CISS Key Project Risks, Issues & Mitigation

#1 Issue – The Attorney General's office interpretation of the CISS Legislation Title 54, Chapter 961a section 54-142q, (b), and (f) has now been interpreted to only include offender data in CISS and not data currently available to law enforcement containing non-offender data.

Impact:

➢ The Weapons and DMV data sources used by CISS as per the original requirements in the contract with Xerox may need to be altered or removed. Any significant change to the DMV data will likely require CISS Search to be shutdown for a significant amount of time for major changes to code and display. This will also negatively affect the cross indexing for all other source systems in CISS Search.

Mitigation and Contingency:

➢ The CJIS-CT Governance members are working with the Attorney General's office and the Governor's office to help resolve this issue.
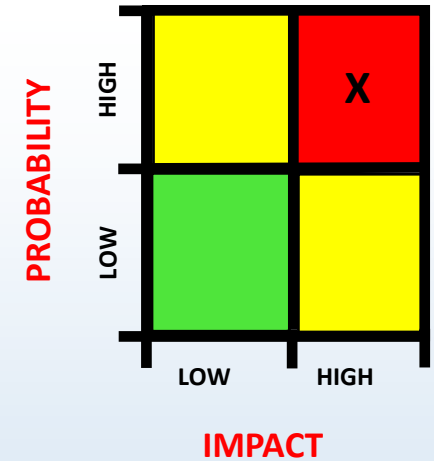
CISS Key Project Risks, Issues & Mitigation

#2 Issue – Additional Change Management focus for CISS Workflow Deployment needed in areas of hardware, staff and cross-agency coordination.

Impact:

- **CJIS Agencies including DESPP and DCJ –** Additional DESPP staff (22 records processing technicians, 3 regional records supervisors, 1 state records manager), IT workers, equipment and servers to support workflow implementation are needed and can impact schedule and costs

- **RMS Vendors**– Resource Constraints may impact schedule

Mitigation and Contingency :

- CJIS-CT will continue support and coordination with CJIS partner agencies to identify any Change Management resources needed with funding to complete deployment

- Leverage visibility at CJIS-CT Governing Board meeting to agency resource needs for thoughtful problem resolution

- CJIS-CT to maintain central scorecard to track progress as 'single source of truth' with input from CJIS partner agencies

- CJSI-CT will work closely with RMS vendors to address any resource constraints

PROBABILITY — HIGH / LOW

IMPACT — LOW / HIGH

X
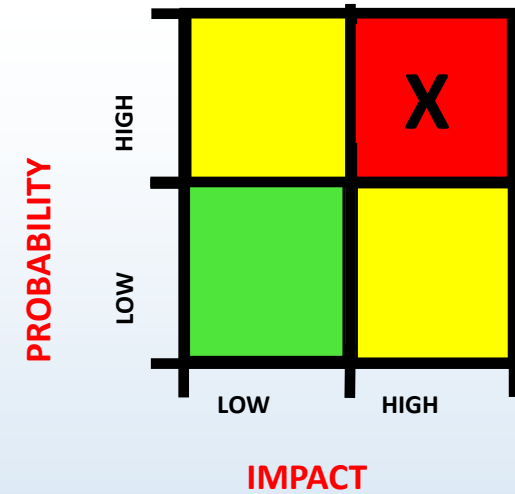
No change in risk level since last review.

Agencies and PDs Connected by Name

Organization	Total Trained	Organization	Total Trained	Organization	Total Trained
Amtrak Police (NEW)	**4**	Department of Motor Vehicles	48	East Hartford Police	61
Ansonia Police	56	Division of Criminal Justice	42	East Haven Police	14
Avon Police	53	Public Defender Services	26	East Lyme Police	43
Berlin Police	54	Judicial Information Technology Division	13	East Windsor Police	18
Bethel Police	20	CCSU Police Department	8	Eastern Connecticut State University Police	2
Bloomfield Police	23	Office of the Victim Advocate	6	Easton Police	6
Branford Police	21	Superior Court Services	14	Enfield Police	155
Bridgeport Police	340	Clinton Police	42	Fairfield Police	41
Bristol Police	65	Colchester Police	7	Farmington Police	67
Brookfield Police	19	Connecticut State Community College	6	Glastonbury Police	35
Canton Police	11	Coventry Police	30	Granby Police	8
Cheshire Police	14	Cromwell Police	47	Greenwich Police	53
Board of Pardons and Paroles	45	Danbury Police	64	Groton City Police Department	29
Court Support Services Division	486	Darien Police	16	Groton Long PT Police	2
Department of Consumer Protection Gaming Division	2	Derby Police	7	Groton Town Police	76
Department of Emergency Services and Public Protection	507	DOC	190	Guilford Police	66
Department of Energy and Environmental Protection (New)	**25**	East Granby Police	1	Hamden Police	17
Department of Mental Health and Addiction Services Police Department	8	East Hampton Police	27	Hartford Police	183

