This document summarizes the federal and state laws for selling and giving competitive foods to students from fundraisers in Connecticut public schools that participate in the U.S. Department of Agriculture's (USDA) National School Lunch Program (NSLP) and choose not to implement the healthy food option of Healthy Food Certification (HFC) under Section 10-215f of the Connecticut General Statutes (C.G.S.). Connecticut public schools include all local and regional school districts, the regional educational service centers, the Connecticut Technical Education and Career System (CTECS), charter schools, interdistrict magnet schools, and endowed academies.



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Definition of Fundraisers

Fundraisers are any activities during which money or its equivalent (such as tickets, coupons, tokens, and similar items) is exchanged for the purchase of a product in support of the school or school-related activities. This includes any activities that suggest a student donation in exchange for foods and beverages, since funds may be raised as a result. Examples of food and beverage fundraisers include sales of commercial products (such as potato chips and other snack foods, candy bars, cookies, muffins, frozen cookie dough, pies, water, and soft drinks); and sales of foods and beverages made from scratch (such as baked goods, popcorn, sandwiches, smoothies, coffee, and hot chocolate).

The Connecticut State Department of Education's (CSDE) strongly encourages schools to promote consistent health messages to students by selling healthy foods or conducting nonfood fundraisers. Suggestions for fundraising with nonfood items and activities are available in the CSDE's resource, *Healthy Fundraising*.

Overview of Federal and State Requirements

All foods and beverages available for sale to students from fundraisers on school premises during the school day must comply with the USDA's Smart Snacks nutrition standards (81 FR 50131). Beverages available for sale to students from fundraisers on school premises at all times (as part of and separately from reimbursable meals and Afterschool Snack Program (ASP) snacks) must also comply with the state beverage statute (C.G.S. Section 10-221q), unless the sales meet the state beverage exemption criteria (refer to "Beverage Exemptions" in this document).

In addition to these nutrition standards for foods and beverages, fundraisers on school premises must also comply with the federal and state laws for:

• restrictions for selling and giving foods and beverages to students, including the state statute requiring the sale of nutritious and low-fat foods (C.G.S. Section 10-221p); the state regulation that restricts selling and giving candy, coffee, tea, and soft drinks to students (Section 10-215b-1 of the Regulations of Connecticut State Agencies); and the local educational agency's (LEA) school wellness policy, as required by the USDA's school wellness policy legislation (Child Nutrition and Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) Reauthorization Act of 2004 (Section 4 of Public Law 108-265) and the Healthy, Hunger-Free Kids Act of 2010); and

• accrual of income from selling foods and beverages to students, i.e., the state regulation that restricts income accrual (Section 10-215b-23 of the Regulations of Connecticut State Agencies).

These laws determine what and when foods and beverages may be sold or given to students on school premises, and where the income must accrue. The definitions below apply to these requirements.

- "Sales" means the exchange of a determined amount of money or its equivalent (such as tickets, coupons, tokens, and similar items) for foods and beverages. Sales also include programs and activities that charge a fee that includes the cost of foods and beverages provided to students, and activities that suggest a student donation in exchange for foods and beverages. **Note:** Under Connecticut's statutes and regulations for competitive food sales also include tickets and similar items that are given to students (such as food rewards) and can be exchanged for foods and beverages. However, Smart Snacks does not apply when tickets and similar items are given to students.
- "Giving" means that foods and beverages are provided free of any charge, contribution, or suggested donations; and without the exchange of tickets, coupons, tokens, and similar items to obtain foods and beverages.
- "School premises" include all areas of the property under the jurisdiction of the local or regional board of education, CTECS, or the governing authority district or school.

For guidance on how the state and federal laws apply to different sources of foods and beverages in non-HFC public schools, refer to the CSDE's resources, Requirements for Competitive Foods in Non-HFC Public Schools and Summary Chart: State and Federal Requirements for Competitive Foods in Non-HFC Public Schools. The CSDE's Guide to Competitive Foods in Non-HFC Public Schools provides detailed guidance on the requirements for competitive foods in non-HFC public schools.



When the Laws Apply

When the laws for competitive foods differ, the stricter requirements apply. Some laws apply during the school day, while others apply at all times or while Child Nutrition Programs (CNPs) are operating.

- The "school day" is the period from the midnight before to 30 minutes after the end of the official school day. For example, if school ends at 3:00 p.m., the school day is from midnight to 3:30 p.m. A summer school program operated by the board of education or school governing authority (BOE) is part of the regular school day.
- The CNPs include the NSLP, School Breakfast Program (SBP), Afterschool Snack Program
 (ASP) of the NSLP, Seamless Summer Option (SSO) of the NSLP, Special Milk Program
 (SMP), Fresh Fruit and Vegetable Program (FFVP), Child and Adult Care Food Program
 (CACFP) At-risk Afterschool Meals operated in schools, and Summer Food Service
 Program (SFSP) operated in schools.

Smart Snacks does not apply to foods and beverages intended for consumption at home. However, the state statutes and competitive foods regulations supersede Smart Snacks because they apply regardless of when



students will receive or consume foods and beverages. This includes orders for foods that will be distributed later and purchases of precooked products (such as frozen pies and cookie dough) and bulk products that contain multiple servings per package (such as boxes of candy bars or cookies, and bags of popcorn kernels or gourmet coffee beans). For more information (refer to "Timing of Distribution and Consumption" in this document.

Table 1 summarizes the three categories of laws that apply to fundraisers in non-HFC public schools, when they apply, which foods and beverages they regulate, and whether they apply to selling or giving foods and beverages to students. These categories include: 1) nutrition standards for foods and beverages; 2) restrictions for selling and giving foods and beverages to students; and 3) accrual of income from sales of foods and beverages to students.

