

Criminal Justice Information System Governing Board Meeting

January 28, 2021



Agenda

PROJECT UPDATE AND STRATEGY

- Multi-agency Workflow Update
- Deployment Strategy and Integration with Criminal Justice Agencies
- Search Deployment and Training
- Integration with CSP/NexGen RMS System
- Additional CJIS Projects and Analytics
- Overview of Workflow from CISS, SCO, & DCJ

CJIS FINANCIALS

- Overview of Financials
- Budget Proposal for FY 22-23
- Funding for Additional Requests

OPERATIONAL SUPPORT

- CJIS Project Portfolio and Support
 - Conduent Contract Closeout
- Integrated Project Plan
- Program Risks



CJIS Information Sharing System

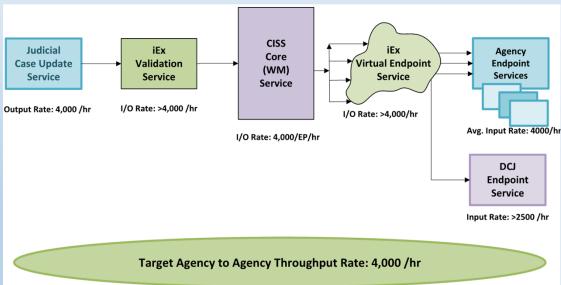
Workflows

- Goals for CJIS state-wide criminal justice information sharing system
 - Facilitate immediate, seamless information sharing between Connecticut Criminal Justice Agencies
 - Improve the efficiency of Criminal Justice Agencies as they carry out their daily data sharing processes.

Latest Accomplishments

- Full transfer of production Criminal Justice Data from Judicial to eProsecutor (DCJ)
 - All Active cases are fully accessible thru eProsecutor
 - Changes to the status of cases are updated daily (Incrementals)
 - Archived cases thru 2011 are fully accessible thru eProsecutor
 - Full 10 years of data was transferred to eProsecutor as of January 24, 2021

- Early Arrest Notification In production
- Case Update Data Exchange In production
- Disposition exchange will be enabled in February
- Schedule Notification to be activated with arrest workflow





Partner Agency Updates

DCJ

- Have received in production all active and historical cases from 2021 to 2011
- Case Update and incremental exchanges are complete and in production

JUDICIAL

- Currently sending Case Update through CISS to Downstream Agencies in production environment
- Production data exception report pre-production refinement
- Direct interface to Criminal is in Sprint 3 of 3

RMS VENDORS

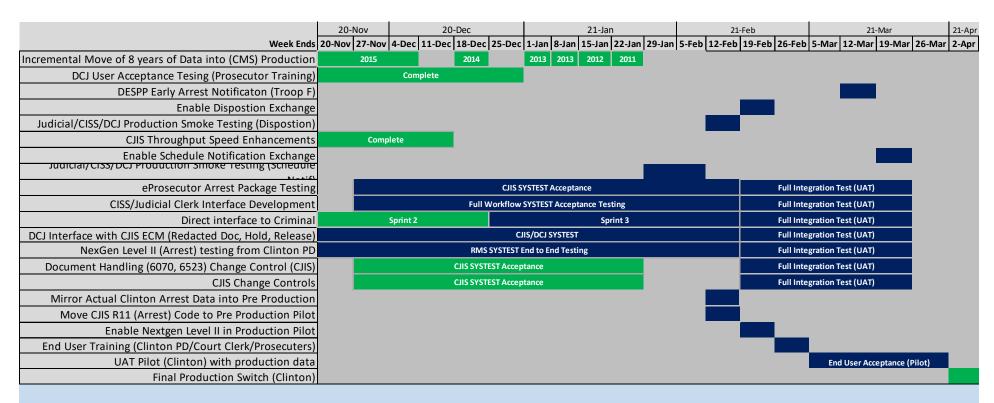
- NexGen completed level II development with full integration testing with full arrest package underway
- Accucom has begun sending level II arrest packages thru the SYSTEST environment
- CJIS has an agreement with Central Square's IMC RMS and is negotiating for three additional RMS systems.

DFSPP

- Continue DESPP/CISS partnership for implementation of LiveScan
- New data exchange to support MNI/CCH refresh is now in SYSTEST



GA Deployment



- GA9 Police Departments in scope are Chester, Clinton, Cromwell, Deep River, Durham, East Haddam, East Hampton, Essex, Haddam, Killingworth, Middlefield, Middletown, Old Saybrook, Portland, and Troop F in Westbrook
- In discussions with Judicial and DCJ to determine statewide deployment approach.



CISS Onboarding Strategy

CISS ONBOARDING STRATEGY



*I.M.P.A.C.T. Sessions = Joint Command Staff Sessions that cover:

I.dentification of each PD Service Foci. M.anagement Concerns, P.articipation in CISS. A.ction Requested. C.ustomer Service T.raining.)

Risks, Challenges, & Mitigations

- Mitigation: CPCA Distributed Document
- Challenge: Multi-Assigned vs. Dedicated Teams
- Mitigation: Additional Trainer to Meet FY Timeframe
- Agency Partner Passed Away

TOP 10 TOTAL AGENCY & SEARCHES #s IN 2020

TRAINING STATISTICAL UPDATES

Department of Corrections: 16,971 Board of Pardons and Parole: 8,701

Department of Emergency Services and

Public Protection: 5,688

Department of Motor Vehicles: 3,954

Local Police Hartford: 2,355

Local Police Waterbury: 2,077

Local Police Torrington: 1,625

Local Police South Windsor***: 1,591

(Dispatch = highest users.)

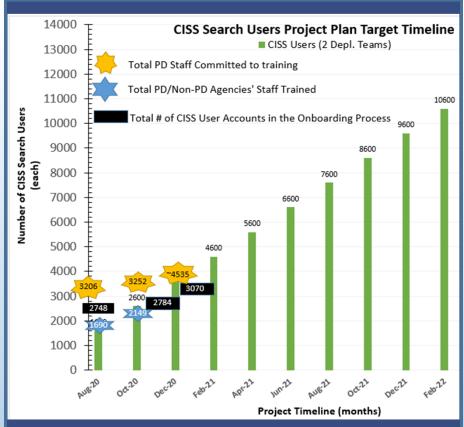
Local Police Orange*** :1,501

(Detective Division = highest users.)

Local Police Berlin****:1,300

(Dispatch = highest users.)





^{**}Data from 12/22/2020 6, 2020 ***South Windsor PD surpassed Orange PD

^{****}Superior Court Operations no longer Top 10. Replaced by Berlin PD, new to top 10 list.