Agencies and PDs Connected by Name

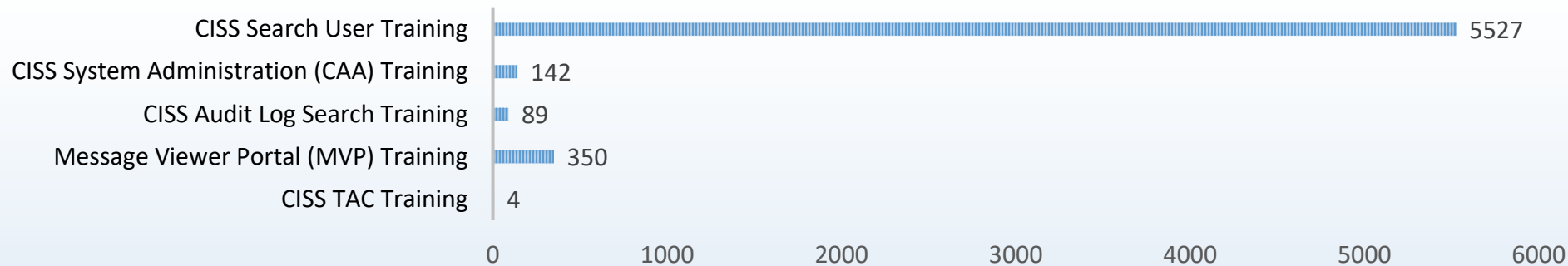| Organization	Total Trained	Organization	Total Trained	Organization	Total Trained
Ledyard Police	9	Newtown Police	57	South Windsor Police	77
Madison Police	11	North Branford Police	8	**Southbury Police (NEW)**	**5**
Manchester Police	54	North Haven Police	74	Southern Ct State University Police	7
Mashantucket Pequot Tribal Police	7	Norwalk Police	68	Southington Police	30
Meriden Police	50	Norwich Police	31	Stamford Police	57
Metropolitan District Commission Police	1	Old Lyme Police	3	State Capitol Police	23
Middlebury Police	7	Old Saybrook Police	22	Stonington Police	14
Middletown Police	42	Orange Police	71	Stratford Police	140
Milford Police Department	90	Plainfield Police	14	Suffield Police	33
Mohegan Tribal Police Department	12	Plainville Police	21	Thomaston Police	10
Monroe Police Department	10	Plymouth Police	35	Torrington Police	52
Montville Police Department	16	Portland Police	1	Trumbull Police	88
Naugatuck Police Department	104	Putnam Police	11	UCONN Police	80
New Britain Police Department	127	Redding Police	41	University of New Haven Police	4
New Canaan Police Department	15	Ridgefield Police	21	Vernon Police	39
New Fairfield Police Department	2	Rocky Hill Police	65	Wallingford Police	43
New Haven Police	229	Seymour Police	3	Waterbury Police	134
New London Police	34	Shelton Police	13	Waterford Police	22
New Milford Police	27	Simsbury Police	48	Watertown Police	40
Newington Police	38	South Central Water Authority Police	2	West Hartford Police	77

2

Agencies and PDs Connected

Organization	Total Trained
West Haven Police	30
Westbrook Police	1
Western Connecticut State University Police	2
Weston Police Department	7
Westport Police Department	81
Wethersfield Police Department	34
Willimantic Police Department	15
Wilton Police Department	54
Winchester Police Department	14
Windsor Locks Police Department	35
Windsor Police Department	65
Wolcott Police Department	39
Woodbridge Police Department	43
Yale Police Department	4
Metropolitan Transportation Authority (MTA) **Just starting new agency onboarding**	**Expected - 10**
Litchfield County Dispatch **Just starting new agency onboarding**	**Expected -24**
Northwest Connecticut Public Safety Communication Center (NWCTPS) **Just starting new agency onboarding**	**Expected - 30**

Agencies and PDs Connected - Conclusion

All TIME USERS TRAINED
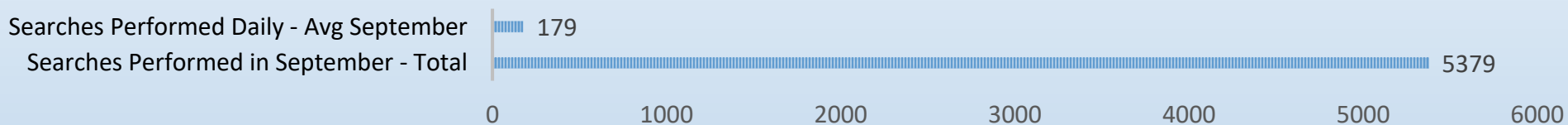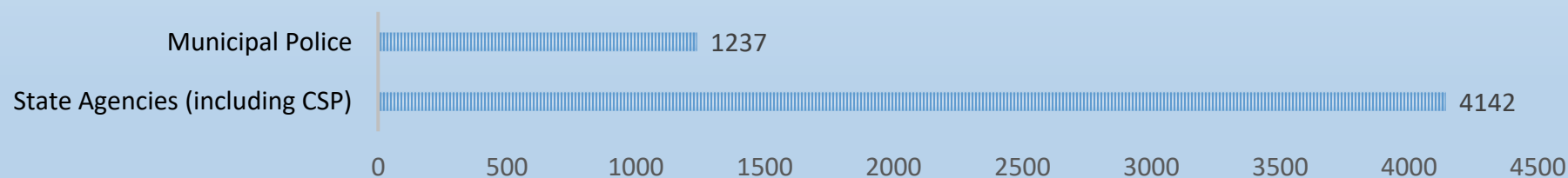
Training	Count
CISS Search User Training	5527
CISS System Administration (CAA) Training	142
CISS Audit Log Search Training	89
Message Viewer Portal (MVP) Training	350
CISS TAC Training	4