Table 1. Summary of laws that apply to fundraisers in non-HFC public schools						
Law	Applies to	When applies	Applies to			
			Selling	Giving		
Category 1: Nutr	ition standards for foods and bevera	ages				
Federal: Smart Snacks Nutrition Standards (81 FR 50131)	All foods and beverages available for sale to students on school premises, separately from reimbursable meals and ASP snacks through the CNPs (refer to "Allowable Foods" and "Allowable Beverages" in this document)	During the school day.	Yes	No		
State: C.G.S. Section 10- 221q: Sale of beverages	All beverages available for sale to students on school premises, sold as part of and separately from reimbursable meals and ASP snacks (refer to "Allowable Beverages" in this document).	At all times, except for sales that meet the exemption criteria of the state beverage statute (refer to "Beverage exemptions" in this document).	Yes	No		
Federal: School Wellness Policy (Public Law 108-265) and the Healthy, Hunger-Free Kids Act (HHFKA) of 2010) Final Rule 81 FR 50151	Locally determined nutrition standards and guidelines for all foods and beverages on school premises available for sale to students or provided to students free of charge (refer to "USDA School Wellness Policy (SWP) Requirements" in this document).	During the school day.	Yes	Yes		

Table 1. Summary of laws that apply to fundraisers in non-HFC public schools							
Law	Applies to	When applies	Applies to				
			Selling	Giving			
Category 2: Restrictions for selling and giving foods and beverages to students							
State: C.G.S. Section 10-221p: Nutritious and low-fat foods available for sale	All sales of foods to students on school premises (refer to "State Statute Requiring Nutritious and Low-fat Foods" in this document).	During the school day.	Yes	No			
State: Section 10- 215b-1 of the Regulations of Connecticut State Agencies: Competitive foods (candy, coffee, tea, and soft drinks)	Selling and giving candy, coffee, tea, and soft drinks to students on school premises while any CNPs are operating (refer to "Section 10-215b-1: Restrictions for candy, coffee, tea, and soft drinks" in this document).	From 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day.	Yes	Yes			
Category 3: Accrual of income from sales of foods and beverages to students							
State: Section 10- 215b-23 of the Regulations of Connecticut State Agencies: Accrual of Income	Accrual of income from all sales of foods and beverages to students on school premises while any CNPs are operating (refer to "Section 10-215b-23: Accrual of income" in this document).	From 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day.	Yes	No			

Allowable Foods

Allowable foods include commercial products and foods made from scratch that comply with the following Smart Snacks requirements:

1) the food must meet at least one general standard; and 2) the serving with its accompaniments (such as butter, cream cheese, salad dressing, and condiments) must meet the specific nutrition standards for the applicable Smart Snacks food category. Some examples of foods with accompaniments include bagels with cream cheese, muffins with butter, french fries with ketchup, pancakes with syrup, tortilla chips with salsa, and chicken nuggets with dipping sauce.

Foods that do not comply with Smart Snacks cannot be sold to students from fundraisers during the school day.

For specific information on the Smart Snacks requirements, refer to the CSDE's *Summary of Smart Snacks Nutrition Standards* and visit the CSDE's Smart Snacks Nutrition Standards webpage.

Commercial products

The CSDE's List of Acceptable Foods and Beverages webpage identifies commercial food products that comply with Smart Snacks. Fundraiser operators may also use the Alliance for a Healthier Generation's Smart Snacks Product Calculator to assess food products for compliance with Smart Snacks. This tool cannot be used to identify allowable beverages because some requirements of the state beverage statute are stricter and supersede Smart Snacks.

Foods made from scratch

Foods made from scratch include two categories: 1) foods prepared from ingredients using a standardized recipe, such as baked goods like muffins and cookies, soups, fruit smoothies, and entrees like pizza, sandwiches, and spaghetti with meat sauce; and 2) commercial foods with ingredients added after purchasing, such as popping popcorn kernels in oil and adding salt, making muffins from a mix and adding butter and eggs, and adding sprinkles to commercial frozen cookie dough.

The required Smart Snacks documentation for foods made from scratch includes: 1) an accurate standardized recipe that



indicates the calories, fat, saturated fat, trans fat, sodium, and sugars per serving, including any accompaniments served with the food; and 2) a comparison of the standardized recipe's nutrition information per serving (including its accompaniments) with the required nutrition standards for the applicable Smart Snacks food category, showing that the serving complies. Maintain this documentation for the CSDE's Administrative Review of the school nutrition programs. For easy access, the CSDE recommends storing this information electronically in a computer folder.

For additional guidance, refer to the CSDE's resources, *Guidance on Evaluating Recipes for Compliance with the Connecticut Nutrition Standards* and *How to Evaluate Foods Made from Scratch for Compliance with the Connecticut Nutrition Standards*, and visit the "Foods Made from Scratch" section of the CSDE's Connecticut Nutrition Standards (CNS) webpage. **Note:** While these resources are intended for the CNS, the steps for evaluating standardized recipes also apply to Smart Snacks.

Allowable Beverages

Allowable beverages comply with the state beverage statute (C.G.S. Section 10-221q) and any stricter Smart Snacks requirements. The state beverage statute applies to all beverages available for sale to students on school premises at all times, including beverages sold as part of and separately from reimbursable meals and ASP snacks. Smart Snacks applies to all beverages sold separately from reimbursable meals to students on school premises during the school day.

Five categories of beverages are allowed for sale to students in public schools, including: 1) milk; 2) 100 percent juice; 3) nondairy milk substitutes; 4) beverages containing only water and juice; and 5) water. Each category must meet the specific nutrition requirements of the federal and state beverage standards. For information on these requirements, refer to the CSDE's *Allowable Beverages in Connecticut Public Schools* and visit the CSDE's Beverage Requirements webpage.

Beverages that do not comply with the state beverage statute (such as juice drinks, soda, coffee, tea, hot chocolate, sports drinks, and sweetened water) cannot be sold to students at any time, unless the fundraiser meets the exemption criteria of the state beverage statute (refer to "Beverage Exemptions" and "Allowable Sales of Noncompliant Foods and Beverages" in this document).

The CSDE's List of Acceptable Foods and Beverages webpage identifies commercial beverage products that comply with the state beverage statute and Smart Snacks.

Beverage Exemptions

Beverages that do not comply with the state beverage cannot be sold to students on school premises unless the BOE has voted to allow exemptions and the sales meet the following exemption criteria:

1) the sale is in connection with an event occurring after the end of the regular school day or on the weekend; 2) the sale is at the location of the event; and 3) the beverages are not sold from a vending machine or school store.