Router Connectivity & Upgrades



AGENCIES INTEGRATED EFFORTS FOR UPGRADING CONNECTIVITY HARDWARE

- CJIS | DAS BEST | PDs
- Full Customer Service Support
- Router Upgrade Efforts for Current EOL Season
 - Gradual Effort to Upgrade "Older" Routers
 - Challenge: PDs Working to Configure
 Upgraded Router for Minimal to No
 Interruption of Connectivity

Total PDs Connected that Have Never Been Connected to a CISS Router: 3

AVON PD, NEW HAVEN PD, BRANFORD PD

Risks, Challenges, & Mitigations

Challenge: COVID-19 era

Challenge: Partnering Team Member's Death

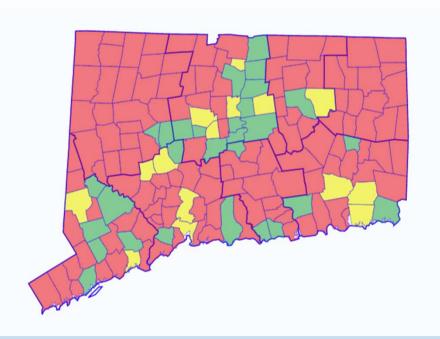
Mitigation: Virtual Meetings with Police Departments

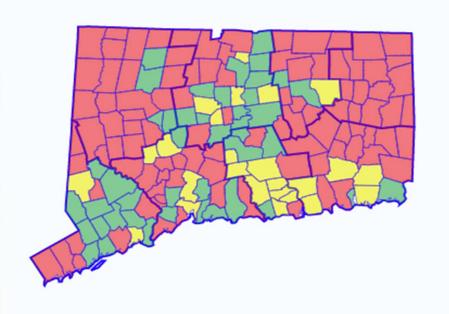


CJIS Reports, KPIs and Dashboards



December 2020





- PSDN Connected Routers 95 (11)
- PD LAN Connectivity 56 (19)

- Access to CISS Search
- Connectivity to CISS in progress
- No access to CISS Search



CISS Search Progress

CISS Search Statistics:

1. Police Department Searches:

- Since 2019, CISS PD Search totals increased by 99%.
- 2019: PD CISS Searches were 19% of all CISS searches.
- 2020: PD CISS Searches were 33% of all CISS searches.

Police Department and Criminal Justice Agency Searches:

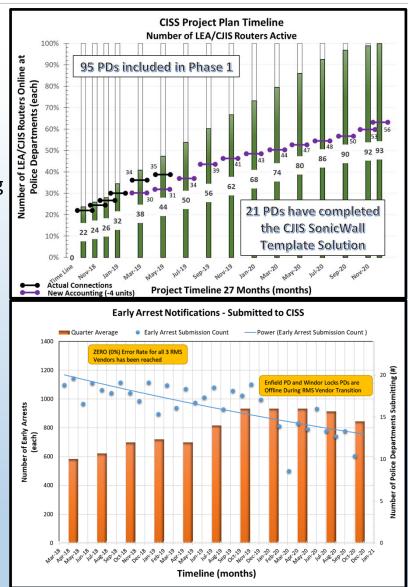
Overall CISS Searches grew at a 16% rate from 2019 to 2020.





EARLY ARREST NOTIFICATION (EAN) - RMS LEVEL 1

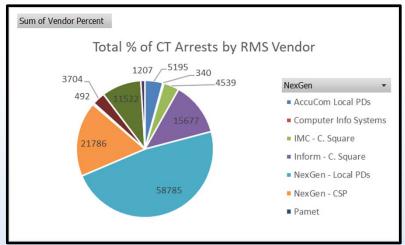
- CONNECTIVITY
 - GA-9 Middletown Court and DCJ Focused
 - 56 out of 95 Phase I are currently connected
 - 53 on Standalone CJIS Routers
 - 3 on new Combined CJIS/COLLECT Routers
 - 2 more CCSU & Yale PD are close & onboarding
- READ ONLY AUTO STATUTE TABLE SERVICE (LEVEL 0.5)
 - CJIS Created a new Read Only configuration
 - CPCA notified NexGen Customers
 - 8 PDS & CSP Automatic Statute Table Updates
- EAN DEPLOYMENT IN PDS
 - 16* PDs currently sending Early Arrests
 - 26 more Dependent on Dispositions enabled





ELECTRONIC ARREST PACKAGE- RMS LEVEL 2

- Integrated Multi-Agency Testing in progress
 - Clinton PD Pseudo-Prod Server is 100%
 - Components
 - RMS Programming
 - Arrest Packages (data) / Transmittals
 - Arrest Documents and Forms
- CISS INTEGRATION RMS ARRESTS BY PERCENT (%)
 - Working to engage additional RMS Vendors
 - NexGen is 65.4%
 - Accucom is 4.2%
 - Central Square is 28.7%
- 98% + of all State of CT Arrests will be able to go through CISS electronically



RMS Vendor	Segment	Vendor % of CT Arrests
NexGen	Local PDs	47.7 %
NexGen CSP	CT State Police	17.7 %
Accucom	Local PDs	4.2 %
Central Square	IMC – Local PDs	3.7 %
Central Square	Inform (Enterprise) – Local PDs	12.7 %
Central Square	Sunguard (One Solution) – Local PDs	9.3 %
Central Square	Pro Suite	3.0 %



CJIS Special Projects & Analytics



















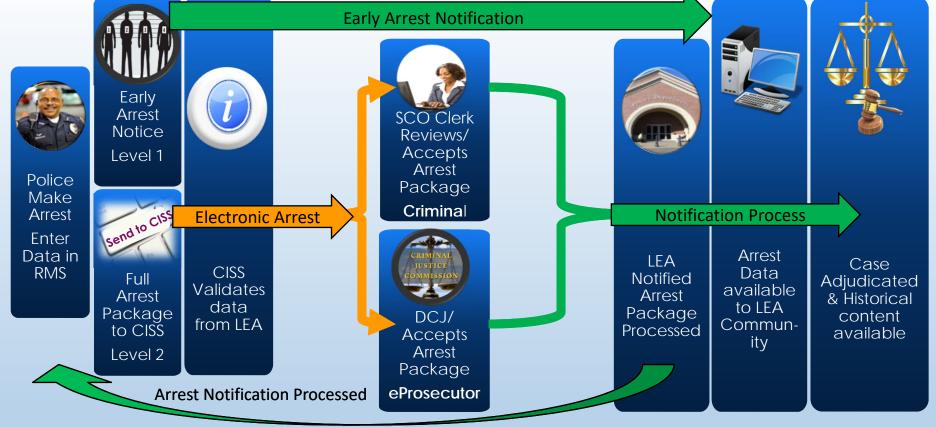
Agency Name	CISS Search	CISS Workflow	CJIS Analytics	Current Status
Departments of DCP, DSS, DRS (SIM-SAM)	\bigcirc			Planning – OU Stage
DMV Driver Services IB-53/Judicial CR-39	\bigcirc		\bigcirc	Active
Combined CJIS/COLLECT Routers	\bigcirc	\bigcirc		Deployment (98% +)
DOC & DMV MVP Dispositions		\bigcirc		Complete
DCJ - Docket Reports		\bigcirc		Complete
CT Sentencing Commission (SA 19-17) – Study of Disparities in Pretrial and Sentencing Outcomes			\bigcirc	Part 1 Complete
State Marshals Self Service Portal (C.G.S §14-10 & C.G.S §6-38a)			Ø	Ver. 1 LIVE → Ver. 1.5
Criminal Record Erasure Reporting (HB 5019 & SB 403)			\checkmark	Initiation
Police Use of Force Transparency (PA 19-90)			\bigcirc	Phase I Active
CISS Search Available via Internet	\bigcirc		\bigcirc	Active
Digital Evidence	TBD	Ø	TBD	TBD