NUMBER OF SEARCHES

Searches Performed Daily - Avg September	179
Searches Performed in September - Total	5379

CISS USAGE BY LEA TYPE

Municipal Police	1237
State Agencies (including CSP)	4142

Statewide JD
Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
Middlesex	GA9-Middletown			6	Clinton	Cromwell	Portland	East Hampton	Middletown	Old Saybrook	Troop F (P)				*Middletown PD has requested addition time to
PD Ticket Request		Complete	Complete		9/30/2019	7/28/2022	2/17/2023	2/22/2023	12/15/2021		Complete				refine internal and RMS process
L1 EAN Activation		Complete	Complete	5	9/30/2019	7/28/2022	2/17/2023	2/22/2023	12/15/2021		5/24/2023				*East Hampton to be connected when Glastonbury goes
L2 Connectivity Validation		Complete	Complete	5	Pilot PD	2/14/2023	3/9/2023	3/7/2023	Pilot PD						live (Host)
End to End Training		Complete	Complete	5	8/27/2022	1/20/2023	4/13/2023	5/20/2024	2/10/2023		Under Review				East Hampton - Needs Regional configuration with Nexgen (Glastonbury)
New Version of Nexgen		Pending	Pending	4	8/5/2024	8/21/2024	8/6/2024		8/2/2024						
Friday File Activation		Pending	Pending												
SCO/DCJ Certification			Pending		8/27/2022	9/15/2023	11/1/2024		5/7/2023		Under Review				Old Saybrook does not wish to participate
Waterbury	GA4-Waterbury	Nexgen	CJIS	6	Naugatuck	Middlebury	Watertown	Wolcott	Waterbury	Southbury	Troop A				*Waterbury PD working on internal routing issue pending workflow activation
PD Ticket Request		Complete	Complete	5	5/9/2023	6/6/2023	6/13/2023	6/20/2023	6/13/2023		Complete				
L1 EAN Activation		Complete	Complete	5	8/3/2023	7/25/2023	7/27/2023	7/25/2023	8/11/2023		5/24/2023				
L2 Connectivity Validation		Complete	Complete	5	8/3/2023	7/25/2023	7/27/2023	7/25/2023	3/20/2024						
End to End Training		Pending	Complete	4	8/9/2023	7/25/2023	8/21/2023	8/16/2023			Under Review				
New Version of Nexgen		Pending	Complete	4	8/12/2024	8/7/2024	8/29/2024	8/27/2024							
Parallel Processing		Pending	Complete	3	8/12/2024	8/8/2024	9/11/2024	8/28/2024							
Friday File Activation		Pending	Pending												
SCO/DCJ Certification			Pending	1	9/3/2024				11/1/2024		Under Review				
New Haven	GA-23 New Haven	Nexgen	CJIS	6	Branford	Guilford	East Haven	North Branford	Woodbridge	Madison	Troop I				*Request for Credentials submitted 7/18/23
PD Ticket Request		Complete	Complete	6	8/11/2023	8/11/2023	7/28/2023	8/11/2023	8/3/2023	8/11/2023	Complete				*PD Kickoff completed on 7/21/23
L1 EAN Activation		Complete	Complete	5	9/14/2023	8/25/2023	8/25/2023	8/25/2023	8/25/2023		5/24/2023				Madison PD needs all new infrastructure to support deployment
L2 Connectivity Validation		Complete	Complete	5	10/20/2023	10/27/2023	10/6/2023	9/29/2023	10/13/2023						Woodbridge lost IT resource to N. Branford - Hiring new resource 9/15
DCJ Training		Complete	Complete		8/23/2024	8/23/2024	8/23/2024	8/23/2024	8/23/2024						
SCO Training		Complete	Complete		8/23/2024	8/23/2024	8/23/2024	8/23/2024	8/23/2024						
PD Training		Complete	Complete		8/26/2024	8/27/2024	9/4/2024	9/17/2024	10/22/2024		Under Review				
New Version of Nexgen		Complete	Complete		8/27/2024	8/28/2024	9/9/2024	9/18/2024	10/7/2024						
Parallel Processing		Complete	Complete		8/28/2024	8/29/2024	9/10/2024	9/19/2024	10/23/2024						
Friday File Activation		Pending	Pending												
SCO/DCJ Certification		Pending	Pending					11/1/2024			Under Review				
New Haven	GA-7 Meriden	Nexgen	CJIS	5	North Haven	Wallingford	Cheshire	Meriden	Hamden						Request for Credentials submitted 7/18/23
PD Ticket Request		Complete	Complete	5	8/11/2023	7/28/2023	7/28/2023	8/11/2023	10/11/2023						PD Kickoff completed on 7/21/23
L1 EAN Activation		Complete	Complete	5	8/11/2023	8/25/2023	8/11/2023	8/25/2023	10/13/2023						Hamden PD completed infrastructure updates on 9/9/24. PD needs to respond to training
L2 Connectivity Validation		Complete	Complete	5	9/22/2023	9/29/2023	9/22/2023	10/6/2023	10/13/2023						request
DCJ Training		Complete	Complete		8/23/2024	8/23/2024	8/23/2024	8/23/2024	8/23/2024						
SCO Training		Complete	Complete		8/23/2024	8/23/2024	8/23/2024	8/23/2024	8/23/2024						
PD Training		Complete	Complete		9/3/2024	9/3/2024	9/11/2024	9/16/2024	10/8/2024						
New Version of Nexgen		Complete	Complete		9/4/2024	9/4/2024	9/12/2024	9/17/2024	9/24/2024						
Parallel Processing		Complete	Complete		9/4/2024	9/4/2024	9/13/2024	9/18/2024	10/9/2024						
Friday File Activation		Pending	Pending												
SCO/DCJ Certification		Pending	Pending					11/1/2024							

Green = On track
Yellow = Watch
Red = Barriers

Yellow and Red need a date for closure. If not started just put planned date in cell. Completion date column is date for entire GA. Mitigation is general statement about how to cure Yellows and Reds. We review as management team 2x a week.

