

# Questions on Data Sharing

- CJIS-CT Governing Board per 54-142q (g)(3)
  - *...recommend any legislation necessary for implementation, operation and maintenance of criminal justice information systems*
  
- Request GB input on next steps regarding the AG's Office indicating the current legislation indicates the use of offender data in CISS only:
  1. Should GB staff initiate legislative proposal to update the statute to clearly articulate CISS data sources include both offender and non-offender data?
  
  2. Should older data (updates stopped July 2021) from DESPP Weapons registry be removed from CISS?
  
- What about DMV data currently shared? This is not offender data. What does the GB recommend as next action steps?



# Statewide JD

## Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
Middlesex	GA9-Middletown			6	Clinton	Cromwell	Portland	East Hampton	Middletown	Old Saybrook	Troop F (P)				*Middletown PD has requested addition time to
PD Ticket Request		Complete	Complete	5	9/30/2019	7/28/2022	2/17/2023	2/22/2023	12/15/2021		Complete				refine internal and RMS process
L1 EAN Activation		Complete	Complete	5	9/30/2019	7/28/2022	2/17/2023	2/22/2023	12/15/2021		5/24/2023				*East Hampton to be connected when Glastonbury goes
L2 Connectivity Validation		Complete	Complete	5	Pilot PD	2/14/2023	3/9/2023	3/7/2023	Pilot PD						live (Host)
End to End Training		Complete	Complete	5	8/27/2022	1/20/2023	4/13/2023	5/20/2024	2/10/2023		Under Review				East Hampton - Needs Regional configuration with Nexgen (Glastonbury)
New Version of Nexgen		Pending	Pending	4	8/5/2024	8/21/2024	8/6/2024		8/2/2024						
Friday File Activation		Pending	Pending												
SCO/DCJ Certification			Pending		8/27/2022	9/15/2023	10/20/2023	6/20/2024	5/7/2023		Under Review				Old Saybrook does not wish to participate
Waterbury	GA4-Waterbury	Nexgen	CJIS	5	Naugatuck	Middlebury	Watertown	Wolcott	Waterbury	Southbury	Troop A				*Waterbury PD working on internal routing issue pending workflow activation
PD Ticket Request		Complete	Complete	5	5/9/2023	6/6/2023	6/13/2023	6/20/2023	6/13/2023		Complete				
L1 EAN Activation		Complete	Complete	5	8/3/2023	7/25/2023	7/27/2023	7/25/2023	8/11/2023		5/24/2023				
L2 Connectivity Validation		Complete	Complete	5	8/3/2023	7/25/2023	7/27/2023	7/25/2023	3/20/2024						
End to End Training		Complete	Complete	4	8/9/2023	7/25/2023	8/21/2023	8/16/2023			Under Review				
New Version of Nexgen		Pending	Pending	4	8/12/2024	8/7/2024	8/29/2024	8/27/2024							
Parallel Processing		Pending	Pending	3	8/12/2024	8/8/2024	9/11/2024	8/28/2024							
Friday File Activation		Pending	Pending												
SCO/DCJ Certification			Pending	1	9/3/2024						Under Review				
New Haven	GA-23 New Haven	Nexgen	CJIS	6	Branford	Guilford	East Haven	North Branford	Woodbridge	Madison	Troop I				*Request for Credentials submitted 7/18/23
PD Ticket Request		Complete	Complete	6	8/11/2023	8/11/2023	7/28/2023	8/11/2023	8/3/2023	8/11/2023	Complete				*PD Kickoff completed on 7/21/23
L1 EAN Activation		Complete	Complete	5	9/14/2023	8/25/2023	8/25/2023	8/25/2023	8/25/2023	10/13/2023	5/24/2023				Madison PD needs all new infrastructure to support deployment
L2 Connectivity Validation		Complete	Complete	5	10/20/2023	10/27/2023	10/6/2023	9/29/2023	10/13/2023						Woodbridge lost IT resource to N. Branford - Hiring new resource 9/15
DCJ Training		Complete	Complete		8/23/2024	8/23/2024	8/23/2024	8/23/2024	8/23/2024						
SCO Training		Complete	Complete		8/23/2024	8/23/2024	8/23/2024	8/23/2024	8/23/2024						
PD Training		In Progress	In Progress		8/26/2024	8/27/2024	9/4/2024	9/17/2024	TBD		Under Review				
New Version of Nexgen		In Progress	In Progress		8/27/2024	8/28/2024	9/9/2024	9/18/2024	TBD						
Parallel Processing		In Progress	In Progress		8/28/2024	8/29/2024	9/10/2024	9/19/2024	TBD						
Friday File Activation		Pending	Pending												
SCO/DCJ Certification		Pending	Pending								Under Review				
New Haven	GA-7 Meriden	Nexgen	CJIS	5	North Haven	Wallingford	Cheshire	Meriden	Hamden						Request for Credentials submitted 7/18/23
PD Ticket Request		Complete	Complete	5	8/11/2023	7/28/2023	7/28/2023	8/11/2023	10/11/2023						PD Kickoff completed on 7/21/23
L1 EAN Activation		Complete	Complete	5	8/11/2023	8/25/2023	8/11/2023	8/25/2023	10/13/2023						Hamden PD completed infrastructure updates on 9/9/24. PD needs to respond to train request
L2 Connectivity Validation		Complete	Complete	5	9/22/2023	9/29/2023	9/22/2023	10/6/2023	10/13/2023						
DCJ Training		Complete	Complete		8/23/2024	8/23/2024	8/23/2024	8/23/2024	8/23/2024						
SCO Training		Complete	Complete		8/23/2024	8/23/2024	8/23/2024	8/23/2024	8/23/2024						
PD Training		In Progress	In Progress		9/3/2024	9/3/2024	9/11/2024	9/16/2024	TBD						
New Version of Nexgen		In Progress	In Progress		9/4/2024	9/4/2024	9/12/2024	9/17/2024	TBD						
Parallel Processing		In Progress	In Progress		9/4/2024	9/4/2024	9/13/2024	9/18/2024	TBD						
Friday File Activation		Pending	Pending												
SCO/DCJ Certification		Pending	Pending												

Green = On track  
Yellow = Watch  
Red = Barriers

Yellow and Red need a date for closure. If not started just put planned date in cell. Completion date column is date for entire GA. Mitigation is general statement about how to cure Yellows and Reds. We review as management team 2x a week.





