Criminal Justice Information System

Governing Board Meeting

October 27, 2016

Agenda

- ➢ CISS Project Update
- ➢ Hosting CT: CHIEF Update
- ➢ Project Health Check

CISS Project Update

RELEASE		Q3 2016			Q4 2016			Q1 2017			Q2 2017			Q3 2017			Q4 2017	
		JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV
3	**SEARCH**	**POR, CRMVS**						Production Ready										
6	**SEARCH**	**CIB, DOC CASE MANAGEMENT, DMV DRIVERS and VEHICLES**												Production Ready				
4	**WORKFLOW**	**UAR & MISDEMEANOR SUMMONS - FULL PAYLOAD: DOCUMENT REDACTION, ANNOTATION, RELEASE**														Production Ready		
5	**SEARCH**	**LAW RMS, ECM DOCS SEARCH & RETRIEVE**														Production Ready		
8	**WORKFLOW**	**POST ARREST, ARRAIGNMENT, DISPOSITION, POST JUDGMENT**														Production Ready		
7	**SEARCH/ RETRIEVE**	**INFRACTIONS**														Production Ready		
9	**SEARCH**	**SOR, CMIS, MNI/CCH, WEAPONS, COLLECT (WANTED PERSONS)**																

CJiS

CISS Project Update

Plans and Completions

- **R2 – Early Arrest Notification**
  - User Acceptance Testing ✓
  - GO LIVE - 8/22/2016 ✓

- **R3 – Search Sources (CRMVS & POR) & Saved Searches**
  - End User Acceptance Testing ✓
  - Defect and Change Request review for fixes
    - Deployment GO LIVE – TBD

- **R4 – RMS UAR & Misdemeanor Summons Electronic Data**
  - Development thru 3/17/2017

CISS Project Update

Plans and Completions *cont.*

- ➢ **R5 – Search Source (RMS) & Events**
  - ▪ Development thru 6/9/2017 – Dependent on R4

- ➢ **R6 – Search Sources (CIB, DOC/BOPP CM & DMV)**
  - ▪ Development thru 1/20/2017

- ➢ **R7 – Infractions**
  - ▪ Requirements - Requirements session scheduled for 10/25/16

- ➢ **R8 – Post Arrest, Arraignment, Post Judgement & Case Setup**
  - ▪ Development thru 4/21/2017

CISS Project Update

Plans and Completions *cont.*

➢ **R9 – Search Sources (SOR, CMIS, MNI/CCH, Weapons & Wanted)**

- Begin Data Replications for all sources
- Outstanding items for DESPP files
    - Need vendor contact information & commitment
    - Need to get a resource on board to do work efforts

- Finalize Requirements for CT Wanted file
    - All data elements have been identified
    - Only need to resolve the web service call for the data

CISS Project Update

Projections

➢ **R3 – Search Sources (CRMVS & POR) & Saved Searches**
- Complete code fixes and changes
- GO LIVE - **TBD**

➢ **R4 – RMS UAR & Misdemeanor Summons Electronic Data**
- Continue Development

➢ **R5 – Search Source (RMS) & Events**
- Continue Development

➢ **R6 – Search Sources (CIB, DOC/BOPP CM & DMV)**
- Continue Development

CISS Project Update

Projections *cont.*

➢ **R7 – Infractions**
- Requirements session scheduled for 10/25/16
- Hold design session

➢ **R8 – Post Arrest, Arraignment, Post Judgement & Case Setup**
- Start Development

➢ **R9 – Search Sources (SOR, CMIS, MNI/CCH, Weapons & Wanted)**
- Resolve technical question on the number of calls for Wanted
- Start Design Sessions
  - Need FBI approval on the Wanted file to better understand the data retrieval process to use
- Continue Data Replication for MNI/CCH, SOR, Weapons & CMIS

CISS Project Update

Schedule Mitigation

➢ **Stakeholder Planning**
- Meet to review the following:
  - Review resource availability
  - Discuss effort for development and testing
  - Discuss blackout periods to better understand production readiness
- Combined testing effort between CJIS and Xerox
- Creation of RMS/Agency simulator

