Marlborough Public Schools

PROFESSIONAL LEARNING AND EVALUATION PLAN

June 2015
Marlborough Public Schools

Vision

Our vision is to inspire wonder, awe and curiosity and to motivate young minds to embrace learning.

Mission

In a partnership of family, school and community, our mission is to educate, challenge and inspire each individual to excel and become a contributing member of society.

The plan was developed in collaboration with EASTCONN and reviewed by Marlborough’s School Leadership Team and the Professional Development Committee, comprised of representative teachers and administrators. See page 1 for participant names.
# Marlborough Public Schools

## PROFESSIONAL LEARNING AND EVALUATION PLAN

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OVERVIEW

INTRODUCTION

Marlborough is a public school district in central Connecticut, dedicated to serving the educational needs of students and families in town.

Marlborough’s Professional Learning and Evaluation Plan has been designed to create pathways for the continuous learning and advancement of educational professionals throughout their careers. The Program components are aligned with the Core Requirements of the Connecticut Guidelines for Educator Evaluation (adopted by the State Board of Education in June 2012). Marlborough’s Professional Learning and Evaluation Program represents our commitment to incorporating current, high-quality research in the creation of professional learning opportunities, to fostering best practices in teacher supervision and evaluation, and to improving student learning through effective curriculum, instruction, and assessment practices in our classrooms. As such, the Plan addresses the elements of CT’s Core Requirements for Teacher and Administrator Evaluation, is aligned with our school district’s mission and values and meets the educational needs of our students.

We appreciate the efforts of many contributors to this plan including:

Professional Development and Evaluation Committee:
- Dan White, Principal
- Kim Kelley, Assistant Principal
- Denise Ketterer, Teacher
- Tina D’Auteuil, Teacher
- Louise Plack, Special Education Teacher
- Debbie Kaika, Technology
- Julie Weiss, Teacher

Marlborough Education Association:
Tina D’Auteuil and Louise Plack
CORE VALUES AND BELIEFS ABOUT PROFESSIONAL LEARNING

Marlborough's Professional Learning and Evaluation Plan establishes high standards for the performance of teachers and administrators that ultimately lead to and are evidenced by improved student learning. Professional standards, including Connecticut’s Common Core of Teaching (2010), Connecticut’s Common Core of Leading-Connecticut School Leadership Standards (2012), the Standards for Professional Learning (2012), and national standards for educational specialists provide the foundation for Marlborough’s Professional Learning and Evaluation Plan.

We acknowledge that deep student learning and high achievement that transfers to enrichment of future learning, career and personal experiences later in life is built by the collaborative, interdependent work of teachers and administrators, students and families, and the communities they serve. Therefore, our Plan seeks to create a professional culture in our educational programs that is grounded in the following beliefs:

We believe that:

- An effective teaching and learning system must reflect and be grounded in the vision and core values of the district.

- An effective teaching and learning system creates coherence among the functions of supervision and evaluation of professional practice, professional learning and support, and curriculum and assessment development.

- A comprehensive evaluation process includes:
  - on-going inquiry into and reflection on practice;
  - goal-setting aligned with expectations for student learning;
  - information gathered from multiple sources of evidence;
  - analysis of data from multiple sources of evidence;
  - support structures for feedback, assistance, and professional collaboration;
  - research-based professional learning opportunities aligned with the needs of teachers.

- An effective teaching and learning system that increases educator effectiveness and student outcomes is standards-based, and promotes and is sustained by a culture of collaboration and knowledge-sharing.
PHILOSOPHY OF PROFESSIONAL EVALUATION

The purpose of educator evaluation is to improve student achievement outcomes through effective instruction and support for student and educator learning. A variety of factors support the improvement of learning and instruction. The Marlborough Professional Learning and Evaluation Plan addresses all these factors systemically. It is a comprehensive system that is based on clearly defined expectations that consist of domains of skills, knowledge, and disposition articulated in the Common Core of Teaching (2010) for teacher evaluation, the Common Core of Leading-Connecticut’s Leadership Standards (2012) for administrator evaluation, and the national standards for the evaluation of educators in pupil services, as well as what current research tells us about the relationship between teaching and learning.

The Professional Learning Plan supports the development of educators at all stages of their careers, as it weaves together professional standards with expectations for student learning, and ongoing evaluation with access to professional learning and support. The Program’s teacher observation and evaluation instrument, the CCT Rubric is designed to align with the processes and professional performance profiles outlined in Connecticut’s Teacher Education and Mentoring (TEAM) program, which provides differentiated professional learning for all beginning teachers. Such alignment promotes the establishment of common, consistent vocabulary and understandings about teacher practice among administrators and teachers.

Marlborough’s professional evaluation plan takes into account school improvement goals, curricular goals, student learning goals, and evidence of educators’ contributions to the school as a whole. Performance expectations within our Program also include those responsibilities that we believe to be the key in promoting a positive school climate and the development of a professional learning community.
MARLBOROUGH PROFESSIONAL LEARNING AND EVALUATION PLAN GOALS

1. Professionalize the Profession
   • Document and share educators’ best practices that result in meaningful advancement of student learning.
   • Enhance expert knowledge and collective efficacy in the field
   • Create new opportunities for educators to collaborate and develop leadership skills in their schools and disciplines.
   • Recognize and reward excellence in teaching, administration, and exemplary contributions to Marlborough public schools.
   • Ensure that only high-quality professionals are selected for tenure in Marlborough schools.
   • Provide a process for validating personnel decisions, including recommendations for continued employment of staff.

2. Improve the quality and focus of observation and evaluation
   • Establish collaborative examinations of instructional practice among administrators and teachers to develop shared understanding of the strengths and challenges within our schools and programs to improve student learning.
   • Define and clarify criteria for evaluation and measurement of student learning, using research-based models for evaluation.
   • Establish multiple measures to assess professional practice, such as: teacher portfolios; teacher-designed objectives, benchmarks, and assessments of student learning; teacher contributions to school/district level research on student learning and professional resources; mentoring and peer assistance; achievement of learning objectives for student growth, as measured by appropriate standardized assessments, where applicable, or other national or locally-developed curriculum benchmarks and expectations for student learning.

   1. Improve quantity and quality of feedback to those evaluated.
   2. Align evaluation findings with professional learning program and support systems.

3. Support organizational improvement through the Professional Learning and Evaluation Program.
   • Align district- and school-level professional learning opportunities with the collective and individual needs of educators, based on data acquired through professional learning goal plans and observations of professional practice.
   • Provide educators with multiple avenues for pursuing professional learning.
• Integrate Marlborough resources to support and provide professional learning opportunities.
• Create formal and informal opportunities for educators to share professional learning with colleagues.

**ROLES AND RESPONSIBILITIES FOR PROFESSIONAL LEARNING AND EVALUATION**

**Definition of Teacher and Evaluator**
Evaluator refers to all individuals (including school and district administrators) whose job responsibilities include supervision and evaluation of other teachers. Teacher, as used in this document, shall mean all certified instructional and non-instructional persons below the rank of Administrator.

**Superintendent Role in the Evaluation Process**
• Allocate and provide funds or resources to implement the plan.
• Serve as liaison between Marlborough's Board of Education and the evaluation process.
• The Superintendent will be responsible for ensuring that the Professional Development Committee receives information regarding school and program improvement and individual professional growth goals for use in planning staff development programs.
• Arbitrate disputes.

**Responsibility for Evaluations**
Administrators will be responsible for evaluations, including, but not limited to, personnel in the following categories:

**Administrators of Marlborough Schools and Programs**
- All Certified Teachers
- All Para Professionals (with input from supervising teacher)

**Superintendent**
- Administrators of Marlborough schools

**Director of Student Services**
- Psychologists, Social Workers
- Speech Therapists, Occupational Therapists, Physical Therapists
- Special Education Resource Teachers
- Other Related Services Personnel
Roles and Responsibilities of Evaluators and Evaluatees
The primary purpose of educator evaluation is to strengthen individual and collective practices to improve student growth. Therefore, evaluators and evaluatees share responsibilities for the following:

- The review and understanding of Connecticut’s Common Core of Teaching (CCT) and
- The review and understanding of Connecticut’s Common Core of Leading (CCL) and
- The review and familiarity with Connecticut & national curriculum standards.
- Adherence to established timelines.
- Completion of required components in a timely and appropriate manner.
- Sharing of professional resources and new learning about professional practice.

Evaluator Roles

- Review of and familiarity with evaluatees’ previous evaluations.
- Participation in collaborative conferences with evaluatees.
- Assistance with assessment of goals, student learning indicators, learning activities developed and implemented by evaluatees, and outcomes.
- Analysis and assessment of performance, making recommendations as appropriate.
- Clarification of questions, identification of resources, facilitation of peer assistance and other support as needed.

Evaluatee Roles

- Reflection on previous feedback from evaluations.
- Engagement in inquiry-based professional learning opportunities.
- Participation in collaborative conferences with evaluator.
- Development, implementation, and self-assessment of goals, student learning indicators, learning activities, and outcomes.
- Request clarification of questions or assistance with identification of professional resources and/or peer assistance.
**IMPLEMENTATION OF PROFESSIONAL LEARNING AND EVALUATION PLAN**

**Professional Learning and Orientation of Teachers and Administrators**

At the beginning of each school year the district will provide to educators several orientation and training opportunities (through in-service sessions, target group sessions, and individual conferences) that explain the processes for professional learning planning, protocol for evaluation and observation (including timelines and rubrics), and documents that will be used by all staff.

Teachers and administrators new to Marlborough will be provided with copies of the Professional Learning and Evaluating Plan and will engage in professional learning to ensure that they understand the elements and procedures of the Plan, processes and documents. This training will take place upon employment or prior to the beginning of the school year with members of Marlborough’s Administration.

**New Educator Support and Induction**

In the interest of supporting all educators in the implementation of the Plan, Marlborough will offer localized support to staff members new to the school. A variety of general topics will be addressed, including:

- School philosophy and goals
- Policies and procedures
- Assignments and responsibilities
- Facility and staffing
- Curriculum and instructional support
- Resources for professional learning
- Schedules and routines
- Support services

In addition, periodic meetings with school personnel will focus on domains of the Common Core of Teaching, Common Core of Leading, Common Core Standards in English / Language Arts, Mathematics, and the content areas, discipline policies, stakeholder communication, effective collaboration, classroom interventions, special education, evaluation and professional responsibilities.
Evaluator Orientation and Support
Understanding of Marlborough’s Professional Learning and Evaluation Plan’s features, Connecticut’s Common Core of Teaching (CCT), Common Core of Leading (CCL), Common Core State Standards, Standards for Professional Learning, and the components of professional evaluation and observation is essential to facilitating the evaluation process and promoting student growth. To that end, evaluators will be provided with on-going training and support in the use and application of Marlborough’s Evaluation Plan. Evaluators will review Program elements and procedures prior to the beginning of each school year and at other appropriate intervals, to be determined. Plans for staff professional learning will be coordinated annually by Marlborough Administrators and Leadership Team, utilizing resources from the Connecticut RESC Alliance where appropriate.

Resources for Program Implementation
Funds to provide material and training as well as time for Professional Learning options and collaboration necessary to support the successful achievement of the teachers’ goals, objectives and implementation of the Evaluation Plan will be allocated annually.

DISPUTE RESOLUTION
The purpose of the resolution process is to secure at the lowest possible administrative level, equitable solutions or disagreements which from time to time may arise related to the evaluation process. The right of appeal is a necessary component of the evaluation process and is available to every participant at any point in the evaluation process. As our evaluation system is designed to ensure continuous, constructive and cooperative processes among professional educators, most disagreements are expected to be worked out informally between evaluators and evaluatees.