Statewide JD
Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
New Britain	GA-15 New Britain	Nexgen	CJIS	8	Southington	Berlin	Bristol	Newington	Wethersfield	Rocky Hill	New Britain	Plymouth			Credentials generated by CJIS and given to Nexgen Operations on 8/3/23
PD Ticket Request		Complete	Complete	7	12/5/2023	7/28/2022	10/6/2023	10/6/2023	10/6/2023	11/6/2023	10/6/2023				Kickoff Meeting to be complete by 9/29/23
L1 EAN Activation		Complete	Complete	7	12/15/2023	7/28/2022	10/20/2023	10/20/2023	10/20/2023	11/20/2023	10/20/2023				Plymouth PD signed with Nexgen 9/10/24
L2 Connectivity Validation		Complete	Complete	7	12/15/2023	11/3/2023	11/17/2023	12/1/2023	2/8/2024	11/22/2023	11/24/2023				
DCJ Training		Complete	Complete		10/15/2024	10/15/2024	10/15/2024	10/15/2024	10/15/2024	10/15/2024	10/15/2024				
SCO Training		Complete	Complete		9/15/2024	9/15/2024	9/15/2024	9/15/2024	9/15/2024	9/15/2024	9/15/2024				
PD Training		Complete	Complete		10/2/2024	10/16/2024	10/21/2024	11/13/2024	11/19/2024	11/18/2024	10/29/2024				
New Version of Nexgen		Complete	Complete		10/7/2024	10/9/2024	10/22/2024	10/30/2024	10/17/2024	10/19/2024	10/8/2024				
Parallel Processing		Pending	Pending		10/16/2024	10/21/2024	10/22/2024								
Friday File Activation		Pending	Pending												
SCO/DCJ Certification		Pending	Pending		12/11/2024										
Ansonia/Milford	GA-5 Derby	Nexgen	CJIS	3	Shelton	Seymour	Ansonia								Credentials generated by CJIS and given to Nexgen Operations on 8/3/23
PD Ticket Request		Complete	Complete	3	10/6/2023	10/6/2023	10/6/2023								Kickoff Meeting to be complete by 9/29/23
L1 EAN Activation		Complete	Complete	3	10/20/2023	2/20/2024	10/20/2023								
L2 Connectivity Validation		Complete	Complete	3	11/3/2023	2/10/2024	8/29/2024								
DCJ Training		Pending	Pending		12/8/2024	12/8/2024	12/8/2024								
SCO Training		Pending	Pending		12/2/2024	12/2/2024	12/2/2024								
PD Training		Pending	Pending		12/9/2024	11/18/2024									
New Version of Nexgen		Complete	Complete		11/13/2024	11/13/2024	11/13/2024								
Parallel Processing		Pending	Pending			12/10/2024									
Friday File Activation		Pending	Pending												
SCO/DCJ Certification		Pending	Pending												
Tolland	GA-19 Tolland	Nexgen	CJIS	1	Vernon	Troop K	Troop C (P)								Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	1	3/12/2024	Complete	Complete								Kickoff Meeting to be complete by 3/12/24
L1 EAN Activation		Complete	Complete	1	3/23/2024	5/24/2023	5/24/2023								Endpoint Activation on 12/14
L2 Connectivity Validation		Pending	Pending	1	4/14/2024										
DCJ Training															
SCO Training					12/8/2024										
PD Training					12/17/2024										
New Version of Nexgen					12/10/2024										
Parallel Processing					12/18/2024										
Friday File Activation															
SCO/DCJ Certification			Pending			Under Review	Under Review								
Ansonia/Milford	GA-22 Milford	Nexgen	CJIS	1	West Haven										Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	1	1/3/2024										Kickoff Meeting to be completed on 1/4/24
L1 EAN Activation		Complete	Complete	1	1/12/2024										
L2 Connectivity Validation		Complete	Complete	1	1/30/2024										
DCJ Training		Pending	Pending												
SCO Training		Pending	Pending												
PD Training		Pending	Pending												
New Version of Nexgen		Complete	Complete		12/3/2024										
Parallel Processing		Pending	Pending		1/7/2025										
Friday File Activation		Pending	Pending												
SCO/DCJ Certification		Pending	Pending												