# Statewide JD

## Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
Hartford	GA-12 Manchester	Nexgen	CJIS	6	Manchester	East Hartford	South Windsor	Suffield	Granby	Glastonbury	Troop H				Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	6	9/19/2023	11/14/2023	12/17/2024	12/18/2023	12/4/2023	12/5/2023	Complete				Preliminary Meeting complete by 11/8/23
L1 EAN Activation		Complete	Complete	5	10/6/2023	12/8/2023	12/18/2024	12/18/2023	12/8/2023	12/12/2023	5/24/2023				Follow-up meeting scheduled for 12/7/23
L2 Connectivity Validation		In Progress	In Progress	5	12/15/2023	12/5/2023	12/29/2024	2/22/2024	7/15/2024	3/22/2024					Glastonbury - Needs Regional configuration with Nexgen Dev Team
DCJ Training															
SCO Training															
PD Training					11/26/2024	11/27/2024	12/2/2024	12/5/2024	12/9/2024	12/10/2024					
New Version of Nexgen					12/2/2024	12/3/2024	12/4/2024	12/9/2024	12/10/2024	12/11/2024					
Parallel Processing					12/3/2024	12/4/2024	12/5/2024	12/10/2024	12/11/2024	12/12/2024					
Friday File Activation															
SCO/DCJ Certification			Pending								Under Review				
Danbury	GA-3 Danbury	Nexgen	CJIS	4	Newtown	Bethel	Danbury	Ridgefield							Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	4	1/10/2024	12/12/2023	1/10/2024	12/11/2023							Kickoff Meeting to be complete by 12/7/23
L1 EAN Activation		Complete	Complete	4	1/19/2024	12/13/2023	1/19/2024	1/19/2024							
L2 Connectivity Validation		Complete	Complete	4	2/6/2024	1/27/2024	3/28/2024	3/26/2024							
DCJ Training															
SCO Training															
PD Training					12/15/2024	12/16/2024	12/17/2024	12/20/2024							
New Version of Nexgen					12/16/2024	12/17/2024	12/18/2024	12/23/2024							
Parallel Processing					12/17/2024	12/18/2024	12/19/2024	12/24/2024							
Friday File Activation															
SCO/DCJ Certification			Pending												
Stamford	GA-1 Stamford	Nexgen	CJIS	6	New Canaan	Darien	Norwalk	Wilton	Greenwich	Westport					Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	6	12/29/2023	1/19/2024	12/11/2023	12/29/2023	12/29/2023	12/29/2023					Kickoff Meeting to be complete by 12/11/23
L1 EAN Activation		Complete	Complete	6	1/19/2024	2/23/2024	4/1/2024	1/19/2024	4/16/2024	1/19/2024					
L2 Connectivity Validation		Complete	Complete	6	2/6/2024	2/23/2024	1/26/2024	3/2/2024	2/28/2024	3/23/2024					
DCJ Training															
SCO Training															
PD Training					1/2/2025	1/6/2025	1/7/2025	1/9/2025	1/13/2025	1/14/2025					
New Version of Nexgen					1/6/2025	1/7/2025	1/8/2025	1/13/2025	1/14/2025	1/15/2025					
Parallel Processing					1/7/2025	1/8/2025	1/9/2025	1/14/2025	1/15/2025	1/16/2025					
Friday File Activation															
SCO/DCJ Certification			Pending												
Fairfield	GA-2 Bridgeport	Nexgen	CJIS	5	Trumbull	Fairfield	Bridgeport	Monroe	Stratford	Troop G					Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	5	11/8/2021	12/10/2023	11/2/2023	1/1/2023	11/29/2023	Complete					Operations on 7/27/23
L1 EAN Activation		Complete	Complete	5	11/8/2021	12/19/2024	11/2/2023	1/19/2024	2/9/2024	5/24/2023					
L2 Connectivity Validation		Complete	Complete	5	12/5/2024	2/9/2024	3/29/2024	2/16/2024	5/10/2024						
DCJ Training															
SCO Training															
PD Training					1/17/2025	1/21/2025	1/22/2025	1/23/2025	1/28/2025						
New Version of Nexgen					1/21/2025	1/22/2025	1/23/2025	1/28/2025	1/29/2025						
Parallel Processing					1/22/2025	1/23/2025	1/28/2025	1/29/2025	1/30/2025						
Friday File Activation															
SCO/DCJ Certification			Pending								Under Review				



# Statewide JD

## Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
Fairfield	GA-2 Bridgeport	Nexgen	CJIS	5	Trumbull	Fairfield	Bridgeport	Monroe	Stratford	Troop G					Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	5	11/8/2021	12/10/2023	11/2/2023	1/1/2023	11/29/2023	Complete					Operations on 7/27/23
L1 EAN Activation		Complete	Complete	5	11/8/2021	12/19/2024	11/2/2023	1/19/2024	2/9/2024	5/24/2023					
L2 Connectivity Validation		Complete	Complete	5	12/5/2024	2/9/2024	3/29/2024	2/16/2024	5/10/2024						
DCJ Training															
SCO Training															
PD Training					1/17/2025	1/21/2025	1/22/2025	1/23/2025	1/28/2025						
New Version of Nexgen					1/21/2025	1/22/2025	1/23/2025	1/28/2025	1/29/2025						
Parallel Processing					1/22/2025	1/23/2025	1/28/2025	1/29/2025	1/30/2025						
Friday File Activation															
SCO/DCJ Certification			Pending							Under Review					
New London	GA-10 New London	Nexgen	CJIS	5	Stonington	East Lyme	Groton Town	Waterford	New London						Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	5	2/2/2024	2/2/2024	3/20/2024	3/28/2024	3/20/2024						Kickoff Meeting to be complete by 2/2/24
L1 EAN Activation		In Progress	In Progress	4	2/2/2024	2/2/2024	3/20/2024	5/15/2024	3/23/2024						New London PD needs full onboarding
L2 Connectivity Validation		In Progress	In Progress	4	3/1/2024	3/8/2024	4/14/2024	4/14/2024	4/14/2024						
DCJ Training															
SCO Training															
PD Training					1/30/2025	2/3/2025	2/4/2025	2/6/2025	2/10/2025						
New Version of Nexgen					2/3/2025	2/4/2025	2/5/2025	2/10/2025	2/11/2025						
Parallel Processing					2/4/2025	2/5/2025	2/6/2025	2/11/2025	2/12/2025						
Friday File Activation															
SCO/DCJ Certification			Pending												
New London	GA-21 Norwich	Nexgen	CJIS	1	Montville	Troop E									Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	1	2/2/2024	Complete									Kickoff Meeting to be complete by 2/2/24
L1 EAN Activation		Complete	Complete	1	2/20/2024	5/24/2023									
L2 Connectivity Validation		Complete	Complete	1	3/8/2024										
DCJ Training															
SCO Training															
PD Training					2/11/2025										
New Version of Nexgen					2/12/2025										
Parallel Processing					2/13/2025										
Friday File Activation															
SCO/DCJ Certification			Pending			Under Review									
Windam	GA-11 Windam	Nexgen	CJIS	2	Willimantic	Plainfield	Troop D								Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	2	3/14/2024	3/12/2024	Complete								Kickoff Meeting to be complete by 3/12/24
L1 EAN Activation		Complete	Complete	2	3/19/2024	3/19/2024	5/24/2023								
L2 Connectivity Validation		Complete	Complete	2	4/14/2024	4/14/2024									
DCJ Training															
SCO Training															
PD Training					2/13/2025	2/18/2025									
New Version of Nexgen					2/18/2025	2/19/2025									
Parallel Processing					2/19/2025	2/20/2025									
Friday File Activation															
SCO/DCJ Certification			Pending				Under Review								