The dispute resolution process may be implemented when there is a question as to whether or not:
1. evaluation procedures and/or guidelines have been appropriately followed;
2. adequate data has been gathered to support fair and accurate decisions.

The administrator’s judgment alone shall not be the focus of a dispute. The resolution process shall be conducted in accordance with the law governing confidentiality.
Procedures

1. Within three days of articulating the dispute in writing, the evaluatee will meet and discuss the matter with the evaluator with the objective of resolving the matter informally.

2. If there has been no resolution, the Superintendent shall review and any additional information from the evaluator and evaluatee and shall meet with both parties as soon as possible. Within three days of the meeting, and review of all documentation and recommendations, the Superintendent will act as arbitrator and make a final decision.

3. The evaluatee shall be entitled to Collective Bargaining representation at all levels of the process.

Time Limits

1. Since it is important that appeals be processed as rapidly as possible, the number of days shall be considered maximum. The time limits specified may be extended by written agreement of both parties.

2. Days shall mean school days. Both parties may agree, however, to meet during breaks at mutually agreed upon times.

3. If an evaluatee does not initiate the appeals procedure within 5 working days of acknowledged receipt of evaluation materials, the evaluatee shall be considered to have waived the right of appeal.

Failure of the evaluatee at any level to appeal to the next level within the specified time shall be deemed to be acceptance of the decision rendered at that level.

Evaluator Training and Proficiency

Formal observations of classroom practice are guided by the Domains and Indicators of Marlborough’s CCT Rubric. Evaluators participate in extensive training and are required to be proficient in the use of the Continuum for educator evaluation. Training is conducted bi-annually (at a minimum) to ensure consistency, compliance, and high-quality application of the Continuum in observations and evaluation. Formal observations include pre- and post-conferences that provide opportunities for deep professional conversations that allow evaluators and teachers to set goals, allow administrators to gain insight into the teacher’s
progress in addressing issues and working toward their goals, and share evidence each has gathered during the year.

In the first year of implementation of Marlborough’s Professional Learning and Evaluation Program, all evaluators will be required to participate in three to six days of initial training and successfully complete online proficiency activities. Evaluators will also attend two additional support sessions during the school year. To ensure consistency and fairness in the evaluation process, all evaluators must meet the proficiency standard prior to conducting teacher observations. Given the size of the Marlborough school district, we will rely heavily on the RESC Alliance for scheduling formal training sessions and providing customized support for Administrators.

Components of this training (to be confirmed by EASTCONN and the RESC Alliance) will include the following:

1. Three days of face-to-face training that will focus on:
   - using the CCT Rubric for data collection, analysis and evaluation
   - introducing participants to the online practice and proficiency system.

2. One day of online practice to be completed independently or as a collaborative learning activity at the school or district level. Regional activities in collaboration with schools in the RHAM district will be coordinated to expand professional growth opportunities for evaluators and efficiently provide this necessary support.

3. One day of on-line proficiency comprised of two proficiency activities requiring evaluators to demonstrate their ability to: recognize bias; identify evidence from classroom observations, conferences and non-classroom reviews of practice that is appropriate to specific CCT Rubric Indicators and Domains; gather and analyze a comprehensive set of data to assign appropriate ratings at the Domain level.

4. One day of follow-up face-to-face training to:
   - enhance evaluator conferencing and feedback skills
   - debrief on proficiency as needed

In the first year of implementation, evaluators will also participate in two support sessions during the school year:
1. Two-hour facilitated conversation in preparation for Mid-Year Conferences
2. Two-hour facilitated conversation in preparation for End of Year Conferences

After the first year of implementation, all evaluators new to Marlborough will be required to participate in the training, proficiency and supports sessions described above.

All Marlborough evaluators will be required to demonstrate proficiency in the use of the CCT Rubric for educator evaluation bi-annually. Any evaluator who does not initially demonstrate proficiency will be provided with additional practice and coaching opportunities as needed and will be required to successfully complete online proficiency activities. In the second year of proficiency, evaluators will be required to calibrate their ability to appropriately apply the CCT Rubric by participating in district update/calibration sessions.
TEACHER EVALUATION PLAN
TEACHER EVALUATION PLAN

OVERVIEW

Marlborough’s Professional Learning and Evaluation Plan (the Plan) supports an environment in which educators have the opportunity to regularly employ inquiry into and reflection on practice, to give each other feedback, and to develop teaching practices that positively affect student learning.

To help foster such an environment, we have created the Plan as a district-wide system that provides multiple opportunities and options for teachers to engage in individual and collaborative activities in which they collect, analyze, and respond to data about student learning. Teachers and administrators are expected to provide evidence related to the effectiveness of instructional practices and their impact on student learning. Teachers and administrators are also expected to take an active role in a cycle of inquiry into their practice, development, implementation and analysis of strategies employed to advance student growth, and reflection on effectiveness of their practice. The Plan includes an additional component, Professional Assistance & Support System for these teachers and administrators in need of additional support to meet performance expectations.

Standards and Indicators of Teaching Practice

The expectations for teacher practice in Marlborough’s Professional Learning and Evaluation Plan are defined using the four domains and their indicators of the Common Core of Teaching (CCT, 2014), updated by the CT State Department of Education. Marlborough’s Standards for Education Performance and Practice Common Core of Teaching Rubric for Effective Teaching (CCT Rubric) (the tool used for observing and assessing teacher practice in each of the domains) reflects the spirit and specifics of the CCT, articulates components of teaching, and establishes designations of levels of practice, including: Below Standard; Developing; Effective; Exemplary

Core Requirements of the Evaluation Plan

Marlborough’s Professional Learning and Evaluation Plan is aligned with the Core Requirements of the State Board-approved Guidelines for Educator Evaluation, as provided in subsection (a) of Sec. 10-151b (C.G.S.), as amended by Sec. 51 of P.A. 12-116. The following is a description of the processes and components of Marlborough’s plan for teacher evaluation, through which the Core Requirements of the Guidelines shall be met.
PROCESS AND TIMELINE OF TEACHER EVALUATION

The annual evaluation process for a teacher will at least include, but not be limited to, the following steps, in order:

1. Orientation (by September 15):
   - To begin the annual evaluation process, evaluators meet with teachers, in groups and/or individually, to discuss the evaluation process and their roles and responsibilities within it. In this meeting, they will review and discuss the following:
     2. School or district priorities that should be reflected in teacher performance and practice focus areas.
     3. SMART goals related to student academic growth and achievement.
     4. Data regarding whole-school indicators of student learning.
     5. Self-assessment processes and purposes.
     6. Data collection, including types of data and processes for collection and analysis.
     7. Access to the online evaluation system (My Learning Plan – OASYS)

   Evaluators and teachers will establish a schedule for collaboration required by the evaluation process.

2. Goal-setting Conference – by October 15:
   - Teacher Reflection—In advance of the Goal Setting Conference, the teacher will examine data related to current students’ performance (including, but not limited to: standardized tests, portfolios and other samples of student work appropriate to teacher’s content area, etc.), prior year evaluation and survey results, previous professional learning goals, and Connecticut’s CCT Rubric for Effective Teaching 2014. The teacher will develop the following goals:
a) No more than **two SMART Goals** to address student learning and achievement objectives, which will comprise 45% of a teacher's summative evaluation;
b) **One performance and practice focus area**, based on student growth or data teacher reflection and previous year's evaluator observations and review of the CCT Rubric; and will comprise 40% of the summative evaluation.
c) **A goal aligned with a whole-school goal** determined by the school administrator based on data from **parent feedback**; (10%) and
d) **A goal based on whole school indicators of student learning** for the school year. The teacher may collaborate in grade-level or subject-matter teams to support the goal-setting process. (5% of summative evaluation)

First-year beginning teachers may find it helpful to reflect on their practice goals with their mentor teachers, using the TEAM program's Module Resources and Performance Profiles, to determine a baseline for establishing goals.

- **Goal-setting conference** – No later than **October 15** of the school year, the evaluator and teacher will meet to discuss the teacher's proposed goals in order to arrive at mutual agreement about them. The goals for the year must be informed by data and evidence collected by the teacher **and** evaluator about the teacher's practice.

- **Examples of data and evidence that may be included in the goal-setting conference:**

<table>
<thead>
<tr>
<th><strong>Lesson Plans</strong></th>
<th><strong>Class List</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Formative Assessment Data</strong></td>
<td><strong>Standardized and Non-Standardized Data</strong> (based on the teacher's class)</td>
</tr>
<tr>
<td><strong>Summative Assessment Data</strong></td>
<td><strong>School-Level Data</strong></td>
</tr>
<tr>
<td><strong>Student Work</strong></td>
<td><strong>CCT Rubric</strong></td>
</tr>
<tr>
<td><strong>Parent Communication Logs</strong></td>
<td></td>
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<tr>
<td><strong>Data Team Minutes</strong></td>
<td></td>
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<tr>
<td><strong>Survey Data</strong></td>
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</tr>
</tbody>
</table>
3. **Observations of practice (see observation schedule)**
   Evaluators will observe teacher practice in formal and informal in-class observations and non-classroom reviews of practice throughout the school year, with frequency based on the teacher’s summative evaluation rating or years in district.

4. **Evidence collection and review (throughout school year):**
   The teacher collects evidence about his/her practice and student learning that is relevant to the agreed-upon professional goals. The evaluator also collects evidence about teacher practice for discussion in the Mid-Year Formative Conference and end of year summative review.

5. **Mid Year Formative Conference:**
   The evaluator and teacher will hold a mid-year formative conference near the mid-year point of evaluation cycle. The discussion should focus on processes and progress toward meeting the goals and developing one’s practice. Both the teacher and the evaluator will bring evidence about practice and student learning data to review. The teacher and evaluator will discuss the cause and effect relationship of practice to student learning data, i.e. – how practice positively impacts student learning. During the conference, both the teacher and evaluator will make explicit connections between the 40% and the 45% components of the evaluation program. If necessary, teachers and evaluators may mutually agree to revisions to strategies or approaches used and/or mid-year adjustment of SMART goals to accommodate changes (e.g., student populations, assignment). They also discuss actions that the teacher can take and supports the evaluator can provide to promote teacher growth in his/her development areas.

6. **End-of-year summative review**
   - *Teacher self-assessment* (due to evaluator five (5) working days prior to end of year conference) – The teacher reviews and reflects on all information and data collected during the year related to the goals and completes a self-assessment for review by the evaluator. This self-assessment may focus specifically on the areas for development, referencing the CCT Rubric, and established in the goal-setting conference.
The self-assessment – should address all components of the evaluation plan and include what the teacher learned throughout the year supported by evidence and personal reflection. The self-assessment should also include a statement that identifies a possible future direction that is related to the year’s outcomes.

- **End of year conference** – The evaluator and the teacher meet to discuss all evidence collected to date. The teacher and evaluator will discuss the extent to which students met the SMART goals and how the teacher’s performance and practice focus contributed to student outcomes and professional growth.

- **Summative Rating** – The evaluator reviews submitted evidence, self-assessments and observation data to generate category and focus area ratings. The category ratings generate the final, summative rating using the summative rating matrix.

