### Requirements for Competitive Foods in Private Schools and Residential Child Care Institutions

This document summarizes the federal and state laws for selling and giving competitive foods to students in Connecticut private schools and residential child care institutions (RCCIs) that participate in the U.S. Department of Agriculture's (USDA) National School Lunch Program (NSLP) and School Breakfast Program (SBP).

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#### **Definition of Competitive Foods**

Competitive foods include all foods and beverages available for sale to students on school premises separately from reimbursable meals served through the USDA's Child Nutrition Programs (CNPs). Under Section 10-215b-1 of the Regulations of Connecticut State Agencies, competitive foods also include certain foods and beverages that are given to students while CNPs are operating.

### **Overview of Federal and State Requirements**

All foods and beverages available for sale to students on school premises separately from reimbursable meals must comply with the USDA's Smart Snacks nutrition standards (81 FR 50131). In addition to these nutrition standards for foods and beverages, private schools and RCCIs must also comply with the federal and state laws for:

- restrictions for selling and giving foods and beverages to students, including the state regulation that restricts selling and giving candy, coffee, tea, and soft drinks to students (Section 10-215b-1 of the Regulations of Connecticut State Agencies); and the local educational agency's (LEA) school wellness policy, as required by the USDA's school wellness policy legislation (Child Nutrition and Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) Reauthorization Act of 2004 (Section 4 of Public Law 108-265) and the Healthy, Hunger-Free Kids Act of 2010); and
- accrual of income from selling foods and beverages to students, including the state regulation that restricts income accrual (Section 10-215b-23 of the Regulations of Connecticut State Agencies); and the USDA's regulation for revenue from nonprogram foods (7 CFR 210.14(f)).

These laws determine what and when foods and beverages may be sold or given to students on school premises, and where the income must accrue. The definitions below apply to these requirements.

• "Sales" means the exchange of a determined amount of money or its equivalent (such as tickets, coupons, tokens, and similar items) for foods and beverages. Sales also include programs and activities that charge a fee that includes the cost of foods and beverages provided to students, and activities that suggest a student donation in exchange for foods and beverages. **Note:** Under Connecticut's statutes and regulations for competitive food sales also include tickets and similar items that are given to students (such as food rewards) and can be exchanged for foods and beverages. Smart Snacks does not apply to foods and beverages that can be obtained with tickets and similar items that are given to students.

- "Giving" means that foods and beverages are provided free of any charge, contribution, or suggested donations; and without the exchange of tickets, coupons, tokens, and similar items to obtain foods and beverages.
- "School premises" include all areas of the property under the jurisdiction of the school governing authority.

For guidance on how the federal and state laws apply to different sources of foods and beverages in private schools and RCCIs, refer to the Connecticut State Department of Education's (CSDE) resources, *Requirements for Competitive Foods in Private Schools and Residential Child Care Institutions* and *Summary Chart: Federal and State Requirements for Competitive Foods in Private Schools and RCCIs*, and visit the CSDE's Competitive Foods in Schools webpage.



#### **Sources of Competitive Foods**

Competitive foods may be available for sale to students from a variety of sources on school premises. Some common examples include school cafeterias (refer to the CSDE's *Requirements for Cafeteria A La Carte Foods and Beverages in Private Schools and RCCIs*), culinary programs (refer to the CSDE's *Requirements for Foods and Beverages in Culinary Programs in Private Schools and RCCIs*), fundraisers (refer to the CSDE's *Requirements for Food and Beverage Fundraisers in Private Schools and RCCIs*), school stores (refer to the CSDE's *Requirements for Foods and Beverage Fundraisers in Private Schools and RCCIs*), school stores (refer to the CSDE's *Requirements for Foods and Beverage for Foods and Beverages in School Stores in Private Schools and RCCIs*), school stores (refer to the CSDE's *Requirements for Foods and Beverages in School Stores in Private Schools and RCCIs*), school stores (refer to the CSDE's *Requirements for Foods and Beverages in School Stores in Private Schools and RCCIs*), school stores in *Private Schools and RCCIs*). Schools may also have other sources of competitive foods such as:

- a la carte sales (foods sold separately from reimbursable meals) in any other CNPs, such as the Seamless Summer Option (SSO) of the NSLP and the Summer Food Service Program (SFSP) operated by the BOE on school premises (a la carte sales are not common in these CNPs);
- afterschool programs and activities, e.g., enrichment programs, extracurricular classes, tutoring sessions, and student clubs;
- classroom parties and celebrations;
- clubs, organizations, and similar groups, e.g., booster clubs and parent-teacher organizations;
- family resource centers;
- programs and meetings;
- recipient schools under interschool agreements with a sponsoring NSLP district;
- school celebrations;
- sports competitions, e.g., games, matches, and tournaments;
- summer school programs (e.g., enrichment or exploratory) operated by the BOE;
- vendors on school premises, e.g., food service management companies (FSMCs), food trucks, caterers, and online and mobile food delivery companies; and other outside entities that sell foods and beverages to students; and
- any other programs, organizations, and activities that sell or give foods and beverages to students on school premises or charge a fee to cover the cost of foods and beverages provided to students.