# Statewide JD

## Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
Tolland	GA-19 Tolland	Nexgen	CJIS	1	Vernon	Troop K	Troop C (P)								Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	1	3/12/2024	Complete	Complete								Kickoff Meeting to be complete by 3/12/24
L1 EAN Activation		Complete	Complete	1	3/23/2024	5/24/2023	5/24/2023								
L2 Connectivity Validation		Pending	Pending	1	4/14/2024										
DCJ Training															
SCO Training															
PD Training					2/18/2025										
New Version of Nexgen					2/19/2025										
Parallel Processing					2/20/2025										
Friday File Activation															
SCO/DCJ Certification			Pending			Under Review	Under Review								
Litchfield	GA-18 Torrington	Nexgen	CJIS	3	New Milford	Winsted	Torrington	Troop L (P)	Troop B						Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	1	3/14/2024			Complete	Complete						Kickoff Meeting to be complete by 2/2/24
L1 EAN Activation		Complete	Complete	1	3/19/2024			5/24/2023	5/24/2023						Winstead and Torrington PD signed with Nexgen on 9/10/22
L2 Connectivity Validation		Complete	Complete	1	4/14/2024										
DCJ Training															
SCO Training															
PD Training					2/20/2025	2/24/2025	2/25/2025								
New Version of Nexgen					2/24/2025	2/25/2025	2/26/2025								
Parallel Processing					2/25/2025	2/26/2025	2/27/2025								
Friday File Activation															
SCO/DCJ Certification			Pending			Under Review	Under Review	Under Review	Under Review						

## RMS Workflow Equipment Cost Estimator

<u>Equipment</u>	Estimated Unit Cost	Quantity	Extended Cost
<b>Office Equipment Estimates</b>			
Savin MFP or Equivalent (Lease)	\$ 3,600	\$ 51	\$ 183,600
Higher End Desktop PC	\$ 1,500	\$ 184	\$ 276,000
Dual Monitors	\$ 150	\$ 368	\$ 55,200
Estimated Production Server Environment	\$ 126,000		\$ 126,000
Signature Pad	\$ 200	\$ 20	\$ 4,000
			<b>\$ 644,800</b>
<b>WiFi Estimates</b>			
WiFi – this will be specific to location(s)	\$ 3,200	\$ 54	\$ 172,800
Data Cabling	\$ 450	\$ 48	\$ 21,600
			<b>\$ 194,400</b>
<b>Estimated Equipment Total</b>			<b>\$ 839,200</b>
<b>Other Expenses</b>			
Software Licensing Estimate	\$ 10,000		\$ 10,000
Labor for Installation of on site hardware (@80 per Hour)	\$ 102,400		\$ 102,400
Contingency Funds (calculated at 10% of Start-Up Costs)			\$ 95,160
<b>Estimated Startup Costs</b>			<b>\$ 1,046,760</b>
<b>Ongoing Expense Estimates</b>			
Ongoing Software Support	(Nexgen, Server Licensing, Work Stations, etc.)		\$ 75,000
% FTE for Maintenance of Server	.10 FTE	<a href="#">Server Tech (ITA2)</a>	\$ 10,500
% FTE for Maintenance of Field Operations	.35 FTE	<a href="#">ITA1</a>	\$ 31,500

**Notes:**

Wi-Fi Estimates are listed, but Wi-Fi is not a contingent technology needed for production go live.



# CISS Key Project Risks & Mitigation

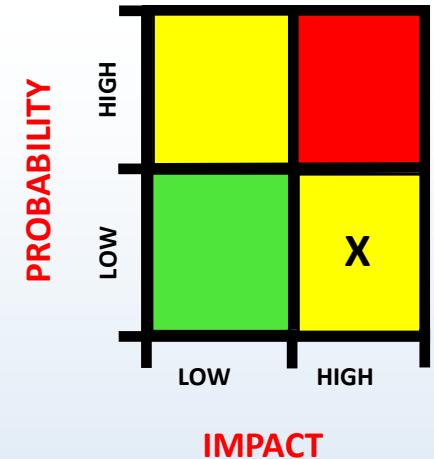
## #1 Risk - RMS Vendor Participation Does Not Provide 90% + of All Arrests to CISS

### Impact:

- CJIS-CT agencies will have to support both the CISS Digital workflows and a significant amount of the legacy paper-based workflows with the current resources. This outcome may not be supportable in the long term.

### Mitigation and Contingency:

- This is a work in progress that is expected to be completed in the first half of 2025.