- An "event" is an occurrence that involves more than just a regularly scheduled practice, meeting, or extracurricular activity. Events involve a gathering of people in a social context, such as sports competitions, awards banquets, school concerts, and theatrical productions.
 For example, soccer games, school plays, and school debates are events, but soccer practices, play rehearsals, and debate team meetings are not.
- "Location" means where the event is being held. For example, lemonade may be sold on the side of the soccer field during a soccer game but cannot be sold in the school cafeteria while a game is played on the soccer field.

If the BOE has voted to allow beverage exemptions, noncompliant beverages could be sold to students at the location of an event that occurs after the school day or on the weekend. The example below shows how food and beverage exemptions apply.

Example: The school day ends at 3:00 p.m. A fundraiser located at an event on school premises could sell noncompliant foods to students anytime between 3:31 p.m. through 11:59 p.m. during the school week, or anytime on Saturday or Sunday. For example, a fundraiser could sell lemonade to students at the location of a school concert (event) that occurs in the evening (after the school day). If the fundraiser occurs from 30 minutes before up through 30 minutes after the operation of any CNPs, the state competitive foods regulations require additional restrictions that supersede the exemptions allowed by the state beverage statute. Section 10-215b-1 prohibits the fundraiser from selling candy, coffee, tea, and soft drinks to students during this time. Section 10-215b-23 requires that the income from all sales of foods and beverages during this time must accrue to the NSFSA. For more information, refer to "State Competitive Foods Regulations" in this section.

Noncompliant beverages can never be sold to students as a fundraiser from vending machines or school stores, even if the vending machines or school stores are at the location of an event. The state beverage statute specifically prohibits beverage exemptions for vending machines and school stores.

Strategies to Ensure Compliance

Districts are responsible for developing and implementing procedures to ensure that all fundraisers comply with Smart Snacks and the state beverage statute, and the state laws for selling timeframes and income accrual. The most common noncompliance issue is not reviewing fundraisers in advance to ensure they comply with all state and federal laws for competitive foods in non-HFC schools. To prevent noncompliance issues, districts must implement the strategies below.

- 1. **Develop and implement a fundraiser approval process:** Districts must implement a fundraiser approval process for reviewing fundraisers in advance to determine that they comply with one of the allowable fundraiser procedures (refer to "Allowable Fundraising Procedures" in this document).
- 2. Clearly communicate the fundraiser requirements to all individuals and groups that coordinate fundraisers on school premises: Notify all coordinators of fundraisers that sell foods and beverages to students on school premises, including school groups (such as school clubs and parent-teacher organizations) and outside entities (such as food service management companies, caterers, and vendors). Provide training and resources to ensure that all fundraiser coordinators understand how to comply with the fundraiser requirements for HFC schools. Some examples of useful resources include this document, the "Non-HFC Public Schools" section of the CSDE's Competitive Foods in Schools webpage, and the CSDE's List of Acceptable Foods and Beverages webpage.

Allowable Fundraising Procedures

The district's fundraiser approval process must ensure that fundraisers meet the federal and state laws for competitive foods. To be allowable, fundraisers must comply with one of the allowable fundraising procedures below. Fundraisers that do not follow these procedures cannot sell or distribute foods and beverages to students on school premises.

1. Sales of compliant foods and beverages on school premises: The fundraiser sells compliant foods and beverages to students on school premises. All foods available for sale to students from the fundraiser comply with Smart Snacks (refer to "Allowable Foods" in this document). All beverages available for sale to students from the fundraiser comply with the state beverage statute and Smart Snacks (refer to "Allowable Beverages" in this document). The sales do not occur while any CNPs are operating (refer to "State Competitive Foods Regulations" in this document). If the sales occur during the school day, the fundraiser complies with C.G.S. Section 10-221p (refer to "State Statute Requiring Nutritious and Low-fat Foods" in this document).

- 2. Sales of noncompliant foods on school premises after the school day: The fundraiser sells noncompliant foods to students after the school day. The sales do not occur while any CNPs are operating (refer to "State Competitive Foods Regulations" in this document).
- 3. Sales of noncompliant beverages at events on school premises after the school day: The fundraiser meets the exemption criteria of the state beverage statute, i.e., noncompliant beverages are sold to students at the location of an event on school premises that occurs after the school day or on the weekend (refer to "Beverage Exemptions" in this document). The sales do not occur while any CNPs are operating (refer to "State Competitive Foods Regulations" in this document).
- 4. Fundraiser catalogs and orders for noncompliant foods and beverages: Students take orders for noncompliant foods and beverages off school premises and bring the orders and money to school. Students do not pick up the foods and beverages on school premises. The distribution of the fundraiser foods and beverages complies with one of the following:

 a) Parents or other adults pick up the beverages at school for delivery to customers; or

 b) the pick-up location for the foods and beverages is off school premises. The pick-up policy is clearly indicated on all written communication regarding the fundraiser, such as fundraiser catalogs and fliers.

The CSDE's *Sample Fundraiser Form for Healthy Food Certification* provides a template that districts may use to develop a fundraiser approval process. **Note:** While this resource is intended for HFC schools, the steps for evaluating fundraisers also apply to Smart Snacks.

Allowable Sales of Noncompliant Foods and Beverages

Noncompliant beverages may be sold to adults (such as school staff and parents) from fundraisers at any time. Noncompliant foods may be sold to students from fundraisers after the school day. For example, if school ends at 3:00 p.m., a fundraiser could sell cupcakes to students anytime from 3:31 p.m. through 11:59 p.m. If the sales occur while any CNPs are operating, Sections 10-215b-1 and 10-215b-23 of the Regulations of Connecticut State Agencies require additional restrictions (refer to "State Competitive Foods Regulations" in this document).

Noncompliant beverages cannot be sold to students from fundraisers on school premises unless the BOE has voted to allow beverage exemptions, and the sales occur at the location of an event that meets the exemption criteria of the state beverage statute (refer to "Allowable Fundraising Procedures" and "Beverage Exemptions" in this document).