Statewide JD
Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
Litchfield	GA-18 Torrington	Nexgen	CJIS	3	New Milford	Winsted	Torrington	Troop L (P)	Troop B						Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	1	3/14/2024			Complete	Complete						Kickoff Meeting to be complete by 2/2/24
L1 EAN Activation		Complete	Complete	1	3/19/2024			5/24/2023	5/24/2023						Winstead and Torrington PD signed with Nexgen on 9/10/22
L2 Connectivity Validation		Complete	Complete	1	4/14/2024										
DCJ Training															
SCO Training															
PD Training															
New Version of Nexgen															
Parallel Processing					1/8/2025										
Friday File Activation															
SCO/DCJ Certification			Pending					Under Review	Under Review						
Windam	GA-11 Windam	Nexgen	CJIS	2	Willimantic	Plainfield	Troop D								Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	2	3/14/2024	3/12/2024	Complete								Kickoff Meeting to be complete by 3/12/24
L1 EAN Activation		Complete	Complete	2	3/19/2024	3/19/2024	5/24/2023								
L2 Connectivity Validation		Complete	Complete	2	4/14/2024	4/14/2024									
DCJ Training															
SCO Training															
PD Training															
New Version of Nexgen						12/5/2024									
Parallel Processing					1/9/2025	1/14/2025									
Friday File Activation															
SCO/DCJ Certification			Pending				Under Review								
New London	GA-21 Norwich	Nexgen	CJIS	1	Montville	Troop E									Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	1	2/2/2024	Complete									Kickoff Meeting to be complete by 2/2/24
L1 EAN Activation		Complete	Complete	1	2/20/2024	5/24/2023									
L2 Connectivity Validation		Complete	Complete	1	3/8/2024										
DCJ Training															
SCO Training															
PD Training															
New Version of Nexgen															
Parallel Processing					1/15/2025										
Friday File Activation															
SCO/DCJ Certification			Pending			Under Review									
New London	GA-10 New London	Nexgen	CJIS	6	Stonington	East Lyme	Groton Town	Waterford	New London	Groton City					Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	5	2/2/2024	2/2/2024	3/20/2024	3/28/2024	3/20/2024						Kickoff Meeting to be complete by 2/2/24
L1 EAN Activation		In Progress	In Progress	4	2/2/2024	2/2/2024	3/20/2024	5/15/2024	3/23/2024						New London PD needs full onboarding
L2 Connectivity Validation		In Progress	In Progress	4	3/1/2024	3/8/2024	4/14/2024	4/14/2024	4/14/2024						
DCJ Training															
SCO Training															
PD Training															
New Version of Nexgen															
Parallel Processing					1/15/2025	1/16/2025	1/22/2025	1/28/2025	1/29/2025						
Friday File Activation															
SCO/DCJ Certification			Pending												

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Statewide JD
Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
Fairfield	GA-2 Bridgeport	Nexgen	CJIS	5	Trumbull	Fairfield	Bridgeport	Monroe	Stratford	Troop G					Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	5	11/8/2021	12/10/2023	11/2/2023	1/1/2023	11/29/2023	Complete					Operations on 7/27/23
L1 EAN Activation		Complete	Complete	5	11/8/2021	12/19/2024	11/2/2023	1/19/2024	2/9/2024	5/24/2023					
L2 Connectivity Validation		Complete	Complete	5	12/5/2024	2/9/2024	3/29/2024	2/16/2024	5/10/2024						
DCJ Training															
SCO Training															
PD Training															
New Version of Nexgen															
Parallel Processing					2/4/2025	2/5/2025	2/6/2025	2/11/2025	2/12/2025						
Friday File Activation															
SCO/DCJ Certification			Pending							Under Review					
Stamford	GA-1 Stamford	Nexgen	CJIS	6	New Canaan	Darien	Norwalk	Wilton	Greenwich	Westport					Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	6	12/29/2023	1/19/2024	12/11/2023	12/29/2023	12/29/2023	12/29/2023					Kickoff Meeting to be complete by 12/11/23
L1 EAN Activation		Complete	Complete	6	1/19/2024	2/23/2024	4/1/2024	1/19/2024	4/16/2024	1/19/2024					Norwalk PD needs new server Currently running Windows 2012
L2 Connectivity Validation		Complete	Complete	6	2/6/2024	2/23/2024	1/26/2024	3/2/2024	2/28/2024	3/23/2024					
DCJ Training															
SCO Training															
PD Training															
New Version of Nexgen															
Parallel Processing					2/13/2025	2/19/2025	2/20/2025	2/25/2025	2/26/2025	2/27/2025					
Friday File Activation															
SCO/DCJ Certification			Pending												
Danbury	GA-3 Danbury	Nexgen	CJIS	4	Newtown	Bethel	Danbury	Ridgefield							Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	4	1/10/2024	12/12/2023	1/10/2024	12/11/2023							Kickoff Meeting to be complete by 12/7/23
L1 EAN Activation		Complete	Complete	4	1/19/2024	12/13/2023	1/19/2024	1/19/2024							
L2 Connectivity Validation		Complete	Complete	4	2/6/2024	1/27/2024	3/28/2024	3/26/2024							
DCJ Training															
SCO Training															
PD Training															
New Version of Nexgen															
Parallel Processing					3/4/2025	3/5/2025	3/6/2025	3/11/2025							
Friday File Activation															
SCO/DCJ Certification			Pending												
Hartford	GA-14 Hartford	Nexgen	CJIS	10	Enfield	Avon	Bloomfield	Windsor	Simsbury	East Windsor	West Hartford	Farmington	Windsor Locks	Canton	Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	10	3/3/2020	11/29/2023	11/29/2023	1/7/2024	1/17/2024	12/5/2023	1/9/2024	10/13/2020	11/17/2023	2/6/2024	Preliminary Meeting complete on by 11/8/23 & 12/7/23
L1 EAN Activation		In Progress	In Progress	10	3/3/2020	12/8/2023	12/6/2023	1/18/2024	1/18/2024	12/8/2023	2/8/2024	10/27/2020	12/8/2023	2/27/2024	Windsor Locks -Need FW Open to allow L2
L2 Connectivity Validation		In Progress	In Progress	9	3/22/2024	2/6/2024	2/2/2024	2/21/2024	3/22/2024	3/22/2024	2/6/2024	3/22/2024	12/10/2024	3/22/2024	Canton- PD needs new servers
DCJ Training															
SCO Training															
PD Training						12/5/2024					12/5/2024		12/5/2024		
New Version of Nexgen															
Parallel Processing					3/12/2025	3/13/2025	3/18/2025	3/19/2025	3/20/2025	3/25/2025	3/26/2025	3/27/2025	4/1/2025	4/2/2025	
Friday File Activation															
SCO/DCJ Certification			Pending												