# CISS Key Project Risks & Mitigation

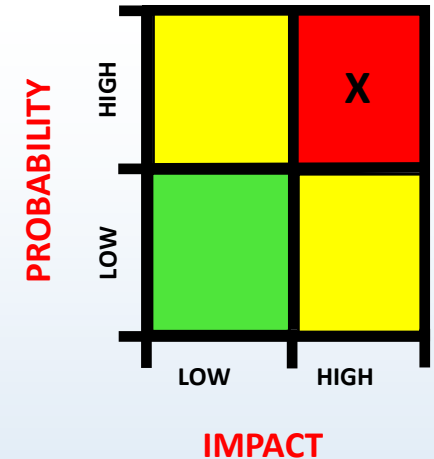
## #2 Risk - System Reliability/Up-time not meeting 99.9% requirement

### Impact:

- If the up-time of the 99.9% is not reached by all CISS Workflow endpoints as we achieve 90% of the arrest information through CISS, we could negatively impact the planned efficiencies envisioned for this project.

### Mitigation and Contingency:

- CJIS-CT has generated the high-level topographical map of desired endpoints to be monitored and will share with all stakeholders once the internal review has been completed. A full project plan to achieve the goals and scope has been developed and is in the process of being executed. This project will be done in partnership with all CJIS stakeholders.





# CISS Key Project Risks & Mitigation

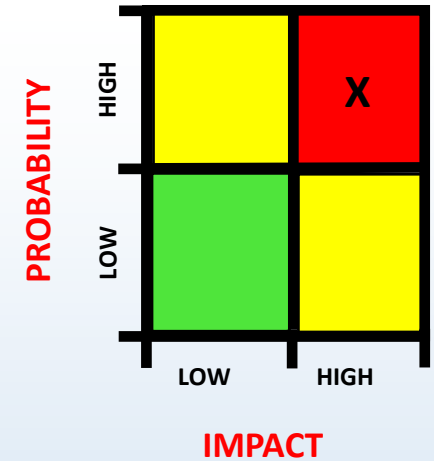
**#3 Risk - Two new RMS vendors are required to onboard into CISS Workflow. Adding these two RMS Systems will bring more than 90% of the arrest information into CISS as planned. The challenge lies with cross-branch/cross agency resource capacity to support the onboarding. This work will require resources and hence agency funding will be required for each new vendor integrated into CISS.**

**Impact:**

- If proper planning is not done for onboarding new RMS vendors, CJIS-CT stakeholders will be negatively impacted financially and delays in reaching the 90% of arrest information in CISS could be realized.

**Mitigation and Contingency:**

- Pro Phoenix is in the process of completing the security audit for them to participate in the integration effort for CISS Workflows. CJIS-CT is also pursuing adding one more vendor that has the Hartford PD as part of the CISS Workflows.





# CISS Key Project Risks & Mitigation

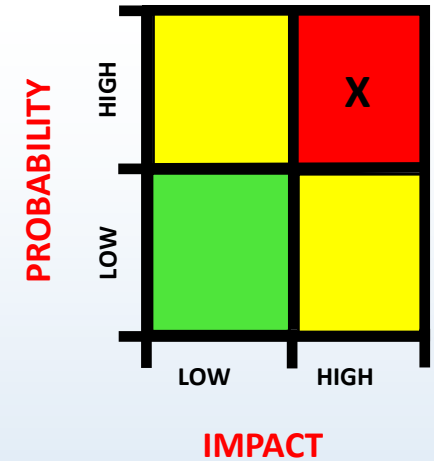
**#4 Risk - Change management not only includes the technical portion of the solution, but also includes the non-technical changes needed for successful implementation. DESPP, DCJ, and other CJIS agencies may need support for additional personnel and/or equipment for successful CISS Workflow deployment.**

**Impact:**

- Without the necessary personnel and/or equipment needed to change from the paper-based arrest workflow to the new electronic workflow, key agencies may not implement successfully. This can impact the overall cost, schedule, and momentum of the CISS Workflow implementation.

**Mitigation and Contingency:**

- DESPP and DCJ are assessing any personnel or equipment needs for the successful implementation of the CISS electronic workflows. Once their assessments are complete, they will seek funding resources in order to procure needed resources for the implementation of CISS Workflow.
- CJIS-CT will work with DESPP and DCJ to help communicate and facilitate obtaining the necessary resources requested by the CJIS agencies.





# CISS Key Project Risks & Mitigation

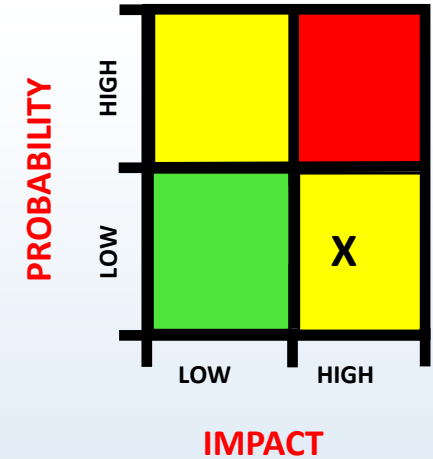
**#5 NEW Risk – DESPP and DAS BITS have been planning for a significant amount of time the computing infrastructure that will be created in the State's Groton Data Center. The build out of the new servers and CJIS-CT compliance certification for the CISS Workflows for this new infrastructure is in process with an undefined delivery date.**

**Impact:**

- CISS Workflow cannot be deployed if the old production servers at the Middletown location are not supportable or the new Groton servers are not ready to on time to meet the schedule for deployment.

**Mitigation and Contingency:**

- Work with DESPP to facilitate ongoing working sessions with DESPP, DAS BITS, NexGen, and Legal to define a path forward that can meet the scheduled dates for deployment.





# CISS Key Project Risks & Mitigation

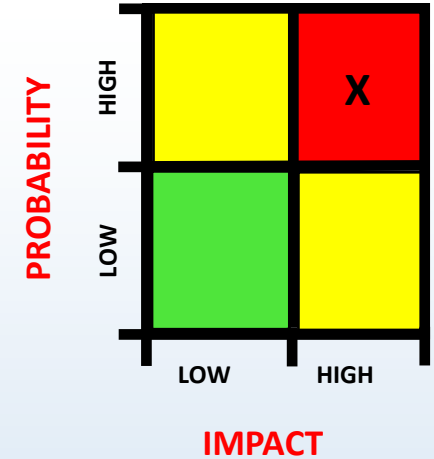
**#6 NEW Risk – CISS Search has been built to use the COLLECT ID for searches of DMV data as per DESPP (DPS) requirements in 2015. Now the DESPP COLLECT Unit does not want CISS to get the COLLECT ID for new users being onboarded.**

**Impact:**

- The DMV data, including pictures, will stop being available to CISS users that do not provide the COLLECT ID. This includes about 700 CSP users currently being onboarded for CISS Search.

