518	479	657	506	340	237	231	121	67	16
	19%	19%	15%	18%	17%	25%	19%	15%	13%	12%	6%	3%	3%

	Period of Entry to Care												
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Total Initial Plcmnts	600	572	509	518	479	657	506	340	237	231	121	67	16
<= 30 days	249	241	186	162	150	229	135	103	60	63	37	28	6
	41.5%	42.1%	36.5%	31.3%	31.3%	34.9%	26.7%	30.3%	25.3%	27.3%	30.6%	41.8%	37.5%
31 - 60	102	114	73	73	102	110	106	57	44	41	27	9	7
	17.0%	19.9%	14.3%	14.1%	21.3%	16.7%	20.9%	16.8%	18.6%	17.7%	22.3%	13.4%	43.8%
61 - 91	81	76	87	79	85	157	91	54	39	38	18	8	3
	13.5%	13.3%	17.1%	15.3%	17.7%	23.9%	18.0%	15.9%	16.5%	16.5%	14.9%	11.9%	18.8%
92 - 183	124	100	118	131	110	124	136	84	56	57	24	18	0
	20.7%	17.5%	23.2%	25.3%	23.0%	18.9%	26.9%	24.7%	23.6%	24.7%	19.8%	26.9%	0.0%
184+	44	41	45	73	32	37	38	42	38	32	15	4	0
	7.3%	7.2%	8.8%	14.1%	6.7%	5.6%	7.5%	12.4%	16.0%	13.9%	12.4%	6.0%	0.0%

The following is the point-in-time data taken from the monthly LINK data, and may include those youth ages 18 and older.

Placement Issues	Nov 2014	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children in SAFE Home/SFIT	16	13	9	7	4	5	7
• Number of children in SAFE Home/SFIT, > 60 days	16	12	7	4	4	5	1
• Number of children in SAFE Home/SFIT, >= 6 months	8	9	4	1	2	2	0
Total number of children in STAR/Shelter Placement	43	30	34	35	39	34	29
• Number of children in STAR/Shelter Placement, > 60 days	30	16	15	17	22	18	19
• Number of children in STAR/Shelter Placement, >= 6 months	12	8	3	5	6	3	5
Total number of children in MH Shelter	1	4	3	2	2	1	0
• Total number of children in MH Shelter, > 60 days	0	2	3	0	1	1	0
• Total number of children in MH Shelter, >= 6 months	0	0	1	0	0	0	0

Time in Residential Care

Placement Issues	Nov 2014	Feb 2015	May 2015	Aug 2015	Nov 2015	Feb 2016	May 2016
Total number of children in Residential care	103	114	106	107	103	105	99
• Number of children in Residential care, >= 12 months in Residential placement	35	26	26	21	21	25	32
• Number of children in Residential care, >= 60 months in Residential placement	1	0	0	0	1	2	2

Appendix 1
Commissioner's Highlights from
The Department of Children & Families
Exit Plan Status Report 2016

Commissioner Statement
Juan F. Court Monitor Report

By now the progress in Connecticut's child welfare system -- and the systemic reforms that produced it -- are well documented. Hundreds of children are no longer in care, the share of those in care living with kin has doubled, and the number living in group or institutional settings has shrunk by nearly two-thirds. A new practice model that articulates a strengths-based, family-centered and solution-focused approach to our work has changed our perspective from one that sees families as the problem to one that sees families as the solution. Announced visits, treating kinship homes as the first, best alternative when children cannot remain with parents, and exhausting all other options before we place a child in a group setting also punctuate the transformation of our work.

While all these improvements have taken hold, we now have entered a time when state government resources have been severely strained, and no agency is immune from this reality. Our Department now must continue to serve children and families in these very challenging times -- with less staff and less funding. This makes a difficult responsibility even more complex as our staff confronts heightened demands with less time and resources. These realities have tested our capacity to continue our progress in many areas, but we have responded to these challenges by becoming creative and innovative.

For example, this summer we are launching an expansion of in-home evidence-based services for families struggling with substance use and whose children are involved in the child welfare system through the Family Stability Project (FSP). The funding -- known as "Pay For Success" financing -- comes from private and philanthropic sources that get their investment returned if the FSP achieves designated outcomes by reducing the number of children entering foster care and reducing repeat maltreatment in these families. This Project provides the Department with an opportunity to expand the availability of much-needed services and pay only if the program's outcomes successfully meet the desired benchmarks.

Another example of innovation and improved access to services is the "Virtual Academy" -- an online education program designed to help foster youth gain high school credits toward graduation. This program uses online coursework together with individualized tutoring and assistance from seven teachers re-assigned from state-run facilities to help students progress toward a diploma. Because the teachers voluntarily re-deployed, there is no added cost to the state. Nearly 100 students in foster care are benefitting from the program and earning credits. This in turn has the potential to open additional opportunities to these youth, including participation in our highly-successful and progressive college and post-secondary education program.

While we have made important strides, we will not rest on our laurels. These changes have not come easily and given the fiscal forecast in the coming years, we must remain committed to thinking outside the box if we are to continue serving in the best interests of children and families. I am confident, however, that we have the will and the innovation to continue our progress.