*(See pages 35-37 for explanation of summative ratings and matrix)*
## PROCESS AND TIMELINE OF TEACHER EVALUATION

The annual evaluation process for a teacher will include the following steps:

<table>
<thead>
<tr>
<th>DATE (on or before)</th>
<th>STEP(S) TO BE COMPLETED</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>September 1</strong></td>
<td><strong>Orientation:</strong></td>
</tr>
<tr>
<td></td>
<td>o Meet to review timeline, process, and responsibilities</td>
</tr>
<tr>
<td><strong>October 15</strong></td>
<td><strong>Goal-setting Conference:</strong></td>
</tr>
<tr>
<td></td>
<td>o Data review and drafting of goals</td>
</tr>
<tr>
<td><strong>November 15</strong></td>
<td><strong>Observation of Practice:</strong></td>
</tr>
<tr>
<td></td>
<td>o Evaluator observes teacher practice in formal and informal in-class observations</td>
</tr>
<tr>
<td><strong>January 30</strong></td>
<td><strong>Observation of Practice:</strong></td>
</tr>
<tr>
<td></td>
<td>o Evaluator observes teacher practice in formal and informal in-class observations</td>
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<tr>
<td><strong>February 15</strong></td>
<td><strong>Mid-year Check-In/Interim Conference:</strong></td>
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<td></td>
<td>Mid-year discussion focusing on processes and progress to m</td>
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<tr>
<td><strong>April 30</strong></td>
<td><strong>Observation of Practice:</strong></td>
</tr>
<tr>
<td></td>
<td>o Evaluator observes teacher practice in formal and informal in-class observations</td>
</tr>
<tr>
<td><strong>June 1</strong></td>
<td><strong>End-of-year summative review:</strong></td>
</tr>
<tr>
<td></td>
<td>o Evaluator and teacher meet to discuss evidence and data collected</td>
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<td></td>
<td>o Evaluator assigns a summative rating and generates a summary report of the evaluation</td>
</tr>
<tr>
<td><strong>Ongoing</strong></td>
<td><strong>Evidence collection and review:</strong></td>
</tr>
<tr>
<td></td>
<td>o Teacher and evaluator collect evidence about teacher practice and student learning as relevant to agreed-upon professional goals</td>
</tr>
<tr>
<td><strong>September 15</strong></td>
<td><strong>Summative rating revisions: (if necessary)</strong></td>
</tr>
</tbody>
</table>
COMPONENTS OF TEACHER EVALUATION AND RATING

The Core Requirements of the CT Guidelines for Teacher Evaluation require that districts weight the components of teacher’s annual summative evaluations and ratings as follows:

STUDENT GROWTH AND DEVELOPMENT (45%)

Forty-five (45%) of a teacher’s evaluation will be based on achievement of student learning outcomes defined by teacher-created SMART Goal(s) that are aligned with both standardized and non-standardized measures. Teachers are required to develop no more than two SMART goals related to student academic growth and development. SMART Goals shall be developed using multiple measures and may include standardized and non-standardized measures. One half of the indicators of academic growth and development used as evidence of whether goals/objectives are met shall not be determined by a single, isolated standardized test score, but shall be determined through the comparison of data across assessments administered over time, including the state test for those teaching tested grades and subjects or another standardized measure for other grades and subjects where available. A state test can be used only if there are interim assessments that lead to that test, and such interim assessments shall be included in the overall score for those teaching tested grades and subjects. Those without an available standardized measure will select, through mutual agreement, subject to the local dispute-resolution procedure an additional non-standardized measure.
- **SMART GOAL based on Standardized Measures.** For those teaching tested grades and subjects, SMART goals will be developed based on an analysis of results of student achievement on the appropriate state test, and/or other standardized assessments where available. If no standardized assessment is available, teachers will select, through mutual agreement, at least one additional non-standardized measure with evaluator.
  - Teachers in non-tested grades and subjects may establish common SMART goals based on student learning needs and measurable targets revealed in aggregate data from state tests or other standardized assessments where available and appropriate. (examples include STAR, DRA-2, Fountas & Pinnell)

- **SMART GOAL based on Non-standardized measures:** For those teaching in non-tested grades and subjects and where no standardized assessment is available or appropriate, SMART goals will be developed using non-standardized measures. Sources for the development of SMART goals based on non-standardized indicators may include:
  - Benchmark assessments of student achievement of school-wide Expectations for Student Learning, measured by analytic rubrics.
  - Other curricular benchmark assessments
  - Student portfolios of examples of work in content areas, collected over time and reviewed annually.

- SMART goals for all personnel must demonstrate alignment with school-wide student achievement priorities

**Goal Setting**

Marlborough teachers’ SMART goals address the learning needs of their students and are aligned to the teacher’s assignment. The student outcome related indicators will be written to meet SMART goal criteria, i.e. Specific, Measurable, Attainable, Relevant, and Time-Bound. Teachers will write no more than two (2) SMART goals that will address targeted areas for student academic growth and/or achievement.

Each SMART goal will:

1. Consider the academic record and social, emotional and behavioral needs and strengths of the students that the teacher is teaching that year/semester;
2. address the most important purposes of a teacher’s assignment through self-reflection;
3. align with school, district, and state student achievement objectives;
4. take into account students’ learning needs vis-a-vis relevant baseline data;
5. consider current student demographic data and information
6. be mutually agreed upon by teacher and their evaluator;
7. be fair, valid, reliable and useful to the greatest extent possible.

**SMART Goals and Student Progress**
The following diagram illustrates the processes involved in establishing and assessing SMART goals for student learning.

To write meaningful and relevant SMART goals that align to their teaching assignment and result from a thorough knowledge of their students, data analysis is required.

Examples of data that teachers will be required to analyze are:
1. Student outcome data (academic)
2. Behavior data (absences, referrals)
3. Perceptual data (learning styles and inventories, anecdotal)

Teachers must, be able to document baseline data that they have used to determine their instructional focus and be able to write SMART goals on which they will, in part, be evaluated.
Analysis of these initial pieces of data on incoming students for the year should be completed by mid-September of the academic year.

Each teacher will write at most two (2) SMART goals. Teachers whose students take a state assessment will create one SMART goal based on that assessment and one SMART goal based on a non-standardized assessment. All other teachers may develop their two SMART goals based on non-standardized assessment or a standardized assessment where available and appropriate.

Each SMART goal should make clear;
(1) what evidence was or will be examined,
(2) what level of growth is targeted,
(3) strategies used to help students to reach learning targets,
(4) what assessment/indicator will be used to measure the targeted level of growth, and
(5) what proportion of students is projected to achieve the targeted performance level.

SMART goals can also address student subgroups, such as high or low-performing students or ELL students. It is through the Phase I examination of student data that teachers will determine what level of growth to target for which students.

Teachers will submit their SMART goal(s) to their evaluator for review, and approval. The review and approval process of the SMART goal(s) will take place during the Goal-Setting conference, on or before October 15.
Once SMART goal(s) are approved, teachers must monitor students’ progress toward achieving student learning SMART goal(s).

Teachers may monitor and document student progress through:

1. Collection and examination of student work
2. Administration of periodic formative assessments
3. Tracking of students’ accomplishments and challenges

Teachers may choose to share their findings from formative assessments with colleagues during collaborative time. They may also wish to keep their evaluator apprised of progress. Artifacts related to the teacher’s monitoring practices can be reviewed and discussed during the Mid-Year Formative Conference.

At the mid-year formative conference, evaluators and teachers will review progress toward the SMART goal(s), using available information and data collected on student progress. This review may result in revisions to the instructional strategies or approaches teachers use. Teachers and evaluators may mutually agree to mid-year adjustments to SMART goal(s) for the purpose of accommodating significant changes in student population or teaching assignment.

End-of-year review of SMART goals/ Student Outcomes and Achievement:

*Teacher Self-Assessment* – The teacher reviews all information and data collected during the year and completes a self-assessment for review by the evaluator. Teachers will provide a written reflection on their SMART goals by responding to the following four statements:

1. Describe the results and provide evidence for each indicator.
2. Describe what you did that produced these results.
3. Provide your overall assessment of whether the goal was met.
4. Describe what you learned and how you will use that information going forward.
End of Year Conference – The teacher shall collect evidence of student progress toward meeting the student learning goals/objectives. This evidence will reflect student progress toward meeting SMART goals for learning. The evidence will be submitted to the evaluator, and the teacher and evaluator will discuss the extent to which the students met the learning goals/objectives. Following the conference, the evaluator will rate the extent of student progress toward meeting the student learning goals/objectives, based on criteria for the 4 performance level designations shown in the table below.

Evaluators will review the evidence and the teacher’s self-assessment and assign one of four ratings to each SMART goal: Exceeded (4 points), Met (3 points), Partially Met (2 points), or Did Not Meet (1 point). These ratings are defined as follows:

- **Exceeded (4)**: Exceeded SMART goal(s) by 10% margin or higher.
- **Met (3)**: Met the SMART goal(s).
- **Partially Met (2)**: Did not meet the SMART goal(s) by 10% margin.
- **Did Not Meet (1)**: Did not meet the SMART goals by 11% or greater.

To arrive at a rating for each SMART goal, the evaluator will review the results from data collected as a body of evidence regarding the accomplishment of the goal and score the achievement of the SMART goals holistically.

The final rating for Category 1: Student Outcomes and Achievement rating for a teacher is the average of their two SMART goal scores. For example, if one SMART goal was Partially Met, for 2 points, and the other SMART goal was Met, for 3 points, the student growth and development rating would be 2.5 \( [(2+3)/2] \). The individual SMART goal ratings and final Student Outcomes and Achievement rating will be shared and discussed with teachers during the End-of-Year Conference.
Training for Teachers and Evaluators

Specific professional learning will be provided to develop evaluators’ and teacher’s data literacy and creation of the two SMART goals by which teachers will be evaluated. The professional learning will support and/or enhance the abilities and skills of each teacher to communicate their goals for student learning outcomes and achievement. The content of the training will include, but not be limited to:

- **SMART Goal Criteria**: Specific, Measurable, Attainable, Relevant, Time-Bound
- Data Literacy as it relates to: Analyzing and Interpreting Assessment Data, Understanding Root Cause, and Decision-Making based on Inferences
- Quality of measures and indicators used to determine student growth
- Alignment of SMART goals to school and/or district goals
- Writing plans that articulate the strategies and progress monitoring tools teachers will implement to achieve their SMART goals

All teachers and evaluators will be required to attend this professional learning to ensure a standardized approach to the documentation of student learning outcomes and achievement. Should additional professional learning be needed, it will be decided on a case-by-case basis at the school or individual level.

**TEACHER PERFORMANCE AND PRACTICE (40%)**

Forty percent (40%) of a teacher’s evaluation will be based on evidence of teacher practice and performance, using Marlborough’s *Standards for Educator Performance & Practice (CCT Rubric)*.

**Marlborough’s CCT Performance and Practice Continuum**

Marlborough’s observation instrument for the Professional Learning and Evaluation Plan (CCT Rubric) has been developed to align with Connecticut’s Common Core of Teaching (CCT) and to reflect the content of its domains and indicators. The CCT has defined for Connecticut’s educators key aspects of effective teaching, correlated with student learning and achievement that have been evidenced in professional literature.

The CCT Rubric, which observers will use in conducting teacher observations and reviews of practice, was developed by teams of educators (including teachers, building-level administrators, central office administrators, and professional developers), who reviewed
the four domains and 12 indicators that comprise the CCT, relevant research on effective instructional practices that improve student learning and achievement, and other models for observation of professional teaching practice (Danielson, 2011; Marshall, 2011; Marzano, et al., 2011). The CCT Continuum represent a distillation of each of these resources to essential elements, crucial to effective practice, that can be observed and applied in appraisals of teachers.

The CCT Rubric addresses several principles which are essential components of effective teacher performance and practice. These principles are explicitly embedded in the Continuum as observable practices, and teachers and evaluators are required to reflect on these practices during pre- and post-observation conferences and self-evaluations. The overarching principles of Connecticut’s CCT Rubric are:

- **Diversity** enriches the educational opportunities for all students;
- **Differentiation** as a necessity for success and equal opportunities for all students;
- Purposeful use of **technology** as access to learning for all students;
- **Collaboration** as essential to producing high levels of learning for all students;
- **Data collection and analysis** as essential to informing effective planning, instruction, and assessment practices that enhance student learning;
- **Professional learning** as integral to improved student outcomes.