**Mitigation and Contingency:**

- CJIS-CT is requesting that the COLLECT Unit provide a formal Change Request in writing as per the CISS SOP for changes to CISS with the reason, statutes and policies of why the COLLECT ID cannot not be used. Upon receipt of the Change Request form and review, CJIS-CT will meet with the COLLECT Unit to discuss next steps.





# CISS Key Project Issues & Mitigation

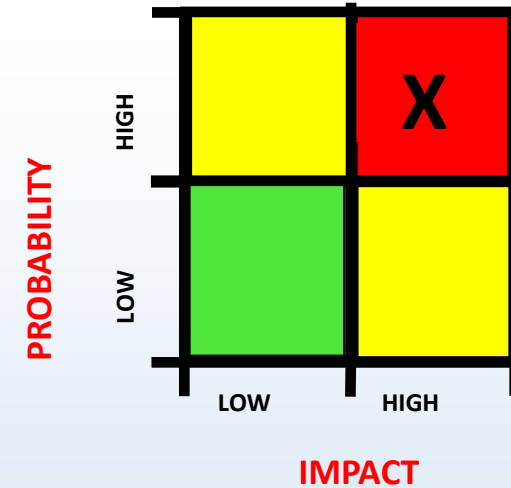
**#1 Issue** - CJIS-CT and DESPP collaboratively composed a letter that DESPP sent to the AG's Office requesting their opinion concerning the CISS legislation pertaining to only offender data should be shared in the CISS Search system. The AG's Office ultimately concluded that the language in the legislation indicates only offender data should be in CISS. The initial interpretation when the CISS project started by all legal staff from the CJIS agencies was that the data that was available to sworn law enforcement at the time should be used.

## Impact:

- The key impact to the CISS system will be:
  1. The Weapons data will be taken out of the Search system.
  2. The DMV data which is not offender based will probably need to be taken out of CISS.
  3. If the DMV data is taken out of the CISS, the DMV data elements that are used to cross-index most of the other data sources in the CISS Search will also be negatively impacted.

## Mitigation and Contingency :

- The proposed mitigation is to possibly change the CISS legislation language to include non-offender data that is currently used by sworn law enforcement from other systems.
- Another possibility is to explore using MOUs with DESPP for Weapons data and DMV for DMV data in the interim of any legislative changes.



*No change in risk level since last review.*

**CJIS-CT Project Risk and Issue Assessment Log - September 13, 2024**

**RISKS**

Date Started	Risk Num	Risk Description	Impact	Last Reviewed:	Mitigation Strategy	Potential Impact	Likelihood	Risk Mitigation Actions (should be specific and actionable)					Status / Comments
								Status	Actions	Assigned to	Date Due	Date Closed	
5/8/23	1	System Reliability/Up-time not meeting 99.9% requirement	If the up-time of the 99.9% is not reached by all CISS Workflow endpoints as we achieve 90% of the arrest information through CISS, we could negatively impact the planned efficiencies envisioned for this project.	8/9/2024	CJIS-CT is working with each stakeholder agency in order to provide up-time statistics in a scorecard to track adherence to 99.9% up-time. Also, CJIS-CT will be recommending to add System Center Monitoring for the overall CISS solution to be developed and shared in partnership with all CJIS-CT stakeholders. The CJIS-CT community already has in place a change control board for any changes both technical or legislative that can impact CISS.	4 (5) Very High (4) High (3) Medium (2) Low (1) Minimum	4 (5) Almost Certain (4) Highly Likely (3) (3) Somewhat Likely (2) Unlikely (1) Remote	16	CJIS-CT to coordinate on scorecard and shared monitoring solutions with stakeholders.	Mark Tezaris	12/31/2023		<p><b>09/13/2024:</b> CJIS-CT has created a high level project plan and is working internally to create the high level requirements that will be shared with all impacted stakeholders for finalization. Meanwhile, the technical team is working on the monitoring capabilities and the telemetry technology that will be needed.</p> <p><b>08/09/2024:</b> CJIS-CT is working with Accucom, ProPhoenix, and will be working with NexGen, to add telemetry monitoring RMS systems and all endpoints. Additionally, the Application Programming Interface (API) specifications will include the monitoring code needed moving forward to all RMS vendors. Periodic updates will be provided as we move forward.</p>
7/10/23	2	<p>CJIS-CT workflow schedule mis-alignment with MPDs delays overall Workflow deployment</p> <p>1. MPDs may have other priorities which conflict with CJIS-CT schedules.</p> <p>2. MPDs may have municipal IT human resource shortages.</p> <p>3. Small MPDs may have lower volumes of arrests which impact CJIS-CT validation of workflow.</p> <p>4. RMS vendor prioritization.</p>		8/9/2024	<p>1. Create alignment-based master schedule with frequent reviews with MPDs; adjust dates and move MPDs around in the deployment plan as schedules shift.</p> <p>2. MPD IT resource shortages will be accounted for in CJIS-CT schedules</p> <p>3. CJIS-CT schedule replan includes remediation time for affected PDs</p>	4	5	20	Ongoing schedule management through CJIS-CT internal meetings (PMO, IPP)	Mark Tezaris	ongoing		<p><b>09/13/2024:</b> NexGen has developed, tested, and has deployed the new version of the software to all PDs in GA 9 and GA 4. The new version is now being deployed to all PDs in GA 23 and GA 7. The remaining NexGen PDs will get the new software over the next several months.</p> <p><b>08/09/2024:</b> CJIS-CT is working with NexGen to deploy their new software package to provide additional functionality to streamline the arrest process. By August 16th all legacy NexGen PDs will be running on the new software release. Current schedule for NexGen PDs target is 1/29/2025. This will account for 80% of MPDs.</p>