USDA School Wellness Policy (SWP) Requirements

The LEA's locally developed SWP may have additional requirements for selling and giving foods and beverages to students. The Child Nutrition and Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) Reauthorization Act of 2004 (Public Law 108-265) required all schools and institutions participating in the NSLP and SBP to develop a SWP by the first day of school year 2006-07. Section 204 of the Healthy, Hunger-Free Kids Act of 2010 (Public Law 111-296) strengthened the SWP law by adding requirements for public participation, transparency, and implementation. These requirements were effective August 29, 2016, under USDA's final rule, *Local School Wellness Policy Implementation Under the HHFKA of 2010*.

Among other requirements, the LEA's SWP must include nutrition guidelines for all foods and beverages that are available for sale to students, or provided to students free of charge, on school premises during the school day. At a minimum, the LEA's SWP for foods and beverages must meet all applicable federal and state nutrition standards and requirements. For more information on SWPs, visit the CSDE's School Wellness Policies webpage.



State Statute Requiring Nutritious and Low-fat Foods

C.G.S. Section 10-221p requires that whenever foods are available for sale to students during the school day, nutritious and low-fat foods must also be available for sale at the same time, either at the location of the food sales or elsewhere in the school. The statute defines "nutritious and low-fat foods" as low-fat dairy foods (such as low-fat cheese and low-fat or nonfat yogurt) and fresh or dried fruit. Low-fat milk is a beverage and cannot be used to meet the statutory requirement for low-fat foods.

Fundraisers on school premises that sell foods to students during the school day must also sell low-fat dairy foods and fresh or dried fruit unless these foods are available for sale to students elsewhere on school premises at the same time. For fundraisers that consist of preordered foods, the nutritious low-fat foods specified in the statute must be available for sale when students receive the foods, not when students order the foods. For more information on C.G.S. Section 10-221p, refer to section 4 of the CSDE's *Questions and Answers on Connecticut Statutes for School Foods and Beverages*.

State Competitive Foods Regulations

Sections 10-215b-1 and 10-215b-23 of the Regulations of Connecticut State Agencies restrict candy, coffee, tea, and soft drinks; and regulate the accrual of income from all foods and beverages sold to students anywhere on school premises. These requirements apply to all fundraisers that occur while any CNPs are operating, including:

- sales of beverages at events on school premises that meet the exemption criteria of the state beverage statute;
- fundraisers where students can exchange tickets, coupons, tokens, and similar items for foods and beverages (including coupons and similar items that are sold or given to students);
- student orders for foods and beverages from fundraisers on school premises; distribution of fundraiser foods and beverages to students on school premises; and
- fundraisers on school premises that offer foods and beverages to students in exchange for a suggested donation.

Sections 10-215b-1 and 10-215b-23 apply regardless of when students will consume the foods and beverages.

Section 10-215b-1: Restrictions for candy, coffee, tea, and soft drinks

Section 10-215b-1 of the state competitive foods regulations prohibits selling and giving candy, coffee, tea, and soft drinks to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs.

- "Candy" includes all types of regular and sugar-free varieties, such as chocolates; candy bars; chocolate-covered nuts and fruits; hard candies and lollipops; chewy candies like caramels, taffy, and licorice; jelly candies like gumdrops, gummies, and jelly beans; and breath mints.
- "Coffee" and "tea" include all types, e.g., regular, decaffeinated, herbal and iced.
- "Soft drinks" include all beverages (with or without carbonation) that contain water and/or juice and added sweeteners (including nutritive sweeteners, nonnutritive sweeteners, and sugar alcohols) and may also contain other ingredients such as edible acids, natural or artificial flavors and colors, and added nutrients. Examples include soda (regular and diet), sports drinks (regular, low-calorie, and zero calorie), sweetened beverages (with or without carbonation) that are not 100 percent juice (such as lemonade and fruit punch drinks), and flavored water with added sweeteners.

Depending on when CNPs operate, Smart Snacks and the state beverage statute may supersede Section 10-215b-1, or Section 10-215b-1 may supersede Smart Snacks and the state beverage statute.

These requirements are summarized below.

- Selling candy: Smart Snacks prohibits selling candy to students on school premises during the school day. In addition, Section 10-215b-1 prohibits selling candy to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day. For example, if the ASP operates from 3:30 p.m. to 4:30 p.m., fundraisers cannot sell candy to students anywhere on school premises from 3:00 p.m. to 5:00 p.m. This includes student orders for candy from fundraisers.
- Selling coffee, tea, and soft drinks: The state beverage statute supersedes Section 10-215b-1 because it applies at all times, not just when CNPs are operating. Fundraisers cannot sell coffee, tea, and soft drinks to students unless 1) the BOE has voted to allow beverage exemptions; 2) the sales meet the exemption criteria of the state beverage statute (refer to "Beverage exemptions" in this document); and 3) the sales do not occur while any CNPs are operating. Coffee, tea, and soft drinks can never be sold to students as fundraisers from vending machines or school stores.
- Giving candy, coffee, tea, and soft drinks: Smart Snacks and the state beverage statute do not apply when foods and beverages are given to students. However, Section 10-215b-1 prohibits giving candy, coffee, tea, and soft drinks to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day. The example below shows how Section 10-215b-1 applies to fundraisers.

Example: The SBP operates from 7:00 a.m. to 8:00 a.m. and the NSLP operates from 11:30 a.m. to 1:00 p.m. Fundraisers cannot give candy, coffee, tea, and soft drinks to students anywhere on school premises from 6:30 a.m. to 8:30 a.m. and 11:00 a.m. to 1:30 p.m. Some examples include fundraisers that give students tickets, coupons, tokens, or similar items that can be exchanged for candy, coffee, tea, and soft drinks; fundraisers that distribute fundraiser orders of candy, coffee, tea, and soft drinks to students; and fundraisers that give candy, coffee, tea, and soft drinks to students in exchange for a suggested donation.

The CSDE strongly encourages schools to promote consistent health messages to students by eliminating candy, coffee, tea, and soft drinks on school premises; even when allowed by federal and state laws.

Section 10-215b-23: Accrual of income

Section 10-215b-23 of the state competitive foods regulations requires that the gross income from all foods and beverages sold to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs must accrue to the NSFSA. The NSFSA is the restricted revenue account used only for the operation or improvement of the nonprofit school food service.

