

DOCKET NO.: X06-UWY-CV-236072307-S      RETURN DATE:

STATE OF CONNECTICUT

Plaintiff,

v.

INDIE GUNS, et al.

Defendant.

SUPERIOR COURT

COMPLEX LITIGATION

AT WATERBURY

DATE: MARCH 20, 2024

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**STIPULATED JUDGMENT**

WHEREAS, the Plaintiff, State of Connecticut (the "State"), represented by William Tong, Attorney General, acting at the request of the Commissioner of Consumer Protection, brought this action pursuant to Chapter 735a of the General Statutes, alleging that the Defendant, Steel Fox Firearms Inc. (referred to herein as Steel Fox or Defendant) violated the Connecticut Unfair Trade Practices Act ("CUTPA"), General Statutes § 42-110a, *et seq.*, and particularly General Statutes § 42-110b; and

WHEREAS, Defendant has not shipped any Affected Products as defined in Paragraph 4.1 to Connecticut addresses or sold any Affected Products to individuals with billing addresses in Connecticut after March 7, 2023; and

WHEREAS, Defendant has ceased operations, and intends to dissolve subsequent to the entry of this Stipulated Judgment; and

WHEREAS, Defendant and its principals have surrendered all Federal Firearms Licenses ("FFLs"), and not reapplied for FFLs and, therefore, are no longer permitted to commercially sell firearms in the United States; and

WHEREAS, the State and Steel Fox by their counsel, have agreed to the entry of this Stipulated Judgment without trial or adjudication of any issue of fact or law and without admission by Steel Fox of any wrongdoing, or admission of any violations of CUTPA or any other law as alleged by the State; and

WHEREAS, the State and Steel Fox agree that there is no reason for delay and consent to the entry of this Stipulated Judgment;

NOW THEREFORE, upon the consent of the Parties hereto, IT IS HEREBY ORDERED, ADJUDGED, AND DECREED AS FOLLOWS:

## **1 PRELIMINARY STATEMENT**

1.1 At all relevant times, Steel Fox was engaged in the trade or commerce of selling firearms and Affected Products to Connecticut Consumers.

1.2 Steel Fox has been selling firearms and Affected Products to Connecticut Consumers since approximately 2021.

1.3 On March 7, 2023, the State filed a Complaint against Steel Fox.

1.4 The Parties agree that this Stipulated Judgment resolves the State's claims under General Statutes § 42-110a, *et seq.*, and potential claims under and as set forth in Paragraph 9.1 of this Stipulated Judgment.

## **2 PARTIES**

2.1 Plaintiff is the State of Connecticut or the "State."

2.2 The Defendant is Steel Fox Firearms Inc..

## **3 JURISDICTION**

3.1 Pursuant to General Statutes §§ 42-110b and 42-110m, jurisdiction of this Court over the subject matter and over the Defendant for the purpose of entering and enforcing this

Stipulated Judgment is admitted. Jurisdiction is retained by this Court for the purpose of enabling the Attorney General or the Defendant to apply for such further orders and directions as may be necessary or appropriate for the construction and modification of the injunctive provisions herein, or execution of this Stipulated Judgment, including enforcement of this Stipulated Judgment and punishment for any violation of this Stipulated Judgment. The Defendant waives any defect associated with service of Plaintiff's Complaint and this Stipulated Judgment and does not require issuance or service of a Summons.

#### **4 DEFINITIONS**

In this Stipulated Judgment, the following words or terms shall have these meanings:

4.1 "Affected Products" means: (1) unserialized, unfinished frames or receivers as defined by Conn. Gen. Stat. § 53-206j, as a "blank, casting or machined body intended to be turned into the frame or lower receiver of a firearm, as defined in section 53a-3, with additional machining, and which has been formed or machined to the point where most major machining operations have been completed to turn the blank, casting or machined body into a frame or lower receiver of a firearm, even if the fire-control cavity area of such blank, casting or machined body is still completely solid and unmachined" and includes unserialized products known as "80 percent lowers," whether sold individually, or as part of a kit or other package; or (2) a "frame or receiver" as defined by Federal law or regulation.

4.2 "Attorney General" means the Attorney General of the State of Connecticut and/or the Office of the Attorney General of the State of Connecticut.

4.3 "Consumer" shall mean and include any person, a natural person, individual, and any other legal entity however organized with a Connecticut address and who was offered or who was sold any Affected Products by Steel Fox.

4.4 “CUTPA” means the Connecticut Unfair Trade Practices Act, Chapter 735a of the Connecticut General Statutes.

4.5 “Effective Date” means the date on which this Stipulated Judgment has been entered as an order by the Court.