Key attributes of teacher performance and practice outlined in the CCT Rubric are reflected in the descriptors of the Indicators within the CCT Rubric, so that evaluators and teachers may understand how these attributes apply in practice, observations, and evaluation. Teacher lesson plans and associated documentation, pre-observation, post-observation, and teacher self-reflection forms and related conversations, as well as non-classroom reviews of practice, such as communication with families, collaboration with colleagues, participation in data teams, professional learning presentations by faculty members, participation in mentoring, instructional rounds, PPTs and action research, all provide rich data related to the CCT Rubric standards and the effectiveness of teachers’ performance and practice.

In employing the CCT Rubric as its foundation, the CCT Rubric maintains consistency with Connecticut’s TEAM program of mentorship and professional development of new teachers. TEAM’s Performance Profiles, which also describe attributes of effective teaching practice along a continuum for each of its professional growth modules, apply the CCT Rubric indicators as the focus for new teacher reflection on their practice and development of differentiated professional growth plans. Marlborough’s Continuum
and TEAM both rely on rich professional discussion about and reflection on professional practice to advance teacher effectiveness and student learning. Therefore, consistency between these two programs makes it possible for all educators to acquire common understandings and language about teaching and learning, with the intent of enriching collaboration, communication, and community to pave the way for school improvement and success for all students.

**Teacher Goal Setting for Performance and Practice**

In preparation for instructional planning and goal-setting conferences with evaluators, teachers will analyze their student data and use the *CCT Rubric* to reflect on their own practices and their impact on student performance. Based on that reflection, teachers will develop a performance and practice goal to guide their own professional learning and improvements in practice that will ultimately promote student growth and achievement of student outcome goals. Teacher practice goals will not be evaluated, but should result in improvements in teacher knowledge and skills which will be evidenced in observations of teacher performance and practice.

**Data Gathering Process**

Marlborough evaluators will use the *CCT Rubric* to guide data collection from four sources: teacher conferences, classroom observations, reviews of practice and artifacts and evidence aligned to specific domains.

Over the course of the school year, evaluators will gather evidence for all Indicators and Domains of the *CCT Rubric* which will allow teachers to demonstrate: the context for their work; their ability to improve student learning and performance; their ability to engage in reflective practice to improve their own knowledge and skills; how they exercise leadership skills within their classrooms, schools and district.

**Observation of Teacher Practice**

Observations, both formal and informal, provide valuable information to all professional staff about instructional practice. Data collected through observations allow school leaders to understand more about the nature of learning and instruction in the classroom. Feedback from observation provides individual teachers with insights regarding the impact of their management, planning, instruction, and assessment practices on student growth. Annually, administrators will engage in professional learning opportunities including online options and collaborative sessions, which will develop their skills in effective observation, providing meaningful, useful feedback and engaging in productive professional conversations with teachers.
### Data-Informed Observation of Teacher Performance and Practice (40%)

<table>
<thead>
<tr>
<th>SOURCES OF DATA</th>
<th>EXAMPLES OF DATA</th>
<th>IMPORTANCE OF DATA</th>
</tr>
</thead>
</table>
| Conferences       | Data related to all 4 domains  
  • Conversation and artifacts that reveal the teacher has an understanding of, content, students, strategies, and use of data  
  • Teacher’s use of data to inform instruction, analyze student performance and set appropriate learning goals | • Provides opportunities for teachers to demonstrate cause and effect thinking.  
  • Provides opportunities for evaluator understanding of content & priorities for professional learning  
  • Provides context for observations and evaluation |
| In-class formal observations | Data related to all 4 Domains  
  • Teacher-student, student-student conversations, interactions, activities related to learning goals | • Provides evidence of teacher’s ability to improve student learning and promote growth |
| Non-classroom reviews of practice | Data related to all 4 Domains  
  1. Teacher reflection, as evidenced in pre- and post-conference data.  
  2. Engagement in professional learning  
  3. Opportunities, involvement in action research (PGC)  
  4. Collaboration with colleagues  
  5. Teacher-family interactions  
  6. Ethical decisions | • Provides evidence of teacher as learner, as reflective practitioner and teacher as leader. |
Evaluators and other instructional leaders use a combination of formal and informal announced and unannounced observations to:

1. Gather evidence of and facilitate professional conversation regarding the quality of teacher practice;
2. Provide constructive oral and written feedback of observations that is timely and useful for educators;
3. Provide information for the on-going calibration of evaluators and evaluation practices in the district.

In addition to formal conferences for goal-setting and performance review and formal in-class observations, informal observations of teachers by evaluators will occur periodically. Observations are for the purpose of helping teachers to gain insights about their professional practice and its impact on student learning. Formal and informal observation of teachers is considered a normal part of the evaluator’s job responsibilities.

More importantly, observation is essential for establishing a culture of continuous learning for educators and for understanding the nature, scope and quality of student learning in a school as a whole. In addition to in-class observations, non-classroom reviews of practice will be conducted. Examples of non-classroom observations or reviews of practice include but are not limited to: observations of data team meetings, observations of coaching/mentoring other teachers, PPT or grade level meetings, review of lesson plans or other teaching artifacts, including written teacher reflection.

The Professional Learning and Evaluation Plan also establishes opportunities for teachers to participate in informal, non-evaluative observations of teacher practice for the following purposes: to enhance awareness of teaching and learning practices in our schools; to create opportunities for problem-based professional learning projects and action research to improve student learning; and to enhance collaboration among teachers and administrators in advancing the vision and mission of their schools.

- In year one of the Plan implementation, Marlborough has submitted an alternative proposal so that one-third of all certified teachers will receive three in-class formal observations (pending approval from CSDE). This cohort of educators is scheduled for the formal evaluation, including classroom observations during 2013-2014.
Each of the three observations will include a pre-conference and a post-conference with timely written and verbal feedback. In addition, at least one informal unannounced in-class observation and one non-classroom review of practice will be conducted for all teachers.

- In subsequent years of the Plan implementation, teachers who receive a performance evaluation designation of below standard or developing shall receive a number of observations appropriate to their individual development plan, but no fewer than three in-class formal observations. Each of the three observations will include a pre-conference and a post-conference with timely written and verbal feedback.

- In subsequent years of the Plan implementation, teachers who receive a performance evaluation designation of effective or exemplary will receive:
  - 1 formal observation every three (3) years
  - 1 review of practice each year on a mutually agreed upon area
  - 3 informal observations per year

Option: at times, administrators may alternate formal in class observations to help provide teachers with feedback from more than one perspective. (Confirmed with School Leadership and Marlborough Educators Association, March 2013)
# OBSERVATION FREQUENCY

<table>
<thead>
<tr>
<th>PERFORMANCE DESIGNATION</th>
<th>NUMBER OF OBSERVATIONS</th>
<th>CONFERENCING AND FEEDBACK</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Beginning Teachers that have not completed TEAM or new to a position.</td>
<td>3 formal observations</td>
<td>All must have pre-conferences, all must have post-conferences.</td>
</tr>
<tr>
<td>1 review of practice, on a mutually agreed upon area of practice</td>
<td>Feedback will be verbal and/or written.</td>
<td></td>
</tr>
<tr>
<td>1 informal observation(s) as appropriate</td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Teachers who have completed 2 or more years of service and designated Effective or Exemplary in the prior year’s summative evaluation</td>
<td>1 formal observation every three years</td>
<td>All must have pre-conference and post-conferences</td>
</tr>
<tr>
<td>1 review of practice, on a mutually agreed upon area of practice each year</td>
<td>Feedback will be verbal and/or written.</td>
<td></td>
</tr>
<tr>
<td><strong>3 informal observations every year</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teachers who have completed 2 or more years and designated as Below Standard and/or Developing (Participation in Professional Assistance Support System)</td>
<td>3 formal observations</td>
<td>In-class observation must have pre and post-conferences.</td>
</tr>
<tr>
<td>2 reviews of practice, with a mutually agreed upon area of practice</td>
<td>Feedback for review of practice will be verbal and/or written.</td>
<td></td>
</tr>
<tr>
<td>Informal observations as appropriate</td>
<td></td>
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</tbody>
</table>
Evaluation Ratings for Performance and Practice

Evaluation ratings will be assigned at the end of each school year. After gathering and analyzing evidence for all Indicators within each of the Domains 1-4, evaluators will use the CCT Rubric to initially assign ratings of Below Standard, Developing, Effective or Exemplary. **Ratings will be made at the Domain level only.**

Once Domain ratings have been assigned, evaluators will use the *Rating Guidelines for Observation of Teacher Performance and Practice* to assign a rating for Teacher Performance and Practice (40%).

<table>
<thead>
<tr>
<th>Rating</th>
<th>Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Exemplary</strong></td>
<td>Minimum of three exemplary ratings at the domain level and no ratings below proficient</td>
</tr>
<tr>
<td><strong>Effective</strong></td>
<td>Minimum of three effective ratings at the domain level and no rating below standard</td>
</tr>
<tr>
<td><strong>Developing</strong></td>
<td>Minimum of 2 effective rating at the domain level and not more than one rating below standard</td>
</tr>
<tr>
<td><strong>Below Standard</strong></td>
<td>Two or more ratings at the domain level below standard</td>
</tr>
</tbody>
</table>

Evaluator Professional Learning and Proficiency

The Domains and Indicators of the CCT Rubric guide formal observations of classroom practice. Evaluators participate in extensive professional learning and are required to be Effective in the use of the CCT Rubric for educator evaluation. Professional learning is conducted annually (at a minimum) to ensure consistency, compliance, and high-quality application of the CCT Rubric in observations and evaluation. Formal observations include pre- and post-conferences that provide opportunities for deep professional conversations that allow evaluators and teachers to set goals, allow administrators to gain insight into the teacher’s progress in addressing issues and working toward their goals, and share evidence each has gathered during the year.
All evaluators will be required to participate in professional learning and successfully complete proficiency and calibration activities as needed. To ensure consistency and fairness in the evaluation process, all evaluators must meet the proficiency standard prior to conducting teacher observations. Components will include the following:

1. face-to-face professional learning that will focus on using the CCT Rubric for data collection, analysis and evaluation;
2. practice to be completed independently.
3. calibration activities requiring evaluators to demonstrate their ability to: recognize bias; identify evidence from classroom observations, conferences and non-classroom reviews of practice that is appropriate to specific CCT Rubric Indicators and Domains; gather and analyze a comprehensive set of data to assign appropriate ratings at the Domain level;
4. follow-up face-to-face professional learning to enhance evaluator conferencing and feedback skills and debrief on calibration as needed.

All evaluators will be required to demonstrate proficiency in the use of the CCT Rubric for educator evaluation. Any evaluator who does not initially demonstrate proficiency will be provided with additional practice and coaching opportunities as needed and will be required to successfully complete online proficiency activities. Evaluators will be required to calibrate their ability to appropriately apply the CCT Rubric by participating in district update/calibration sessions.
PARENT FEEDBACK (10%)  

Ten percent (10%) of a teacher’s evaluation shall be based on parent feedback, including data from surveys and may also include focus group data.

Marlborough strives to meet the needs of all of our students all of the time. To gain insight into what parents perceive about our ability to accomplish this, a school-wide parent survey will be used. The surveys, used both nationally and internationally, have been subjected to a rigorous vetting process that has found them to be fair, reliable, valid, and useful.