All fundraiser sales of foods and beverages to students on school premises during this time must comply with this regulation. This includes:

- sales of foods that comply with Smart Snacks;
- sales of beverages that comply with Smart Snacks and the state beverage statute;
- sales of beverages to students at events that meet the exemption criteria of the state beverage statute;
- sales of tickets, coupons, tokens, and similar items that students can exchange for foods and beverages;
- student orders for foods and beverages; and
- student donations in exchange for foods and beverages.

The example below shows how Section 10-215b-23 applies to fundraisers.

Example: The SBP operates from 7:00 a.m. to 8:00 a.m., the FFVP operates from 9:30 a.m. to 10:00 a.m., the NSLP operates from 11:30 a.m. to 1:00 p.m., and the ASP operates from 3:30 p.m. to 4:30 p.m. The NSFSA must receive the income from all foods and beverages sold to students anywhere on school premises from 6:30 a.m. to 8:30 a.m., 9:00 to 10:30 a.m., 11:00 a.m. to 1:30 p.m., and 3:00 p.m. to 5:00 p.m.

For more information on the competitive foods regulations, refer to the CSDE's *Overview of Connecticut's Competitive Foods Regulations* and Operational Memorandum No. 1-18: *Accrual of Income from Sales of Competitive Foods in Schools*.



Complying with the Fundraiser Requirements

The guidance below indicates how the federal and state laws for competitive foods apply to different types of fundraisers in non-HFC public schools.

Sales to adults

The federal and state laws for competitive foods apply only to students. Fundraisers may sell noncompliant foods and beverages to adults (such as school staff and parents) at any time, either on or off school premises.

Adult education programs

Smart Snacks does not apply to adult education programs. However, Connecticut's statutes and regulations for competitive foods do not address an age limit for students or distinguish between regular and adult education programs (refer to refer to the CSDE's memo, *Requirements for Selling Foods and Beverages in Adult Education Programs*). These laws apply to sales of foods and beverages to adults who are "students" in adult education programs under the BOE's jurisdiction, if the food and beverage sales are under the control of the adult education program. The state statute for nutritious low-fat foods (C.G.S. Section 10-221p) does not apply unless the adult education program's food sells foods during the school day.

Bake sales

Smart Snacks and the state laws for competitive foods do not apply to bake sales that only sell foods to school staff and parents (refer to "Sales to adults" in this document) or to bake sales held off school premises (refer to "Fundraisers off school premises" in this document). However, these laws apply to bake sales that sell foods to students on school premises.

• Compliant foods: Bake sales held during the school day on school premises may sell compliant foods to students (refer to "Compliant foods and beverages" in this document) if the sales comply with C.G.S. Section 10-221p (refer to "State Statute Requiring Nutritious and Low-fat Foods" in this document) and the state competitive foods regulations (refer to "State Competitive Foods Regulations" in this document). The example below shows how these laws apply to sales of compliant foods.

Example: A bake sale on school premises during the school day sells muffins and cookies that are included on the CSDE's List of Acceptable Foods and Beverages webpage. This bake sale must also sell low-fat dairy foods and fresh or dried fruit unless these foods are sold elsewhere on school premises at the same time. If this bake sale occurs from 30 minutes before up through 30 minutes after the operation of any CNPs, Section 10-215b-23 of the state competitive foods regulations requires that the bake sale's income during this time must accrue to the NSFSA. For example,

if the NSLP operates from 11:30 a.m. to 1:00 p.m., the NSFSA must receive the bake sale's income from all foods and beverages sold to students from 11:00 a.m. to 1:30 p.m.

• Noncompliant foods: Bake sales cannot sell noncompliant foods to students until after the school day. If the bake sale occurs from 30 minutes before up through 30 minutes after the operation of any CNPs, the state competitive foods regulations require additional restrictions (refer to "State Competitive Foods Regulations" in this document). Section 10-215b-1 prohibits sales of candy, coffee, tea, and soft drinks to students on school premises during this time. Section 10-215b-23 requires that the bake sale's income from all foods and beverages sold to students during this time must accrue to the NSFSA.

Candy

Candy includes all types of regular and sugar-free varieties, such as chocolates, chocolate-covered nuts and fruits, hard candies, jelly candies (e.g., gumdrops and gummies), and breath mints. Candy does not comply with Smart Snacks and cannot be sold to students on school premises during the school day. In addition, Section 10-215b-1 of the state competitive foods regulations prohibits selling and giving candy to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day (refer to "Section 10-215b-1: Restrictions for candy, coffee, tea, and soft drinks" in this document). The LEA's school wellness policy may have other local requirements for selling and giving candy to students on school premises (refer to "USDA School Wellness Policy (SWP) Requirements" in this document). The CSDE strongly encourages schools to promote consistent health messages to students by eliminating candy on school premises, even when allowed by federal and state laws.

Compliant foods and beverages

Compliant foods are those that meet the requirements of the Smart Snacks nutrition standards (refer to "Allowable Foods" in this document. Compliant beverages are those that meet the requirements of the state beverage statute and Smart Snacks (refer to "Allowable Beverages" in this document). Fundraisers on school premises may sell compliant foods and beverages to students at any time, if the sales also comply with C.G.S. Section 10-221p (refer to "State Statute Requiring Nutritious and Low-fat Foods" in this document) and Section 10-215b-23 of the state competitive foods regulations (refer to "Section 10-215b-23: Accrual of income" in this document).

Fundraiser catalogs and orders

Smart Snacks does not apply to foods and beverages sold off school premises through fundraising catalogs, fliers, and similar promotions; or to foods intended for consumption at home, such as frozen cookie dough and boxes of Girl Scout cookies. Foods purchased through a fundraiser may be

delivered on school premises during the school day if the foods being sold comply with Smart Snacks or are not intended to be consumed on school premises during the school day (refer to "Timing of Distribution and Consumption" in this document). However, the USDA encourages organizations to deliver the food items at a time when parents and caregivers are more likely to be present to collect them, for example, during specific drop-off or pick-up times.

Connecticut's statutes and regulations for competitive foods supersede Smart Snacks because they apply whenever students exchange money for foods and beverages on school premises. This includes orders and distribution of foods and beverages from fundraising catalogs, fliers, and similar promotions on school premises.