Private schools and RCCIs are responsible for ensuring that all sources of competitive foods available for sale to students on school premises comply with the federal and state laws summarized in this document.

#### When the Laws Apply

When the laws for competitive foods differ, the stricter requirements apply. Some requirements apply during the school day, while others apply at all times or while Child Nutrition Programs (CNPs) are operating.

- The "school day" is the period from the midnight before to 30 minutes after the end of the official school day. For example, if school ends at 3:00 p.m., the school day is from midnight to 3:30 p.m. A summer school program operated by the school governing authority is part of the regular school day.
- The CNPs include the NSLP, School Breakfast Program (SBP), Afterschool Snack Program (ASP) of the NSLP, Seamless Summer Option (SSO) of the NSLP, Special Milk Program (SMP), Fresh Fruit and Vegetable Program (FFVP), Child and Adult Care Food Program (CACFP) At-risk Afterschool Meals operated in schools, and Summer Food Service Program (SFSP) operated in schools. **Note:** RCCIs may be eligible to participate in the ASP if they operate an afterschool care program with enrichment or education activities that meet the criteria for ASP participation. RCCIs may be eligible to serve At-risk Afterschool Meals if they have non-residential care programs and these programs offer afterschool education and enrichment programs for nonresidential children.

Smart Snacks does not apply to foods and beverages intended for consumption at home. However, the state statutes and competitive foods regulations supersede Smart Snacks because they apply regardless of when



students will receive or consume foods and beverages. This includes orders for foods that will be distributed later and purchases of precooked products (such as frozen pies and cookie dough) and bulk products that contain multiple servings per package (such as boxes of candy bars or cookies, and bags of popcorn kernels or gourmet coffee beans).

Table 1 summarizes the three categories of laws that apply to private schools and RCCIs, when they apply, which foods and beverages they regulate, and whether they apply to selling or giving foods and beverages to students. These categories include: 1) nutrition standards for foods and beverages; 2) restrictions for selling and giving foods and beverages to students; and 3) accrual of income from sales of foods and beverages to students.

Table 1. Summary of laws that apply to competitive foods in private schools and RCCIs							
Law	Applies to	When applies	Restricts				
	Applies to		Selling	Giving			
Category 1: Nutrition standards for foods and beverages							
Federal: Smart Snacks Nutrition Standards (81 FR 50131)	All foods and beverages available for sale to students on school premises, separately from reimbursable meals and ASP snacks through the CNPs (refer to "Allowable Foods and Beverages" in this document)	During the school day.	Yes	No			
Federal: School Wellness Policy (Public Law 108-265) and the Healthy, Hunger- Free Kids Act (HHFKA) of 2010) Final Rule 81 FR 50151	Selling and giving foods and beverages to students on school premises, separately from reimbursable meals and snacks through the CNPs (refer to "USDA School Wellness Policy Requirements" in this document). Note: The LEA's school wellness policy must include locally determined standards and nutrition guidelines for all foods and beverages that are available for sale to students, or provided to students free of charge, on school premises during the school day.	During the school day.	Yes	Yes			
Category 2: Restri	ctions for selling and giving foods and be	verages to studen	ts				
State: Section 10-215b-1 of the Regulations of Connecticut State Agencies: Competitive foods (candy, coffee, tea, and soft drinks)	Selling and giving candy, coffee, tea, and soft drinks to students on school premises while any CNPs are operating (refer to "Section 10-215b-1" in this document).	From 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day.	Yes	Yes			

Table 1. Summary of laws that apply to competitive foods in private schools and RCCIs							
Law	Applies to	When applies	Restricts				
			Selling	Giving			
Category 3: Accru	al of income from sales of foods and beve	rages to students		1			
State: Section 10-215b-23 of the Regulations of Connecticut State Agencies: Accrual of Income	Accrual of income from all sales of foods and beverages to students on school premises while any CNPs are operating (refer to "Section 10-215b- 23" in this document).	From 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day.	Yes	No			
Federal: 7 CFR 210.14 (f)): Revenue from Nonprogram Foods	All foods and beverages purchased using funds from the nonprofit school food service account (NSFSA), and sold to students (other than reimbursable meals and snacks served through the CNPs) or adults at all times on school premises (refer to "USDA Regulation for Revenue from Nonprogram Foods" in this document).	At all times.	Yes	No			
	Note: Cafeteria a la carte sales are the most common source of nonprogram foods. This regulation also applies to vending machines when the foods and beverages are purchased using funds from the NSFSA.						