➢ **Stakeholder Participation Changes**
- Attendance at design sessions by resources doing the work
- Early stakeholder reviews of CISS screens during development & System Testing
- Early scheduling of use case reviews in preparation for testing

CISS Project Update

RMS

Certification

- ➢ CT: CHIEF and ACCUCOM
  - 2 of 12 RMS vendors in CT
  - Cover roughly 20 of 93 police departments
- ➢ Discussions on contractual agreements with New World and TriTech are ongoing
  - ➢ New World may not participate with document management for CISS
- ➢ Will continue contract discussions for R4 & R8 once CJIS completes the requirements and design documents
- ➢ High priority to onboard all RMS vendors
- ➢ Complete API requirements document for RMS vendors

CISS Project Update

Project Risks

- State Budget Cuts
  - Stakeholder involvement with CISS project may be impacted by State budget cuts

- CJIS FBI Clarification about Wanted Persons Data
  - Governance Committee seeking approval for additional Information from the FBI

- Project Schedule Impacts
  - Delays in prior releases and current releases could cause an need to extend the CISS current schedule

- CISS Demand on Stakeholders
  - CISS is in a project life cycle that is very demanding on stakeholders to support 7 active releases

CISS Project Update

Project Risks *cont.*

➢Receipt of the new Vehicles data file
  ➢A change from the old mainframe data to the CIVLS data was introduced late in the development process

➢Release 9 data sources not yet replicated
  ➢SOR vendor not committed to project timelines
  ➢Resource constraints with DESPP for other sources
    ➢Weapons
    ➢Wanted

➢SharePoint Upgrade to 2016
  ➢Currently CISS is being developed under SharePoint 2010
    ➢SharePoint 2010 is end of mainstream support

CISS Project Update

Risks Mitigation

- Working with Agencies to baseline the lifecycle of work efforts for interfacing with CISS
    - Reviewing of resource needs to meet the schedule for development and testing
    - Review of blackout periods could impact the schedule

- Reviewing current release schedule for potential movement of items ready for development

- Project Checkpoint meetings every two weeks to review the progress and identify any potential impacts early

Hosting CT: CHIEF

➤ Status on CT: Chief
 ▪ Wethersfield PD Live
 ▪ Enfield PD Live - Go Live date is 11/6/2016
 ▪ New Britain PD – Starting setup and migration

➤ To date no production incident support tickets have been opened for CJIS

➤ State work effort is currently being tracked during Enfield and New Britain PDs deployment

CISS Project Update

CISS Deployment Guiding Principles

- Turnkey End-to-End Workflow Implementation
- Realistic Schedules for Each Agency
- Scope Value - VS - Obsolete Scope
- 100% of Arrest Information in CISS for Workflows
- Achieve Envisioned Goals and Cost Savings

  <u>Tangible Benefits from CISS Information Exchanges:</u>
  - ➢ Reduced data entry
  - ➢ Reduced data entry errors
  - ➢ Reduced processing time
  - ➢ Reduced material costs for manually exchanging documents
  - ➢ Reduced transportation costs for manually exchanging documents
  - ➢ Reduced time spent manually seeking information
  - ➢ Estimated $15.5 million Savings Annually from Information Exchanges

CISS Project Update

CISS Deployment Guiding Principles

- Achieve Envisioned Benefits

  Intangible Benefits from CISS Search:

  ➢ Improved public and officer safety based on more accurate and complete information

  ➢ Ability to measure societal outcomes, assess reentry program performance, analyze trends, etc.