Students may take orders for noncompliant foods and beverages off school premises and bring the orders and money to school. However, if students deliver the fundraiser orders and money to school and pick up the foods and beverages at school for delivery to customers, the fundraiser is selling foods and beverages to students on school premises. For example, students cannot deliver orders and money for frozen pies and bags of gourmet coffee to school, and pick up the pies and coffee at school, because pies do not comply with the CNS and coffee does not comply with the state beverage statute.

The distribution of the fundraiser foods and beverages must comply with one of the following: a) parents or other adults pick up the foods and beverages on school premises; b) students pick up the foods and beverages at an event on school premises that occurs after the school day or on the weekend, when CNPs are not operating; or c) the pick-up location for the foods and beverages is off school premises.

If the fundraiser orders or distribution occur on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, the state competitive foods regulations require additional restrictions. Section 10-215b-1 prohibits candy, coffee, tea, and soft drinks from being sold to, ordered by, or distributed to students on school premises during this time, including sales, orders, and distribution orders at events. Section 10-215b-23 requires that the fundraiser's income from all food and beverage orders sold to students during this time must accrue to the NSFSA.

The example below shows how Section 10-215b-23 applies to fundraiser orders.

Example: The At-risk Afterschool Meals program serves supper on school premises from 4:30 p.m. to 6:00 p.m. A fundraiser takes orders for foods and beverages on the side of the soccer field at a soccer game (exempted event) during the same time (after the school day).

Students cannot order candy, coffee, tea, or soft drinks from 4:00 p.m. to 6:30 p.m. The NSFSA must receive the fundraiser's income from all foods and beverages ordered by (sold to) students during these times.

For additional guidance, refer to "State Competitive Foods Regulations" in this document.

Fundraisers off school premises

Smart Snacks and Connecticut's statutes and regulations for competitive foods do not apply to fundraising activities that take place off school premises, such as bake sales held at a supermarket or candy bar sales held at a town community center. However, these laws apply when students sell foods and beverages off school premises, deliver fundraiser orders and money to school, and pick up the foods and beverages at school (refer to "Fundraiser catalogs and orders" in this document).

Gift cards and entertainment books

Smart Snacks applies when students purchase gift cards and similar items that can be exchanged for foods and beverages on school premises during the school day. Smart Snacks does not apply to gift cards, entertainment books, and similar items that students can exchange for foods and beverages off school premises or after the school day. Examples include gift cards and entertainment books with coupons that are redeemable off school premises at businesses selling foods and beverages, e.g., restaurants, convenience stores, fast food chains, and local dining establishments.

However, Connecticut's statutes and regulations for competitive foods supersede Smart Snacks because they apply to gift cards and similar items sold to or ordered by students on school premises, regardless of where or when students can obtain the foods and beverages. This includes gift cards and similar items that can be exchanged for foods and beverages off school premises, such as gift cards for restaurants, convenience stores, fast food chains, and local dining establishments; but excludes supermarket gift cards.

When students sell gift cards or similar items off school premises and bring the money to school, the requirements are the same as the procedures for fundraiser catalogs and orders. Students may bring the orders and money to school. However, the distribution of the gift cards must comply with one of the following: a) parents or other adults pick up the gift cards on school premises; b) students pick up the gift cards at an event on school premises that occurs after the school day or on the weekend, when CNPs are not operating; or c) the pick-up location for the gift cards is off school premises.

Fundraisers cannot sell gift cards and similar items that students can redeem for noncompliant beverages unless: 1) the BOE has voted to allow beverage exemptions; and 2) the fundraiser meets the exemption criteria of the state beverage statute (refer to "Beverage Exemptions" in this document). If the fundraiser occurs from 30 minutes before up through 30 minutes after the operation of any CNPs (including sales at events), the state competitive foods regulations require additional restrictions (refer to "State Competitive Foods Regulations" in this document). Section 10-215b-1 prohibits gift cards and similar items that can be exchanged for candy, coffee, tea, and soft drinks from being sold or given to students, ordered by students, or distributed to students on school premises during this time. Section 10-215b-23 requires that the fundraiser's income from all gift cards and similar items sold to students during this time must accrue to the NSFSA.

Gum

The Federal Food, Drug, and Cosmetic Act defines gum as a food. Regular chewing gum does not comply with Smart Snacks and cannot be sold to students on school premises during the school day. Sugar-free chewing gum is exempt from Smart Snacks and may be sold to students on school premises during the school day if the sales comply with C.G.S. Section 10-221p (refer to "State Statute Requiring Nutritious and Low-fat Foods" in this document) and Section 10-215b-23 of the state competitive foods regulations (refer to "Section 10-215b-23: Accrual of income" in this document).

Noncompliant foods and beverages

Fundraisers on school premises cannot sell noncompliant foods to students during the school day. Fundraisers on school premises cannot sell noncompliant beverages to students at any time unless: 1) the BOE has voted to allow food and beverage exemptions; and 2) the sales meet the exemption criteria of the state beverage statute (refer to "Beverage Exemptions" in this document).

If the fundraiser occurs from 30 minutes before up through 30 minutes after the operation of any CNPs, the state competitive foods regulations require additional restrictions, regardless of when students will receive the orders or consume the foods and beverages (refer to "State Competitive Foods Regulations" in this document). Section 10-215b-1 prohibits selling candy, coffee, tea, and soft drinks to students during this time. Section 10-215b-23 requires that the fundraiser's income from all foods and beverages sold to students during this time must accrue to the NSFSA. These requirements also apply to student orders for noncompliant foods and beverages, and distribution of noncompliant foods and beverages to students during this time (refer to "Timing of Distribution and Consumption" in this document).

Nonfood fundraisers

The federal and state laws for competitive foods do not apply to non-food sales. Any requirements for sales of nonfood items to students would be determined locally by the LEA. The CSDE strongly

encourages schools to promote consistent health messages to students by conducting nonfood fundraisers. The CSDE's handout, *Healthy Fundraising*, provides suggestions for fundraising with nonfood items and activities.