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10/10/23	3	Given recent legal interpretation of Clean Slate Erasure language in the statute, DESPP will not be displaying erasure case or charge data to their sworn law enforcement. The risk is that CJIS-CT will have to change the CISS Search code before 1/1/2024 so that DESPP arrest data that was erased will not be displayed.		8/9/2024	CJIS-CT will have to work with CJIS-CT agencies to define erasure requirements as per DESPP policy and implement changes as quickly as possible to comply with Clean Slate Erasures for CISS Search and Workflow. This is a significant change request that cannot be completed by 1/1/2024.	5	5	25	CJIS-CT has met with Clean Slate project sponsors Marc Pelka and Patrick Hulin and has described this risk as we work with DESPP and others to find a mitigation.	Mark Tezaris	ongoing		<p><b>09/13/2024:</b> CJIS-CT is waiting for requirements review and sign-off from DESPP while our developers are coding the key components for the solution.</p> <p><b>08/09/2024:</b> CJIS-CT is making code changes based on the requirements by DESPP. DESPP is still reviewing the requirements and is expected to approve them shortly. CJIS-CT is accepting the risk to move forward with the unapproved requirements given the cost of consultants and vendor waiting for approval.</p>
6/29/15	4	One of the goals is that CISS will contain more than 90% of all state arrest records. There is a risk not all law enforcement record management vendors will connect to CISS. This would impact information necessary for the workflows for stakeholders.		8/9/2024	A formal plan for onboarding all vendors and /or getting all arrest information has been developed.	5	3	15	Work with additional vendors to sign contracts	Mark Tezaris	Ongoing		<p><b>09/13/2024:</b> The current percentage of arrests information that is expected to go through the CISS for NexGen, Accucom, and IMC is expected to be just over 90%. CJIS-CT is working on a comprehensive policy and plan that will be communicated to all RMS vendors for their feedback and finalization.</p> <p><b>08/09/2024:</b> This is a work in progress. When the draft policy is ready CJIS-CT will share it with the Governance Committee for review and any changes needed.</p>
4/12/24	5	Two new RMS vendors are required to onboard into CISS Workflow. Adding these two RMS Systems will bring at least 90% of the arrest information into CISS as planned. The challenge lies with cross-branch/cross agency resource capacity to support the onboarding. This work will require resources and hence agency funding will be required for each new vendor integrated into CISS.	If proper planning is not done for onboarding new RMS vendors, CJIS-CT stakeholders will be negatively impacted financially and delays in reaching the 90% of arrest information in CISS could be realized.	8/9/2024	A draft formal plan is being developed by CJIS-CT to add additional RMS vendors to CISS to meet the 90% of arrest information. This plan will be developed, finalized, and shared with all CJIS agencies that are impacted so they can plan and allocate resources needed.	5	5	25	CJIS-CT is negotiating with new RMS vendors and will work with CJIS-CT partner agencies for a detailed plan and schedule to onboard new vendors.	Mark Tezaris	Ongoing		<p><b>09/13/2024:</b> CJIS-CT is actively communicating and negotiating with Inform RMS in order to include Hartford PD to the CISS Workflows. Additionally, we are also negotiating with ProPhoenix to add New Haven and Milford PDs. Once an agreement is in place and the work begins, it can take between a year and a half - two years to complete the work so that the PDs can send electronic workflows for these vendors.</p> <p><b>08/09/2024:</b> CJIS-CT is expecting to get at least 90% of the arrest packages through CISS with the existing RMS under contract that includes NexGen, Accucom, and IMC. Additionally, we want to include RMS vendors that have the biggest cities in CT that include Hartford and New Haven. We are working with the RMS vendors for those two cities as well.</p>



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8/6/24	6	Change management not only includes the technical portion of the solution, but also includes the non-technical changes needed for successful implementation. DESPP, DCJ, and other CJIS agencies may need support for additional personnel and/or equipment for successful CISS Workflow deployment.	Without the necessary personnel and/or equipment needed to change from the paper-based arrest workflow to the new electronic workflow, key agencies may not implement successfully. This can impact the overall cost, schedule, and momentum of the CISS Workflow implementation.	8/9/2024	DESPP and DCJ are assessing any personnel or equipment needs for the successful implementation of the CISS electronic workflows. Once their assessments are complete, they will seek funding resources in order to procure needed resources for the implementation of CISS Workflow.	5 (5) Very High (4) High (3) Medium (2) Low (1) Minimum	3 (5) Almost Certain (4) Highly Likely (3) (3) Somewhat Likely (2) Unlikely (1) Remote	15	CJIS-CT will help facilitate discussions for resources for CISS Workflow deployment with OPM and other CJIS agencies.	Mark Tezaris	Ongoing		<p><b>09/13/2024:</b> DESPP has completed their analysis for change management resources that will be needed to deploy the CISS digital workflows. This information will be shared with the CJIS-CT Governance Committee, OPM, and others to facilitate obtaining the resources necessary.</p> <p><b>08/09/2024:</b> CJIS-CT will work with DESPP and DCJ to help communicate and facilitate obtaining the necessary resources requested by the CJIS agencies.</p>
9/13/24	7	DESPP and DAS BITS have been planning for a significant amount of time the computing infrastructure that will be created in the State's Groton Data Center. The build out of the new servers and CJIS-CT compliance certification for the CISS Workflows for this new infrastructure is in process with an undefined delivery date.	CISS Workflow cannot be deployed if the old production servers at the Middletown location are not supportable or the new Groton servers are not ready to on time to meet the schedule for deployment.	New	Work with DESPP to facilitate ongoing working sessions with DESPP, DAS BITS, NexGen, and Legal to define a path forward that can meet the scheduled dates for deployment.	5	3	15	CJIS-CT will work with DESPP CSM to schedule and facilitate the working sessions needed to define and implement the plan.	Sean Bucher	Ongoing		<p><b>09/09/2024:</b> CJIS-CT had its first integrated team meeting to level set the current status. The team will schedule additional working sessions to create a plan to implement.</p>
9/13/24	8	CISS Search has been built to use the COLLECT ID for searches of DMV data as per DESPP (DPS) requirements in 2015. Now the DESPP COLLECT Unit does not want CISS to get the COLLECT ID for new users being onboarded.	The impact is that DMV data, including pictures, will stop being available to CISS Users that do not provide the COLLECT ID. This includes about 700 CSP users currently being onboarded.	New	CJIS-CT is requesting that the COLLECT Unit provide a formal Change Request in writing as per the CISS SOP for changes to CISS with the reason, statutes and policies of why the COLLECT ID cannot not be used. Upon receipt of the Change Request form and review, CJIS-CT will meet with the COLLECT Unit to discuss next steps.	5	3	15	CJIS-CT will continue the training and onboarding for the CISS Search as per schedule in place while working with the COLLECT Unit to resolve the issue and/or find a work around asap.	Derek Poundstone	Ongoing		<p><b>09/13/2024:</b> CJIS-CT will send the change request form to the COLLECT Unit for the changes requested. We will then review with the COLLECT Unit for next steps for resolution as per the Standard Operating Procedures (SOP) put in place for this kind of change by the CJIS-CT stakeholders.</p>