### **Allowable Foods and Beverages**

Allowable foods include commercial products and foods made from scratch that comply with the following Smart Snacks requirements: 1) the food must meet at least one general standard; and 2) the serving with its accompaniments (such as butter, cream cheese, salad dressing, and condiments) must meet the specific nutrition standards for the applicable



Smart Snacks food category. Some examples of foods with accompaniments include bagels with cream cheese, muffins with butter, french fries with ketchup, pancakes with syrup, tortilla chips with salsa, and chicken nuggets with dipping sauce.

Allowable beverages belong to one of the five Smart Snacks beverage categories and meet the portion limits. The beverage categories include: 1) plain water, with or without carbonation; 2) low-fat and fat-free milk, unflavored or unflavored, including nutritionally equivalent milk alternatives permitted by the school meal requirements, e.g., soy milk; 3) 100 percent fruit or vegetable juice, with or without carbonation; 4) 100 percent fruit or vegetable juice diluted with water (no added sweeteners), with or without carbonation; and for high schools only, 5) low-calorie and no-calorie beverages, with or without caffeine and/or carbonation, including calorie free flavored water.

Low-calorie and no-calorie beverages (high schools only) must meet calorie limits. For beverages that include accompaniments (such as coffee with milk and sugar or tea with honey), the calories for the beverage and its accompaniments must be added together to determine if the serving complies with the Smart Snacks calorie limits. For example, if a serving of coffee includes 2 tablespoons of milk and 2 teaspoons of sugar, calculate the total calories per serving for the coffee, milk, and sugar; then compare the total calories with the applicable calorie limit.

During the school day, all competitive foods available for sale to students must always comply with Smart Snacks.

For specific information on the Smart Snacks requirements, refer to the CSDE's *Summary of Smart Snacks Nutrition Standards* and visit the CSDE's Smart Snacks Nutrition Standards webpage.

#### **Commercial products**

The CSDE's List of Acceptable Foods and Beverages webpage identifies commercial food products that comply with Smart Snacks. Schools may also use the Alliance for a Healthier Generation's Smart Snacks Product Calculator to assess food products for Smart Snacks compliance.

#### Foods made from scratch

The selling entity must evaluate all foods made from scratch for Smart Snacks compliance **before** they are sold a la carte to students The following documentation is required for all foods made from scratch in the two categories below: 1) a standardized recipe with the nutrition information per serving (including any accompaniments served with the food); and 2) documentation indicating that the nutrition information for the standardized recipe's serving with its accompaniments complies with Smart Snacks.

- Category 1: foods prepared from ingredients using a standardized recipe: Examples include entrees sold only a la carte (i.e., not as part of reimbursable NSLP and SBP meals) such as pizza, chef's salad, lasagna, and sandwiches; soups; cooked grains (such as rice or pasta) with added salt and fat, e.g., oil, margarine, or butter; cooked vegetables with added salt and fat; salad with dressing; fruit smoothies; and baked goods like muffins and cookies.
- Category 2: commercial foods with ingredients added after purchasing: Examples include popping popcorn kernels in oil and adding salt; making muffins from a mix and adding butter and eggs; and adding sprinkles to commercial frozen cookie dough.
  Note: Adding ingredients to a commercial product changes its nutrition information. To determine Smart Snack compliance, these foods require a standardized recipe that indicates the amount of each ingredient and the nutrition information per serving.



#### Steps for evaluating foods made from scratch

The selling entity must complete the steps below to document that all a la carte foods made from scratch comply with Smart Snacks. Foods without this documentation cannot be sold to students.

- 1. Determine the nutrition information per serving: Foods made from scratch must have an accurate standardized recipe that indicates the calories, fat, saturated fat, trans fat, sodium, and sugars per serving, including any accompaniments served with the food. If this nutrition information is missing, the selling entity must conduct a nutrient analysis using a nutrient analysis software program, or a nutrient analysis database and the CSDE's *Connecticut Nutrition Standards (CNS) Worksheet 9: Nutrient Analysis of Recipes.* For guidance on developing and using standardized recipes, visit the "Standardized Recipes" section of the CSDE's Crediting Foods in School Nutrition Programs webpage.
- 2. Verify that the nutrition information per serving complies with Smart Snacks: Compare the nutrition information for the standardized recipe's serving and its accompaniments with the required nutrition standards for the applicable Smart Snacks food category.