Using an Education for the Future Parent Survey, administered on-line and that allows for anonymous responses, Marlborough Elementary School will collect and analyze parent feedback data that will be used for continuous improvement. Surveys will be administered one time per year. The survey data will be used by teachers as baseline data for the following academic year. Analysis of survey data will be conducted on a school-wide basis, with all certified staff engaged in the analysis, and result in one school-wide goal to which all certified staff will be held accountable. Note that the surveys address school-wide factors, and are not focused on individual teacher performance.

Once the school-wide parent feedback goal has been determined by the school, teachers will identify the strategies they will implement to achieve the school-wide goal. Teacher ratings will be determined using a 4-level performance matrix. Ratings will be based on evidence of teacher’s implementation of strategies to address areas of need as identified by the survey results.

WHOLE-SCHOOL STUDENT LEARNING INDICATORS (5%)  

Five percent (5%) of a teacher’s evaluation shall be based on whole-school student learning indicators derived from the school administrator’s rating on these two SMART goals (Administrator’s 45%).

Marlborough will define and communicate a Whole School Learning Indicator that is based on an aggregate rating for multiple student learning indicators established for the administrator’s evaluation rating. (Administrator’s 45%)
Teachers’ efforts and actions taken towards achievement of the Whole School Learning Indicator will be discussed during the pre-, mid-year, and post-conferences. Teachers will be expected to bring artifacts from their practice that support and provide evidence of their contributions to the attainment of this indicator.

Teachers’ rating in this area will be determined by the administrator’s performance rating on multiple student learning indicators that comprise 45% of an administrator’s evaluation.

**SUMMATIVE TEACHER EVALUATION RATING:**
Each teacher shall annually receive a summative rating in one of four levels:

1. **Exemplary** – Substantially exceeding indicators of performance
2. **Effective** – Meeting indicators of performance
3. **Developing** – Meeting some indicators of performance but not others
4. **Below standard** – Not meeting indicators of performance

*Exemplary* ratings are intended for performance that significantly exceeds effectiveness and could serve as a model for teachers district-wide.

*Effective* represents fully satisfactory performance. It is the rigorous standard expected for experienced teachers.

*Developing* indicates performance that has met a level of effectiveness in some indicators of performance but not others. Improvement is necessary and expected.

*Below standard* indicates performance that has been determined to be below Effective on all components or unacceptably low on one or more indicators.

**Determining Summative Ratings**

The process for determining summative evaluation ratings has three steps: (a) determining a practice rating, (b) determining an outcomes rating and (c) combining the two into an overall rating.
A. TEACHER PRACTICE RATING: Teacher Performance & Practice (40%) + Parent Feedback (10%) = 50%

The practice rating derives from a teacher’s performance on the four domains of the CCT Rubric and the parent feedback target. Evaluators record a rating for the domains that generates an overall rating for teacher practice. The Parent Feedback rating is combined with the Teacher Practice rating and the evaluator uses the matrix to determine an overall Teacher Performance & Practice Rating.

B. TEACHER OUTCOMES RATING: Student Growth & Development (45%) + Whole-School Student Learning Indicators (5%) = 50%

The outcomes rating derives from the two student outcome & achievement measures (SMART goals) and whole-school learning indicators outcomes. As shown in the Summative Rating Form, evaluators record a rating for the SMART goals agreed to in the beginning of the year. The Whole-School Student Learning Indicator Rating is combined with the SMART goals rating and the evaluator uses the matrix to determine an overall Outcomes Rating.

C. FINAL SUMMATIVE RATING: Teacher Practice Rating (50%) + Teacher Outcomes (50%) = 100%

The Summative rating combines the practice and outcomes ratings using the matrix below. If the two areas in any Matrix are highly discrepant (e.g., a rating of exemplary for Teacher Practice and a rating of below standard for Student Outcomes), then the evaluator and the evaluatee will re-examine the data and/or gather additional information in order to determine the rating for the Matrix.

If upon re-examination of the data, the ratings do not change, the evaluator will use the Matrix to determine the rating.

In accordance with The CT Guidelines for Educator Evaluation, Marlborough’s Professional Learning and Evaluation Plan employs a 4-level matrix rating system, as follows:
1. Annual summative evaluations must provide each teacher with a summative rating aligned to one of four performance evaluation designations: Exemplary, Effective, Developing and Below Standard.

2. In order to determine summative rating designations for each teacher, Marlborough evaluators will:
   A. Rate teacher performance in each of the four Categories:
      1. Student Growth and Development;
      2. Observations of Teacher Performance and Practice;
      3. Parent Feedback, and
      4. Whole-School Student Learning Indicators.
   B. Combine the Student Growth and Development and Whole-School Student Learning Indicator rating (Category 4, above) into a single rating, taking into account their relative weights. This will represent an overall “Outcomes Rating” of Exemplary, Effective, Developing, or Below Standard.
   C. Combine the Observations of Teacher Performance and Practice rating and the Parent Feedback rating into a single rating, taking into account their relative
weights; this will represent an overall “Practice Rating” of Exemplary, Proficient, Developing, or Below Standard.

D. Combine the Outcomes Rating and Practice Rating into a final rating. In undertaking this step, teachers will be assigned a summative rating category of Exemplary, Effective, Developing, or Below Standard.

Definition of Teacher Effectiveness and Ineffectiveness
Teacher effectiveness will be based upon a pattern of summative teacher ratings collected over time. In order to be deemed effective, teachers will need to have a summative rating of Effective or Exemplary. Teachers are required to be effective within two years of being evaluated using this plan. Teachers who are not deemed effective by these criteria will be deemed ineffective.

Any teacher having a summative rating of Developing or Below Standard after one year of being evaluated with this plan may be placed on an individual improvement plan. PASS is a 3 tiered approach to teacher support.

After one year of participating in PASS, a teacher receiving such support will be expected to have a summative rating of Effective or Exemplary. Teachers who do not receive a summative rating of Effective or Exemplary after one year of participation in PASS may be placed on the PASS Improvement and Remediation Plan for 30 days. After 30 days, the teacher may be placed on the PASS Intensive Remediation Plan for 60 days. No teacher will participate in PASS for more than two consecutive school years.

TEACHER PROFESSIONAL ASSISTANCE AND SUPPORT SYSTEM (PASS)

Teachers who receive a summative evaluation rating of Developing or Below Standard will be notified in writing of a conference with evaluator. The teacher may choose to work with their local association president (or designee) in the development of a PASS plan. The teacher will work in collaboration with the evaluator (or designee). The plan will be created prior to the beginning of the next school year to develop and finalize the plan. The PASS process will identify areas of improvement needed and will include supports that the district will provide to address the performance areas identified as in need of improvement. After the development of the PASS Individual Performance Improvement plan, the teacher and evaluator will collaborate to determine the target completion date.
The plan must include the following components:

1. **Areas of Improvement:** Identify area(s) of needed improvement
2. **Rationale for Areas of Improvement:** Evidence from observations that show an area(s) needing improvement.
3. **Domain:** List domain rated “developing” or “below standard.”
4. **Indicators for Effective Teaching:** Identify exemplary practices in the area identified as needing improvement.
5. **Improvement Strategies to be Implemented:** Provide strategies that the teacher can implement to show improvement in any domain rated “developing” or “below standard.”
6. **Tasks to Complete:** Specific tasks the Teacher will complete that will improve performance in the domain.
7. **Support and Resources:** List of supports and resources the Teacher can use to improve, e.g. professional learning opportunities, peer observation, colleague mentor, books, etc.
8. **Indicators of Progress:** How the teacher will show progress towards effective/exemplary in identified domain(s) through observations, data, evidence, etc.
9. **Determination of Effectiveness:** Assessment of effective rating at the end of the plan.

The plan will be designed and written in a collaborative manner, which focuses on the development of a professional learning community supporting colleagues within this level. The teacher, local association president or designee, and evaluator or designee will sign the plan. The contents of the plan will be confidential.

**PASS Improvement and Remediation Plan (60 Days)**

The PASS Remediation Plan is a further step in the attempt to provide a teacher with the support, supervision, and resources needed to foster positive growth in situations when an individual is having considerable difficulty implementing the professional responsibilities of teaching. Based on a determination by the appropriate administrator, the administrator and/or evaluator will help the teacher outline specific goals and objectives with timelines, resources, and evaluative criteria. The evaluator and/or teacher may draw upon whatever personnel and resources are needed to implement the plan and are deemed reasonable by the evaluator. The teacher may choose to include their bargaining representative.
Consistent supervision and, at minimum, a weekly observation followed by timely feedback, will be provided by the evaluator. This intervention will operate for a period of time that the evaluator determines to be appropriate, but will normally conclude within 60 school days. At the end of this phase, the evaluator will issue a recommendation as to whether the intensive supervision will be terminated or extended. If the teacher demonstrates that he/she is *Effective* or better, the evaluator will designate placement of that teacher to a normal plan phase.

In situations when progress is unacceptable, the teacher will move into Intensive Remediation Plan. Specific written reports of the intervention plan with reports of observations and a final determination on progress will become part of the teacher’s personnel file.

**PASS Intensive Intervention Plan (30 Days)**

The PASS Intensive Remediation Plan is the final attempt and is implemented after the Improvement and Remediation to provide the supports necessary to meet the requirements of the position. The teacher, evaluator, and/or another appropriate administrator will develop a plan that includes specific goals, timelines, resources, and evaluative criteria. The teacher may choose to include their bargaining representative. The evaluator and/or the teacher may draw upon whatever personnel and resources are needed to implement the plan and are deemed reasonable by the evaluator.

Weekly observations followed by feedback will be provided during this phase. The plan will be in operation for a period of time that the evaluator determines to be appropriate, but will normally conclude after 30 school days. At the conclusion of this phase, the evaluator will make a recommendation as to whether the intensive supervision will be terminated or extended. If the teacher demonstrates that he/she is *Effective* or better, the evaluator will designate placement of that teacher on the normal plan.

*If the teacher’s performance continues to be below Effective, the administrator may recommend termination of that teacher’s employment to the superintendent.* (refer to Marlborough BOE Policies 4117.4(c) revised February 2013).

**Resolution of Differences**

Should a teacher disagree with the evaluator’s assessment and feedback, the parties are encouraged to discuss these differences and seek common understanding of the issues.
The evaluator may choose to adjust the report, but is not obligated to do so. The teacher has the right to attach a statement to the observation report, progress report, or summative evaluation identifying the areas of concern and presenting his/her perspective. However, observation and evaluation reports are not subject to the grievance procedure. In the event that the teacher and evaluator are unable to resolve their differences, they can submit the matter to the Superintendent for review and decision. Any such matters will be handled as expeditiously as possible, and in no instance will a decision exceed thirty (30) school days.

**EVALUATION-BASED PROFESSIONAL LEARNING**

As our core values indicate, Marlborough believes that the primary purpose for professional learning is school improvement as measured by the success of every student. We also believe that professional learning must focus on creating meaningful experiences for all staff members. Designing evaluation-based professional learning is a dynamic process. Working with program goals and data from the educator evaluation process, professional learning is planned to strengthen instruction around identified student growth needs or other areas of identified educator needs.

We recognize that educators as well as students learn in different ways and have different learning needs at different points in their career. Effective professional learning, therefore, must be highly personalized and provide for a variety of experiences, including learning teams, study groups, individual study, etc. as well as opportunities for conducting research and collaborating with colleagues on content-based pedagogical activities.

Marlborough's evaluation-based professional learning design has as its foundation the Standards for Professional Learning (Learning Forward, 2011). Each of the tenets of Marlborough's Professional Learning and Evaluation Program is aligned with at least one, and often several, of the seven Standards for Professional Learning, as follows.