^{*} Other Notables: Racial Profiling, DOC & DMV MVP Arrest Doc. Viewer, BOPP & DESPP Data Exchange, DMV - CIB Access



Integrated Multi-Agency Workflow GA-9 UAT Testing Q1-2021

- Eliminates 90%
 of manual
 transportation
 of arrest
 paperwork.
- Automated delivery of mandated notifications to agencies.
- One time data entry for Criminal Justice systems.
- SCO Manual entry of arrest data no longer required.
- ✓ DCJ –Arrest info electronically transmitted into their new Case Management system.
- DPDS Access to essential information for decision making for clients. (Probable Cause Report)

























Judicial Criminal Systems Integration

The following slides represent the workflow process for the electronic submission of data and documents within Judicial systems. This new workflow will streamline the collection and entry of new arrests from participating Police Departments through CISS to Judicial. The electronically delivered documents will be printed and filed by the clerk, while the data will be reviewed and accepted by the clerk without additional manual data entry or changes. The entire process includes provisions for correcting or rejecting arrest submissions with incorrect or missing data or documents.

The slides were copied from our actual test environment; they are currently functional unless otherwise indicated.

Presentation Data Disclaimer – all test data; names, addresses, identifying information, and dates are fictitious.

Pre-Judicial Processing Activities:

POLICE DEPARTMENT (RMS VENDOR)

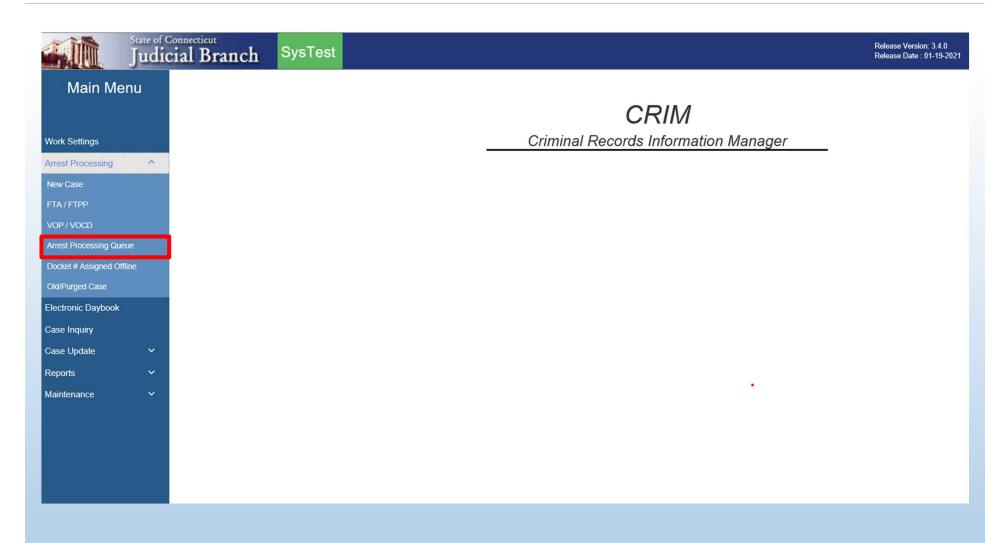
- Prior to the slides in the presentation, the Police Department has arrested, fingerprinted (UAR), data entered all the pertinent data into their Records Management System, and generated or uploaded the proper forms.
- The Police Department has also processed the Arrest through the required sign offs and approvals and has electronically submitted the approved arrest and transmittal through CISS for delivery to both DCJ and Judicial.

DCJ

• Through CISS, DCJ simultaneously receives the same arrest submissions from the Police Department.