4.6 “Steel Fox Firearms” means Steel Fox Firearms Inc. together with its affiliates, subsidiaries, successors, and any other related entity that is formed, organized, or structured through any bankruptcy proceeding (regardless of organizational identity, form of doing business, affiliations, management composition, organizational structure, or ownership) that acts in concert or participation with Steel Fox in the promotion, advertisement, billing, provision and sales of Affected Products by Steel Fox to Consumers.

4.7 “Represent,” “Representation,” or “Representations” shall mean to communicate through certifications, claims, statements, questions, conduct, graphics, symbols, lettering, formats, devices, language, documents, messages, or any other manner or means by which meaning might be conveyed.

4.8 “State” shall mean the State of Connecticut.

4.9 “Stipulated Judgment” refers to this document entitled Stipulated Judgment in the matter of State of Connecticut v. Steel Fox Firearms Inc.

## **5 FINDINGS**

5.1 The State alleges that the following practices of Defendant may have constituted unfair or deceptive practices in violation of CUTPA:

- 5.1.1 Misrepresenting the legality of Affected Products by falsely claiming that the Affected Products need not be sold to an FFL or with a background check;
- 5.1.2 Misrepresenting the legality of Affected Products with confusing disclaimers;
- 5.1.3 Misrepresenting the legality of Affected Products by completing the sale of Affected Products and shipping Affected Products to Consumers.

5.2 Steel Fox denies the State's allegations and is entering into this Stipulated Judgment for the purpose of settlement, and nothing contained herein may be taken as, or construed to be, an admission or confession of any violation of law, or any other matter of fact or law, of any liability or wrongdoing.

## **6 INJUNCTIVE RELIEF**

### **General**

6.1 Within thirty (30) days of the Effective Date, Defendant will: (1) dissolve its corporate form; (2) surrender its internet domain, steelfoxfirearms.com, and any other domains associated with its business; and (3) permanently deactivate all social media accounts associated with its business, including without limitation its Facebook and Instagram accounts.

6.2 For a period of five years beginning on the Effective Date and ending on or about March 2029, Defendant's principal, Peter A. Ferrentino, Jr. shall certify annually by notarized affidavit, to be sent by electronic mail to the attention of Assistant Attorney General Rebecca G. Quinn at AG- ConsumerProtectionDepartment@ct.gov: (1) Whether he and/or any other current or former principal of Steel Fox, or agents, successors or assigns acting on behalf of Steel Fox have formed a business for the sale of Affected Products; and (2) Whether he and/or any other

current or former principal of Steel Fox, or agents, successors or assigns acting on behalf of Steel Fox, have applied for or received a Federal Firearms License; and (3) Should Peter Ferrentino, Jr. form a business for the sale of Affected Products, he shall include in the annual affidavit a statement of compliance with the General Statutes of the State of Connecticut, including but not limited to Conn. Gen. Stat. § 42-110b and Conn. Gen. Stat. § 53-206j(a)-(c).

6.3 Defendant shall be prohibited from stating, implying or causing to be stated or implied that the Attorney General or the Department of Consumer Protection, or any other governmental unit of the State of Connecticut approved, sanctioned or authorized any practice, act or conduct of the Defendant.

6.4 Defendant shall be prohibited from representing or implying that any procedures or other acts of practices hereafter used or engaged in by Defendant have been approved in whole or in part by the State.

## **7 MONETARY PROVISIONS**

### **Civil Penalty**

7.1 Judgment shall enter on behalf of the State against Defendant in the amount of Two Hundred and Fifty-Five Thousand dollars (\$255,000.00) in civil penalties. Such amount shall be suspended, with zero dollars (\$0.00) payable upon execution of the Stipulated Judgment, provided Defendant complies with each and every term of the Stipulated Judgment entered by the Court. In the event Defendant breaches or violates any provision of this Stipulated Judgment, the State may file a motion seeking enforcement of the full penalty against Defendant in accordance with Paragraph 8.1. For the avoidance of doubt, said civil penalty shall not be enforceable against Peter Ferrentino, Jr. in his individual capacity, and the State agrees that it will not look to the assets of Defendant's principals for satisfaction of said penalty.

## **8 ENFORCEMENT**

8.1 If the State believes that Defendant has violated the terms of the Stipulated Judgment, the State shall notify the Defendant in writing of the nature and specifics of the alleged breach at least five (5) business days before any motion or action to enforce the terms of the Stipulated Judgment is commenced or filed. Such written notice shall be accompanied by copies of any documents or data relied upon for the claimed breach. Unless otherwise resolved, the Parties shall meet and confer within the 5-business-day period following such notice to discuss the claimed breach and possible solutions. If no resolution is reached, the State may file a motion or action seeking enforcement of the terms of the Stipulated Judgment, including, without limitation, the collection of penalties set forth in Paragraph 7.1 for violations by Steel Fox.