**TENETS OF THE MARLBOROUGH PLAN: ALIGNING STANDARDS AND PROCESSES:**

- **Evaluation is a teacher-centered process:** We believe that, for evaluation to improve professional practice, it is essential to "make evaluation a task managed by a teacher, and not a thing done to a worker" (Peterson, 2000, p. 5).
Teacher reflection on aspects of their instructional practice and its effect on student achievement, on other facets of responsibility to the school community, and on their professional contributions to their field is critical to improved practice for both veteran and novice teachers. [Standards: Learning Communities; Data; Outcomes]

- Educator self-reflection represents the initiation and culmination of the cycle of professional praxis and procedures for evaluation.
- Teachers collect and assemble relevant data related to student outcomes and their professional contributions, and determine how their data can be used in evaluation.

**Organizational culture matters:** The framework and outcomes of systems for the evaluation of teachers must reflect an understanding of the culture of schools as learning organizations (see Schein, 2010; Senge, 2012).

- It is vitally important to examine the core beliefs that underpin organizational processes such as professional learning and evaluation, as well as teachers’ and administrators’ perception of their roles and effectiveness, to effect positive changes in student learning, growth, and achievement. Further, it is important to evolve the role of principals and administrators from the sole judges and evaluators of teachers and teaching to emphasize their role as instructional leaders who collaborate with teachers.
  - Evaluators and teachers support each other in the pursuit of individual and collective professional growth and student success through rich professional conferences and conversations. [Standards: Leadership; Resources]
  - Each school’s core beliefs about student learning are the foundation for evaluation and support systems, and provide a focus for individual and collaborative reflections on personal practice and organizational functioning. [Standards: Learning Communities; Implementation]
  - Teachers and administrators collaborate to observe instructional practices in their school and to analyze data on instruction and student performance. [Standards: Data; Outcomes]
  - Teachers and administrators collaborate to plan, assess, and evaluate professional learning. [Standards: Leadership; Learning Communities; Implementation; Learning Designs]
• *Evaluation and professional learning must be differentiated to increase organizational effectiveness:* There is a growing research base that demonstrates that individual and collective teacher efficacy (defined by Bandura, 1997, as “the group’s shared belief in its conjoint capabilities to organize and execute courses of action required to produce given levels of attainments”), is positively associated with and predictive of student achievement (Allinder, 1995; Goddard, et al., 2000; Moolenaar, et al., 2012; Tschannen-Moran and Barr, 2004)
  
  o The needs of veteran and novice teachers are different, and evaluation-based professional learning is be designed to meet those needs, inspire and motivate individual and collective efficacy, and build leadership capacity in schools and districts (see Peterson, 2000). [*Standards: Learning Design; Leadership; Resources]*
  
  o The development of such structures as career ladders, personal professional portfolios, and opportunities are provided for teachers to share their learning from professional activities, findings from their own research or from research-based practices they have applied, classroom-level and professional accomplishments and/or challenges. [*Standards: Data; Outcomes: Learning Communities; Leadership]*

**CAREER DEVELOPMENT AND PROFESSIONAL GROWTH**

Marlborough will provide opportunities for educator career development and professional growth based on the results of the evaluation. Educators with an evaluation of Effective or Exemplary may also be able to participate in opportunities to further their professional growth, including attending state, regional or national conferences and other professional learning opportunities.

For educators rated Exemplary, the following career development and professional growth opportunities would be available: observation of peers; mentoring/coaching early-career educators or educators new to Marlborough; participating in educator Professional Assistance and Support System plans for peers whose performance is developing or below standard; leading Professional Learning Communities for their peers; and, targeted professional development based on areas of need.

For educators rated Below Standard or Developing, professional growth opportunities will be included and prioritized in the teacher’s Individual Development Plan (ref. 38-40).
OVERVIEW

Marlborough’s Administrator Evaluation Plan means to develop a shared understanding of leader effectiveness. Marlborough’s administrator evaluation and support plan defines administrator effectiveness in terms of (1) administrator practice (the actions taken by administrators that have been shown to impact key aspects of school life); (2) the results that come from this leadership (teacher effectiveness and student achievement); and (3) the perceptions of the administrator’s leadership among key stakeholders in their community.

The plan describes levels of performance for administrators and focuses on the practices and outcomes of Proficient administrators. These administrators can be characterized as:

- Meeting performance expectations as an instructional leader
- Meeting performance expectations in at least 2 other areas of practice
- Meeting 1 target related to stakeholder feedback
- Meeting and making progress on a minimum of 1 SMART goal aligned to school and district priorities
- Having more than 60% of teachers Effective on the student growth portion of their evaluation

This document describes the administrator evaluation plan, beginning with a set of underlying core design principles. We then describe the four components on which administrators are evaluated – leadership practice, stakeholder feedback, student learning and teacher effectiveness – before describing the process of evaluation and, finally, the steps evaluators take to reach a summative rating for an administrator.
COMPONENTS OF THE ADMINISTRATOR EVALUATION PLAN

The evaluation of administrators, as well as supports for their ongoing growth and development, are based on four categories:

LEADERSHIP PRACTICE (40%)

An assessment of an administrator's leadership practice – by direct observation of practice and the collection of other evidence – is 40% of an administrator's summative rating.

Leadership practice is described in the Common Core of Leading: Connecticut School Leadership Standards, adopted by the Connecticut State Board of Education in June of 2012, which use the national Interstate School Leaders Licensure Consortium (ISLLC) standards as their foundation and define effective administrative practice through six performance expectations.

All of the performance expectations contribute to successful schools, but research shows that some have a bigger impact than others. In particular, improving teaching and learning is at the core of what effective educational leaders do. As such, Performance Expectation 2 (Teaching and Learning) for principals will be weighted twice as much as any other Performance Expectation. The other Performance Expectations must have a weighting of at least 5% of the overall evaluation.

These weightings will be consistent for all principals and other MARLBOROUGH administrators. For assistant principals and other 092 certificate holders in non-teaching roles, the six Performance Expectations are weighted equally.

In order to arrive at these ratings, administrators are measured against the Leader Evaluation Rubric which describes leadership actions across four performance levels for each of the six performance expectations and associated elements. The four performance levels are:

- **Exemplary**: The Exemplary Level focuses on the concepts of developing capacity for action and leadership beyond the individual leader. Collaboration and involvement from a wide range of staff, students and stakeholders is prioritized as appropriate in distinguishing Exemplary performance from Effective performance.
• **Effective** The rubric is anchored at the Effective Level using the indicator language from the Connecticut School Leadership Standards. The specific indicator language is highlighted in **bold** at the Effective level.

• **Developing:** The Developing Level focuses on leaders with a general knowledge of leadership practices but most of those practices do not necessarily lead to positive results.

• **Below Standard:** The Below Standard Level focuses on a limited understanding of leadership practices and general inaction on the part of the leader.

Two key concepts, indicated by bullets, are often included as indicators. Each of the concepts demonstrates a continuum of performance across the row, from **below standard** to **exemplary**.

**Assigning ratings for each Performance Expectation:**
Performance indicators provide examples of observable, tangible behavior that indicate the degree to which administrators are meeting each Performance Expectation. Evaluators and administrators will review performance and complete evaluation at the Performance Expectation level, NOT at the Element level. Additionally, it is important to document an administrator’s performance on each Performance Expectation with evidence generated from multiple performance indicators, but not necessarily all performance indicators. As part of the evaluation process, evaluators and school leaders should identify a few specific areas for ongoing support and growth.

**Assessing the practice of administrators other than principals and assistant principals:** For Marlborough administrators in non-school roles, administrator practice will be assessed based upon ratings from evidence collected directly from the Connecticut School Leadership Standards. The leader evaluation rubric will be used in situations where it is applicable to the role of the administrator.

**Leadership Practice Summative Rating**
Summative ratings are based on the preponderance of evidence for each performance expectation in the Connecticut School Leadership Standards. Evaluators collect written evidence about and observe the administrator’s leadership practice across the six
performance expectations described in the rubric. Specific attention is paid to leadership performance areas identified as needing development.

This is accomplished through the following steps, undertaken by the administrator being evaluated and by the evaluator completing the evaluation:

The administrator and evaluator meet for a Goal-Setting Conference by August 15 to identify focus areas for development of the administrator’s leadership practice.

1. The administrator being evaluated collects evidence about his/her practice and the evaluator collects evidence about administrator practice with particular focus on the identified focus areas for development. **Evaluators of principals must conduct at least two school site observations for any principal and will conduct at least four school site observations for principals who are new to their district, school, the profession, or who have received ratings of developing or below standard.** Evaluators of assistant principal will conduct at least four observations of the practice of the assistant principal. Evaluators of other Marlborough administrators will conduct at least two observations and/or reviews of practice.

2. The administrator being evaluated and the evaluator hold a Mid-Year Formative Conference by January 30 with a focused discussion of progress toward proficiency in the focus areas identified as needing development.

3. By May 30, the administrator being evaluated reviews all information and data collected during the year and completes a summative self-assessment for review by the evaluator, identifying areas of strength and continued growth as well as progress on their focus areas.

4. By June 30, the evaluator and the administrator being evaluated meet to discuss all evidence collected. Following the conference, the evaluator uses the preponderance of evidence to assign a summative rating of exemplary, effective, developing, or below standard for each performance expectation. Then the evaluator assigns a total practice rating based on the criteria in the Leadership Practice Matrix and generates a summary report of the evaluation by June 30.
Professional Learning Programs

During the spring of each year, Marlborough will provide a series of half-day sessions for all administrators being evaluated so that they will understand the evaluation system, the processes, and the timelines for their evaluation. Special attention will be given to the Common Core of Leading Performance Expectations and the Leadership Practice Rubric, so that all administrators fully understand Performance Expectations and the requirement for being a “Effective” administrator. Additional sessions will be provided throughout the academic year that will provide Marlborough administrators with access to resources and to connect with colleagues to deepen their understanding of the Evaluation Program.

In each academic year by August 1, Marlborough will provide all evaluators of administrators with professional learning focused on the administrator evaluation system.

- Professional learning will provide an in-depth overview and orientation of the plan including:
  - The 4 components that are part of the plan,
  - The process and timeline for plan implementation,
  - The process for arriving at a summative evaluation, and
  - Introduction to the data management system, My Learning Plan
  - using the Leadership Practice Rubric, so that evaluators are thoroughly familiar with the language, expectations, and examples of evidence required for administrator effectiveness.

- Professional learning will be provided on the 45% and the 10% including the development of appropriate SMART Goals, use of survey data, and expectations for evidence and artifacts that support the goals.

- Professional learning will be provided to all evaluators in the conducting effective observations and providing high-quality feedback.
**Principals and Central Office Administrators:**

Leadership Practice Matrix (40%)

<table>
<thead>
<tr>
<th>Exemplary (4)</th>
<th>Effective (3)</th>
<th>Developing (2)</th>
<th>Below Standard (1)</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>Exemplary</em> on Teaching and Learning</td>
<td>At least <em>Effective</em> on Teaching and Learning</td>
<td>At least <em>Developing</em> on Teaching and Learning</td>
<td><em>Below Standard</em> on Teaching and Learning</td>
</tr>
<tr>
<td><em>Exemplary</em> on at least 2 other performance expectations</td>
<td>At least <em>Effective</em> on at least 3 other performance expectations</td>
<td>At least <em>Developing</em> on at least 3 other performance expectations</td>
<td>or <em>Below Standard</em> on at least 3 other performance expectations</td>
</tr>
<tr>
<td>No rating below <em>Effective</em> on any performance expectation</td>
<td>No rating below <em>Developing</em> on any</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Assistant Principals and Other Administrators:**

Leadership Practice Matrix (40%)

<table>
<thead>
<tr>
<th>Exemplary</th>
<th>Effective</th>
<th>Developing</th>
<th>Below Standard</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>Exemplary</em> on at least 3 performance expectations</td>
<td>At least <em>Effective</em> on at least 4 performance expectations</td>
<td>At least <em>Developing</em> on 4 performance expectations</td>
<td><em>Below Standard</em> on 3 performance expectations</td>
</tr>
<tr>
<td>No rating below <em>Effective</em> on any performance expectation</td>
<td>No rating below <em>Developing</em> on any performance expectation</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
STAKEHOLDER FEEDBACK (10%)  

Feedback from stakeholders assessed by administration of a survey with measures that align to the Connecticut Leadership Standards is 10% of an administrator's summative rating.