Statewide JD
Workflow Deployment – NexGen RMS

JD	GA	Nexgen	CJIS		PD1	PD2	PD3	PD4	PD5	PD6	PD7	PD8	PD9	PD10	Mitigation Action Items
Hartford	GA-12 Manchester	Nexgen	CJIS	6	Manchester	East Hartford	South Windsor	Suffield	Granby	Glastonbury	Troop H				Request for credential created and sent to CJIS Operations on 7/27/23
PD Ticket Request		Complete	Complete	6	9/19/2023	11/14/2023	12/17/2024	12/18/2023	12/4/2023	12/5/2023	Complete				Preliminary Meeting complete by 11/8/23
L1 EAN Activation		Complete	Complete	5	10/6/2023	12/8/2023	12/18/2024	12/18/2023	12/8/2023	12/12/2023	5/24/2023				Follow-up meeting scheduled for 12/7/23
L2 Connectivity Validation		In Progress	In Progress	5	12/15/2023	12/5/2023	12/29/2024	2/22/2024	7/15/2024	3/22/2024					Glastonbury - Needs Regional configuration with Nexgen Dev Team
DCJ Training															
SCO Training															
PD Training															
New Version of Nexgen															
Parallel Processing					4/3/2025	4/8/2025	4/9/2025	4/10/2025	4/15/2025	4/16/2025					
Friday File Activation															
SCO/DCJ Certification			Pending								Under Review				

CJIS-CT Project Risk and Issue Assessment Log - December 13, 2024

RISKS

Date Started	Risk Num	Risk Description	Impact	Last Reviewed:	Mitigation Strategy	Potential Impact (5) Very High (4) High (3) Medium (2) Low (1) Minimum	Likelihood (5) Almost Certain (4) Highly Likely (3) Somewhat Likely (2) Unlikely (1) Remote	Risk Mitigation Actions (should be specific and actionable)					Status / Comments
								Status	Actions	Assigned to	Date Due	Date Closed	
5/8/23	1	System Reliability/Up-time not meeting 99.9% requirement	If the up-time of the 99.9% is not reached by all CISS Workflow endpoints as we achieve 90% of the arrest information through CISS, we could negatively impact the planned efficiencies envisioned for this project.	10/11/2024	CJIS-CT is working with each stakeholder agency in order to provide up-time statistics in a scorecard to track adherence to 99.9% up-time. Also, CJIS-CT will be recommending to add System Center Monitoring for the overall CISS solution to be developed and shared in partnership with all CJIS-CT stakeholders. The CJIS-CT community already has in place a change control board for any changes both technical or legislative that can impact CISS.	4	4	16	CJIS-CT to coordinate on scorecard and shared monitoring solutions with stakeholders.	Mark Tezaris	12/31/2023		**12/13/2024:** CJIS-CT is developing the high priority monitoring for CJIS technical administrators to alleviate the manual work that is currently being done. These additional monitoring capabilities will help automate most of the work and allow the full deployment of the PDs and State Police without needing additional staff. **11/08/2024:** Work in progress. Demonstration from the Dev Team is expected in the next two weeks.
7/10/23	2	CJIS-CT workflow schedule mis-alignment with MPDs delays overall Workflow deployment 1. MPDs may have other priorities which conflict with CJIS-CT schedules. 2. MPDs may have municipal IT human resource shortages. 3. Small MPDs may have lower volumes of arrests which impact CJIS-CT validation of workflow. 4. RMS vendor prioritization.		10/11/2024	1. Create alignment-based master schedule with frequent reviews with MPDs; adjust dates and move MPDs around in the deployment plan as schedules shift. 2. MPD IT resource shortages will be accounted for in CJIS-CT schedules 3. CJIS-CT schedule replan includes remediation time for affected PDs	4	5	20	Ongoing schedule management through CJIS-CT internal meetings (PMO, IPP)	Mark Tezaris	ongoing		**12/13/2024:** CJIS-CT and our CJIS partners are constantly improving the deployment process with more PDs going live with L2 over the next few months. The schedule is in the package sent for this meeting. **11/08/2024:** The effort to enable Level 2 Arrest Package Transmissions has moved considerably from 8 PDs to 22 PDs in the last quarter.