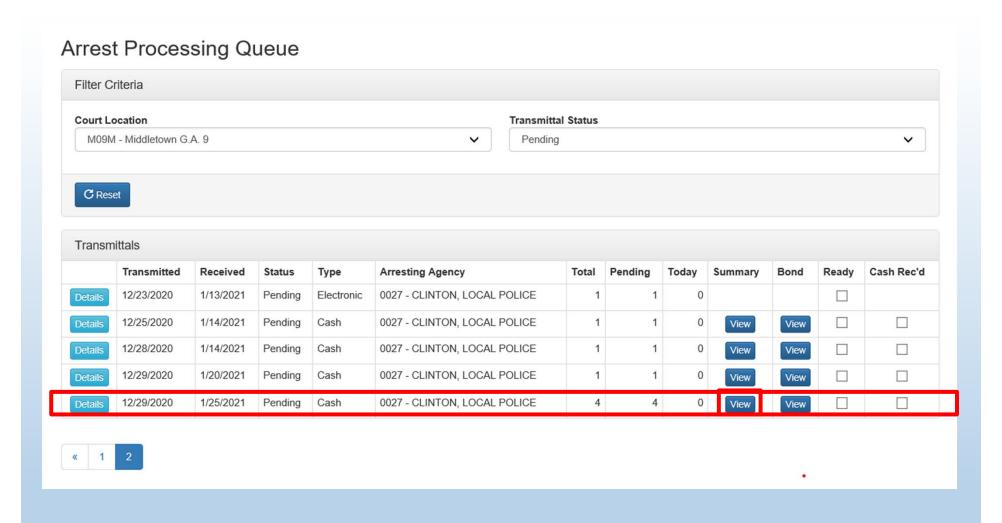


Judicial CRIM Application Integration



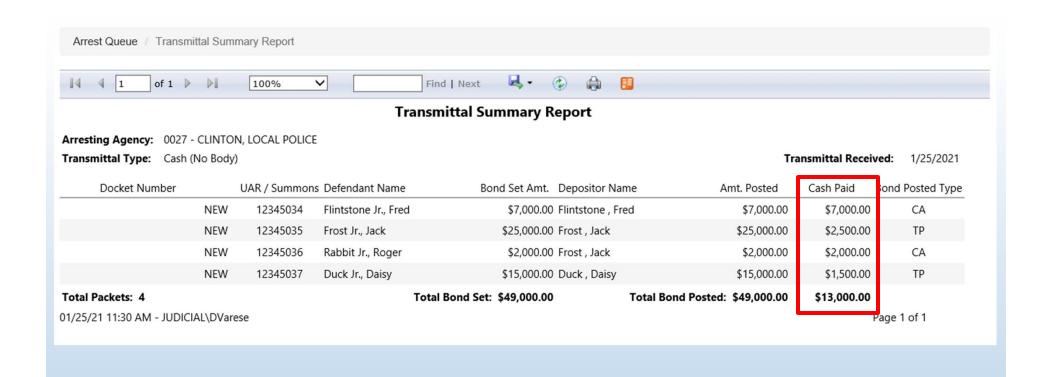


Judicial CRIM Application Integration Arrest Processing Queue



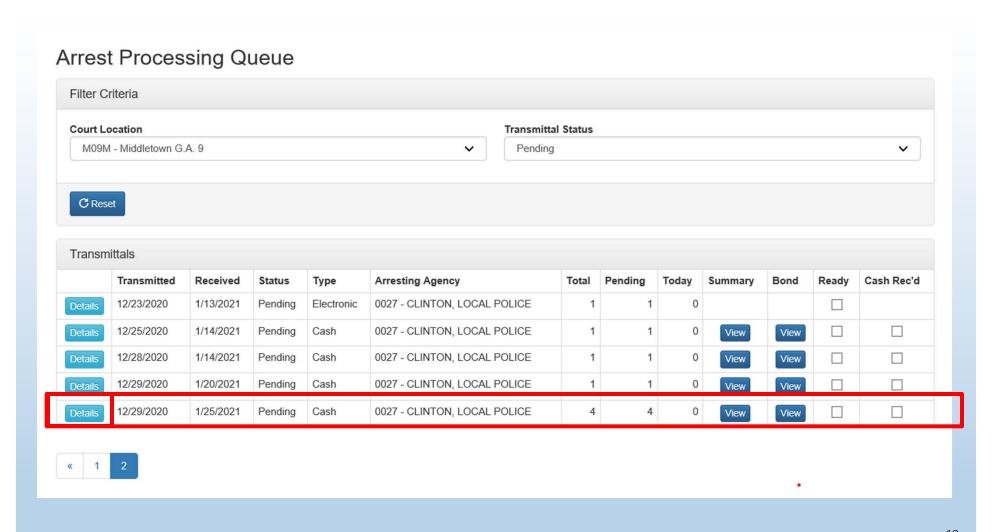


Judicial CRIM Application Integration Balancing Cash Delivered by the PD





Judicial CRIM Application Integration Reviewing Arrests Submitted by the PD



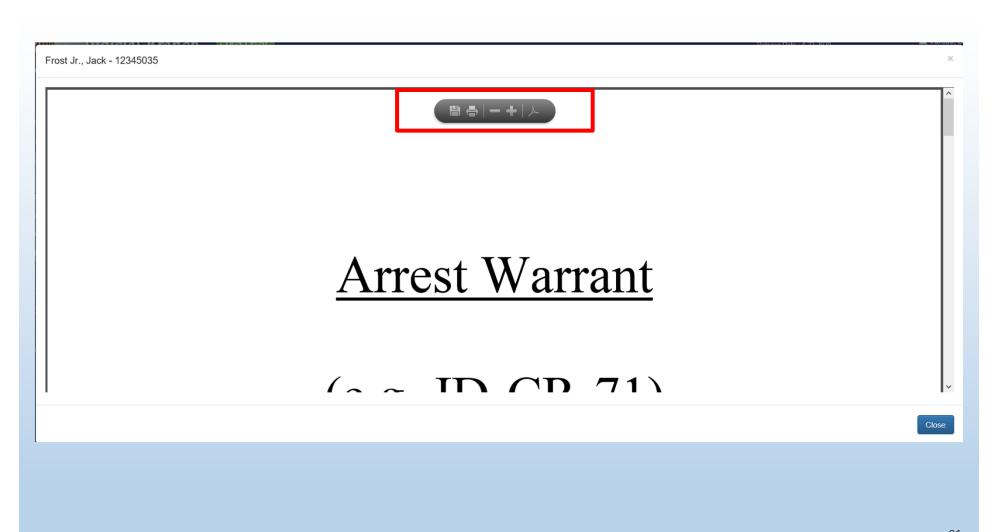


Judicial CRIM Application Integration Review and Print Documents

Hansinita	Detail												
Arresting A Transmitted Received Da Transmittal	Date and ate	Time 12	027 - CLINTON, LOC/ 1/29/2020 10:00 AM 25/2021 ash	AL POLIC	CE	Total Items Pending Items Today's Pending Item		4 4 0		Cou Cas Rea	h Received	моэм П	
Arrest Pac	kets												
Documents	Ready	Process	UAR/Summons	Туре	Status	Defendant	DOB	Docket#	FV	Bond	Arrest Date	Court Date	Rejec
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9 2		Process	12345035	New	Pending	Frost Jr., Jack	2/12/1980		FV	TP	12/16/2020	2/17/2021	Rejec
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rrest Warrant								AR	REST_V	VARRAN	Т		
riminal Appe	arance Boi	nd						CR	RIMINAL_	_APPEAF	RANCE_BOND		
		Process	12345036	New	Pending	Rabbit Jr., Roger	2/12/1980			CA	12/16/2020	2/17/2021	Reje
@ 2				New	Pending	Duck Jr., Daisy	2/12/1980		FV	TP	12/16/2020	2/17/2021	Rejec



Judicial CRIM Application Integration Review and Print Documents



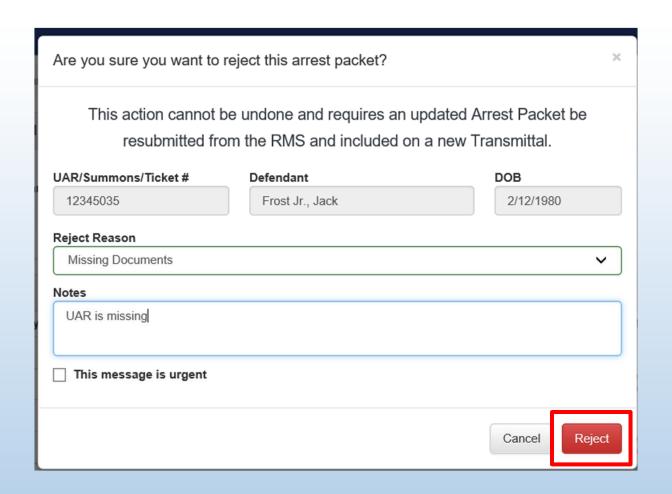


Judicial CRIM Application Integration Reject Process – Return to PD Electronically

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Judicial CRIM Application Integration Reject Process – Return to PD Electronically

