Connecticut Office of Attorney General Connecticut Department of consumer Protection

Sandy Hook Relief Information

Name of Organization: The University of Connecticut Foundation, Inc.

1. What is the approximate dollar amount of donations and pledges that your organization has received to date in response to the Sandy Hook tragedy?

To date, \$1,063,458 has been donated or committed to the Sandy Hook School Memorial Scholarship Fund, with another \$40,642 expected from employers of donors who have applied for matching gifts.

2. Will these funds be used solely for the Sandy Hook tragedy? If not, approximately what portion will be used for relief for victims and what other purposes, and in what proportions, will funds be used for?

Funds will be used solely to provide financial need based scholarships to students admitted to the University of Connecticut who are either siblings of the children killed in the assault, dependents of the adults who were killed by the gunman in the assault, or students enrolled at the Sandy Hook Elementary School at the time of the tragedy. The use of some funds was expanded after obtaining specific donor consent to include dependents of adults who were injured by the gunman. The Foundation has waived all fees normally charged to gifts. Therefore 100% of all gifts donated for the Scholarship Fund will be available in support of its purpose.

3. Approximately how much has your organization spent to date related to the Sandy Hook tragedy?

No scholarships have been awarded to date from this program. However, there are students who are potentially eligible now who are being reviewed for receipt of the scholarship. As the primary focus is on siblings of the slain children, it will likely be several years before the majority of funds are drawn. At the direction of the Newtown Superintendent of Schools, staff members of the University's Financial Aid Office and the UConn Foundation have already met with the principal of Newtown High School and his staff to review the fund and identify potentially eligible students based on the fund's criteria. The donated funds will be prudently invested so as to be available to eligible students regardless of when they are admitted to the University of Connecticut.

4. What services has your organization provided to those affected by the Sandy Hook tragedy? What populations or class of victims are being served by your organization in response to the Sandy Hook tragedy? What services does it expect to provide in the future?

Separate from the Foundation, the University itself provided services to victims and their families as noted in the attached article. The University has also offered to provide

informational sessions on the Scholarship Fund for parents through the Newtown High School guidance office. There is also a direct liaison in the Office of Financial Aid so that students and families seeking information have a specific point of contact.

5. Has your organization provided funding to other organizations for purposes related the Sandy Hook tragedy? If so, which organizations have received those funds and what is the approximate dollar amount provided to them? How does your organization determine the need for funding?

No funds to date have been provided to other organizations related to the tragedy. Funds will be provided to the University of Connecticut based on its awarding of scholarships to eligible students meeting the restrictions associated with the funds raised.

6. Has your organization provided, or does it intend to provide, direct financial assistance to individuals, families or businesses for Sandy Hook relief? If so, what is the approximate dollar amount that your organization has proved to date and approximately how much direct financial assistance does it expect to provide in the future? How does your organization determine the need for assistance?

No funds will be provided directly to individuals, families or businesses. The University of Connecticut's Office of Financial Aid, in its normal review process, will determine the need and amount of any scholarship awarded under the program until all funds are exhausted.

7. Does your organization have a plan in place on how to use any surplus funds not spent for purposes related to the Sandy Hook tragedy? If so, please describe that plan.

Should any funds be unspent once the needs of all eligible groups of students have been met, then scholarship funds will be used to benefit future students from Newtown who attend the University of Connecticut based on need until all funds are exhausted.

Kevin A. Edwards, Vice President of Finance/Chief Investment Officer 4/9/2013

Name and title of representative Date

Please email this document with your response to <u>Nancy.Santoro@ct.gov</u> by April 12, 2013. You may obtain an electronic copy of this document by emailing a request to http://www.ct.gov/ag/lib/ag/charities/sandyhookfundraising-web.doc or by emailing a request to <u>Nancy.Santoro@ct.gov</u>.

Excerpt from an essay written by President Susan Herbst published by Inside Higher Ed in the January 2, 2013 edition

I hope no university ever needs this list again, but here is what we at UConn have done over the past 10 days, beyond the scholarship fund:

Academic Contributions from the School of Education: Days after the shooting, Professor George Sugai, a nationally recognized expert on school violence, joined with eight other researchers to draft a position paper outlining proposals for a scientifically informed approach to preventing future tragedies. Already, the document has been endorsed by scores of top researchers and policy experts, along with more than 100 professional organizations.

Commemorations: Following the tragedy, Connecticut towns saw an outpouring of public grief and support for the victims, and the UConn community was no exception. We did not hold a vigil on campus. Instead, hundreds of students, faculty, and staff members attended the candlelight vigil in Mansfield, home of the university's main campus. This was about our town and our state, not our campus. Days later UConn responded to Governor Malloy's call for a day of mourning with a commemoration in Hartford attended by hundreds, organized by the vice chair of our Board of Trustees.

Athletics: UConn is home to two of the country's premiere basketball teams, and they receive significant television time with broad national audiences. Both teams held candlelit moments of silence for the victims at games following the tragedy, with the women's game against the University of Hartford on Dec. 22 including participation from the Newtown Youth Girls' Basketball Association. Both teams will wear patches on their uniforms this season that say "SH" in green and white, the colors of Sandy Hook School. And Coach Auriemma's prominence enabled us to secure him spots on CNN and MSNBC's "Morning Joe," where he was able to speak about our scholarship fund.