To gain insight into what stakeholders perceive about administrators’ effectiveness, for each administrative role, the stakeholders surveyed will be those in the best position to provide meaningful feedback. For school-based administrators, stakeholders solicited for feedback will include teachers and parents, but may include other stakeholders (e.g., other staff, community members, students, etc.).

The survey instrument to be used was developed by Victoria Bernhardt, *Education for the Future*, Executive Director. These surveys, used both nationally and internationally, have been subjected to a rigorous vetting process that has found them to be fair, reliable, valid, and useful.

The surveys will be administered on-line and allows for anonymous responses, all Marlborough administrators will collect and analyze stakeholder feedback data that will be used for continuous improvement. Surveys will be administered one time per year. The survey data will be used by administrators as baseline data for the following academic year.

Once the administrator has determined the stakeholder feedback goal, the administrator will identify the strategies he/she will implement to meet the target.

Arriving at a Stakeholder Feedback Summative Rating

Ratings will reflect the degree to which an administrator makes growth on feedback measures, using data from the prior year as a baseline for setting a growth target. Exceptions to this include:

- Administrators with high ratings already, in which case, the rating should reflect the degree to which measures remain high

- Administrators new to the role, in which case, the rating should be based on a reasonable target, using district averages or averages of schools in similar situations
This is accomplished in the following steps, undertaken by the administrator being evaluated and reviewed by the evaluator:

1. Review baseline data on selected measures,
2. Set 1 target for growth on a selected measure (or performance on a selected measure when growth is not feasible to assess or performance is already high)
3. In the spring, administer surveys to relevant stakeholders
4. Aggregate data and determine whether the administrator achieved the established target
5. Assign a rating, using this scale:

<table>
<thead>
<tr>
<th>Exemplary (4)</th>
<th>Effective (3)</th>
<th>Developing (2)</th>
<th>Below Standard (1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeded target</td>
<td>Met target</td>
<td>Made progress but did not meet target</td>
<td>Made little or no progress against target</td>
</tr>
</tbody>
</table>

**SMART GOALS (45%)**

Student learning is assessed in equal weight by: performance and growth on the two locally determined measures (SMART goals). Each of the SMART Goals will have a weight of 22.5% and together they will account for 45% of the administrator’s evaluation.

**Locally-Determined Measures – SMART goals**

Administrators establish a minimum of 1 SMART goal on measures they select. In selecting measures, certain parameters apply:

- All measures must align to Connecticut learning standards. In instances where there are no such standards that apply to a subject/grade level or an administrators’ assignment, Marlborough will use research-based learning standards appropriate for that administrators’ assignment (i.e., Standards for Professional Learning, American School Counselors Association, etc.)
For administrators assigned to a school in “review” or “turnaround” status, indicators will align with the performance targets set out in the school’s mandated Improvement Plan.

Administrators have broad discretion in selecting indicators, including, but not limited to:

- Student performance or growth on state-administered assessments and/or district-adopted assessments not included in the state accountability measures (e.g., commercial content area assessments).
- Students’ performance or growth on school-or classroom-developed assessments in subjects and grade levels for which there are not available state assessments.

The process for selecting measures and creating SMART goals will strike a balance between alignment to student learning priorities and a focus on the most significant school-level student learning needs. To do so, it is critical that the process unfold in this way (described for principals):

- Establish student learning priorities for a given school year based on available data.
- The principal uses available data to craft an improvement plan for the school. This is done in collaboration with other stakeholders and includes a manageable set of clear student learning targets.
- The principal chooses student learning priorities for her/his own evaluation that are (a) aligned to Marlborough priorities (unless the school is already doing well against those priorities) and (b) aligned with the school improvement plan.
- The principal chooses measures that best assess the priorities and develops clear and measurable s for the chosen assessments/indicators.
- The principal shares the SMART goals with her/his evaluator, informing a conversation designed to ensure that:
  - The SMART goals are attainable.
  - There is adequate data that can be collected to make a fair judgment about whether the administrator met the established SMART goals.
  - The SMART goals are based on a review of student characteristics (e.g., mobility, attendance, demographic and learning characteristics) relevant to the assessment of the administrator against the objective.
The professional resources are appropriate to supporting the administrator in meeting the performance targets.

- The administrator being evaluated and the evaluator collect interim data on the SMART goals to inform a mid-year conversation (which is an opportunity to assess progress and, as needed, adjust targets) and summative data to inform summative ratings.

Based on this process, administrators receive a rating for this portion using the Marlborough Administrator Evaluation Summative Rating Form.

To arrive at an overall student learning rating, the ratings for the state assessment and the locally-determined ratings are plotted on this matrix:

<table>
<thead>
<tr>
<th>SMART Goal 1 (22.5%)</th>
<th>Exemplary</th>
<th>Effective</th>
<th>Developing</th>
<th>Below Standard</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Locally-determined</strong></td>
<td><strong>Exemplary</strong></td>
<td>Exemplary</td>
<td>Effective</td>
<td>Developing</td>
</tr>
<tr>
<td><strong>Portion</strong></td>
<td><strong>Effective</strong></td>
<td>Exemplary</td>
<td>Effective</td>
<td>Developing</td>
</tr>
<tr>
<td><strong>SMART Goal 2</strong></td>
<td><strong>Developing</strong></td>
<td>Effective</td>
<td>Developing</td>
<td>Below Standard</td>
</tr>
<tr>
<td><strong>(22.5%)</strong></td>
<td><strong>Below Standard</strong></td>
<td>Developing</td>
<td>Below Standard</td>
<td>Below Standard</td>
</tr>
</tbody>
</table>

**TEACHER EFFECTIVENESS (5%)**

Teacher effectiveness – as measured by an aggregation of teachers’ SMART goals – is 5% of an administrator’s evaluation.

Improving teacher effectiveness is central to a principal's role in driving improved student learning outcomes. That is why, in addition to measuring the actions that principals take to increase teacher effectiveness – from hiring and placement to ongoing professional...
development to feedback on performance – the principal evaluation model also assesses the outcomes of all of that work.

This is accomplished in the following steps, undertaken by the administrator being evaluated and reviewed by the evaluator:

1. Review baseline data on selected measures,
2. Set 1 target for growth on a selected measure (or performance on a selected measure when growth is not feasible to assess or performance is already high)
3. In the spring, administer surveys to relevant stakeholders
4. Aggregate data and determine whether the administrator achieved the established target
5. Assign a rating, using this scale:

<table>
<thead>
<tr>
<th>Exemplary (4)</th>
<th>Effective (3)</th>
<th>Developing (2)</th>
<th>Below Standard (1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceeded Target</td>
<td>Met Target</td>
<td>Made Progress but did not meet target.</td>
<td>Made little or no progress against target</td>
</tr>
</tbody>
</table>

As part of Marlborough’s teacher evaluation plan, teachers are assessed in part on their accomplishment of their SMART goals. This is the basis for assessing principals’ contribution to teacher effectiveness outcomes.

<table>
<thead>
<tr>
<th>Exemplary</th>
<th>Effective</th>
<th>Developing</th>
<th>Below Standard</th>
</tr>
</thead>
<tbody>
<tr>
<td>&gt;80% of teachers are rated effective or exemplary on the student growth portion of their evaluation</td>
<td>&gt;60% of teachers are rated effective or exemplary on the student growth portion of their evaluation</td>
<td>&gt;40% of teachers are rated effective or exemplary on the student growth portion of their evaluation</td>
<td>&lt;40% of teachers are rated effective or exemplary on the student growth portion of their evaluation</td>
</tr>
</tbody>
</table>
ADMINISTRATOR EVALUATIONPROCESS

This section describes the process by which administrators and their evaluators collect evidence about practice and results over the course of a year, culminating with a final rating and recommendations for continued improvement.

OVERVIEW

Each administrator participates in the evaluation process as a cycle of continuous improvement. The cycle is the centerpiece of state guidelines designed to have all educators play a more active, engaged role in their professional growth and development. For every administrator, evaluation begins with goal-setting for the school year, setting the stage for implementation of a goal-driven plan. The cycle continues with a Mid-Year Formative Conference, followed by continued implementation. The latter part of the process offers administrators a chance to self-assess and reflect on progress to date, a step that informs the summative evaluation. Evidence from the summative evaluation and self-assessment become important sources of information for the administrator’s subsequent goal setting, as the cycle continues into the subsequent year.

SCHOOL YEAR: PLAN IMPLEMENTATION AND EVIDENCE COLLECTION

<table>
<thead>
<tr>
<th>JULY</th>
<th>AUGUST</th>
<th>JANUARY</th>
<th>MAY</th>
<th>JUNE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Orientation and context setting</td>
<td>Goal setting and plan development</td>
<td>Mid-year formative Conference</td>
<td>Self-assessment</td>
<td>Preliminary summative rating to be finalized in August</td>
</tr>
</tbody>
</table>

Step 1: Orientation and Context-Setting by July 30
To begin the process, the administrator needs five things to be in place:

1. Student learning data are available for review by the administrator
2. Stakeholder survey data are available for review by the administrator.
3. The superintendent has communicated his/her student learning priorities for the year.
4. The administrator has developed a school improvement plan that includes student learning goals.

5. The evaluator has provided the administrator with this document in order to orient her/him to the evaluation process.

**Step 2: Goal-Setting and Plan Development by August 15**

Before a school year starts, administrators will:

1. identify two SMART goals and
2. identify one stakeholder feedback target and then,
3. identify the 2 specific areas of focus for their practice *that will help them accomplish*, their SMART goals, and their stakeholder feedback target, choosing from among the elements of the Connecticut School Leadership Standards.

Administrators will identify these 2 specific focus areas of growth in order to facilitate a professional conversation about their leadership practice with their evaluator. What is critical is that the administrator can connect improvement in the practice focus areas to the growth in the SMART goals, and the stakeholder feedback target, creating a logical through-line from practice to outcomes.

Next, the administrator and the evaluator meet in August to discuss and agree on the selected outcome goals and practice focus areas.

The evaluator and administrator also discuss the appropriate resources and professional development needs to support the administrator in accomplishing the goals. Together, these components – the goals, the practice areas and the resources and supports – comprise an individual’s evaluation plan. In the event of any disagreement, the evaluator has the authority and responsibility to finalize the goals, supports and sources of evidence to be used.

The goal-setting form is to be completed by the administrator being evaluated. The focus areas, goals, activities, outcomes, and timeline will be reviewed by the administrator’s evaluator prior to the beginning work on the goals.

The evaluator will establish a schedule of school visits with the administrator to collect evidence and observe the administrator’s work. The first visit will take place near the beginning of the school year to ground the evaluator in the school context and the administrator's evaluation plan. Subsequent visits will be planned at two to three month intervals.
A note on the frequency of school site observations:

- 2 observations for each administrator.
- 4 observations for assistant principals and for any administrator new to Marlborough, or who has received ratings of developing or below standard.

**Step 3: Mid-Year Formative Conference:** Midway through the school year there will be a formal check-in to review progress. In preparation for meeting:

- The administrator being evaluated and the evaluator hold a Mid-Year Formative Conference, with explicit discussions of progress toward student learning targets as well as any areas of performance related to standards of performance or practice. Goals may be changed at this point. The meeting is also an opportunity to surface any changes in the context (e.g., a large influx of new students) that could impact accomplishment of outcome goals; goals may be changed at this point. In preparation for the meeting: The administrator analyzes available student achievement data and considers progress toward outcome goals.