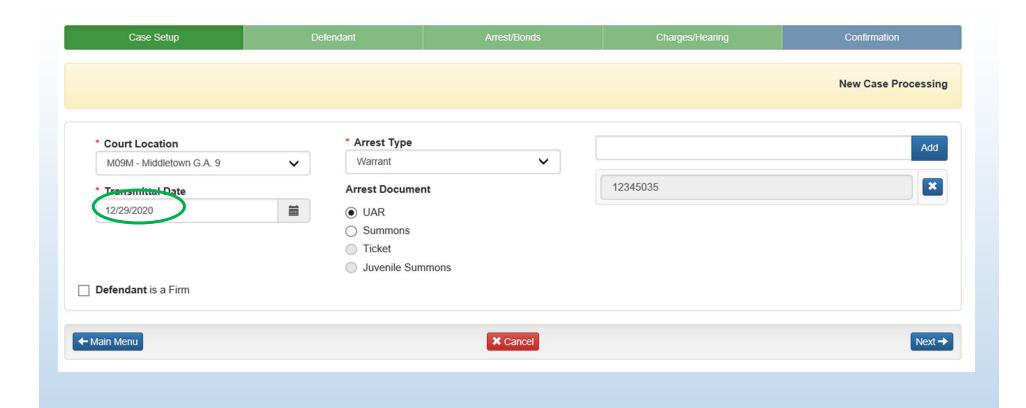




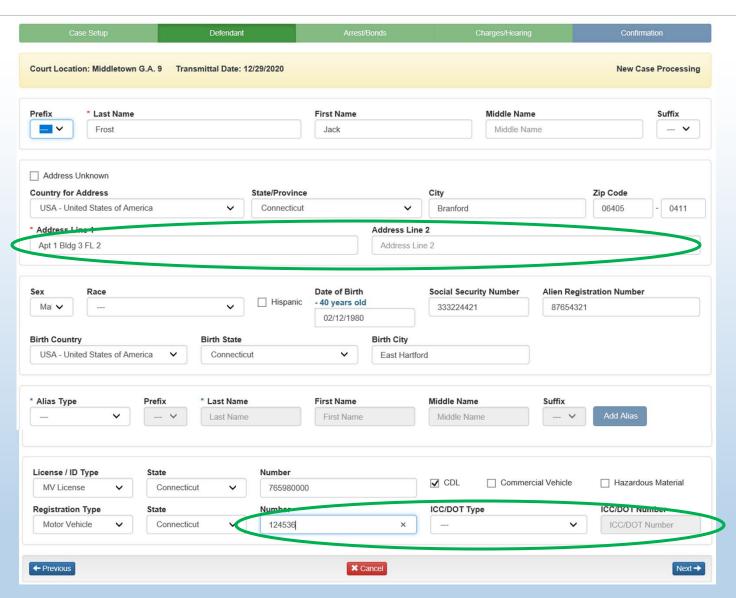
Judicial CRIM Application Integration Process Arrest Submission

Transmitta	l Detail												
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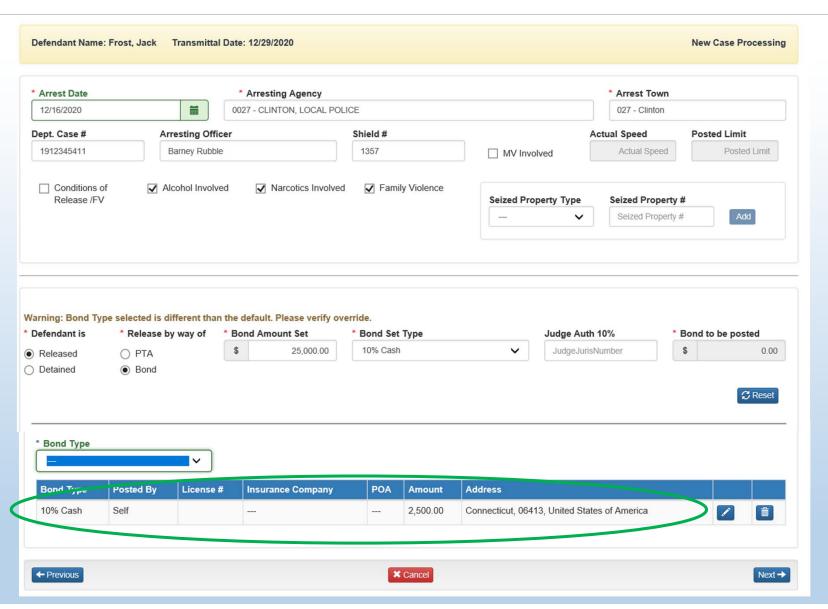




