# **Commission on Human Rights and Opportunities**



At a Glance

TANYA A. HUGHES, Executive Director Established – 1943 Statutory authority – CGS Chapter 814c Central office – 25 Sigourney Street, Hartford, CT 06106 Website – www.ct.gov/chro Toll free telephone - (800) 477-5737 TDD – (860) 541-3459

2013-14 Budget - \$6,496,210 Number of positions filled - 72 full-time Authorized positions - 83 full-time

*Organizational structure* - Nine-member commission establishes policy; Executive Director manages administrative office and four regional offices; and, independent Human Rights Referees hear contested cases.

## Mission

The mission of the Connecticut Commission on Human Rights and Opportunities is to eliminate discrimination through civil and human rights law enforcement and to establish equal opportunity and justice for all within the state through advocacy and education.

# **Statutory Responsibility**

The statutory responsibility of the Commission is to:

- Eliminate illegal discrimination in employment, housing, public accommodations and credit transactions through education and law enforcement;
- Monitor contract compliance laws and small contractor set-aside provisions by state agencies, contractors and subcontractors;

• Review and monitor state agency affirmative action plans and compliance with laws requiring affirmative action and equal opportunity in state government; and

• Establish equal opportunity and justice for all persons in Connecticut through education and outreach.

#### **Public Service**

The Commission is headed by a policy-making body consisting of nine members. Five of the members are appointed by the Governor and four are appointed by the leadership of the General Assembly. All new appointments require legislative approval through the advice and consent of the House and Senate. The Commission conducts regular monthly meetings on the second Wednesday of the month. The Commission conducts special meetings as it deems necessary.

Nine Commissioners were serving at the end of the fiscal year. They are Chairperson Gary Hugh Collins, Secretary Edward Mambruno, and Commissioners Andrew Norton, Cheryl Lynn Clarke, Tracey Gove, Lyn May, Dawn Niles, Edith Pestana, Suzanne Tirado and Patricia Wrice.

An Executive Director appointed by the Commission oversees the operations of the agency. The agency has four regional offices located in Hartford, Waterbury, Bridgeport and Norwich, which receive and investigate cases from individuals who believe that they have suffered illegal discrimination. The agency's administrative office, also located in Hartford, houses the Office of the Executive Director, the Legal Division, the Affirmative Action and Contract Compliance Unit, the Fair Housing Unit and the Office of Public Hearings.

#### **Improvements/Achievements 2013-14**

The Commission on Human Rights and Opportunities (CHRO) initiated legislation in 2011 which made the most sweeping changes in case processing in decades. Public Act 11-237 changed how the Commission on Human Rights and Opportunities (CHRO) handles discrimination complaints and produced significant increases in the amount of cases processed while reducing the amount of time it took to do so. Case processing lengths went from greater than 2 years for completion of a full investigation to under one year to be processed through intake, merit assessment review, mandatory mediation, early legal intervention and or full investigation.

As a result of PA 11-237, CHRO staff was able to increase overall productivity reflecting a total of 2069 cases filed, 2321 cases closed and we secured over \$12,517,241 in monetary settlements. Many of these cases were handled by staff from the legal division, managers and investigators who eagerly utilized the tools made available through PA 11-237.

This year, we are submitting legislation which seeks to further improve case processing efficiencies started under PA-11-237. If passed, this bill will correct some of the technical deficiencies in our current statutes and make CHRO more understandable by clarifying certain terms, removing antiquated language and dates. Additionally, it would serve to remove the oath requirement from housing cases, This requirement is viewed as an impediment to complainants who cannot afford or do not have access to a notary.

We are seeking to further streamline operations by updating our online, fillable intake form to reduce the time that staff spends on the intake of complaints.

Affirmative Action Training: In conjunction with the Permanent Commission on the Status of Women, the Commission is mandated by CONN. GEN. STAT. § 46a-68(b)(3) to provide training concerning state and federal discrimination laws and techniques for conducting investigations of discrimination complaints to persons designated by state agencies, departments, boards or commissions as Equal Employment Opportunity professionals. AA Unit staff has provided 284 technical training opportunities to Affirmative Action Officers during the fiscal year.