8.2 Breach of the terms of this Stipulated Judgment shall constitute contempt of Court and the State may move the Court for an order finding the Defendant to be in contempt and requesting any penalties available at law, or the Court sees fit to impose for such contempt. If a Court finds Defendant to have violated the Stipulated Judgment and/or to be in contempt pursuant to the State's motion or action, Defendant agrees to pay the State all reasonable costs and fees of obtaining such findings and enforcing the Stipulated Judgment. The State reserves all rights to pursue any and all available remedies for violation of the Stipulated Judgment. Nothing herein shall limit the State's remedies in the event of breach of the Stipulated Judgment by Defendant. Any remedies specified herein are in addition to any and all remedies available at law or equity.

8.3 Defendant waives the right to challenge the enforcement of this agreement under federal or state constitutional or statutory grounds.

## **9 RELEASE**

9.1 In consideration of, and contingent upon the Defendant's full and continuing compliance with all obligations set forth in this Stipulated Judgment, and except as otherwise set forth herein, the State of Connecticut releases and forever discharges to the fullest extent of the law, the Defendant from the following: all civil claims, causes of action, damages, restitution, fines, costs, and penalties that the State asserted or could have asserted against the Defendant related to Defendant's promotion, advertisement, offer, sale, or provision of Affected Products by Steel Fox to Consumers pursuant to CUTPA, Conn. Gen. Stat. § 42-110a, *et seq.*, and the regulations promulgated thereunder, up to and including the Effective Date.

9.2 Notwithstanding any term of this Stipulated Judgment, all the following forms of liability are specifically reserved and excluded from the release set forth in Paragraph 9.1 as to any entity or person, including the Defendant:

9.2.1 Any criminal liability that any person or entity, including the Defendant, has or may have to the State of Connecticut; and

9.2.2 Any civil or administrative liability that any person or entity, including the Defendant, has or may have to the State of Connecticut under any statute, regulation or rule not covered by the release in Paragraph 9.1 above, including but not limited to, all the following claims:

9.2.3 State or federal antitrust violations; or

9.2.4 State or federal tax claims.

## **10 GENERAL PROVISIONS**

10.1 Except as otherwise specifically stated herein, all provisions in the Stipulated Judgment shall remain in effect for five (5) years.



10.2 Nothing in this Stipulated Judgment shall be construed as relieving the Defendant of its obligations to comply with all state and federal laws, regulations, and rules, or as granting permission to engage in any acts or practices prohibited by such law, regulation, or rule.

10.3 Any notices required to be sent to the State or to the Defendant by this Stipulated Judgment, except for the annual certification described in Paragraph 6.2, shall be sent by electronic mail and first-class mail. The documents shall be sent to the following addresses:

For the State:

Rebecca Quinn  
Assistant Attorney General  
Office of the Attorney General  
165 Capitol Avenue  
Hartford, Connecticut 06106  
Email: Rebecca.Quinn@ct.gov

For the Defendant:

Peter Ferrentino, Jr.  
2256 Hontoon Rd.  
Deland, FL 32720  
Email: PeterFerrentino@gmail.com

10.4 Titles or captions in this Stipulated Judgment are inserted as a matter of convenience and for reference only and in no way define, limit, extend, or describe the scope of this Stipulated Judgment or any provision thereof.

10.5 The Parties have negotiated, jointly drafted, and fully reviewed the terms of this Stipulated Judgment, and therefore the rule that uncertainty or ambiguity is to be construed against the drafter shall not apply to the construction or interpretation of this Stipulated Judgment.

10.6 If any portion of this Stipulated Judgment is held invalid by operation of law, the remaining terms of this Stipulated Judgment shall not be affected.

10.7 The Defendant and Peter Ferrentino, Jr. enter into this Stipulated Judgment of their own free and voluntary act and with full knowledge and understanding of the nature of the proceedings and the obligations and duties imposed by this Stipulated Judgment.

10.8 Any failure by the State to insist upon the strict performance by the Defendant and Peter Ferrentino, Jr. of any of the provisions of this Stipulated Judgment shall not be deemed a waiver of any of the provisions of this Stipulated Judgment, and the State, notwithstanding such failure, shall have the right thereafter to insist upon the specific performance of any of the provisions of this Stipulated Judgment and the imposition of any penalties provided for by the laws of the State of Connecticut.

10.9 Nothing in this Stipulated Judgment shall be interpreted to affect any private claim, right, debt, or cause of action that any Consumer may have against the Defendant based on the acts and practices of the Defendant.

10.10 Any signature required to affect any part of this Stipulated Judgment may be executed by the Parties in counterparts, each of which signatures shall be deemed an original, and any such document executed in counterparts shall have the same effect and authority.

10.11 This Stipulated Judgment shall be binding on the Defendant and its subsequent purchasers, merged parties, inheritors, or other successors in interest and the Defendant shall take no direct or indirect action to circumvent the terms of this Stipulated Judgment. In no event shall assignment of any right, power, or authority under this Stipulated Judgment avoid the Defendant's compliance with this Stipulated Judgment.