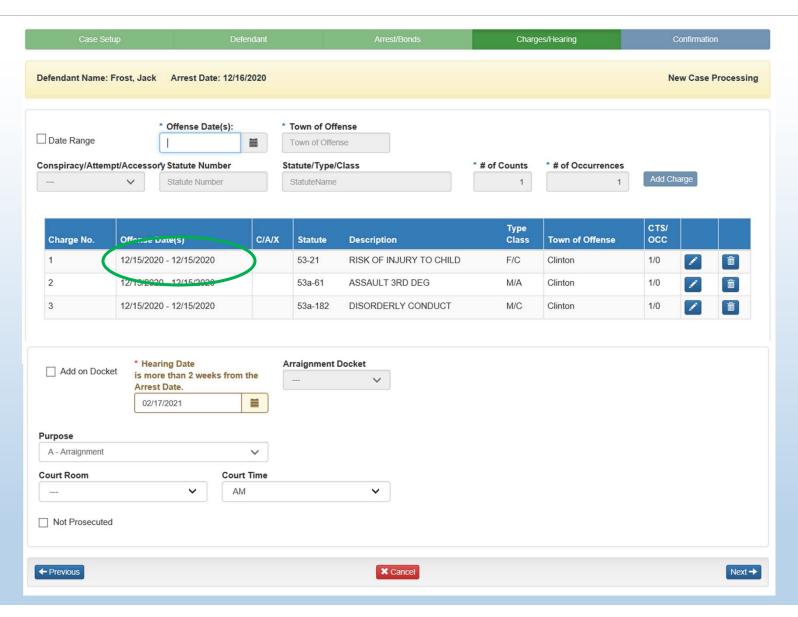




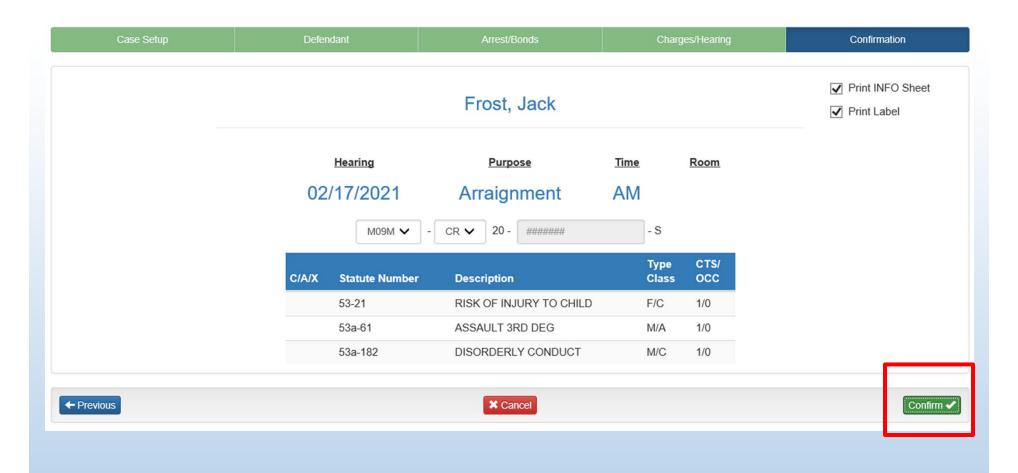












JUDICIAL

- Docket Number is assigned; Data is saved in the CRMVS System.
- The hardcopy file is created and labeled.
- The Arrest Response Message is generated and sent through CISS to DCJ and the originating PD.
- CISS Data Exchange transactions for Schedule Notification (within minutes) and Case Update (end of day) are generated and submitted to CISS for validation and distribution to appropriate agencies.

DCJ

- Will receive the Arrest Response Message as soon as the arrest is successfully processed
- Will receive a Schedule Notification within minutes of the confirmation of the case that includes the newly assigned docket number and the UAR information for matching in their e-Prosecutor system.
- Will receive a Case Update at the end of the day (11:00 PM) that includes a more comprehensive snapshot of the Docket Number/Hearing/Charges/Defendant information

POLICE DEPARTMENT (RMS VENDORS)

- Will receive the Arrest Response Message to update the submission status on their dashboard
- Will receive a Case Update at the end of the day (11:00 PM) that includes a more comprehensive snapshot of the Docket Number/Hearing/Charges/Defendant information

DESPP

 Will receive a Case Update at the end of the day (11:00 PM) that includes a comprehensive snapshot of the Docket Number/Hearing/Charges/Defendant information that is used to update their new system (Target mid-May)



Judicial Integration Status Work to be completed

NEW CASE INTEGRATION

- Outstanding code corrections: Eight reported testing issues; Systest testing is 20% complete
- Bond changes: Arrest and Transmittal database and data translation updates complete; screen integration in process
- Implement XML changes and pass through to the criminal system
- Insert, Update, Delete processing changes: On hold waiting for Judicial technical resource availability
- Data Confirmation: Save data to CRIM and CRMVS

FTA/FTPP INTEGRATION

- Arrest and Transmittal database and data translation updates complete
- Screen Integration development was recently started
- Data Confirmation: Save data to CRIM and CRMVS

VOP/VOCD INTEGRATION

- Arrest and Transmittal database and data translation updates complete
- Screen Integration development was recently started
- Data Confirmation: Save data to CRIM and CRMVS

CR/MV (COMPANION CASE) CHANGES

Requirements are documented; waiting Judicial technical review after FTA and VOP integration

DATA EXCHANGES

- Case Update is complete and in Production. Code updates required to address 4 types of data anomalies discovered during DCJ data transfers to ensure data delivery to downstream agencies are currently in process
- Disposition and Schedule Notification are on hold until the four production issues (above) are complete and in production.
- Manual Support needs to be reduced before statewide implementation