3. **Maintain documentation of Smart Snacks compliance on file:** Maintain each standardized recipe with its documentation of Smart Snacks compliance for the CSDE's Administrative Review of the school nutrition programs. For easy access, the CSDE recommends storing this information electronically in a computer folder.

**Exemption for entrees sold a la carte in the NSLP and SBP:** Standardized recipes with nutrition information are not required for entrees that are sold a la carte on the day of service or the day after service that they are sold as part of reimbursable meals in the NSLP and SBP. These entrees are exempt from Smart Snacks and may be sold a la carte during the meal service if they: 1) are the same or smaller portion size as the NSLP and SBP; and 2) have the same accompaniments. **Note:** This exemption applies only to the three categories of main dish entree items (lunch) and grain-only items (breakfast only) defined by Smart Snacks (refer to the CSDE's *Summary of Smart Snacks Nutrition Standards for Competitive Foods in Schools).* Any other meal components sold separately from reimbursable meals must comply with Smart Snacks. For example, french fries that are part of reimbursable meals cannot be sold a la carte unless they meet the Smart Snacks standards.

For additional guidance on foods made from scratch, refer to the CSDE's resources, *Guidance on Evaluating Recipes for Compliance with the Connecticut Nutrition Standards* and *How to Evaluate Foods Made from Scratch for Compliance with the Connecticut Nutrition Standards*, and visit the "Foods Made from Scratch" section of the CSDE's Connecticut Nutrition Standards (CNS) webpage. **Note:** While these resources are intended for the CNS, the steps for evaluating standardized recipes also apply to Smart Snacks.



### **Ensuring Compliance**

Private schools and RCCIs are responsible for ensuring that all sources of competitive foods on school premises comply with Smart Snacks (refer to "Sources of Competitive Foods" in this document). Schools must develop and implement procedures to ensure compliance by clearly communicating to all selling entities what foods and beverages are allowed, and training staff to check that all foods and beverages comply before they are sold to students. These procedures must be in place for all commercial products and foods made from scratch.

The most common noncompliance issues for commercial products include: 1) not verifying that the food or beverage is listed on the CSDE's List of Acceptable Foods and Beverages webpage or reviewed using the Alliance for a Healthier Generation's Smart Snacks Product Calculator; and 2) not checking deliveries to ensure that vendors do not substitute noncompliant products. The most common noncompliance issues for foods made from scratch include: 1) not having an accurate standardized recipe that provides the required nutrition information; and 2) not having documentation that the standardized recipe's serving with its accompaniments complies with Smart Snacks.

To prevent these issues, the school's procedures for sales of competitive foods to students on school premises must address the requirements below.

- 1. Clearly communicate what foods and beverages are allowed: Notify all entities that sell foods and beverages to students on school premises what commercial products are allowed, based on the CSDE's List of Acceptable Foods and Beverages webpage or the Alliance for a Healthier Generation's Smart Snacks Product Calculator, and specify that substitutions are not allowed. Examples of people to inform include food service directors and cafeteria staff, culinary programs staff, school store operators, vending machine operators, fundraiser operators, coordinators of school clubs and organizations, and staff from outside entities such as food service management companies and vendors. Provide clear instructions to vendors about allowable foods and beverages and indicate that substitutions are not allowed when an approved product is not available.
- 2. Evaluate all commercial products before purchasing: Before purchasing any commercial products, determine that they comply with Smart Snacks. Use the CSDE's List of Acceptable Foods and Beverages webpage or the Alliance for a Healthier Generation's Smart Snacks Product Calculator to verify that the food or beverage complies with Smart Snacks. Check that the product's information exactly matches the approved product on the CSDE's list, including the manufacturer name, product name, product description (e.g., variety/flavor), serving size, case pack, product code, and nutrition information.

If the food will include accompaniments, add the nutrition information for the food and its accompaniments together to determine if the product still complies with Smart Snacks. Accompaniments that cause an approved product to exceed the Smart Snacks limits cannot be served with the product.

Commercial products that are not included on the CSDE's List of Acceptable Foods and Beverages webpage or not verified with the Smart Snacks Product Calculator cannot be sold to students. Schools may submit products to the CSDE for review using the steps in the CSDE's *Submitting Food and Beverage Products for Approval*.