**CJIS-CT Project Risk and Issue Assessment Log - September 13, 2024**

**ISSUES**

Date Started	Issue Num	Issue Description	Impact	Mitigation Strategy	Impact Rating	Urgency	Issue Resolution Actions (should be specific and actionable)	Actions	Date Due	Date Opened	Status / Comments
					Very High Medium Low None	Critical Serious Moderate Minimal None	Status/Owner				
9/13/16	1	<ul style="list-style-type: none"> <li>CJIS-CT resources are heavily dependent on consultants with critical domain knowledge.</li> <li>As consultants leave for better opportunities, CJIS-CT will lose critical knowledge from the consultants who built the system.</li> </ul>	Without adequate staffing, CJIS-CT will not be able to meet 99.9% of CISS availability.	CJIS-CT has put together a support strategy with state employees, consultants, and vendor maintenance and support	Very High	Critical	Mark Tezaris	<ul style="list-style-type: none"> <li>CJIS-CT contracted with AIC and is continuing to hire additional consultants.</li> <li>CJIS-CT will work with DAS to hire state employees where possible to maintain domain knowledge for large complicated systems.</li> </ul>	Ongoing		<p><b>09/13/2024:</b> CJIS-CT is currently interviewing for the posted business analyst position. Additional positions to be posted in the near future.</p> <p><b>08/09/2024:</b> CJIS-CT has onboarded a new CJIS-CT Curriculum Manager and have currently posted a CJIS-CT Business Analyst Position.</p>
2/7/23	2	DESPP LiveScan/RMS Interface Issue	Lack of integration between fingerprinting system and CISS leads to additional workload on police officers	<ul style="list-style-type: none"> <li>Complete programming application interface for NexGen and other RMS vendors.</li> <li>Build, test, deploy Central Server to provide near real-time statute table for all law enforcement in the state.</li> </ul>	Very High	Critical	DESPP	Build the interface and the server.	TBD	8/31/2023	<p><b>09/13/2024:</b> DESPP is in the process of implementing updates for this interface.</p> <p><b>08/09/2024:</b> No substantive changes since the last review.</p>

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					Very High Medium Low None	Critical Serious Moderate Minimal None	Status/Owner				
2/7/23	3	<p>Source Systems Not Available to CISS Search:</p> <ul style="list-style-type: none"> <li>• WEAPONS</li> <li>• WANTED</li> </ul> <p>This is primarily due to limited human resources.</p>	<ul style="list-style-type: none"> <li>• Weapons - Weapons data is over two years old and decisions by law enforcement are potentially impacting law enforcement and public safety. CISS Search is the only system in Connecticut that provides indexing across 12 systems based on Person, Location, Event, and Property for better decision-making.</li> <li>• Wanted – Wanted data is currently only available on COLLECT terminals at PD locations. These terminals are few and access for searches can be limited; and, therefore, limiting better decision-making.</li> </ul>	<p>CJIS-CT will create a draft MOU for sharing the Deadly Weapons Data with CISS as proposed by DESPP. CJIS-CT will send the draft MOU to DESPP for next steps.</p> <p>CJIS-CT understands that the non-offender Weapons data will not be shared until there is an internal review within DESPP.</p> <p>DESPP has indicated that they are in the process of recreating their testing lab with the COLLECT terminals needed for testing. Once this work is completed, CJIS-CT will schedule testing for the Wanted data.</p>	Very High	Critical	Mark Tezaris	CJIS-CT is working with DESPP to create an MOU for deadly weapons sharing to CISS. CJIS-CT is also working to complete testing for Wanted. The Weapons sharing of information in pending legal review from DESPP for sharing with CISS.	TBD	3/31/2023	<p><b>09/13/2024:</b> CJIS-CT and DESPP collaboratively composed a letter that DESPP sent to the AG's Office requesting their opinion concerning the CISS legislation pertaining to only offender data should be shared in the CISS Search system. The AG's Office ultimately concluded that the language in the legislation indicates only offender data should be in CISS. This impacts the use of the WEAPONS data in CISS Search.</p> <p>The WANTED data testing scheduled for 9/11/24 has been cancelled by the COLLECT Unit due to additional legal review.</p> <p><b>08/09/2024:</b> CJIS-CT, DESPP, and the Attorney General's Office met in July to discuss the issue of non-offender data such as WEAPONS being shared in CISS Search. After the discussion, CJIS-CT created a response with attached relevant documents from the decision makers at the start of the CISS and emailed it to the AG's office and CC'd DESPP. We are now waiting for the AG's response so that we can all reconcile the sharing of the WEAPONS source system with CISS.</p> <p>Upon resolution we expect that the offender data in the WANTED data source will be tested by CJIS-CT on a COLLECT terminal with DESPP supervision.</p>

**CJIS-CT Project Risk and Issue Assessment Log - September 13, 2024**

**ISSUES**

Date Started	Issue Num	Issue Description	Impact	Mitigation Strategy	Impact Rating	Urgency	Issue Resolution Actions (should be specific and actionable)	Actions	Date Due	Date Opened	Status / Comments
					Very High Medium Low None	Critical Serious Moderate Minimal None	Status/Owner				
9/13/24	4	CJIS-CT and DESPP collaboratively composed a letter that DESPP sent to the AG's Office requesting their opinion concerning the CISS legislation pertaining to only offender data should be shared in the CISS Search system. The AG's Office ultimately concluded that the language in the legislation indicates only offender data should be in CISS. The initial interpretation when the CISS project started by all legal staff from the CJIS agencies was that the data that was available to sworn law enforcement at the time should be used.	The key impact to the CISS system will be: 1-The Weapons data will be taken out of the Search system. 2-The DMV data which is not offender based will probably need to be taken out of CISS Search 3-If the DMV data is taken out of the CISS Search, the DMV data elements that are used to cross-index most of the other data sources in the CISS Search will also be negatively impacted.	The proposed mitigation is to possibly change the CISS legislation language to include non-offender data that is currently used by sworn law enforcement from other systems. Another possibility is to explore using MOUs with DESPP for Weapons data and DMV for DMV data in the interim of any legislative changes.	Very High	Critical	Mark Tezaris	CJIS-CT will work with the Governance Committee to explore changes in the CISS legislation to include non-offender data that is currently used by sworn law enforcement from other systems. CJIS-CT will also work with DESPP, DMV and the AG's Office to see if MOUs with the DESPP and DMV can be used. CJIS-CT will also work internally with the technical teams to find solutions on best way to take out Weapons data and possible DMV data in case its needed.	TDB	9/13/2024	<b>09/13/2024:</b> CJIS-CT will provide an update to the Governance Committee for this new issue, provide potential solutions and get direction for next steps.

