Form 2
CJIS-CT Security Compliance Assessment Form

The Connecticut Criminal Justice Information System Security Compliance Assessment Form (CJIS-2) is used as a mechanism for municipalities, State and Federal agencies to assess their compliance with the *CT CJIS Security Policy* as adopted by the State of Connecticut CJIS Governing Board. This form may be used as an internal document for an agency to assess their present level of compliance and subsequently perform necessary changes to attain compliance or submitted to the CJIS Support Group for assistance in attaining compliance.

Location

Agency Name:	
Agency Address/Location Address:	
Agency Location Router IP Address:	
Internal IP Scheme/SubNet Mask:	

Assessment 1 – Security Awareness Training

- **Does your agency perform security awareness training for all individuals with CISS functions?** YES □ NO □ UNKNOWN □
- **Does your agency maintain security awareness training records?** YES □ NO □ UNKNOWN □

Assessment 2 – Incident Response

- **Does your agency track, document, and report incidents to appropriate agency officials/authorities?** YES □ NO □ UNKNOWN □

Assessment 3 – Auditing and Accountability

- **Does your agency maintain appropriate audit logs?** YES □ NO □ UNKNOWN □

Assessment 4 – Access Control

- **Describe the access controls used by your facility. Refer to CT CJIS Security Policy, sections 5.5.2.1, 5.5.2.2.**

Assessment 5 – Identification and Authentication

- **Describe how you authenticate and identify your users.**

Assessment 6 – Configuration Management

- **Please submit a network topology diagram depicting your connectivity to CISS.**

Assessment 7 – System and Information Integrity

Firewalls

- **Is the CJIS portion of your agency's network segment protected by a firewall? YES □ NO □ UNKNOWN □**
- **Is this firewall configured to allow only permissible protocols and traffic inherent to your agency's network environment? YES □ NO □ UNKNOWN □**
- **Is this firewall configured to perform logging and audit capability? YES □ NO □ UNKNOWN □**
- **Is this firewall configured to retain logs for a minimum of one (1) year? YES □ NO □ UNKNOWN □**

Workstations and Laptops

Hardware and Operating Systems

- **How many total workstations and laptops are in your network environment? Please list operating systems used and count of operating systems:**

Operating System	Version	Number
Windows		
Other		
Other		
Other		

- **Is each of the above devices and its operating system presently under contract for maintenance and support with its manufacturer?** **YES □ NO □ UNKNOWN □**
- **Have you performed "OS Hardening" on each of the above devices to reduce vulnerabilities in the computer hardware and operating system?** **YES □ NO □ UNKNOWN □**
- **Do you practice least privilege on each of the above devices to reduce vulnerabilities in the computer hardware and operating system?** **YES □ NO □ UNKNOWN □**

Anti-Virus Program

- **Are all workstations and laptops residing within your agency accessing CISS protected by a currently supported virus protection program?** **YES □ NO □ UNKNOWN □**
- **Does the Anti-Virus program on each workstation and laptop receive virus signature updates automatically?** **YES □ NO □ UNKNOWN □**
  - **If NO, please explain any existing process**

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Patch Management Process

- **Are all workstations and laptops residing within your agency accessing CISS protected by a patch management program?** **YES □ NO □ UNKNOWN □**
- **Does the patch management application receive updates automatically?** **YES □ NO □ UNKNOWN □**
  - **If NO, please explain any existing process**

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- **Are these patches applied to each workstation and laptop through an automated process?** **YES □ NO □ UNKNOWN □**
  - **If NO, please explain any existing process**

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Browsers

- **How many total workstations and laptops are browser-enabled?**
- **How many utilize each of the following browsers?**

Browser	Version	Number
Internet Explorer		
Other		
Other		
Other		

Servers

Hardware and Operating Systems

- **How many total servers are in your network environment?**
- **Please list operating systems used and count of operating systems:**

Operating System	Version	Number
Windows		
Other		
Other		
Other		

- **Is each of the above servers and its operating system presently under contract for maintenance and support with its manufacturer?** **YES □ NO □ UNKNOWN □**
- **Have you performed "OS Hardening" on each of the above servers to reduce vulnerabilities in the computer hardware and operating system?** **YES □ NO □ UNKNOWN □**

Anti-Virus Program

- **Are all servers residing within your agency accessing CISS protected by a currently supported virus protection program?** **YES □ NO □ UNKNOWN □**

- **Does the Anti-Virus program on each server receive virus signature updates automatically?** **YES □ NO □ UNKNOWN □**
  - **If NO, please explain any existing process**

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Patch Management Process

- **Are all servers residing within your agency accessing CISS protected by a patch management program?** **YES □ NO □ UNKNOWN □**
- **Does the patch management application receive updates automatically?** **YES □ NO □ UNKNOWN □**
  - **If NO, please explain any existing process**

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- **Are these patches applied to each server through an automated process?** **YES □ NO □ UNKNOWN □**
  - **If NO, please explain any existing process**

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Assessment 8 - Physical Location

Physical Safeguards

Special Note: It is the desire of the Security Committee of the CJIS Governing Board that “best effort” physical safeguards be in place for ALL devices that access CISS.

- **Does your agency have adequate physical safeguards in place to protect against unauthorized access or routine viewing of display devices or printed materials by unauthorized persons?** **YES □ NO □ UNKNOWN □**
  - **If NO, please explain**

- **Does your agency have adequate physical safeguards in place to protect network and infrastructure components from unauthorized access?** **YES** □**NO** □ **UNKNOWN** □
  - **If NO, please explain**

For the Agency/Location

Assessment Date:	
Assessing Individual Signature:	
Assessing Individual Printed Name:	
Assessing Individual email Address:	
Assessing Individual Phone Number:	

Please reach out to cjis.helpdesk@ct.gov for any support.