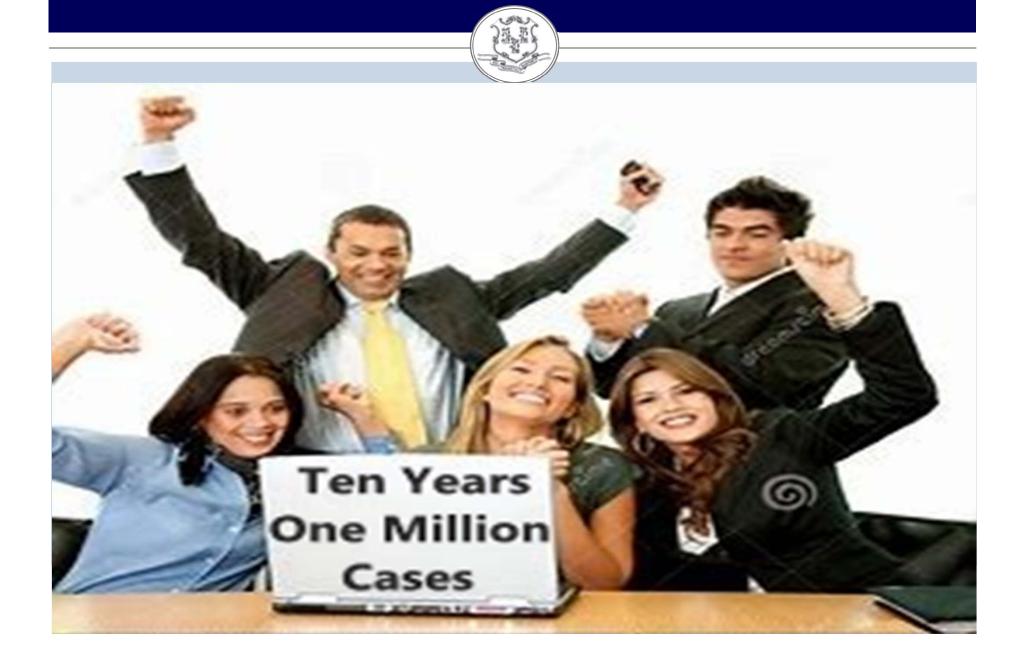


Case Management Project

Governing Board

eProsecutor Presentation

By: Evelyn Godbout DCJ Director of Information Systems





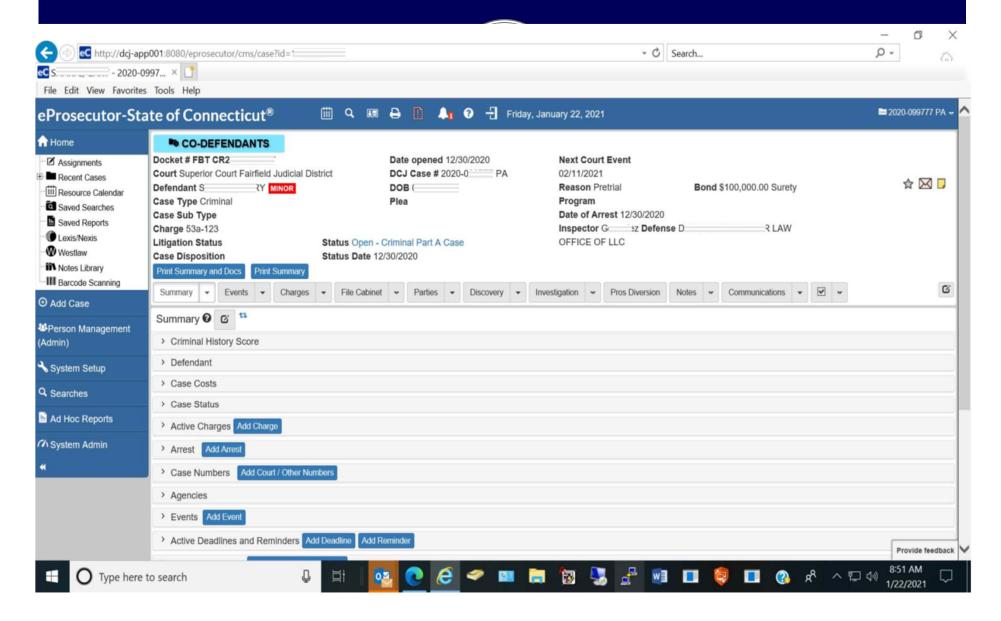
Benefits to DCJ Staff

- Ability to search names and data across all of DCJ
- Ability to easily access all cases related to a single incident
- Ability to easily see all cases an individual is involved in even if their involvement is as a victim or a witness
- Easy access to court activity and next court date on cases
- Access to all documents sent into CISS by police
- Full text searching on document content across the entire system
- Ability to run and save searches and reports
- Provide the ability to comply with transparency PA 19-59

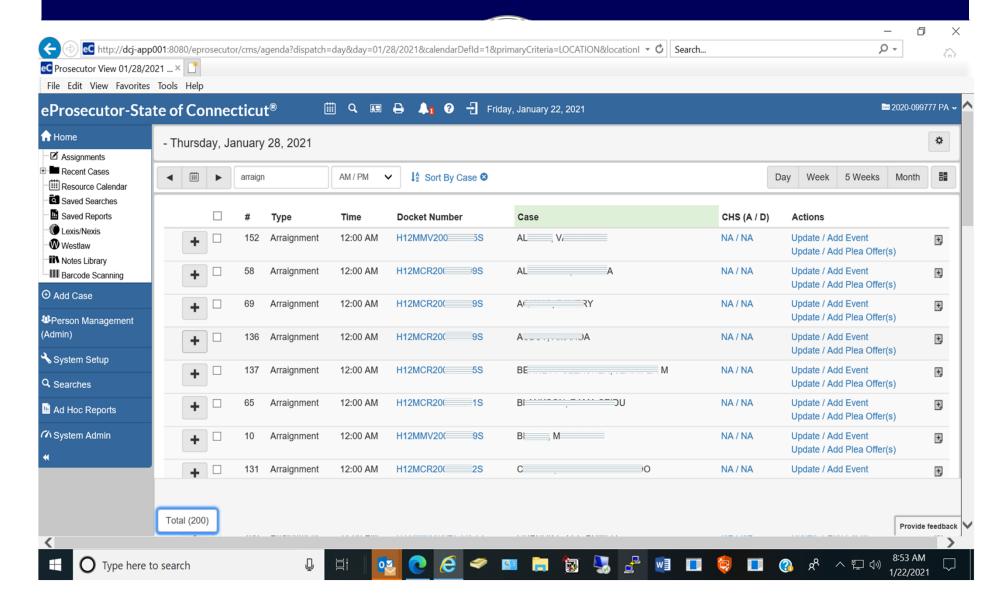


DCJ Adult Criminal Case Workflow

- Police make an arrest and send Arrest Packet into CISS
- CISS sends the Arrest Packet data and Documents to DCJ
- DCJ Inserts and Updates cases in eProsecutor
- Prosecutor reviews overnight arrests in GA work queue
- Prosecutor determines whether to release, redact, or place a hold on documents going to others



DIVISION OF CRIMINAL JUSTICE



DIVISION OF CRIMINAL JUSTICE

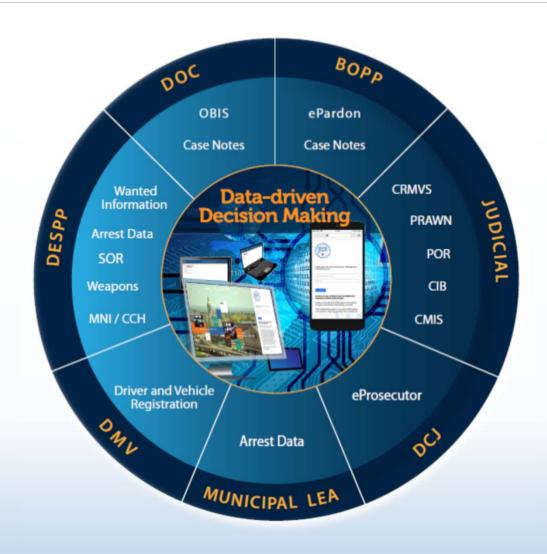


Deployment Strategy

- 1) Part A & Part B Cases will be Deployed Statewide
 - No Conversions of Historical data
 - Data will be initially populated from Judicial
- 2) Specialized Units and Bureaus
- 3) Integration with CISS
 - Pilot District may be the Middletown Judicial District
 - Deployment will follow the CISS Schedule until deployed statewide.



Questions





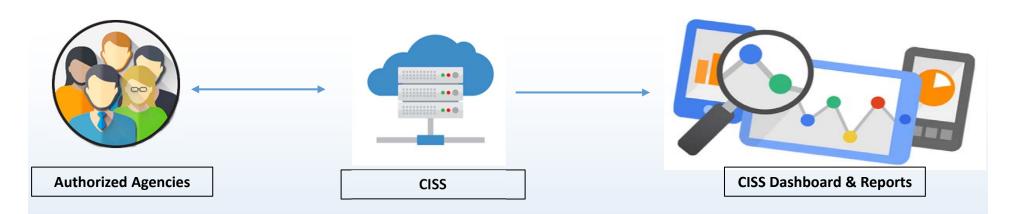
CJIS Reports, KPIs and Dashboards

HELP DESK TOTAL ACTIVE ACTIVE W/O SCOM SCOM NEW IN PROGRESS PENDING 359 433 7 83 1 AWAITING VENDOR AWAITING PARTS 14.29 ALL INCIDENTS BY SLA STATUS ALL INCIDENTS BY STATUS ALL INCIDENTS BY SOURCE ALL ACTIVE INCIDENTS BY SUPPORT GROUP — Console — E-Mail — Phone — Portal - Active - Breached - Closed - Resolved INCIDENTS BY CLASSIFICATION CATEGORY WEEKLY INCIDENTS BY CREATED DATE WEEKLY INCIDENTS BY RESOLVED DATE - Weekly Incidents - Weekly Incidents 07/25 08/01 08/08 08/15 08/22 08/29 09/05 09/12 09/19 09/26 10/03 10/10 10/17