  ➢ Better management and policy decisions based on improved information

  ➢ More informed justice decisions based on accurate, complete, and timely information

  ➢ Improved notification services for key events

  ➢ Improved collaboration and cooperation between agencies

  ➢ Reduction in process delays

  ➢ Increased capacity of the justice and public safety system to handle incidents and cases

  ➢ Enhanced ability to solve crimes

  ➢ Improved public confidence

CISS Project Update

Governance Committee Update

Issues Log

Description	Assigned to	Status or Due Date
A suggestion was made to develop a "clarification on intended use" to send to FBI to confirm intent to utilize COLLECT data as part of CISS search.	Antoinette Webster, James Harris	TBD

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CISS Project Update

CJIS Governing Board Budget Summary - FY End 2016 (July 1, 2015 thru June 30, 2016)

Fund	SID	Fund Name	FY 15 Carry Forward	FY 16 Total Monies Received	FY 16 Total Budget	FY 16 Open Purchase Orders	FY 16 Pending/ Anticipated	FY 16 Total Expended	FY 16 Carry Forward or Bond Balance
12060	90665	CJIS (new # under DESPP)*	$1,671,048.83	$ 0.00	$ 1,671,048.83	$ 349,986.15	$ 11,494.34	$1,301,693.82	$ 7,874.52
17111	42703	CISS BOND	$ 276,787.98	$ 0.00	$ 276,787.98	$ 51,184.14	$ 27.00	$ 225,096.66	$ 480.18
17121	42703	CISS BOND- Xerox Contract Only	$1,271,209.55	$ 0.00	$ 1,271,209.55	$ 13,224.19	$ 11,215.01	$1,246,770.35	$ 0.00
17131	42703	CISS BOND	$ 184,142.05	$ 0.00	$ 184,142.05	$ 54,355.42	$ 0.00	$ 122,593.84	$ 7,192.79
17141	42703	CISS BOND	$4,522,642.44	$ 0.00	$ 4,522,642.44	$ 650,258.98	$1,493,435.38	$1,774,881.42	$ 604,066.66
17151	42703	CISS BOND	$4,121,725.13	$ 0.00	$ 4,121,725.13	$ 774,117.99	$ 76,804.00	$ 2,550,379.16	$ 720,423.98
12060	90665	CISS BOND	$ 0.00	$17,100,000.00	$17,100,000.00	$2,128,958.03	$2,318,931.51	$ 649,528.18	$12,002,582.28
		Grand Total *	$12,047,555.98	$17,100,000.00	$29,147,555.98	$4,022,084.90	$ 3,911,907.24	$7,870,943.43	$13,342,620.41

* General Fund 12060 includes OBTS, DICRIS and Racial Profiling

CISS Project Update

CISS PHASE-1 BOND FUND BUDGET SUMMARY TO 09/30/16		
FUNDING		**CURRENT TOTALS**
Bond Funds Provided in 2011	$ 8,000,000	
Bond Funds Provided in 2012	$ 7,700,000	
Bond Funds Provided in 2013	$ 4,720,000	
Bond Funds Provided in 2014	$ 7,900,000	
Bond Funds Provided in 2015	$ 5,500,000	
Bond Funds Provided in 2016	$ 17,100,000	
CISS Budget Commitment*		**$50,920,000**
CISS BOND EXPENDITURES		
BUDGETED FISCAL YEAR		
FY 2012	$ 3,909,326	
FY 2013	$ 6,382,674	
FY 2014	$ 5,519,499	
FY 2015	$ 7,631,994	
FY 2016	$ 6,569,250	
FY 2017 (July - September 2016)	$ 2,573,287	
Total CISS Expenses from Bond Fund	**$ 32,586,029**	
CISS EXPECTED FUTURE BOND EXPENDITURES FROM 10/01/16 TO DATE 12/31/17		
Expected costs for CJIS	$ 13,125,213	
Xerox Contract	$ 8,718,742	
High Risk Project 10% Contingency	$ 1,312,521	
Total Future Expected Cost	**$ 23,156,476**	
Total Expected Costs for CISS Phase -1**		**$55,742,505**
Expected Additional Bond Funds Needed***		**($4,822,505)**
Cost of Using Consultants Paid from Bond Funds Instead of Inmate Phone Revenue	$ 10,432,182	
CISS Contract Amendment Incremental Costs	$ 4,033,556	
Total Unplanned Incremental Costs		**$14,465,737**
Difference Between Expected Additional Bond Funds Need and Total Unplanned Incremental Costs		**$9,643,232**
Note:		
* Bond Funds received to date.		
** Planned 18 State Positions Not Filled and Budgeted to Be Paid from Inmate Phone Revenues. Consultants are Used Instead of State Employees Who Are Paid from Bond Funds.		
*** Additional Bond Funds for FY 18 of $10 M Planned		