- 3. **Review orders before submitting:** For each source of competitive foods, designate the school staff responsible for reviewing orders to ensure all foods and beverages comply with Smart Snacks (refer to strategy 2). Examples include the food service manager who is responsible for reviewing the cafeteria snack orders and the district's fundraiser coordinator who is responsible for using the district's fundraiser approval form to review foods and beverages that will be sold from fundraisers (refer to strategy 7).
- 4. **Check deliveries when received:** Ordering compliant products does not guarantee they will be delivered. For each source of competitive foods, designate the school staff responsible for checking deliveries to ensure that vendors do not substitute noncompliant products. Examples include a food service employee who checks deliveries of snack foods (such as cookies, chips, and ice cream) for the cafeteria and school staff who check vending machines when they are stocked by an outside vending company (refer to strategy 8).
- 5. **Identify the steps for handling noncompliant products:** Ensure that all school staff involved with sales of competitive foods understand and follow the steps for handling deliveries of noncompliant foods and beverages. Refuse delivery of all noncompliant products and remind the vendor that substitutions are not allowed. Noncompliant products discovered after an order is delivered must be returned to the vendor.
- 6. Evaluate all foods made from scratch before selling to students: The school's procedures for sales of competitive foods must require prior approval to ensure that all competitive foods made from scratch comply with Smart Snacks (refer to "Foods made from scratch" in this document). These foods cannot be sold to students unless the selling entity has the following documentation on file: 1) an accurate standardized recipe that indicates the calories, fat, saturated fat, trans fat, sodium, and sugars per serving (including the nutrition information for any accompaniments served with the food); and 2) documentation that the standardized recipe's serving with its accompaniments complies with Smart Snacks.

- 7. Develop and implement a fundraiser approval process: Schools must implement a fundraiser approval process for reviewing fundraisers in advance to determine that they comply with one of the following allowable fundraiser procedures: a) the fundraiser sells compliant foods and beverages to students on school premises and does not occur while any CNPs are operating; b) the fundraiser sells noncompliant foods to students after the school day and does not occur while any CNPs are operating; or c) students take orders for noncompliant foods and beverages off school premises and bring the orders and money to school, but do not pick up the foods and beverages on school premises for delivery to customers. The CSDE's *Sample Fundraiser Form for Healthy Food Certification* provides guidance on developing a district fundraiser approval form for HFC that may be modified for Smart Snacks. For detailed guidance on the fundraiser requirements, refer to the CSDE's *Requirements for Food and Beverage Fundraisers in Private Schools and RCCIs.* For guidance on the state restrictions while CNPs are operating, refer to "State Competitive Foods Regulations" in this document.
- 8. Monitor vending machines operated by an outside vendor: Private schools and RCCIs are responsible for ensuring that all vending machines sell compliant foods and beverages, regardless of who owns or operates them. Schools must prevent substitutions of noncompliant products by: a) clearly communicating to the vending company what foods and beverages are allowed (refer to strategy 1); b) including language in the vending contract that substitutions are not allowed and that the vending company must train their employees who stock the vending machines on this requirement; c) designating school staff to monitor vending machines when they are stocked (refer to strategy 4); and d) refusing delivery of noncompliant products (refer to strategy 5). Vending machines stocked with noncompliant products must be turned off immediately and cannot operate until the vending company removes these products. For detailed guidance on the requirements for vending machines, refer to the CSDE's *Requirements for Foods and Beverages in Vending Machines in Private Schools and RCCIs*.

#### Allowable Sales of Noncompliant Foods and Beverages

Noncompliant foods and beverages may be sold to adults (such as school staff and parents) at any time. The state and federal laws for competitive foods apply only to students.

Noncompliant foods and beverages may be sold to students after the school day. For example, if school ends at 3:00 p.m., cupcakes and lemonade could be sold to students anytime from 3:31 p.m. through 11:59 p.m. If the sales occur while any CNPs are operating, Sections 10-215b-1 and 10-215b-23 of the Regulations of Connecticut State Agencies require additional restrictions (refer to "State Competitive Foods Regulations" in this document).

### **USDA School Wellness Policy (SWP) Requirements**

The LEA's locally developed SWP may have additional requirements for selling and giving foods and beverages to students. The Child Nutrition and Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) Reauthorization Act of 2004 (Public Law 108-265) required all schools and institutions participating in the NSLP and SBP to develop a SWP by the first day of school year 2006-07. Section 204 of the Healthy, Hunger-Free Kids Act of 2010 (Public Law 111-296) strengthened the SWP law by adding requirements for public participation, transparency, and implementation. These requirements were effective August 29, 2016, under USDA's final rule, *Local School Wellness Policy Implementation Under the HHFKA of 2010*.

Among other requirements, the LEA's SWP must include nutrition guidelines for all foods and beverages that are available for sale to students, or provided to students free of charge, on school premises during the school day. At a minimum, the LEA's SWP for foods and beverages must meet all applicable federal and state nutrition standards and requirements. For more information on SWPs, visit the CSDE's School Wellness Policies webpage.