## CJIS-CT Project Risk and Issue Assessment Log - September 13, 2024

### TASKS

Date Started	Task Num	Task Description	Assigned to	Status	Approach	Potential Impact	Date Due	Date Closed	Status / Comments
10/6/20	1	Discontinuing Judicial's CIB interface	Sean Bucher	Active	Assist Judicial as per their request to eliminate the Centralized Infraction Bureau Interface.		TBD		<p><b>09/13/2024:</b> NexGen is in the process of validating the consumer side of handling messages at which point the CISS CIB message file and "Friday File" will be converted to CISS-based message handling.</p> <p><b>08/09/2024:</b> Messages are being received at PDs with the NexGen code package. Next steps will be to transfer methodology from FTP to the CISS electronic workflow.</p>

CJIS-CT PMO Portfolio as of September 1, 2024

Name	Priority Number	2024				2025			
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Clean Slate Automation Project	1	[Progress Bar]				92.00%			
Migration to Cloud MFA	1.005	[Progress Bar]				51.00%			
CISS Search - RMS Records Clean Slate Erasure	1.015	[Progress Bar]				35.00%			
CJIS-CT System Center CISS Endpoint Monitoring	1.017	[Progress Bar]				4.00%			
MVP Onboarding for State Agencies (Judicial-Sponsored)	1.021	[Progress Bar]				48.00%			
Digital Media and Evidence Study	1.03	[Progress Bar]				80.00%			
Clean Slate Reversal	1.999	[Progress Bar]				0.00%			
Workflow Deployment	2	[Progress Bar]				80.00%			
JAG Grant for Telecommunications Equipment - Router Deployment	2.001	[Progress Bar]				39.00%			
CJIS Resources Vacation Information	2.002	[Progress Bar]				16.00%			
Infrastructure Miscellaneous Project	2.005	[Progress Bar]				5.00%			
NexGen RMS-Vendor Certification Level-1_and Level-2	2.01	[Progress Bar]				80.00%			
CISS Search Internet Access - Readiness, Onboarding and Rollout	2.015	[Progress Bar]				98.00%			
CT State Police CISS UAT L2 Pilot - Troop C and Troop F	2.02	[Progress Bar]				87.00%			
Accucom-RMS-Vendor Certification Level-1_and Level-2	2.03	[Progress Bar]				54.00%			
RMS Level 2 Workflow GA Integrated Multi Agency Testing (DCJ-Judicial-DESPP-CJIS)	2.04	[Progress Bar]				60.00%			
CISS 11-5	2.05	[Progress Bar]				0.00%			
IMC-RMS-Vendor Certification Level-1_and Level-2	2.06	[Progress Bar]				43.00%			
CISS - SharePoint 2019 Migration - CJIS and AIC	2.07	[Progress Bar]				85.00%			
CISS - CONNX Migration to v14_8	2.071	[Progress Bar]				0.00%			
CISS Workflow Databases Migration to SQL 2022	2.072	[Progress Bar]				59.00%			
CJIS SP Farm Consolidation	2.08	[Progress Bar]				81.00%			
Workflow Submissions Portal_Phase1	2.09	[Progress Bar]				55.00%			
Help Desk Misc Tasks Plan	2.091	[Progress Bar]				60.00%			
Benefits - Score Card	2.1	[Progress Bar]				74.00%			
CISS - FileNet Upgrade	2.11	[Progress Bar]				89.00%			
CISS Search Onboarding Process-1	3	[Progress Bar]				89.00%			
JOCE Collaboration (Onboarding & Live Access)	3.001	[Progress Bar]				2.00%			
Project-X - Expected Legislative Changes - Management Reserve	3.009	[Progress Bar]				0.00%			
eCUAR	3.01	[Progress Bar]				63.00%			
Weapons Interface with CISS	3.02	[Progress Bar]				26.00%			
CISS - MultiVue Upgrade	3.05	[Progress Bar]				71.00%			
Automating CISS-JUD Code Tables	3.09	[Progress Bar]				90.00%			
DEEP EnCon Unit Integration with CISS	3.091	[Progress Bar]				47.00%			
Technical Writer Projects	3.092	[Progress Bar]				26.00%			
Information security policy gap assessment and update	4	[Progress Bar]				70.00%			
Internal CJIS Audit Assessments - Simulation	4.01	[Progress Bar]				73.00%			
Network Topology Documents	4.011	[Progress Bar]				31.00%			
Judicial Federation with CISS AD	4.015	[Progress Bar]				55.00%			
GFIPM Claims - DEEP	4.02	[Progress Bar]				91.00%			
CJIS CISS Agency Based Security	4.03	[Progress Bar]				41.00%			
CISS Public-Facing Dashboard_Rev3	4.04	[Progress Bar]				29.00%			
DAS Marshal Self-Service Portal	5.01	[Progress Bar]				69.00%			
Police Use of Force Form - Phase 3	5.03	[Progress Bar]				51.00%			
Racial Profile Application Phase 2 Changes	5.04	[Progress Bar]				25.00%			
CJIS Community Data dictionary	6	[Progress Bar]				59.00%			
Data Center HA & Business Continuity	6.01	[Progress Bar]				35.00%			
Miscellaneous Onboarding Tasks	6.02	[Progress Bar]				99.00%			
Business Continuity Planning	6.03	[Progress Bar]				63.00%			
Purchase Request Application Phase 1 A	6.04	[Progress Bar]				33.00%			
Miscellaneous - Operations Project Plan	6.05	[Progress Bar]				40.00%			
Router Upgrades and CISS Search Connectivity	6.06	[Progress Bar]				78.00%			
Terminal Agency Coordinator Course-Knowledge Transfer Instructional Systems Design-01	6.07	[Progress Bar]				89.00%			