- The evaluator reviews observation and feedback forms to identify key themes for discussion.

**Step 5: Self-Assessment:** By May 30, the administrator being evaluated completes a self-assessment on his/her practice on all 18 elements of the Connecticut Leadership Standards. For each element, the administrator being evaluated determines whether he/she:

- Needs to grow and improve practice on this element;
- Has some strengths on this element but needs to continue to grow and improve;
- Is consistently effective on this element; or
- Can empower others to be effective on this element.
The administrator being evaluated will also review their focus areas and determine if they consider themselves on track or not. The administrator being evaluated submits their self-assessment to their evaluator.

**Step 5: Summative Review and Rating:** The administrator being evaluated and the evaluator meet by May 30 to discuss the administrator's self-assessment and all evidence collected over the course of the year. This meeting serves as an opportunity to convey strengths, growth areas, and their probable rating. After the meeting, the evaluator assigns a rating, based on all available evidence.

The evaluator completes the summative evaluation report, shares it with the administrator, and adds it to the principal's personnel file with any written comments attached that the principal requests to be added within two weeks of receipt of the report.

Summative ratings must be completed for all administrators by June 30 of a given school year.

**SUMMATIVE ADMINISTRATOR EVALUATION RATING**

Each administrator shall annually receive a summative rating in one of four levels:

1. **Exemplary:** Exceeding indicators of performance
2. **Effective:** Meeting indicators of performance
3. **Developing:** Meeting some indicators of performance but not others.
4. **Below standard:** Not meeting indicators of performance

Effective represents fully satisfactory performance. It is the rigorous standard expected for most experienced administrators. Specifically, proficient administrators can be characterized as:

- Meeting expectations as an instructional leader
- Meeting expectations in at least 2 other areas of practice
- Meeting and making progress on 1 target related to stakeholder feedback
- Meeting and making progress on SMART goals aligned to school and district priorities
- Having more than 60% of teachers proficient on the student growth portion of their evaluation

Supporting administrators to reach Effective is at the very heart of this evaluation model.

*Exemplary* ratings are reserved for performance that significantly exceeds effectiveness and could serve as a model for leaders district-wide or even statewide. Few administrators are expected to demonstrate *exemplary* performance on more than a small number of practice elements.

A rating of *developing* means that performance is meeting effectiveness in some components but not others. Improvement is necessary and expected and two consecutive years at the *developing* level is, for an experienced administrator, a cause for concern. On the other hand, for principals in their first year, performance rated *developing* is expected. If, by the end of three years, performance is still *developing*, there is cause for concern.

A rating of *below standard* indicates performance that is below effective on all components or unacceptably low on one or more components.

**Determining Summative Ratings**

The process for determining summative evaluation ratings has three steps: (a) determining a practice rating, (b) determining an outcomes rating and (c) combining the two into an overall rating.

**A. ADMINISTRATOR PRACTICE RATING: Leadership Practice (40%) + Stakeholder Feedback (10%) = 50%**

The practice rating derives from an administrator's performance on the six performance expectations of the leader evaluation rubric and the stakeholder feedback target. As shown in the Summative Rating Form evaluators record, a rating for the performance expectations that generates an overall rating for leadership practice. The Stakeholder Feedback rating is
combined with the Leadership Practice rating and the evaluator uses the matrix to determine an overall Practice Rating.

B. ADMINISTRATOR OUTCOMES RATING: SMART goals (45%) + Teacher Effectiveness (5%) = 50%

The outcomes rating derives from the two and SMART goals and teacher effectiveness outcomes. The Teacher Effectiveness rating is combined with the SMART goals rating and the evaluator uses the matrix to determine an overall Outcomes Rating.

C. FINAL SUMMATIVE: Practice (50%) + Outcomes (50%) = 100%

The Summative rating combines the practice and outcomes ratings using the matrix below. If the two areas in any Matrix are highly discrepant (e.g., a rating of exemplary for Administrator Practice and a rating of below standard for Administrator Outcomes), then the evaluator and the evaluatee will re-examine the data and/or gather additional information in order to determine the rating for the Matrix.

If upon re-examination of the data, the ratings do not change, the evaluator will use the Matrix to determine the rating

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<table>
<thead>
<tr>
<th>Administrator Practice Rating</th>
<th>Exemplary</th>
<th>Effective</th>
<th>Developing</th>
<th>Below Standard</th>
</tr>
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<tr>
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</tr>
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<td>Developing</td>
<td></td>
<td>Below Standard</td>
</tr>
</tbody>
</table>
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Definition of Effectiveness and Ineffectiveness

Administrator effectiveness will be based upon a pattern of summative administrator ratings collected over time. In order to be Effective, administrators will need to have a rating of Effective or Exemplary. Administrators are required to be Effective within two years of being evaluated using this plan. An administrator not rated Efficient or Exemplary will be placed on an individual improvement and improvement plan. (See Professional Assistance and Support System, or PASS, below)

Administrators having a summative rating of Developing or Below Standard after one year of being evaluated with this plan may be placed on an individual improvement plan, and a summative rating of Effective or Exemplary in the other year.

ADMINISTRATOR PROFESSIONAL ASSISTANCE AND SUPPORT PLAN (PASS) (INDIVIDUAL IMPROVEMENT AND IMPROVEMENT PLAN)

Administrators who receive a summative evaluation rating of “Developing” or “Below Standard” will be notified in writing at a conference and will be required to work with their evaluator to create a plan of individual administrator improvement, designed in consultation with such administrator and his/her exclusive bargaining representative. The plan will be created within 30 days after the completion of the summative evaluation rating. The process will identify areas of improvement needed. The plan will identify areas of needed improvement and include supports that Marlborough will provide to address the performance areas identified as needing improvement.

The plan must include the following components:

1. **Areas of Improvement**: Identify area(s) of needed improvement

2. **Rationale for Areas of Improvement**: Evidence from observations that show an area needing improvement.

3. **Performance Expectation**: List performance expectation rated “developing” or “below standard”
4. Improvement Strategies to be Implemented: Provide strategies the administrator can implement to show improvement in performance expectations rated “developing” or “below standard”

5. Tasks to Complete: Specific tasks the administrator will complete that will improve the performance within the domain.

6. Support and Resources: List of supports and resources the administrator can use to improve, e.g. professional learning opportunities, peer observation, colleague mentor, books, etc.

7. Evidence of Progress: How the administrator will show progress towards proficient/exemplar in identified domain through observations, data, evidence, etc.


The plan will be designed and written in a collaborative manner, which focused on the development of a professional learning community supporting colleagues within this level. The administrator and evaluator will sign the plan. The contents of the plan will be confidential.

For educators rated Exemplary, the following career development and professional growth opportunities would be available: observation of peers; mentoring/coaching early-career educators or educators new to Marlborough; participating in development of educator Professional Assistance and Support System plans for peers whose performance is developing or below standard; leading Professional Learning Communities for their peers; and, targeted professional development based on areas of need.

EVALUATION-BASED PROFESSIONAL LEARNING

As our core values indicate, Marlborough believes that the primary purpose for professional learning is school improvement as measured by the success of every student. We also believe that professional learning must focus on creating meaningful experiences for all staff members. Designing evaluation-based professional learning is a dynamic process. Working with program goals and data from the educator evaluation process,
professional learning is planned to strengthen instruction around identified student growth needs or other areas of identified educator needs.

We recognize that educators as well as students learn in different ways and have different learning needs at different points in their career. Effective professional learning, therefore, must be highly personalized and provide for a variety of experiences, including learning teams, study groups, individual study, etc. as well as opportunities for conducting research and collaborating with colleagues on content-based pedagogical activities.

Marlborough's evaluation-based professional learning design has as its foundation the Standards for Professional Learning (Learning Forward, 2011). Each of the tenets of Marlborough’s Professional Learning and Evaluation Program is aligned with at least one, and often several, of the seven Standards for Professional Learning, as follows.

**TENETS OF THE MARLBOROUGH PLAN: ALIGNING STANDARDS AND PROCESSES:**

- **Evaluation is an educator-centered process:** We believe that, for evaluation to improve professional practice, it is essential to “make evaluation a task managed by an educator, and not a thing done to a worker” (Peterson, 2000, p. 5).
  - Educator reflection on aspects of their leadership practice and its effect on student achievement and teacher effectiveness, on other facets of responsibility to the school community, and on their professional contributions to their field is critical to improved practice for both veteran and novice teachers. *[Standards: Learning Communities; Data; Outcomes]*
  - Educator self-reflection represents the initiation and culmination of the cycle of professional praxis and procedures for evaluation.
  - Educators collect and assemble relevant data related to student outcomes and their professional contributions, and determine how their data can be used in evaluation.

- **Organizational culture matters:** The framework and outcomes of systems for the evaluation of administrators must reflect an understanding of the culture of schools as learning organizations (see Schein, 2010; Senge, 2012).
  - It is vitally important to examine the core beliefs that underpin organizational processes such as professional learning and evaluation, as well as teachers’ and administrators’ perception of their roles and effectiveness, to effect positive changes in student learning, growth, and achievement. Further, it is important to evolve the role of principals and
administrators from the sole judges and evaluators of teachers and teaching to emphasize their role as instructional leaders who collaborate with teachers.

➢ Evaluators and administrators support each other in the pursuit of individual and collective professional growth and student success through rich professional conferences and conversations. [Standards: Leadership; Resources]

➢ Each school’s core beliefs about student learning are the foundation for evaluation and support systems, and provide a focus for individual and collaborative reflections on personal practice and organizational functioning. [Standards: Learning Communities; Implementation]

➢ Teachers and administrators collaborate to observe instructional practices in their school and to analyze data on instruction and student performance. [Standards: Data; Outcomes]

➢ Teachers and administrators collaborate to plan, assess, and evaluate professional learning. [Standards: Leadership; Learning Communities; Implementation; Learning Designs]

- Evaluation and professional learning must be differentiated to increase organizational effectiveness: There is a growing research base that demonstrates that individual and collective educator efficacy (defined by Bandura, 1997, as “the group’s shared belief in its conjoint capabilities to organize and execute courses of action required to produce given levels of attainments”), is positively associated with and predictive of student achievement (Allinder, 1995; Goddard, et al., 2000; Moolenaar, et al., 2012; Tschannen-Moran and Barr, 2004)

  o The needs of veteran and novice administrators are different, and evaluation-based professional learning is be designed to meet those needs, inspire and motivate individual and collective efficacy, and build leadership capacity in schools and districts (see Peterson, 2000). [Standards: Learning Design; Leadership; Resources]

  o The development of such structures as career ladders, personal professional portfolios, and opportunities are provided for administrators to share their learning from professional activities, findings from their own research or from research-based practices they have applied, classroom-level and professional accomplishments and/or challenges. [Standards: Data; Outcomes: Learning Communities; Leadership]
CAREER DEVELOPMENT AND PROFESSIONAL GROWTH

Marlborough will provide opportunities for administrator career development and professional growth based on the results of the evaluation. Administrators with an evaluation of Effective or Exemplary will be able to participate in opportunities to further their professional growth, including attending state and national conferences and other professional learning opportunities.

For administrators rated Exemplary, the following career development and professional growth opportunities would be available: observation of peers; mentoring/coaching early-career administrators or administrators new to Marlborough; participating in development of administrator improvement and improvement plans for peers whose performance is developing or below standard; leading Professional Learning Communities for their peers; and, targeted professional development based on areas of need.
References and Resources