**Contract Compliance**: Throughout the 2013-2014 year the Commission on Human Rights and Opportunities' (CHRO) Contract Compliance Unit (CCU) continued its efforts to advise state agencies and their funding recipients, of their anti-discrimination and supplier diversity statutory and regulatory obligations under C.G.S. §4a-60 and relevant CHRO Contract Compliance Regulations. Agency staff also reviewed 289 Affirmative Action Plans (AAPs) submitted by contractors who were awarded state-funded public works and other state financed contracts whose monetary values ranged from \$50,000 to \$174,000,000; 6443 technical assistance sessions and/or conversations were conducted to assist contractors in complying with anti-discrimination and supplier diversity statutes and regulations. The unit has provided 6 trainings over the past fiscal year.

**Affirmative Action Regulations:** In 2013 changes to the legislation were submitted to better define the purpose of Affirmative Action Plans, address how to achieve the goals of the plans and define the scope and details required in each plan. A working group, established under PA 11-51 required CHRO to draft proposed revisions to its Affirmative Action regulations. The act requires CHRO's executive director to chair a working group to:

- 1. Review the commission's existing regulations governing affirmative action plans and
- 2. Recommend changes.
  - a. Elimination of unnecessary or redundant regulations,
  - b. Improvements in the use of state-wide data (including CORE-CT, Labor Department and census data) for efficient information collection concerning affirmative action plans,
  - c. Whether the regulations are constitutional and comply with state and federal law, and
  - d. Streamlining the regulations' content and structure.

A sub-committee was formed consisted of MaryAnn Palmarozza (OPM), Debi Freund (DCF), Natalie Shipman (DMV), Alicia Nunez (DAS), Charlie Krich (CHRO) and Jim O'Neill (CHRO). Generally accepted provisions of the proposed regulations included:

- Changing it to an electronic format to ensure consistency;
- Eliminate the failure of a plan because of mathematical errors;
- Make reviews easier and more efficient by having all data readily available on one page; and
- Provide a period of review and opportunity to amend and/or correct any known deficiencies prior to issuance of a disapproval.

The committee began meeting on November 16, 2011 and on December 27, 2011 the Commission published notice of its intent to amend the regulations. The drafting committee met dozens of times before a set of regulations was finalized and distributed. The revised AA Regulations were submitted to the Regulation Review Committee for potential final action but have not yet been ratified.

**Complaints Against Agency Heads and Affirmative Action Officers**: Pursuant to CONN. GEN. STAT. § 46a-68(b)(4)(B), the Commission receives any complaint alleging that the executive head of a state agency or department, any member of a state board or commission or any affirmative action officer engaged in discriminatory conduct to determine whether it should be forwarded to the Department of Administrative Services (DAS) for investigation. If the complaint is made against DAS, the Commission conducts the investigation. The purpose of this legislation was to eliminate the conflict that may arise if an affirmative action officer has to investigate allegations against his/her supervisor, as EEOs report directly to the appointing authority. In this fiscal year, the Commission has received and forwarded three complaints to DAS.

**Diversity and Education:** By statute, the Commission serves as the secretariat for the Martin Luther King, Jr. Holiday Commission (MLK). The MLK Commission, with assistance from the CHRO and the African American Affairs Commission, sponsored the 28<sup>th</sup> annual awards event commemorating the Dr. Martin Luther King, Jr. on January 20, 2014 in the Hall of Flags at the State Capital, Hartford, CT. The award recipients were: Leadership Honoree, Tanya Hughes; Community Honoree, Victoria Christgau; and Humanitarian Honoree, Maggie Gardner. In attendance was Governor Dannel Malloy, members of Congress and Constitutional officers.