Genetics Research: Wayne Carver, the Chief Medical Examiner of Connecticut, enlisted the assistance of the department of genetics and developmental biology at the UConn Health Center in conducting tests on tissue samples from the gunman. Carver wants to learn whether the killer may have had a genetic disease or condition, and UConn's nationally renowned geneticists will offer any support he requires.

Help for Parents and First Responders: In the wake of any tragedy, one of the most overlooked aspects of the response is providing proper attention and care for first responders and others who may have been traumatized by the horror they encountered. Julian Ford, a professor of psychiatry in the UConn School of Medicine, has been providing expert advice on the best ways to address post-traumatic stress disorder, and also on how parents should talk with their children about the flood of graphic images and information from the tragedy.

Just Being There: The bonds forged at a university are so strong, as Marvin McNeill, UConn's Assistant Director of Athletic Bands, was reminded at the funeral for little Olivia Engel, one of the children killed at Sandy Hook School. Olivia's father, Brian, is an alumnus of the marching band, and when he saw Marvin along with other members of the band representing UConn at his daughter's funeral, he clasped Marvin in a strong embrace. "I whispered that I am bringing much love, prayer, and support from the UConn Marching Band into his ear, and he thanked me and squeezed a little harder," Marvin said.

 $Read\ more: \underline{http://www.insidehighered.com/views/2013/01/02/wake-newtown-rethinking-what-\underline{university-owes-its-town-essay\#ixzz2PbaaNbjS}$

Inside Higher Ed

\$1 Million Donated to UConn's Sandy Hook School Memorial Scholarship Fund

http://www.foundaton.uconn.edu/press-re ease-2013-03-sandy-hook.htm

Apr 9, 2013

HOW TO GIVE

Via text: Donors may contribute to the fund by texting 50555 with uconn newtown. University alumni should also add their name and graduation year. For example: uconn newtown John Smith 88. \$10.00 donation to support the University of Connecticut Foundation through the mGive Foundation. Charges will appear on your wireless bill, or be deducted from your prepaid balance. All purchases must be authorized by account holder. Must be 18 years of age or have parental permission to participate. Message and Data Rates May Apply. Text STOP to 50555 to STOP. Text HELP to 50555 for HELP. Full Terms and Privacy Policy: s.uconn.edu/txt.

Online giving page: You may make a gift on the secure online giving site.

By mail: Mail your check to the UConn Foundation at 2390 Alumni Drive Unit 3206, Storrs, CT 06269-3206. Please note on your check that the gift is for the "Sandy Hook School Memorial Scholarship Fund."

For more information, contact:

Arthur Sorrentino, Director of Communications The University of Connecticut Foundation, Inc. 2390 Alumni Drive Storrs, CT 06269 (T) 860-486-6926 (M) 860-208-1890asorrentino@foundation.uconn.edu

UPDATE (April 1, 2013): To date, more than \$1,047,000 raised or pledged for Sandy Hook School Memorial Scholarship Fund, from more than 5,800 donations.

STORRS, Conn. (March 20, 2013) -- I n the three months following the Sandy Hook Elementary School shooting, people around the United States have donated more than \$1 million to the University of Connecticut's Sandy Hook School Memorial Scholarship Fund honoring the victims.

A \$25,000 gift last week from Mark R. Shenkman, chairman of the UConn Foundation Board of Directors and a UConn alumnus, put the scholarship fund total at \$1,010,000, supplementing contributions from more than 5,000 people from across the United States, Canada, and Puerto Rico.

"Everyone's generosity is going to help these families move forward with one less thing to worry about. That's the blessing in this," says Cathy Ostar, director of school counseling at Newtown High School, who, with other school administrators, has been sharing information about the scholarship fund with students and their families.

"Contributions to this fund are heartwarming evidence of people's determination to respond to tragedy with positive and meaningful action," says UConn President Susan Herbst. "We are proud to be able to assist and support those affected by the horrific event."

The scholarship fund will support the college costs of siblings of those killed in the assault, the dependents of the adults who lost their lives or were physically wounded, as well as students currently enrolled at the elementary school, who are accepted to the University.

The UConn Foundation, the fundraising arm of the University, established the fund Dec. 17 with a lead gift of \$80,000 from UConn's Hall of Fame women's basketball coach Geno Auriemma and his wife Kathy. Since then, other gifts, in small amounts and large, have poured in from school children and community groups, from corporations and national organizations.

The Bowl Championship Series made a gift of \$80,120, which represented \$1 for every fan in attendance at the Jan. 7 BCS National Championship game. The Hartford Financial Services Group contributed \$100,000, while UnitedHealthcare donated \$10,000.

UConn alumnus Denis McCarthy contributed \$25,000 while Margaret Keane, parent of a UConn alumnus, donated a \$25,000 Chairman's award received through her employment at GE.

The Connecticut Education Association is supporting the Fund with a fundraising drive expected to raise an additional \$1 million.

The UConn Foundation continues to accept donations for the Sandy Hook School Memorial Scholarship Fund. For more information please go to www.foundation.uconn.edu/sandyhook.

Updated: March 20, 2013