### **State Competitive Foods Regulations**

Sections 10-215b-1 and 10-215b-23 of the Regulations of Connecticut State Agencies restrict selling and giving candy, coffee, tea, and soft drinks to students; and regulate the accrual of income from all foods and beverages sold to students anywhere on school premises. These requirements apply to all entities that sell or give foods and beverages to students when any CNPs are operating, including:

- sales of foods and beverages that comply with Smart Snacks;
- fee-based programs and activities that include the cost of foods and beverages provided to students;
- programs and activities where students can exchange coupons, tickets, tokens, and similar items for foods and beverages (including tickets and similar items that are sold or given to students);
- student orders for foods and beverages;
- distribution of foods and beverages to students; and
- fundraisers that offer foods and beverages to students in exchange for a suggested donation.

Sections 10-215b-1 and 10-215b-23 apply regardless of when students will consume the foods and beverages.

#### Section 10-215b-1: Restrictions for candy, coffee, tea, and soft drinks

Section 10-215b-1 of the state competitive foods regulations prohibits selling and giving candy, coffee, tea, and soft drinks to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs.

- "Candy" includes all types of regular and sugar-free varieties, such as chocolates; candy bars; chocolate-covered nuts and fruits; hard candies and lollipops; chewy candies like caramels, taffy, and licorice; jelly candies like gumdrops, gummies, and jelly beans; and breath mints.
- "Coffee" and "tea" include all types, e.g., regular, decaffeinated, herbal and iced.
- "Soft drinks" include all beverages (with or without carbonation) that contain water and/or juice and added sweeteners (including nutritive sweeteners, nonnutritive sweeteners, and sugar alcohols) and may also contain other ingredients such as edible acids, natural or artificial flavors and colors, and added nutrients. Examples include soda (regular and diet), sports drinks (regular, low-calorie, and zero calorie), sweetened beverages (with or without carbonation) that are not 100 percent juice (such as lemonade and fruit punch drinks), and flavored water with added sweeteners.

Depending on when CNPs operate, Smart Snacks may supersede Section 10-215b-1, or Section 10-215b-1 may supersede Smart Snacks. These requirements are summarized below.

- Selling candy to all grades: Smart Snacks prohibits selling candy to students on school premises during the school day. In addition, Section 10-215b-1 prohibits selling candy to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day. For example, if the ASP operates from 3:30 p.m. to 4:30 p.m., candy cannot be sold to students anywhere on school premises from 3:00 p.m. to 5:00 p.m.
- Selling coffee, tea, and soft drinks in elementary and middle schools: Smart Snacks prohibits sales of coffee, tea, and soft drinks to elementary and middle school students on school premises during the school day. In addition, Section 10-215b-1 prohibits selling coffee, tea, and soft drinks to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day. For example, if the ASP operates from 3:30 p.m. to 4:30 p.m., coffee, tea, and soft drinks cannot be sold to elementary and middle school students anywhere on school premises from 3:00 p.m. to 5:00 p.m.
- Selling coffee, tea, and soft drinks in high schools: Smart Snacks allows sales of coffee, tea, and soft drinks to high school students during the school day, if these beverages comply with the calorie limits for the Smart Snacks category of low-calorie and no-calorie beverages

and meet the portion limits. However, Section 10-215b-1 prohibits selling coffee, tea, and soft drinks to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day. For example, if the SBP operates from 7:00 a.m. to 7:30 a.m. and the NSLP operates from 11:30 a.m. to 1:00 p.m., coffee, tea, and soft drinks cannot be sold to high school students anywhere on school 6:30 a.m. to 8:00 a.m. or 11:00 a.m. to 1:30 p.m.

The selling entity must evaluate coffee and tea for compliance with the Smart Snacks category of low-calorie and no-calorie beverages based on the amount served, including any added accompaniments such as milk, cream, sugar, and honey (refer to "Allowable Foods and Beverages" in this document). Examples include coffee with cream and sugar, and tea with milk and honey.

• **Giving candy, coffee, tea, and soft drinks to all grades:** Smart Snacks does not apply when foods and beverages are given to students. However, Section 10-215b-1 prohibits giving candy, coffee, tea, and soft drinks to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day. The example below shows how Section 10-215b-1 applies.

Example: The SBP operates from 7:00 a.m. to 8:00 a.m. and the NSLP operates from 11:30 a.m. to 1:00 p.m. Candy, coffee, tea, and soft drinks cannot be given to students anywhere on school premises from 6:30 a.m. to 8:30 a.m. and 11:00 a.m. to 1:30 p.m. Some examples include giving candy, coffee, tea, and soft drinks to students at classroom parties; giving students coupons, tickets, tokens, or similar items that can be exchanged for candy, coffee, tea, and soft drinks; and giving candy, coffee, tea, and soft drinks to students at soft drinks to students in exchange for a suggested donation at a fundraiser.