**Field Operations Reports:** The CHRO maintains work-sharing agreements with the US Department of Housing and the Equal Employment Opportunity Commission. During prior years, our contract awards were reduced due to our inability to meet our contracts. This was largely due to inadequate staffing levels. In FY 2011-2012 our contract with the EEOC was reduced from 1,607 (FY 2010-2011) to 1,307. PA 11-237 allowed us to increase our contract to 1,520 in FY 2012-2013. With accelerated efforts, the FY2013-2014 contract was raised to 1605 case closures. As a result of this modification, our contract award amount was increased by \$1,046,500 from \$990,050 to \$2,036,550.

HOUSING - We received a Performance Assessment award of \$290,559, and increase of \$79,405 from the 2013 award of \$211,154.00. CHRO still needs to to remove notarization/oath requirement from the statue for housing complaints (viewed as an impediment to complainants and respondents). Currently, there are 102 cases pending.

**Public Hearings:** The Office of Public Hearings (OPH) conducts contested case proceedings in discrimination cases brought by the Commission and in whistleblower retaliation cases filed with the Chief Human Rights Referee pursuant to CONN. GEN. STAT. § 4-61dd. The average number of cases pending litigation at OPH in the FY 2013-2014 was 129. To further assist with agency efficiency, the legal department provided substantial assistance with mandatory mediations and investigative activities on the regional level.

**Training, Education and Outreach:** Human Rights Attorneys serve on the Safe Schools Climate Committee and the Safe Schools Climate Resource Network of professionals working to eliminate discriminatory bullying in schools. The CHRO issued guidance on the legislative enactment regarding gender identity and gender expression. The CHRO partnered with the Department of Labor, Health and the CT Breastfeeding Coalition to publish joint guidance regarding breastfeeding at work and in places of public accommodation. The CHRO hosted multiple conferences on Public act 11-237, which was a major overhaul of the CHRO's procedural process.

CHRO has increased its outreach activities to specifically target and to reach out to the Latino Community. For example, the Agency has held multiple informational sessions throughout the year and all of the sessions have been open to the general public and broadly advertised. The CRO has also co-sponsored events such as the CT Kids Court Competition and Kids Speak with the Latino and Puerto Rican Affairs Commission. At all of the events literature is distributed to the attendees about the anti-discrimination laws. Further, two of the Commission's Attorneys Cheryl A. Sharp and Michelle Dumas Keuler served on the panel during a forum on civil rights and housing discrimination and provided information regarding housing discrimination. Both were featured in the Latino News, and provided resources to the Latino community for addressing issues of discrimination. The CHRO also has published its housing discriminate brochure in Spanish and utilizes the language line when dealing with non-English speaking potential Complainants during the CHRO's processing of a complaint. The CHRO also publishes a quarterly newsletter which is sent to several contacts at organizations that serve the Latino community.

CHRO was awarded a \$227,000 FHIP/FHAP Partnership grant to conduct Housing Testing throughout the state. CHRO's executive Director Tanya Hughes and CHRO Attorney Cheryl Sharp partner with the Latino and Puerto Rican Affairs Commission to have the agency's brochures translated into Spanish. Further, we are creating a mini-series in both English and Spanish (if funding is granted) that we intend to have aired in multiple media outlets.

The CHRO sponsored an EEOC and CHRO meet and greet. The CHRO developed several power-point presentations for training purposes and public use. The CHRO is working closely with the EEOC to integrate all components of EEOC's strategic enforcement plan (SEP) whose purpose and focus is to coordinate enforcement activity that will result in sustainable impact in reducing and deterring discriminatory practices in the workplace. The national priorities of the SEP are:

- 1. Eliminating barriers in recruitment in hiring.
- 2. Protecting immigrant, migrant and other vulnerable workers.
- 3. Addressing emerging and developing issues.
- 4. Enforcing equal pay laws.
- 5. Preserving access to the legal system.
- 6. Preventing harassment through systemic enforcement and targeted outreach.