CISS Project Update

| CISS PHASE-1 BOND FUND BUDGET ANALYSIS			
Total Future Expected Cost		**Fixed Uncontrollable Amount for Xerox Contract**	**CJIS Controllable Amount**
Total Expected Costs for CISS Phase -1	$ 55,742,505	$ 25,071,810	$ 30,670,695
Original Budget Estimate From MTG Consultants for Phase -1	$ 37,810,000		
Gross Difference Between Total Expected Costs for CISS Phase -1 and Original Budget Estimate From MTG Consultants for Phase -1	$ 17,932,505		
Total Unplanned Incremental Costs*	$ 14,465,737		
Total Expected Difference**	$ 3,466,768		
Note:			
*Planned 18 State Positions Paid from Inmate Phone Revenues Not Filled; Consultants are Used Instead of State Employees using Bond Funds Plus the Cost of Contract Amendment.			
**CISS Operational Funding from the General Funds not provided until Phase-1 is complete. MTG Management Consultants Budget Assumed Operational Dollars would be provided as each release went into production. Second, the SharePoint upgrade from 2010 to 2016 was not planned but an estimate has been added to the numbers.			

CISS Project Health Check

Progress and Frustration

Survey scores stayed mostly the same this quarter, rising very slightly. The increase had less to do with project progress and more to do with agencies having a better understanding of resource availability post-budget cuts. The overall project score increased from 2.69 to 2.72. Agencies are worried that this project will not be able to fully implement all workflows with all necessary data in the current timeline.

Although progress is being made, agencies want to see decisions for the ongoing operational support, an extension to the project timeline, a decision on FBI data, hiring of an Executive Director and improvements in the testing process.

CISS Project Health Check

Reporting Period 5/20/2016– 10/07/2016

Average Category Rating - All Agencies
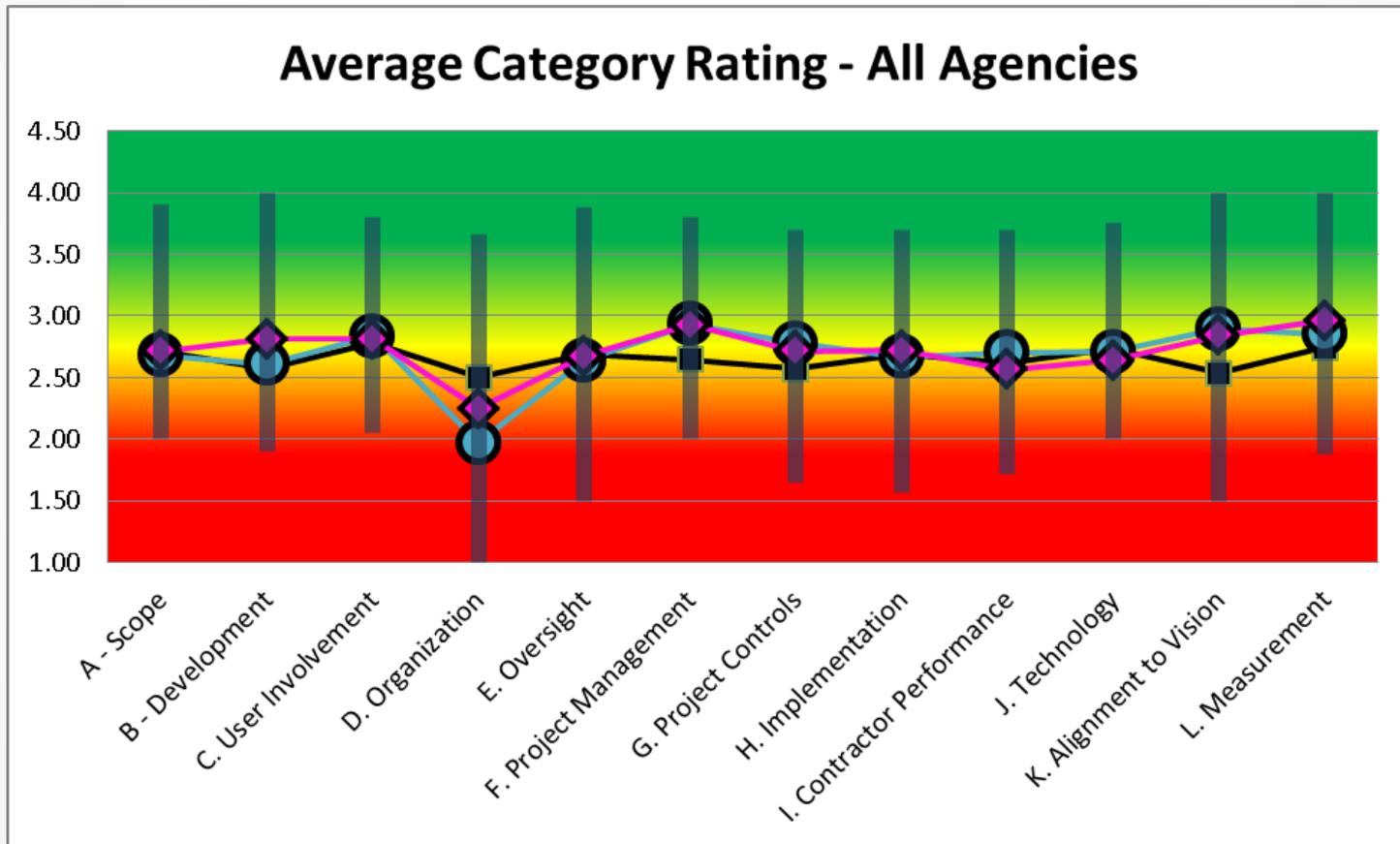
■ Last Year Values