CJIS-CT Project Risk and Issue Assessment Log - December 13, 2024

RISKS

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								Status	Actions	Assigned to	Date Due	Date Closed	
10/10/23	3	Given recent legal interpretation of Clean Slate Erasure language in the statute, DESPP will not be displaying erasure case or charge data to their sworn law enforcement. The risk is that CJIS-CT will have to change the CISS Search code before 1/1/2024 so that DESPP arrest data that was erased will not be displayed.		10/11/2024	CJIS-CT will have to work with CJIS-CT agencies to define erasure requirements as per DESPP policy and implement changes as quickly as possible to comply with Clean Slate Erasures for CISS Search and Workflow. This is a significant change request that cannot be completed by 1/1/2024.	5	5	25	CJIS-CT has met with Clean Slate project sponsors Marc Pelka and Patrick Hulin and has described this risk as we work with DESPP and others to find a mitigation.	Mark Tezaris	ongoing		**12/13/2024:** CJIS-CT is targeting the changes in CISS Search for Clean Slate by January 2025. Approved requirements from DESPP are still pending.
6/29/15	4	One of the goals is that CISS will contain more than 90% of all state arrest records. There is a risk not all law enforcement record management vendors will connect to CISS. This would impact information necessary for the workflows for stakeholders.		10/11/2024	A formal plan for onboarding all vendors and /or getting all arrest information has been developed.	5	3	15	Work with additional vendors to sign contracts	Mark Tezaris	Ongoing		**12/13/2024:** CJIS-CT is moving forward with the deployment of 77 PDs with NexGen. CJIS-CT is also working with IMC and Accucom to complete the API so that the full arrest package can start. The kick-off meeting with ProPhoenix was completed and the work for the APi is in progress with an agreed upon project plan. The Inform meetings are continuing with key focus on getting an agreement in place to start the work (next meeting is 12/17/2024).
4/12/24	5	Two new RMS vendors are required to onboard into CISS Workflow. Adding these two RMS Systems will bring at least 90% of the arrest information into CISS as planned. The challenge lies with cross-branch/cross agency resource capacity to support the onboarding. This work will require resources and hence agency funding will be required for each new vendor integrated into CISS.	If proper planning is not done for onboarding new RMS vendors, CJIS-CT stakeholders will be negatively impacted financially and delays in reaching the 90% of arrest information in CISS could be realized.	10/11/2024	A draft formal plan is being developed by CJIS-CT to add additional RMS vendors to CISS to meet the 90% of arrest information. This plan will be developed, finalized, and shared with all CJIS agencies that are impacted so they can plan and allocate resources needed.	5	5	25	CJIS-CT is negotiating with new RMS vendors and will work with CJIS-CT partner agencies for a detailed plan and schedule to onboard new vendors.	Mark Tezaris	Ongoing		**12/13/2024:** CJIS-CT and our CJIS partners continue to deploy the CISS Workflow with existing budgets. A cost benefit analysis will need to be made in the future concerning the state going through the expense of adding small RMS vendors for the CISS Workflow. **11/08/2024:** CJIS-CT is working with NexGen, Accucom, IMC, and now ProPhoenix and Inform RMS vendors to assure above 90% of all arrests information using CISS Workflow.

CJIS-CT Project Risk and Issue Assessment Log - December 13, 2024

RISKS

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								Status	Actions	Assigned to	Date Due	Date Closed	
8/6/24	6	Change management not only includes the technical portion of the solution, but also includes the non-technical changes needed for successful implementation. DESPP, DCJ, and other CJIS agencies may need support for additional personnel and/or equipment for successful CISS Workflow deployment.	Without the necessary personnel and/or equipment needed to change from the paper-based arrest workflow to the new electronic workflow, key agencies may not implement successfully. This can impact the overall cost, schedule, and momentum of the CISS Workflow implementation.	10/11/2024	DESPP and DCJ are assessing any personnel or equipment needs for the successful implementation of the CISS electronic workflows. Once their assessments are complete, they will seek funding resources in order to procure needed resources for the implementation of CISS Workflow.	5	3	15	CJIS-CT will help facilitate discussions for resources for CISS Workflow deployment with OPM and other CJIS agencies.	Mark Tezaris	Ongoing		**12/13/2024:** No substantive changes since last review. **11/08/2024:** DESPP has a list of hardware needed at two barracks, additional personnel that need to be hired and must create new servers at the Groton Data Center before deployment can be completed. The above efforts are in the planning stage only without funding allocation. There is a significant risk that the current deployment schedule will be delayed.
9/13/24	7	DESPP and DAS BITS have been planning for a significant amount of time the computing infrastructure that will be created in the State's Groton Data Center. The build out of the new servers and CJIS-CT compliance certification for the CISS Workflows for this new infrastructure is in process with an undefined delivery date.	CISS Workflow cannot be deployed if the old production servers at the Middletown location are not supportable or the new Groton servers are not ready to on time to meet the schedule for deployment.	10/11/2024	Work with DESPP to facilitate ongoing working sessions with DESPP, DAS BITS, NexGen, and Legal to define a path forward that can meet the scheduled dates for deployment.	5	3	15	CJIS-CT will work with DESPP CSM to schedule and facilitate the working sessions needed to define and implement the plan.	Sean Bucher	Ongoing		**12/13/2024:** DESPP is moving forward with BITS to build, test, and deploy the new servers needed for RMS in the Groton Data Center. To standardize the effort, the CJIS-CT security site survey process will be used by BITS.