Suggested donations for foods and beverages

Suggesting a student donation in exchange for foods and beverages is the same as selling foods and beverages to students. Some examples include fundraisers that offer students a "free" cookie for donating to a charity, school organization, or similar entity; and fundraisers that offer "free" candy to the classroom that donates the most money to a charity.

• Compliant foods and beverages: Fundraisers could give compliant foods and beverages to students in exchange for a suggested donation at any time. However, if the fundraiser occurs from 30 minutes before up through 30 minutes after the operation of any CNPs, Section 10-215b-23 of the state competitive foods regulations requires that the fundraiser's income from all student donations for foods and beverages during this time must accrue to the NSFSA (refer to "Section 10-215b-23: Accrual of income" in this document). The example below shows how Section 10-215b-1 applies to fundraisers.

Example: The NSLP operates from 11:30 a.m. to 1:00 p.m. A fundraiser on school premises during the school day offers students a compliant cookie for donating money to a charity. The NSFSA must receive the fundraiser's income from all student donations offered in exchange for cookies from 11:00 a.m. to 1:30 p.m. **Note:** This cookie must comply with Smart Snacks because the sale (i.e., suggested donation) occurs during the school day.

- Noncompliant foods: Fundraisers on school premises cannot give noncompliant foods to students in exchange for a suggested donation during the school day. Noncompliant foods could be given to students in exchange for a suggested donation after the school day. However, if the fundraiser occurs from 30 minutes before up through 30 minutes after the operation of any CNPs, the state competitive foods regulations require additional restrictions. Section 10-215b-1 prohibits fundraisers from giving candy, coffee, tea, and soft drinks to students in exchange for a donation during this time. Section 10-215b-23 requires that the fundraiser's income from all student donations for foods and beverages during this time must accrue to the NSFSA.
- Noncompliant beverages: Fundraisers on school premises cannot give noncompliant beverages to students at any time unless: 1) the BOE has voted to allow beverage exemptions; and 2) the sales meet the exemption criteria of the state beverage statute (refer to "Beverage Exemptions" in this document).

For additional guidance, refer to "State Competitive Foods Regulations" in this document.

Tickets, coupons, and tokens

Smart Snacks applies when students purchase tickets, coupons, tokens, and similar items that can be exchanged for foods and beverages on school premises during the school day. For example, if a student club sells tickets that students can exchange for ice cream on school premises during the school day, the ice cream must comply with Smart Snacks. Smart Snacks does not apply to food coupons and similar items that are given to students free of any charge or contribution, such as food rewards.



If the fundraiser occurs while any CNPs are operating, Connecticut's competitive foods regulations supersede Smart Snacks because they apply to all foods and beverages that students can obtain by exchanging tickets, coupons, tokens, and similar items. These requirements apply regardless of:

1) whether the tickets and similar items are purchased by students or given to students at no charge (such as coupons for food rewards); and 2) when students will receive or consume the foods and beverages (refer to "Timing of Distribution and Consumption" in this document). The examples below show how the state regulations apply to tickets and similar items.

Example 1: The NSLP operates from 11:30 a.m. to 1:00 p.m. On Monday, a fundraiser sells tickets to students during this time. On Friday during the school day, students can exchange the tickets for cookies on school premises. These cookies must comply with Smart Snacks (refer to "Allowable Foods" in this document) because this fundraiser does not occur after the school day. In addition, section 10-215b-23 of the state competitive foods regulations requires that the income from all ticket sales during this time must accrue to the NSFSA because the NSLP is operating (refer to "Section 10-215b-23: Accrual of income" in this document).

Example 2: Students purchase beverage coupons from a fundraiser on school premises during the school day. The coupons can be exchanged for beverages after the school day. The beverages must comply with the state beverage statute (refer to "Allowable Beverages" in this document) because this fundraiser does not occur at the location of an event. If the coupon sales occur from 30 minutes before up through 30 minutes after the operation of any CNPs, Section 10-215b-23 of the state competitive foods regulations requires that the income must accrue to the NSFSA (refer to "Section 10-215b-23: Accrual of income" in this document).

For additional guidance, refer to "State Competitive Foods Regulations" in this document.

Timing of Distribution and Consumption

Fundraisers sometimes sell foods and beverages at a different time from when they will be distributed or consumed. For example, students might order and pay for foods and beverages on one day, then receive the products several weeks later. The federal and state laws have different restrictions for these types of fundraisers.

Foods and beverages intended for consumption at home

Smart Snacks applies to all student orders for foods and beverages that are distributed to, and intended to be consumed by, students on school premises during the school day. For example, a fundraiser cannot sell tickets to students on Monday during the school day for a candy bar that will be distributed to students on Friday during the school day because candy bars do not comply with Smart Snacks.

Smart Snacks does not apply to foods and beverages intended for consumption at home. Examples include products distributed on school premises in a precooked state (such as frozen cookie dough, frozen pies, and frozen pizza), and products that are distributed on school premises in bulk quantities, i.e., multiple servings per package (such as boxes or bags of candy bars, Girl Scout cookies, popcorn, tea bags, hot chocolate packets, and bags of gourmet coffee).

However, Connecticut's beverage statute supersedes Smart Snack because it applies regardless of when students will consume the beverages. For example, students cannot order bags of gourmet coffee from a fundraiser on school premises because coffee does not comply with the state beverage statute. Noncompliant beverages cannot be ordered by or distributed to students on school premises unless: 1) the BOE has voted to allow beverage exemptions; and 2) the beverages are distributed to students after the school day or on the weekend at the location of an event that meets the exemption criteria of the state beverage statute (refer to "Beverage Exemptions" in this document).

Fundraiser orders and distribution during CNPs

If fundraiser orders and distribution occur while any CNPs are operating, the state competitive foods regulations require additional restrictions. Section 10-215b-1 prohibits fundraiser orders and distribution of candy, coffee, tea, and soft drinks to students anywhere on school premises from 30 minutes before up through 30 minutes after the operation of any CNPs, including during and after the school day. Section 10-215b-23 requires that the fundraiser's income from all foods and beverages sold to students during this time (including fundraiser orders and ticket sales) must accrue to the NSFSA. For more information, refer to "Tickets, coupons, and tokens" and "Fundraiser catalogs and orders" in this document.