● Last Quarter Values

◆ Current Quarter Values

CISS Project Health Check

- Contractor Performance was the category that decreased the most this time. This had less to do with Xerox than with the realities of the amount of work left to do in the limited amount of time left on the Xerox contract. Agencies worry that the full project scope cannot be implemented within the current schedule.

- Project Management and User Involvement decreased this quarter. If there are not improvements to Release 4 User Acceptance Testing, these will likely continue to decrease.

- Project Management, although it did decrease, remains one of the higher rated categories. Agencies see the Project Management as a project asset.
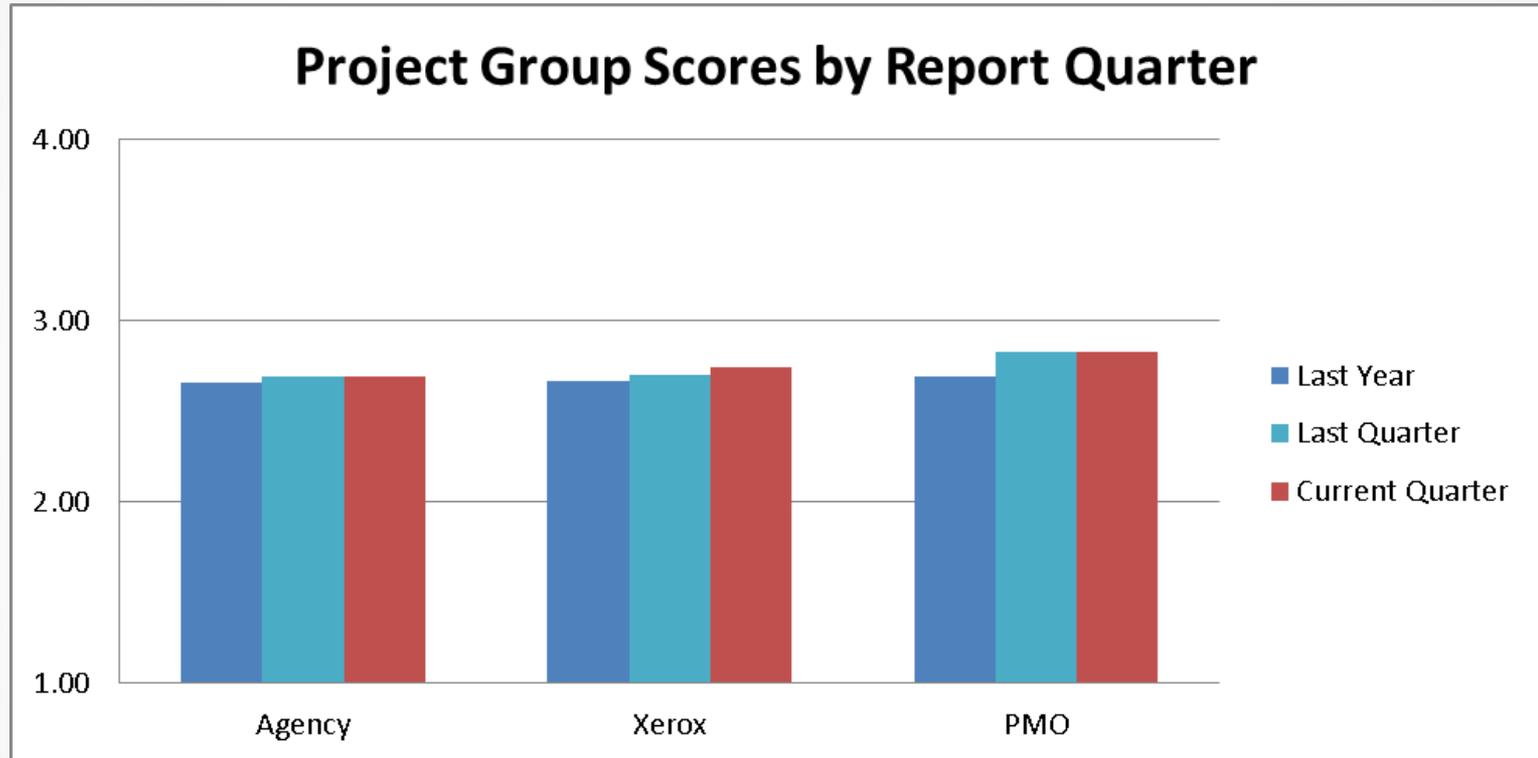
CISS Project Health Check

- Stakeholders are still concerned about the timeline. With fewer resources at their disposal, it will likely be more difficult for Agencies to meet some CISS tasks on time.

- In summary the main concerns from those involved are:
    - Difficult to meet the timeline for full implementation with fewer resources available
    - Testing is taking longer than planned and continues to impact the schedule and frustrate participating agencies
    - No final decisions on key items such as the FBI data and how to operationally support CISS
    - Executive Director position has not been filled

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CISS Project Health Check

Project Group Scores by Report Quarter

Legend:
- Last Year
- Last Quarter
- Current Quarter

Categories: Agency, Xerox, PMO

- AGENCY – The biggest concern was testing and being able to fully implement the project within the current schedule.
- XEROX – Agencies want more/direct access to Xerox for defect resolution.
- PMO - The Project Management scores remains high but the PMO needs to improve the testing process and work to better align the schedule and releases.

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CISS Project Health Check

Key Risks

- Risk #4 – The absence of the Executive Director will continue to negatively impact the project.

- Risk #5 – The lack of a plan to operationally support the system may impact the long-term success of the project.

- Risk # 10 – The CJIS QA testing and Xerox defect resolution will continue to impact the project schedule.

- Risk #11 – State budget cuts impact project resource availability, either directly or indirectly. Agencies will have a harder time meeting CISS project needs. Having fewer resources could also impact the on-time implementation of new agency systems that are important to CISS success.