CJIS-CT Project Risk and Issue Assessment Log - December 13, 2024

ISSUES

Date Started	Issue Num	Issue Description	Impact	Mitigation Strategy	Impact Rating	Urgency	Issue Resolution Actions (should be specific and actionable)	Actions	Date Due	Date Opened	Status / Comments
					Very High Medium Low None	Critical Serious Moderate Minimal None	Status/Owner				
9/13/16	1	• CJIS-CT resources are heavily dependent on consultants with critical domain knowledge. • As consultants leave for better opportunities, CJIS-CT will lose critical knowledge from the consultants who built the system.	Without adequate staffing, CJIS-CT will not be able to meet 99.9% of CISS availability.	CJIS-CT has put together a support strategy with state employees, consultants, and vendor maintenance and support	Very High	Critical	Mark Tezaris	• CJIS-CT contracted with AIC and is continuing to hire additional consultants. • CJIS-CT will work with DAS to hire state employees where possible to maintain domain knowledge for large complicated systems.	Ongoing		**12/13/2024:** CJIS-CT has onboarded the state employee Business Analyst and is also processing other requisitions**.**
2/7/23	2	DESPP LiveScan/RMS Interface Issue	Lack of integration between fingerprinting system and CISS leads to additional workload on police officers	• Complete programming application interface for NexGen and other RMS vendors. • Build, test, deploy Central Server to provide near real-time statute table for all law enforcement in the state.	Very High	Critical	DESPP	Build the interface and the server.	TBD	8/31/2023	**12/13/2024:** CJIS-CT has completed the interviews,the requirements document, and has distributed to DESPP modernization team to work with their vendor. **11/08/2024:** CJIS-CT is finishing the interviews for the initial requirements draft and is expecting to distribute the document for review in two weeks.

CJIS-CT Project Risk and Issue Assessment Log - December 13, 2024

ISSUES

Date Started	Issue Num	Issue Description	Impact	Mitigation Strategy	Impact Rating	Urgency	Issue Resolution Actions (should be specific and actionable)	Actions	Date Due	Date Opened	Status / Comments
					Very High Medium Low None	Critical Serious Moderate Minimal None	Status/Owner				
2/7/23	3	Source Systems Not Available to CISS Search: • WEAPONS • WANTED This is primarily due to limited human resources.	• Weapons - Weapons data is over two years old and decisions by law enforcement are potentially impacting law enforcement and public safety. CISS Search is the only system in Connecticut that provides indexing across 12 systems based on Person, Location, Event, and Property for better decision-making. • Wanted – Wanted data is currently only available on COLLECT terminals at PD locations. These terminals are few and access for searches can be limited; and, therefore, limiting better decision-making.	CJIS-CT will create a draft MOU for sharing the Deadly Weapons Data with CISS as proposed by DESPP. CJIS-CT will send the draft MOU to DESPP for next steps. CJIS-CT understands that the non-offender Weapons data will not be shared until there is an internal review within DESPP. DESPP has indicated that they are in the process of recreating their testing lab with the COLLECT terminals needed for testing. Once this work is completed, CJIS-CT will schedule testing for the Wanted data.	Very High	Critical	Mark Tezaris	CJIS-CT is working with DESPP to create an MOU for deadly weapons sharing to CISS. CJIS-CT is also working to complete testing for Wanted. The Weapons sharing of information in pending legal review from DESPP for sharing with CISS.	TBD	3/31/2023	**12/13/2024:** CJIS-CT has met in person with the DESPP team to better understand how to solve the data sharing concerns. We had a good meeting on 12/09/2024 and have confidence that we all should be able to have a working solution over the next several months. **11/08/2024:** CJIS-CT is setting up an in-person meeting with the DESPP team to review the issues, find mitigations, and move forward with solutions and MOUs.
9/13/24	4	CISS Search has been built to use the COLLECT ID for searches of DMV data as per DESPP (DPS) requirements in 2015. Now the DESPP COLLECT Unit does not want CISS to get the COLLECT ID for new users being onboarded.	The impact is that DMV data, including pictures, will stop being available to CISS Users that do not provide the COLLECT ID. This includes about 700 CSP users currently being onboarded.	CJIS-CT is requesting that the COLLECT Unit provide a formal Change Request in writing as per the CISS SOP for changes to CISS with the reason, statutes and policies of why the COLLECT ID cannot not be used. Upon receipt of the Change Request form and review, CJIS-CT will meet with the COLLECT Unit to discuss next steps.	Very High	Critical	Derek Poundstone	CJIS-CT will continue the training and onboarding for the CISS Search as per schedule in place while working with the COLLECT Unit to resolve the issue and/or find a work around asap.		9/13/2024	**12/13/2024:** CJIS-CT has met in person with the DESPP team to better understand how to solve the data sharing concerns. We had a good meeting on 12/09/2024 and have confidence that we all should be able to have a working solution over the next several months. **11/08/2024:** CJIS-CT is setting up an in-person meeting with DESPP to discuss issues and solutions.

CJIS-CT Project Risk and Issue Assessment Log - December 13, 2024								
TASKS								
Date Started	Task Num	Task Description	Assigned to	Status	Approach	Potential Impact	Date Due	Date Closed	Status / Comments
10/6/20	1	Discontinuing Judicial's CIB interface	Sean Bucher	Active	Assist Judicial as per their request to eliminate the Centralized Infraction Bureau Interface.		TBD		**12/13/2024:** Validation of messages have been completed that were received by the RMS vendor. Judicial has requested the next step to turn messages on in production for daily message count reconciliation. **11/08/2024:** NexGen has been working on completing this certification in the next three weeks.