In furtherance of the above initiative, CHRO participated in the

The CHRO and GPP received a Federal FHAP Partnership grant to provide training to Housing Authorities and Schools to address discriminatory bullying in schools and has endeavored to

influence hundreds of CT residents with their outreach efforts. CHRO Attorneys collaborated with the Department of Justice to provide outreach to the Muslim, Seikh and Arab communities regarding their rights to be free from discriminatory bullying in schools and places of accommodation. CHRO Attorneys participated in a National Blacks in Government Conference and served as panelists.

CHRO Attorneys provided training on transgender law at UCONN during the Transgender Lives Conference. CHRO Attorneys participated in a Call to Action: regarding the discriminatory effect that lead paint exposure has on children of color. CHRO Attorneys served as panelists for the Civil Rights Conference sponsored by the Department of Justice and served as speakers for the training conference designed to address law enforcement personnel regarding disability rights law. The CHRO sponsored a Housing Fair for all of the municipal housing authorities throughout the state.

The CHRO in collaboration with the African American Affairs Commission, the Latino and Puerto Rican Affairs Commission, the Asian and Pacific American Affairs Commission, the State Department of Education and the Office of Protection and Advocacy co-sponsored a Kids Court Competition to raise the social consciousness of youth regarding civil and human rights issues. The CHRO sponsors an internship program for high school, college and law school students which allows students to learn first-hand about the civil rights movement and the CHRO's role in that movement. Human Rights Attorneys provided diversity training to over 2,000 educators across the state.

The CHRO, in collaboration with the Governor's Prevention Partnership held housing seminars across the state to educate the public about housing laws, bullying and discrimination. The Executive Director and Human Rights Attorneys serve on the Racial Profiling Advisory Board and are developing a program to address racial profiling in the state. We were instrumental in getting CHRO included as a link on the website and on the face of the ticket so that injured parties can immediately know where to file a complaint if they believe they have been victims.

Human Rights Attorneys, as a part of the Agencies Business Training Institute and Speaker's Bureau provided training to over twenty business and non-profit organizations across the state on the Commission's complaint process, sexual harassment in the workplace, and employment, credit, housing and public accommodations discrimination. The CHRO co-sponsored multiple informational sessions and community events throughout the state to raise the consciousness of the general public regarding civil and human rights laws. Commission Attorneys Sharp and Simonetti participated in a subsidized housing workshop for fair housing and health advocates about the Commission's process, fair housing, subsidized housing and discrimination.

# **Information Reported as Required by State Statute**

## **Affirmative Action**

The Commission remains firmly committed to the principles of affirmative action and equal employment opportunity. The commission's affirmative action plan for the review period ending October 31, 2012 was approved. The Commission is now on a biennial filing schedule and will file the next plan on January 30, 2015. Over the last fiscal year, the Commission reviewed fiftynine (59) affirmative action plans submitted by state agencies. Of these, fifty (50) were

approved, six (6) were disapproved, and three (3) were conditionally approved. Additionally, the Commission staff conducted technical assistance reviews with agencies seeking assistance to address weak or deficient areas of their affirmative action plans. Due to reorganization of many agencies, technical assistance was provided to agencies regarding how to file plans for the newly created agencies that resulted from this reorganization.

#### **Freedom of Information**

The Commission takes seriously its responsibility to comply with the provisions of the state's Freedom of Information Act. The Legal Division is responsible for responding to all freedom of information (FOI) requests, except those received directly in a regional office requesting a file being processed by that office. The Legal Division also responds to subpoenas for documents and testimony related to agency procedures and documents. Support staff handles most requests; complex requests and hearings are referred to the Managing Director. The Legal Division in fiscal year 2011-2012 received a total of 118 FOI requests. The Managing Director ensures that all regular and special Commission meetings are properly noticed and filed with the Office of the Secretary of State in accordance with the requirements of the Freedom of Information Act.

Additional Information: Individuals seeking more information about the Commission, the laws it enforces or its services and programs are encouraged to contact the Commission's website (<u>http://www.ct.gov/chro</u>) or call our toll-free number (800) 477-5737.