CISS Project Health Check

Looking Forward
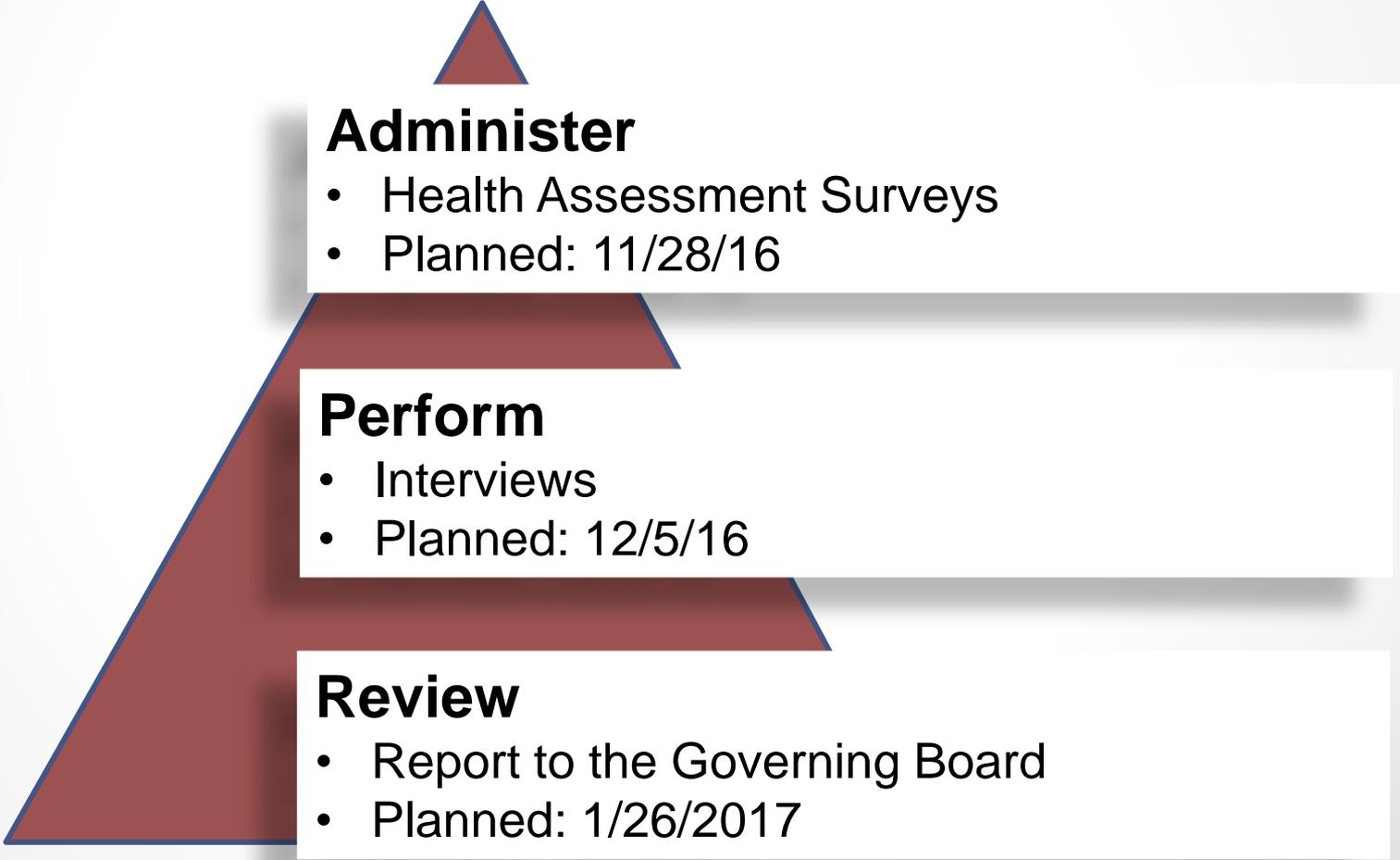
Administer
- Health Assessment Surveys
- Planned: 11/28/16

Perform
- Interviews
- Planned: 12/5/16

Review
- Report to the Governing Board
- Planned: 1/26/2017

CJiS

Upcoming Meeting

➢ Thursday, January 26, 2017

1:30 PM
300 Corporate Place, Rocky Hill, CT 06067

CJiS