The Types and Purposes of Student Assessment in Education: An FAQ

1. **What does the word “assess” mean?**
   To evaluate or estimate the nature, ability, or quality of (Oxford dictionary).

2. **What are the types of assessments in education? Can one assessment serve all purposes?**
   An assessment can be formative or summative. An assessment that combines aspects of both formative and summative and occurs between them has been referred to as an interim assessment. Different assessments serve different purposes. Experts caution against using one assessment for too many purposes.

3. **What is a summative assessment? What is the purpose of Connecticut’s summative assessments?**
   A summative assessment is the culminating evaluation of student performance against a set of grade-appropriate standards. Connecticut’s statewide mastery examination that is required pursuant to the Every Student Succeeds Acts and Connecticut General Statutes Section 10-14n is a summative assessment.
   - The primary purpose of the state’s summative examination is to provide an efficient and reliable estimate of a student’s **overall performance** in a subject area relative to grade-appropriate standards that enable valid interpretations of student achievement (in all tested grades and subjects) and progress (in grades 4 through 8 for ELA and Mathematics).
     
     In the aggregate (e.g., district, school, grade level), results from the statewide summative assessment provide one valid and reliable indication of the academic achievement and progress attained by students. Such aggregate results tell us if all students – regardless of zip code, family income, dominant language, or disability – are achieving and making progress academically.
   
   - The statewide summative assessment is an important indicator of student achievement and progress, but it is not the only one. Subsection (e) of C.G.S. Section 10-14n appropriately prohibits the use of the “mastery examination” results as the sole criterion for student promotion or graduation.
   
   - Aggregate results from the summative assessment **can inform** federal/state reporting, district/school accountability, program evaluation at state/district/school levels, educator evaluation and support, and district/school identification for support and recognition. As with an individual student, aggregate results from the statewide summative assessment are an important indicator of academic achievement and progress, but not the only one. In the Next Generation Accountability System for districts and schools and in the educator evaluation and support system, state mastery examination scores are not the only indicator; other indicators are included to provide a more holistic picture.

4. **Does the statewide mastery examination drive day-to-day classroom instruction?**
   No. The state standards and local curriculum should drive instruction. The mastery examinations are designed to be used for broad purposes such as accountability, reporting, and program evaluation; they are not intended to support day-to-day classroom instruction. Past practices of solely using strand-level CMT/CAPT results to drive instruction were inappropriate.
5. What is formative assessment? What purpose does it serve?
Unlike a summative assessment which is an “event” that measures a student’s overall performance, formative assessment is a process used by teachers and students during instruction throughout the year. It is aligned to the standards and provides feedback to teachers so that they may adjust ongoing teaching and learning to improve students’ achievement of intended instructional outcomes. It also provides feedback to students to help them know where they are, where they need to be, and what they need to do to reach mastery.

According to Perie et al, a formative assessment is “embedded within the learning activity and linked directly to the current unit of instruction. Furthermore, the tasks presented may vary from one student to another depending on the teacher’s judgment about the need for specific information about a student at a given point in time. Providing corrective feedback, modifying instruction to improve the student’s understanding, or indicating areas of further instruction are essential aspects of a classroom formative assessment. There is little interest or sense in trying to aggregate formative assessment information beyond the specific classroom.”

6. What are interim assessments? What purposes do they serve?
Interim assessments are administered periodically to evaluate students’ knowledge and skills ideally relative to a specific domain or sub-skill (e.g., “Read Informational Text” or “Numbers and Operations in Base Ten”). They should inform decisions primarily at the classroom level but may also extend to the grade/school level. They are best administered in domain blocks, few times a year based on the needs of the teacher/grade-level team and the curriculum. They may be standardized but it is more important that the test items in these interim blocks be aligned to the standards and of the same quality as those in the summative assessment.

Some interim assessments measure overall performance like the summative and also claim to predict results on the summative assessments. Repeatedly measuring overall performance throughout the year with an eye to predicting the summative assessment results may inadvertently reduce the instructional value of an interim assessment to the classroom teacher while overemphasizing the summative. Preserving the interim assessment’s focus on teaching and learning without raising the stakes on that assessment will strengthen the ability of the standards (and not the test) to drive instruction.

References

Attributes of Effective Formative Assessment. A work product coordinated by Sarah McManus, NC Department of Public Instruction, for the Formative Assessment for Students and Teachers (FAST) Collaborative of CCSSO (published in 2008).

The Role of Interim Assessments in a Comprehensive Assessment System: A Policy Brief by Marianne Perie, Scott Marion, Brian Gong (National Center for the Improvement of Educational Assessment) and Judy Wurtzel (The Aspen Institute) (published in 2007)
The Strategic and Effective Use of Student Assessments

Based on Graphic Originally Developed by Perie, Marion, Gong, and Wurtzel (2007)

- **Formative**
  - A process used by teachers and students during instruction throughout the year
  - Embedded within the learning activity that is aligned to the standards and linked directly to the current lesson
  - Provides feedback so teachers may adjust instruction on-the-fly
  - Provides feedback to students to help them know where they are, where they need to be, and what they need to do to reach mastery
  - Specific to each classroom; non-standardized
  - Not intended for aggregating beyond the classroom

- **Interim Blocks**
  - Periodic – few times a year
  - Measures domain-level (not overall) performance (e.g., Read Informational Text)
  - Aligned to standards and includes high-quality test items like in the summative
  - Designed to help classroom teachers evaluate student learning
  - May be non-secure and non-standardized; do not need to predict summative
  - Most useful when aggregated at class/grade level

- **State Summative**
  - Culminating evaluation
  - Measures overall performance
  - Aligned to standards
  - Standardized and reliable
  - Valid interpretations about achievement/growth
  - Comparisons and program/curriculum evaluation
  - Accountability

- **None/Low Stakes for Public Reporting/Accountability**

Time of Test Administration

- **Fall**
- **Winter**
- **Spring**

Stakes for Public Reporting/Accountability

- **High**
- **Low**

Ability to Inform Day-to-Day Classroom Instruction

- **High**
- **Low**