The CSDE strongly encourages schools to promote consistent health messages to students by eliminating candy, coffee, tea, and soft drinks on school premises; even when allowed by federal and state laws.



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### Requirements for Competitive Foods in Private Schools and Residential Child Care Institutions

#### Section 10-215b-23: Accrual of income

Section 10-215b-23 of the state competitive foods regulations requires that the gross income from all foods and beverages sold to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs must accrue to the NSFSA. The NSFSA is the restricted revenue account used only for the operation or improvement of the nonprofit school food service.

All sales of foods and beverages to students anywhere on school premises during this time must comply with this regulation, including sales of foods and beverages that comply with Smart Snacks; sales of coupons, tickets, tokens, and similar items that students can exchange for foods and beverages; program and activity fees charged to cover the cost of foods and beverages provided to students; student orders for foods and beverages; and student donations in exchange for foods and beverages.



The examples below show how Section 10-215b-23 applies to food and beverage sales.

Example 1: A fundraiser on school premises sells foods that comply with Smart Snacks to students during the school day. The SBP operates from 7:00 a.m. to 8:00 a.m. and the NSLP operates from 11:30 a.m. to 1:00 p.m. The NSFSA must receive the fundraiser's income from all foods and beverages sold to students from 6:30 a.m. to 8:30 a.m. and 11:00 a.m. to 1:30 p.m. For more information on fundraisers, refer to the CSDE's *Requirements for Food and Beverage Fundraisers in Private Schools and RCCIs*.

Example 2: The vending machine contract specifies that the school food service department will receive 20 percent of the total vending sales. If the NSLP operates from 11:30 a.m. to 1:00 p.m. and the ASP operates from 3:30 p.m. to 4:30 p.m., the NSFSA must receive 100 percent of the vending machine's gross income from all foods and beverages sold to students from 11:00 a.m. to 1:30 p.m. and 3:00 p.m. to 5:00 p.m.

LEAs must ensure that vending machine contracts comply with Section 10-215b-23. All vending machines must be turned off from 30 minutes before up through 30 minutes after the operation of any CNPs unless 100 percent of the gross vending income accrues to the NSFSA.

For more information on Section 10-215b-23, refer to the CSDE's *Overview of Connecticut's Competitive Foods Regulations* and Operational Memorandum No. 1-18: *Accrual of Income from Sales of Competitive Foods in Schools*.

#### **USDA Regulations for Revenue from Nonprogram Foods**

Section 7 CFR 210.14 (f) of the NSLP regulations requires that all revenue from the sale of nonprogram foods must accrue to the NSFSA. "Nonprogram foods" are foods and beverages purchased using funds from the NSFSA and sold to students or adults at any time or location on school premises, other than reimbursable meals served through the CNPs.

Nonprogram foods are different from competitive foods. Competitive foods include all foods and beverages available for sale to students on school premises separately from reimbursable meals served through the CNPs, regardless of the funding source that purchased them. Some competitive foods are nonprogram foods because they are purchased using funds from the NSFSA, but many are not. For example, funds from the NSFSA might be used to purchase competitive foods that are sold from vending machines in the cafeteria; however, NSFSA funds are not typically used to purchase competitive foods that are sold from school stores and fundraisers.

Nonprogram foods include all foods and beverages sold in schools, adult meals, outside-of-school hours, and catering or vending activities. They also include competitive foods purchased using funds from the NSFSA, such as cafeteria a la carte sales or foods and beverages for vending machines operated by the food service department. For most school food authorities (SFAs), cafeteria a la carte sales account for the majority of nonprogram foods. Another common source is vending machines machines operated by the NSFSA.

This regulation also requires that when school food service labor is used to prepare foods for an outside entity (such as catering), the SFA must ensure that all costs, including labor and any other costs incurred, are covered by the entity being served by the school food service program. For more information, refer to USDA Memo SP 13-2014: *School Food Service Account Revenue from the Sale of Nonprogram Foods* and USDA Memo SP 20-2016: *Nonprofit School Food Service Account Nonprogram Food Revenue Requirements*.