Connecticut's regulations for competitive foods supersede Smart Snack because they apply regardless of when students will consume the foods and beverages. The examples below show how these state requirements apply to fundraisers.

Example 1: The SBP operates from 7:00 a.m. to 8:00 a.m. and the NSLP operates from 11:30 a.m. to 1:00 p.m. A school fundraising organization requests to sell boxes of candy bars to students during these times. Section 10-215b-1 of the state competitive foods regulations prohibits this fundraiser because students cannot order candy, and candy cannot be distributed the candy to students, from 6:30 a.m. to 8:30 a.m. and 11:00 a.m. to 1:30 p.m. (refer to "Section 10-215b-1: Restrictions for candy, coffee, tea, and soft drinks" in this document).

Example 2: The SBP operates from 7:00 a.m. to 8:00 a.m. and the NSLP operates from 11:30 a.m. to 1:00 p.m. A fundraiser on school premises during the school day takes orders from students for frozen cookie dough that will be distributed to students later in the week. Section 10-215b-23 of the state competitive foods regulations requires that NSFSA must receive the fundraiser's income from all student orders during 6:30 a.m. to 8:30 a.m. and 11:00 a.m. to 1:30 p.m. (refer to "Section 10-215b-23: Accrual of income" in this document).

For additional guidance, refer to "State Competitive Foods Regulations" in this document.



Resources

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A Guide to Smart Snacks in School (USDA):
   https://www.fns.usda.gov/tn/guide-smart-snacks-school
Allowable Beverages in Connecticut Public Schools (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/
   Allowable_Beverages_Public_Schools.pdf
Beverage Requirements (CSDE webpage):
   https://portal.ct.gov/SDE/Nutrition/Beverage-Requirements
C.G.S Section 10-215f: Certification that food meets nutrition standards:
   https://www.cga.ct.gov/current/pub/chap_169.htm#sec_10-215f
C.G.S. Section 10-221p: Boards to make available for purchase nutritious and low-fat foods:
   https://www.cga.ct.gov/current/pub/chap_170.htm#sec_10-221p
C.G.S. Section 10-221q: Sale of beverages:
   https://www.cga.ct.gov/current/pub/chap_170.htm#sec_10-221q
Competitive Foods in Schools (CSDE webpage)
   https://portal.ct.gov/SDE/Nutrition/Competitive-Foods
CSDE Operational Memorandum No. 1-18: Accrual of Income from Sales of Competitive Foods in
   Schools:
   https://portal.ct.gov/-/media/SDE/Nutrition/NSLP/Memos/OM2018/OM01-18.pdf
Exemptions for Foods and Beverages in Public Schools (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/HFC/
   Food_Beverage_Exemptions_Public_Schools.pdf
Fundraisers and Smart Snacks: Foods Not Intended for Consumption at School (USDA):
   https://fns-prod.azureedge.us/sites/default/files/cn/fundraisersfactsheet.pdf
Guidance on Evaluating Recipes for Compliance with the Connecticut Nutrition Standards (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/HFC/
   Evaluating_Recipes_CNS_Compliance.pdf
Guide to Competitive Foods in Non-HFC Public Schools (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/
   Competitive_Foods_Guide_NonHFC.pdf
Healthy Fundraising (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/Resources/HealthyFundraising.pdf
List of Acceptable Foods and Beverages (CSDE webpage):
   https://portal.ct.gov/SDE/Nutrition/List-of-Acceptable-Foods-and-Beverages
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Overview of Connecticut's Competitive Foods Regulations (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/
   Overview_CT_Competitive_Foods_Regulations.pdf
Overview of Federal and State Laws for Competitive Foods in Connecticut Public Schools, Private
   Schools, and Residential Child Care Institutions (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/
   Overview_Federal_State_Laws_Competitive_Foods.pdf
Questions and Answers on Connecticut Statutes for School Foods and Beverages:
   https://portal.ct.gov/-/media/SDE/Nutrition/HFC/
   Questions_Answers_Connecticut_Statutes_School_Foods_Beverages.pdf
Requirements for Competitive Foods in Non-HFC Public Schools (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/HFC/
   Requirements_Competitive_Foods_NonHFC.pdf
Resources for Meeting the Federal and State Requirements for Competitive Foods in Schools (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/HFC/
   Resources_Federal_State_Requirements_Competitive_Foods.pdf
School Wellness Policies (CSDE webpage):
   https://portal.ct.gov/SDE/Nutrition/School-Wellness-Policies
Sections 10-215b-1 and 10-215b-23 of the Regulations of Connecticut State Agencies:
   https://eregulations.ct.gov/eRegsPortal/Browse/RCSA/Title_10Subtitle_10-215b/
Smart Snacks Product Calculator (Alliance for a Healthier Generation):
   https://foodplanner.healthiergeneration.org/
Smart Snacks Nutrition Standards (CSDE webpage):
   https://portal.ct.gov/SDE/Nutrition/Smart-Snacks-Nutrition-Standards
Summary Chart: Federal and State Requirements for Competitive Foods in Non-HFC Public
   Schools (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/
   Summary_Chart_Requirements_Competitive_Foods_NonHFC.pdf
Summary of Smart Snacks Nutrition Standards (CSDE):
   https://portal.ct.gov/-/media/SDE/Nutrition/CompFoods/
   Summary_Smart_Snacks_Nutrition_Standards.pdf
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For more information, visit the CSDE's Healthy Food Certification and Connecticut Nutrition Standards webpages or contact the HFC Coordinator at the Connecticut State Department of Education, Bureau of Child Nutrition Programs, 450 Columbus Boulevard, Suite 504, Hartford, CT 06103-1841.

This document is available at https://portal.ct.gov/-/media/SDE/Nutrition/HFC/Fundraiser_Requirements_NonHFC.pdf.

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- mail: U.S. Department of Agriculture
 Office of the Assistant Secretary for Civil Rights
 1400 Independence Avenue, SW
 Washington, D.C. 20250-9410; or
- 2. fax: (833) 256-1665 or (202) 690-7442; or
- 3. email: program.intake@usda.gov

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