10.12 No duty or obligation imposed by this Stipulated Judgment on the Defendant and Peter Ferrentino, Jr. shall be assigned or delegated by the Defendant without the express written

consent of the State. Any purported assignment or delegation in violation of the preceding sentence shall be voidable at the sole discretion of the State.

10.13 As used herein, the plural shall refer to the singular and the singular shall refer to the plural, and the masculine and the feminine and the neuter shall refer to the other, as the context requires.

10.14 Except as otherwise set forth herein, this Stipulated Judgment is not intended by the Parties to amend or supersede any existing or future contract or agreement between the Defendant and any Consumer.

10.15 Except as otherwise set forth herein, it is not the intention of the parties to establish or create a third-party beneficiary interest on behalf of any Consumer that does not otherwise exist at law.

10.16 This Stipulated Judgment shall not be deemed as having any res judicata, collateral estoppel or issue preclusion effect on any future actions, matters, allegations, complaints, prosecutions, liabilities, issues, delinquencies, taxes arising from the Defendant's collective individual conduct—whether known or unknown; whether civil, criminal, administrative or regulatory; whether inuring to the State or any of its agencies, to any Consumers, to any putative class plaintiffs, third party beneficiaries, or any other person or entity, except as provided herein.

## **11 REPRESENTATIONS AND WARRANTIES**

11.1 Defendant and Peter Ferrentino, Jr. Represent that they are represented by legal counsel, and that they were fully advised of their legal rights in this matter.

11.2 Defendant Represents that the person signing below on behalf of Steel Fox is fully authorized to act on its behalf and legally bind the company to perform all the obligations set forth herein.

11.3 The undersigned counsel for the State warrants and Represents that they are fully authorized to execute this Stipulated Judgment on behalf of the Attorney General.

11.4 Each of the Parties warrants and Represents that it negotiated the terms of this Stipulated Judgment in good faith.

11.5 Each of the Parties and signatories to this Stipulated Judgment warrants and Represents that it freely and voluntarily enters into this Stipulated Judgment without any degree of duress or compulsion.

11.6 Defendant shall not Represent or imply that the Attorney General acquiesces in or approves of Steel Fox's past or current business practices, efforts to improve its practices, or any future practices that Steel Fox may adopt or consider adopting.

11.7 All Parties consent to the disclosure to the public of this Stipulated Judgment by Defendant and the Attorney General.

11.8 The Parties Represent that no promise of any kind or nature whatsoever (other than the written terms of this Stipulated Judgment) was made to them to induce them to enter into this Stipulated Judgment, and that they have entered into this Stipulated Judgment voluntarily.

11.9 This Stipulated Judgment constitutes the entire, complete, and integrated agreement between the Parties pertaining to the settlement and supersedes all prior and contemporaneous undertakings of the Parties in connection herewith. This Stipulated Judgment may not be modified or amended except by written consent of all the Parties.

**IT IS SO ORDERED, ADJUDGED AND DECREED.**

This \_\_\_\_\_ day of \_\_\_\_\_, 2024.

\_\_\_\_\_  
**JUDGE**

**JOINTLY APPROVED BY:**

**FOR PLAINTIFF:**

STATE OF CONNECTICUT,

DEPARTMENT OF  
CONSUMER PROTECTION

WILLIAM TONG  
ATTORNEY GENERAL

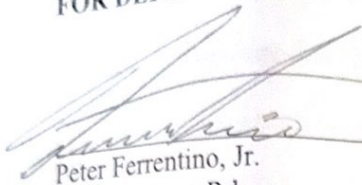
By Rebecca G. Quinn

Rebecca G. Quinn

Juris No. 443078  
*Deputy Associate Attorney General*  
*Assistant Attorney General*  
Office of the Attorney General  
165 Capitol Avenue  
Hartford, Connecticut 06106  
Phone: 860-808-5400  
Fax: 860-808-5593

**DATE:** 3/19/24

FOR DEFENDANT, Steel Fox Inc:

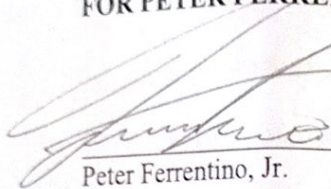


Peter Ferrentino, Jr.  
2256 Hontoon Rd.  
Deland, FL 32720

DATE:

3/14/24

FOR PETER FERRENTINO, JR., INDIVIDUALLY:



Peter Ferrentino, Jr.

DATE:

3/14/24

APPROVED AS TO FORM FOR ENTRY:

Cameron L Atkinson

Counsel for Steel Fox Inc.:

DATE: 3-14-2024

Cameron Atkinson  
Atkinson Law LLC  
122 Litchfield Road  
Suite 2  
PO Box 340  
Harwinton, CT 06791