Help Desk Dashboard URL - https://dashboard.cjis-ciss.ct.gov/Help



CISS Workflow Tracking, Delivery & Recovery

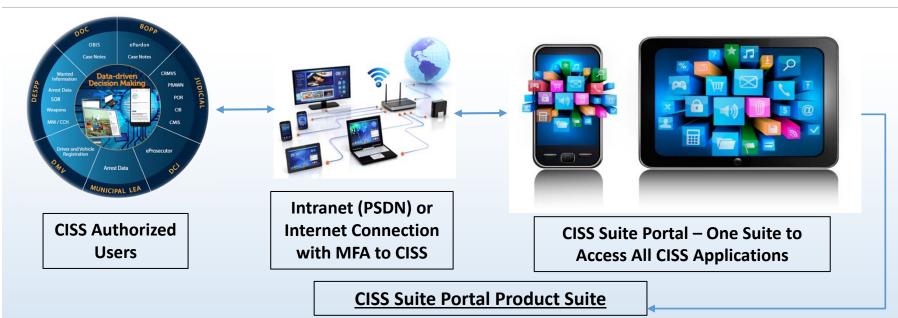


Benefits of Workflow Tracking:

- Reporting and notification of message successes and failures
- Service Level Agreements for message delivery
- Message Tracking and Recovery Dashboard
- > Automated data validations, reconciliation, and auto recovery
- > Full transparency of the workflow process



CISS Suite Portal



- CISS Search
- CISS Workflow MVP
- Message Tracking and Recovery Dashboard *
- PD and State Police Command Staff Site *
- ➤ KPI, Reports, Dashboards, Data *
- ➢ Helpdesk Access *

- Online Documentation *
- CIB Access **
- Access to COLLECT **
- ➤ Digital Evidence **
- License Plate Reader **
- Other Databases LINKS Database, etc. **



CJIS Capital Budget

CISS FY21 CAPITAL BUDGET SUMMARY							
CAPITAL INVESTMENT	Amounts	<u>Totals</u>					
Bond Funds Provided from June 2011 to June 2020 Inclusive	\$ 60,920,000						
Total Expected Costs for CISS Phase -1		\$60,920,000					
PHASE 2 CAPITAL FUNDING							
CJIS Approved Bond Funds for CISS Phase-2	\$ 8,924,328						
CJIS Appropriated Bond Funds for CISS Phase-2 Out of the \$8.9M	\$ 4,998,000						
Available Original Bond Funds	\$ 19,981						
Total Overall Bonds Appropriated*	\$ 5,017,981						
Bond Funds Expended from July 2020 - December 2020 Inclusive		\$ 1,619,452					
Total Capital Costs for Build and Deployment as of December 2020*		\$62,539,452					
Note: *Amounts are close approximations based on latest Financial Reports							



CJIS Operational Budget for FY21 - FY23

CISS GENERAL FUND OPERATIONAL BUDGET SUMMARY							
FISCAL YEAR		FY21	FY22 REQUESTED	<u>R</u>	FY23 EQUESTED		
General Fund Budget	\$	2,684,610	\$3,662,002		\$3,676,661		
Requested Recission		(\$134,230)					
General Fund FY19 Rollover Funds for FY21 Requested	\$	906,000					
Total Funding for General Funds ¹		\$3,456,380					
Inmate Phone Revenue Budget for State Positions and Maint. & Support	\$	3,345,793	\$ 5,097,152	\$	5,097,152		
Total Expected Annual Operational Budget		\$6,802,173	\$8,759,154		\$8,773,813		
Notes: 1 - CJIS is working to reduce annual costs. Software AG Costs Reduced from \$520K to \$180K Annually for FY22 and FY23							



Conduent Contract Closed

Federal Grant Opportunities for CJIS Projects

CISS Scope Completion & Deployment Phase Key Success Factors

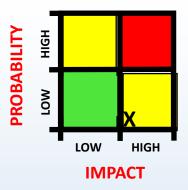
Secure CJIS Funding Status Notes FY21 budget in place. FY22 and FY23 budgets **Funding for Operational Support and** requested. General Fund with FY19 Rollover to **Implementation of Phase 2** cover FY21, FY22 and FY23 gaps. Bond funds of \$8.9M received and used for deployment of CISS. **CISS Operational Support** State employee hiring on hold. Consultants **State Employee Hiring** used to supplement operational support needs. Contract for support started July 1, 2019. **Maintenance and Support Vendor** Funded from Inmate Phone Revenue. Bond Funding in place. Plan being executed to **CJIS Consultants** complete CISS state-wide deployment. CJIS is negotiating with vendors to reduce costs significantly. We are also updating our "end state" **NEW - Cost Reduction and Right Sizing** organizational needs for more efficiency, effectiveness and stakeholder satisfaction. **Other Factors**



CISS Key Project Risks & Mitigation

#1 RMS Vendor Participation Does Not Provide 90% + of All Arrests to CISS Mitigation:

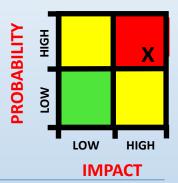
- ➤ Contract largest RMS vendors with CISS to get 90%+ arrest information in the state. NexGen, Accucom and now IMC started connectivity work as well. CJIS is negotiating with Central Square to connect three more RMS vendors Systems to CISS.
- Support Law Enforcement Agencies (LEAs) to migrate to RMS vendors connected to CISS.
- > CISS Connectivity required to be Standard in CT Contracts and add in new legislation.
- Provide solution(s) for LEAs with non-CISS connected RMS.



#2 Stakeholder Support. Current CJIS Agency state budgets do not include funding for resources to implement the CISS.

Mitigation:

> Tiger Teams - CJIS will continue to provide technical and logistical resources support to Agencies for CISS implementation.





CISS Key Project Risks & Mitigation

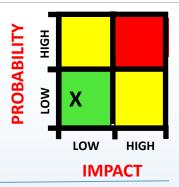
#3 COVID-19 pandemic will cause delays and increase costs in the deployment of CISS Workflows and Search.

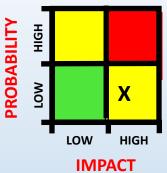
Mitigation:

- CJIS is working with each Stakeholder impacted by the COVID-19 to help with any CISS deployment work by offering resources that may be needed.
- We are also moving CISS scope from the future to the present that must be completed in order to successfully deploy CISS with the funding and schedule planned.
- #4 SharePoint, Software AG, MultiVue and Other Key Applications in CISS need to be upgraded over the next 12 months or significant issues will occur.

Mitigation:

Plans are in place to do the upgrades but this will add some delays to the deployment phase.





Note: Risk concerning negotiations to close Conduent contract has been closed.



CJIS Governing Board 2021 Meeting Schedule



Next Meeting

April 22, 2021

To be determined

For questions and comments email

CJIS.HelpDesk@ct.gov

CISS Dashboard

https://dashboard.cjis-ciss.ct.gov