#### Resources

- A Guide to Smart Snacks in School (USDA): https://www.fns.usda.gov/tn/guide-smart-snacks-school
- Alternatives to Food Rewards (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/Resources/FoodRewards.pdf
- Beverage Requirements (CSDE webpage): https://portal.ct.gov/SDE/Nutrition/Beverage-Requirements
- Competitive Foods in Schools (CSDE webpage) https://portal.ct.gov/SDE/Nutrition/Competitive-Foods
- CSDE Operational Memorandum No. 1-18: Accrual of Income from Sales of Competitive Foods in Schools: https://portal.ct.gov/-/media/SDE/Nutrition/NSLP/Memos/OM2018/OM01-18.pdf
- Final Rule: National School Lunch Program and School Breakfast Program: Nutrition Standards for All Foods Sold in School as Required by the HHFKA of 2010 (81 FR 50131). https://www.fns.usda.gov/school-meals/fr-072916d
- Fundraisers and Smart Snacks: Foods Not Intended for Consumption at School (USDA): https://fns-prod.azureedge.net/sites/default/files/cn/fundraisersfactsheet.pdf
- Guidance on Evaluating Recipes for Compliance with the Connecticut Nutrition Standards (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ Evaluating\_Recipes\_CNS\_Compliance.pdf
- Guide to Competitive Foods in Private Schools and Residential Child Care Institutions (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/ Competitive\_Foods\_Guide\_Private\_RCCI.pdf
- Healthy Celebrations (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/Resources/HealthyCelebrations.pdf
- Healthy Fundraising (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/Resources/HealthyFundraising.pdf
- List of Acceptable Foods and Beverages (CSDE webpage): https://portal.ct.gov/SDE/Nutrition/List-of-Acceptable-Foods-and-Beverages
- Overview of Connecticut's Competitive Foods Regulations (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/ Overview\_CT\_Competitive\_Foods\_Regulations.pdf

- Overview of Federal and State Laws for Competitive Foods in Connecticut Public Schools, Private Schools, and Residential Child Care Institutions (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/ Overview\_Federal\_State\_Laws\_Competitive\_Foods.pdf
- Private Schools and RCCIs (CSDE's Competitive Foods in Schools webpage): https://portal.ct.gov/SDE/Nutrition/Competitive-Foods/Documents #Private\_Schools\_RCCIs
- Questions and Answers on Connecticut Statutes for School Foods and Beverages: https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ Questions\_Answers\_Connecticut\_Statutes\_School\_Foods\_Beverages.pdf
- Requirements for Cafeteria A La Carte Foods and Beverages in Private Schools and Residential Child Care Institutions (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/HFC/Cafeteria\_Requirements\_Private\_RCCI.pdf
- Requirements for Food and Beverage Fundraisers in Private Schools and Residential Child Care Institutions (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ Fundraiser\_Requirements\_Private\_RCCI.pdf
- Requirements for Foods and Beverages in Culinary Programs in Private Schools and Residential Child Care Institutions (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ Culinary\_Programs\_Requirements\_Private\_RCCI.pdf
- Requirements for Foods and Beverages in School Stores in Private Schools and Residential Child Care Institutions (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ School\_Store\_Requirements\_Private\_RCCI.pdf
- Requirements for Foods and Beverages in Vending Machines in Non-HFC Public Schools (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ Vending\_Machine\_Requirements\_NonHFC.pdf
- Requirements for Foods and Beverages in Vending Machines in Private Schools and Residential Child Care Institutions (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ Vending\_Machine\_Requirements\_Private\_RCCI.pdf
- Resources for Meeting the Federal and State Requirements for Competitive Foods in Schools (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ Resources\_Federal\_State\_Requirements\_Competitive\_Foods.pdf

- Sections 10-215b-1 and 10-215b-23 of the Regulations of Connecticut State Agencies: https://eregulations.ct.gov/eRegsPortal/Browse/RCSA/Title\_10Subtitle\_10-215b/
- Smart Snacks Product Calculator (Alliance for a Healthier Generation): https://foodplanner.healthiergeneration.org/
- Smart Snacks Nutrition Standards (CSDE webpage): https://portal.ct.gov/SDE/Nutrition/Smart-Snacks-Nutrition-Standards
- Summary Chart: Federal and State Requirements for Competitive Foods in Private Schools and Residential Child Care Institutions (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/ Summary\_Chart\_Requirements\_Competitive\_Foods\_Private\_RCCI.pdf
- Summary of Smart Snacks Nutrition Standards (CSDE): https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/ Summary\_Smart\_Snacks\_Nutrition\_Standards.pdf

### Requirements for Competitive Foods in Private Schools and Residential Child Care Institutions



For more information, visit the CSDE's Competitive Foods in Schools and Smart Snacks Nutrition Standards webpages or contact the school nutrition programs staff at the Connecticut State Department of Education, Bureau of Child Nutrition Programs, 450 Columbus Boulevard, Suite 504, Hartford, CT 06103-1841.

This document is available at https://portal.ct.gov/-/media/SDE/Nutrition/HFC/ Requirements\_Competitive\_Foods\_Private\_RCCI.pdf.

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- mail: U.S. Department of Agriculture Office of the Assistant Secretary for Civil Rights 1400 Independence Avenue, SW Washington, D.C. 20250-9410; or
- 2. fax: (833) 256-1665 or (202) 690-7442; or
- 3. email: program.intake@usda.gov

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